# <span id="page-0-10"></span>A 60-GHz Antenna-Duplexed Modular Front-End for Channel Sounding and Physical Layer Security

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*Abstract*— Distinctive propagation characteristics of millimeter wave (mmWave) bands require channel sounding for link management. Reported mmWave channel-sounder setups support only simplex operation while depending on bulky and expensive lab equipment; especially for frequency domain channel sounding (FCS), which can be performed with simple hardware. This work presents a solution in the form of a 60 GHz modular front-end designed using off-the-shelf components with tailor-made passive circuits and high-frequency interconnections, all compatible with printed circuit technology. An FCS setup is developed using two units of the designed transceiver front-ends, which can sweep 6 GHz bandwidth with 1 MHz resolution. An antenna duplexing circuitry is also presented, which enables each front-end unit to use a single transmit-receive antenna ensuring a high correlation between the round-trip channels. To the best of the authors' knowledge, this work reports the first FCS setup, which preserves channel reciprocity in round-trip sounding. The application of the designed system is showcased through a channel reciprocity key generation (CRKG) algorithm, which exploits the high correlation between the forward and receive channels to demonstrate a physical layer security system functional at 60 GHz.

*Index Terms*— Antenna duplexer, channel sounder, channel reciprocity, modular 60 GHz front-end, balun, bias-tee, DC-block, bond wire, millimeter wave PCB, millimeter wave packaging, physical layer security, secret key generation, TRL calibration.

#### I. INTRODUCTION

**ELECTROMAGNETIC** (EM) propagation at 60 GHz suf-<br>fers relatively higher attenuation through free space and fers relatively higher attenuation through free space and building materials, making this band relevant for short-range communications. The distinguished propagation properties make channel state information necessary to adapt the link parameters. This information can be acquired through channel

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<span id="page-0-0"></span>emulators or channel sounding systems; however, channel sounders provide real-time information at the cost of hardware complexity [\[1\],](#page-11-0) [\[2\],](#page-11-1) [\[3\],](#page-11-2) [\[4\]. C](#page-11-3)hannel sounding can be performed in the time or frequency domain. Time-domain channel sounding utilizes impulses, spread spectrum, or orthogonal frequency division multiplexing waveforms requiring compatible hardware for high peak-to-average power, dynamic range, and complex baseband processing [\[2\]. O](#page-11-1)n the other hand, frequency-domain channel sounding (FCS), aka vector network analyzer (VNA) sounding, sweeps a wide bandwidth to get frequency-dependent path loss. Time domain parameters, e.g., power delay profile and unambiguous time range, are extracted from this information through signal processing algorithms [\[5\],](#page-11-4) [\[6\]. It](#page-11-5) is a slow process compared to time domain sounding, nevertheless feasible for slow varying or static channels using comparatively simple hardware [\[7\]. Ho](#page-11-6)wever, in contemporary research, FCS has been predominately demonstrated using bulky and expensive lab equipment, justifying the need for economical and portable hardware [\[8\],](#page-11-7) [\[9\],](#page-11-8) [\[10\],](#page-11-9) [\[11\],](#page-11-10) [\[12\],](#page-11-11) [\[13\],](#page-11-12) [\[14\],](#page-11-13) [\[15\],](#page-11-14) [\[16\],](#page-11-15) [\[17\],](#page-11-16) [\[18\].](#page-11-17)

<span id="page-0-5"></span><span id="page-0-4"></span><span id="page-0-3"></span><span id="page-0-2"></span><span id="page-0-1"></span>Another critical aspect attaining research attention is wireless link security. Especially for a wireless sensor network (WSN), where a single malfunctioning device can expose others to cyber-attacks. Physical layer security (PhySec) has emerged as a potential solution for this challenge [\[19\]. C](#page-11-18)hannel reciprocity key generation (CRKG) is a variant of PhySec that utilizes reciprocity of the channel characteristics between transmitter (Tx) and receiver (Rx) for encryption key generation, assuming legitimate and wire-tap channels remain uncorrelated [\[20\],](#page-11-19) [\[21\]. T](#page-12-0)his correlation increases when the eavesdropper comes physically closer to the legitimate receiver but can be decreased by increasing electrical distance between them through shorter wavelength carriers, i.e., mmWaves [\[22\].](#page-12-1) However, shorter wavelength applies further constraints to the hardware, such as complexity in antenna-duplexing, which is required to ensure channel reciprocity within a round-trip path [\[23\],](#page-12-2) [\[24\],](#page-12-3) [\[25\].](#page-12-4) The advancements in physical layer research calls for compatible hardware to endorse its contemporary PhySec proposals in a real wireless environment.

<span id="page-0-9"></span><span id="page-0-8"></span><span id="page-0-7"></span><span id="page-0-6"></span>The contemporary research in the 60 GHz band shows a high dependency on a few commercially available offthe-shelf (COTS) front-ends for link-level experiments [\[26\],](#page-12-5) [\[27\],](#page-12-6) [\[28\],](#page-12-7) [\[29\],](#page-12-8) [\[30\],](#page-12-9) [\[31\],](#page-12-10) [\[32\],](#page-12-11) [\[33\],](#page-12-12) [\[34\],](#page-12-13) [\[35\],](#page-12-14) [\[36\]. T](#page-12-15)heir downsides are simplex transmission, non-sweepable carrier, and unavailability of agent-mode operation, making them non-compatible with FCS and CRKG [\[37\],](#page-12-16) [\[38\]. A](#page-12-17) survey

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<span id="page-1-1"></span>

Fig. 1. Round-trip channel response in a multipath environment measured using seperate Tx and Rx antennas [\[50\].](#page-12-18)

<span id="page-1-10"></span><span id="page-1-2"></span>TABLE I FEATURES OFFERED BY STATE-OF-THE-ART 60 GHZ FRONTENDS

