

# SensCAP: A Systematic Sensing Capability Performance Metric for 6G ISAC

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**Abstract**—The sixth generation mobile communication system (6G) will provide everything as a service (XaaS), where X includes communication, sensing, computing, artificial intelligence (AI), big data and security, and more. Novel features, such as Sensing as a Service (SaaS), will contribute to further realizing Internet of Everything (IoE). Integrated sensing and communication (ISAC) is identified as one of the six usage scenarios for 6G by the international telecommunication union radiocommunication sector (ITU-R), and the corresponding studies on the detailed technical performance requirements and evaluation methodologies have begun in 2024. Although ISAC has become a popular topic, there are no systematic performance requirements metrics and corresponding evaluation methodologies defined for SaaS in a mobile communication system, while conventional key performance indicators (KPIs) for radar systems have been borrowed currently. Therefore, to fill this gap, this article proposes SensCAP, a systematic capability performance metric composed of sensing capacity, accuracy, and probability. The sensing capacity reflects the comprehensive sensing performance, which can be expressed as the number of targets that can be detected per unit area within unit time, given sensing Quality-of-Service (QoS) requirements consisting of sensing accuracy and probability. Furthermore, the performance evaluation of the SensCAP is conducted through system simulation using proposed evaluation methodologies, and the KPI values are suggested as the guidelines for further study in ITU-R.

**Index Terms**—Evaluation methodologies, integrated sensing and communication (ISAC), international telecommunication union radiocommunication sector (ITU-R), Quality of Service (QoS), sixth generation (6G), standardization, technical performance requirements, test environments.

## I. INTRODUCTION

THE-SIXTH-GENERATION (6G) mobile communication network will provide a variety of services by embracing enhanced communication and novel capabilities, including sensing, computing, artificial intelligence (AI), big data, and security. These novel capabilities are native-designed and implemented to achieve Internet of Everything (IoE) [1], [2], [3], which is the paradigm shift for 6G. Novel capabilities, such as sensing and AI, will transform mobile network operators from communication-service providers to information-service providers, and realize the vision of digital twin and ubiquitous intelligence [4], [5], [6]. Integrated

Manuscript received 1 April 2024; revised 26 June 2024 and 10 July 2024; accepted 11 July 2024. Date of publication 18 July 2024; date of current version 6 September 2024. (*Corresponding author: Liang Ma.*)

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Digital Object Identifier 10.1109/JIOT.2024.3430502

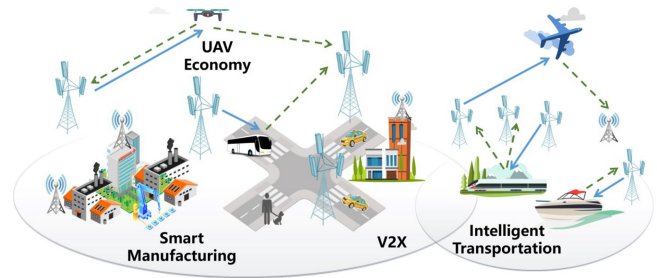


Fig. 1. Typical use cases of ISAC.

sensing and communication (ISAC) is one of the major emerging technologies for 6G, and the key use cases include intelligent transportation, such as vehicle-to-everything (V2X) and unmanned aerial vehicle (UAV) economy, as well as smart manufacturing [7], [8], as shown in Fig. 1. From the technical perspective, sensing and communication have similar data processing flow in terms of signal generation, transmission, reception and processing. Moreover, communication systems are evolving to higher frequencies and larger antenna arrays, which is *de facto* for radar sensing systems. Thus, the integration of sensing and communication is an inevitable trend [9]. Different levels of integration will lead to various folds of benefits, for example, hardware-level integration will lead to cost reduction, signal-level integration will save the spectrum and bring performance enhancement, and data-level integration will cultivate new applications [10], [11], [12].

The 6G standardization has entered a new phase. In June 2023, international telecommunication union radiocommunication sector (ITU-R) working party 5D (WP 5D) finished the international mobile telecommunications-2030 (IMT-2030) Framework Recommendation [13] after a two-and-a-half-year study. This milestone document has defined 6G vision and identified six usage scenarios and 15 key capabilities for 6G. IMT-2030 Framework Recommendation has revealed guidelines and design principles for 6G, with enhanced as well as novel scenarios and capabilities, including ISAC. As shown in Fig. 2, from 2024 to 2026, ITU-R WP 5D will investigate technical performance requirements, evaluation methodologies, test environments and evaluation criteria for IMT-2030 (6G), laying the foundations for technology proposal evaluation which will take place later from 2028 to 2029 [14]. Similar reports have been published for previous generations, for example, [15] is the technical performance

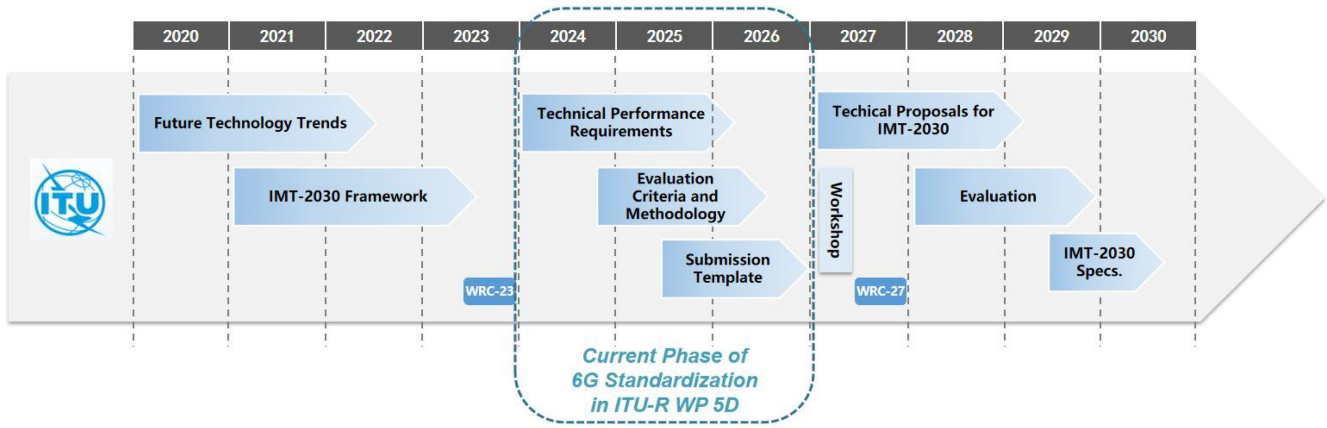


Fig. 2. ITU-R IMT-2030 timeline.

requirements and [16] is the evaluation methodology for IMT-2020 (5G). Therefore, the technical performance requirements, evaluation methodologies and test environments of new IMT-2030 capabilities, such as sensing, are vital for the next phase of 6G research and standardization.

There are several key components required to define and evaluate the performance of Sensing as a Service (SaaS), including technical performance requirement definition, evaluation methodology, test environment, simulation platform and configuration, and ISAC channel modeling. Research on certain topics has already begun, but with more work to be done. In terms of key performance indicator (KPI) definition, Third Generation Partnership Project (3GPP) Service and System Aspects Working Group 1 (SA1) has finished the feasibility study on ISAC [17], 32 use cases and their performance requirements have been defined. However, the KPIs defined are mostly conventional radar system requirements, such as accuracy of positioning estimate, sensing resolution, refreshing rate, etc. Besides, these KPIs are not technical performance requirements but are rather drawn from service demands. Regarding the 32 use cases identified, a down-selection focusing on the essential ones is needed to define suitable test environments for evaluation.

In terms of ISAC channel modeling, 3GPP Radio Access Network Working Group 1 (RAN1) has initiated the study on the ISAC channel model since 2024 [18], [19]. The channel modeling should be based on measurements and simulation, and a number of related studies have been conducted [20], [21], [22], [23], [24]. In addition, the channel correlation between communication and sensing is an essential topic to discuss, relevant research includes [25], [26], [27], [28] and more. The 3GPP ISAC channel modeling study is still in progress and will pave the way for evaluation methodologies once it is finished.

Regarding evaluation methodology, simulation platform and configuration, little work has been completed. The ISAC system simulation is the simulation for the entire system of both sensing and communication, which encompasses system-level simulation and link-level simulation. Although system-level and link-level sensing simulations can be borrowed from those of communication, the unified ISAC system simulation is still absent.

Therefore, this article intends to fill the gap in the field of KPI definition, evaluation methodology, test environment, simulation platform and configuration. To the best of the author's knowledge, currently, there is no publication detailing technical performance requirements and evaluation methodologies for sensing capabilities. Therefore, the main contribution of this article is to propose a systematic technical performance requirement metric for sensing capabilities, as well as corresponding evaluation methodologies and test environments. For the first time, we propose SensCAP, a systematic capability performance metric composed of sensing capacity, sensing accuracy, and sensing probability, and their corresponding evaluation methodologies. SensCAP reflects the comprehensive sensing performance, namely, the number of targets, that can be detected per unit area within unit time, given sensing Quality-of-Service (QoS) requirements which consist of sensing accuracy and probability. The suggested KPI values of SensCAP are given based on ISAC system simulations.

The remainder of this article is organized as follows and is illustrated in Fig. 3: Section II introduces the definition of SensCAP. Section III gives the evaluation methodologies for SensCAP. Section IV presents the system model and sensing processing algorithm which is used for evaluation. Section V introduces the test environments, network layouts, simulation parameters and the novel unified ISAC system simulation platform. Section VI shows simulation results and analysis. Section VII concludes this article by providing SensCAP KPI values and suggesting future research directions.

## II. SENS-CAP—DEFINING TECHNICAL PERFORMANCE REQUIREMENTS FOR SENSING CAPABILITIES

In this section, we give the definition of SensCAP which is a systematic capability performance metric consisting of sensing capacity, accuracy, and probability. We first introduce sensing probability, which indicates the ability to detect the presence of targets and is the prerequisite for accurate sensing. Then we discuss sensing accuracy which shows the individual sensing performance of certain targets. Sensing probability and sensing accuracy build up sensing QoS. Sensing capacity is then defined as the number of targets that can be detected

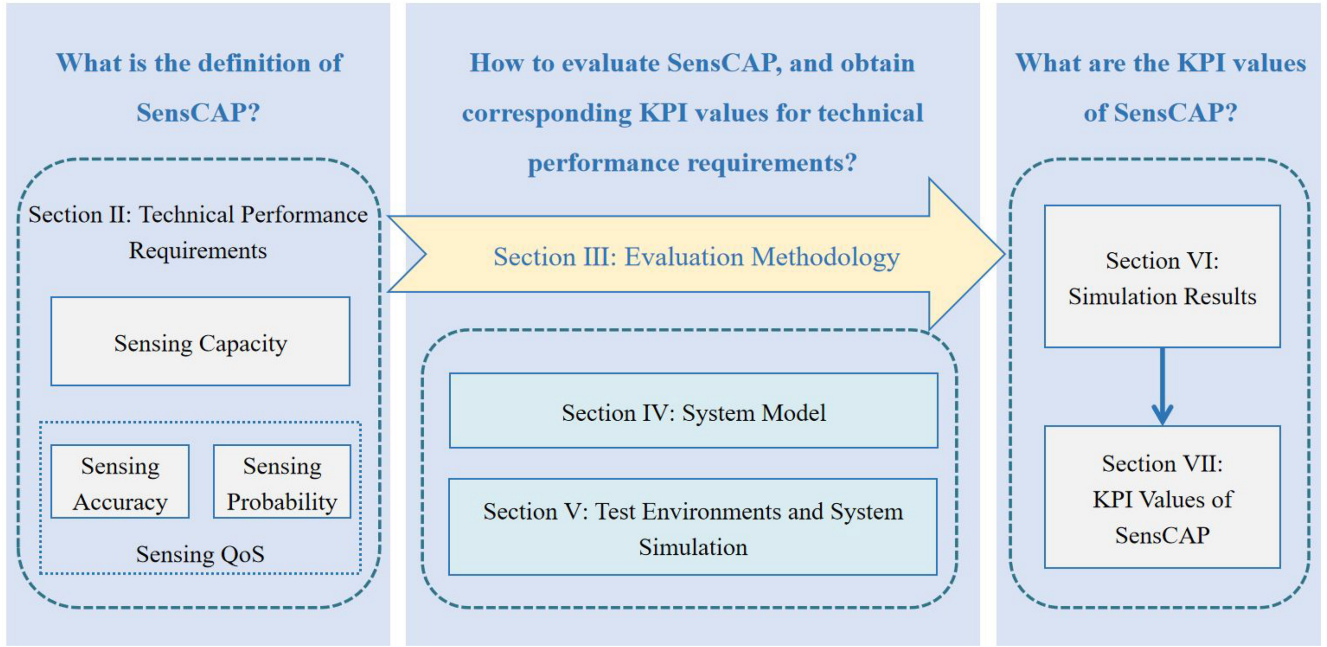


Fig. 3. Main contributions and core structure of this article.

given sensing QoS, which reflects the comprehensive sensing performance.

