

Digital Object Identifier 10.1109/OJAP.2024.3434008

# Guest Editorial

## Special Section on Advanced Beam-Forming Antennas for Beyond 5G and 6G

### I. INTRODUCTION

**B**EYOND 5G and 6G technologies mark a pivotal evolution in the telecommunications landscape. They are not only anticipated to deliver unprecedented speed and capacity but also to serve as critical enablers for a host of emerging technologies and applications, including joint communications and sensing, autonomous driving, augmented reality (AR), virtual reality (VR), and the burgeoning Internet of Things (IoT) [1], [2], [3]. As we transition into this new era, the expectations for system performance, reliability, and versatility are higher than ever before.

Beam-forming antennas, which allow for the intelligent and efficient management of signal beams, is essential for maximizing the system performance and reliability [4], [5]. It dynamically directs signal beams towards specific users or devices, thereby enhancing signal strength, reducing interference, and improving overall system efficiency. It is one of the key enabler for these next-generation systems. However, the development and deployment of beam-forming technologies are not without their challenges.

One pressing issue is cost reduction. The complicated hardware and complex algorithms required for effective beam-forming can be prohibitively expensive, especially as networks scale to accommodate millions of connected devices. Innovative approaches and new technologies are needed to develop cost-effective solutions that do not compromise on performance or reliability.

Another significant challenge is improving antenna flexibility. Next-generation systems must be able to adapt to rapidly changing environments and user demands. This requires antennas that are not only highly efficient but also extremely versatile. Reconfigurable antennas can dynamically adjust their properties to maintain optimal performance under varying conditions, ensuring seamless connectivity and superior user experiences.

Furthermore, with the deployment of large antenna arrays, the complexity of the system increases exponentially. Managing these large arrays involves intricate analysis and prediction to ensure that they function optimally. Fast and accurate prediction algorithms are crucial for real-time adjustments and performance tuning, helping to prevent issues before they affect the user experience. Advanced machine learning techniques and artificial intelligence (AI)

are expected to play a pivotal role in enhancing these predictive capabilities, enabling systems to self-optimize and self-heal.

In this special section, we delve deeply into the advancements and developments in beam-forming technologies for beyond 5G and 6G. We explore the innovative solutions being developed to overcome current limitations and meet the challenges head-on. These include novel cost-reduction designs, advancements for enhanced antenna flexibility, and innovative analysis and prediction methods. By addressing these challenges, we can pave the way for future high-data-rate, high-capacity wireless systems, ensuring they are not only powerful but also accessible and efficient.

### II. CONTRIBUTIONS

This special section features 11 peer-reviewed papers contributed by esteemed authors from various academic institutions across the globe. These contributors showcase the latest research on a broad spectrum of beam-forming antenna technologies applicable to beyond 5G and 6G systems. The contributions are organized into five categories based on their primary focus and the specific antenna technologies discussed. Table 1 lists these papers, including their authors and the main topics covered. The five categories of this collection and the corresponding papers are summarized as follows.

#### A. ADVANCED BEAM SCANNING

This category highlights the evolution of low-cost beam scanning technologies with broadened beam ranges and enhanced independent multi-beamforming capabilities.

Li et al. presented an exhaustive literature review on wide-angle beam scanning (WABS) phased array antennas [A1]. The paper focused on cost-effective solutions for next-generation wireless systems, addressing significant challenges such as element pattern beamwidth, array mutual coupling, and grating lobes. The discussion extends to the development of low-cost beam scanning technologies using a Generalized Joint Coupler (GJC) matrix, providing a forward-looking perspective on potential advancements in WABS technology.

Xu et al. introduced an innovative compact analog beamforming network based on the GJC matrix [A2]. This

**TABLE 1.** List of papers in this special section.

Seq.	Authors	Key topic
A1	M. Li <i>et al.</i>	Wide-angle beam scanning
A2	Y. Xu <i>et al.</i>	Analog multi-beamforming
A3	Y.-X. Xie <i>et al.</i>	3D printed UWB metalens
A4	W.-Q. Deng <i>et al.</i>	Deep-learning assisted wideband metalens
A5	F. Mesa <i>et al.</i>	Physical optics lens
A6	M. Pubill-Font <i>et al.</i>	Ray-tracing dielectric dome
A7	S. Komeylian <i>et al.</i>	3D hybrid array
A8	S. Li <i>et al.</i>	Dual-pol beam switching
A9	S. Kim <i>et al.</i>	Series feed beam scanning
A10	L. Zhang <i>et al.</i>	Dual-beam filtering
A11	J.-E. Zhang <i>et al.</i>	Wideband mmWave DRA

design allowed for flexible beam angles with controlled low sidelobes. The successfully demonstrated prototypes achieved independent direction control of multiple beams through an engineered feeding network, maintaining sidelobe levels around  $-15$  dB for all beams.