Feature	<b>COTS</b>		<b>Published articles</b>				<b>Proposed</b>
	[37]	[38]		I411	[42]	[43]	
Mode	$Sx*$	$Sx*$		$Sx^*$	$Sx^*$	$Sx*$	$H.Dx**$
BW (GHz)							o
Carrier step	500	500		500	Fixed	Fixed	
(MHz)					carrier	carrier	
Carrier sweep	х						
Agent mode							
	(*) $Sx =$ simplex (**) $H.Dx =$ half duplex						

<span id="page-1-6"></span><span id="page-1-5"></span>reports the variety of 60 GHz COTS front-ends and their provided features as a bottleneck for seamless continuation of modern research [\[39\]. D](#page-12-19)esigning a tailor-made integrated circuit for mmWave front-end presents formidable challenges at various design stages followed by time taking production cycles [\[40\]. O](#page-12-20)n the other hand, scholarly articles unveiling the modular front-end construction using mmWave circuits with chip-on-board approach are also scarce and lack the features discussed above [\[41\],](#page-12-21) [\[42\],](#page-12-22) [\[43\],](#page-12-23) [\[44\],](#page-12-24) [\[45\].](#page-12-25) The modular integration involves application-specific redesigning of passive circuits because simple frequency scaling of low-frequency components is seldom practical. High-frequency interconnects, e.g., bondwires, exhibit dominant parasitics. Furthermore, the unavailability of lumped surface mount devices (SMDs) and susceptibility towards manufacturing process tolerance adds to the challenges. Therefore, the modular construction of a standalone mmWave front-end needs considerable work compared to the legacy chip-on-board approach for lower microwave bands. To develop a modular front-end, authors have already contributed several essential mmWave blocks and interconnects in [\[46\],](#page-12-26) [\[47\],](#page-12-27) [\[48\], a](#page-12-28)nd [\[49\].](#page-12-29)

<span id="page-1-8"></span>This paper reports a 60 GHz planar modular front-end constructed using COTS chipsets and tailormade passive blocks, all compatible with standard printed circuit technology (PCT). The front-end is reconfigurable for its carrier frequency within 58 – 64 GHz with 1 MHz of frequency step. The prominent features are antenna-duplexed two-way communication, frequency sweeping, and agent-mode operation. Two front-end units are used to demonstrate digital data transmission and round-trip FCS providing a platform to CRKG. An encryption key generation algorithm is implemented to demonstrate CRKG at 60 GHz. The design criteria and technology choice of the hardware is given in Section [II.](#page-1-0) Section [III](#page-2-0) presents building blocks followed by their integration in Section [IV.](#page-4-0) Utilization of the front-end in FCS setup and the PhySec are given in Section  $V$  and  $VI$ , respectively.

<span id="page-1-3"></span>TABLE II SUBSTRATE PROPERTIES AND PCB PROCESS RESOLUTION

<b>Substrate</b>			<b>Process</b>				
Relative permitivity	3.66		Metal $\&$ gap resolution	$0.1 \,\mathrm{mm}$			
Substrate height	$254 \,\mathrm{\upmu m}$		Min. via diameter	$0.1 \,\mathrm{mm}$			
Metallization	$35 \,\mathrm{\upmu m}$		Min. via pitch	$0.35 \,\mathrm{mm}$			
Loss tangent	0.0021		Min. via pad diameter	$0.3 \,\mathrm{mm}$			

# <span id="page-1-0"></span>II. DESIGN CRITERIA AND TECHNOLOGY CHOICE

Wireless channel reciprocity, which is a prerequisite for CRKG, can not be ensured in a multipath environment if a transceiver's Tx and Rx antennas are more than half a wavelength apart [\[20\]. I](#page-11-19)t has been demonstrated by the FCS results in Figure [1](#page-1-1) generated by separate Tx and Rx antennas reported by the authors in [\[50\]. S](#page-12-18)eparate antennas transmit and receive the waves in different paths in a multipath environment. Therefore, an antenna duplexer is required to route Tx and Rx signals through a single antenna and wireless path. To the best of the authors' knowledge, this feature is unavailable in COTS mmWave front-ends and unaddressed in contemporary research as summarized in Table [I.](#page-1-2)

# *A. Design Technology*

<span id="page-1-11"></span><span id="page-1-7"></span>The choice of components is critical for the application-specific utilization of COTS chipsets. The 60 GHz transceiver chipset is selected from the "Infineon BGT" chipset family for its inbuilt Tx and Rx chains. This chipset has been used by the authors before for a comparably simple front-end design [\[50\].](#page-12-18) However, its downside in the current application is non-duplexed (separate) Tx and Rx antennas. This work presents and utilizes the additional building blocks, necessary for single antenna operation. Therefore, the architecture differs from [\[50\]](#page-12-18) in the radio frequency (RF) chain, which fetches the 60 GHz signal between the chip and the antenna. At mmWave frequencies, ferrite-based circulators are not available for antenna duplexing, while planar quasi-circulators provide load-dependent isolation with high insertion loss [\[51\]. T](#page-12-30)he alternative option is an antenna switch, used in this work, providing time-division-based antenna-duplexing. A single pole double throw (SPDT) MACOM-MA4AGSW2 PIN-diode switch is selected for its bandwidth coverage. The switch die requires bondwire connectivity and a DC biasing network. Based on the requirements, a simplified block diagram of the front-end is presented in Figure [2.](#page-2-1) The planar integration of the building blocks is accomplished using PCT for its economical and rapid prototyping. However, mmWave circuits on printed circuit boards (PCB) need particular attention due to layout resolution cap, higher manufacturing tolerance, and substrate parameters' deviation, as discussed in [\[50\].](#page-12-18) The substrate and process properties are given in Table [II.](#page-1-3)

#### <span id="page-1-9"></span><span id="page-1-4"></span>*B. Measurement Setup*

The designed PCB blocks are characterized by probing with  $400 \mu$  m pitch ground-signal-ground (GSG) probes and Rohde & Schwarz ZVA67 4-port vector network analyzer (VNA). A probe launch-pad is designed for probe contact to

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<span id="page-2-1"></span>

Fig. 2. System block diagram. Transceiver chipset is shown with green border.

