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## RESEARCH ARTICLE

# A Stochastic Residual-Based Dominant Component Analysis for Speech Enhancement

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**ABSTRACT** Noise and sparsity often affect speech signals, leading to serious problems in processing and communication. Speech enhancement is required to improve the quality of the speech signals. This paper introduces a new technique that combines a stochastic approach and dominant component analysis, a variant of principal component analysis for adaptive data analysis. The stochastic approach is a modeling technique that takes into account uncertainty and random fluctuations in the signal. This allows for a more precise estimation of residuals. The proposed method involves estimating residuals using a stochastic approach, which subsequently accumulate into a matrix. Adaptively, we compute the dominant components of the residual matrix. We then use these components to reconstruct clean, enhanced speech. The proposed method aims to forecast sparse data, eliminate noise, and minimally affects crucial data attributes such as energy, covariance, dynamic range, and RMS amplitude.

**INDEX TERMS** Adaptive PCA, affine projection, noise cancellation, sparsity removal, speech enhancement.

## I. INTRODUCTION

Speech enhancement can be defined as improving the quality and clarity of the speech signals degraded by noise, reverberation, or any other factors. It is essential in a variety of applications, including telecommunications, hearing aids, voice commands, control, and automatic speech recognition. The speech enhancement techniques aim to isolate the target speech from the background noise or interference while preserving the desirable qualities of the speech [1].

There are many ways to improve speech, such as spectral subtraction, Wiener filtering [2], statistical model-based methods, deep neural networks, and beamforming. These techniques aim to enhance speech clarity and intelligibility by reducing noise and interference. Several deep learning

models for speech enhancement employ mapping-based techniques [3], while others use mask-based methods [4], [5]. In the past, there have been extensive efforts to conduct a survey in the field of speech. The survey article authored by Zhang et al. [6] offers a comprehensive review of pertinent deep learning methods, primarily focusing on their application to noise-resistant speech recognition tasks. Michelsanti et al. [7] conducted a study on deep learning techniques for improving and separating audio-visual speech. The paper by Das et al. [8] provides an introduction of the basic principles of speech enhancement. However, it specifically focuses on discussing strategies based on deep learning. Lin et al. [9] employ a multi-stage learning strategy to increase speech by applying a sequence of TCM blocks. The network specifically builds each block with a self-attentive TCM to progressively improve the magnitude spectrogram. Pandey and Wang [10] introduce a dense

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convolutional network (DCN) structure enhanced with self-attention. Skip connections enhance the encoder-decoder arrangement in the architecture. Both the encoder and decoder contain layers that consist of a dense block combined with an attention module. This design utilizes dense blocks and attention modules to efficiently extract features by prioritizing feature reuse, increasing network depth, and optimizing context aggregation. Tan and Wang [11] propose two compression techniques to reduce the size of deep neural network (DNN) models for speech enhancement. These techniques involve sparse regularization, iterative pruning, and quantization using clustering. Zhang et al. [12] proposed a weighted magnitude-phase loss function to emphasize the need for precise magnitude estimates. Poluboina et al. [13] have devised a method to diminish noise by specifically targeting the reduction of the mean square error (MSE) between the temporal envelopes of the improved speech and the clean speech. This methodology is particularly well-suited for cochlear implant (CI) applications.

Kumar et al. [14] utilize a semi-soft thresholding method to eliminate noise and obtain a clear signal. Surbhi Bharti conducted an evaluation of the techniques designed to decrease noise and improve voice quality in order to meet the needs of forensic audio analysis, which can be used as evidence [15]. Abajaddi and her colleagues proposed the use of a hybrid approach involving single-frequency filtering (SFF) and a modified spectral subtraction technique to enhance single-channel speech [16]. Bipasha and her colleagues [17] employ a combination of Siamese architecture and hybrid RNN models to address the issue of noise reduction. To find out how well different adaptive algorithms worked, Kasukurthy [18] looked at their signal-to-noise ratio (SNR), mean square error (MSE), and root mean square error (RMSE) values. Chhetri [19] offers an in-depth analysis of speech enhancement strategies, discussing the difficulties and uses in different domains. This review aims to assist in choosing appropriate approaches to enhance speech quality and clarity. Brandon Colelough [20] investigates how the sampling rate of training data affects the performance of lightweight and efficient deep neural networks (DNNs) designed to work within the limitations of mobile devices. Among these, residual-based techniques have gained significant attention due to their effectiveness in preserving the natural quality of speech while reducing noise. The residual-based method focuses on the residual components of the speech signal, which contain essential features often masked by noise. These techniques can significantly improve speech quality and intelligibility by accurately estimating and enhancing residuals, making them highly valuable in environments with challenging acoustic conditions. Signal and image/video processing frequently employ residual-based approaches for noise reduction or enhancement applications. The goal is to divide the input signal into two components: expected or estimated and residual. The anticipated component depicts the signal's underlying structure or model, whereas the residual component captures the signal's deviations. It is