#### A. Sensing Probability

1) *Definition*: Detection probability and false alarm rate are typical radar requirements. For the sensing probability defined here, we focus on the detection probability for a given false alarm rate. The sensing probability is defined as the ratio of successfully detected targets to the total number of targets, with a given false alarm rate as the prerequisite for obtaining the detection probability. Sensing probability shows the ability to detect the presence of sensing targets (STs), which is inherent in high-performance sensing.

The reason for defining sensing probability as above is two fold. First, the typical values of false alarm rate are smaller than  $10^{-6}$  [29], which is difficult to evaluate through simulations as the target sample size is not large enough in ISAC evaluation setups. Second, using the false alarm rate as the input to acquire detection probability is the proper evaluation approach, more discussions are shown below.

2) *Relationship Between Detection Probability and False Alarm Rate*: In this section, we demonstrate the relationship between the detection probability and the false alarm rate to further elaborate on sensing probability. When detecting the presence of a target, one of the following two assumptions must hold:

$$\begin{cases} \mathcal{H}_0 : \mathbf{y} = \mathbf{w} \\ \mathcal{H}_1 : \mathbf{y} = \mathbf{s} + \mathbf{w} \end{cases} \quad (1)$$

where the first assumption indicates that there is only noise and the second assumption indicates that there is noise and the target, and  $\mathbf{y}$  denotes the observation vector based on  $N$  sample data. The probability capacity function (PDF) describes the observations that need to be tested based on any of the

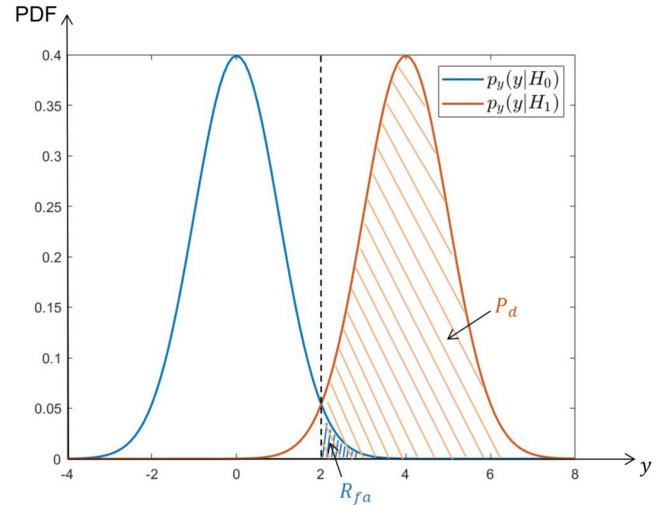


Fig. 4. PDFs under  $\mathcal{H}_0$  and  $\mathcal{H}_1$  assumptions.

hypothetical cases. The  $p_{\mathbf{y}}(\mathbf{y}|\mathcal{H}_1)$  and  $p_{\mathbf{y}}(\mathbf{y}|\mathcal{H}_0)$  are the PDFs of the observation vector for the presence and absence of the target, respectively. Detection probability  $P_d$  means a target is detected in the presence of a target, and false alarm rate  $R_{fa}$  means that a target is detected in the absence of a target. For example, as shown in Fig. 4, the two PDFs denote  $p_{\mathbf{y}}(\mathbf{y}|\mathcal{H}_0)$  and  $p_{\mathbf{y}}(\mathbf{y}|\mathcal{H}_1)$ , where the  $P_d$  and  $R_{fa}$  are expressed as the area of the two PDFs from the threshold of black line to  $+\infty$ , respectively.

In the field of radar sensing, the Neyman–Pearson criterion is usually used for target detection [30]. Such criterion indicates the detection performance is optimized while ensuring that the false alarm rate does not exceed the tolerable range, i.e.,

$$\max P_d, \text{ s.t. } R_{fa} \leq \alpha \quad (2)$$

where  $\alpha$  is the maximum false alarm rate. This optimization problem can be solved by the method of Lagrange multipliers as follows:

$$F = P_d + \lambda(R_{fa} - \alpha). \quad (3)$$

To find the optimal solution to maximize  $F$ , the following expressions can be obtained:

$$\frac{p_{\mathbf{y}}(\mathbf{y}|\mathcal{H}_1)}{p_{\mathbf{y}}(\mathbf{y}|\mathcal{H}_0)} \underset{H_0}{\overset{H_1}{\gtrless}} -\lambda \quad (4)$$

where  $\lambda$  is a detection threshold. The decision criteria represent the likelihood ratio test [31]. The presence or absence of the target depends on the observation  $\mathbf{y}$  and the detection threshold  $\lambda$ . Therefore, we define the sensing probability as the detection probability for a given false alarm rate.

3) *Detection Algorithm*: For radar sensing systems, one of the most commonly used detection algorithms is the constant false alarm rate (CFAR) detection algorithm. The CFAR detector adaptively determines the detection threshold while maintaining a certain number of false alarms [32]. When target detection is performed on the received signal, since the noise power of different detection units usually changes, the noise power of each detection unit needs to be estimated. Hence, in CFAR detection, we first obtain noise power by calculating the average power of the adjacent units, which is effectively the noise power of the current detection unit. Next, we choose a false alarm rate  $R_{fa}$  that meets the demand, and then calculate the detection threshold of the current detection unit according to the  $R_{fa}$  and noise power. Finally, we decide whether there is a target in the current detection unit based on the detection threshold. The detailed mathematical relationship equation is as follows:

$$S_{\text{thres}} = N_{ru} \times \left( R_{fa}^{-\frac{1}{N}} - 1 \right) \times p_n \quad (5)$$

where  $S_{\text{thres}}$  is the detection threshold,  $N_{ru}$  denotes the number of reference units used to estimate the noise power,  $p_n$  denotes the noise power estimated from  $N_{ru}$  reference units, and  $R_{fa}$  denotes the given false alarm rate. From (5) we can see that the false alarm rate is a prerequisite for obtaining the detection probability, and vice versa.

## B. Sensing Accuracy

1) *Definition*: Regarding sensing accuracy, we focus on localization accuracy and velocity accuracy. The former can be derived from range estimation and angle estimation, and the latter can be obtained from Doppler estimation. The definition of localization/velocity accuracy is the difference between the estimated localization/velocity and true values. Such performance requirements can be presented as localization accuracy of  $l_a$  m for a given confidence level (@ confidence level), and velocity accuracy  $v_a$  m/s @ confidence level, where the sensing accuracy can be obtained from the cumulative distribution function (CDF) plot of sensing estimation error given a typical confidence level of 90%. The expression of accuracy @ confidence level is commonly used in the performance evaluation, such as in 3GPP new radio (NR) positioning [33]. The confidence level of 90% means the

90th percentile point on the CDF plot of sensing estimation error, and the corresponding sensing estimation error at 90th percentile point is the value of sensing accuracy.

Moreover, it should be noted that velocity is a vector with direction and magnitude. Thus, at least two receivers are involved when estimating velocity using Doppler information. Another approach using only one receiver for velocity estimation is to calculate average velocity using the location difference of two time-instances divided by the elapsed time. Such a method will reduce certain sensing processing complexities at the cost of nonreal-time results, and the aggregated error introduced by localization estimation may degrade the performance. Detailed sensing localization and velocity estimation algorithms will be presented in Section IV-B.

2) *Cramér–Rao Lower Bound*: As a lower bound for the mean square estimation (MSE) of any unbiased estimator, Cramér–Rao lower bound (CRLB) is an important tool for the performance evaluation of various estimation methods. Thus, CRLB can demonstrate the theoretical limit of sensing accuracy. Suppose that there are  $N$  parameters related to the target to be estimated from the received signal  $\mathbf{y}$ , such as the target's position, velocity, orientation, etc. They form a parameter vector denoted as  $\boldsymbol{\eta} \in \mathbb{C}^{N \times 1}$ . Assuming that  $\hat{\boldsymbol{\eta}}$  is the unbiased estimator of  $\boldsymbol{\eta}$ , then the MSE can be lower bounded by the CRLB

$$\mathbb{E}_{\mathbf{y}|\boldsymbol{\eta}} \left[ (\hat{\boldsymbol{\eta}} - \boldsymbol{\eta})(\hat{\boldsymbol{\eta}} - \boldsymbol{\eta})^T \right] \succeq \mathbf{J}_{\boldsymbol{\eta}}^{-1} \quad (6)$$

where  $\mathbb{E}_{\mathbf{y}|\boldsymbol{\eta}}[\cdot]$  denotes the conditional expectation operation. The matrix  $\mathbf{J}_{\boldsymbol{\eta}}$  is the  $N \times N$  fisher information matrix (FIM), with elements [34]

$$[\mathbf{J}_{\boldsymbol{\eta}}]_{i,j} = \mathbb{E}_{\mathbf{y}|\boldsymbol{\eta}} \left[ -\frac{\partial \log f_{\mathbf{y}|\boldsymbol{\eta}}(\mathbf{y}|\boldsymbol{\eta})}{\partial [\boldsymbol{\eta}]_i} \frac{\partial \log f_{\mathbf{y}|\boldsymbol{\eta}}(\mathbf{y}|\boldsymbol{\eta})}{\partial [\boldsymbol{\eta}]_j} \right] \quad (7)$$

where  $f_{\mathbf{y}|\boldsymbol{\eta}}(\mathbf{y}|\boldsymbol{\eta})$  denotes the conditional likelihood function of  $\mathbf{y}$  under the condition of known  $\boldsymbol{\eta}$ .

