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# **RESEARCH ARTICLE**

# Future Air Quality Prediction Using Long Short-Term Memory Based on Hyper Heuristic Multi-Chain Model

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**ABSTRACT** Air pollution is a critical global concern, demanding precise air quality forecasting to mitigate its severe consequences. Our study introduces Future Air Quality Prediction using Long Short-Term Memory based on Hyper Heuristic Multi-Chain Model ( $H<sup>2</sup>MCM$ ) to project future air quality, considering various meteorological factors (MFs) and pollution-related variables like atmospheric pressure, temperature, humidity, and wind patterns. Leveraging 12 units of Long Short-Term Memory neural networks (LSTMs), H<sup>2</sup>MCM accurately predicts forthcoming air pollutants (APs) concentrations such as particulate matter with diameter 2.5  $\mu$ m (PM<sub>2.5</sub>), carbon monoxide (CO), and nitrogen dioxide (NO<sub>2</sub>). Additionally, it accounts for spatiotemporal correlations between these APs and MFs, which significantly influence the air quality prediction for the next immediate time interval.  $H<sup>2</sup>MCM$  utilizes a multi-chain mechanism, employing *1-hour prediction model*s to forecast air quality hourly, enabling approximations for the next 12 hours. Also, for an efficient model selection, Akaike Information Criterion (AIC), Schwarz Bayesian Information Criterion (SBIC), Hannan-Quinn Information Criterion (HQIC), and corrected AIC (AICc) tools are used based on their ability to balance model fit and complexity. Furthermore, it demonstrates the ability to enhance the performance of any predictor. Experimental results substantiate  $H<sup>2</sup>MCM's$  superiority over various models, including the Support Vector Regressor (SVR), Multi-Layer Perceptron (MLP), Recurrent Air Quality Predictor (RAQP), and Valchogianni models. H<sup>2</sup>MCM achieves impressive up to 75% better accuracy and consistency compared to SVR, 60% better than MLP, 38% better than RAQP, and 70% better than Valchogianni models.

**INDEX TERMS** Air quality, air pollutant concentrations (APCs), deep learning (DL), heuristic, machine learning (ML), meteorological factors (MFs), multi-chain, regressors.

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#### **I. INTRODUCTION**

This urban development and industrialization have led to a severe air pollution problem in urban areas, posing

significant risks to human health and the environment and impacting global economic systems. According to the World Health Organization (WHO), excessive air pollutants cause approximately 4.2 million deaths annually, with 9 out of 10 people suffering from breathing problems due to these pollutants [\[1\]. Th](#page-13-0)erefore, there is an urgent need to develop an efficient technique for predicting air quality in the coming hours to aid in environmental cleanup.

<span id="page-1-1"></span>Assessing the impact of APs on air quality estimation presents a significant challenge due to their non-linear and dynamic nature in real-world processes [\[10\]. T](#page-14-0)he conventional chemical transport model (CTM) [\[15\]](#page-14-1) for air quality estimation requires vast data. However, given the demand for advanced models capable of handling non-linearity in realworld processes, deep learning-based regression algorithms like LSTM, MLP and Artificial Neural Networks (ANN) are increasingly employed to map non-linear inputs (i.e., air pollutant concentrations and meteorological factors) to predicted outputs (i.e., future air quality estimations). Several studies [\[5\],](#page-14-2) [\[13\]](#page-14-3) have presented deep learning-based nonlinear air pollution models, while others [\[8\],](#page-14-4) [\[9\]](#page-14-5) have successfully applied machine learning-based regressors to predict future air quality. Section [VI](#page-7-0) of this study presents a performance analysis of these models.

<span id="page-1-11"></span><span id="page-1-7"></span><span id="page-1-3"></span>This study investigates various regression techniques using ML/DL to predict future air quality. Experimental results indicate that the ML-based support vector regression (SVR) [\[24\]](#page-14-6) technique performs well for short time intervals due to strong correlations among air pollution components  $(APCs)$  and meteorological factors  $(MFs)$  [\[10\]. H](#page-14-0)owever, this correlation weakens as the time intervals increase, rendering SVR [\[24\]](#page-14-6) unreliable for long-term predictions. Consequently, the focus shifts to the DL-based LSTM regression technique.

The study's notable contributions are as follows:

- 1) Introducing the *heuristic per hour mechanism* and *noise injection* to build the required time-series big dataset for future air quality prediction.
- 2) Developing 12 *1-hr Prediction Models* to create the multi-chain H2MCM model. Each *1-hr Prediction Model* is needed to create the *chain* architecture of the H<sup>2</sup>MCM model.
- 3) Defining short-, medium-, and long-term predictors by assessing the internal spatiotemporal correlation between APCs and MFs.
- 4) Combining the heuristic multi-chain  $H^2MCM$  model with the LSTM regressor to capture non-linear relationships among APCs and MFs.

<span id="page-1-10"></span><span id="page-1-2"></span>To construct the big dataset, random sampling techniques are used, and noise/outlier sets [\[14\]](#page-14-7) are added to the original dataset [\[4\],](#page-13-1) [\[19\]](#page-14-8) as described in subsection [VI-A.](#page-7-1) Manual injection of noise/outlier sets serves two purposes: enhancing the capacity of the utilized regressors and improving prediction accuracy and consistency over extended time intervals.

The remaining structure of this study is organized as follows: Firstly, the relevant literature survey is presented

in Section [II.](#page-1-0) Following that, in Section [III,](#page-3-0) the system model & problem formulation are introduced. The next section, Section [IV,](#page-4-0) covers predictors based on ML/DL. The proposed  $H^2MCM$  model is detailed in Section [V.](#page-5-0) Subsequently, in Section [VI,](#page-7-0) the performance evaluation is conducted, comparing the  $H^2MCM$  model with state-of-theart methodologies. Section [VII](#page-12-0) described the discussion of this study. Finally, the study concludes and discusses future work in Section [VIII.](#page-12-1)

#### <span id="page-1-6"></span><span id="page-1-0"></span>**II. LITERATURE SURVEY**

<span id="page-1-9"></span>The development of algorithms for air quality prediction has evolved significantly, driven by the need to mitigate the harmful effects of air pollution through accurate forecasting. Traditional theory-based approaches, which rely on complex mathematical expressions, often fall short in addressing the intricacies of APCs, the variability in emission rates, and the lack of comprehensive data on pollution sources. In response, ML/DL algorithms have emerged as powerful tools, offering enhanced capabilities in modeling and predicting air quality dynamics.

#### <span id="page-1-5"></span><span id="page-1-4"></span>A. AIR QUALITY PREDICTION USING ML/DL ALGORITHMS

Intelligent prediction systems play a vital role in minimizing the harmful effects of air pollution through short-, medium-, and long-term forecasting. However, the intricate nature of APCs, insufficient data on pollution sources, and constantly changing emission rates pose significant obstacles to creating these systems. ML/DL algorithms have demonstrated tremendous success in tackling the intricate nature of APCs, along with insufficient data on pollution sources and constantly changing emission rates, which pose significant obstacles in creating these systems compared to theory-based approaches relying on complex mathematical expressions.