possible to improve the signal's quality or extract important information by analyzing and modifying the residuals. Applications such as image de-noising, picture super-resolution, video compression, object recognition, and speech enhancement have proven the usefulness of residual-based approaches. They provide a framework for modeling and manipulating residuals, resulting in improved performance and better data utilization.

The stochastic gradient descent (SGD) technique is one of the premier methods utilized in machine learning for optimizing cost functions and computing residuals, essentially improving the efficiency and accuracy of learning models. Algorithms like the least mean square (LMS) and the affine projection algorithm (APA) are also recognized for their stochastic properties. Adaptive filters particularly value the LMS algorithm for its simplicity and effectiveness. It adjusts the filter coefficients iteratively to minimize the error between the desired and the actual output. However, the APA, which is an extension of the LMS [21], is praised for achieving faster convergence in settings with large eigenvalue spreads. This makes it ideal for uses that need to adapt quickly.

Adaptive dominant component analysis is a variation of the classic PCA technique intended to manage constantly changing data environments. Adaptive PCA continuously updates the main components as new data arrives, unlike static PCA, which typically operates on a fixed data set. It is appropriate for applications that collect data over time, such as online learning, real-time monitoring, and dynamic system analysis. Online Principal Component Analysis has revolutionised data analysis in environmental monitoring [22], finance [23], and network security [24]. Adaptive principal components can be used to lessen the effect of outliers and do feature selection in fields like image processing, text classification, and image processing [25]. To find multi-degree tooth-cut failures in gearboxes that are running at different speeds, fault diagnostic models can use adaptive noise canceling technique (ANCT) and distance ratio principal component analysis (DRPCA) [26]. Adaptive principal components can be used in fields like college admission, healthcare, and credit approval to ensure fairness and robustness of the learned projection [27].

DCA has been addressed using a variety of algorithms and methodologies, such as stochastic gradient descent and recursive formulations [28]. LMS and modified LMS algorithms frequently get preference over RLS in various applications due to their resilience in dealing with non-stationary data, computational speed, ability to withstand noise and outliers, efficient memory usage, suitability for online and real-time processing, adaptability to different signal characteristics, and relative ease of implementation. Adaptive PCA, also referred to as online PCA, utilizes various techniques to update principal components in response to new data, avoiding the need to process the entire data set. Oja's algorithm [29], which is based on neural networks, and Candid Covariance-Free Incremental PCA (CCIPCA) [30], which avoids covariance computation, provide efficient updates for streaming data. Recursive PCA [31] and

Matrix Stochastic Gradient (MSG) [32] offer recursive and matrix-based updates while maintaining computational efficiency. Incremental Singular Value Decomposition (SVD) constantly adjusts singular values and vectors, making it well-suited for real-time applications requiring an updated SVD. Every approach has unique advantages suited to particular data and application requirements. Resilience of the acquired projection [27]. William Briguglio proposes a federated version of supervised PCA with its dual and kernel modifications, referred to as FeS-PCA, dual FeS-PCA, and FeSK-PCA, respectively [33]. Research on attacks against principal component analysis (PCA) has shown that disclosing eigenvectors can result in membership inference. Furthermore, when combined with knowledge of the data distribution, it can lead to data reconstruction attacks.

### A. PROBLEM STATEMENT

There are so many challenges that affect the efficiency of the communication process. Background noise, weird voices, and occasionally missing sections of what is spoken due to signal problems can all obstruct communication. These issues arise in a variety of settings, such as when it is noisy outside or while talking on the computer. However, there is a solution known as speech enhancement. Our approach employs sophisticated computer methods and advanced technology to solve these issues. Its goal is to ensure we can hear each other clearly regardless of the noises or challenges surrounding us. Speech enhancement is a bridge that connects the difficulties we face today to a future where we can speak and share more effectively. The goal of this research is to design a technique that can eliminate noise and predict missing speech components while avoiding over processing, which can cause unnaturalness.