### B. LENS ANTENNA

Lens antennas present a promising solution for beyond 5G and 6G systems, where high gains are necessary to compensate for significant propagation losses especially at high frequencies. Their ability to steer beams cost-effectively and straightforwardly makes them particularly attractive for these applications.

Xie *et al.* introduced an innovative design using ultra-wideband achromatic metalens antennas through three-dimensional (3D) printing technology [A3]. This work combines cost-efficiency with high performance, featuring a convex-like and a concave-like lens structure. It achieved an ultra-wide operational bandwidth, with a 3-dB gain bandwidth ranging from 50 to 102 GHz (2.04:1 bandwidth ratio). The design reaches a peak gain of 23.27 dBi, and the sidelobe levels are maintained below  $-20$  dB.

Deng *et al.* effectively integrated deep learning with traditional optimization techniques to enhance both the transmission performance and scalability of metalens designs [A4]. Their innovative work introduced a multi-frequency phase matching concept that employs a synergistic combination of particle swarm optimization (PSO) and deep learning methodologies. This approach facilitated rapid and precise multi-frequency phase compensation, substantially optimizing antenna performance. The developed prototype metalens demonstrated its efficacy by achieving circular polarization (CP) with a 1-dB bandwidth ranging from 78 to 107 GHz.

### C. ARRAY MODELING AND ANALYSIS

This category explores the challenges of analyzing large-scale arrays/lens efficiently and accurately, essential for 5G and beyond communication systems.

Mesa *et al.* presented an effective approach using physical optics (PO) method for planar graded-index and geodesic lens antennas [A5]. This method enabled efficient calculation of directivity and gain, while also taking material losses into account. The authors offered explicit formulas for calculating the radiation electric fields from the lens' aperture fields. By integrating ray tracing techniques, this approach is shown to be both computationally efficient and accurate, yielding significant reductions in both time and memory usage when compared to conventional HFSS simulations.

Pubill-Font *et al.* introduced an interesting ray-tracing model to evaluate the radiation characteristics of two-dimensional multilayer dielectric dome antennas [A6]. This model accounted for reflection and absorption losses and acted as a valuable initial step in the design of 3D lenses and domes for 5G/6G communications. This method dramatically decreased computational requirements, reducing simulation time by about 150 times compared to the COMSOL simulator, yet still preserving result accuracy.

Komeylian and Paolini focused on novel methods for identifying faulty elements in 3D hybrid antenna arrays, which implemented three different optimization models suited for arrays containing low, medium, and high percentages of non-radiating elements [A7]. This technique improved the estimation of direction-of-arrival (DoA), even with a high prevalence of faulty elements, and is supported by numerical results that demonstrate significant enhancements in performance.

### D. BEAM RECONFIGURABLE ANTENNAS

Reconfigurable antenna technology is essential for adapting to dynamic environments and enhancing communication system performance in complex environment. This subsection highlights the advancements in beam switching technologies that contribute to this adaptability.

Li *et al.* developed a dual-polarization beam switching antenna featuring a compact integrated configuration [A8]. The horizontal polarization was achieved using a wheel-shaped radiating element, capable of reconfiguring to produce five beam patterns, including directional and omnidirectional beams. Vertical polarization was enabled by a vertically placed center monopole accompanied by four reflectors, allowing for nine different beam states. This antenna supported extensive beam switching capabilities, ensuring good impedance matching and polarization isolation, thereby significantly enhancing system capacity and flexibility.

Kim *et al.* presented a center-fed beam steerable array utilizing a series feed configuration that maintains a wide operating bandwidth [A9]. The design incorporated innovative impedance transforming techniques to address the typical bandwidth reduction associated with increased antenna elements. The array was equipped with voltage-controlled phase shifters, facilitating easy control and enabling a beam scanning range of  $\pm 60^\circ$ . Notably, it exhibited a

gain variation of less than 2 dB, an impedance matching bandwidth of 20% and minimal beam squint of  $\pm 2^\circ$  over 200 MHz at a central frequency of 3.5 GHz.