#### B. EARLY DEVELOPMENTS OF NEURAL NETWORKS AND TIME-SERIES ANALYSIS

The introduction of neural networks (NNs) and ML/DL methods marked a significant breakthrough in the field of air quality prediction. These methods, particularly artificial neural networks (ANNs), were employed to evaluate levels of various air pollutants and categorize air quality. Initial studies demonstrated that NNs could effectively handle the nonlinear and complex relationships inherent in air pollution data [\[34\],](#page-14-9) [\[35\],](#page-14-10) [\[33\].](#page-14-11)

<span id="page-1-17"></span><span id="page-1-16"></span><span id="page-1-15"></span><span id="page-1-14"></span><span id="page-1-13"></span><span id="page-1-12"></span><span id="page-1-8"></span>Recurrent Neural Networks (RNNs) [\[39\], w](#page-14-12)ith their ability to link and train multiple layers of neurons with predefined weights, became instrumental in analyzing time-series data. RNNs excel in capturing temporal dependencies, making them suitable for forecasting future air quality based on historical pollutant levels. Research leveraging RNNs has shown significant promise in improving the accuracy of air quality predictions by considering past data trends and patterns [\[36\],](#page-14-13) [\[37\],](#page-14-14) [\[38\].](#page-14-15)



<span id="page-2-0"></span>

<span id="page-2-1"></span>

FIGURE 1. Architecture of the proposed H<sup>2</sup>MCM model.

# C. ADVANCEMENTS IN URBAN AIR QUALITY PREDICTION **MODELS**

Urban air quality prediction models can be broadly categorized into theory-driven physical processes and data-driven statistical approaches. Each approach has its computational demands, but data-driven methods, particularly those using ML/DL techniques, have proven to be more adaptable and cost-effective as emphasized in Table [1.](#page-2-0)

<span id="page-2-5"></span><span id="page-2-3"></span><span id="page-2-2"></span>The rapid advancement in data collection technologies has enabled the accumulation of vast amounts of urban big data, which in turn has fueled the development of sophisticated data-driven models [\[6\],](#page-14-16) [\[23\]. T](#page-14-17)he Long Short-Term Memory (LSTM) networks [\[7\]](#page-14-18) and Gated Recurrent Units (GRUs) [\[31\]](#page-14-19) have shown exceptional capabilities in managing sequential time-series data, a common requirement in air quality prediction. These models excel at capturing long-term dependencies and have been widely adopted in air pollution research [\[7\],](#page-14-18) [\[11\],](#page-14-20) [\[31\],](#page-14-19) [\[32\].](#page-14-21)

<span id="page-2-10"></span><span id="page-2-9"></span><span id="page-2-8"></span><span id="page-2-7"></span><span id="page-2-6"></span><span id="page-2-4"></span>Researchers have also integrated LSTM networks with Convolutional Neural Networks (CNNs) and Graph Convolutional Networks (GCNs) to capture both temporal and spatial patterns in air quality data [\[11\],](#page-14-20) [\[32\]. T](#page-14-21)his hybrid approach has enhanced the accuracy and robustness of predictions by leveraging spatial correlations among monitoring stations [\[29\],](#page-14-22) [\[30\]. A](#page-14-23)lso, researchers have effectively combined LSTM with convolutional neural networks (CNN) [\[30\]](#page-14-23) and graph CNN [\[29\]](#page-14-22) to incorporate spatial patterns identified in monitoring station data. Additionally, the use of neural attention networks has further improved model performance by identifying and focusing on the most relevant features in the data [\[27\],](#page-14-24) [\[28\].](#page-14-25)

# D. RECENT TRENDS IN FUSION AND ENSEMBLE **STRATEGIES**

In recent years, there has been a shift towards using fusion and ensemble strategies in DL models to improve long-term air quality predictions. These strategies combine multiple models to capture the spatiotemporal characteristics of air pollution and weather datasets more effectively. By integrating diverse models, researchers can enhance prediction accuracy and reliability, addressing the challenges posed by incomplete or noisy data [\[25\],](#page-14-26) [\[26\].](#page-14-27)

Despite these advancements, predicting long-term air pollution remains a formidable challenge. The limited availability of comprehensive and high-quality air pollution data, along with the inherent noise and missing values, continues to hinder the development of highly accurate models. Ongoing research focuses on refining these models, improving data preprocessing techniques, and exploring novel architectures to overcome these limitations.

Therefore, the development of algorithms for air quality prediction has progressed from basic neural networks to sophisticated ML/DL models capable of handling complex temporal and spatial dependencies. The integration of advanced techniques such as LSTM, GRU, CNN, GCN, and attention mechanisms has significantly improved the accuracy and robustness of air quality forecasts. However, challenges remain, particularly in long-term prediction, due to data limitations. Continued research and innovation in this field are essential to further enhance the predictive capabilities and reliability of air quality prediction models.

#### <span id="page-3-0"></span>**III. SYSTEM MODEL & PROBLEM FORMULATION**

The expansion of power systems, networks, electric vehicles, and advanced high-speed technologies has been identified as a major factor contributing to the continuous increase in air, water, and food pollution. Among these types of pollution, air pollution has gained considerable attention from governments and the public due to its significant global impacts. As a result, there is an urgent need for an accurate model capable of monitoring and predicting air quality to protect the planet. To meet this demand, ML [\[18\], a](#page-14-28)nd DL [\[20\],](#page-14-29) [\[21\],](#page-14-30) [\[22\]](#page-14-31) have emerged as powerful tools, as evident from their increasingly widespread use.

#### <span id="page-3-5"></span><span id="page-3-4"></span><span id="page-3-3"></span>A. SYSTEM MODEL

System architecture of the  $H^2MCM$  model is illustrated in Figure [1.](#page-2-1) This model comprises a multi-chain architecture with 12 units of *1-hr Prediction Model* designed to forecast the APCs 12 hours ahead from the present time, as detailed in Table [2.](#page-3-1) The creation of each *1-hr Prediction Model* involves employing a per hour heuristic multi-chain mechanism.

Table [2](#page-3-1) shows that the accuracy and consistency of the  $H^2$ MCM model display improvement with an increasing number of *1-hr Prediction Model*s. Each *1-hr Prediction Model* exhibits higher values for *PLCC* and *RMSE*, indicating enhanced performance, while lower values of the *Delta Rule*

<span id="page-3-1"></span>

<span id="page-3-7"></span><span id="page-3-6"></span>

signify reduced network errors. However, beyond 12 units of *1-hr Prediction Model*s, the accuracy improvement becomes negligible. Adding 13 units results in only a 0.5% accuracy improvement; subsequent units do not yield significant enhancements. Moreover, with the inclusion of more units, the computational complexity of the model continues to rise. Consequently, we conclude that the optimal choice is to employ 12 units of *1-hr Prediction Model* for constructing our multi-chain H2MCM model.

#### B. MODEL SELECTION

Model selection is crucial in ensuring that the chosen model effectively represents the data and provides accurate future predictions. In this context, information criteria such as Akaike Information Criterion (AIC), Schwarz Bayesian Information Criterion (SBIC), Hannan-Quinn Information Criterion (HQIC), and corrected AIC (AICc) are valuable tools for comparing and selecting the most appropriate model. These information criteria are used to strike a balance between model fit and model complexity. They penalize overly complex models, encouraging the selection of models that are both parsimonious and predictive. They can significantly aid in the decision-making process when choosing among competing models. Table [3](#page-4-1) presents selection criteria of our proposed DL-based future air quality prediction model.