### B. OUR CONTRIBUTION

This research article presents a novel voice enhancement method that combines stochastic approaches with online principal component analysis (PCA). The method is based on a stochastic residual approach. We construct and compare LMS based residual dominant component analysis (LRDCA) and APA based residual dominant component analysis (ARDCA) based on their efficiency in removing noise and sparsity. Stochastic approaches calculate the residuals of the sequentially arriving data. We collect the residuals in a matrix and update it after each iteration. Eigenvalues and eigenvectors of the residual matrix are calculated. Noise-free and predicted output is reconstructed. ARDCA not only removes noise and sparsity but also preserves crucial data features like dynamic range, energy, covariance, and RMS amplitude.

Our contribution mostly involves determining the eigen space of sequentially arriving data to ensure the highest possible reduction of noise and removal of sparsity while minimizing signal distortion.

## II. AFFINE PROJECTION ALGORITHM

The Affine Projection Algorithm (APA) stands out among the stochastic approaches as the go-to option for various applications. APA is preferred due to its higher capacity to adjust to dynamic signal characteristics and successfully manage time-varying systems. Unlike LMS, which has a slower convergence rate, APA strikes a balance between convergence speed and computing complexity. It performs well when the signal statistics change or the system coefficients are sparse. APA is the method of choice for many adaptive signal processing jobs due to its ability to track quickly changing surroundings and its tolerance to noise. APA solidifies its place as the most popular methodology for computing residuals in various applications. Even when data sparsity is a significant obstacle, the Affine Projection Algorithm (APA) displays impressive performance. Its adaptability goes beyond just overseeing changing signal characteristics; it also performs well in situations where lots of data is missing. Applications with sparse data benefit greatly from APA's design, which efficiently captures and utilizes the minimal available information. Due to its adaptability, it can extract useful information from sparse datasets, enabling it to perform well in situations where more traditional algorithms could struggle. It can iteratively alter filter coefficients, making it incredibly efficient when data or system properties change over time [34]. APA's adaptability to different noise [35], [36] environments makes it a powerful tool for noise removal, providing enhanced performance and reliability across a wide range of signal processing applications.

Let

- $X$  be the input data set.
- $S(h)$  be the input data at instance  $h$ .
- $T(h)$  be the desired or target output at instance  $h$ .
- $W(h)$  be the filter coefficients at instance  $h$ .
- $E_{priori}(h)$  be the prior error at instance  $h$ .
- $E_{posteriori}(h)$  be the posterior error at instance  $h$ .

Since we are dealing with adaptive environment and streaming data let us assume that we are keeping last  $L + 1$  input vectors, as shown in the equation at the bottom of the next page. The predicted output is given by

$$Y_{pred}(h) = S(h)W(h) = \begin{bmatrix} y_{pred,0}(h) \\ y_{pred,1}(h) \\ \vdots \\ y_{pred,L}(h) \end{bmatrix}$$

The desired or target output is given by

$$T(h) = \begin{bmatrix} t(h) \\ t(h-1) \\ \vdots \\ t(h-L) \end{bmatrix}$$

The priori error is evaluated as

$$E_{\text{priori}}(h) = \begin{bmatrix} t(h) - y_{\text{pred},0}(h) \\ t(h-1) - y_{\text{pred},1}(h) \\ \vdots \\ t(h-L) - y_{\text{pred},L}(h) \end{bmatrix} = \begin{bmatrix} e_{\text{priori},0}(h) \\ e_{\text{priori},1}(h) \\ \vdots \\ e_{\text{priori},L}(h) \end{bmatrix}$$

The weight vectors are updated as

$$W(h+1) = W(h) + \alpha S(h)[S(h)'S(h) + \beta I]^{-1} E_{\text{priori}}(h) \tag{1}$$

After updating weight vectors, the updated output is evaluated as

$$Y_{\text{up}}(h) = S(h)W(h+1) = \begin{bmatrix} y_{\text{up},0}(h) \\ y_{\text{up},1}(h) \\ \vdots \\ y_{\text{up},L}(h) \end{bmatrix}$$

