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Enhanced Cross Z-Complementary Set and Its Application in Generalized Spatial Modulation

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ABSTRACT Generalized spatial modulation (GSM) is a novel multiple-antenna technique offering flexibility among spectral efficiency, energy efficiency, and the cost of RF chains. In this paper, a novel class of sequence sets, called enhanced cross Z-complementary set (E-CZCS), is proposed for efficient and feasible training sequence design in broadband GSM systems. Specifically, an E-CZCS consists of multiple CZCSs possessing front-end and tail-end zero-correlation zones (ZCZs), whereby any two distinct CZCSs have a tail-end ZCZ when a novel type of cross-channel aperiodic correlation sums is considered. The theoretical upper bound on the ZCZ width is first derived, upon which E-CZCSs with maximum ZCZ width and flexible parameters are constructed. For optimal channel estimation over frequency-selective channels, we introduce and evaluate a novel GSM training framework employing the proposed E-CZCSs. Numerical results demonstrate that the proposed E-CZCS-based training can achieve the minimum channel estimation mean square error (MSE) and outperform other classes of sequences.

INDEX TERMS Enhanced cross Z-complementary set (E-CZCS), cross Z-complementary set (CZCS), generalized spatial modulation (GSM), zero correlation zone (ZCZ), generalized Boolean function (GBF), training sequence.

I. INTRODUCTION

A. BACKGROUND

GOLAY complementary pair (GCP), found by Marcel J. E. Golay in the middle of the 20th century, is characterized by the property that the aperiodic autocorrelation sum of the two constituent sequences is zero at every non-zero time-shift [1]. In 1972, Tseng and Liu extended the concept of GCP to Golay complementary set (GCS), each consisting of more than two constituent sequences [2]. Additionally, a collection of GCSs was introduced, called the mutually orthogonal complementary set (MOCS), where any two distinct GCSs in an MOCS

have zero aperiodic cross-correlation sums for all timeshifts. In [3], complete complementary code (CCC) was introduced as optimal MOCS with the maximum set size. Owing to these ideal autocorrelation and cross-correlation properties, complementary pairs/sets of sequences have been employed in many communications applications including synchronization [4], channel estimation [5], [6], interference suppression [7], [8], [9], [10], [11], peak-to-mean power control [12], [13], [14], [15], [16], cell search [17], and MIMO radar [18], [19]. As a generalization of MOCSs and CCCs, Z-complementary code set (ZCCS) having zero correlation zone (ZCZ) was proposed in [20].

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On the other hand, spatial modulation (SM) has received tremendous research attention in recent years as a novel multiple-antenna technique. In SM, there is only one radiofrequency (RF) chain, whereby one transmit antenna (TA) is activated at each time-slot [21], [22], [23], [24], [25], [26], [27]. Because of this, SM enjoys zero inter-antenna interference (IAI), lower energy consumption, and reduced transceiver complexity. For a long time, efficient channel estimation schemes for SM systems in frequency selective channels were missing. In 2020, Liu et al. proposed cross Z-complementary pair (CZCP) for optimal sparse training matrix design in broadband SM systems [28]. Several constructions of CZCPs with larger ZCZ widths and more flexible lengths have been proposed in [28], [29], [30], [31], [32], [33], [34], [35]. However, the ZCZ width of every CZCP is theoretically upper bounded by a half of its sequence length. In [36], [37], cross Z-complementary set (CZCS) which can tolerate larger delay spreads was developed. Recently, CZCSs with more flexible lengths were presented in [38].

Unlike SM, generalized spatial modulation (GSM) system has been proposed for a higher spectral efficiency as it allows two or more active TAs at the same time [39], [40], [41], [42], [43]. To be specific, the transmitter of a GSM system is equipped with a few RF chains less than the number of TAs. During each transmission, a GSM symbol is modulated using two information parts. The message bits of the first information part are used to select the antenna activation patterns, whereas the second part carries message bits for selecting specific constellation points over those activated TAs. Therefore, GSM provides an excellent tradeoff between the spectral efficiency and the cost of RF chains, while retaining most of the advantages of SM.

B. MOTIVATIONS AND CONTRIBUTIONS

Training sequence design for GSM is a more challenging task. First, dense training sequences designed for the traditional multiple-input multiple-output (MIMO) in [44], [45], [46] cannot be used since only a few GSM TAs are activated at each time-slot. Recently, symmetrical Zcomplementary code set (SZCCS) was proposed in [47] for GSM training design. It is noted that SZCCS is a subclass of ZCCSs with symmetric ZCZ properties for its autocorrelation and cross-correlation sums. However, the proposed GSM training framework in [47] has an additional overhead for IAI mitigation incurred by zero-padding. Consequently, their approach suffers from a reduced training efficiency. For more efficient training design, CZCP and its mates were proposed as the training sequences in GSM [48]. However, the utilization of CZCP mates is restricted to GSM systems equipped with two active TAs. It is desirable to develop a more feasible training design for GSM systems, not limited to two active TAs. Motivated by [36], [48], we aim to go beyond the CZCP mates and CZCS by introducing new sequence properties for more feasible training design in GSM.

In this paper, we propose a novel family of CZCSs, called enhanced cross Z-complementary set (E-CZCS), each consisting of multiple CZCSs and any two distinct constituent CZCSs possess the following two correlation properties: 1) the aperiodic correlation sums have a frontend ZCZ and a tail-end ZCZ; 2) there is a tail-end ZCZ when a special type of cross-channel aperiodic correlation sums is considered. More specifically, such a tail-end ZCZ is required for the cross-correlation sums between the *n*-th constituent sequence of one CZCS and the $(n + 1)_{mod N}$ th constituent sequence of the other, where N refers to the total number of constituent sequences. Therefore, these additional aperiodic cross-correlation properties between distinct constituent CZCSs can eliminate the IAI caused by the multipath propagation. The major contributions of this paper are summarized as follows:

- We extend the concept of CZCS to E-CZCS by incorporating the aforementioned cross-channel aperiodic ZCZ property. Additionally, we derive an upper bound on the width of the ZCZ.
- Two constructions of E-CZCSs are proposed. The first construction is based on MOCSs, CCCs, and ZCCSs. The second construction is based on generalized Boolean functions. Both constructions can generate binary E-CZCSs with maximum ZCZ width and various set sizes.
- We present a novel training framework employing the proposed E-CZCSs for broadband GSM systems. The proposed GSM training framework can achieve optimal channel estimation over frequency-selective channels. Both IAI and ISI can be eliminated, thanks to the unique correlation properties of the proposed E-CZCSs.
- Simulations show that the proposed E-CZCS-based training scheme can achieve the minimum channel estimation mean square error (MSE) and outperform other classes of sequences, such as SZCCSs, ZCCSs, and Zadoff-Chu sequences.

C. ORGANIZATION OF THIS PAPER

The rest of this paper is organized as follows. In Section II, we first introduce some necessary notations, definitions, and the GSM system. In Section III, we define the E-CZCS and its correlation properties, and then propose two constructions of E-CZCSs. Section IV describes the requirements for training design in the GSM system and proposes a novel training framework based on E-CZCSs. The performance comparison is provided in Section V. Finally, concluding remarks are drawn in Section VI.

II. PRELIMINARIES AND DEFINITIONS

First, we introduce some notations which are used throughout this paper.

A. NOTATIONS

• "*a*||*b*" denotes the concatenation of sequences *a* and *b*;

- "+" and "-" denote 1 and -1, respectively;
- $\xi_q = e^{2\pi j/q}$ is a primitive complex *q*th root of unity;
- X^* denotes the complex conjugate of the matrix X;
- X^T denotes the transpose of the matrix X;
- X^H denotes the Hermitian of the matrix X;
- $\lfloor \cdot \rfloor$ denotes the floor operation;
- (·)_{mod N} denotes the modulo operation with respect to a positive integer N;
- Tr(X) denotes the trace of the square matrix X;
- $X^{(L)}$ is the matrix where each row is the cyclic-shift (*L* elements to the right) of the corresponding row in *X*.

Let $s_0 = (s_{0,0}, s_{0,1}, \dots, s_{0,L-1})$ and $s_1 = (s_{1,0}, s_{1,1}, \dots, s_{1,L-1})$ denote two complex-valued sequences of length *L*. For any integer displacement *u*, the *aperiodic cross-correlation function* (ACCF) of s_0 and s_1 is defined as

$$\rho(\mathbf{s}_0, \mathbf{s}_1; u) = \begin{cases} \sum_{k=0}^{L-1-u} s_{0,k+u} s_{1,k}^*, & 0 \le u \le L-1; \\ \sum_{k=0}^{L-1+u} s_{0,k} s_{1,k-u}^*, & -L+1 \le u < 0. \end{cases}$$
(1)

When $s_0 = s_1$, the function $\rho(s_0, s_1; u) = \rho(s_0; u)$ is referred to as the *aperiodic autocorrelation function* (AACF) of s_0 . For periodic correlations, the *periodic cross-correlation function* (PCCF) of s_0 and s_1 at time-shift u is defined as

$$\phi(s_0, s_1; u) = \begin{cases} \sum_{k=0}^{L-1} s_{0,(k+u)_{\text{mod}\,L}} s_{1,k}^*, & 0 \le u \le L-1; \\ \sum_{L-1}^{L-1} s_{0,k} s_{1,(k-u)_{\text{mod}\,L}}^*, & -L+1 \le u < 0. \end{cases}$$
(2)

Accordingly, the *periodic autocorrelation function* (PACF) of s_0 is denoted by $\phi(s_0, s_0; u) = \phi(s_0; u)$.

Definition 1: For a set of N complex sequences $S = \{s_0, s_1, \ldots, s_{N-1}\}$ with length L, if

$$\phi(\mathbf{s}_i, \mathbf{s}_j; u) = \begin{cases} 0, \ 1 \le |u| \le Z, \ 0 \le i = j \le N - 1; \\ 0, \ |u| \le Z, \ 0 \le i \ne j \le N - 1, \end{cases}$$
(3)

then the set S is called an (N, L, Z)-ZCZ sequence set where Z is referred to as the width of ZCZ. The following lemma shows an upper bound among the parameters of the ZCZ sequence set.

Lemma 1 [49]: For an (N, L, Z)-ZCZ sequence set, there is a well-known theoretical upper bound, called *Tang-Fan-Matsufuji bound*, given as $Z \le L/N-1$. A ZCZ sequence set is said to be optimal if the Tang-Fan-Matsufuji bound with equality is achieved. However, for binary case, the upper bound on ZCZ width is conjectured to be $Z \le L/(2N)$.

Consider a set of M sequence sets $S = \{S^m | 0 \le m \le M-1\}$ where each constitute set $S^m = \{s_n^m | 0 \le n \le N-1\}$ consists of N sequences of length L. For $S^{m_1}, S^{m_2} \in S$ and $0 \le m_1, m_2 \le M-1$, we define

$$\rho(S^{m_1}, S^{m_2}; u) \triangleq \sum_{n=0}^{N-1} \rho(s_n^{m_1}, s_n^{m_2}; u).$$
(4)

Definition 2: A set of M sequence sets $S = \{S^m | 0 \le m \le M - 1\}$ is addressed as Z-complementary code set, denoted by (M, N, L, Z)-ZCCS, if

$$\rho(S^{m_1}, S^{m_2}; u) = \sum_{n=0}^{N-1} \rho(s_n^{m_1}, s_n^{m_2}; u) \\
= \begin{cases} NL, \ u = 0, m_1 = m_2; \\ 0, \ 0 < |u| < Z, m_1 = m_2; \\ 0, \ |u| < Z, m_1 \neq m_2 \end{cases}$$
(5)

where *M* is the set size, *N* is the number of sequences in each S^m , *L* is the sequence length, and *Z* is the width of ZCZ. For an (M, N, L, Z)-ZCCS, a theoretical upper bound on the set size is given as $M \le N \lfloor L/Z \rfloor$. An (M, N, L, Z)-ZCCS is called optimal if the equality is achieved.

When Z = L, the set S is referred to as a *mutually orthog*onal complementary set, denoted by (M, N, L)-MOCS. Specifically, each constituent sequence set S^m reduces to a GCS and any two GCSs in set S are mutually orthogonal. Likewise, the upper bound on the set size for an MOCS satisfies the inequality $M \le N \lfloor L/L \rfloor = N$. If M = N, the set S is called a *complete complementary code*, denoted by (M, L)-CCC.