<span id="page-3-2"></span>Lower values for AIC, SBIC, HQIC, and AICc indicate better model fit and more fabulous parsimony. In the context of these criteria, a lower value represents a more favorable trade-off between model fit and complexity.

LSTM-based  $H^2MCM$  has the lowest AIC, SBIC, HQIC, and AICc values among all models, suggesting that it is the most parsimonious and predictive model according to these criteria.

RAQP-based  $H^2MCM$  also performs well, with the second-lowest values across all criteria. It is a strong contender and may be considered for further analysis.

 $SVR$ -based H<sup>2</sup>MCM, MLP-based H<sup>2</sup>MCM, and Vlachogianni-based H<sup>2</sup>MCM have higher AIC, SBIC, HQIC,

<span id="page-4-1"></span>**TABLE 3.** Model comparison using various information criteria.

<b>Model</b>	<b>AIC</b>	<b>SBIC</b>	<b>HOIC</b>	<b>AICc</b>
SVR-based	1200.5	1250.3	1225.6	1205.7
$H^2MCM$				
RAOP-based	1198.2	1247.9	1220.4	1199.8
$H^2$ MCM				
MLP-based	1202.6	1253.4	1228.9	1205.5
$H^2$ MCM				
Vlachogianni-	1199.4	1249.0	1219.5	1198.3
based $H^2MCM$				
<b>LSTM-based</b>	1170.4	1200.0	1209.5	1180.3
$H^2MCM$				
(Proposed)				

and AICc values, indicating a relatively poorer trade-off between model fit and complexity. These models may be considered less parsimonious according to these criteria.

It is important to note that the specific interpretation of these criteria can vary depending on the context and the complexity of the compared models. The model with the lowest values across all four criteria is often considered the best trade-off between model complexity and fit. Therefore, from Table [3,](#page-4-1) we observed the lowest values across all four criteria for LSTM-based  $H^2MCM$  and hence, select LSTMbased  $H^2MCM$  to design our proposed future prediction model:H2MCM.

#### C. PROBLEM FORMULATION

This study aims to develop a dependable and accurate air pollution model capable of predicting the hourly levels of APCs and MFs for the next 12 hours. To achieve this goal, we have defined our objective function using the following Equation [\(1\).](#page-4-2)

$$
(f_i: 1 \leftarrow n) \xrightarrow{Hyper heuristic multi-chain LSTM} (g_i: 1 \leftarrow n)
$$
\n
$$
(1)
$$

Here, *f* and *g* are the real functions which represent the input and output. *n* is the total number of samples. *i* is the total number of iterations.

#### <span id="page-4-0"></span>**IV. ML- AND DL-BASED PREDICTION**

Within this section, we have presented two regression algorithms to tackle the issue of predicting future air quality. Specifically, SVR [\[24\]](#page-14-6) represents the ML-based prediction approach, while LSTM [7] [rep](#page-14-18)resents a DL-based prediction approach.

#### A. SUPPORT VECTOR REGRESSOR (SVR)

The support vector regression (SVR) approach is an extension of the support vector machine (SVM)  $[24]$ . SVR  $[24]$  is well-suited for handling non-linear data and, in this context, is used to formulate the objective function for the ML-based prediction approach.

Let,  $DS_{Tr} = \{(x_i, y_i)\}\$ be the training samples, where,  $i \in$  $\mathbb{R}, x_i = [x_1^1, x_2^2, x_3^3, \dots, x_n^n] \in \mathbb{R}^n$  represents the *i*<sup>th</sup> feature input and  $y_i \in \mathbb{R}$  denotes the *i*<sup>th</sup> real target output.

The forthcoming Equation [\(2\)](#page-4-3) illustrates the general form of SVR using a hyperplane function.

<span id="page-4-3"></span>
$$
H(x_i) = \langle v, X(x_i) \rangle + \psi \tag{2}
$$

Here, the  $\langle , \rangle$  operator denotes the inner product, while  $X($ , .), defines a non-linear function. The variables  $\upsilon$ denotes feature inputs and  $\psi$  represent the target output parameters, respectively. The value of *H* can be normalized by min(,  $|v|^2$ ),. To incorporate margin of errors for SVR, two variables, namely *a*<sup>1</sup> and *a*2, are used. Based on the above discussion, we can represent our objective function as a concave optimization problem, as shown in Equation [\(3\):](#page-4-4)

$$
\min\left(|v|^2 + c * \sum_{j=1}^n (a_1 + a_2)\right) \xrightarrow{e} \begin{cases} H - y_i \le e + a_1 \\ y_i - H \le e + a_2 \\ a_1, a_2 \ge 0 \in a_j \end{cases}
$$
\n(3)

Here, the variable *e* signifies computational errors, while *c* represents the regularization constant, whose value must be greater than 0. In the absence of regularization, the objective function is minimized by the variables  $a_1$  and  $a_2$ , where  $j =$ 1, 2, . . . ., *m*. To mitigate the issues of under- and over-fitting, we set  $c = |v|^2/2$ , and aim to minimize  $e = c * \sum_{j=1}^n (a_1 + a_2)$ .

To achieve an optimal learned solution, we also take into account the kernel function. The kernel function is expressed by the following Equation [\(4\):](#page-4-5)

<span id="page-4-5"></span><span id="page-4-4"></span>
$$
K(x_i, x_j) = \langle g(x_i), g(x_j) \rangle \tag{4}
$$

Here, the function *g* maps *X* ( $x_i \in X \in R$ ) to the hyperplane and generates *Y* ( $y_i \in Y \in R$ ). The sigmoid kernel  $(K(x_i, x_j) = \tanh(\gamma * x_i^T * x_j + r))$  is used to establish ML regression models. The hyperparameters  $\gamma$  and  $r$  are determined through training samples.

<span id="page-4-2"></span>To predict air quality using an ML-based regressor, we use the SVR method. This method uses the initial values of MFs and APCs (*Base 1-hr Prediction Model* at time t=0) to forecast air quality from  $t=1$  hour to  $t=12$  hours.

Based on the findings in Table [4,](#page-5-1) it is evident that the SVR regressor exhibits higher average PLCC values and lower average RMSE values for shorter time intervals. However, as the prediction horizon extends beyond 5 hours, the SVR's performance deteriorates, indicated by a sharp drop in average PLCC and an increase in average RMSE. This decline is attributed to weak correlations among the involved air contaminants and MFs over longer time periods. Consequently, we deduce that the ML-based SVR approach is more suitable as a short-term predictor, prompting us to explore the DL-based LSTM technique for improved prediction accuracy.

#### B. LONG SHORT-TERM MEMORY (LSTM)

The Long Short-Term Memory (LSTM) network [7] [is a](#page-14-18) type of recurrent neural network (RNN) [\[39\]](#page-14-12) that is well-suited for time-series prediction problems. Unlike traditional RNNs, LSTMs can effectively capture long-term dependencies in

<b>Models</b>	$t=1hr$	$t=2hr$	$t = 3hr$	$t = 4hr$	$t = 5hr$	t=6hr	$t=7hr$	$t = 8hr$	$t = 9$ hr	$t = 10hr$	$t=11hr$	$t=12hr$
SVR (Avg. PLCC)	0.899	9.906	0.921	0.925	0.931	0.721	J.707	0.699	0.697	0.693	$0.68^{-}$	0.685
LSTM (Avg. PLCC)	0.900	0.909	0.917	0.923	0.923	0.923	0.935	0.73.	0.732	0.726	0.719	0.715
SVR (Avg. RMSE)	0.035	0.039	0.047	0.051	0.055	0.062	0.064	0.072	0.075	0.076	$0.07^{-}$	0.077
LSTM (Avg. RMSE)	0.025	0.025	0.032	0.032	0.036	0.039	0.042	0.04	0.041	0.052	0.053	0.053

<span id="page-5-1"></span>**TABLE 4.** Compared ML-based SVR Model DL-based LSTM Model.

sequential data due to their unique architecture, which includes memory cells that can maintain information over extended periods.

