

Received 15 May 2024, accepted 19 June 2024, date of publication 26 June 2024, date of current version 9 July 2024. *Digital Object Identifier* 10.1109/ACCESS.2024.3419249

## **RESEARCH ARTICLE**

# User Sensing and Localization With Reconfigurable Intelligent Surface for Terahertz Massive MIMO Systems

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This work was supported in part by the National Key Research and Development Program of China under Grant 2022YFB3904603 and in part by the School-level project of Xuzhou College of Industrial Technology under Grant XGY2021ZL68.

**ABSTRACT** With the evolution of the sixth generation (6G) mobile communication technology, the terahertz (THz) spectrum has attracted much attention in wireless communication applications due to its high bandwidth and low signal transmission delay. However, the introduction of the THz spectrum leads to higher path transmission losses and complex signal attenuation. This makes user sensing and localization in THz massive multiple-input multiple-output (MIMO) systems more challenging. In this paper, we investigate the user sensing and localization problem in THz massive MIMO systems with the assistance of reconfigurable intelligent surfaces (RIS). Firstly, the received signal is modeled as a tensor, and a parallel factor (PARAFAC) method is proposed. The minimum description length (MDL) is then utilized to detect the number of scattering paths in the channel. On this basis, the alternating least squares (ALS) algorithm is employed to obtain channel parameter information. Finally, the positions of users and scattering points are estimated based on the geometric relationship between channel parameters and location coordinates. The simulation results have verified the effectiveness of the proposed scheme compared to the existing competitive algorithms, and indicate that the proposed scheme exhibits superior parameter estimation performance and can achieve localization accuracy at the decimeter level.

**INDEX TERMS** Teraherz, massive MIMO, sensing and localization, RIS, tensor.

#### I. INTRODUCTION

The development of the sixth generation (6G) mobile communication technology has emerged as a focal point in wireless communication technology [1]. Its faster data transmission rate, larger capacity, low communication delay, and support for real-time interaction promote Internet of Things (IoT) connectivity and intelligent applications [2]. The launch of 6G will bring innovations in various application

The associate editor coordinating the review of this manuscript and approving it for publication was Olutayo O. Oyerinde<sup>(D)</sup>.

scenarios and has enormous potential in the positioning field. Its high-precision positioning capability will drive the development of fields such as smart cities [3], healthcare [4], smart homes [5], and IoT connectivity [6]. For 6G services, the terahertz (THz) spectrum has garnered significant interest owing to its high bandwidth and low signal transmission delay, bringing new possibilities for high-speed data transmission and user perception tracking [7].

However, the emergence of the THz spectrum has also brought a series of new challenges, such as higher path transmission loss, complex signal attenuation, and difficulties in beamforming [8]. Despite the wide spectrum resources, environmental factors have a significant impact on it, and it is necessary to overcome problems such as signal attenuation. To tackle this concern, reconfigurable intelligent surface (RIS), as a new type of radio spectrum modulation technology, is considered a potential solution to overcome the congestion problem of the THz positioning systems [9]. The RIS adjusts the propagation path and phase of electromagnetic waves by controlling intelligent units on the surface. This method can effectively improve signal quality, reduce transmission losses, and potentially achieve beamforming and interference suppression. Demonstrating the vast potential of creating an intelligent radio environment, the RIS can contribute to the efficient concentration of power at the target receiver by precisely manipulating the phase shift of the reflective elements. Therefore, it is used to enhance the performance of synchronous wireless information and power transmission (SWIPT) systems [10] and multicellular networks [11]. Especially in positioning systems, RIS assistance can improve positioning accuracy [12], [13]. Compared to passive RIS, active RIS has power amplification capability, which can effectively bypass the phenomenon of "dual path loss" and fully tap into the potential of RIS [14]. It should be noted that active RIS is different from traditional relays because it does not require a radio frequency (RF) link.