### E. OTHER INNOVATIVE FUNCTIONALITIES

In addition to the previous antenna technologies discussed, other innovative functionalities such as frequency regulation are crucial for optimizing antenna operation. Filtering technology plays a significant role in this context, and wideband capabilities that support various mmWave bands are particularly promising to further exploit the bandwidth usage for beyond 5G and 6G systems.

Zhang et al. introduced a dual-beam filtering patch antenna featuring a novel symmetrical  $\pi$ -shaped structure that merges two resonant modes [A10]. This design incorporates a cross-shaped metal strip printed on the patch resonator, which enhances the antenna's frequency selectivity and expands its bandwidth. The fabricated antenna achieved a 13% impedance bandwidth and a substantial 15-dB stopband at 2.14 times the center frequency. In addition, it realized a 20-dB roll-off coefficient, making it highly effective in filtering out-of-band signals.

Zhang et al. developed a broadband substrate integrated dielectric resonant antenna (SIDRA) that covers four mmWave bands (n257, n258, n260, and n261), ranging from 24.25 to 43.5 GHz [A11]. This antenna employs a novel multi-layer configuration that combines multiple resonant modes, enabling a wide bandwidth suitable for millimeter-wave (mmWave) systems. It displayed stable broadside beam patterns across the entire bandwidth. Moreover, the  $1 \times 4$  SIDRA array demonstrated effective beam-scanning capabilities within the mmWave bands, highlighting its potential for future telecommunications infrastructure.

### III. CONCLUSION

As we navigate the challenges of beam-forming antennas for beyond 5G and 6G systems, the research detailed here offers innovative solutions and methodologies that push the technology advancements. The collection of papers contributes to the field, demonstrating the potential of advanced beam-forming antennas/arrays to enhance system performance, reliability, and flexibility. From innovative approaches in lens and reconfigurable antennas to comprehensive array modeling and analysis, these studies provide a roadmap for overcoming the inherent challenges of next-generation systems. Notably, the integration of new materials, computational techniques, and machine learning into antenna design and beam-forming heralds a new era of efficiency and adaptability.

### APPENDIX: RELATED ARTICLES

- [A1] M. Li, S.-L. Chen, Y. Liu, and Y. J. Guo, "Wide-angle beam scanning phased array antennas: A review," *IEEE Open J. Antennas Propag.*, vol. 4, pp. 695–712, 2023, doi: [10.1109/OJAP.2023.3296636](https://doi.org/10.1109/OJAP.2023.3296636).
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- [A3] Y.-X. Xie, G.-B. Wu, W.-Q. Deng, S.-Y. Zhu, and C. H. Chan, "A 3-D Printed ultra-wideband achromatic metalens antenna," *IEEE Open J. Antennas Propag.*, vol. 4, pp. 713–723, 2023, doi: [10.1109/OJAP.2023.3295834](https://doi.org/10.1109/OJAP.2023.3295834).
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- [A8] S. Li, M. Chen, Z. Li, J. Chen, and J. Wang, "A WLAN dual-polarized beam-reconfigurable antenna," *IEEE Open J. Antennas Propag.*, vol. 5, no. 4, pp. 869–878, Aug. 2024, doi: [10.1109/OJAP.2024.3373913](https://doi.org/10.1109/OJAP.2024.3373913).
- [A9] S. Kim, H. Park, and B.-W. Min, "A center-fed beam-steerable series antenna array with a wide matching bandwidth," *IEEE Open J. Antennas Propag.*, vol. 5, no. 4, pp. 879–887, Aug. 2024, doi: [10.1109/OJAP.2024.3366809](https://doi.org/10.1109/OJAP.2024.3366809).
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### ACKNOWLEDGMENT

In closing, the Guest Editors express their gratitude to the authors and reviewers for their dedication, which has ensured the timely completion of this section. We hope that the research presented here will inspire new applications and advancements in beam-forming antenna technologies for Beyond 5G and 6G networks, exploring uncharted opportunities. Special thanks are due to Prof. Shen, the Editor-in-Chief of the IEEE OPEN JOURNAL OF ANTENNAS AND PROPAGATION, Dr. Zhu, the Senior Editor, and Sunny Tse, the Administrative Editor, for their invaluable support in making this Special Section possible.

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