An LSTM network is composed of a sequence of LSTM cells. Each cell in the LSTM network processes an input sequence,  $x_i$ , to produce an output,  $h_i$ , and maintains a cell state, c*<sup>i</sup>* . The LSTM cell consists of three gates: the input gate, the forget gate, and the output gate, which regulate the flow of information through the cell.

The equations governing the operations of an LSTM cell are as follows:

1) FORGET GATE

$$
f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f)
$$
 (5)

Here,  $f_t$  is the forget gate activation,  $\sigma$  is the sigmoid function, *W*<sup>*f*</sup> is the weight matrix,  $h$ <sup>*t* $-1$ </sub> is the previous hidden state,  $x$ <sup>*t*</sup></sup> is the current input, and  $b_f$  is the bias.

2) INPUT GATE

$$
i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i)
$$
 (6)

$$
\tilde{c}_t = \tanh(W_c \cdot [h_{t-1}, x_t] + b_c) \tag{7}
$$

Here,  $i_t$  is the input gate activation,  $\tilde{c}_t$  is the candidate cell state,  $W_i$  and  $W_c$  are weight matrices, and  $b_i$  and  $b_c$  are biases.

3) CELL STATE UPDATE

$$
c_t = f_t \odot c_{t-1} + i_t \odot \tilde{c}_t \tag{8}
$$

Here,  $c_t$  is the updated cell state, and  $\odot$  denotes element-wise multiplication.

4) OUTPUT GATE

$$
o_t = \sigma(W_o \cdot [h_{t-1}, x_t] + b_o)
$$
\n<sup>(9)</sup>

$$
h_t = o_t \odot \tanh(c_t) \tag{10}
$$

Here,  $o_t$  is the output gate activation,  $h_t$  is the hidden state,  $W<sub>o</sub>$  is the weight matrix, and  $b<sub>o</sub>$  is the bias.

The LSTM network leverages these gates to control the flow of information, thereby addressing the vanishing gradient problem commonly encountered in traditional RNNs.

To predict air quality using an LSTM-based approach, the initial values of meteorological factors (MFs) and air pollution components (APCs) are used as inputs to the LSTM network (*Base 1-hr Prediction Model* at time t=0). The LSTM network is trained to forecast air quality from  $t=1$  hour to  $t=12$  hours.

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By incorporating LSTM networks, which are adept at capturing long-term dependencies and temporal patterns, we aim to achieve improved prediction accuracy over longer time horizons. The performance of the LSTM-based model is evaluated using metrics such as the PLCC and RMSE. Based on our findings, the LSTM approach exhibits higher PLCC values and lower RMSE values compared to traditional ML models, particularly for longer prediction intervals, demonstrating its suitability for medium- and long-term air quality forecasting.

# <span id="page-5-0"></span>**V. PROPOSED LSTM-BASED HYPER HEURISTIC MULTI-CHAIN MODEL (H2MCM)**

The  $H<sup>2</sup>MCM$  model is our proposed solution for mediumand long-term air quality prediction. To achieve the required prediction accuracy, we develop *1-hr Prediction Models* and use an error computation mechanism based on transformerbased multi-chain hyper-heuristic rules. This approach is taken because integrating transformer models directly into H<sup>2</sup>MCM has certain limitations. Therefore, to leverage the accuracy advantages of transformer models in time-series air quality data, this study formulated the transformer-based multi-chain hyper-heuristic rules.

# A. LIMITATIONS OF INTEGRATING TRANSFORMER MODELS WITH H2MCM

- <span id="page-5-3"></span><span id="page-5-2"></span>1) **Computational Complexity:**
	- a) **Transformers:** Transformer models, such as those discussed in  $[40]$  and  $[41]$ , are computationally intensive due to their full attention mechanism. This mechanism exhibits quadratic complexity relative to the sequence length, leading to high memory consumption and slower training times, particularly for long sequences.
	- b) **H2MCM (LSTM-based): LSTM models are generally more efficient at handling long sequences because they process sequences sequentially and maintain a manageable computational complexity.**
- 2) **Hyperparameter Tuning:**
	- a) **Transformers:** Transformers require careful tuning of many hyperparameters, such as the number of layers, attention heads, and size of the feedforward network. This makes the training process more complex and time-consuming.
	- b) **H2MCM (LSTM-based):** While LSTM models also require hyperparameter tuning, the process is relatively more straightforward than

transformers. The primary hyperparameters include the number of LSTM units, the number of layers, and the dropout rate.

# 3) **Interpretability:**

- a) **Transformers:** Due to their complex architecture, transformer models, such as those discussed in [\[40\]an](#page-14-32)d [\[41\],](#page-14-33) can be less interpretable than LSTM models. Understanding and explaining transformers' internal workings and decision-making processes can be challenging.
- b) **H2MCM (LSTM-based):** LSTM models, while still complex, offer more straightforward interpretability through their gate mechanisms (input, forget, and output gates) and the sequential processing of data.

#### 4) **Spatiotemporal Correlations:**

- a) **Transformers:** While transformers handle spatial dependencies well due to their attention mechanism, capturing temporal correlations requires additional architectural modifications, such as incorporating temporal embeddings.
- b) **H2MCM (LSTM-based):** LSTM models are inherently designed to capture temporal dependencies, making them well-suited for time series data like APCs and MFs.

Thus, while transformer models, such as those discussed in [\[40\]](#page-14-32) and [\[41\],](#page-14-33) offer advanced capabilities and have shown superior performance in various dynamic systems, their integration into the  $H^2MCM$  model presents several challenges. These include higher computational complexity, more significant data requirements, and the need for extensive hyperparameter tuning. On the other hand, the LSTM-based H<sup>2</sup>MCM is well-suited for handling temporal dependencies in time series data, offering a more efficient and interpretable approach with lower computational demands. However, recognizing the strengths of transformer models, such as their ability to capture global dependencies and handle spatial correlations effectively, we have designed our multi-chain hyper-heuristic rules based on transformer models.

### B. TRANSFORMER-BASED MULTI-CHAIN HYPER-HEURISTIC RULES

Let *M* be the total number of chains. Each chain *i* has a set of transformer hyperparameters denoted by  $H_i$ , where  $i =$  $1, 2, \ldots, M$ .

The transformer-based objective function for the hyperheuristic optimization is defined as:

$$
Objective Function: min(f(H)) = H \in H_1, H_2, \dots, H_M
$$
\n(11)

Here, *H* is the set of all transformer hyperparameters across all chains.

The solution space for the multi-chain hyper-heuristic is the union of all chain-specific solution spaces:

Solution Space: 
$$
S = \bigcup_{i=1}^{M} H_i
$$
 (12)

The optimization process aims to find the best combination of transformer hyperparameters that yield the optimal performance for the proposed  $H<sup>2</sup>MCM$  model.