User sensing and localization play a crucial role in wireless communication. Sensing involves understanding the environment and network conditions of the user equipment (UE), while localization determines the precise location. The main idea of these two is to obtain positional geometric information from channel state information (CSI) measurement, and their accuracy directly influences the performance and service quality of wireless communication systems [15]. Leveraging the sparsity in the angular domain of large-scale multipleinput multiple-output (MIMO) systems, UE localization using the angle of arrival (AOA) has emerged as a focal point of research interest. The work in [16] uses matched filtering and compressed sensing (CS) methods to estimate time of arrival (TOA) and AOA, and utilizes multiple base stations (BS) to perceive the position of the UE. However, this work is not applicable to situations involving a single BS. The authors of [17] give a two-stage algorithm for estimating the CSI. Firstly, the distributed CS synchronous orthogonal matching pursuit (OMP) algorithm is adopted for rough estimation. Then, the expectation maximization algorithm is employed for refinement to perceive the position of indoor terminals. However, the work in [17] requires locating the scatterer to calculate the position of the UE, and is not applicable to the case of a single antenna terminal.

In addition, the utilization of the RIS-assisted UE localization has been widely studied [18], [19], [20], [21], [22]. The work in [18] proposes a near-field target localization algorithm for RIS-assisted positioning systems, which determines the target position by extracting information from RIS-reflected signals. The authors of [19] propose a superimposed symbol scheme that overlays sensing pilots onto data symbols on the same time-frequency resource. They develop a structure-aware sparse Bayesian learning framework that improves sensing performance and increases spectral efficiency by decoding data symbols as auxiliary information. In [20], the authors introduce an innovative self-sensing RIS architecture, outline the RIS stages, and employ a customized multiple signal classification (MUSIC) algorithm to detect the direction of its nearby target. However, the work in [20] only estimates the angle and cannot obtain the user position. The work in [21] considers the observation degrees of freedom with the assistance of multiple RISs and employs random beamforming and maximum likelihood estimation methods to estimate the angle of departure (AOD) and perceive the UE position. However, this work needs UE to know the location of the RIS, which is difficult to implement in practice. The authors of [22] explores the use of a RIS-assisted millimeter wave (mmWave) MIMO system to estimate the position and rotation angle of a mobile station (MS) in the presence of real scatterers. A two-step localization scheme is proposed that first obtains the channel parameters and then estimates the positiondependent parameters. Simulation results show the superior performance of the proposed localization algorithm.

In wireless communication systems, the CSI obtained through channel estimation is not only the foundation of communication. This information also contains a large amount of sensing information about the transmitter, receiver, and scatterer, such as AOA, AOD, the gain of paths, Doppler frequency shift, displacement, and movement speed. Therefore, a direct connection between communication and sensing can be established naturally. For modern wireless systems functioning at high carrier frequencies such as the mmWave and THz [23], [24], the signal parameter space is essentially multidimensional and may exhibit a multilinear algebraic structure. The tensor dimension can be associated with various signal dimensions, including frequency, time, and space [25], [26]. Tensor decomposition is considered a promising technique for processing large amounts of multidimensional data and has been employed to various communication and sensing systems [27], [28], [29], [30], [31], [32], [33], such as symbol detection, channel estimation, parameter recovery, localization, and mapping. In [29], a third-order parallel factor (PARAFAC) [34] was employed to model the mmWave MIMO orthogonal frequency division multiplexing (OFDM) system. Then two specialized algorithms were developed to estimate the channel with negligible and significant dual broadband effects [35], respectively. The study presented in [30] introduces an optimized nested PARAFAC tensor decomposition method for the simultaneous estimation of sensing parameters and detection of information symbols in time-varying mmWave MIMO systems. This scheme achieves high joint estimation performance without transmitting training sequences, and reduces computational complexity. The work in [31]