<span id="page-2-2"></span>

Fig. 3. On-substrate (a) collinear and transverse thru standards for 3-port calibration and (b) calibration verification.

on-substrate thru-reflect-line (TRL) calibration standard. The integration stages of our front-end requires several three-port RF structures requiring compatible calibration. The third port on the probe station is only possible at a transverse angle to two collinear ports introducing non-uniform delays between collinear and transverse lengths due to an additional bend, as notable in Figure  $3a$ . It disturbs the reference plane during the calibration and a solution for this problem is not provided by the commercial calibration substrates and software. A postcalibration measurement of the thru standards is plotted in Figure [3b](#page-2-2) showing 0.6 dB ( $\pm$ 0.3 dB) of calibration deviation. Delay compensation through EM simulations or approximating equations may require several manufacturing iterations. The innovative technique applied in this work is introducing a  $45°$  bend in the probe launch pad  $[52]$ . In this way, collinear and transverse thrus and lines undergo equal bends, as shown in Figure [4a](#page-2-3) and [4b.](#page-2-3) Measurement of thru standards after the proposed 3-port TRL calibration is plotted in Figure [4c](#page-2-3) showing relatively flat behavior. The curve deviation from 0 dB is  $\leq$  0.1 dB which is an acceptable calibration error due to manufacturing variations and known challenges with probe contact repeatability.

# III. BUILDING BLOCKS

# <span id="page-2-0"></span>*A. Balun*

This work utilizes the wideband double-edge coupled Marc-hand balun configuration from [\[47\],](#page-12-27) shown in Figure [5a.](#page-2-4) A fine-tuning of the layout and bondwire loop profiles is performed to obtain a flat amplitude response over the

<span id="page-2-3"></span>

Fig. 4. (a & b) Proposed on-substrate thru standards with  $45^{\circ}$  bended probe launch-pad and (c) calibration verification.

<span id="page-2-4"></span>

Fig. 5. Marchand balun layout and etching impact analysis.

selected bandwidth 58 – 64 GHz. This work also includes a simulation-based performance analysis of the design toward manufacturing process tolerance to ensure its performance with the low-cost PCB processing. A 10 dB matched input response with amplitude imbalance <1 dB is verified for an over-etching of up to  $30\%$ , as plotted in Figure  $5b$ , indicating the balun can be used in the intended system with standard PCB process.

# *B. Bias Feed*

<span id="page-2-5"></span>In this work, the design from  $[48]$  is validated through laboratory measurements and then utilized. For this purpose, the bias-tee prototype has been remanufactured with 45◦ bends in probe launch pads (see Figure [6\)](#page-3-0) and 3-port RF-probing setup is used from Section  $II-B$ . Measurement curves in Figure [6b](#page-3-0) largely agree with simulation results. However, the proximity of the probes impacts the measured isolation level because a minor over-the-air coupling affects these low decibel scale values. Nevertheless, measured DC-to-RF isolation is >30 dB over the bandwidth of use, sufficient for our application.

# *C. Bondwire Interconnects*

The switch die (MACOM-MA4AGSW2) requires bondwire connectivity. To evaluate the bondwire parasitics, we utilize the lump element model presented in [\[49\], w](#page-12-29)hich is then used as a seed for matching network design, notable in Figure [7a,](#page-3-1) and its 70

<span id="page-3-0"></span>

 $\Omega$ 

(a) Manufactured

<span id="page-3-1"></span>Fig. 6. 60 GHz DC-blocking bias-tee (a) prototype and (b) results.



(a) Two stage LC network for bondwire parasitics' compensation.



Fig. 7. Bondwire matching network (a) design, (b) simulation resutls and (c) layout implementation.

<span id="page-3-2"></span>

Fig. 8. (a) Unmatched, (b) matched prototype for PCB-to-PCB bondwire and (c) their measured response. Each curve represents one matched prototype.

<span id="page-3-6"></span>response plotted with dash curves in Figure [7b.](#page-3-1) Its layout realization (depicted in Figure [7c\)](#page-3-1) is performed in planar lumped fashion by exploiting narrow traces' inductance and large traces' ground capacitance [\[53\]. H](#page-12-32)owever, the downside of the planar-lumped approach is the presence of parasitic inductance and capacitance in capacitive and inductive traces, respectively. It reduces the matching on the layout level, as notable from EM layout simulation results given in Figure [7b](#page-3-1) using solid curves.

For laboratory validation, PCB-to-PCB matched bondwire interconnects are designed as presented in Figure [8.](#page-3-2) In this

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<span id="page-3-3"></span>

<span id="page-3-4"></span>Fig. 9. (a) Matched chip-to-PCB bondwire interconnects and (b) measured input reflection coefficient  $(\Gamma$ in) and insertion loss (I.L.).







Fig. 10. Antenna-switching duplexer (a) block diagram, (b) layout, and (c) manufactured prototype.

work we have verified the repeatability of the technique by developing several PCB-to-PCB prototypes, each containing a natural variation in the bondwire loop profile. Prototypes are bonded with  $25 \mu$  m gold wires using a semi-automatic bonder "TPT HB-16". Figure [8c](#page-3-2) shows the measured response of various prototypes. It is noticeable that the general behavior of all curves is the same and 10 dB of input matching is obtained for over 10 GHz bandwidth for the least performing interconnect. Finally, chip-to-PCB interconnects are verified by bonding the switch die to biasing networks through bondwires, without and with bondwire matching networks as visible in Figure [9.](#page-3-3) The plotted response verifies the interconnect performance. The insertion loss includes a pair of bias-tees, bondwires, and on-chip passive circuitry.