The posteriori error is calculated as

$$E_{\text{posteriori}}(h) = \begin{bmatrix} t(h) - y_{\text{up},0}(h) \\ t(h-1) - y_{\text{up},1}(h) \\ \vdots \\ t(h-L) - y_{\text{up},L}(h) \end{bmatrix} = \begin{bmatrix} e_{\text{posteriori},0}(h) \\ e_{\text{posteriori},1}(h) \\ \vdots \\ e_{\text{posteriori},L}(h) \end{bmatrix} \tag{2}$$

### III. PROPOSED SCHEME

In real-world environments, speech signals are easily corrupted by noise. Noises can be grouped into stationary noise (unchanging as a function of time) and non-stationary noise (changing when shifted in time). Examples of non-stationary noise include street noise, train noise, babble noise (voices of other speakers), and instrumental sounds. The relationship between speech and noise in the time domain can be expressed as

$$s(h) = \tilde{s}(h) + n(h)$$

$s(h)$  is generated by blending the narrow band signal (digitized speech signal) and wide band signal  $n(h)$  (noise). This speech enhancement scheme approximates the desired signal  $\tilde{s}$  by minimizing the distortion factor as much as possible and

predicting the missing values. The proposed stochastic residual based DCA comprises the following essential steps:

- We make use of a stochastic (either LMS or APA) adaptive filter to calculate posterior error, also referred as residuals in the context of signal processing.
- We initialize a column vector  $U$  of order  $m$ .
- The covariance of the residuals that are calculated in Equation 2 are assembled in  $C$ .
- At each iteration we make sure that the spectral norm of  $C$  does not exceed  $2(\|X\|_F/L)$ . If so calculate  $\lambda_{\text{max}}$  and corresponding eigen vector  $u$  of  $C$  at that particular iteration.
- $U$  is updated by adding  $u$  next to the zero column. At the same time  $C$  is upgraded by subtracting  $\lambda_{\text{max}}uu^T$ .
- Once the complete data set is processed the resulting  $U$  contains all the Dominant Components.
- In case of LMS based residual DCA enhanced output is generated by

$$y_{\text{enhanced}} = UU^T s(h)$$

- For APA based residual DCA enhanced output is generated as

$$y = UU^T S(h)$$

$$y_{\text{enhanced}} = \text{mean}(y)$$

A brief summary of the proposed scheme is given in algorithm 1. Figure 1 illustrates the flowchart of the proposed methodology, providing a visual representation of the sequential steps involved in the process.

*Theorem 1: The matrix  $C$  is symmetric and positive semi-definite.*

*Proof:* Initially,  $C$  is a matrix with all entries zero, which means  $C$  is symmetric and positive semi-definite.

Next,  $C$  is updated by the addition of another symmetric matrix  $rr^T$ . Since the sum of two symmetric matrices is symmetric, the updated matrix  $C + rr^T$  is also symmetric.

Now, let  $S$  be a non-zero matrix. We want to show that  $C + rr^T$  is positive semi-definite. Consider the matrix product:

$$S^T(C + rr^T)S = S^TCS + S^T(rr^T)S$$

Since  $C$  is positive semi-definite, for any matrix  $S$ , the matrix  $S^TCS$  is also positive semi-definite. This follows because:

$$S^TCS \geq 0$$

in the sense that all eigenvalues of  $S^TCS$  are non-negative.

$$S(h) = \begin{bmatrix} s(h) & s(h-1) & \dots & s(h-L+1) & s(h-L) \\ s(h-1) & s(h-2) & \dots & s(h-L) & s(h-L-1) \\ \vdots & & & \vdots & \vdots \\ s(h-N) & s(h-N-1) & \dots & s(h-L-N+1) & s(h-L-N) \end{bmatrix}$$

$$S(h) = [s(h) \quad s(h-1) \quad \dots \quad s(h-L)]$$

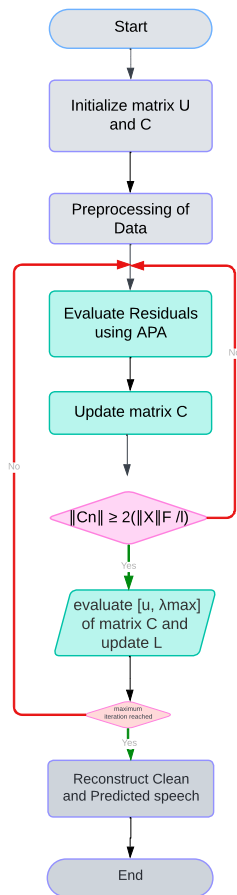


FIGURE 1. Flow chart of the proposed methodology.