In some cases, the position of the target is estimated through a series of intermediate parameters like channel parameters, containing delay, Angle of Arrival (AoA) and Angle of Departure (AoD), etc., denoted as  $\boldsymbol{\zeta}$ . Therefore, the FIM of position estimation will be derived from the FIM of channel estimation [35]

$$\mathbf{J}_{\boldsymbol{\eta}} = \mathbf{T}^T \mathbf{J}_{\boldsymbol{\zeta}} \mathbf{T} = \left( \frac{\partial \boldsymbol{\zeta}}{\partial \boldsymbol{\eta}} \right)^T \mathbf{J}_{\boldsymbol{\zeta}} \left( \frac{\partial \boldsymbol{\zeta}}{\partial \boldsymbol{\eta}} \right) \quad (8)$$

where  $\mathbf{T}$  is the Jacobian matrix representing the derivation function of  $\boldsymbol{\zeta}$  w.r.t.  $\boldsymbol{\eta}$ . The closed-form expression of sensing accuracy CRLB is not the focus of this article, and the detailed derivation procedures of it can be easily found in a number of publications, such as [36], [37], and [38].

## C. Sensing Capacity

The sensing probability and sensing accuracy form sensing QoS, which indicates the sensing service quality that users will experience. Working from sensing QoS, we define sensing capacity, a systematic sensing performance indicator, to demonstrate the comprehensive capabilities of the ISAC system. The definition of sensing capacity is the number

of STs that can be detected per unit area within the unit time, given certain sensing QoS requirements (@sensing QoS) which include sensing accuracy and sensing probability. In other words, sensing capacity indicates that ISAC system can detect  $N$  STs per  $\text{km}^2$  @localization accuracy of  $l_a$  m @velocity accuracy  $v_a$  m/s @detection probability of  $P_d$  @false alarm rate of  $R_{fa}$  within 1 s.

The sensing resource restraint of 1 s (per unit time) is required in order to demonstrate the overall performance of ISAC systems, for both sensing and communication functionalities. However, sensing resource restraint is not rigorous. Although it is not strictly limited, the simulation configurations should include sensing signal duration as an indication of sensing resources used. SensCAP requirements should be met within the sensing signal duration which should be less than 1 s. Furthermore, the ratio of sensing signal duration to unit time 1 s can be perceived as sensing resource utilization. As the total time-frequency-space resources available are limited, hence the tradeoff between communication and sensing performances exists. The sensing resource utilization should be relatively low such that outstanding sensing performances can be achieved without compromising communication.

Sensing capacity is a comprehensive sensing performance requirement, with the consideration of different aspects of sensing service quality, including sensing probability and sensing accuracy. Moreover, sensing capacity also takes into account communication-signal interference on the STs, and poses requirements on sensing resource utilization. Therefore, SensCAP is able to demonstrate the comprehensive performance of ISAC systems, and can provide guidelines for the definition of sensing technical performance requirements.

### III. EVALUATION METHODOLOGIES FOR SENS CAP

This section gives detailed evaluation methodologies for SensCAP. It should be noted that the evaluation of sensing probability and sensing accuracy are not mandatory, they are used for determining KPI values of sensing QoS. The sensing capacity evaluation given sensing QoS requirements is required for candidate technology evaluation. Furthermore, we propose a generic evaluation methodology for sensing, which is suitable for not only SensCAP but also other sensing metrics. In the evaluation methods, the total number of STs that are involved in the simulation is  $N$ , and is expressed as the number of dropped STs in the remaining parts.

#### A. Evaluation Methodology for Sensing Probability

The evaluation methodology of sensing probability is given in Evaluation Methodology 1. It should be noted that the simulation results of sensing probability can be obtained from Evaluation Methodology 3 for sensing capacity. The evaluation methodology defined here is used to determine the requirements for sensing probability and form sensing QoS.

#### B. Evaluation Methodology for Sensing Accuracy

The evaluation methodology of sensing accuracy is given in Evaluation Methodology 2. It should be noted that the simulation results of sensing accuracy are used to obtain the

#### Evaluation Methodology 1 Evaluation Methodology for Sensing Probability

- 1: Perform unified ISAC system simulation using the evaluation parameters in Tables II and III for ISAC test environments, given false alarm rate  $R_{fa}$ .
- 2: Generate sensing transmit signal, and obtain sensing receive signal. Perform sensing signal processing, and record whether a target is present or not.
- 3: If necessary, run multiple simulation drops by repeating steps 1 and 2, and record the total number of successfully detected targets  $N_{dect}$ .
- 4: Calculate the detection probability  $P_d = N_{dect}/N$  for a given false alarm rate  $R_{fa}$ , where  $N$  is the total number of dropped STs.

#### Evaluation Methodology 2 Evaluation Methodology for Sensing Accuracy

- 1: Perform unified ISAC system simulation using the evaluation parameters in Tables II and III for ISAC test environments with 10 STs dropped per Sensing Transmission Reception Point (SensTRxP).
- 2: Generate sensing transmit signal, and obtain sensing receive signal. Perform sensing signal processing, and obtain localization estimation error  $\Delta r$  and velocity estimation error  $\Delta v$  for each ST.
- 3: Plot the CDF curves of  $\Delta r$  and  $\Delta v$ , and derive the localization accuracy  $l_a$  m and velocity accuracy  $v_a$  m/s from the 90th percentile point of the CDF curves, where 90% is the confidence level of localization accuracy and velocity accuracy.

requirements for sensing accuracy and form sensing QoS. Besides conducting simulations, sensing accuracy requirements can be obtained from mathematical calculations based on sensing resolution. The sensing accuracy can be perceived as plus and minus half of the resolution, where the localization resolution is  $c/2B$ , velocity resolution is  $\lambda/2T$  ( $c$  is the speed of light,  $B$  is sensing signal bandwidth,  $\lambda$  is the sensing signal wavelength, and  $T$  is the sensing signal duration). Therefore, we can get sensing accuracy of  $c/4B$  m and  $\lambda/4T$  m/s.

It should be noted that sensing resolution is not defined for sensing performance requirements, the reason is twofold. First, we can drop STs such that the inter-ST distance is larger than the localization resolution, but such ST dropping would lose a certain degree of randomness. Second, we can randomly drop STs, when two targets are too close to meet the resolution requirements, then only one target can be correctly detected, and this will be reflected in the sensing probability. Therefore, in the SensCAP definition, we did not give requirements on sensing resolution, with the considerations mentioned above.

#### C. Evaluation Methodology for Sensing Capacity

Having obtained the sensing QoS requirements of sensing probability and sensing accuracy, we give the evaluation methodology for sensing capacity as shown in Evaluation Methodology 3. The term ‘‘sensing capacity’’ can also be

### Evaluation Methodology 3 Evaluation Methodology for Sensing Capacity

- 1: Perform unified ISAC system simulation using the evaluation parameters in Tables II and III for ISAC test environments with a total number of  $N$  STs dropped.
- 2: Generate sensing transmit signal, obtain sensing receive signal, and perform sensing signal processing.
- 3: Record whether a target is present or not for a given false alarm rate  $R_{fa}$ , and calculate the detection probability  $P_{d\_result}$ , which should be better than sensing probability performance requirements  $P_d$ .
- 4: Calculate the localization estimation error  $\Delta r$  and velocity estimation error  $\Delta v$  for each ST detected. Plot the CDF curves of  $\Delta r$  and  $\Delta v$ , derive the percentage  $R\%$  that fulfils both localization accuracy QoS requirement of  $l_a$  m and velocity accuracy QoS requirement of  $v_a$  m/s.
- 5: If necessary, update  $N$  which is the number of dropped STs, and repeat steps 1 and 4 until at least 90% of the targets fulfil sensing QoS requirements (i.e.,  $R\% \geq 90\%$ ).
- 6: Calculate the sensing capacity as  $C = N/A$ , where  $A$  is the SensTRxP area.

named “sensing density,” and the “capacity evaluation” or “density evaluation” methodologies are not new. For example, [39] gives the evaluation method for Voice over Internet Protocol (VoIP) capacity, [16] gives the evaluation methods for connection density, where the key takeaway from it can be applied here. The sensing capacity can be expressed as  $N_c$  STs per km<sup>2</sup> @localization accuracy of  $l_a$  m @velocity accuracy  $v_a$  m/s @detection probability of  $P_d$  @false alarm rate of  $R_{fa}$  within unit time. As illustrated in Section II-C, the sensing resource utilization should be reported as the ratio of sensing time duration to the unit time, in order to demonstrate the overall ISAC performance.

#### D. Generic Evaluation Methodology for Sensing

Having investigated the evaluation methodologies for sensing probability, accuracy and capacity, it can be found that there are similarities among these. The key concept is first to generate sensing transmit signals, and receive signals after channel convolution. Then perform sensing signal processing, and obtain relevant performance metrics. Therefore, we give a generic evaluation methodology for sensing as shown in Evaluation Methodology 4. The proposed generic evaluation methodology is suitable for evaluating the majority of sensing KPIs, and can be used for not only SensCAP but also other sensing metrics.

To elaborate more on the compatibility and generality of the proposed Generic Evaluation Methodology for sensing, here we compare Evaluation Methodology 4 with Evaluation Methodologies 1–3. Regarding Evaluation Methodology 1 for sensing probability, there are a total of four steps, the procedures are similar to the generic evaluation methodology. For Evaluation Methodology 2 of sensing accuracy, three steps are presented, step 3 in the generic evaluation methodology is not implemented as there is no necessity to repeat steps 1 and 2

### Evaluation Methodology 4 Generic Evaluation Methodology for Sensing

- 1: Perform unified ISAC system simulation and initialize evaluation parameters.
- 2: Generate sensing transmit signal, obtain sensing receive signal, and perform sensing signal processing.
- 3: If necessary, update evaluation parameters, and repeat steps 1 and 2 until satisfying certain requirements if any.
- 4: Obtain relevant sensing performance metrics based on the sensing signal processing results.

TABLE I  
MAIN PARAMETERS AND CORRESPONDING DESCRIPTIONS

| Parameter    | Description                                     |
|--------------|---|
| $I$          | Number of SensTRxP                              |
| $N_T$        | Number of sensing transmit antennas             |
| $N_R$        | Number of sensing receive antennas              |
| $J$          | Number of CommTRxP as well as the associated UE |
| $M_T$        | Number of communication transmit antennas       |
| $L$          | Number of OFDM symbols                          |
| $K$          | Number of subcarriers                           |
| $\mathbf{x}$ | Transmit signal                                 |
| $\mathbf{y}$ | Receive signal                                  |
| $\mathbf{w}$ | Noise signal                                    |
| $\mathbf{H}$ | Channel state matrix                            |
| $\rho$       | Path loss                                       |
| $\Omega$     | Spatial angle consists of elevation and azimuth |
| $\theta$     | Elevation angle                                 |
| $\phi$       | Azimuth angle                                   |
| $f_c$        | Center frequency                                |
| $T_s$        | OFDM symbol duration                            |
| $f_D$        | Doppler shift                                   |
| $\Delta f$   | Subcarrier spacing                              |
| $d$          | Propagation distance of the sensing signal      |
| $v$          | Velocity of the sensing target                  |

when evaluating sensing accuracy. In terms of Evaluation Methodology 3 for sensing capacity, a total of six steps are described, where steps 2–4 correspond to step 2 of the generic evaluation methodology. Steps 3 and 4 of the sensing capacity evaluation describe the fulfilment of sensing QoS which could be omitted, as the sensing QoS has already been briefly mentioned in Step 5, thus we provide steps 3 and 4 in the sensing capacity evaluation methodology for clarity and better readability. If we consider steps 2–4 of Evaluation Methodology 3 for sensing capacity as one step, then there are four steps in total, which have the same structure as the generic evaluation methodology.