# <span id="page-3-5"></span>*D. Antenna Duplexer*

Using the aforementioned RF components, an antenna duplexer is manufactured and validated before integrating into the system. Figure [10a](#page-3-4) indicates the required blocks. MADR007097 chipset is utilized for the biasing generation. Switching action is performed through the control voltage of the driver chip, i.e., 0 or  $+5$  V. The zoom-in of the layout and a manufactured prototype are also shown in Figure [10.](#page-3-4) P1 (antenna port) is switched between P2 (Tx port) or P3 (Rx port), depending on the control voltage. Measurements are

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<span id="page-4-1"></span>

Fig. 11. Measured results by 3-port probing of the antenna duplexer.

taken using simultaneous three-port probing and switching the control voltage to validate both transmission paths.

Measurement results are plotted in Figure [11.](#page-4-1) Insertion loss of 5 dB is noted for each transmission path, including insertion loss of two bias-tees, two bondwire matchings, and a passive on-chip network. The disconnected port remains isolated from the antenna by  $> 20$  dB. The input reflection coefficient at the connected ports remains below −10 dB for 52 – 67 GHz in both transmission paths. The isolated port reflects the input signal as noticeable from reflection coefficient curves. Leakage from Tx to Rx port is plotted with solid black curves, showing isolation better than 30 dB. Both transmission paths show similar curve trends verifying circuits' symmetry and equipment calibration.

# <span id="page-4-0"></span>IV. SYSTEM INTEGRATION AND CHARACTERIZATION

The interconnection of all RF blocks of Figure [2](#page-2-1) forms a Y-shaped chain with a switch chip in the center, as depicted in Figure [12a.](#page-4-2) A photograph of the complete front-end is visible in Figure [12b.](#page-4-2) Baluns in the upper two arms of the Y-shaped chain connect to differential Tx and Rx ports of the mmWave chipset. Interestingly, both upper arms do not need DC-blocks (unlike the duplexer prototype in Section [III-D\)](#page-3-5) because the designed baluns already provide a DC-blocking function. The upper arm becomes a 4-port network, as shown in Figure [13](#page-4-3) along with the simulation results. It has an insertion loss of almost 4 dB from each RF port to the choke output with DC/RF isolation  $> 30$  dB. On-substrate probing of this structure is not possible without modifying the structure shape, hence, only the simulation results are given here.

A DC-block is deployed in the lower arm to allow interfacing lab equipment or active antenna systems. The lower arm is common for Tx and Rx chain ending in the landing pad of Rosenberger 08K80A-40ML5 1.85mm V-band connector for planar-to-coaxial transition. The discussed blocks are

<span id="page-4-2"></span>



(a) RF-chain zoom-in

(b) A photograph of the complete front-end. Size : 21 cm  $\times$  11 cm.

#### Fig. 12. Developed 60 GHz frontend.

<span id="page-4-3"></span>

Fig. 13. (a) Upper arm of the RF-chain and (b) the simulation response.

integrated on 4 layered PCB stack up with Isola "I-Tera MT" prepregs and FR4 core. This PCB module is named as RFboard and is the middle one of the three boards visible in Figure [12b.](#page-4-2) It further contains switch driving circuitry, baluns for Tx intermediate frequency (IF) inputs, and a power supply.

A frequency synthesizer board is developed using an ADF4175 phase-frequency detector chipset, as explained in [\[50\].](#page-12-18) The board is redesigned for interfacing with the RF-board and is visible in Figure [12b](#page-4-2) as the top one of the three boards. A third PCB module is developed to buffer and amplify receiver IF output using Texas Instruments THS4509 fully differential amplifiers. The modular realization facilitates individual characterization and troubleshooting. PCB modules are connected with SMA connectors and ribbon cables for IF and digital signaling, respectively. The front end is equipped with an off-the-shelf 23 dBi SAR-2309-15-S2 horn antenna from Sage Millimeter, Inc. Figure [12b](#page-4-2) presents a photograph of the front-end.

The developed front-end can reconfigure its system parameters e.g. frequency, output power, switching between transmitter and receiver, I/Q modulation calibration, and local oscillator (LO) switching during run time through a command line user interface (UI) with defined commands. In addition, the loop filter bandwidth can be adjusted on the hardware accessible on the PCB. The microcontroller can be reprogrammed to implement complex procedures and algorithms in the front-end. Furthermore, a universal asynchronous

<span id="page-5-0"></span>

Fig. 14. Measured LO phase noise of the front-end.

transmit-receive (UART) port is provided which can be used to control the front-end externally, e.g., for agent-mode operation in a system of devices. To the best of the authors' knowledge, this front-end outstands the existing COTS and published designs at 60 GHz in terms of the degree of reconfigurability. The presented modular architecture also supports future expension opportunities, e.g., equipping it with a passive beamformer module controlled through the existing digital control circuitry, etc.

# *A. LO Generation*

Generated LO purity is characterized by Rohde & Schwarz FSW67 spectrum analyzer (SA) for various LO frequencies generated by reconfiguring the frequency divider in the ADF4157 chipset. Measured phase noise for minimum, center, and maximum LO frequencies is plotted in Figure [14](#page-5-0) showing approximately −100 dBc/Hz double sideband noise at 1 MHz carrier offset.