Definition 3 [47]: A set of M sequence sets $S = \{S^m | 0 \le m \le M - 1\}$ is called a symmetrical Z-complementary code set, denoted by (M, N, L, Z)-SZCCS, if

$$\rho(S^{m_1}, S^{m_2}; u) = \begin{cases} \sum_{n=0}^{N-1} \rho(s_n^m; u) = 0, \\ \text{for } |u| \in \mathcal{T}_1 \cup \mathcal{T}_2, m_1 = m_2 = m; \\ \sum_{n=0}^{N-1} \rho(s_n^{m_1}, s_n^{m_2}; u) = 0, \\ \text{for } |u| \in \mathcal{T}_1 \cup \mathcal{T}_2 \cup \{0\}, m_1 \neq m_2 \end{cases}$$
(6)

where $T_1 \triangleq \{1, 2, ..., Z\}$ and $T_2 \triangleq \{L - Z, L - Z + 1, ..., L - 1\}.$

B. GENERALIZED BOOLEAN FUNCTIONS

Let f be a function of $m \mathbb{Z}_2$ -valued variables x_1, x_2, \ldots, x_m mapping from \mathbb{Z}_2^m to \mathbb{Z}_q , denoted by

$$f: (x_1, x_2, \dots, x_m) \in \mathbb{Z}_2^m \to f(x_1, x_2, \dots, x_m) \in \mathbb{Z}_q.$$
 (7)

The function f is addressed as the generalized Boolean function. For a q-ary generalized Boolean function f, the associated sequence $f \in \mathbb{Z}_{q}^{2^{m}}$ is given by

$$f = (f_0, f_1, \dots, f_{2^m - 1})$$
 (8)

where $f_i = f(i_1, i_2, ..., i_m)$ and $(i_1, i_2, ..., i_m)$ is the binary representation of the integer $i = \sum_{k=1}^{m} i_k 2^{k-1}$. Note that i_1 is the least significant bit.

Also, we define the complex-valued sequence associated with a generalized Boolean function f to be

$$\zeta_q(\boldsymbol{f}) \triangleq \left(\xi_q^{f_0}, \xi_q^{f_1}, \dots, \xi_q^{f_{2^m-1}}\right). \tag{9}$$

Let m = 4 and q = 4 as an example. The sequence f associated with $f = 2x_2 + x_1x_2$ is

$$f = 2x_2 + x_1x_2$$



FIGURE 1. A generic transmitter structure of the SC-GSM system.

TABLE 1. An example of antenna activation patterns with $N_t = 4$ and $N_a = 2$.

GSM Mapping Rule					
Information bits	Antenna activation patterns	Note			
00	$(1, 1, 0, 0)^T$	Active antennas : 1, 2; Inactive antennas : 3, 4			
01	$(0, 1, 1, 0)^T$	Active antennas : 2, 3; Inactive antennas : 1, 4			
10	$(1, 0, 0, 1)^T$	Active antennas : 1, 4; Inactive antennas : 2, 3			
11	$(0, 0, 1, 1)^T$	Active antennas : 3, 4; Inactive antennas : 1, 2			

$$= (f(0, 0, 0, 0), f(1, 0, 0, 0), \dots, f(1, 1, 1, 1))$$

= (0, 0, 2, 3, 0, 0, 2, 3, 0, 0, 2, 3, 0, 0, 2, 3) (10)

and the complex modulated sequence

$$\zeta_4(\mathbf{f}) = \left(\xi_4^{f_0}, \xi_4^{f_1}, \dots, \xi_4^{f_{15}}\right)$$

= (1, 1, -1, -j, 1, 1, -1, -j, 1, 1, -1, -j, 1, 1, -1, -j).
(11)

C. GSM

Consider a single-carrier GSM (SC-GSM) system over frequency-selective channels as depicted in Fig. 1. We denote N_t , N_r , and N_a as the number of TAs, receive antennas (RAs), and RF chains, respectively. An $N_a \times N_t$ switch is needed to connect the RF chains to the TAs. During each time-slot k, N_a of the N_t TAs are activated and the corresponding constellation symbols from quadrature amplitude modulation (QAM) or phase-shift keying (PSK) modulation \mathcal{M} are transmitted on the activated TAs, while the remaining $N_t - N_a$ antennas are kept inactive. Specifically, $\lfloor \log_2 {N_t \choose N_a} \rfloor$ information bits, denoted by p_k , are used for selecting N_a antennas based on activation pattern mapping. Additionally, we denote an $N_t \times 1$ vector $\mathbf{s} = (0 \cdots 1 \cdots 0 \cdots 1)^T$ as an antenna activation pattern where 1's correspond to the active antennas and 0's correspond to the silent antennas. On the other hand, $N_a \lfloor \log_2 |\mathcal{M}| \rfloor$ bits, denoted by \boldsymbol{q}_k , are mapped into a constellation from alphabet \mathcal{M} through N_a active antennas. Therefore, the number of bits conveyed per symbol period is given by $\lfloor \log_2 {N_t \choose N_s} \rfloor + N_a \lfloor \log_2 |\mathcal{M}| \rfloor$.

Example 1: Let us consider an SC-GSM system with $N_t = 4$ and $N_a = 2$ using binary phaseshift keying (BPSK) modulation (i.e., $|\mathcal{M}| = 2$). The $\binom{4}{2} = 6$ possible activation patterns are shown as follows: $(1, 1, 0, 0)^T$, $(1, 0, 1, 0)^T$, $(1, 0, 0, 1)^T$, $(0, 1, 1, 0)^T$, $(0, 1, 0, 1)^T$, $(0, 0, 1, 1)^T$. However, only 4 activation patterns are selected since $\lfloor \log_2 {4 \choose 2} \rfloor = 2$. Then, the set of chosen activation patterns in this example is given $\{(1, 1, 0, 0)^T, (0, 1, 1, 0)^T, (1, 0, 0, 1)^T, (0, 0, 1, 1)^T\}.$ by Table 1 shows a mapping from 2 information bits to the set of chosen activation patterns. Assume that the message bits (0101001110101111) are sent. There are 4 GSM symbols of which each symbol consists of $\lfloor \log_2 {4 \choose 2} \rfloor + 2 \lfloor \log_2 |2| \rfloor = 4$ bits. We have $\boldsymbol{b}_1 = (0101), \ \boldsymbol{b}_2 = (0011), \ \boldsymbol{b}_3 = (1010),$ and $b_4 = (1111)$. Taking the first symbol for example, we have $b_1 = (p_1, q_1)$ where $p_1 = (01)$ and $q_1 = (01)$. It indicates that TA 2 and TA 3 are activated to transmit the BPSK symbol "1" and "-1", respectively. Therefore, the first GSM symbol can be expressed as $(0, +, -, 0)^T$. Then, the SC-GSM block for the 4 GSM symbols can be formulated as follows:

$$\begin{pmatrix} 0 & - & - & 0 \\ + & - & 0 & 0 \\ - & 0 & 0 & - \\ 0 & 0 & + & - \end{pmatrix}.$$
 (12)

III. ENHANCED CROSS Z-COMPLEMENTARY SETS

In this section, we will provide the definition and the optimality of the E-CZCS and then demonstrate two novel constructions.



FIGURE 2. The correlation properties of E-CZCSs.

Consider a set of M sequence sets $\mathcal{G} = \{G^m | 0 \le m \le M-1\}$ where each constitute set $G^m = \{g_n^m | 0 \le n \le N-1\}$ is composed of N sequences of length L. For $G^{m_1}, G^{m_2} \in \mathcal{G}$ with $0 \le m_1, m_2 \le M-1$, we define a special type of aperiodic cross-correlation sum as follows:

$$\hat{\rho}(G^{m_1}, G^{m_2}; u) \triangleq \sum_{n=0}^{N-1} \rho(\mathbf{g}_n^{m_1}, \mathbf{g}_{(n+1)_{\text{mod}N}}^{m_2}; u).$$
(13)

Note that (13) is different from the cross-correlation sum defined in (4). Taking M = 2 and N = 4 for example, we assume $\mathcal{G} = \{G^0, G^1\}$ where $G^0 = \{g_0^0, g_1^0, g_2^0, g_3^0\}$ and $G^1 = \{g_0^1, g_1^1, g_2^1, g_3^1\}$. Then, we have

$$\hat{\rho}(G^{0}, G^{0}; u) = \sum_{n=0}^{3} \rho(\mathbf{g}_{n}^{0}, \mathbf{g}_{(n+1)_{\text{mod}4}}^{0}; u)$$

$$= \rho(\mathbf{g}_{0}^{0}, \mathbf{g}_{1}^{0}; u) + \rho(\mathbf{g}_{1}^{0}, \mathbf{g}_{2}^{0}; u) + \rho(\mathbf{g}_{2}^{0}, \mathbf{g}_{3}^{0}; u)$$

$$+ \rho(\mathbf{g}_{3}^{0}, \mathbf{g}_{0}^{0}; u) \qquad (14)$$

and

$$\hat{\rho}(G^{0}, G^{1}; u) = \sum_{n=0}^{3} \rho(\mathbf{g}_{n}^{0}, \mathbf{g}_{(n+1)_{\text{mod}\,4}}^{1}; u)$$
$$= \rho(\mathbf{g}_{0}^{0}, \mathbf{g}_{1}^{1}; u) + \rho(\mathbf{g}_{1}^{0}, \mathbf{g}_{2}^{1}; u) + \rho(\mathbf{g}_{2}^{0}, \mathbf{g}_{3}^{1}; u)$$
$$+ \rho(\mathbf{g}_{3}^{0}, \mathbf{g}_{0}^{1}; u).$$
(15)

Then we can define the E-CZCS based on the cross-correlation sums given in (4) and (13). This special type of aperiodic cross-correlation sum in (13) will be included in the definition of the E-CZCS.

Definition 4 (Enhanced Cross Z-Complementary Set): For positive integers M, N, L, and Z with $Z \leq L$, we denote $\mathcal{T}_1 \triangleq \{1, 2, \ldots, Z\}$ and $\mathcal{T}_2 \triangleq \{L - Z, L - Z + 1, \ldots, L - 1\}$ as two distinct intervals. Let $\mathcal{G} = \{G^m | 0 \leq m \leq M - 1\}$ be a set of M sequence sets and $G^m = \{g_n^m | 0 \leq n \leq N - 1\}$ where g_n^m is a sequence of length L. Then, the set \mathcal{G} is addressed as an *enhanced cross Z-complementary set*, denoted by (M, N, L, Z)-E-CZCS, if it satisfies the following two conditions:

(C1):
$$\rho(G^{m_1}, G^{m_2}; u) = \sum_{n=0}^{N-1} \rho(g_n^{m_1}, g_n^{m_2}; u)$$

$$= \begin{cases} 0, \text{ for all } |u| \in (\mathcal{T}_1 \cup \mathcal{T}_2) \cap \mathcal{T}^1, \\ 0 \le m_1 = m_2 \le M - 1; \\ 0, \text{ for all } |u| \in \mathcal{T}_1 \cup \mathcal{T}_2 \cup \{0\}, \\ 0 \le m_1 \ne m_2 \le M - 1; \end{cases}$$

(C2): $\hat{\rho}(G^{m_1}, G^{m_2}; u) = \sum_{n=0}^{N-1} \rho(g_n^{m_1}, g_{(n+1)_{\text{mod}N}}^{m_2}; u) = 0,$
for all $|u| \in \mathcal{T}_2$ and any $m_1, m_2 \in \{0, 1, \dots, M - 1\}$
(16)

where $T = \{1, 2, ..., L - 1\}.$

If M = 1, i.e., $m_1 = m_2 = 0$, then the E-CZCS reduces to the cross Z-complementary set, denoted by (N, L, Z)-CZCS. It also implies each G^{m_1} in an E-CZCS is a CZCS. Also, an E-CZCS is reduced to an (L, Z)-CZCP by taking M = 1and N = 2. (C1) means the correlation sum $\rho(G^{m_1}, G^{m_2}; u)$ has symmetric ZCZs over \mathcal{T}_1 and \mathcal{T}_2 . And (C2) indicates that the cross-correlation sum $\hat{\rho}(G^{m_1}, G^{m_2}; u)$ has a tailend ZCZ for shifts over \mathcal{T}_2 . We illustrate the correlation properties of E-CZCSs in Fig. 2. Besides, from condition (C1), the E-CZCS is also a ZCCS and a SZCCS. However, the ZCCS and SZCCS do not take the condition (C2) into account. Therefore, the E-CZCS can include CZCS, ZCCS, and SZCCS as special cases.