#### IV. SYSTEM MODEL AND SENSING PROCESSING

This section gives the system model which is used in system simulations, and also presents the sensing signal processing algorithm. We use 5G NR with orthogonal frequency-division multiplexing (OFDM) signals as the foundation of the system model and sensing processing. The sensing algorithm described in this section is the basic approach of sensing, where the delay and Doppler information can be extracted based on the differences between receive and transmit signals. Related parameters in this section are shown in Table I.

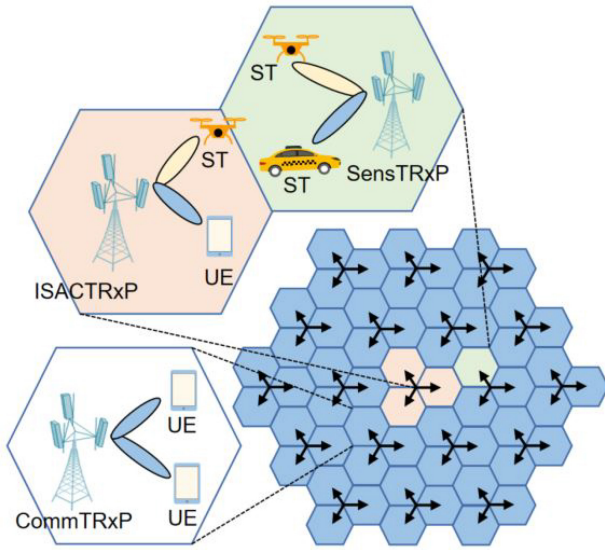


Fig. 5. System model.

### A. System Model

As shown in Fig. 5, the ISAC network includes  $I$  sensing TRxPs (SensTRxP) with  $N_T$  transmitted antennas and  $N_R$  received antennas and  $J$  downlink communication TRxPs (CommTRxP) with  $M_T$  antennas. There are also ISAC TRxPs (ISACTRxP), which can be reduced to a SensTRxP or a CommTRxP for a given period with the assumption of time-duplexed sensing and communication functions. In addition, assume each downlink CommTRxP serves one communication user equipment (UE) in a given OFDM symbol, denoted as  $UE_j$ . UEs are equipped with  $M_R$  antennas. Such assumption of one UE served per CommTRxP can be easily extended to multiple UEs and we use the current assumption for simplicity.

Regarding sensing process, each SensTRxP $_i$  ( $i = 1, \dots, I$ ) transmit sensing reference signals  $\mathbf{x}_{s,i} \in \mathbb{C}^{N_T \times 1}$  with the transmission power of  $P_{s,i}$ . The signal is then reflected by the ST and received by either itself (monostatic) or other SensTRxP (multistatic). The ST could be a connected user or a nonconnected object. This article focuses on device-free sensing where the targets do not participate in sensing signal transmission, reception and processing.

In terms of communication, each CommTRxP $_j$  ( $j = 1, \dots, J$ ) transmits communication data signals  $\mathbf{x}_{c,j} \in \mathbb{C}^{M_T \times 1}$  with the transmission power of  $P_{c,j}$  to  $UE_j$  in the serving cell.

For sensing, the signal  $\mathbf{y}_{s,i}$  received by SensTRxP $_i$  is presented as follows:

$$\mathbf{y}_{s,i} = \sum_{i'=1}^I (\mathbf{y}_{s,i,i'} + \mathbf{y}_{ss,i,i'}) + \sum_{j=1}^J \mathbf{y}_{cs,i,j} + \mathbf{w}_s \quad (9)$$

where  $\mathbf{w} \in \mathbb{C}^{N_R \times 1}$  is the noise signal at SensTRxP $_i$ . It should be noted that in the system model defined here, the cluster interference is seen as noise due to its zero-Doppler property. The links between SensTRxPs and STs are Line-of-Sight (LoS). Regarding the non Line-of-Sight (NLoS) sensing, the assumption we made in this work is that NLoS links will lead to much weaker receive signal power, and can be

considered as noise. Nevertheless, a variety of studies, such as [40] and [41], focus on NLoS sensing, which will not be thoroughly discussed here.

The sensing signals sent from SensTRxP $_i$ , reflected by ST and received by SensTRxP $_i$  is denoted by

$$\mathbf{y}_{s,i,i'} = \sqrt{\rho_{s,i,i'} P_{s,i'}} \mathbf{H}_{s,i,i'} \mathbf{x}_{s,i'}. \quad (10)$$

And the sensing signals from SensTRxP $_i$  directly to SensTRxP $_i$ , which contains the self-interference (when  $i' = i$ ) and mutual-interference (when  $i' \neq i$ ), is denoted by

$$\mathbf{y}_{ss,i,i'} = \sqrt{\rho_{ss,i,i'} P_{s,i'}} \mathbf{H}_{ss,i,i'} \mathbf{x}_{s,i'}. \quad (11)$$

Besides, the interference generated by CommTRxP $_j$  on the SensTRxP $_i$  is denoted by

$$\mathbf{y}_{cs,i,j} = \sqrt{\rho_{cs,i,j} P_{c,j}} \mathbf{H}_{cs,i,j} \mathbf{x}_{c,j}. \quad (12)$$

In (10)–(12),  $\mathbf{H}_{s,i,i'} \in \mathbb{C}^{N_R \times N_T}$ ,  $\mathbf{H}_{ss,i,i'} \in \mathbb{C}^{N_R \times N_T}$ ,  $\mathbf{H}_{cs,i,j} \in \mathbb{C}^{N_R \times M_T}$ , and  $\rho_{s,i,i'}$ ,  $\rho_{ss,i,i'}$ ,  $\rho_{cs,i,j}$  are the channel state matrices and path loss for the link from the SensTRxP $_i$  to the SensTRxP $_i$  reflected by ST, the link from the SensTRxP $_i$  directly to the SensTRxP $_i$ , and the link from the CommTRxP $_j$  to the SensTRxP $_i$ , respectively. The path loss is presented as follows [42]:

$$\begin{aligned} \rho_{s,i,i'} &= \frac{G_{st} G_{sr} \lambda^2 \sigma_{RCS}}{(4\pi)^3 d_{i',ST}^2 d_{i,ST}^2 d_{i',ST}^2} \\ \rho_{ss,i,i'} &= \frac{G_{st} G_{sr} \lambda}{(4\pi)^2 d_{i,i'}^2} \\ \rho_{cs,i,j} &= \frac{G_{ct} G_{sr} \lambda}{(4\pi)^2 d_{i,j}^2} \end{aligned} \quad (13)$$

where  $d_{i',ST}$ ,  $d_{i,ST}$ ,  $d_{i,i'}$ ,  $d_{i,j}$  are the distances from the SensTRxP $_i$  to ST, from ST to SensTRxP $_i$ , from SensTRxP $_i$  to SensTRxP $_i$ , and from CommTRxP $_j$  to SensTRxP $_i$ , respectively.  $\lambda$  is the wavelength, and  $\sigma_{RCS}$  is the radar cross section (RCS) of ST.  $G_{st}$ ,  $G_{sr}$ ,  $G_{ct}$  are the antenna gains of the SensTRxP's transmitted array, received array and the CommTRxP's transmitted array, respectively.

From (9), we can derive the signal-to-interference-plus-noise ratio (SINR) of the sensing signal received by SensTRxP $_i$

$$\text{SINR}_{s,i} = \frac{P_{r,s,i}}{(I_{c,i} + I_{ss,i} + P_w)} \quad (14)$$

where  $P_w$  is the noise power,  $P_{r,s,i}$  is the received sensing signal power, and  $I_{c,i}$  and  $I_{ss,i}$  are the interference signal power of the CommTRxPs and SensTRxPs, respectively, on SensTRxP $_i$

$$\begin{aligned} P_{r,s,i} &= \sum_{i'=1}^I \rho_{s,i,i'} P_{s,i'} \|\mathbf{H}_{s,i,i'}\|_F^2 \\ I_{ss,i} &= \sum_{i'=1}^I \rho_{ss,i,i'} P_{s,i'} \|\mathbf{H}_{ss,i,i'}\|_F^2 \\ I_{c,i} &= \sum_{j=1}^J \rho_{cs,i,j} P_{c,j} \|\mathbf{H}_{cs,i,j}\|_F^2. \end{aligned} \quad (15)$$

For communication, the signal  $\mathbf{y}_{c,j}$  received by UE<sub>j</sub> is expressed as

$$\begin{aligned} \mathbf{y}_{c,j} = & \sqrt{\rho_{c,j}P_{c,j}}\mathbf{H}_{c,j}\mathbf{x}_{c,j} + \sum_{i=1}^I \sqrt{\rho_{sc,j,i}P_{s,i}}\mathbf{H}_{sc,j,i}\mathbf{x}_{s,i} \\ & + \sum_{j'=1, j' \neq j}^J \sqrt{\rho_{cc,j,j'}P_{c,j'}}\mathbf{H}_{cc,j,j'}\mathbf{x}_{c,j'} + \mathbf{w}_c \end{aligned} \quad (16)$$

where the first term of the right-hand side is the data signal from CommTRxP<sub>j</sub>, the second term is the interference from SensTRxPs, the third term is the interference caused by other CommTRxPs to UE<sub>j</sub>, and the fourth term is the noise signal at CommTRxP<sub>j</sub>.  $\mathbf{H}_{c,j}$ ,  $\mathbf{H}_{cc,j,j'} \in \mathbb{C}^{M_R \times M_T}$ ,  $\mathbf{H}_{sc,j,i} \in \mathbb{C}^{M_R \times N_T}$  and  $\rho_{c,j}$ ,  $\rho_{cc,j,j'}$ ,  $\rho_{sc,j,i}$  are the channel state matrices and path loss of the link from CommTRxP<sub>j</sub> to UE<sub>j</sub>, from CommTRxP<sub>j'</sub> to UE<sub>j</sub>, and from the SensTRxP<sub>i</sub> to UE<sub>j</sub>, respectively. Besides,  $\rho_{c,j}$ ,  $\rho_{cc,j,j'}$ ,  $\rho_{sc,j,i}$  has similar expression as  $\rho_{cs,i,i'}$ .

Based on (16), the SINR of the communication signal received by UE<sub>j</sub> is calculated as follows:

$$\text{SINR}_{c,j} = \frac{\rho_{c,j}P_{c,j} \|\mathbf{H}_{c,j}\|_F^2}{(I_{c,j} + I_{s,j} + P_w)} \quad (17)$$

where  $I_{c,j}$  and  $I_{s,j}$  are the power of the interference signals from other CommTRxPs and the SensTRxPs, respectively, on UE<sub>j</sub>

$$\begin{aligned} I_{c,j} = & \sum_{j'=1, j' \neq j}^J \rho_{cc,j,j'}P_{c,j'} \|\mathbf{H}_{cc,j,j'}\|_F^2 \\ I_{s,j} = & \sum_{i=1}^I \rho_{sc,j,i}P_{s,i} \|\mathbf{H}_{sc,j,i}\|_F^2. \end{aligned} \quad (18)$$

### B. Sensing Algorithm and Signal Processing

In this section, the location and velocity estimation algorithm is demonstrated for the cooperative sensing systems where multiple monostatic sensing processing is involved, and such an algorithm can be reduced to a single monostatic processing easily. To obtain the expected sensing results, we need to first extract the AoA, signal propagation distance and radial velocity from the received signal of each SensTRxP.