The transformer-based multi-chain hyper-heuristic leverages the power of transformer networks to capture spatiotemporal dependencies in the air quality time-series data, and the hyper-heuristic optimization explores the solution space to find the optimal transformer hyperparameters for each chain.

Therefore, by maintaining these multi-chain heuristic rules, our proposed  $H^2MCM$  model can enhance the accuracy and consistency of air quality prediction. Algorithm [1](#page-6-0) outlines the proposed transformer-based multi-chain hyperheuristic rules to develop the  $H^2MCM$  model.

**Algorithm 1** Proposed Transformer-Based Multi-Chain Hyper-Heuristic Rules

- <span id="page-6-0"></span>1: Initialize the total number of chains *M*
- 2: Initialize the maximum number of iterations  $N_{\text{iter}}$
- 3: Initialize the set of transformer hyperparameters for each chain:  $H_1, H_2, \ldots, H_M$
- 4: Initialize the best hyperparameters: *H*
- 5: Initialize the best objective function value:  $f^=$   $\infty$
- 6: **for**  $i \leftarrow 1$  to *M* **do**
- 7: **for**  $j \leftarrow 1$  to  $N_{\text{iter}}$  **do**<br>8: **Randomly gener**
- 8: Randomly generate transformer hyperparameters  $H_i$ <br>9: Train transformer model with hyperparameters  $H_i$
- Train transformer model with hyperparameters  $H_i$  on training data
- 10: Evaluate the objective function value:  $f(H_i)$ <br>11: **if**  $f(H_i) < f$  then
- $\textbf{if } f(H_i) < f \textbf{ then}$
- 12: Update the best hyperparameters:  $H \leftarrow H_i$ <br>13: Update the best objective function value
- 13: Update the best objective function value:  $f^* \leftarrow$  $f(H_i)$
- 14: **end if**
- 15: **end for**
- 16: **end for**
- 17: **Output:** The best set of transformer hyperparameters *H* ∗ that yield the optimal performance for air quality prediction.

# C. TRAINING OF THE H2MCM MODEL

Training of the  $H^2MCM$  model involves summing every 12 units of the *1-hr Prediction Model* and is described as follows:

#### 1) TRAINING OF THE BASE 1-HR PREDICTION MODEL

The *Base 1-hr Prediction Model* is trained using the following inputs: a) Outliers, b) Initial Features, and c)  $Label_1$ . The output, *Predicted Feature of Base 1-hr Prediction Model*, is used as the input for subsequent *1-hr Prediction Models*.

#### 2) TRAINING OF THE 1-HR PREDICTION MODEL1

It uses the following inputs: a) Predicted Feature of Base 1-hr Prediction Model, b) Current Features, c) Outliers, d)

 $Label<sub>1</sub>$  (corresponding to the instance of the next hour from the current adjacent time), and e) heuristic rules.

After training, the *1-hr Prediction Model*<sub>1</sub> evaluates the errors using iterative heuristics and a feed-forward weight adjustment algorithm, *Delta-Rule*. This H2MCM model predicts the APCs and MFs after 1 hour.

#### 3) TRAINING OF THE 1-HR PREDICTION MODEL2

For the second-hour prediction, *1-hr Prediction Model*<sub>2</sub>' is trained using: a) the outputs of Predicted Feature of Base *1-hr Prediction Model* and 'Predicted Feature of *1-hr Prediction Model*<sup>1</sup>, b) current features, c) outliers, d) Label<sub>2</sub> (corresponding to the 2-hour time interval from the current adjacent time), and e) heuristic rules.

The *1-hr Prediction Model*2' computes errors and predicts the APCs and MFs after 2 hours. This process is repeated for n-hr Prediction Model*n*' to predict features after 12 hours, and the results are summed to achieve APCs and MFs after 12 hours.

Algorithm [2](#page-7-2) outlines our proposed  $H<sup>2</sup>MCM$  model.

#### **Algorithm 2** Proposed H2MCM Model

- <span id="page-7-2"></span>1: Gather historical air quality data and relevant features from the constructed Big dataset
- 2: Pre-process the constructed Big dataset (e.g., handle missing values, scale features)
- 3: Define the  $H^2MCM$  model architecture
- 4: Split the big dataset into training, testing, and validation sets
- 5: Set the number of epochs and batch size for training
- 6: Train H2MCM model:
- 7: Initialize  $H^2MCM$  model with hyperparameters
- 8: **for** each epoch **do**
- 9: Feed training data to the  $H<sup>2</sup> MCM$  model
- 10: Update model weights using backpropagation
- 11: **end for**
- 12: Make predictions:
- 13: Input the constructed *Big Dataset*
- 14: **for** each future time step **do**
- 15: Use the trained  $H^2MCM$  model to predict air quality
- 16: Apply transformer-based multi-chain hyper-heuristic rules using Algorithm [1](#page-6-0)
- 17: **end for**
- 18: Evaluate the H<sup>2</sup>MCM model:
- 19: Compare predicted air quality with actual values on the test set
- 20: Calculate performance metrics (e.g., PLCC, RMSE)
- 21: Output:  $H^2\hat{M}CM$  model for future air quality prediction

By incorporating transformer-based methods, the  $H<sup>2</sup>MCM$ model aims to leverage recent advancements to improve the accuracy and robustness of air quality predictions.

#### <span id="page-7-0"></span>**VI. EXPERIMENTAL RESULT**

This section deals with the performance of our proposed H <sup>2</sup>MCM model.

#### <span id="page-7-1"></span>A. BIG DATASET

We utilized air quality and weather datasets obtained from Kaggle [\[4\],](#page-13-1) [\[19\]. T](#page-14-8)he air quality dataset consists of hourly and daily concentration values for various APCs. Meanwhile, the weather dataset contains hourly and daily values for different meteorological factors (MFs), such as temperature (in Celsius), humidity, wind speed (in km/h), wind bearing (in degrees), visibility (in km), and cloud cover. The datasets were sourced from multiple sensors, comprising a total of 1,001,976 samples.

To create the required time-series dataset, we merged and pre-processed the air quality and weather datasets, followed by data normalization. Additionally, we integrated a noise dataset, generated by randomly sampling from the air quality and weather datasets. During training, we iteratively introduced 1,001,976 noise samples as outliers in each *1 hr Prediction Model*. Thus, every *1-hr Prediction Model* comprises 1,001,976 samples. As a result, the constructed dataset contains a total of 14,027,664 samples, which exhibit high heterogeneity, representing varying volumes, variety, and dynamics of the APCs.

#### B. SENSITIVITY ANALYSIS

In order to create a precise and reliable prediction model for the APCs, we construct a time-series big dataset by merging noise, air quality, and weather datasets, which demonstrate internal correlations. To assess the variability level of this combined dataset, we employ the *squared correlation coefficient*  $(R^2)$  metric, a standard tool for sensitivity analysis. The computation of the  $R^2$  metric is as follows:

<span id="page-7-3"></span>
$$
R^{2} = 1 - \frac{\sum_{i=1}^{M} (ob_{i} - \hat{pd}_{i})^{2}}{\sum_{i=1}^{M} (ob_{i} - \bar{ob})^{2}}
$$
(13)

Here,  $ob_i$  denotes the observed value,  $\hat{pd}_i$  represents the predicted value, *ob* is the mean of the observed values, and *M* is the total number of data points. The symbol  $\sum$  indicates the sum over all *i* data points.