describes a tensor decomposition-based approach for timevarying channel estimation in mmWave MIMO-OFDM systems. A fourth-order tensor construction method for low-rank CANDECOMP/PARAFAC (CP) models is proposed and the computational complexity is reduced by optimizing the iteration steps. The study also demonstrates the effectiveness of the proposed method in practical scenarios. The authors of [32] give a joint AOA and flight time estimation scheme for WIFI systems using tensor modeling, and simulation results showed its superiority compared to the existing algorithms. In [33], a tensor-based sensing parameters estimation method is first proposed. These parameters are then utilized to design a search-free localization and mapping method. However, the technique described in [33] is tailored for mmWave systems employing a fully digital beamforming architecture, resulting in an unavoidable increase in power consumption. In addition, the above methods did not consider RIS-assisted scenarios, which enhances communication and sensing performance.

In this paper, we present a user sensing and localization scheme for RIS-assisted THz massive MIMO systems. This scheme is based on the sparsity of THz channels and designs a PARAFAC tensor model for the RIS-assisted THz massive MIMO channel. Subsequently, we utilize minimum description length (MDL) to detect the number of scattering paths in the channel and introduce an alternating least squares (ALS) algorithm for CSI estimation. Specifically, by employing the low-rank property of the received signal tensor and the uniqueness of parallel factorization, the received signal tensor is first decomposed into three factor matrices. Subsequently, a straightforward correlation-based scheme is employed to estimate the channel parameters from the factor matrices. Theoretical research and analysis have been conducted on the uniqueness problem of the constructed tensor model. The proposed algorithm can uniquely estimate channel parameters under more relaxed conditions. Ultimately, leveraging the geometric relationship between the estimated channel parameters and the coordinates of the UE position, the positions of both the UE and scattering points (SP) are determined. The effectiveness of the proposed user sensing and localization scheme is substantiated through simulation results. In comparison to the existing competitive algorithms, the proposed algorithm attains superior channel estimation accuracy with lower complexity.

The subsequent sections of the paper are organized as follows: In Section II, the derivation of the channel model under the RIS-assisted THz massive MIMO system is outlined, representing the received signal as a tensor. Section III proposes a CP decomposition algorithm for the tensor and employs a correlation-based scheme to estimate the channel parameters. The sensing and estimation of the UE and SPs position are realized. Simulation results in Section IV show the effect of system parameters and signal-to-noise ratio (SNR). Section V summarizes the concluding remarks.

*Notation:* Italic lowercase letters e.g., b and boldface lowercase letters e.g., **b** represent scalars and vectors,

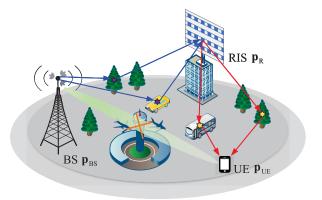


FIGURE 1. Illustration of the considered system model, where the direct channel between the BS and the UE is blocked and communication is carried out through the RIS reflection signals.

respectively. Boldface uppercase letters e.g., **B** indicate matrices, while boldface Euler script letters e.g., **B** denote tensors. Commonly  $\mathcal{B} \in \mathbb{R}^{D_1 \times \cdots \times D_N}$  denotes a *N*-order tensor. The transpose, Hermitian (conjugate transpose), inverse, pseudo-inverse, and Frobenius norm of a matrix **B** are represented as  $\mathbf{B}^T$ ,  $\mathbf{B}^H$ ,  $\mathbf{B}^{-1}$ ,  $\mathbf{B}^{\dagger}$  and  $\|\mathbf{B}\|_F$ , respectively. The Kronecker product, denoted by  $\otimes$ , and the Khatri-Rao product (column-wise Kronecker product), represented by  $\odot$ , are two distinct mathematical operations. The identity matrix of size *N* and a column vector with all zeros can be denoted by  $\mathbf{I}_N$  and  $\mathbf{0}_N$ , respectively. The notation *diag* (**b**) is a diagonal matrix, where the main diagonal consists of the entries of **b**.