The aperiodic cross-correlation property (C2) of the E-CZCS can be utilized to eliminate the IAI when it is employed in the proposed training framework, which will be illustrated in Section IV-B.

Remark 1: For an (M, N, L, Z)-E-CZCS with $Z \ge L/2$, we have $\mathcal{T}_1 \cup \mathcal{T}_2 = \{1, 2, \dots, L-1\}$. Therefore, (C1) in (16) implies that an (M, N, L, Z)-E-CZCS is also an (M, N, L)-MOCS.

Fig. 3 illustrates the relationship between E-CZCSs and the related sequence sets, which include ZCCSs, SZCCSs, and MOCSs.

Next, we discuss the relationship among the ZCZ width Z, the set size M, and the number of sequences N.

¹If Z equals to L, we have $\mathcal{T}_1 = \{1, 2, \dots, L\}$ and $\mathcal{T}_2 = \{0, 1, \dots, L-1\}$. Consequently, $\mathcal{T}_1 \cup \mathcal{T}_2 = \{0, 1, \dots, L\}$. However, we have $\rho(G^{m_1}, G^{m_2}; 0) = NL$ for $0 \le m_1 = m_2 \le M - 1$. So we have to exclude $u \ne 0$. Therefore, an extra condition of the intersection with $\mathcal{T} = \{1, 2, \dots, L-1\}$ is needed.



FIGURE 3. Relationship between E-CZCSs and the related sequence sets.

Theorem 1: For an (M, N, L, Z)-E-CZCS $\mathcal{G} = \{G^0, G^1, \ldots, G^{M-1}\}$, the upper bound on ZCZ width is given by

$$Z \le \frac{NL}{M} - 1. \tag{17}$$

For the binary E-CZCS, we have

$$Z \le \frac{NL}{2M}.$$
 (18)

Proof: Let $G^m = \{g_0^m, g_1^m, \dots, g_{N-1}^m\}$ for $m = 0, 1, \dots, M-1$ and also let

$$d_{0} = g_{0}^{0} ||g_{1}^{0}|| \cdots ||g_{N-1}^{0},$$

$$d_{1} = g_{0}^{1} ||g_{1}^{1}|| \cdots ||g_{N-1}^{1},$$

$$\vdots$$

$$d_{M-1} = g_{0}^{M-1} ||g_{1}^{M-1}|| \cdots ||g_{N-1}^{M-1}.$$
(19)

For m = 0, 1, ..., M - 1, we have

$$\begin{aligned} \phi(\boldsymbol{d}_{m}; \boldsymbol{u}) &= \rho(\boldsymbol{g}_{0}^{m}; \boldsymbol{u}) + \rho(\boldsymbol{g}_{1}^{m}; \boldsymbol{u}) + \ldots + \rho(\boldsymbol{g}_{N-1}^{m}; \boldsymbol{u}) \\ &+ \rho^{*}(\boldsymbol{g}_{0}^{m}, \boldsymbol{g}_{1}^{m}; \boldsymbol{L} - \boldsymbol{u}) + \rho^{*}(\boldsymbol{g}_{1}^{m}, \boldsymbol{g}_{2}^{m}; \boldsymbol{L} - \boldsymbol{u}) + \ldots \\ &+ \rho^{*}(\boldsymbol{g}_{N-1}^{m}, \boldsymbol{g}_{0}^{m}; \boldsymbol{L} - \boldsymbol{u}) \\ &= \sum_{n=0}^{N-1} \rho(\boldsymbol{g}_{n}^{m}; \boldsymbol{u}) + \sum_{n=0}^{N-1} \rho^{*}(\boldsymbol{g}_{n}^{m}, \boldsymbol{g}_{(n+1)_{\text{mod}}N}^{m}; \boldsymbol{L} - \boldsymbol{u}) \\ &= \rho(\boldsymbol{G}^{m}, \boldsymbol{G}^{m}; \boldsymbol{u}) + \hat{\rho}^{*}(\boldsymbol{G}^{m}, \boldsymbol{G}^{m}; \boldsymbol{L} - \boldsymbol{u}), \text{ for } 1 \leq \boldsymbol{u} < \boldsymbol{L}, \end{aligned}$$

$$(20)$$

and

$$\phi(\boldsymbol{d}_{m}; L) = \rho^{*}(\boldsymbol{g}_{0}^{m}, \boldsymbol{g}_{1}^{m}; 0) + \rho^{*}(\boldsymbol{g}_{1}^{m}, \boldsymbol{g}_{2}^{m}; 0) + \ldots + \rho^{*}(\boldsymbol{g}_{N-1}^{m}, \boldsymbol{g}_{0}^{m}; 0) = \sum_{n=0}^{N-1} \rho^{*}(\boldsymbol{g}_{n}^{m}, \boldsymbol{g}_{(n+1)_{\text{mod}N}}^{m}; 0) = \hat{\rho}^{*}(\boldsymbol{G}^{m}, \boldsymbol{G}^{m}; 0).$$
(21)

Therefore,

$$\phi(\boldsymbol{d}_{m}; u) = \begin{cases} \rho(G^{m}, G^{m}; u) + \hat{\rho}^{*}(G^{m}, G^{m}; L - u), \\ \text{for } 1 \le u < L, \quad 0 \le m \le M - 1; \\ \hat{\rho}^{*}(G^{m}, G^{m}; 0), \\ \text{for } u = L, \quad 0 \le m \le M - 1. \end{cases}$$
(22)

Next, for two distinct integers m_1, m_2 with $0 \le m_1, m_2 \le M - 1$, we have

$$\begin{split} \phi(\boldsymbol{d}_{m_{1}}, \boldsymbol{d}_{m_{2}}; \boldsymbol{u}) &= \rho(\boldsymbol{g}_{0}^{m_{1}}, \boldsymbol{g}_{0}^{m_{2}}; \boldsymbol{u}) + \rho(\boldsymbol{g}_{1}^{m_{1}}, \boldsymbol{g}_{1}^{m_{2}}; \boldsymbol{u}) + \dots \\ &+ \rho(\boldsymbol{g}_{N-1}^{m_{1}}, \boldsymbol{g}_{N-1}^{m_{2}}; \boldsymbol{u}) + \rho^{*}(\boldsymbol{g}_{0}^{m_{2}}, \boldsymbol{g}_{1}^{m_{1}}; \boldsymbol{L} - \boldsymbol{u}) \\ &+ \rho^{*}(\boldsymbol{g}_{1}^{m_{2}}, \boldsymbol{g}_{2}^{m_{1}}; \boldsymbol{L} - \boldsymbol{u}) + \dots + \rho^{*}(\boldsymbol{g}_{N-1}^{m_{2}}, \boldsymbol{g}_{0}^{m_{1}}; \boldsymbol{L} - \boldsymbol{u}) \\ &= \sum_{n=0}^{N-1} \rho(\boldsymbol{g}_{n}^{m_{1}}, \boldsymbol{g}_{n}^{m_{2}}; \boldsymbol{u}) + \sum_{n=0}^{N-1} \rho^{*}(\boldsymbol{g}_{n}^{m_{2}}, \boldsymbol{g}_{(n+1)_{\text{mod}N}}^{m_{1}}; \boldsymbol{L} - \boldsymbol{u}) \\ &= \rho(\boldsymbol{G}^{m_{1}}, \boldsymbol{G}^{m_{2}}; \boldsymbol{u}) + \hat{\rho}^{*}(\boldsymbol{G}^{m_{2}}, \boldsymbol{G}^{m_{1}}; \boldsymbol{L} - \boldsymbol{u}), \\ \text{for } 1 \leq \boldsymbol{u} < \boldsymbol{L}, \tag{23} \\ \phi(\boldsymbol{d}_{m_{1}}, \boldsymbol{d}_{m_{2}}; \boldsymbol{0}) = \rho(\boldsymbol{g}_{0}^{m_{1}}, \boldsymbol{g}_{0}^{m_{2}}; \boldsymbol{0}) + \rho(\boldsymbol{g}_{1}^{m_{1}}, \boldsymbol{g}_{1}^{m_{2}}; \boldsymbol{0}) + \dots \\ &+ \rho(\boldsymbol{g}_{N-1}^{m_{1}}, \boldsymbol{g}_{N-1}^{m_{2}}; \boldsymbol{0}) \\ &= \sum_{n=0}^{N-1} \rho(\boldsymbol{g}_{n}^{m_{1}}, \boldsymbol{g}_{n}^{m_{2}}; \boldsymbol{0}) = \rho(\boldsymbol{G}^{m_{1}}, \boldsymbol{G}^{m_{2}}; \boldsymbol{0}), \tag{24} \end{split}$$

and

$$\phi(\boldsymbol{d}_{m_1}, \boldsymbol{d}_{m_2}; L) = \rho^*(\boldsymbol{g}_0^{m_2}, \boldsymbol{g}_1^{m_1}; 0) + \rho^*(\boldsymbol{g}_1^{m_2}, \boldsymbol{g}_2^{m_1}; 0) + \dots + \rho^*(\boldsymbol{g}_{N-1}^{m_2}, \boldsymbol{g}_0^{m_1}; 0)$$
$$= \sum_{n=0}^{N-1} \rho^*(\boldsymbol{g}_n^{m_2}, \boldsymbol{g}_{(n+1)_{\text{mod}}N}^{m_1}; 0) = \hat{\rho}^*(G^{m_2}, G^{m_1}; 0).$$
(25)

Thus, we have

$$\phi(\boldsymbol{d}_{m_1}, \boldsymbol{d}_{m_2}; \boldsymbol{u}) = \begin{cases} \rho(G^{m_1}, G^{m_2}; 0), & \text{for } \boldsymbol{u} = 0, \\ 0 \le m_1 \ne m_2 \le M - 1; \\ \rho(G^{m_1}, G^{m_2}; \boldsymbol{u}) \\ + \hat{\rho}^*(G^{m_2}, G^{m_1}; L - \boldsymbol{u}), \\ \text{for } 1 \le \boldsymbol{u} < L, \\ 0 \le m_1 \ne m_2 \le M - 1; \\ \hat{\rho}^*(G^{m_2}, G^{m_1}; 0), \\ \text{for } \boldsymbol{u} = L, \ 0 \le m_1 \ne m_2 \le M - 1. \end{cases}$$
(26)

From (16), (22), and (26), we have

$$\phi(\boldsymbol{d}_{m_1}, \boldsymbol{d}_{m_2}; \boldsymbol{u}) = \begin{cases} 0, \text{ for } 1 \le |\boldsymbol{u}| \le Z \text{ and} \\ 0 \le m_1 = m_2 \le M - 1; \\ 0, \text{ for } |\boldsymbol{u}| \le Z \text{ and} \\ 0 \le m_1 \ne m_2 \le M - 1 \end{cases}$$
(27)

since \mathcal{G} is an (M, N, L, Z)-E-CZCS. Therefore, the M sequences $d_0, d_1, \ldots, d_{M-1}$ form an (M, NL, Z)-ZCZ sequence set. According to Lemma 1, the (M, NL, Z)-ZCZ sequence set satisfies that $Z \leq (NL)/M - 1$ and $Z \leq (NL)/(2M)$ for binary sequence sets. Therefore, we complete the proof.

Remark 2: According to *Theorem 1*, a *q*-ary (M, N, L, Z)-E-CZCS possesses the maximum ZCZ width if Z = (NL)/M - 1 for q > 2 or Z = (NL)/(2M) for q = 2.

A. E-CZCSS BASED ON ZCCSS

We first present a construction of E-CZCSs based on ZCCSs.