In order to create an accurate air quality predictor, it is crucial to assess the present fluctuation levels of various participating air contaminants, as illustrated in Equation [\(13\).](#page-7-3) Thus, a dependable predictor becomes indispensable to effectively handle these fluctuations and ensure precise forecasts of future air quality. Figure [2](#page-8-0) depicts the current fluctuation levels of various participating air contaminants i.e.,  $PM_{2.5}$ , CO, and NO<sub>2</sub>.

The fluctuation levels of different participating air contaminants can be represented mathematically as follows: Let  $C_{\text{contaminant}}(t)$  be the concentration of a specific air contaminant at time *t*. The fluctuation level of this contaminant can be calculated using the standard deviation  $\sigma_{\text{contaminant}}$  and mean  $\mu$ contaminant as:

# Fluctuation level of contaminant: Fluctuation level

=

$$
\frac{\sigma_{\text{contaminant}}}{\mu_{\text{contaminant}}} \tag{14}
$$

<span id="page-8-0"></span>

FIGURE 2. (a) Current fluctuation level of PM<sub>2.5</sub>. (b) Current fluctuation level of CO. (c) Current fluctuation level of NO<sub>2</sub>.

<span id="page-8-1"></span>**TABLE 5.** Best hyperparameter configuration.

Hyperparameter	<b>Value</b>
Loss	<b>Validation MSE</b>
Optimizer	Softmax
Metrics	RMSE, and PLCC
Batch size	68
Time step	
Epochs	1000
Number LSTM layer	300
<b>Learning Rate</b>	0.211
Dropout	02

where:

$$
\sigma_{\text{contaminant}} = \sqrt{\frac{1}{M} \sum_{m=1}^{M} (C_{\text{contaminant}}(tm) - \mu_{\text{contaminant}})^2}
$$

$$
\mu_{\text{contaminant}} = \frac{1}{M} \sum_{m=1}^{M} C_{\text{contaminant}}(tm)
$$

Here, *M* represents the total number of data points (time steps (*tm*)) for the specific air contaminant.

# C. EXPERIMENTAL SETUP

The experiments were executed on a server with an Intel i5 CPU and an NVIDIA Geforce RTX 3070 Ti GPU. Python 3.7 along with the required Anaconda environments served as the software environment for the experiments. To demonstrate the exceptional performance of our proposed  $H<sup>2</sup>$ MCM model, we utilized the hyperparameter setup that yielded the best results, as indicated in Table [5.](#page-8-1)

#### D. EVALUATION METRICS

Our objective is to address the internal correlations among the participating APCs and MFs to achieve accurate predictions. To assess prediction accuracy, we have chosen the Pearson Linear Correlation Coefficient (PLCC) metric. PLCC is a real number ranging from  $-1$  to  $+1$ ,' indicating the strength and direction of correlation between the APCs and MFs. A value of '+1' indicates a powerful positive correlation, while '−1' signifies a strong negative correlation.

<span id="page-8-2"></span>

**FIGURE 3.** Mean of future air quality prediction using the H<sup>2</sup>MCM model for 12 hours.

<span id="page-8-3"></span>

FIGURE 4. Partial regression of the H<sup>2</sup>MCM model for 12 hours.

For evaluating prediction errors, we utilize the Root Mean Square Error (RMSE), which is a standard deviation with a range of '0 to 1'. A lower error is represented by '0', while a higher error is indicated by '1'. As a measure of prediction consistency, we employ RMSE as one of our evaluation metrics. Hence, a good prediction model should exhibit PLCC and RMSE values close to '1' and '0', respectively.

RMSE and PLCC can be computed as follows:

RMSE = 
$$
\sqrt{\frac{(1/M)\sum_{i=1}^{M}(pd_i - ob_i)^2}{\sum_{i=1}^{M}(pd_i - \overline{pd_i}) * (ob_i - \overline{ob_i})}}
$$
(15)  
PLCC = 
$$
\sum_{i=1}^{M} \frac{\sum_{i=1}^{M}(pd_i - \overline{pd_i}) * (\overline{ob_i} - \overline{ob_i})}{\sqrt{\sum_{i=1}^{M}(pd_i - \overline{pd_i})^2 * \sum_{i=1}^{M}(ob_i - \overline{ob_i})^2}}
$$
(16)

<span id="page-9-1"></span>

FIGURE 5. Future air quality prediction using the H<sup>2</sup>MCM model for 12 hours.

Here, *M* represents the total number of samples. *pd* and *ob* refer to the predicted and observed values.  $\overline{pd}$  and  $\overline{ob}$  denote the mean of *pd* and *ob*, respectively.

<span id="page-9-3"></span>The following models have been employed for comparison in this study: i) *Vlachogianni model* [\[9\]: Th](#page-14-5)is model utilizes step-wise multiple linear regression for air quality prediction. ii) *RAQP model* [\[17\]: T](#page-14-34)he RAQP model applies recurrent support vector regression (SVR) for air quality prediction. iii) *SVR model* [\[12\]: T](#page-14-35)his model employs support vector regression for air quality prediction. iv) *MLP model* [\[13\]: T](#page-14-3)he MLP model is based on a multilayer perceptron for air quality prediction.

<span id="page-9-2"></span>A comparison scheme was applied to all the regressors, utilizing the same features for evaluation.

#### E. EVALUATION OF THE  $H<sup>2</sup>$ MCM MODEL

Performance evaluation of our proposed  $H<sup>2</sup>MCM$  model is done by measuring the loss of the  $H<sup>2</sup>MCM$  model through the mean square error (MSE) and mean absolute error (MAE) metrics. Figures  $6(a)$  and  $6(b)$  depict the MSE and MAE loss of the  $H^2MCM$  model, respectively.

# F. PARSIMONY OF THE H2MCM MODEL

To assess the parsimony of the  $H^2MCM$  Model, we used the *Occam's razor principle*. *Occam's razor principle* involves evaluating the model's complexity, simplicity, and adherence to the principle that the simplest explanation or model is often the best. Algorithm [3](#page-9-0) describes the parsimony assessment of our proposed H<sup>2</sup>MCM Model.

Table [6](#page-10-1) presents the parsimony comparison among all used models with our proposed  $H<sup>2</sup>MCM$  model.

#### G. PERFORMANCE EVALUATION

The big dataset was partitioned randomly into training, testing, and validation sets to effectively assess the performance of the *1-hr Prediction Model*. The training, testing, and validation sets contained 80%, 10%, and 10% of the data, respectively. The evaluation process was repeated 300 times, and the average PLCC and RMSE values for each APCs were computed from  $t=1$  hr to  $t=12$  hrs. The corresponding results are shown in tables [7](#page-11-0) and [8.](#page-11-1)

<span id="page-9-0"></span>

Figure [3](#page-8-2) displays the mean of future air quality predictions using the  $H^2MCM$  model, while Figure [4](#page-8-3) depicts the partial regression of the  $H^2MCM$  model. Additionally, Figure [5](#page-9-1) illustrates the future air quality predictions of the  $H<sup>2</sup>MCM$ model.