# *B. Front-End as Transmitter*

In Tx mode, the front-end performs quadrature upconversion of the applied I and Q signals for a maximum IF of 500 MHz recommended by the chipset in use. An up-converted spectrum is presented in Figure [15a](#page-5-1) as an example. Carrier suppression is achieved by adjusting the mixer current, while lower sideband (LSB) suppression is done through I-to-Q phase adjustment of the applied IF signals on the cost of an additional harmonic component at  $L O + 2IF$ . Nevertheless, the harmonic remains 25 dB weaker than the upper sideband (USB). In contrast to Ref. [\[50\], t](#page-12-18)he spectrum does not contain any undesired frequency components due to separating the RF routing on the PCB from digital and IF signals. Tx-chain gain is measured using Rohde & Schwarz ZVA67 VNA. One port of the VNA generates sweeping IF input, and the other measures the upconverted output, while the front-end generates LO internally. Figure [15b](#page-5-1) presents Tx gain for swept IF with various LO frequencies showing a LO dependency of gain.

Digital modulation is demonstrated by quadrature upconversion of two baseband data waveforms generated by the Anritsu 3710A vector signal generator (VSG). From VSG operational limits, waveforms are generated at 200 MHz IF,

<span id="page-5-1"></span>

Fig. 15. Measured characteristics of the Tx-chain.

<span id="page-5-2"></span>

Fig. 16. (a) Modulated signal spectrum at 60 GHz (captured with SA resolution BW of 3 MHz) and (b) IQ-constellation as on the DSA screen.

each carrying 50 Mbps data. Figure [16a](#page-5-2) shows the upconverted output spectrum of a 100 Mbps quadrature phase shift keying (QPSK) signal. The modulated signal is analyzed by Agilent DSA90804A digital signal analyzer (DSA) supported by Agilent 89600VSA software. Figure [16b](#page-5-2) shows the recovered IQ constellation. The DSA reports an error vector magnitude (EVM) of 9.2 %rms.

# *C. Front-End as Receiver*

In Rx mode, the front-end performs quadrature downconversion of the RF signal and outputs the IF I and Q components. The chipset supports maximum input power of 0 dBm and a signal bandwidth of 500 MHz. The input matching of the receiver chain is measured with a VNA, which is better than  $-13$  dB for overall working bandwidth as presented by Figure [17a.](#page-6-1) Figure [17b](#page-6-1) presents an example of downconverted waveforms for RF input frequency higher than LO, producing  $IF = RF - LO$ . Rx-chain gain is measured

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(a) Input matching of the receiver chain.



(b) Frequency down-converted I and Q components with  $RFin = 60.5$  GHz and  $LO = 60$  GHz.



<span id="page-6-2"></span>Fig. 17. Measured characteristics of the Rx-chain.



Fig. 18. LO residual at the receiver port.

using the same setup explained for Tx-gain, which is plotted in Figure [17c.](#page-6-1) The LO residual at the RF input port is measured using SA and manually varying LO from the user interface, plotted in Figure  $18$  and noted  $<-50$  dBm.

The receiver chain is further used for digital data demodulation. Due to the unavailability of 60 GHz VSG in the authors' lab, two front-end units are connected back to back through their RF ports, one operated as a modulator, as explained earlier, producing 100 Mbps QPSK signal at 60 GHz. The receiving front-end downconverts the received signal to 200 MHz which DSA then analyzes. Automatic clock recovery and equalization of the DSA are used, reporting the

<span id="page-6-3"></span>

Fig. 19. Recovered eye-diagram for I-component from the down-converted signal, as shown on DSA screen.

EVM of 9.9 %rms. As an example, the recovered eye diagram of the I channel is plotted here in Figure [19.](#page-6-3)

# V. APPLICATION AS CHANNEL SOUNDER

<span id="page-6-0"></span>Two front-end units are employed in round-trip (forward and reverse channel) FCS within 58 – 64 GHz. Red Pitaya STEMlab 125-14, an economical COTS digital signal processor (DSP), is used for IF generation, baseband processing and synchronization. It has two Tx and two Rx channels with 14-bit 125 MS/s digital-to-analog converters (DACs) and analog-to-digital converters (ADCs) supporting signals up to 50 MHz.

There can be two strategies for round-trip channel sounding: i) Sounding the forward channel with a frequency sweep followed by the reverse channel, here referred to as continual sweep. ii) round-trip sounding of one frequency point before moving to the next, here referred to as alternating sweep. The command flow of both schemes is given in Figure [20.](#page-7-0) Continual sweep reduces the synchronization command overhead for rapid switching of the front-end between Tx and Rx operation; hence, completing the process faster. On the other hand, an alternating sweep reduces the time delay between the forward and reverse sounding of each frequency point and preserves the channel reciprocity in varying channels. Since the target application is CRKG, therefore alternating sweep sounding is considered in this work.

# *A. Sounding Process*

Two Tx channels of the Redpitaya generate I and Q IF signals. 20 MHz is selected for IF as experiments show a better voltage accuracy of Redpitaya DAC and ADC at this frequency. LO frequency of the front-ends is tuned 20 MHz lower than required to use USB after up-conversion. LSB suppression is achieved by IF I-to-Q phase adjustment in the Redpitaya source code. LSB suppression is necessary as it acts as an image frequency for the receiver LO, adding a response of a channel 40 MHz away from the desired. Redpitaya sends a 16-bit command through UART connection to both units specifying the next frequency point for sounding and assigning each unit Tx or Rx operation. Implemented frequency sweep resolution is 1 MHz. The front-ends can support more refined resolutions; however, lengthy UART commands are required to specify values in kilohertz.

Redpitaya generates a frequency sweep by regularly sending UART commands to front-end units to increase the LO

<span id="page-7-0"></span>

<span id="page-7-1"></span>Fig. 20. Command flow for (a) continual sweep and (b) alternating sweep.