Theorem 2: Given an (M, N, L, Z + 1)-ZCCS $S = \{S^m | 0 \le m \le M-1\}$ where each constitute set $S^m = \{s_n^m | 0 \le n \le N-1\}$. Then, $\mathcal{G} = \{G^m | 0 \le m \le M-1\}$ is an (M, N, 2L, Z)-E-CZCS by letting

$$G^{m} = \{ \mathbf{g}_{0}^{m} = \mathbf{s}_{0}^{m} \| \mathbf{s}_{1}^{m}, \\ \mathbf{g}_{1}^{m} = \mathbf{s}_{2}^{m} \| \mathbf{s}_{3}^{m}, \\ \vdots \\ \mathbf{g}_{N/2-1}^{m} = \mathbf{s}_{N-2}^{m} \| \mathbf{s}_{N-1}^{m}, \\ \mathbf{g}_{N/2}^{m} = \mathbf{s}_{0}^{m} \| (-\mathbf{s}_{1}^{m}), \\ \mathbf{g}_{N/2+1}^{m} = \mathbf{s}_{2}^{m} \| (-\mathbf{s}_{3}^{m}), \\ \vdots \\ \mathbf{g}_{N-1}^{m} = \mathbf{s}_{N-2}^{m} \| (-\mathbf{s}_{N-1}^{m}) \}$$
(28)

for m = 0, 1, ..., M - 1. Furthermore, if S is an (M, N, L)-MOCS, then G is an (M, N, 2L, L)-E-CZCS.

Proof: We consider two cases to show that (C1) and (C2) in (16) are satisfied, respectively.

Case 1: Let $\mathcal{T}_1 = \{1, 2, \dots, Z\}$. For $|u| \in \mathcal{T}_1 \cup \{0\}$, we have

$$\rho(G^{m_1}, G^{m_2}; u) = \sum_{n=0}^{N-1} \rho(g_n^{m_1}, g_n^{m_2}; u) = 2\left(\sum_{n=0}^{N-1} \rho(s_n^{m_1}, s_n^{m_2}; u)\right)$$

$$+\sum_{n=0}^{\frac{N}{2}-1} \rho^* (s_{2n}^{m_2}, s_{2n+1}^{m_1}; L-u) - \sum_{n=0}^{\frac{N}{2}-1} \rho^* (s_{2n}^{m_2}, s_{2n+1}^{m_1}; L-u)$$

= $2 \left(\sum_{n=0}^{N-1} \rho (s_n^{m_1}, s_n^{m_2}; u) \right) = \begin{cases} 2NL, \ u = 0, \ m_1 = m_2; \\ 0, \ 0 < |u| \le Z, \ m_1 = m_2; \\ 0, \ |u| \le Z, \ m_1 \ne m_2 \end{cases}$ (29)

since $S = \{S^m | 0 \le m \le M-1\}$ is an (M, N, L, Z+1)-ZCCS. Let $T_2 = \{2L - Z, 2L - Z + 1, ..., 2L - 1\}$. For $|u| \in T_2$, we have

$$\rho(G^{m_1}, G^{m_2}; u) = \sum_{n=0}^{N-1} \rho(\mathbf{g}_n^{m_1}, \mathbf{g}_n^{m_2}; u)$$

= $\sum_{n=0}^{\frac{N}{2}-1} \rho(\mathbf{s}_{2n+1}^{m_1}, \mathbf{s}_{2n}^{m_2}; u - L) - \sum_{n=0}^{\frac{N}{2}-1} \rho(\mathbf{s}_{2n+1}^{m_1}, \mathbf{s}_{2n}^{m_2}; u - L)$
= 0, for any $m_1, m_2 \in \{0, 1, \dots, M-1\}.$ (30)

According to (29) and (30), we obtain that (C1) in (16) holds.

Case 2: For $|u| \in \mathcal{T}_2$, we have

$$\hat{\rho}(G^{m_1}, G^{m_2}; u) = \sum_{n=0}^{N-1} \rho\left(\boldsymbol{g}_n^{m_1}, \boldsymbol{g}_{(n+1)_{\text{mod}N}}^{m_2}; u\right)$$
$$= \sum_{n=0}^{\frac{N}{2}-1} \rho\left(\boldsymbol{s}_{2n+1}^{m_1}, \boldsymbol{s}_{(2n+2)_{\text{mod}N}}^{m_2}; u - L\right)$$
$$- \sum_{n=0}^{\frac{N}{2}-1} \rho\left(\boldsymbol{s}_{2n+1}^{m_1}, \boldsymbol{s}_{(2n+2)_{\text{mod}N}}^{m_2}; u - L\right)$$
$$= 0, \text{ for any } m_1, m_2 \in \{0, 1, \dots, M-1\}$$
(31)

which means (C2) in (16) holds. Therefore, \mathcal{G} is an (M, N, 2L, Z)-E-CZCS.

Moreover, if S is an (M, N, L)-MOCS, we substitute Z by L in *Case 1* and *Case 2* and hence have $\mathcal{T}_1 \cup \mathcal{T}_2 = \{1, 2, \dots, 2L - 1\}$. Therefore, \mathcal{G} is an (M, N, 2L, L)-E-CZCS.

Remark 3: To possess the largest ZCZ width, we consider a binary (M, M, L)-MOCS S, i.e., (M, L)-CCC, in *Theorem 2.* Then the ZCZ width of the constructed (M, M, 2L, L)-E-CZCS satisfies the equality given in (18). Therefore, a binary (M, M, 2L, L)-E-CZCS with maximum ZCZ width can be obtained.

An example of the binary (4, 4, 14, 7)-E-CZCS is provided below.

Example 2: Consider a (4, 7)-CCC $S = \{S^0, S^1, S^2, S^3\}$ obtained from [50] as shown in Table 2. We let

$$G^{0} = \left\{ g_{0}^{0} = s_{0}^{0} \| s_{1}^{0} = (+ + + - - + + - + - - - -), \\ g_{1}^{0} = s_{2}^{0} \| s_{3}^{0} = (+ - + - - - - + - - + - +), \\ g_{2}^{0} = s_{0}^{0} \| \left(-s_{1}^{0} \right) = (+ + + - - + - + - + - +), \\ g_{3}^{0} = s_{2}^{0} \| \left(-s_{3}^{0} \right) = (+ - + - - - - - + + - + -) \right\},$$

$$(32)$$

TABLE 2. A binary (4, 7)-CCC $S = \{S^0, S^1, S^2, S^3\}.$

S^0	$\left egin{array}{c} {s_0^0} \\ {s_1^0} \\ {s_2^0} \\ {s_3^0} \end{array} ight $	= (+ + + + +), = (+ - +), = (+ - + + - +)	S^2	$egin{cases} s_0^2 \ s_1^2 \ s_2^2 \ s_3^2 \end{cases}$	= (+ - + + +), = (+ - +), = (- + - + + + +), = (+ +)
S^1	$\begin{cases} {s_0^1} \\ {s_1^1} \\ {s_2^1} \\ {s_2^1} \\ {s_2^1} \end{cases}$	= (+ + + + - + -), = (+ + + + +), = (- + + + -), = (+ - +)	S^3	$\begin{cases} s_0^3 \\ s_1^3 \\ s_2^3 \\ s_3^3 \end{cases}$	$= (+-+), \\ = (-+++-), \\ = (++), \\ = (+++), \\ = (++-+-+), \\ = (++-++), \\ = (++-++), \\ = (++-++-+), \\ = (+++-+++), \\ = (-+++-+++++++++++++++++++++++++++++++$

$$G^{1} = \left\{ g_{0}^{1} = s_{0}^{1} \| s_{1}^{1} = (+ + + - + - + + - - + + +), \\ g_{1}^{1} = s_{2}^{1} \| s_{3}^{1} = (- + - - + + - - - - + - +), \\ g_{2}^{1} = s_{0}^{1} \| \left(-s_{1}^{1} \right) = (+ + + + - + - - - + + - -), \\ g_{3}^{1} = s_{2}^{1} \| \left(-s_{3}^{1} \right) = (- + - - + + - + + + - + -) \right\},$$

$$(33)$$

$$G^{2} = \left\{ g_{0}^{2} = s_{0}^{2} \| s_{1}^{2} = (+ - + + - - + + - - - -), \\ g_{1}^{2} = s_{2}^{2} \| s_{3}^{2} = (- + - + + + - - + - -), \\ g_{2}^{2} = s_{0}^{2} \| \left(-s_{1}^{2} \right) = (+ - + + - - + - + - + +), \\ g_{3}^{2} = s_{2}^{2} \| \left(-s_{3}^{2} \right) = (- + - + + + + - - + + +) \right\},$$

$$(34)$$

and

$$G^{3} = \left\{ g_{0}^{3} = s_{0}^{3} \| s_{1}^{3} = (- - - + - + - + - + - + -), \\ g_{1}^{3} = s_{2}^{3} \| s_{3}^{3} = (- - - + + - - - - + - +), \\ g_{2}^{3} = s_{0}^{3} \| \left(-s_{1}^{3} \right) = (- - - + - + + - - + + - +), \\ g_{3}^{3} = s_{2}^{3} \| \left(-s_{3}^{3} \right) = (- - - + + - - + + + - + -) \right\}.$$

$$(35)$$

A (4, 4, 14, 7)-E-CZCS $\mathcal{G} = \{G^0, G^1, G^2, G^3\}$ can be obtained by *Theorem* 2 in Table 3. We list the correlation sums $\rho(G^0, G^0; u)$ and $\hat{\rho}(G^0, G^1; u)$ as follows:

$$\begin{split} \left| \rho \left(G^0, G^0; u \right) \right|_{u=0\sim 13} &= (56, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0); \\ \left| \hat{\rho} \left(G^0, G^1; u \right) \right|_{u=0\sim 13} &= (4, 16, 4, 8, 4, 0, 4, 0, 0, 0, 0, 0, 0, 0). \end{split}$$
(36)

According to *Theorem 2*, E-CZCSs can be constructed based on the MOCSs, CCCs, and ZCCSs. Since MOCSs, CCCs, and ZCCSs with various lengths can be obtained from [50], [51], [52], [53], the lengths of the constructed E-CZCSs from *Theorem 2* are flexible.

B. E-CZCSS BASED ON GENERALIZED BOOLEAN FUNCTIONS

In this subsection, we will present a direct construction of E-CZCSs based on generalized Boolean functions. The proposed construction can generate E-CZCSs with various set sizes and large ZCZ widths including binary E-CZCS with the maximum ZCZ width.

Theorem 3: For nonnegative integers m, v, k with $v \leq k$, we let nonempty sets U_1, U_2, \ldots, U_k be a partition of

VOLUME 5, 2024

 $\{1, 2, ..., m\}$. Also let m_{α} be the order of U_{α} and π_{α} be a bijection from $\{1, 2, ..., m_{\alpha}\}$ to U_{α} for $\alpha = 1, 2, ..., k$. The generalized Boolean function f is given as

$$f = \frac{q}{2} \sum_{\alpha=1}^{k} \sum_{\beta=1}^{m_{\alpha}-1} x_{\pi_{\alpha}(\beta)} x_{\pi_{\alpha}(\beta+1)} + \sum_{i=1}^{m} \eta_{i} x_{i} + \eta_{0}$$
(37)

where $\eta_i \in \mathbb{Z}_q$ for i = 0, 1, ..., m. If v < k, we set $\pi_{v+\gamma}(1) = m - \gamma + 1$ for $\gamma = 1, 2, ..., k - v$. For $p = (0, 1, ..., 2^k - 1)$, we let $G^p = \{\zeta_q(\mathbf{g}_0^p), \zeta_q(\mathbf{g}_1^p), ..., \zeta_q(\mathbf{g}_{2^v-1}^p)\}$ where

$$\boldsymbol{g}_{n}^{p} = \boldsymbol{f} + \frac{q}{2} \left(\sum_{\alpha=1}^{\nu} n_{\nu-\alpha+1} \boldsymbol{x}_{\pi_{\alpha}(1)} + \sum_{\alpha=1}^{k} p_{\alpha} \boldsymbol{x}_{\pi_{\alpha}(m_{\alpha})} \right) \quad (38)$$

for $n = 0, 1, \ldots, 2^{\nu} - 1$; $(n_1, n_2, \ldots, n_{\nu})$ and (p_1, p_2, \ldots, p_k) are binary representations of n and p, respectively. Then the set $\mathcal{G} = \{G^0, G^1, \ldots, G^{2^k-1}\}$ is a $(2^k, 2^\nu, 2^m, 2^{\pi_1(1)-1})$ -E-CZCS.