#### **II. SYSTEM MODEL**

Fig. 1 shows the considered THz massive MIMO-OFDM system, which utilizes RIS to assist communication and sensing between the BS and UE. Since the independence of signals between multiple users in downlink communication, sensing and localization issues in single user scenarios are considered to simplify system design and optimization. The positions of the BS and RIS, denoted as  $\mathbf{p}_{BS}$  and  $\mathbf{p}_{R}$ respectively, are predetermined, whereas the position of the UE, represented as pUE, remains uncertain. To facilitate hardware implementation, an analog-digital hybrid beamforming approach is utilized at both the BS and the UE. Both the transmitter and the receiver adopt a Uniform Linear Array (ULA) configuration. The BS utilizes  $N_{\rm B}$  antennas, while the UE is equipped with N<sub>U</sub> antennas. The RF links at the BS and UE are  $M_{\rm BS}$  and  $M_{\rm UE} = 1$ , respectively, satisfying  $M_{\rm BS} < N_{\rm B}$ and  $M_{\rm UE}$  <  $N_{\rm U}$ . RIS consists of  $N_{\rm R}$  units of the same small size, each made of metamaterials that can adjust its reflection coefficient. Assuming the total number of OFDM carriers is <u>K</u>, for simplification, we select K subcarriers for training.

For the downlink channel, we have considered a single UE. Each subcarrier witnesses the BS employing T distinct beamforming vectors over T consecutive time frames. Within each time frame, the UE utilizes M consecutive RF combination vectors  $\mathbf{c}_m$  to detect the transmitted signal, and these vectors are uniform across all subcarriers. Initially,

the received signal is combined within each subframe in the RF domain. Subsequently, after applying the Discrete Fourier Transform (DFT), the cyclic prefix is eliminated, and the symbols undergo conversion back into the frequency domain. The processed received signal associated with the *k*-th subcarrier ( $k = 1, \dots K$ ) in the *m*-th subframe ( $m = 1, \dots M$ ) can be represented as

$$y_m^k(t) = \mathbf{c}_m^T \mathbf{H}^k \mathbf{q}^k(t) + n_m^k(t), \qquad (1)$$

where  $\mathbf{c}_m \in \mathbb{C}^{N_U}$  is the binding vector at the *m*-th subframe,  $\mathbf{H}^k \in \mathbb{C}^{N_U \times N_B}$  is the cascaded channel matrix of the *k*-th subcarrier,  $n_m^k(t)$  is additive Gaussian noise. At the *t*-th time frame  $(t = 1, \dots T)$ ,  $\mathbf{q}^k(t) \in \mathbb{C}^{N_B}$  represents the beamforming vector of the *k*-th subcarrier, satisfying  $\mathbf{q}^k(t) = \mathbf{F}_{\mathrm{RF}}(t) \mathbf{F}^k(t) \mathbf{s}^k(t)$ , where  $\mathbf{F}_{\mathrm{RF}}(t)$ ,  $\mathbf{F}^k(t)$ , and  $\mathbf{s}^k(t)$ represent the RF precoding matrix of all carriers, the digital precoding matrix of the *k*-th subcarrier, and the pilot symbol vector, respectively.

Collecting signals from M subframes, (1) can be re-represented as

$$\mathbf{y}^{k}(t) = \mathbf{C}^{T} \mathbf{H}^{k} \mathbf{q}^{k}(t) + \mathbf{n}^{k}(t), \qquad (2)$$

where  $\mathbf{y}^{k}(t) = \left[y_{1}^{k}(t), \dots, y_{M}^{k}(t)\right]^{T}$ ,  $\mathbf{n}^{k}(t) = \left[n_{1}^{k}(t), \dots, n_{M}^{k}(t)\right]^{T}$ , and  $\mathbf{C} \stackrel{\Delta}{=} [\mathbf{c}_{1}, \dots, \mathbf{c}_{M}] \in \mathbb{C}^{N_{U} \times M}$ . Assuming that for any  $k = 1, \dots, K$ , there are  $\mathbf{F}^{k}(t) = \mathbf{F}^{k}(t)$ 