Fig. 21. Frequency sweep generated by the front-end and captured by SA.

frequency in the defined step. Figure [21](#page-7-1) shows an example of a frequency sweep captured by SA with a relatively larger (15 MHz) step size for plot clarity. It is difficult for SA to capture a sweeping signal perfectly as it also works on the sweeping principle. Therefore the plot shows some missing frequency points. This sweep signal is transmitted over the wireless channel and received by the synchronized Rx frontend unit. RF wave at each frequency point is down-converted to a 20 MHz IF wave carrying path loss information of that RF. Figure [22](#page-7-2) shows signals downconverted to 20 MHz for various RF input powers. The ADC of the Redpitaya samples the IF signal and calculates the signal power as

$$
P = \frac{1}{N} \sum_{n=1}^{N} |x(n)|^2
$$
 (1)

where *x* is the value of  $n^{th}$  sample and *N* is the sample space, 16384 maximum for Redpitaya. Path loss for each frequency point is stored in CSV format.

# *B. Assembly and Calibration*

A single Redpitaya feeds I and Q IFs to both units as depicted in Figure [23.](#page-7-3) However, only the Tx unit uses the IF. The Rx unit downconverts the received 60 GHz signal to IF I and Q components. Only I component is used for further processing because both I and Q are affected by path loss similarly. Using both I and Q components can of course

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<span id="page-7-2"></span>

<span id="page-7-3"></span>Fig. 22. Downconverted I (black) and Q (red) waveforms for different RF input power levels captured using digital oscilloscope.



Fig. 23. Channel sounder setup using two 60 GHz front-end units, a Redpitaya STEMlab and a computer terminal.

<span id="page-7-4"></span>

Fig. 24. (a) Photograph of the front-end with EMI shielding. (b) Round-trip channel sounding results with and without EMI shielding.

increase system performance against noise but requires an advanced DSP kit with four Rx channels. Both units share the UART connection to receive the commands simultaneously.

Experiments show EM interference distorting the channel response when Tx signal hits bare boards of the Rx unit, coupling the energy into PCB traces, bondwires, and dies. It introduces the mismatch between forward and reverse channel response, e.g., as plotted in Figure [24.](#page-7-4) To mitigate this problem, the PCBs are enclosed between two metal plates.

For setup calibration, the frequency response of the front-ends is determined by link-level measurements of a lineof-sight (LOS) link inside an anechoic chamber. The measured result consists of the hardware response as well as the LOS path loss. Since the latter is already known theoretically, the hardware response can be determined for all frequency points which is the error magnitude in the measurements. The

<span id="page-8-1"></span>UMAR et al.: 60-GHz ANTENNA-DUPLEXED MODULAR FRONT-END 9





(b) Theoratical vs. measured LOS response with 1.5 m distance.

Fig. 25. Channel sounder calibration (a) setup and (b) result.

measurement result taken inside an anechoic chamber using 23 dBi antennas on each side over a 1.5 m LOS link is plotted in Figure [25](#page-8-1) against the theoretical value of the path loss for the same distance, including additional oxygen absorption in the 60 GHz band. The deviation between the two curves provides the hardware response that will be subtracted from each channel measurement.

# *C. Short Distance Channel Sounding*

The channel sounder setup can round-trip FCS within 58 to 64 GHz band with a maximum 1 MHz resolution. A commandline UI is designed to input the start, stop, and resolution frequency, shown in Figure [26.](#page-8-2) The output of the process is amplitude-frequency response of the forward and reverse channels made available in csv file format. The hardware has been used to measure indoor channels up to 5.5 m distance. Measured channels include LOS, reflected and multipath environments created by metal sheets, and a random assembly of Teflon rods in the free space.

A few examples of the measured amplitude frequency response by channel-sounder are given in Figure [27.](#page-10-0) The measurements show a high level of agreement in the forward and reverse channel response, which indicates that the hardware ensures the channel reciprocity at 60 GHz, in contrast to the sounding results obtained with separate antennas for transmission and reception on a single node, as plotted in Figure [1.](#page-1-1) Unlike the previously reported channel-sounding setups, this setup does not require bulky lab equipment or COTS modules as compared in Table [III.](#page-9-0) Except for reference  $[8]$ ,  $[9]$ , and [\[10\], w](#page-11-9)ho completely rely on lab equipment, the swept bandwidth of our hardware is higher than all the previously reported setups which incorporated customized circuits with a VNA or PXI system. The published channel sounding campaigns report an unambiguous time range of 200 ns being enough for reliable indoor channel characterizations [\[18\]; th](#page-11-17)is setup allows a five-fold better time range. Moreover, it fairly competes

<span id="page-8-2"></span>

Fig. 26. Screen shot of the command line interface of channel sounder.

with the other references for frequency resolution despite their exploitation of VNAs for sweep generation. Furthermore, the presented channel sounder has comparatively the smallest form factor and is unique in terms of permitting round-trip sounding. To the best of the authors' knowledge, this work is the first contribution towards frequency-swept round-trip mmWave channel sounding while preserving channel reciprocity. This feature makes this front-end compatible with the applications depending on round-trip channel reciprocity, e.g., reciprocitybased MIMO channel training and CRKG, etc., which are still demonstrated on classical centimeter-wave frequency bands.

# VI. PHYSICAL LAYER SECURITY

<span id="page-8-0"></span>One use-case for the channel sounder described in Section [V](#page-6-0) is the PhySec approach of key generation out of channel measurement data, which will be detailed in this section. This approach can complement the security architecture of wireless systems by adding a safe and easy way to provide encryption keys. In combination with the channel sounder now reasonably-priced investigations could be carried out, which were not feasible up to now.