Proof: The proof is given in Appendix.

Remark 4: To obtain the largest ZCZ width, we set $\pi_1(1) = m - k + v$ in *Theorem 3* to construct the $(2^k, 2^v, 2^m, 2^{m-k+\nu-1})$ -E-CZCS which can achieve the upper bound on the ZCZ width in (18). Therefore, binary $(2^k, 2^v, 2^m, 2^{m-k+\nu-1})$ -E-CZCSs with maximum ZCZ width can be obtained.

Example 3: Let us consider q = 2, m = 5, k = 2, and v = 1. We let a partition of $\{1, 2, 3, 4, 5\}$ by $U_1 = \{1, 2, 4\}$ and $U_2 = \{3, 5\}$ with $m_1 = 3$ and $m_2 = 2$, respectively. We also let bijections $\pi_1 = (4, 1, 2)$ and $\pi_2 = (5, 3)$. Then, the generalized Boolean function f in (37) can be written as $f = x_4x_1 + x_1x_2 + x_5x_3$ by setting $\eta_i = 0$ for all i. Following *Theorem 3*, a binary (4, 2, 32, 8)-E-CZCS can be constructed as $\mathcal{G} = \{G^p = \{\zeta_2(\mathbf{g}_0^p), \zeta_2(\mathbf{g}_1^p)\} : p \in \{0, 1, 2, 3\}$ where $\mathbf{g}_n^p = \mathbf{f} + n_1\mathbf{x}_4 + p_1\mathbf{x}_2 + p_2\mathbf{x}_3$. For example, the sequence \mathbf{g}_0^0 in G^0 can be constructed as follows:

$$g_0^0 = f = x_4 x_1 + x_1 x_2 + x_5 x_3$$

= (f(0, 0, 0, 0, 0), f(1, 0, 0, 0, 0), ..., f(1, 1, 1, 1, 1))
= (0, 0, 0, 1, 0, 0, 0, 1, 0, 1, 0, 0, 0, 1, 0, 0, 0, 0, 0, 1, 1, 1, 1, 0, 0, 1, 0, 0, 1, 0, 1, 1). (39)

Subsequently, we can obtain the corresponding modulated sequence

We list the constituent sequence sets G^0 , G^1 , G^2 , and G^3 in Table 4. The correlation sums $\rho(G^0, G^2; u)$ and $\hat{\rho}(G^0, G^2; u)$ are given as follows:

TABLE 3. Binary (4, 4, 14, 7)-E-CZCS in Example 2.

$(4, 4, 14, 7) \text{-} \text{E-CZCS } \mathcal{G} = \{G^0, G^1, G^2, G^3\}$									
G^0	$\int g_0^0$	=(++++++-+),		$\left(g_{0}^{2} = (+-++++), \right)$					
	$\left \begin{array}{c} \left\{ g_{1}^{\circ} \right\} \right $	= (+ - + + + + - +),	G^2	$\left\{ g_{1}^{2} = (-+-+++++), \right\}$					
	$oxed{g}_2^0$	= (+ + + + + - + - + + + +),		$g_2^2 = (+ - + + + - + - + + + +),$					
	$ [g_3^0]$	= (+ - + + + + -)		$\int g_3^2 = (-+-++++++++) \int$					
G^1	$\int g_0^1$	= (+ + + + - + - + + + + +),	G^3	$\left(g_0^3 = (++++-++), \right)$					
	$\int g_1^1$	= (-+++++),		$\int g_1^3 = (++++), \int$					
	\mathbf{g}_{2}^{1}	= (+ + + + - + + +),		$ g_2^3 = (+++-++), $					
	$ $ $ $ $ $ g_3^1	= (-+-+++++++-+-)		$ \left \begin{array}{c} \mathbf{g}_{3}^{3} = (+++-++++-+-) \right\rangle $					

TABLE 4. Binary (4, 2, 32, 8)-E-CZCS in Example 3.

(4, 2, 22, 8) E CZCS	G^0	$egin{array}{c} \left\{egin{array}{c} \zeta_2(oldsymbol{g}_0^0) \ \zeta_2(oldsymbol{g}_1^0) \end{array} ight. ight.$	$= (+ + + - + + + - + - + + + + + + + + + - + +), \\= (+ + + - + + + + + + + + + - + - + - +), $
$\mathcal{G} = \{G^0, G^1, G^2, G^3\}$	G^1	$\left \begin{array}{c} \zeta_2(\boldsymbol{g}_0^1) \\ \zeta_2(\boldsymbol{g}_1^1) \end{array} \right $	$= (+ + - + + + - + + + + + - + + + - + + +), \\= (+ + - + + + - + - + + + + + + + + - + -$
	G^2	$\begin{array}{c} \overline{\zeta_2(\boldsymbol{g}_0^2)} \\ \overline{\zeta_2(\boldsymbol{g}_1^2)} \end{array}$	= (+ + + + + - + - + - + + + - + + - + + - + + - + + - + + - + + + - + + + - + + - + + - + + - + + - + + - + + - + + - + + + + + + + + + + + - + - + - + - + - + - + - + + + + + + + + + + + + + + + +
	G^3	$\left\{egin{array}{c} \zeta_2(oldsymbol{g}_0^3) \ \zeta_2(oldsymbol{g}_1^3) \end{array} ight.$	= (++-++-+++++++++++++++++++++++++++++

IV. PROPOSED TRAINING FRAMEWORK FOR BROADBAND GSM SYSTEMS

A. TRAINING DESIGN

In this subsection, we formulate the system model and the requirements for training design in the GSM system.

Consider a generic training-based multiple-antenna transmission structure depicted in Fig. 4. Prior to data payload transmission, the training sequences $x_1, x_2, \ldots, x_{N_t}$ transmitted from the N_t TAs are used to estimate the channel state information. The cyclic prefix (CP) is inserted before each training sequence for ISI suppression in dispersive channels. Let Ψ denote the training matrix given by

$$\Psi = \begin{bmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \\ \vdots \\ \mathbf{x}_{N_t} \end{bmatrix} = \begin{bmatrix} x_{1,0} & x_{1,1} & \dots & x_{1,L'-1} \\ x_{2,0} & x_{2,1} & \dots & x_{2,L'-1} \\ \vdots & \vdots & \ddots & \vdots \\ x_{N_t,0} & x_{N_t,1} & \dots & x_{N_t,L'-1} \end{bmatrix}_{N_t \times L'} (42)$$

where $\mathbf{x}_p = (x_{p,0}, x_{p,1}, \dots, x_{p,L'-1})$ stands for the training sequence conveyed over the *p*-th TA for $p = 1, 2, \dots, N_t$. Note that all the training sequences with identical energy $E = \sum_{t=0}^{L'-1} |x_{p,t}|^2$. In addition, we consider a quasi-static frequency-selective channel with the delay spread λ . Assume that the channel impulse response from the *p*-th TA to the receiver is $\mathbf{h}_p = [h_{p,0}, h_{p,1}, \dots, h_{p,\lambda}]$. To formulate the model in matrix form, we let

$$\boldsymbol{X} = \begin{bmatrix} \boldsymbol{X}_1, \boldsymbol{X}_2, \dots, \boldsymbol{X}_{N_t} \end{bmatrix}_{L' \times N_t (\lambda + 1)}$$
(43)

where

$$\boldsymbol{X}_{p} = \begin{bmatrix} x_{p,0} & x_{p,L'-1} & \cdots & x_{p,L'-\lambda} \\ x_{p,1} & x_{p,0} & \cdots & x_{p,L'-\lambda+1} \\ \vdots & \vdots & \ddots & \vdots \\ x_{p,L'-1} & x_{p,L'-2} & \cdots & x_{p,L'-\lambda-1} \end{bmatrix}_{L' \times (\lambda+1)}$$
(44)



FIGURE 4. A generic training-based SC-MIMO transmission structure.

for $p = 1, 2, ..., N_t$. Then, the $L' \times 1$ complex received signal vector at a RA can be expressed as

$$y = Xh + w \tag{45}$$

where $\mathbf{h} = [\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_{N_t}]^T$ stands for the channel matrix and $\mathbf{w} = [w_0, w_1, \dots, w_{L'-1}]^T$ stands for the complex additive white Gaussian noise (AWGN) with zero mean and variance σ^2 . By using the LS channel estimator [28], [44], the normalized mean square error can be derived as

$$MSE = \frac{\sigma^2}{N_t \lambda + N_t} Tr\left(\left(X^H X\right)^{-1}\right).$$
(46)

Therefore, the minimum MSE can be achieved as σ^2/E [28] if and only if

$$\phi(\mathbf{x}_{i}, \mathbf{x}_{j}; u) = \begin{cases} E, \text{ if } i = j, u = 0; \\ 0, \text{ if } i \neq j, 0 \le u \le \lambda, \\ \text{ or } i = j, 1 \le u \le \lambda. \end{cases}$$
(47)

Remark 5: Since the training-based multiple-antenna transmission incorporates the GSM transmission scheme in Fig. 1 as a special case with a particular focus on the training matrix design, (47) is referred to as the optimal condition for GSM training sequences under the LS channel estimator.

Furthermore, it should be noted that the training matrix Ψ needs to be sparse since every GSM system only activates a few antennas at each time-slot.

Hence, the following design criterion provides the optimal channel estimation conditions for the GSM system.

Design criterion: A training matrix Ψ for the GSM system can achieve the optimal channel estimation over the frequency-selective channel with delay spread λ , if it satisfies the following two conditions.

(1) Each column of the training matrix Ψ has exactly N_a non-zero entries since N_a TAs are activated over each time-slot in the GSM system.

(2) The training matrix Ψ needs to meet the condition in (47).

B. PROPOSED GSM TRAINING MATRIX

Based on the design criteria outlined in Section IV-A, we generate the training matrix employing the proposed E-CZCSs for the broadband GSM system.

For positive integers N_t and N_a , we let $V = \lceil \frac{N_t}{N_a} \rceil$ where N_t is the number of transmit antennas and N_a is the number of RF chains. Let $\Psi_1, \Psi_2, \ldots, \Psi_V$ be the training blocks as follows:

$$\Psi_{1} = \begin{bmatrix} \boldsymbol{x}_{1} \\ \boldsymbol{x}_{2} \\ \vdots \\ \boldsymbol{x}_{N_{a}} \end{bmatrix}, \Psi_{2} = \begin{bmatrix} \boldsymbol{x}_{N_{a}+1} \\ \boldsymbol{x}_{N_{a}+2} \\ \vdots \\ \boldsymbol{x}_{2N_{a}} \end{bmatrix}, \dots, \Psi_{V} = \begin{bmatrix} \boldsymbol{x}_{(V-1)N_{a}+1} \\ \boldsymbol{x}_{(V-1)N_{a}+2} \\ \vdots \\ \boldsymbol{x}_{VN_{a}} \end{bmatrix}.$$
(48)