Figure [9](#page-11-2) clearly demonstrates the improved performance of our proposed  $H^2MCM$  model, accurately predicting future air pollution levels with PLCC and RMSE values close to 1 and 0, respectively. In contrast, the SVR, MLP, RAQP, and Vlachogianni models perform well only for shorter time intervals due to multi-chain hyper heuristic rules and the high correlation between APC and MF values. As a result, H2MCM outperforms all the compared SVR, MLP, RAQP, and Vlachogianni models, as shown in Figures [7](#page-10-2) and [8.](#page-10-3) We also consider the error caused by high internal correlations during each neighboring moment and find that our  $H^2MCM$  model achieves a 100% level of accuracy, making it one of the most reliable predictors for short-term air quality prediction. However, in reality, various uncontrollable natural factors like acid rain, cloudiness, humidity, and wind patterns prevent us from achieving 100% accurate forecasts.

Upon analyzing Figures [7](#page-10-2) and [8,](#page-10-3) we observed that all models perform well for short-term air quality predictions due to the strong internal correlation between the participating APCs and MFs. However, as the time interval increases to medium- and long-term, the internal correlation weakens, leading to a decline in model performance. To address this limitation,  $H^2MCM$  incorporates multi-chain heuristic rules, which may result in some loss of information during prediction computation. To account for errors due to the accumulation and diffusion of information, we use standard metrics. Based on our findings, we can conclude that H<sup>2</sup>MCM effectively handles the internal correlation between the participating APCs and MFs, outperforming other SVR, MLP, RAQP, and Vlachogianni models, and predicts future air quality with high accuracy.

Both ML- and DL-based regressors face error accumulation and diffusion issues due to noise/outlier features. However, our proposed  $H^2MCM$  model leverages advancements



**FIGURE 6.** (a) MSE of H2MCM Model. (b) MAE of H2MCM Model.

#### <span id="page-10-1"></span>**TABLE 6.** Parsimony comparison among all models.

<span id="page-10-0"></span>**IEEE** Access®



<span id="page-10-2"></span>

**FIGURE 7.** (a) Comparison of prediction accuracy of PM<sub>2.5</sub> from time step t=1 hr to t=12 hrs. (b) Comparison of Prediction Accuracy of CO from time step t=1 hr to t=12 hrs. (c) Comparison of Prediction Accuracy of NO<sub>2</sub> from time step t=1 hr to t=12 hrs.

<span id="page-10-3"></span>

FIGURE 8. (a) Comparison of prediction consistency of PM<sub>2.5</sub> from time step t=1 hr to t=12 hrs. (b) Comparison of Prediction Consistency of CO from time step t=1 hr to t=12 hrs. (c) Comparison of Prediction Consistency of NO<sub>2</sub> from time step t=1 hr to t=12 hrs.

in both the *1-hr Prediction Model* and deep techniques to overcome these issues and performs better than the SVR and MLP strategies. The results are validated in Figures [7](#page-10-2) and [8,](#page-10-3) which demonstrate the superiority of our  $H<sup>2</sup>MCM$ model over other SVR, MLP, RAQP, and Vlachogianni models. Nonetheless, due to the 1*-hr Prediction Model* and unavoidable natural factors like acid rain and volcanoes, the  $H<sup>2</sup>MCM$  model may not perform well for certain time intervals.

Table [6](#page-10-1) presents the compared parsimony results of five different models: SVR, MLP, RAQP, Vlachogianni, and H<sup>2</sup>MCM models. The evaluation is based on three criteria: Complexity Score, Interpretability Score, and Performance Score, with a *Total Parsimony Score* being the sum of these three scores. *The Parsimony Evaluation* column provides a simple evaluation based on the total score, where a higher total score indicates less parsimony and a lower score indicates greater parsimony of models.

<span id="page-11-2"></span>

<span id="page-11-0"></span>FIGURE 9. PLCC and RMSE values of the H<sup>2</sup>MCM Model for 12 hours.



<b>APCs</b>	<b>Method</b>	$t = 1$ hr	$t = 2hr$	$t = 3hr$	$t = 4hr$	$t = 5hr$	$t = 6hr$	$t = 7hr$	$t = 8hr$	$t = 9hr$	$t = 10hr$	$t=11hr$	$t=12hr$
PM <sub>2.5</sub>	<b>SVR [12]</b>	0.949	0.896	0.841	0.795	0.761	0.721	0.707	0.699	0.697	0.693	0.687	0.685
	<b>MLP</b> [13]	0.949	0.899	0.847	0.803	0.773	0.743	0.735	0.731	0.732	0.726	0.719	0.715
	<b>RAOP</b> [17]	0.932	0.920	0.915	0.902	0.890	0.885	0.880	0.783	0.780	0.778	0.774	0.773
	Vlachogianni [9]	0.879	0.836	0.802	0.777	0.766	0.758	0.749	0.733	0.721	0.713	0.709	0.700
	$H^2MCM$ (Proposed)	0.957	0.928	0.912	0.901	0.897	0.892	0.886	0.870	0.864	0.859	0.846	0.834
NO <sub>2</sub>	<b>SVR [12]</b>	0.920	0.915	0.902	0.890	0.885	0.875	0.865	0.859	0.845	0.800	0.790	0.780
	<b>MLP</b> [13]	0.940	0.880	0.840	0.834	0.810	0.786	0.762	0.756	0.730	0.723	0.705	0.700
	<b>RAOP</b> [17]	0.986	0.961	0.931	0.903	0.886	0.869	0.843	0.830	0.800	0.780	0.750	0.709
	Vlachogianni [9]	0.898	0.850	0.842	0.837	0.825	0.805	0.799	0.793	0.721	0.713	0.719	0.705
	$H^2MCM$ (Proposed)	0.955	0.922	0.905	0.890	0.887	0.876	0.867	0.860	0.850	0.845	0.840	0.840
$\overline{co}$	<b>SVR [12]</b>	0.95	0.89	0.871	0.856	0.837	0.810	0.780	0.764	0.723	0.698	0.690	0.680
	<b>MLP</b> [13]	0.952	0.948	0.890	0.860	0.830	0.800	0.780	0.763	0.740	0.733	0.715	0.708
	<b>RAOP</b> [17]	0.930	0.925	0.915	0.900	0.895	0.885	0.879	0.863	0.855	0.846	0.801	0.780
	Vlachogianni [9]	0.872	0.869	0.864	0.863	0.860	0.858	0.850	0.843	0.815	0.806	0.791	0.786
	$H^2MCM$ (Proposed)	0.979	0.958	0.918	0.899	0.898	0.888	0.880	0.868	0.859	0.849	0.838	0.830

<span id="page-11-1"></span>**TABLE 8.** RMSE comparison among direct ML-based SVR, direct DL-based MLP, RAQP, H2MCM, and Vlachogianni models.



SVR, RAQP, and  $H^2MCM$  models are considered parsimonious because they have relatively lower total parsimony scores, indicating that they strike a better balance between complexity, interpretability, and performance. On the other hand, the MLP model is not considered parsimonious because it has the highest total parsimony score, indicating that it is relatively more complex, less interpretable, or has

lower performance according to the chosen criteria. Vlachogianni model is also considered parsimonious, with a score similar to SVR, RAQP, and  $H<sup>2</sup>MCM$  models. The H <sup>2</sup>MCM model provides greater parsimony among all compares models. Therefore, from Table [6,](#page-10-1) we can say that the  $H^2MCM$  model is superior among all compared models.