Regarding AoA estimation, we can first compensate the received signal by using the steering vector of the antenna array  $\mathbf{a}_i(\Omega)$ ,  $i = 1, 2, \dots, I$ , as

$$g_i(\Omega, k, l) = \mathbf{a}_i^H(\Omega)\mathbf{y}_i(k, l) \quad (19)$$

where  $I$  is the total number of SensTRxPs, and  $\Omega = (\theta, \phi)$  denotes the spatial angle with  $\theta$  and  $\phi$  referring to the elevation and azimuth angles in spherical coordinates, respectively. By calculating the sum power received from each angle as

$$h_i(\Omega) = \sum_{l=0}^{L-1} \sum_{k=0}^{K-1} |g_i(\Omega, k, l)|^2 \quad (20)$$

we can obtain  $\hat{\Omega}_i = (\hat{\theta}_i, \hat{\phi}_i)$  which is the AoA, including the elevation and azimuth angles of STs, by searching the peaks of  $h_i(\Omega)$ , where  $l = 0, \dots, L-1$ , and  $k = 0, \dots, K-1$  are

the OFDM symbol index and subcarrier index of a resource element (RE), with  $L$  and  $K$  are the total number of OFDM symbols and subcarriers, respectively.

We can then estimate signal propagation distance and radial velocity by the estimated AoA  $\hat{\Omega}_i$ . Dividing  $g_i$  in (19) by the transmit symbols  $s_i$ , we have

$$\tilde{g}_i(\Omega, k, l) = \frac{g_i(\Omega, k, l)}{s_i} \quad (21)$$

which is equivalent to the sensing channel information plus noise and interference. Therefore, we can perform 2-D discrete Fourier transform (2D-DFT) on  $\tilde{g}_i$  [43] as

$$\begin{aligned} \tilde{G}_i(p, q) = & \frac{1}{kl} \sum_{l=0}^L \sum_{k=0}^K \tilde{g}_i(\Omega, k, l) \\ & \times e^{-j(p-\frac{l}{2})\frac{2\pi}{T}l} e^{j(q-1)\frac{2\pi}{T}k}. \end{aligned} \quad (22)$$

The phase induced by the time delay  $\tau$  and radial velocity can be compensated when

$$T_s f_D = \frac{(\hat{p}_i - \frac{l}{2})}{l} \quad (23)$$

$$\tau \Delta f = \frac{(\hat{q}_i - 1)}{k} \quad (24)$$

where  $(\hat{p}_i, \hat{q}_i)$  is the peak index of OFDM symbols and subcarrier in  $\tilde{g}_i$ ,  $f_D$  is the Doppler offset caused by the radial velocity,  $\Delta f$  is the subcarrier spacing, and  $T_s$  is the symbol duration. The propagation distance  $\hat{d}_i$  and the radial velocity  $\hat{v}_{\parallel,i}$  of sensing objects can then be solved as

$$\hat{v}_{\parallel,n} = \frac{(\hat{p}_i - \frac{l}{2})c}{lT_s f_c} \quad (25)$$

$$\hat{d}_i = \frac{(\hat{q}_i - 1)c}{k \Delta f}. \quad (26)$$

Based on the AoA  $\hat{\Omega}_i = (\hat{\theta}_i, \hat{\phi}_i)$ , propagation distance  $\hat{d}_i$  and radial velocity  $\hat{v}_{\parallel,n}$  estimated by  $I$  SensTRxPs, we can optimize the position estimation of ST as follows. Assuming that the coordinate of SensTRxP<sub>i</sub> is  $(x_i, y_i, z_i)$  and the coordinate of ST is  $(x, y, z)$ , we can formulate the optimization problem as

$$\begin{aligned} (x, y, z) := & \min_{x,y,z} \sum_{k=1}^i \alpha_i \left| \hat{d}_i - 2d_i \right| + \sum_{k=1}^i \beta_i \left| \hat{\theta}_i - \theta_i \right| \\ & + \sum_{k=1}^i \gamma_i \left| \hat{\phi}_i - \phi_i \right| \end{aligned} \quad (27)$$

where  $d_i = \sqrt{(x-x_i)^2 + (y-y_i)^2 + (z-z_i)^2}$  is the distance between SensTRxP<sub>i</sub> and ST,  $\theta_i = \arccos([z-z_i]/d_i)$  and  $\phi_i = \arctan([y-y_i]/[x-x_i])$  are the elevation and azimuth angle of the ST with respect to SensTRxP<sub>i</sub>,  $\alpha_i$ ,  $\beta_i$ , and  $\gamma_i$  are the weighting coefficients for the results estimated by SensTRxP<sub>i</sub>. The optimization problem (27) can be quickly solved by using the interior-point method with the optimal estimation of ST position being  $(\hat{x}, \hat{y}, \hat{z})$  [44].

Next, we estimate object velocity, denoted as  $(v_x, v_y, v_z)$ , based on the estimated object position  $(\hat{x}, \hat{y}, \hat{z})$  and radial



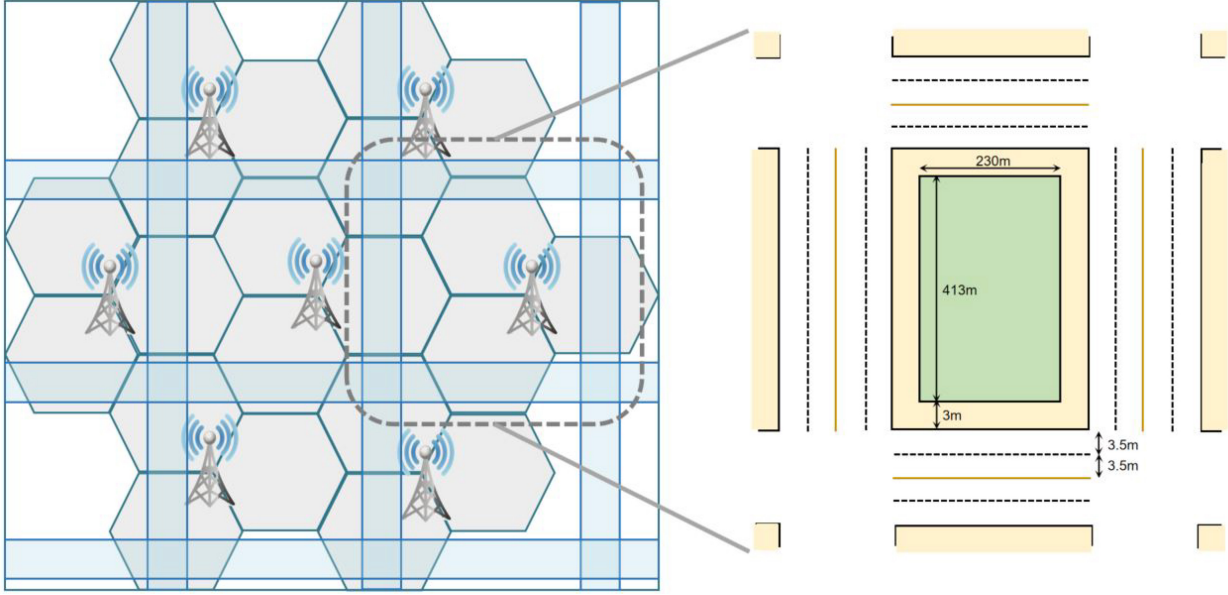


Fig. 6. Network layout for Outdoor-V2X-ISAC test environment.

velocity  $\hat{v}_{\parallel,i}$ . The radial velocity of the ST with respect to SensTRxP<sub>*i*</sub> can be written as

$$v_{\parallel,i} = v_x \sin \hat{\theta}_i \cos \hat{\phi}_i + v_y \sin \hat{\theta}_i \sin \hat{\phi}_i + v_z \cos \hat{\theta}_i \quad (28)$$

where  $\hat{\theta}_i$  and  $\hat{\phi}_i$  are, respectively, updated as  $\theta_i = \arccos([\hat{z} - z_i]/\hat{d}_i)$  and  $\hat{\phi}_i = \arctan([\hat{y} - y_i]/[\hat{x} - x_i])$  with  $\hat{d}_i = \sqrt{(\hat{x} - x_i)^2 + (\hat{y} - y_i)^2 + (\hat{z} - z_i)^2}$ . Therefore, we can formulate the optimization problem as

$$(v_x, v_y, v_z) = \min_{v_x, v_y, v_z} \sum_{i=1}^I \varphi_i |\hat{v}_{\parallel,i} - v_{\parallel,i}| \quad (29)$$

where  $\varphi_i$  is the weighting coefficient. The optimization problem (29) can be solved again by using the interior-point method.

## V. TEST ENVIRONMENTS AND SYSTEM SIMULATIONS

In this section, we present test environments, network layouts, evaluation configurations, and simulation platforms, which are important pieces of system simulations.

### A. Test Environments and Network Layouts

Focusing on the key ISAC use cases as discussed in Section I which are V2X, UAV economy and smart manufacturing, we define three ISAC test environments.

- 1) *Outdoor-V2X-ISAC*: An urban environment with high-ST density focusing on vehicles. Regarding network layout, the base stations (BSs) or sites are placed in a regular grid, following a hexagonal layout with three TRxPs each, the same as the Dense Urban network layout in [16]. The STs are randomly dropped on the road lane as shown in Fig. 6, the same as the V2X network layout in [45].
- 2) *Outdoor-UAV-ISAC*: An urban environment with high-ST density focusing on UAVs. Regarding network

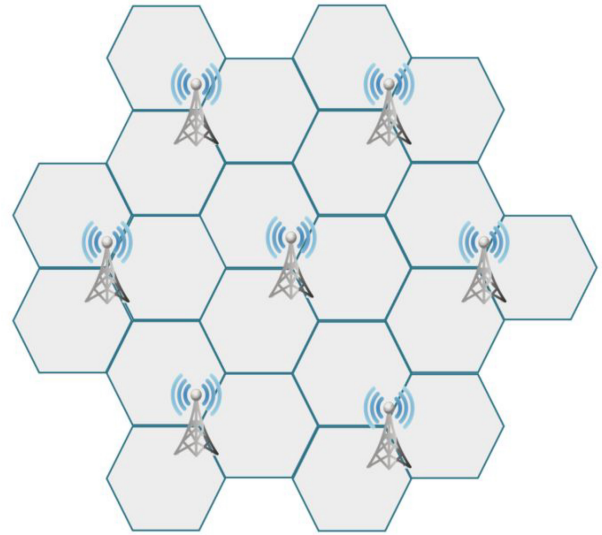


Fig. 7. Network layout for Outdoor-UAV-ISAC test environment.

layout, the BSs/sites are placed in a regular grid, following a hexagonal layout with three TRxPs each, the same as the Dense Urban network layout [16] as shown in Fig. 7. As Outdoor-V2X-ISAC and Outdoor-UAV-ISAC test environments both have dense-urban-style network layouts, we could merge these two into Dense Urban-ISAC test environments with different evaluation configurations.

- 3) *Indoor-Factory-ISAC*: An indoor isolated environment at factories focusing on automated guided vehicles (AGVs) with high-ST density. The BSs/sites are placed in 20-m spacing as shown in Fig. 8, with a floor surface of 120 m × 50 m containing 12 BSs/sites, which is the same as the indoor network layout in [16].