Choosing an (M, N, L, Z)-E-CZCS \mathcal{G} with the condition $M \ge N_a$, we let $\mathcal{X}_0, \mathcal{X}_1, \ldots, \mathcal{X}_{N-1}$ be the training sub-blocks of size $N_a \times VL$ as follows:

$$\mathcal{X}_{0} = \begin{bmatrix}
\mathbf{g}_{0}^{0} & \mathbf{0} & \dots & \mathbf{0} \\
\mathbf{g}_{0}^{1} & \mathbf{0} & \dots & \mathbf{0} \\
\vdots & \vdots & \ddots & \vdots \\
\mathbf{g}_{0}^{N_{a}-1} & \mathbf{0} & \dots & \mathbf{0}
\end{bmatrix}, \quad \mathcal{X}_{1} = \begin{bmatrix}
\mathbf{g}_{1}^{0} & \mathbf{0} & \dots & \mathbf{0} \\
\mathbf{g}_{1}^{1} & \mathbf{0} & \dots & \mathbf{0} \\
\vdots & \vdots & \ddots & \vdots \\
\mathbf{g}_{1}^{N_{a}-1} & \mathbf{0} & \dots & \mathbf{0}
\end{bmatrix}, \\
\dots, \quad \mathcal{X}_{N-1} = \begin{bmatrix}
\mathbf{g}_{N-1}^{0} & \mathbf{0} & \dots & \mathbf{0} \\
\mathbf{g}_{N-1}^{1} & \mathbf{0} & \dots & \mathbf{0} \\
\vdots & \vdots & \ddots & \vdots \\
\mathbf{g}_{N-1}^{N_{a}-1} & \mathbf{0} & \dots & \mathbf{0}
\end{bmatrix}$$
(49)

where $\{\boldsymbol{g}_0^0, \boldsymbol{g}_1^0, \dots, \boldsymbol{g}_{N-1}^0\}$, $\{\boldsymbol{g}_0^1, \boldsymbol{g}_1^1, \dots, \boldsymbol{g}_{N-1}^1\}$, ..., $\{\boldsymbol{g}_0^{N_a-1}, \boldsymbol{g}_1^{N_a-1}, \dots, \boldsymbol{g}_{N-1}^{N_a-1}\}$ are N_a constituent sequence sets from the (M, N, L, Z)-E-CZCS \mathcal{G} and $\boldsymbol{0}$ represents all-zero vector of length L. Then, a $VN_a \times NVL$ GSM training matrix (N_t, N_a, V, N, L) - $\boldsymbol{\Psi}$ is provided as

$$\Psi = \begin{bmatrix} \Psi_1 \\ \Psi_2 \\ \vdots \\ \Psi_V \end{bmatrix} = \begin{bmatrix} \mathcal{X}_0 & \mathcal{X}_1 & \dots & \mathcal{X}_{N-1} \\ \mathcal{X}_0^{(L)} & \mathcal{X}_1^{(L)} & \dots & \mathcal{X}_{N-1}^{(L)} \\ \vdots & \vdots & \ddots & \vdots \\ \mathcal{X}_0^{((V-1)L)} & \mathcal{X}_1^{((V-1)L)} & \dots & \mathcal{X}_{N-1}^{((V-1)L)} \end{bmatrix}.$$
(50)



FIGURE 5. The GSM training matrix (8, 4, 2, 2, L)- Ψ based on a (4, 2, L, Z)-E-CZCS in the Example 4.



FIGURE 6. The GSM training matrix (8, 3, 3, 2, L)- Ψ based on a (4, 2, L, Z)-E-CZCS in the Example 4.

It is noted that in the scenario where $N_t < VN_a$, the first N_t rows of Ψ are selected as training sequences for N_t transmit antennas.

Example 4: Consider a GSM system equipped with $N_t = 8$ TAs and $N_a = 4$ RF chains. We have $V = \lceil \frac{N_t}{N_a} \rceil = 2$. The (8, 4, 2, 2, *L*)- Ψ GSM training matrix based on a (4, 2, *L*, *Z*)-E-CZCS is shown in Fig. 5. If we consider another scenario for the GSM system with $N_t = 8$ TAs and $N_a = 3$ RF chains (i.e., $V = \lceil \frac{N_t}{N_a} \rceil = 3$), the (8, 3, 3, 2, *L*)- Ψ GSM training matrix based on a (4, 2, *L*, *Z*)-E-CZCS can be expressed as shown in Fig. 6.

We then demonstrate that the training matrix Ψ employing the proposed E-CZCSs satisfies the design criteria mentioned in Section IV-A Firstly, there are N_a non-zero entries and $(V-1)N_a$ zeros in each column of the training matrix Ψ as shown in (50). Secondly, we prove that the training sequences $x_1, x_2, \ldots, x_{N_t}$, i.e., the first N_t rows of Ψ , meet the condition in (47) if $Z \ge \lambda$. Here, we consider an (M, N, L, Z)-E-CZCS $\mathcal{G} = \{G^0, G^1, \ldots, G^{M-1}\}$ with $M \ge N_a$ and $Z \ge \lambda$ where λ is the delay spread. The number of transmit antennas N_t is divided into V antennas groups B_1, B_2, \ldots, B_V where each B_v consists of N_a antennas where $B_v = \{1 + (v-1)N_a, 2 + (v-1)N_a, \ldots, vN_a\}$ for $v = 1, 2, \ldots, V$. We consider three cases below to show that the training matrix Ψ satisfies the condition in (47).

Case 1: Since the sets $G^0 = \{g_0^0, g_1^0, \dots, g_{N-1}^0\}, G^1 = \{g_0^1, g_1^1, \dots, g_{N-1}^1\}, \dots, \text{ and } G^{N_a-1} = \{g_0^{N_a-1}, g_1^{N_a-1}, \dots, g_{N-1}^{N_a-1}\}$ in \mathcal{G} satisfy the condition (C1) in (16), we have

$$\begin{split} \phi(\mathbf{x}_{k}, \mathbf{x}_{l}; u) \\ &= \sum_{j=0}^{N-1} \rho\left(\mathbf{g}_{j}^{m_{k}}, \mathbf{g}_{j}^{m_{l}}; u\right) \\ &= \begin{cases} \sum_{j=0}^{N-1} \rho\left(\mathbf{g}_{j}^{m_{l}}, \mathbf{g}_{j}^{m_{l}}; u\right), \text{ for } k = l, 0 \le u \le Z; \\ \sum_{j=0}^{N-1} \rho\left(\mathbf{g}_{j}^{m_{k}}, \mathbf{g}_{j}^{m_{l}}; u\right), \text{ for } k \ne l, 0 \le u \le Z; \end{cases} \\ &= \begin{cases} \rho(G^{m_{l}}, G^{m_{l}}; u), \text{ for } k = l, 0 \le u \le Z; \\ \rho(G^{m_{k}}, G^{m_{l}}; u), \text{ for } k \ne l, 0 \le u \le Z; \end{cases} \\ &= \begin{cases} NL, \text{ for } k = l, u = 0; \\ 0, \text{ for } k = l, 1 \le u \le Z; \\ 0, \text{ for } k \ne l, 0 \le u \le Z, \end{cases} \end{split}$$
(51)

for any $k, l \in B_v$ and v = 1, 2, ..., V where $m_k = (k - 1)_{\text{mod }N_a}$ and $m_l = (l - 1)_{\text{mod }N_a}$. Over each training block Ψ_v , the ISI at each TA and the IAI between the *k*-th and the *l*-th TAs caused by multipath delay can be eliminated.

Case 2: For $k \in B_v$, $l \in B_{v+1}$, and $v = 1, 2, \ldots, V - 1$, we have

$$b(\mathbf{x}_{l}, \mathbf{x}_{k}; u) = \sum_{j=0}^{N-1} \rho^{*} \left(\mathbf{g}_{j}^{m_{k}}, \mathbf{g}_{j}^{m_{l}}; L-u \right)$$

$$= \begin{cases} \sum_{j=0}^{N-1} \rho^{*} \left(\mathbf{g}_{j}^{m_{k}}, \mathbf{g}_{j}^{m_{k}}; L-u \right), \\ \text{if } l = k + N_{a}; \\ \sum_{j=0}^{N-1} \rho^{*} \left(\mathbf{g}_{j}^{m_{k}}, \mathbf{g}_{j}^{m_{l}}; L-u \right), \\ \text{otherwise;} \end{cases}$$

$$= \begin{cases} \rho^{*} (G^{m_{k}}, G^{m_{k}}; L-u), \text{ if } l = k + N_{a}; \\ \rho^{*} (G^{m_{k}}, G^{m_{l}}; L-u), \text{ otherwise;} \end{cases}$$

$$= 0 \qquad (52)$$

where $m_k = (k-1)_{\text{mod }N_a}$, $m_l = (l-1)_{\text{mod }N_a}$, and $1 \le u \le Z$. The IAI between the *k*-th TA in Ψ_v and the *l*-th TA in Ψ_{v+1} is eliminated.

Case 3: For $k \in B_1$ and $l \in B_V$, according to (C2) in (16), we have

$$\phi(\mathbf{x}_{k}, \mathbf{x}_{l}; u)$$

$$= \sum_{j=0}^{N-1} \rho^{*} \left(\mathbf{g}_{j}^{m_{l}}, \mathbf{g}_{(j+1)_{\text{mod}\,N}}^{m_{k}}; L-u \right)$$

$$= \begin{cases} \sum_{j=0}^{N-1} \rho^{*} \left(\mathbf{g}_{j}^{m_{k}}, \mathbf{g}_{(j+1)_{\text{mod}\,N}}^{m_{k}}; L-u \right), \\ \text{if } l = k + (V-1)N_{a}; \\ \sum_{j=0}^{N-1} \rho^{*} \left(\mathbf{g}_{j}^{m_{l}}, \mathbf{g}_{(j+1)_{\text{mod}\,N}}^{m_{k}}; L-u \right), \\ \text{otherwise;} \end{cases}$$

$$= \begin{cases} \hat{\rho}^{*}(G^{m_{k}}, G^{m_{k}}; L - u), \\ \text{if } l = k + (V - 1)N_{a}; \\ \hat{\rho}^{*}(G^{m_{l}}, G^{m_{k}}; L - u), \\ \text{otherwise}; \end{cases}$$

= 0 (53)

where $m_k = (k-1)_{\text{mod }N_a}$, $m_l = (l-1)_{\text{mod }N_a}$, and $1 \le u \le Z$. It means that the IAI between the *k*-th TA in Ψ_1 and the *l*-th TA in Ψ_V is eliminated. Note that the last equality in (53) follows from the aperiodic cross-correlation property (C2) of the E-CZCS. From the above three cases, we can conclude that the training matrix Ψ employing the proposed (M, N, L, Z)-E-CZCS \mathcal{G} achieves the condition in (47) if $Z \ge \lambda$.

V. SIMULATIONS

In this section, we examine the channel estimation performance of the proposed E-CZCS-based training for the GSM system over the frequency-selective channel. We consider a $(\lambda + 1)$ -path channel separated by integer symbol durations as $h[t] = \sum_{i=0}^{\lambda} h_i \delta[t - iT_s]$ where h_i 's are complex Gaussian random variables with zero mean and $E(|h_i|^2) = 1/(\lambda + 1)$ for all *i*. We evaluate the channel estimation performance of the GSM training matrices based on our proposed E-CZCSs and other classes of sequence sets including the SZCCS, ZCCS, CZCPs, binary random sequences, and Zadoff-Chu sequences. Our first simulation setup consists of $N_t = 8$ TAs, $N_a = 4$ RF chains, and $N_r = 1$ RA. We employ the binary (4, 2, 32, 8)-E-CZCS from *Example 3* to generate the (8, 4, 2, 2, 32)- Ψ as depicted in Fig. 5. For the ZCCS and the SZCCS, the training matrix is given by

$$\begin{bmatrix} s_{0}^{0} & 0 & s_{1}^{0} & 0 \\ s_{0}^{1} & 0 & s_{1}^{1} & 0 \\ s_{0}^{2} & 0 & s_{1}^{2} & 0 \\ s_{0}^{3} & 0 & s_{1}^{3} & 0 \\ 0 & s_{0}^{0} & 0 & s_{1}^{0} \\ 0 & s_{0}^{1} & 0 & s_{1}^{1} \\ 0 & s_{0}^{2} & 0 & s_{1}^{2} \\ 0 & s_{0}^{3} & 0 & s_{1}^{3} \end{bmatrix}_{8 \times 128}$$
(54)

where $\{s_0^0, s_1^0\}$, $\{s_0^1, s_1^1\}$, $\{s_0^2, s_1^2\}$, and $\{s_0^3, s_1^3\}$ are the constituent sets of a (4, 2, 32, 16)-ZCCS and the first four sequence sets of the (8, 2, 32, 7)-SZCCS from [47], respectively. For the training matrix based on CZCPs, the pairs (s_0^0, s_1^0) , (s_0^1, s_1^1) , (s_0^2, s_1^2) , and (s_0^3, s_1^3) in (54) are 4 distinct (32, 16)-CZCPs from [28]. These CZCPs satisfy the conditions (C1) and (C2) in (16) only when $m_1 = m_2$. For binary random sequences, the elements of s_0^0 , s_1^0 , s_1^1 , s_0^2 , s_1^2 , s_0^3 , and s_1^3 in (54) are randomly generated from "+1" or "-1". For the training matrix based on Zadoff-Chu sequences, the sequences s_0^0 , s_0^1 , s_1^1 , s_0^2 , s_1^2 , s_0^3 , and s_1^3 are assigned by 8 distinct Zadoff-Chu sequences of length 32 with low cross-correlations. The MSE performances of the channel estimation based on different training matrix based on Figs. 7 shows that the training matrix based on

9



FIGURE 7. MSE comparison of GSM training based on different sequences with 8 TAs and 4 active TAs.

the (4, 2, 32, 8)-E-CZCS achieves the minimum MSE with 2.2 and 1.5 dB gains over the SZCCS and ZCCS based training, respectively, when the number of multipaths is 9. In Fig. 8, we consider different numbers of multipaths at $E_h/N_0 = 16$ dB. When the number of multipaths is less than or equal to 9, i.e., $\lambda = 8$, our proposed E-CZCS-based training matrix can achieve the minimum MSE since the ZCZ width is 8. Also, we observe that the (4, 2, 32, 8)-E-CZCS outperforms others and still performs close to the MSE lower bound even the number of multipaths is larger than 9. This is because the out-of-zone correlations of the proposed (4, 2, 32, 8)-E-CZCS are small. For the SZCCS-based GSM, the performance is worse when the number of multipaths is larger than 8. This is because the SZCCS only consider the condition (C1) in (16) and the ZCZ width is only 7. When the number of multipaths is larger than 8, the out-of-zone correlations of the SZCCS degrade the channel estimation performance. For the ZCCS-based training, the condition (C2) in (16) is not met, thus leading nonzero IAI. For the CZCP-based training, the performance is worse since the conditions (C1) and (C2) are not taken into consideration when $m_1 \neq m_2$ and hence the IAI is introduced.