#### *A. Scenario and System Model*

We consider a wireless communication system where two legitimate transceivers, Alice and Bob, generate the secret key based on their common source of randomness, which is the channel characteristics between them, acquired through the channel sounder explained in the previous chapters. Assuming Eve is a passive eavesdropper, she might overhear the measurement procedure without being noticed. However, there is no realistic scenario for Eve to estimate the channel characteristics between Alice and Bob correctly because due to the wavelength of a few millimeters only, she will overhear the transmission through an uncorrelated channel in a multipath environement  $[20]$ . This scenario is known as the source-type or source model introduced in [\[55\]](#page-12-33) and [\[56\].](#page-12-34)

# <span id="page-8-4"></span><span id="page-8-3"></span>*B. Bit Extraction*

Raw data for key generation is determined by the channel sounder mentioned above, which will execute channel measurements bi-directional in this case. Despite the channel's reciprocity, Alice and Bob's measurement results contain variation due to noise, hardware variation, and possible interference (e.g., see Figure [27\)](#page-10-0), resulting in an unidentical bit sequence at Alice's and Bob's sides. To ease the following information reconciliation phase, minimizing the number of

<span id="page-9-0"></span>

Ref.	(GHz)	<b>Bandwidth</b>	Freq. Res. (MHz)	$\tau_{res}{}^*$ (ns)	${\tau_{amb}}^{**}$ (µs)	Range (m)	Cal.	<b>Hardware</b> (portability)	Sounding mode / Ch. reciprocity
[8]	35	$175.0 - 110.01$		0.02		3.6	Thru	$VNA+Ext./(no)$	One way/ $(no)$
[9]	20	[90 – 110]	3.3	0.05	0.30	2.25	Thru	$VNA+Ext./(no)$	One way/ $(no)$
$[10]$	15	$[3 - 18]$	0.5	0.06	2	53	Thru	PXI system $/$ (no)	One way/ $(no)$
[54]	6	$[58.0 - 64.0]$	Chirp	0.16	$\equiv$	38	Anechoic	Coax modular / (yes)	One way/ $(no)$
$\overline{50}$	6	$[58.0 - 64.0]$		0.16		5.5	Thru	PCB modular / (yes)	Round trip/ (no)
$[11]$	4	$[26 - 30]$	2.6	0.25	2.6	41	Thru	VNA / (no)	One way/ $(no)$
$[12]$	3.5	$[60.5 - 64.0]$		0.28	0.14	4.3	N.A.	$VNA+synth./$ (no)	One way/ $(no)$
[13]	3.5	$[26.5 - 30]$	0.5	0.28	2	46	Anechoic	VNA+optical/ (no)	One way/ $(no)$
$[14]$	$\overline{2}$	159 – 611	5.	0.5	0.2	6		VNA/(no)	One way/ $(no)$
$[15]$	$\overline{2}$	$[57.0 - 59.0]$	2.5	0.5	0.4	12	Anechoic	$VNA + mixer/$ (no)	One way/ $(no)$
[16]	$\overline{2}$	$[28 - 30]$	$\overline{2}$	0.5	0.5	43	Thru	VNA+optical/ (no)	One way/ $(no)$
[17]		[59.6 – 60.61	0.1		10	>100	Thru	$PXI$ system/ $(no)$	One way/ $(no)$
[18]		$[63.4 - 64.4]$	0.625		1.6	50	Anechoic	$VNA + mixer/$ (no)	One way/ $(no)$
This work	6	[58 – 64]		0.16		5.5	Anechoic	PCB modular/ (yes)	Round trip/ (YES)

TABLE III STATE-OF-THE-ART SETUPS FOR FCS IN MMWAVE BANDS

 $*_{\tau_{res}}$  is the equivalent multipath resolution,  $*_{\tau_{amb}}$  is the equivalent unambiguous time range

non-identical bits by optimal design of the bit extraction procedure is important. The bit extraction we implemented is based on a proposal of the project partners from IHP Frankfurt Oder [\[57\]. T](#page-12-35)he algorithm consists mainly of four steps:

- <span id="page-9-1"></span>1) Averaging: An (optional) smoothing of measured results through a sliding window of configurable size. The number of samples for further processing is reduced.
- 2) Partitioning: The whole channel response is partitioned in sections of configurable size, illustrated in Figure [28.](#page-10-1)
- 3) Mean: Within each section, the mean value is calculated, in Figure [28](#page-10-1) shown as black line. All values above the mean are interpreted as "1", and all values below as "0".
- 4) Guard interval: Around the mean value in each section, a guard interval can be defined, shown as blue bar in Figure [28.](#page-10-1) All values within that guard interval can be either discarded (along with the transmission of their index to the opposite side) or randomly substituted by equally distributed "0" and "1".

Extracted bits are combined into a single bit-sequence for information reconciliation. The number of these bits might be fixed for all measurement cycles or varying, depending on the options chosen.

## *C. Information Reconciliation*

The information reconciliation, i.e., the elimination of the remaining differences in the bit sequences extracted by Alice and Bob, consists of three steps:

- 1) Parity 1: The bits are parted in small groups of adjustable size. The parity is calculated for each group and transmitted to the opposite side. Only the groups with identical parity are kept. For each kept group, one bit is eliminated to avoid information disclosing to Eve.
- 2) Parity 2: Optionally, there can be a second parity round by choosing a different group size.
- 3) Error correction: The remaining errors in the bit sequences at Alice's and Bob's sides are corrected utilizing a BCH code. An appropriate code can be generated depending on the remaining bit number, and the error correction capability can be set as a parameter. Alice then interprets the bit sequence as a code word, which

can be decoded. The syndrom calculated in the decoding process is transmitted to Bob, who now can also decode a code word. The decoding will be successful as long as the error correction capability is chosen well, i.e., at least the number of remaining errors in the bit sequence. After decoding the code words, the information bits are the key bits we generated out of the channel amplitude frequency response.

A cryptographic hash function can verify the identity of the generated bit sequences at Alice's and Bob's sides. Those functions are non-reversible; Eve cannot calculate any key information from an overheard hash information. Identical key bits are stored; an encryption function might use as many bits as necessary.