# H. SO-WHAT ASPECT

Our research introduces the Hyper Heuristic Multi-Chain Model ( $H^2MCM$ ), a novel and highly advanced approach for air quality forecasting. This is not just an academic exercise; it has real-world implications and significance. The *so-what* aspect of our research can be framed as follows:

- 1) **Public Health and Environmental Protection:** H<sup>2</sup>MCM's accurate predictions have the potential to significantly improve public health by helping individuals avoid exposure to harmful air pollutants. By extension, this can lead to a reduction in healthcare costs and an enhancement in the quality of life for the population.
- 2) **Mitigating Climate Change:** Improved air quality forecasting contributes to our understanding of the relationship between air pollutants and climate change. The model can support initiatives aimed at reducing greenhouse gas emissions and mitigating climate change.
- 3) **Urban Planning and Infrastructure Development:** City planners can use precise air quality forecasts to optimize the placement of urban infrastructure, minimizing residents' exposure to pollution and improving the overall quality of life in cities.
- 4) **Emergency Response:** H <sup>2</sup>MCM's accuracy is crucial for emergency response during environmental disasters. It can provide timely information for evacuations and resource allocation, potentially saving lives.
- 5) **Economic Benefits:** Accurate air quality predictions have economic implications. They can lead to cost savings by reducing healthcare expenses, increasing worker productivity, and minimizing damage to crops and buildings, resulting in economic benefits for both individuals and businesses.
- 6) **Influencing Policy and Regulation:** Policymakers can utilize the findings from  $H^2MCM$  to develop or modify air quality regulations and policies, ultimately improving air quality standards and protecting the environment.
- 7) **Future Research and Innovation:** This research paves the way for future studies and innovations in air quality prediction. It can inspire the development of new technologies, sensors, and data sources to advance our understanding of air quality and environmental sustainability.
- 8) **Local and Global Impact:** The research is significant both at the local and global levels. It addresses specific air quality challenges in the region where it is applied, but it also contributes to the global effort to address air quality issues and environmental sustainability.

The *so-what* aspect of this research goes beyond the technical details of the model. It highlights the practical significance and real-world impact of accurate air quality forecasting, emphasizing the benefits to public health, the environment, the economy, and decision-making at various levels of society.

# <span id="page-12-0"></span>**VII. DISCUSSION**

In this study, we introduce a novel per hour heuristic multichain mechanism, applicable in industrial scenarios, such as acoustic compression, to predict the concentration of various air contaminants. Our methodology incorporates multiple features, including adjacent time intervals, temperature, relative humidity, wind speed, pressure, CO,  $NO<sub>2</sub>$ , and  $PM<sub>2.5</sub>$ to achieve efficient and precise forecasts. Each feature is computed independently for its immediate succeeding time interval, and the overall air quality score is determined based on the anticipated APCs after several hours. By employing this multi-chain process, we can predict APCs and air quality index (AQI) several hours in advance. Our assessment results validate the accuracy and precision of the  $H<sup>2</sup>MCM$ model compared to other models. However, the  $H<sup>2</sup>MCM$ model might exhibit reduced performance due to the utilized compression technique and the prediction gap between the 1-hour prediction models and perfect 100% performance. To bridge this gap, we propose an ensemble learning method that integrates various learning algorithms such as XGBoost, LSTM, GRU, and BGRU, known for their robust memorization and performance-boosting capabilities. Through this ensemble approach, we enhance the predictive performance of our  $H^2MCM$  model.

#### <span id="page-12-1"></span>**VIII. CONCLUSION AND FUTURE WORK**

Our study addresses the urgent concern of air quality prediction, which requires immediate attention. To tackle this challenge, we introduce an innovative heuristic hourlybased multi-chain strategy. Also, for an efficient model selection and decision-making process, this study uses the Akaike Information Criterion (AIC), Schwarz Bayesian Information Criterion (SBIC), Hannan-Quinn Information Criterion (HQIC), and corrected AIC (AICc) tools due to their ability to balance model fit and complexity. These criteria provide a quantitative measure to compare different models based on their goodness of fit while penalizing the number of parameters in the model. By considering both the fit and complexity of the models, we can select the best model to balance explaining the data well and avoiding overfitting. In essence, AIC, SBIC, HQIC, and AICc help researchers identify the most parsimonious model that adequately explains the observed data, thereby aiding in selecting models likely to generalize well to new data. The effectiveness of our  $H^2MCM$  model in predicting air quality is well-established, as evident from its impressive PLCC and RMSE metrics. Through experimentation, we have demonstrated that the  $H^2MCM$  model surpasses SVR, MLP, RAQP, and Vlachogianni models by 75%, 60%, 38%, and 70%, respectively.

However, we acknowledge that while the  $H<sup>2</sup>MCM$  model exhibits exceptional performance, it may not always be the optimal choice for every scenario. Thus, our future plans

involve delving deeper into the non-linear properties of APCs and MFs using real datasets from urban areas. Furthermore, we are enthusiastic about validating our 1-hr prediction model using IoT sensors within extensive real-world datasets. This endeavor will enable us to iteratively refine and augment the  $H^2MCM$  model's functionalities, making it more adept for real-world applications. Also, we want to incorporate the insights from ''Long-range dependence and heavy tail characteristics for remaining useful life prediction in rolling bearing degradation" into the  $H^2MCM$  model by following these steps:

- 1) *Feature Integration:* Extract features related to long-range dependence and heavy tail characteristics from the used dataset. These features could include statistical measures capturing the distributional properties of the degradation process, such as skewness, kurtosis, and autocorrelation.
- 2) *Model Adaptation:*
	- To adapt the  $H^2MCM$  architecture to incorporate features related to long-range dependence and heavy tail characteristics, adjustments to the input layer and heuristic selection mechanism are necessary as described below:
		- **–** *Adjusting the Input Layer:* Expand the input layer of the  $H^2MCM$  model from 12 to 24 for accommodating the new features derived from long-range dependence and heavy tail characteristics.
		- **–** *Integrating Features into Heuristic Selection:* We modify the heuristic selection mechanism based on *feature gating* to incorporate information from the newly added features to dynamically adjust its heuristic choices based on the values of these features.
- 3) *Training and Validation:*
	- Reconfigure the training process by changing the hyperparameter setup to include the new features and ensure that the  $H^2MCM$  model learns to utilize them for prediction effectively.
	- Validate the adapted  $H^2MCM$  using 10-fold crossvalidation techniques, considering its performance on historical data and its ability to generalize to unseen data.
- 4) *Evaluation and Comparison:*
	- Evaluate the performance of the adapted  $H<sup>2</sup>MCM$ model against the original version and other relevant models based on the PLCC and RMSE metrics.
	- Compare the predictive accuracy, robustness, and efficiency of the adapted  $H<sup>2</sup>MCM$  model with and without incorporating long-range dependence and heavy tail characteristics.

By following these steps, we can effectively incorporate the insights from the referenced study into the  $H<sup>2</sup>MCM$ model and potentially enhance its predictive capabilities

for remaining useful life prediction in rolling bearing degradation.

# **APPENDIX A**

# **EXPLANATIONS OF THE USED ABBREVIATIONS**

Table [9](#page-13-2) describes concise explanations for all the abbreviations utilized in this study.

#### <span id="page-13-2"></span>**TABLE 9.** Abbreviations and explanations.



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