Furthermore, we discuss the comparison with the GSM training in [47] regarding the training efficiency. The training efficiency is modeled by T/T' where T stands for the length of the interval during which the training sequences are transmitted and T' stands for the length of the total training interval. This metric indicates the effectiveness of the training framework. When the training efficiency is 1, it implies that the training sequences are transmitted on every time slot during the training interval. Compared to the GSM training in [47], the training efficiency of our proposed training framework is NVL/NVL = 1, whereas that of the training



FIGURE 8. MSE comparison of GSM training based on different sequences with 8 TAs and 4 active TAs.



FIGURE 9. MSE comparison of GSM training based on different sequences with 8 TAs and 3 active TAs.

framework in [47] is $NVL/(NVL + N\lambda) < 1$ where λ is the delay spread. In the case we consider in Fig. 8, we have $V = \lceil \frac{N_t}{N_a} \rceil = \lceil \frac{8}{4} \rceil = 2$, N = 2, and L = 32. As a result, the training efficiency of the training framework in [47] is only 0.85 with $\lambda = 11$.

In Fig. 9, we consider the GSM system with $N_t = 8$ TAs, $N_a = 3$ RF chains, and $N_r = 1$ RA. We use the GSM training matrix (8, 3, 3, 2, 32)- Ψ as depicted in Fig. 6 based on the binary (4, 2, 32, 8)-E-CZCS from *Example 3*. For comparison, we take the first three sequence sets of the (8, 2, 32, 7)-SZCCS from [47] into consideration, as well as the (4, 2, 32, 16)-ZCCS, binary random sequences, and

Zadoff-Chu sequences. The training matrix is given by

$$\begin{bmatrix} s_0^0 & 0 & 0 & s_1^0 & 0 & 0 \\ s_0^1 & 0 & 0 & s_1^1 & 0 & 0 \\ s_0^2 & 0 & 0 & s_1^2 & 0 & 0 \\ 0 & s_0^0 & 0 & 0 & s_1^0 & 0 \\ 0 & s_0^1 & 0 & 0 & s_1^1 & 0 \\ 0 & s_0^2 & 0 & 0 & s_1^2 & 0 \\ 0 & 0 & s_0^0 & 0 & 0 & s_1^0 \\ 0 & 0 & s_0^1 & 0 & 0 & s_1^1 \end{bmatrix}_{8 \times 192}$$
(55)

where the component sequences s_n^m 's are assigned in a similar manner as in the previous simulation. For example, $\{s_0^0, s_1^0\}$, $\{s_0^1, s_1^1\}$, and $\{s_0^2, s_1^2\}$ are the three constituent sets of the (4, 2, 32, 16)-ZCCS if the training matrix is based on the ZCCS. For Fig. 9, the E_b/N_0 is fixed at 16 dB. We observe that the (4, 2, 32, 8)-E-CZCS outperforms others and still performs close to the MSE lower bound even the number of multipaths is larger than 9. This is because the out-of-zone correlations of the proposed (4, 2, 32, 8)-E-CZCS are small. For the SZCCS based training, the performance degrades significantly when the number of multipaths is larger than 8.

VI. CONCLUSION

This paper is focused on a novel class of sequence sets called E-CZCSs, each consisting of a collection of CZCSs and with an additional cross-channel aperiodic correlation sum property. We have proposed two systematic constructions of E-CZCSs including one based on ZCCSs, MOCSs, and CCCs (*Theorem 2*) and the other based on generalized Boolean functions (*Theorem 3*). Both constructions can generate the binary E-CZCSs with maximum ZCZ width.

Furthermore, a novel GSM training framework has been proposed based on E-CZCSs. It is shown that the proposed training design can achieve the optimal channel estimation performance in frequency-selective channels, by fully exploiting the correlation properties of E-CZCS.

Although *Theorem 3* can generate E-CZCSs with various set sizes and ZCZ widths, the lengths are currently limited to powers of two. Therefore, a potential future direction is to construct E-CZCSs with non-power-two sequence lengths.

APPENDIX

PROOF OF THEOREM 3

Before proving *Theorem 3*, we introduce the following lemma which can be used to prove our main theorem.

Lemma 2 [54]: For any integer *m* and *k* with $0 < k \le m$, let nonempty sets U_1, U_2, \ldots, U_k be a partition of $\{1, 2, \ldots, m\}$. Also let π_{α} be a bijection from $\{1, 2, \ldots, m_{\alpha}\}$ to U_{α} where m_{α} is the order of U_{α} for $\alpha = 1, 2, \ldots, k$. Given an even positive integer *q* and the generalized Boolean function *f*

$$f = \frac{q}{2} \sum_{\alpha=1}^{k} \sum_{\beta=1}^{m_{\alpha}-1} x_{\pi_{\alpha}(\beta)} x_{\pi_{\alpha}(\beta+1)} + \sum_{i=1}^{m} \eta_{i} x_{i} + \eta_{0}$$
 (56)

where η_i 's $\in \mathbb{Z}_q$. For $0 \le \kappa, \nu \le 2^k - 1$, the set $C^{\nu} = \{c_0^{\nu}, c_1^{\nu}, \dots, c_{2k-1}^{\nu}\}$ can be constructed as follows:

$$\boldsymbol{c}_{\kappa}^{\nu} = \boldsymbol{f} + \frac{q}{2} \sum_{\alpha=1}^{k} \kappa_{\alpha} \boldsymbol{x}_{\pi_{\alpha}(1)} + \frac{q}{2} \sum_{\alpha=1}^{k} \nu_{\alpha} \boldsymbol{x}_{\pi_{\alpha}(m_{\alpha})}$$
(57)

where $(\kappa_1, \kappa_2, \ldots, \kappa_k)$ and $(\nu_1, \nu_2, \ldots, \nu_k)$ are binary representations of κ and ν , respectively. Then, $C^0, C^1, \ldots, C^{2^k-1}$ form a $(2^k, 2^m)$ -CCC.

Proof of Theorem 3: We consider three parts to illustrate that \mathcal{G} satisfies (C1) and (C2) in (16) where $\mathcal{T}_1 = \{1, 2, ..., 2^{\pi_1(1)-1}\}$ and $\mathcal{T}_2 = \{2^m - 2^{\pi_1(1)-1}, 2^m - 2^{\pi_1(1)-1} + 1, ..., 2^m - 1\}$. Let $\mathbf{g}_n^p = (g_{n,0}^p, g_{n,1}^p, ..., g_{n,L-1}^p)$ for $p = 0, 1, ..., 2^{\nu} - 1$ and $n = 0, 1, ..., 2^k - 1$.

In the first part, we have to demonstrate that

$$\rho(G^{p}, G^{p}; u) = \sum_{n=0}^{2^{v}-1} \rho(\zeta_{q}(g_{n}^{p}), \zeta_{q}(g_{n}^{p}); u)$$

$$= \sum_{n=0}^{2^{v}-1} \sum_{i=0}^{2^{w}-1-u} \xi_{q}^{g_{n,i+u}^{p}-g_{n,i}^{p}} = \sum_{i=0}^{2^{w}-1-u} \sum_{n=0}^{2^{v}-1} \xi_{q}^{g_{n,i+u}^{p}-g_{n,i}^{p}} = 0,$$

(58)

for $|u| \in \mathcal{T}_1 \cup \mathcal{T}_2$. If v = k, the sequences g_n^p in (38) can be rewritten as

$$\boldsymbol{g}_{n}^{p} = \boldsymbol{f} + \frac{q}{2} \left(\sum_{\alpha=1}^{k} n_{k-\alpha+1} \boldsymbol{x}_{\pi_{\alpha}(1)} + \sum_{\alpha=1}^{k} p_{\alpha} \boldsymbol{x}_{\pi_{\alpha}(m_{\alpha})} \right) \quad (59)$$

implying $G^p \in \mathcal{G}$ is a GCS as given in *Lemma 2*. Hence, we have $\rho(G^p, G^p; u) = 0, |u| \in \{1, 2, \dots, 2^m - 1\}.$

If v < k, we consider two cases to show that $\rho(G^p, G^p; u) = \sum_{n=0}^{2^{\nu}-1} \rho(\zeta_q(\mathbf{g}_n^p); u) = 0$ when $|u| \in \mathcal{T}_1$ and $|u| \in \mathcal{T}_2$, respectively. For a nonnegative integer *i* with binary representation (i_1, i_2, \ldots, i_m) , we let j = i + u with binary representation (j_1, j_2, \ldots, j_m) .

Case 1-A: We assume $i_{\pi_1(1)} \neq j_{\pi_1(1)}$ in this case. For any sequence $\mathbf{g}_n^p \in G^p$ where $0 \leq p \leq 2^k - 1$ and $0 \leq n \leq 2^v - 1$, there exists a sequence $\mathbf{g}_s^p = \mathbf{g}_n^p + (q/2)\mathbf{x}_{\pi_1(1)} \in G^p$ such that

$$g_{n,j}^{p} - g_{n,i}^{p} - g_{s,j}^{p} + g_{s,i}^{p} = \frac{q}{2} \left(i_{\pi_{1}(1)} - j_{\pi_{1}(1)} \right) \equiv \frac{q}{2} \pmod{q}.$$
(60)

Since $i_{\pi_1(1)} \neq j_{\pi_1(1)}$, we can obtain

$$\xi_q^{g_{n,j}^p - g_{n,i}^p} / \xi_q^{g_{s,j}^p - g_{s,i}^p} = \xi_q^{\frac{q}{2} \left(i_{\pi_1(1)} - j_{\pi_1(1)} \right)} = e^{\frac{j2\pi}{q} \frac{q}{2}} = -1 \quad (61)$$

implying $\xi_{n,j}^{g_{n,j}^{p}-g_{n,i}^{p}} + \xi_{s,j}^{g_{s,j}^{p}-g_{s,i}^{p}} = 0$. Therefore, we have $\sum_{n=0}^{2^{\nu}-1} \xi_{q}^{g_{n,j}^{p}-g_{n,i}^{p}} = 0$.

Case 1-B: In this case we have $i_{\pi_1(1)} = j_{\pi_1(1)}$ and we can deduce that $i_{\pi_{\nu+\gamma}(1)} = j_{\pi_{\nu+\gamma}(1)}$ for $\gamma = 1, 2, ..., k - \nu$. Suppose not, let α' be the smallest integer satisfying $i_{\pi_{\nu+\alpha'}(1)} \neq j_{\pi_{\nu+\alpha'}(1)}$. Therefore, $i_m = j_m, i_{m-1} = j_{m-1}, \ldots, i_{m-\alpha'+2} = j_{m-\alpha'+2}$. Then,

$$u = j - i = 2^{m - \alpha'} + \sum_{s=1, s \neq \pi_1(1)}^{m - \alpha'} (j_s - i_s) 2^{s - 1}$$

$$\geq 2^{m-\alpha'} - \sum_{s=1}^{m-\alpha'} 2^{s-1} + 2^{\pi_1(1)-1} = 2^{\pi_1(1)-1} + 1$$
(62)

which contradicts the assumption that $u \leq 2^{\pi_1(1)-1}$. So we have $i_{\pi_{\nu+1}(1)} = j_{\pi_{\nu+1}(1)}, i_{\pi_{\nu+2}(1)} = j_{\pi_{\nu+2}(1)}, \dots, i_{\pi_k(1)} = j_{\pi_k(1)}$ here. Then we consider two subcases below.