For the second term, we compute:

$$S^T(rr^T)S = (r^T S)^T(r^T S)$$

Let  $Y = r^T S$  be another matrix. Then we have:

$$S^T(rr^T)S = Y^T Y$$

Since  $Y^T Y$  is a Gram matrix, it is positive semi-definite, meaning:

$$Y^T Y \geq 0$$

Thus, the sum of two positive semi-definite matrices,  $S^T CS$  and  $Y^T Y$ , is positive semi-definite:

$$S^T(C + rr^T)S \geq 0$$

Therefore,  $C + rr^T$  is positive semi-definite for any matrix  $S$ .

□

*Theorem 2:*

$$\lambda_{max} \leq 2(\|X\|_F)^2/l$$

*Proof:* We have to evaluate the upper bound of the top eigenvalue of matrix  $C$ .

In the proposed algorithm,  $C$  is updated in two different ways. Firstly by the addition of covariance of residuals  $rr^T$  which causes increment in the top eigenvalue of  $C$ . But

**Algorithm 1** APA Based Residual DCA Algorithm

**Input:** Given a data matrix  $X$ .

**Initialization:** Initialize an array  $U$  of order  $m \times 1$  and an orthonormal matrix  $V \in R^{p \times n}$ , and a matrix  $C$  of order  $m \times m$ . Evaluate  $\|X\|_F$

**Prediction:** For adaptive data projections weights are calculated recursively using 1 and residuals  $r$  (posteriori error) are calculated using 2. Detail is provided in the theory.

**Update step:**

- 1) The matrix  $C$  is updated as  $C = C + r$
- 2) if

$$\|C_n\| \geq 2(\|X\|_F/l) \tag{3}$$

evaluate  $[u, \lambda_{max}]$  of matrix  $C$  at that particular iteration.

- 3)  $U$  is updated by adding  $u$  next to its zero columns.
- 4)  $C$  is updated as

$$C = C - \lambda_{max} u_h u_h^T$$

- 5) For  $n = n + 1$   
 $U$  contains all the principal components.

**Output:**

$$y_h = u_h u_h^T S_h$$

this update occurs only when the loop condition given in Equation 3 fails, which means

$$\|C + rr^T\| \leq 2(\|X\|_F)^2/l \tag{4}$$

at the initial phase of each iteration. Secondly  $C$  is updated by the subtraction of  $\lambda_{max} u u^T$  which causes reduction in the norm of  $C$  and hence decreasing its top eigenvalue.

The above discussion provides an upper bound for the top eigenvalue of  $C$ . □

*Theorem 3:*

$$\lambda_{max} \geq \|X\|_F^2/l$$

*Proof:* We have to evaluate the lower bound of the top eigenvalue of matrix  $C$ . Inside the loop

$$\|C + rr^T\| \geq 2(\|X\|_F)^2/l \tag{5}$$

when the above-given condition is true, we have

$$\|C\| \geq \|C + rr^T\| - \|rr^T\|$$

Using equation 5, we get

$$\|C\| \geq 2(\|X\|_F)^2/l - \|rr^T\|$$

We know that

$$r_h = (I - U U^T) S_h$$

where  $(I - U U^T)$  is the matrix of projection and

$$\begin{aligned} \|r_h\|^2 &\leq \|S_h\|^2 \leq (\|X\|_F)^2/l \\ \|r_h r_h^T\| &\leq (\|X\|_F)^2/l \end{aligned}$$

□

TABLE 1. Attributes of input and output signals.

Features	Input	LMS based DCA	APA based DCA
Energy	0.019070702636728	1.722074493484236e-04	0.002618372754349
Covariance	0.018942008303577	1.818069461057827e-04	0.003048488969921
Dynamic Range(dB)	1.192318190529117e+02	99.084867853758070	1.088359658601358e+02
RMS amplitude	0.137634074994162	0.013484598436184	0.055225555646827

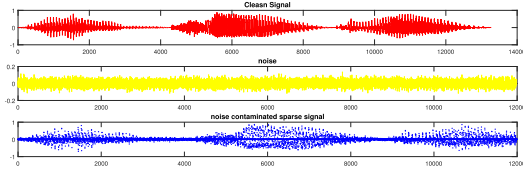


FIGURE 2. Signal Generation.