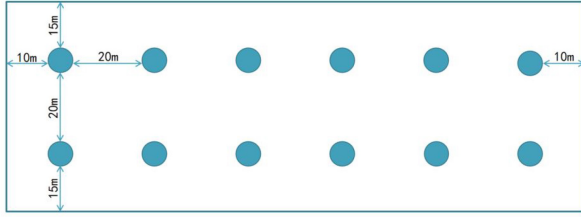


Fig. 8. Network layout for Indoor-Factory-ISAC test environment.

### Simulation Procedure 1 Unified ISAC System Simulation

- 1: Configure simulation parameters and test environments.
- 2: Drop BS and initialize BS parameters.
- 3: Drop ST and UE, initialize corresponding parameters.
- 4: Generate sensing channel, communication channel and interference channel, respectively, include both large-scale and small-scale channels.
- 5: Perform ST-BS pairing and UE-BS pairing, respectively.
- 6: For a given slot, perform resource scheduling and signal transmission.
- 7: Update channel, SensTRxPs perform sensing signal reception and processing, CommTRxPs perform communication signal reception and processing.
- 8: Conduct slot loop of steps 6 and 7, and record simulation results for different targeted performance indicators.

### Simulation Procedure 2 System-Level Simulation

- 1: Configure simulation parameters and test environments.
- 2: Drop BS and initialize BS parameters.
- 3: Drop ST and UE, initialize corresponding parameters.
- 4: Generate large-scale sensing channel, communication channel and interference channel, respectively.
- 5: Perform ST-BS pairing and UE-BS pairing, respectively.
- 6: For a given slot, perform resource scheduling.
- 7: Update channel, and calculate SINR.
- 8: Conduct slot loop of steps 6 and 7, and record SINR results.

## B. Simulation Platforms

In this section, we give detailed procedures for system-level sensing simulation, link-level sensing simulation, and unified ISAC system simulation. The proposed unified ISAC system simulation is a platform that integrates both system-level and link-level sensing simulations, and is vital for KPI definition and evaluations of sensing capacity @sensing QoS. Detailed ISAC system simulation platform descriptions are shown in Simulation Procedure 1.

In addition, we also present system-level and link-level sensing simulation in Simulation Procedure 2 and 3, which is used for Evaluation Methodology 5 in Appendix B (detailed explanation of it will be presented in Section VI). The sensing system-level and link-level simulations are similar to those for communications, with sensing channel and sensing signal processing embedded. Given the absence of mature ISAC channel modeling, such system-level and link-level sensing simulations can reduce simulation workload, and are in nature

### Simulation Procedure 3 Link-Level Simulation

- 1: Configure simulation parameters and test environments.
- 2: Drop BS and initialize BS parameters.
- 3: Drop ST and UE, initialize corresponding parameters.
- 4: Generate small-scale sensing channel, communication channel and interference channel, respectively.
- 5: Generate transmit signal, convolve with the small-scale channel.
- 6: Add interference and noise using the SINR results obtained from the system-level simulations to get the received signal.
- 7: Perform sensing signal processing, and record simulation results for different targeted performance indicators.

TABLE II  
SIMULATION PARAMETERS FOR OUTDOOR-ISAC TEST ENVIRONMENTS

| Parameters                                    | Notation    | Value                                  |
|---|-------------|--|
| Center Frequency                              | $f_c$       | 7 GHz                                  |
| Bandwidth                                     | BW          | 100 MHz                                |
| Signal duration                               | T           | 20 ms                                  |
| Subcarrier Spacing                            | SCS         | 60 kHz                                 |
| Base station transmit power                   | $P_t$       | 52 dBm                                 |
| Inter-site distance                           | ISD         | 200 m                                  |
| Base station antenna height                   | $h_{BS}$    | 25 m                                   |
| Number of vertical antennas                   | $M$         | 16                                     |
| Number of horizontal antennas                 | $N$         | 8                                      |
| Number of Polarization                        | $P$         | 2                                      |
| Number of vertical channels                   | $M_P$       | 8                                      |
| Number of horizontal channels                 | $N_P$       | 8                                      |
| Antenna gain per element                      | $G_{ant}$   | 8 dBi                                  |
| Antenna element vertical-spacing              | $d_{ant_v}$ | 0.8 $\lambda$                          |
| Antenna element horizontal-spacing            | $d_{ant_h}$ | 0.5 $\lambda$                          |
| Antenna electrical downtilt angle (V2X / UAV) | $A_{dt}$    | 11° / -11°                             |
| Radar cross section (V2X / UAV)               | RCS         | 10 m <sup>2</sup> / 0.1 m <sup>2</sup> |
| Sensing target height (V2X / UAV)             | $H_{ST}$    | 0 m / 25 - 100 m                       |

very similar to the unified ISAC system simulation. Besides, the benefit of using system-level simulation plus link-level simulation is that we can obtain some intermediate results, such as SINR, to examine the sensing performance.

## C. Evaluation Configurations

Detailed evaluation configurations and simulation parameters are given in Tables II and III. In the simulation, we focus on sensing processing where the sensing and communication functionalities operate at a time-division mode. We choose time-division mode because outstanding sensing localization performance requires a large bandwidth. Therefore, using time-division will provide more bandwidth and is also beneficial in terms of flexible signal scheduling. We use dedicated sensing reference signals in the simulation, and the Gold sequence is used for signal generation. Detailed sequence generation equation is not the focus of this article and relevant information can be found in [46].

As discussed in Section III-B, the sensing accuracy and resolution depend on time-frequency resources, and sensing accuracy can be perceived as plus and minus half of the resolution. Considering the localization resolution of  $c/2B$ , we

TABLE III  
SIMULATION PARAMETERS FOR INDOOR-ISAC TEST ENVIRONMENTS

| Parameters                         | Notation    | Value           |
|------------------------------------|-------------|-----------------|
| Center Frequency                   | $f_c$       | 26 GHz          |
| Bandwidth                          | BW          | 400 MHz         |
| Signal duration                    | T           | 10 ms           |
| Subcarrier Spacing                 | SCS         | 120 kHz         |
| Base station transmit power        | $P_t$       | 24 dBm          |
| Inter-site distance                | ISD         | 20 m            |
| Base station antenna height        | $h_{BS}$    | 3 m             |
| Number of vertical antennas        | M           | 16              |
| Number of horizontal antennas      | N           | 8               |
| Number of Polarization             | P           | 2               |
| Number of vertical channels        | $M_P$       | 8               |
| Number of horizontal channels      | $N_P$       | 8               |
| Antenna gain per element           | $G_{ant}$   | 5 dBi           |
| Antenna element vertical-spacing   | $d_{ant_v}$ | $0.5\lambda$    |
| Antenna element horizontal-spacing | $d_{ant_h}$ | $0.5\lambda$    |
| Antenna electrical downtilt angle  | $A_{dt}$    | $90^\circ$      |
| Radar cross section (AGV)          | RCS         | $1 \text{ m}^2$ |
| Sensing target height (AGV)        | $H_{ST}$    | 0 m             |

use 100 and 400 MHz, which will yield localization resolution of 1.5 and 0.375 m for outdoor and indoor configurations, respectively. Regarding velocity resolution of  $\lambda/2T$ , we use 20 and 10 ms, which will yield velocity resolution of 1.07 and 0.58 m for outdoor and indoor configurations, respectively.

It should be noted that as mentioned in Section V-B, system-level plus link-level simulations are conducted. Besides, the channel coefficient generation procedure is shown in Appendix A.

## VI. SIMULATION RESULTS AND ANALYSIS

This section presents the simulation results of SensCAP. For sensing capacity simulations, as illustrated in Section V-B, since ISAC channel model is still under research, we use system-level plus link-level sensing simulations to reduce the simulation workload. The alternative Evaluation Methodology 5 in Appendix B is used in the simulation. This is in nature very similar to Evaluation Methodology 3, only with the ST dropping method changed (refer to Appendix B for more details). Also, another advantage of using system-level simulation plus link-level simulation is that we can obtain some intermediate results, such as SINR, to investigate the sensing performance more closely.

Regarding the sensing resource utilization, as shown in Tables II and III, the sensing signal duration is 20 and 10 ms for Outdoor-ISAC test environments and Indoor-ISAC test environments, therefore, the sensing resource utilization is only 2% and 1%, respectively. Such sensing resource utilization demonstrates the outstanding ISAC performance for both sensing and communication.

### A. Sensing Probability

This section presents the simulation results of sensing probability. Table IV demonstrates the sensing detection probability given different false alarm rates. It can be observed that the sensing detection probability and false alarm rate hold a tradeoff, where the improvement of one will degrade the performance of the other one. This is because a larger false alarm rate indicates that the detection threshold has become

TABLE IV  
SIMULATION RESULTS OF SENSING PROBABILITY

| False Alarm Rate | Test Environments       | Total number of dropped STs | Number of successfully detected STs | Detection Probability |
|------------------|-------------------------|-----------------------------|-------------------------------------|-----------------------|
| $10^{-3}$        | Outdoor - V2X - ISAC    | 11040                       | 11040                               | 100%                  |
|                  | Outdoor - UAV - ISAC    | 10500                       | 10500                               | 100%                  |
|                  | Indoor - Factory - ISAC | 9396                        | 9396                                | 100%                  |
| $10^{-4}$        | Outdoor - V2X - ISAC    | 11040                       | 11010                               | 99.73%                |
|                  | Outdoor - UAV - ISAC    | 10500                       | 10495                               | 99.95%                |
|                  | Indoor - Factory - ISAC | 9396                        | 9396                                | 100%                  |
| $10^{-5}$        | Outdoor - V2X - ISAC    | 11040                       | 10890                               | 98.64%                |
|                  | Outdoor - UAV - ISAC    | 10500                       | 10361                               | 98.68%                |
|                  | Indoor - Factory - ISAC | 9396                        | 9396                                | 100%                  |
| $10^{-6}$        | Outdoor - V2X - ISAC    | 11040                       | 10410                               | 94.29%                |
|                  | Outdoor - UAV - ISAC    | 10500                       | 9953                                | 94.79%                |
|                  | Indoor - Factory - ISAC | 9396                        | 9392                                | 99.96%                |
| $10^{-7}$        | Outdoor - V2X - ISAC    | 11040                       | 9390                                | 85.05%                |
|                  | Outdoor - UAV - ISAC    | 10500                       | 9227                                | 87.88%                |
|                  | Indoor - Factory - ISAC | 9396                        | 9301                                | 99.03%                |
| $10^{-8}$        | Outdoor - V2X - ISAC    | 11040                       | 8640                                | 78.26%                |
|                  | Outdoor - UAV - ISAC    | 10500                       | 8353                                | 79.55%                |
|                  | Indoor - Factory - ISAC | 9396                        | 8993                                | 95.71%                |
| $10^{-9}$        | Outdoor - V2X - ISAC    | 11040                       | 7740                                | 70.11%                |
|                  | Outdoor - UAV - ISAC    | 10500                       | 7477                                | 71.21%                |
|                  | Indoor - Factory - ISAC | 9396                        | 8434                                | 89.76%                |

lower, and a lower threshold leads to more targets detected, which results in an increased detection probability.