# *D. Demonstration and Results*

The key-generator UI is shown in Figure [29.](#page-10-2) The input values are limited to the following values, estimated from tests:

- 1) Average: 3 to 7
- 2) Mean period: 6, 12, 24, 36, 48
- 3) Guard interval: 0 to 20
- 4) Parity 1 / Parity 2: 2 to 10
- 5) Error correction capability: 10 to 70

The UI connects to the Red Pitaya and can reconfigure the channel sounder hardware by:

- 1) start frequency: in steps of 500 MHz
- 2) resolution: 1/2/5/10/20 MHz
- 3) number of samples: 100/200/500/1000/2000/../6000

For the used platform, no real communication is necessary within the demonstrator script between Alice and Bob from an algorithmic point of view. Where required, Alice and Bob can easily be separated with a communication protocol for bit-sequence reconciliation. Every measurement for channel amplitude frequency response is processed up to the final key bits; the remaining bits in the last BCH step are kept and included in the next run.

After verifying the identity of the bit sequences at Alice's and Bob's sides, the first 40 bits are shown in the UI to have an optical impression of the results. The whole sequence is

<span id="page-10-0"></span>



<span id="page-10-1"></span>Fig. 27. Round-trip FCS results in various indoor environments.



Fig. 28. Principle of bit extraction.

stored for further analysis. With the integration of hardware and software, the maximum bandwidth (6 GHz) and resolution (1 MHz) generate, on average, 584 key bits per measured channel response, sufficient to be used in a commercial system.

<span id="page-10-2"></span>

Fig. 29. PhySec demonstrator's user interface.

<span id="page-10-3"></span>

Fig. 30. Two-way channel sounding results using the hardware introduced in [\[50\]](#page-12-18) in a multipath environment.

### *E. Discussion*

The ultimate key rate of the proposed CRKG approach strongly depends on the level of agreement between forward and reverse channel measurements, i.e., Alice's and Bob's measurements. Since the wavelength of the RF system is a few millimeters only, the separate transmit and receive antennas at each node will result in a deviation between Alice's and Bob's measurement results. To elaborate on the situation, the exemplar two-way channel sounding results are presented in Figure [30,](#page-10-3) taken through the setup reported in [\[50\], w](#page-12-18)hich uses separate Tx and Rx antennas. It is noticeable through visual inspection that forward and reverse channels may have very different frequency responses in some parts of the spectrum. Anyway, we continued by applying the above-mentioned key generation algorithm to the collected channel response data:

- After the bit extraction, the guard interval is checked in Step B(4) to identify the values close to the mean value, for which, a slight deviation between Alice' and Bob's measurements would lead to different bit extraction. In the duplex-sounding case, that results in a slight deviation of values. In the un-duplexed case, due to the very different measurement results, the guard interval becomes very large and a lot of values have to be treated as lying inside the guard interval.
- If the values within the guard interval are skipped, the number of remaining bits (after Step B4), in some unduplexed sounding scenarios, is reduced by a factor of 3, while in some other scenarios even by a factor of 100, i.e., much less bits are remaining than in the duplexed case.
- If the values within the guard interval are filled randomly, it introduces more bit errors. This increased number of errors has to be corrected afterwards (Step C), which

decreases the final number of key bits substantially as well.

For a reasonable key rate, it is essential to use a single antenna for Tx and Rx on each transceiver node, thus enabling Alice and Bob to obtain channel measurement data as identical as possible. Based on such data only, one can generate a key rate as described in this paper, which seems applicable in a commercial encryption system.

Towards a real-time hardware implementation of CRKG, very few publication have been reported. Ref. [\[58\]](#page-12-36) reports it using a sub-6 GHz Universal Software Radio Peripheral (USRP) with an antenna-duplexed TRx interface. While [\[59\]](#page-12-37) uses a 60 GHz COTS hardware for LOS links without antenna duplexing; however, the flat LOS channel response will generate the same key bit sequence every time, which is undesirable in a commercial encryption system. Ref. [\[59\]](#page-12-37) does not demonstrate a use case in a multipath environment. To the best of the authors' knowledge, this work is the first report for a real-time CRKG demonstration using a millimeter wave band in a multipath indoor environment.

Not part of the project was the verification of the randomness of the generated bits. This point must be addressed for practical usage, even if the results so far look promising. If the randomness is not satisfactory after the generation of the bits, a privacy amplification (see, e.g.,  $[60]$ ) might be included in the algorithm to randomize the generated bits.

# <span id="page-11-22"></span>VII. CONCLUSION

Distinct propagation characteristics of mmWave channels call for channels sounding in each deployment scenario. When performed in the frequency domain, round-trip channel sounding becomes useful for CRKG, provided the wireless channel remains reciprocal. A single transmit-receive antenna system is a prerequisite to preserve channel reciprocity by routing forward and reverse propagation through the same path in a multipath environment. This work showcases that constructing such a channel-sounding front-end is possible at 60 GHz using COTS chipsets and custom-designed planar RF blocks, e.g., Marchand balun and biasing networks. Bondwire interconnections have a primary role in the modular integration of RF blocks and require special attention for parasitics compensation networks. An antenna duplexing is made possible through an RF switch which enables transmission and reception through a single antenna, preserving the channel reciprocity. The reported front-end can transmit and receive digital data in half-duplex mode and supports indoor round-trip channel sounding within 58-64 GHz with 1 MHz resolution. FCS campaigns in various indoor multipath environments show that the reciprocal amplitude-frequency response of the channel is achieved between two transceiver units, usable for PhySec implementation. An encryption key generation algorithm extracts key bits from the measured channel response and reconciles it with the other legitimate transceiver. CRKG is successfully tested at 60 GHz, enabling real-time parameters estimation for PhySec, e.g., mean period, guard interval, parity, and error correction capability. The developed system can serve as a platform for advancements

of PhySec algorithms and hardware, e.g., privacy amplification protocols and a pathway towards multi-carrier mmWave frontends.

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