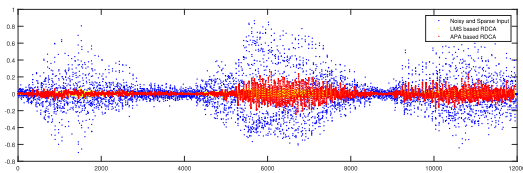


FIGURE 3. Noisy and Sparse Input Speech Signals and Enhanced Predicted Output using LMS based RDCA and APA based RDCA.

IV. EXPERIMENTAL RESULTS

To evaluate the effectiveness of the proposed algorithm, we use speech signals as input. The speech signals used are “one two three”. Synthetic noise was added to the original speech signals by creating white Gaussian noise with a Signal-to-Noise Ratio (SNR) of 30 dB. The noise variance was computed using  $\sigma = 10^{-SNR/10}$ , and the noise was thereafter generated based on this variance. The noise was subsequently included in the original signal to generate a noisy version, which was then employed to evaluate the speech enhancement method. This process ensured a consistent, noisy environment for evaluating the scheme’s effectiveness. Following the addition of noise, the signal was made sparse by randomly choosing samples and setting to zero. The number of entries forced to be zeros depends on the sparsity factor. The term sparsity factor( $\eta$ ) is the ratio between the number of zero-valued entries and total entries.

$$\eta = \frac{\text{no. of zero entries}}{\text{total number of entries}}$$

The sparsity factor should be significantly greater than 0.5 for a matrix to be considered sparse. This was accomplished through the use of a random permutation to select the indices of the samples that will be assigned a value of zero. This stage guaranteed that the signal displayed sparsity, which is essential for evaluating the resilience of the speech enhancement algorithm in scenarios where the signal is sparse. Figure 2 shows the graphical representation of noisy and sparse input signals. The graphs in Figure 3 depict the efficacy of the APA-based RDCA in eliminating noise in comparison to the LMS-based RDCA. It illustrates a notable

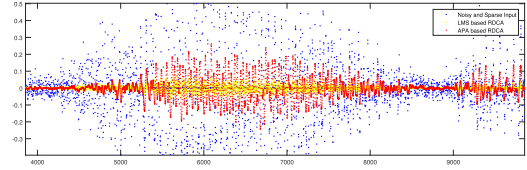


FIGURE 4. Zoomed-in view of the input and predicted enhanced output, highlighting detailed signal variations and improvements in noise reduction.

decrease in noise for both methods, but, the APA-based RDCA continually generates a more precise depiction of the original signal. This is further highlighted in zoomed in graph 4, where the APA-based RDCA exhibits improved noise reduction, leading to a more smooth waveform.

To verify the removal of sparsity, we calculate the number of zero entries in the input and output signals. The input contains “5488” zeroes, whereas the Output contains only “65” zeroes, hence verifying the removal of sparsity.

A comparison of crucial data attributes of input and outputs of both methods is given in Table 1. Energy measures a spoken signal’s power or loudness over time. It affects speech volume and clarity, making it essential for speech enhancement. High signal energy improves speech audibility and understanding. However, severe energy reduction during noise suppression may degrade speech quality. Covariance examines the relationship between speech signal samples, which have similar amplitudes. This statistical measure reveals the correlation between speech signal samples. Speech enhancement algorithms must preserve dynamic range (DR) to maintain natural loudness when comparing quiet and loud areas. The RMS amplitude more accurately depicts loudness by representing the average magnitude of the waveform. Effective RMS level regulation balances clarity and distortion, ensuring excellent speech quality without noise. Table 1 clearly shows that LMS based DCA fails to maintain the integrity of input signals. The APA based RDCA is highly efficient in reducing noise and also excels in preserving the dynamic range and important properties of the speech signal. This makes it the superior technique for retaining signal integrity.

V. CONCLUSION

The experimental results show that the APA based RDCA method is superior to the LMS based RDCA in improving speech signals. It consistently reduces noise and sparsity while maintaining the overall quality and clarity of the

speech. The RDCA method based on APA provides a more precise representation of the original signal while having minimal effects on important data attributes such as energy, covariance, dynamic range, and RMS amplitude. These findings highlight the significant potential of the applied algorithm in enhancing speech signals and its relevance in applications such as telecommunications, hearing aids, and voice-controlled systems.

## DECLARATIONS

**Conflict of interest** The authors declare that they have no conflict of interest.

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