### B. Sensing Accuracy

This section presents the simulation results of sensing accuracy. Figs. 9–11 demonstrate the CDF curves of sensing localization error and velocity error for different test environments. Take the confidence level of 90% for localization and 70% for velocity, the localization accuracy is 1.44, 2.03, and 1.23 m and the velocity accuracy is 1.55, 4.46, and 0.95 m/s for Outdoor-V2X-ISAC test environment, Outdoor-UAV-ISAC test environment and Indoor-Factory-ISAC test environment, respectively. To get roughly 1 m or 1-m/s sensing accuracy, the confidence level we choose for velocity accuracy (70%) is lower than that of localization accuracy (90%). If we also choose 90% for the confidence level of velocity accuracy, for example in the V2X case, this will lead to 3-m/s velocity accuracy. This is because the velocity is estimated using Doppler estimation results from multiple SensTRxPs,

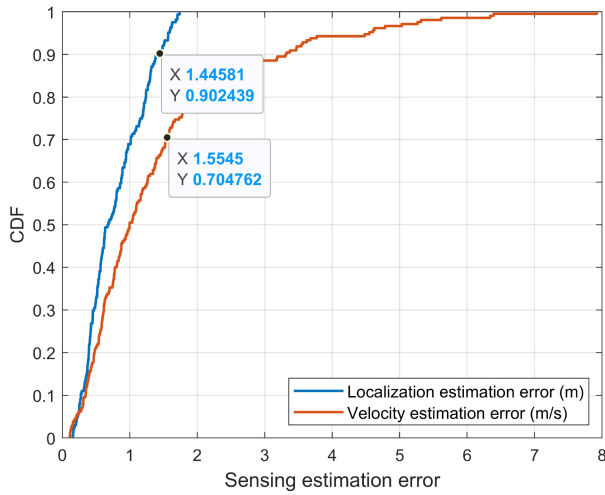


Fig. 9. Simulation results of sensing accuracy for Outdoor-V2X-ISAC test environment.

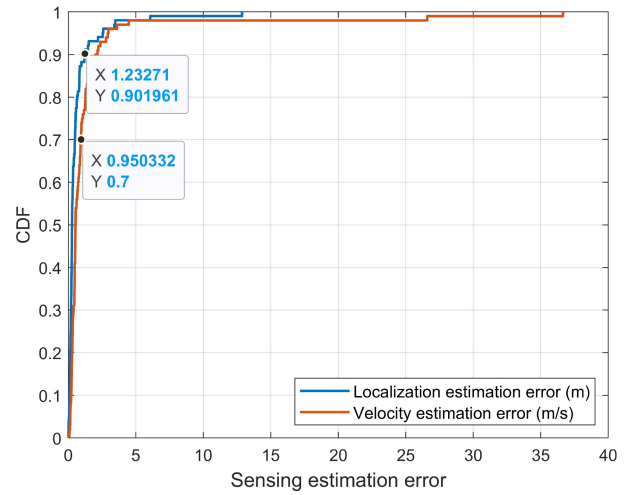


Fig. 11. Simulation results of sensing accuracy for Indoor-Factory-ISAC test environment.

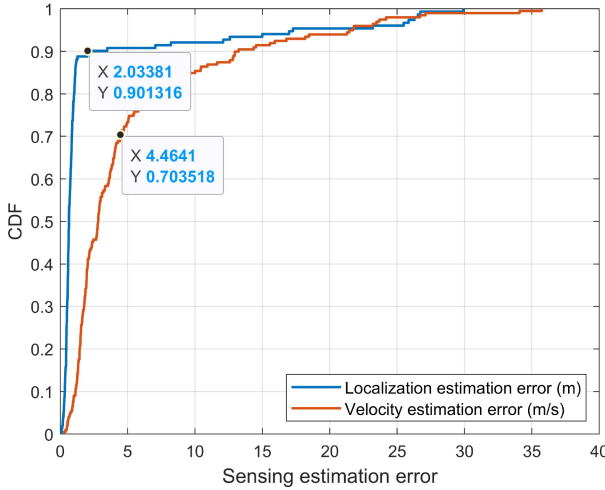


Fig. 10. Simulation results of sensing accuracy for Outdoor-UAV-ISAC test environment.

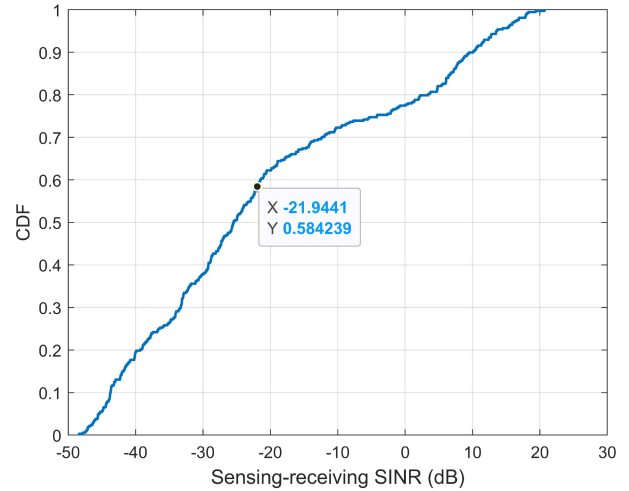


Fig. 12. Simulation results of sensing SINR that meets sensing QoS requirements when evaluating sensing capacity, given Outdoor-V2X-ISAC test environment.

one SensTRxP will yield the best SINR as well as sensing estimation performances, and the other SensTRxPs will have worse performance than the best one. Therefore, the velocity performance is degraded when using multiple SensTRxPs for velocity estimation. As mentioned in Section II-B, another method is to calculate average velocity using the location difference of two time-instances divided by the elapsed time. This should improve velocity estimation performance as only one SensTRxP with the best SINR is used for estimation. More simulations and corresponding analyses of such methods could be done in the future.

### C. Sensing Capacity

This section presents the simulation results of sensing capacity. Figs. 12–14 demonstrate the simulation result of sensing SINR that meets sensing QoS requirements when evaluating sensing capacity, for Outdoor-V2X-ISAC test environment, Outdoor-UAV-ISAC test environment and Indoor-Factory-ISAC test environment, respectively. Figs. 15–16 show the simulation result of the relationship

between sensing accuracy and SINR for Outdoor-ISAC and Indoor-ISAC test environments, respectively.

From the figures, we can find the relationship between SINR and sensing accuracy, and also the SINR threshold that meets sensing QoS. The STs are dropped as many as possible considering the physical environments and the localization resolution (refer to Appendix B for more details). Based on the link-level simulation results (Figs. 15 and 16), we can get the SINR that corresponds to sensing QoS requirements. By using this SINR as the threshold to analyze the CDF plots of system-level simulation results (Figs. 12–14), we can get the percentage of targets  $R\%$  that fulfil sensing QoS requirements. Then we can get the number of targets  $N_c = N \times R\%$  that fulfil sensing QoS requirements, and obtain sensing capacity  $C = N_c/A$  accordingly, where  $N$  is the total number of dropped STs and  $A$  is the SensTRxP area. From the simulation results in this section, we can get the sensing capacity as shown in Table V.

TABLE V  
SIMULATION RESULTS OF SENSING CAPACITY

| Test Environments       | Total number of dropped STs ( $N$ ) | Number of successfully detected STs | Sensing Probability            | Percentage of STs that meet Sensing QoS requirements ( $R\%$ ) | Number of targets that meet Sensing QoS requirements ( $N_c = N \times R\%$ ) | Area ( $A$ , in $\text{km}^2$ ) | Sensing Capacity ( $C = N_c/A$ ) |
|-------------------------|-------------------------------------|-------------------------------------|--------------------------------|--|---|---------------------------------|----------------------------------|
| Outdoor - V2X - ISAC    | 368                                 | 351                                 | 95.39% @ $10^{-6}$ false alarm | 42%  | 155   | 0.2425                          | 639 per $\text{km}^2$            |
| Outdoor - UAV - ISAC    | 2100                                | 1997                                | 95.01% @ $10^{-6}$ false alarm | 29%  | 609   | 0.2425                          | 2511 per $\text{km}^2$           |
| Indoor - Factory - ISAC | 3132                                | 3131                                | 99.97% @ $10^{-6}$ false alarm | 87%  | 2725  | 0.006                           | 454167 per $\text{km}^2$         |

TABLE VI  
SUGGESTED KPI VALUES FOR SENSOCAP

| Technical Performance Requirements   | Test Environments       | Suggested KPIs   |
|--|-------------------------|--|
| Sensing Capacity @ Sensing QoS (Sensing Accuracy of $l_a$ m localization accuracy and $v_a$ m/s velocity accuracy, Sensing Probability of $P_d$ detection probability and $R_{fa}$ false alarm rate) | Outdoor - V2X - ISAC    | 600 per $\text{km}^2$ @ 1.5m localization accuracy @ 2m/s velocity accuracy @ 90% detection probability @ $10^{-6}$ false alarm rate       |
|  | Outdoor - UAV - ISAC    | 2500 per $\text{km}^2$ @ 2m localization accuracy @ 5m/s velocity accuracy @ 90% detection probability @ $10^{-6}$ false alarm rate        |
|  | Indoor - Factory - ISAC | 400,000 per $\text{km}^2$ @ 1.25m localization accuracy @ 1 m/s velocity accuracy @ 95% detection probability @ $10^{-6}$ false alarm rate |

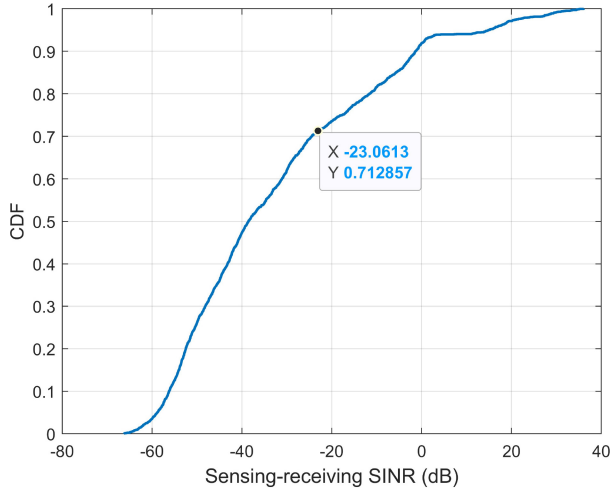


Fig. 13. Simulation results of sensing SINR that meets sensing QoS requirements when evaluating sensing capacity, given Outdoor-UAV-ISAC test environment.

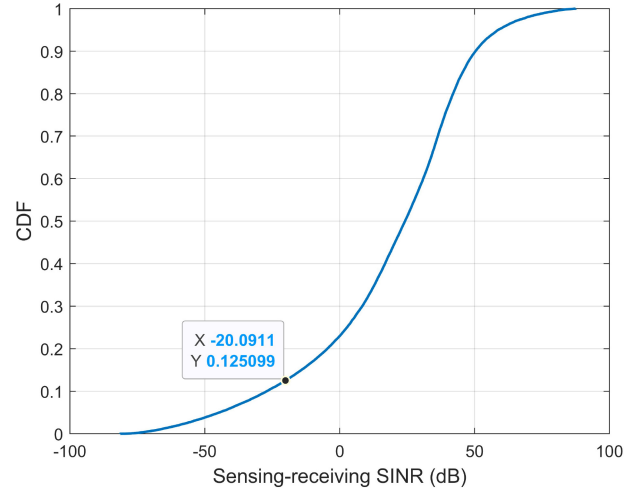


Fig. 14. Simulation result of sensing SINR that meets sensing QoS requirements when evaluating sensing capacity, given for Indoor-Factory-ISAC test environment.