Case 1-B (*i*): We assume $i_{\pi_{\alpha}(1)} \neq j_{\pi_{\alpha}(1)}$ for some $\alpha = 2, 3, ..., \nu$. For any sequence $g_n^p \in G^p$, there exists another sequence $g_s^p = g_n^p + (q/2)\mathbf{x}_{\pi_{\alpha}(1)} \in G^p$ such that $\xi_q^{g_{n,j}^p - g_{n,i}^p} + \xi_q^{g_{n,j}^p - g_{n,i}^p} = 0$.

Case 1-B (ii): Following the above case, we have $i_{\pi_{\alpha}(1)} = j_{\pi_{\alpha}(1)}$ for all $\alpha = 1, 2, ..., k$. We assume $i_{\pi_{\alpha}(\beta)} = j_{\pi_{\alpha}(\beta)}$ for $\alpha = 1, 2, ..., \hat{\alpha} - 1$ with $\hat{\alpha} \le k$ and $\beta = 1, 2, ..., m_{\alpha}$. Then we suppose that $\hat{\beta}$ is the smallest integer such that $i_{\pi_{\hat{\alpha}}(\hat{\beta})} \ne j_{\pi_{\hat{\alpha}}(\hat{\beta})}$. Let *i'* and *j'* be two integers which are distinct from *i* and *j*, respectively, only in one position $\pi_{\hat{\alpha}}(\hat{\beta} - 1)$. That is, $i'_{\pi_{\hat{\alpha}}(\hat{\beta}-1)} = 1 - i_{\pi_{\hat{\alpha}}(\hat{\beta}-1)}$ and $j'_{\pi_{\hat{\alpha}}(\hat{\beta}-1)} = 1 - j_{\pi_{\hat{\alpha}}(\hat{\beta}-1)}$. Hence, we have

$$g_{n,i'}^{p} - g_{n,i}^{p} = \frac{q}{2} \left(i_{\pi_{\hat{\alpha}}}(\hat{\beta}-2) i'_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) - i_{\pi_{\hat{\alpha}}}(\hat{\beta}-2) i_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) \right) + i'_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) i_{\pi_{\hat{\alpha}}}(\hat{\beta}) - i_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) i_{\pi_{\hat{\alpha}}}(\hat{\beta}) \right) + \eta_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) i'_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) + \eta_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) i_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) \equiv \frac{q}{2} \left(i_{\pi_{\hat{\alpha}}}(\hat{\beta}-2) + i_{\pi_{\hat{\alpha}}}(\hat{\beta}) \right) + \eta_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) \left(1 - 2i_{\pi_{\hat{\alpha}}}(\hat{\beta}-1) \right) (\text{mod } q).$$
(63)

Since $i_{\pi_{\hat{\alpha}}(\hat{\beta}-2)} = j_{\pi_{\hat{\alpha}}(\hat{\beta}-2)}$ and $i_{\pi_{\hat{\alpha}}(\hat{\beta}-1)} = j_{\pi_{\hat{\alpha}}(\hat{\beta}-1)}$, we have

$$g_{n,j}^{p} - g_{n,i}^{p} - g_{n,j'}^{p} + g_{n,i'}^{p} \equiv \frac{q}{2} \left(i_{\pi_{\hat{\alpha}}(\hat{\beta})} - j_{\pi_{\hat{\alpha}}(\hat{\beta})} \right)$$
$$\equiv \frac{q}{2} \pmod{q}.$$
(64)

Then, we can obtain

$$\xi_q^{g_{n,j}^p - g_{n,i}^p} / \xi_q^{g_{n,j'}^p - g_{n,i'}^p} = \xi_q^{\frac{q}{2} \left(i_{\pi_{\hat{\alpha}}(\hat{\beta})} - j_{\pi_{\hat{\alpha}}(\hat{\beta})} \right)} = e^{\frac{j2\pi}{q} \frac{q}{2}} = -1.$$
(65)

Therefore,

$$\xi_q^{g_{n,j}^p - g_{n,i}^p} + \xi_q^{g_{n,j'}^p - g_{n,i'}^p} = 0.$$
(66)

From Case 1-A and Case 1-B, we can conclude that $\rho(G^p, G^p; u) = 0$, for $|u| \in \mathcal{T}_1$.

Case 2: Then, let us consider $|u| \in \mathcal{T}_2$, i.e., $2^m - 2^{\pi_1(1)-1} \le |u| \le 2^m - 1$. In this case, we should have $i_{\pi_1(1)} \ne j_{\pi_1(1)}$. Suppose not. If $i_{\pi_1(1)} = j_{\pi_1(1)}$, then we have

$$u = j - i = \sum_{s=1, s \neq \pi_1(1)}^m (j_s - i_s) 2^{s-1} \le 2^m - 2^{\pi_1(1) - 1} - 1$$
(67)

which contradicts the assumption $2^m - 2^{\pi_1(1)-1} \le |u| \le 2^m - 1$. Hence, we must have $i_{\pi_1(1)} \ne j_{\pi_1(1)}$ here. Following the similar arguments as given in *Case 1-A*, we can also obtain $\xi_q^{g_{n,j}^p - g_{n,i}^p} + \xi_q^{g_{n,j}^p - g_{n,i}^p} = 0$ where $g_s^p = g_n^p + (q/2)x_{\pi_1(1)} \in G^p$. Therefore,

$$\rho(G^{p}, G^{p}; u) = \sum_{n=0}^{2^{\nu}-1} \sum_{i=0}^{2^{m}-1-u} \xi_{q}^{g_{n,j}^{p}-g_{n,i}^{p}} = 0, \text{ for } |u| \in \mathcal{T}_{2}.$$
(68)

In the second part, we will demonstrate that any two distinct constituent sets G^p and G^l , where $0 \le p \ne l \le 2^k - 1$, have zero cross-correlation sum for $|u| \in T_1 \cup T_2$, i.e.,

$$\rho\left(G^{p}, G^{l}; u\right) = \sum_{n=0}^{2^{\nu}-1} \rho\left(\zeta_{q}(\boldsymbol{g}_{n}^{p}), \zeta_{q}(\boldsymbol{g}_{n}^{l}); u\right) \\
= \sum_{n=0}^{2^{\nu}-1} \sum_{i=0}^{2^{m}-1-u} \xi_{q}^{g_{n,i+u}^{p}-g_{n,i}^{l}} = \sum_{i=0}^{2^{m}-1-u} \sum_{n=0}^{2^{\nu}-1} \xi_{q}^{g_{n,i+u}^{p}-g_{n,i}^{l}} = 0.$$
(69)

For v = k, similar to the first part, we can obtain that G^p and G^l are mutually orthogonal GCSs, i.e., $\rho(G^p, G^l; u) =$ 0, $|u| \in \{0, 1, 2, ..., 2^m - 1\}$. For v < k, by following the similar arguments in the first part, we can obtain that

$$\sum_{n=0}^{2^{\nu}-1} \rho\left(\zeta_q(\boldsymbol{g}_n^p), \zeta_q(\boldsymbol{g}_n^l); u\right) = 0, \text{ for } |u| \in \mathcal{T}_1 \cup \mathcal{T}_2.$$
(70)

Now, it only suffices to show that

$$\rho\left(G^{p},G^{l};0\right) = \sum_{n=0}^{2^{\nu}-1} \sum_{i=0}^{2^{m}-1} \xi_{q}^{g_{n,i}^{p}-g_{n,i}^{l}} = 0.$$
(71)

We denote p_{α} and l_{α} as the α -th bits of the binary representations of p and l, respectively. Also, let $i_{\pi_{\alpha}(m_{\alpha})}$ be the $\pi_{\alpha}(m_{\alpha})$ -th bit of the binary representation of i. According to (38), we have

$$g_{n,i}^{p} - g_{n,i}^{l} \equiv \frac{q}{2} \sum_{\alpha=1}^{k} (p_{\alpha} - l_{\alpha}) i_{\pi_{\alpha}(m_{\alpha})} \pmod{q}.$$
 (72)

It can be observed that (72) is the linear combination of the term $i_{\pi_{\alpha}(m_{\alpha})}$. For *i* ranging from 0 to $2^{m} - 1$, there are 2^{m-1} *i*'s such that $\xi_{q}^{g_{n,i}^{p}-g_{n,i}^{l}} = \xi_{q}^{q/2} = -1$ and 2^{m-1} *i*'s such that $\xi_{q}^{g_{n,i}^{p}-g_{n,i}^{l}} = \xi_{q}^{0} = 1$. Therefore, we can obtain $\sum_{i=0}^{2^{m}-1} \xi_{q}^{g_{n,i}^{p}-g_{n,i}^{l}} = 0$.

In the last part, we will prove the condition (C2) in (16) holds for \mathcal{G} , i.e.,

$$\hat{\rho}(G^{p}, G^{l}; u) = \sum_{n=0}^{N-1} \rho(\zeta_{q}(\boldsymbol{g}_{n}^{p}), \zeta_{q}(\boldsymbol{g}_{(n+1)_{\text{mod}N}}^{l}); u)$$
$$= \sum_{i=0}^{2^{m}-1-u} \sum_{n=0}^{N-1} \xi_{q}^{g_{n,i+u}^{p}-g_{(n+1)_{\text{mod}N},i}^{l}} = 0 \quad (73)$$

where $2^m - 2^{\pi_1(1)-1} \le |u| \le 2^m - 1$ and $N = 2^v$. Similarly, we let j = i + u for any integer *i*. From *Case 2* in the first part, we deduce that $i_{\pi_1(1)} \ne j_{\pi_1(1)}$. Let (n_1, n_2, \ldots, n_v) and (h_1, h_2, \ldots, h_v) be the binary representations of *n* and $h = (n + 1)_{\text{mod }N}$, respectively. We also let *n'* and *h'* be the integers that are distinct from *n* and *h*, respectively, in only one position, i.e., $n'_v = 1 - n_v$ and $h'_v = 1 - h_v$. We can obtain

$$g_{n,j}^{p} - g_{n',j}^{p} = \frac{q}{2} \left(n_{\nu} j_{\pi_{1}(1)} - (1 - n_{\nu}) j_{\pi_{1}(1)} \right)$$
$$= -\frac{q}{2} j_{\pi_{1}(1)} + q n_{\nu} j_{\pi_{1}(1)} \equiv -\frac{q}{2} j_{\pi_{1}(1)} \pmod{q}$$
(74)

and

$$g_{h,i}^{l} - g_{h',i}^{l} = \frac{q}{2} \left(h_{\nu} i_{\pi_{1}(1)} - (1 - h_{\nu}) i_{\pi_{1}(1)} \right)$$

$$= -\frac{q}{2} i_{\pi_{1}(1)} + q h_{\nu} i_{\pi_{1}(1)} \equiv -\frac{q}{2} i_{\pi_{1}(1)} \pmod{q}.$$
(75)

Then, we have

$$g_{n,j}^{p} - g_{h,i}^{l} - g_{n',j}^{p} + g_{h',i}^{l} \equiv \frac{q}{2} (i_{\pi_{1}(1)} - j_{\pi_{1}(1)}) \equiv \frac{q}{2} \pmod{q}$$
(mod q)
(76)

since $i_{\pi_1(1)} \neq j_{\pi_1(1)}$. Therefore, $\xi_q^{g_{n,j}^p - g_{h,i}^l} + \xi_q^{g_{n',j}^p - g_{h',i}^l} = 0$ and (73) is proved. From the above three parts, we can conclude that \mathcal{G} is a $(2^k, 2^v, 2^m, 2^{\pi_1(1)-1})$ -E-CZCS.

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