## VII. CONCLUSION

In summary, for the first time, we propose the technical performance requirement metric and corresponding evaluation methodologies for 6G ISAC to fill the gap in this area. Specifically, we propose SensCAP, a systematic sensing capability performance metric comprising sensing capacity, sensing accuracy, and sensing probability. The sensing probability and sensing accuracy build up sensing QoS, which is used to formulate sensing capacity. Sensing capacity reflects the comprehensive sensing performance, namely, the number of targets, that can be detected per unit area within unit time given sensing QoS requirements.

Furthermore, we illustrate evaluation methodologies, test environments, evaluation configurations, simulation platforms and simulation results for SensCAP. Based on the simulation results and analysis, Table VI gives the suggested values of SensCAP for different test environments. It's essential that the definition and evaluation methodologies of SensCAP can be used as a framework for the future standardization of ISAC. Different KPI values can be obtained given the research outcome on ISAC channel modeling and different simulation parameters, which will need more consensus in the industry.

Regarding future research direction, the definition and evaluation methodologies of SensCAP could be further improved by enriching the content of sensing QoS. For example, sensing air interface latency can also be part of sensing QoS, which sets

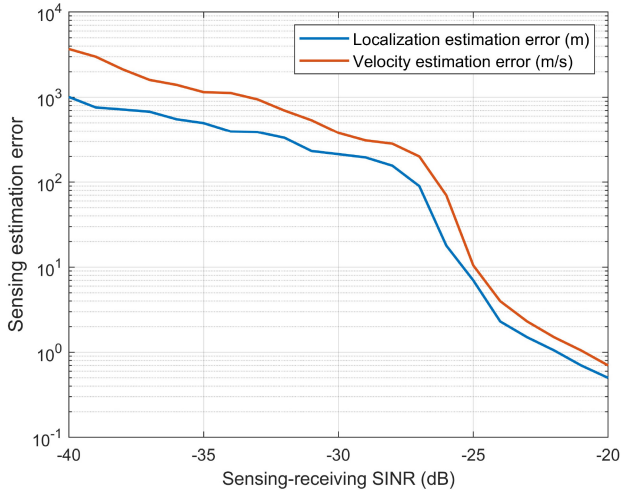


Fig. 15. Simulation results of the relationship between sensing accuracy and SINR for Outdoor-ISAC test environments.

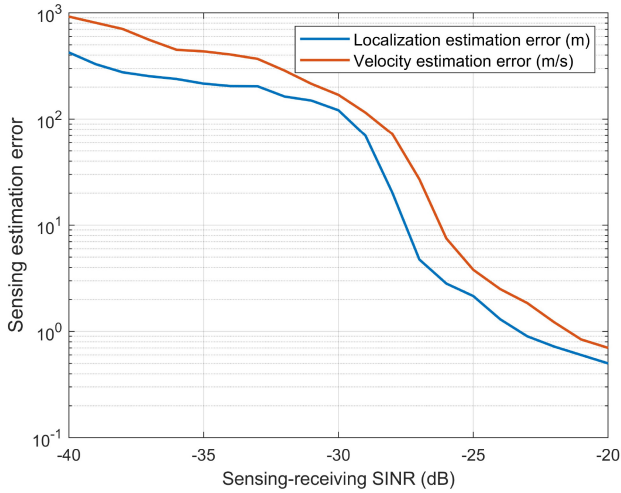


Fig. 16. Simulation results of the relationship between sensing accuracy and SINR for Indoor-ISAC test environment.

requirements on the time used to transmit the sensing signal. Then the definition of sensing capacity can be updated as the number of targets that can be detected per unit area given sensing QoS requirements which include sensing probability, accuracy, air interface latency, etc. Such sensing air interface latency can be part of the evaluation configurations for simulations, which pose stricter requirements than sensing resource utilization discussed in Section II-C. Considering the 3GPP numerology, a typical value of sensing air interface latency could be one or multiple times of 10 ms which is the length of a single frame. In terms of test environments and simulation setups, more representative use cases can be further investigated. For example, Outdoor-Rural-ISAC which focuses on intrusion detection of hazardous objects on highways and railways, and Indoor-Smart Home-ISAC which concentrates on home automation and health monitoring. Besides, the channel modeling of ISAC which may contain different kinds of interference should be considered thoroughly in the system simulation platform in light of 3GPP progress. Different sensing modes, including BS or UE monostatic, BS-BS, or BS-UE multistatic, could also

## Evaluation Methodology 5 Alternative Evaluation Methodology for Sensing Capacity

- 1: Perform system-level simulation using the evaluation parameters for ISAC test environments in Tables II and III, and record the receive-end SINR for each ST. The total number of STs is  $N$ , where the intertarget distance relates to resolution.
- 2: Perform link-level simulation, generate sensing transmit signal, and obtain sensing receive signal for each target through the sensing channel information which consists of ST's true location and velocity as well as SINR obtained in step 1.
- 3: Record whether a target is present or not for a given false alarm rate  $R_{fa}$ , and calculate the detection probability  $P_{d\_result}$ , which should be better than sensing probability performance requirements  $P_d$ .
- 4: Calculate the localization estimation error  $\Delta r$  and velocity estimation error  $\Delta v$  for each ST detected. Plot the CDF curves of  $\Delta r$  and  $\Delta v$  (or plot CDF of SINR obtained in step 1), and derive the percentage  $R\%$  that fulfils both localization accuracy and velocity accuracy requirements.
- 5: Calculate the number of targets  $N_c = N \times R\%$  that meet sensing QoS requirements. Then calculate the sensing capacity as  $C = N_c/A$ , where  $A$  is the SensTRxP area.

be incorporated in the simulations. Furthermore, updated KPI values given new test environments, simulation configurations and mature ISAC channel model are essential and need to be further investigated in the future.

## APPENDIX A CHANNEL COEFFICIENT GENERATION

The detailed sensing channel coefficient generation procedure is shown in Fig. 17, which refers to [47] as the baseline. It should be noted that such channel generation mechanisms might be updated in light of the latest 3GPP discussions.

## APPENDIX B ALTERNATIVE EVALUATION METHODOLOGY FOR SENSING CAPACITY

The alternative evaluation methodology for sensing capacity is given in Evaluation Methodology 5. As mentioned in Section V-B, since ISAC channel model is still under research, we use system-level plus link-level sensing simulations to reduce the simulation workload. The alternative Evaluation Methodology 5 is in nature very similar to Evaluation Methodology 3, only with ST dropping method changed. Here, the STs are dropped considering the physical environments and the resolution, where the intertarget distance is localization resolution. The resolution is predefined using equation  $c/2B$  or utilizing the outcome of the resolution simulation, where  $c$  is the speed of light and  $B$  is the sensing signal bandwidth. The target-dropping methods for different ISAC test environments are given as follows.

- 1) *Outdoor-V2X-ISAC*: Drop vehicles on each road lane considering road configuration for urban grid as shown

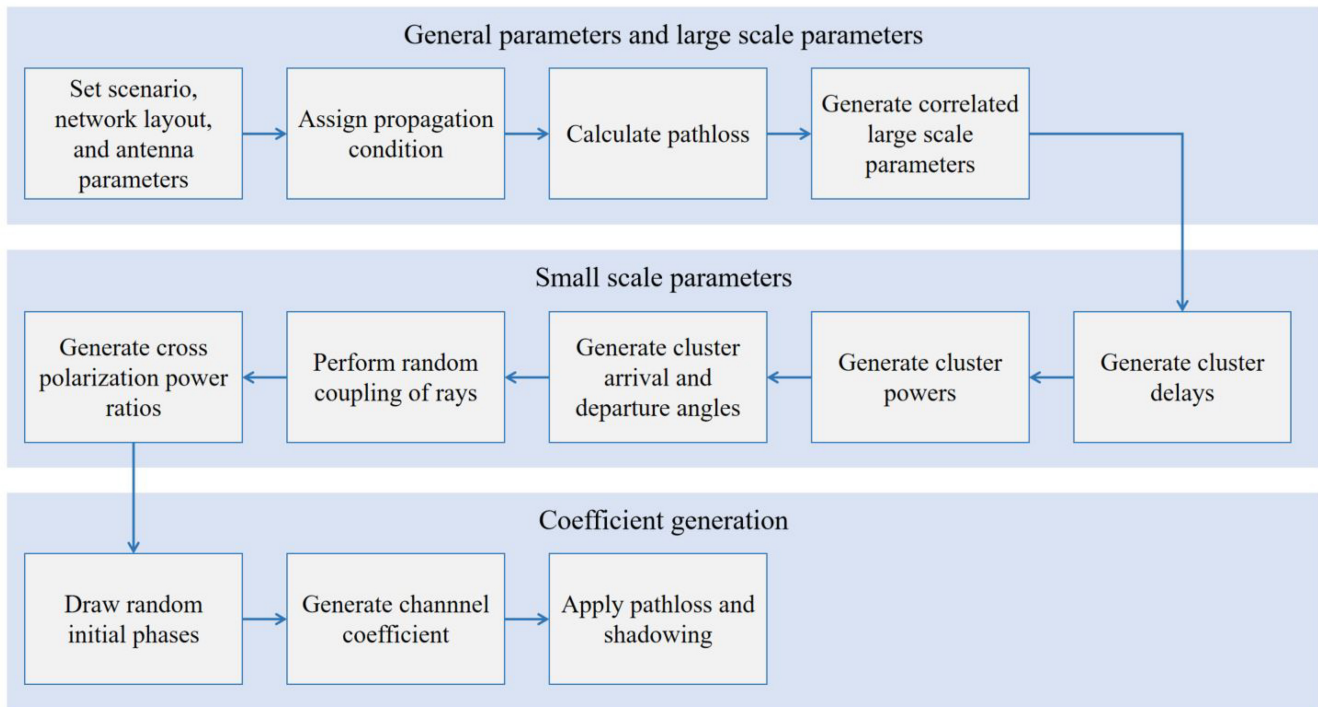


Fig. 17. Channel coefficient generation procedure.

in Fig. 6, assuming the length of a vehicle is 5m and intervehicle distance is 10m. The total number of vehicles is  $N$ .

- 2) *Outdoor-UAV-ISAC*: Drop  $N_0 = 100$  UAVs per SensTRxP, which consists of  $N_{\text{Cluster}} = 4$  UAV clusters, each cluster with  $K = 25$  UAVs ( $x$ -axis with five UAVs multiple  $y$ -axis with five UAVs on a 2-D plane), the intertarget distance for any two UAVs along the  $x$  or  $y$  axes is localization resolution,  $N_0 = N_{\text{Cluster}} \times K$ . The UAV clusters are randomly distributed with heights shown in Table II. The total number of UAVs is  $N = N_{\text{TRxP}} \times N_0$ , where  $N_{\text{TRxP}}$  is the number of SensTRxP involved in the simulation.

- 3) *Indoor-Factory-ISAC*: Drop AGVs with a total number of  $N$ . The intertarget distance for any two AGVs along the  $x$  or  $y$  axes is the localization resolution, and assuming the AGV size is  $1\text{m} \times 1\text{m}$ .

The above ST dropping method is given as an example, which will lead to a total of  $N$  dropped targets. Through simulation, we can get  $R\%$  of the total  $N$  targets that meet the sensing QoS requirements, hence the sensing capacity is obtained.

#### ACKNOWLEDGMENT

The authors would like to thank the 6G team members within the Future Research Lab at the China Mobile Research Institute, particularly Chunfeng Cui, Yajuan Wang, Xiaozhou Zhang, Zhitian Cheng, Zhiwen Sun, Siying Lv, Songhui Shen, and Xin Gui.

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