Assuming that for any  $k = 1, \dots K$ , there are  $\mathbf{F}^{k}(t) = \mathbf{F}(t)$  and  $\mathbf{s}^{k}(t) = \mathbf{s}(t)$ . Let  $\mathbf{S} \stackrel{\Delta}{=} [\mathbf{s}(1), \dots, \mathbf{s}(T)]$ , then the signal received by the UE is represented as

$$\mathbf{Y}^k = \mathbf{C}^T \mathbf{H}^k \mathbf{Q} + \mathbf{N}^k, \tag{3}$$

where  $\mathbf{Y}^k = [\mathbf{y}^k(1), \dots, \mathbf{y}^k(T)] \in \mathbb{C}^{M \times T}, \mathbf{N}^k = [\mathbf{n}^k(1), \dots, \mathbf{n}^k(T)]$ , and  $\mathbf{Q} = [\mathbf{q}(1), \dots, \mathbf{q}(T)] \in \mathbb{C}^{N_B \times T}$ . In order to focus on studying the impact of RIS on signal propagation and maintain computational efficiency, we choose a two-dimension channel model [36], which can also be extended to three-dimension models. The representation of the cascaded channel matrix for the *k*-th subcarrier is as follows [37], [38]:

$$\mathbf{H}^{k} = \mathbf{H}_{\mathrm{RU}}^{k} \mathbf{\Omega} \mathbf{H}_{\mathrm{BR}},\tag{4}$$

where  $\mathbf{\Omega} = \text{diag}\left(e^{j\omega_1}, \cdots, e^{j\omega_{N_R}}\right) \in \mathbb{C}^{N_R \times N_R}$  is the phase control matrix of the RIS,  $\omega_i \in [0, 2\pi]$  is the phase shift coefficient associated with the *i*-th passive reflecting element. The channel from the BS to the RIS satisfies

$$\mathbf{H}_{\mathrm{BR}} = \sum_{l_b=1}^{L_b} \xi_{l_b} \mathbf{a}_{\mathrm{R}} \left( \overline{\varphi}_{l_b} \right) \mathbf{a}_{\mathrm{B}}^T \left( \widetilde{\varphi}_{l_b} \right).$$
(5)

where  $\xi_{l_b}$  represents the complex channel gain of the  $l_b$ -th path,  $\mathbf{a}_{\mathbf{R}}(\overline{\varphi}_{l_b})$  and  $\mathbf{a}_{\mathbf{B}}(\widetilde{\varphi}_{l_b})$  are the steering array vectors of RIS and BS, respectively, and  $L_b$  represents the total number of paths between the RIS-BS channel.

Since the fixed positions of the BS and RIS, the channel between the BS and RIS will not undergo significant changes over a period of time, so estimating  $H_{\rm BR}$  is only required once within a brief time period. In addition,

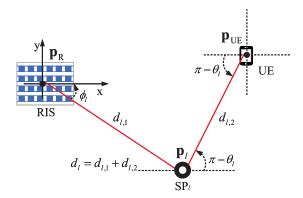


FIGURE 2. Illustration of channel parameters and position coordinates.

based on the assumption of near-static channel and system synchronization, the path delay in (5) can be ignored to simplify the model and focus on the effects of amplitude and phase. Considering the limited scattering of THz waves, the channel  $\mathbf{H}_{RU}^k \in \mathbb{C}^{N_U \times N_R}$  between the RIS and UE can be obtained by the superposition of one line-of-sight (LOS) path and L - 1 non-LoS (NLOS) path [39], as

$$\mathbf{H}_{\mathrm{RU}}^{k} = \sum_{l=1}^{L} \beta_{l} \exp\left(-j2\pi \tau_{l} f_{s} k / \underline{K}\right) \mathbf{a}_{\mathrm{M}}\left(\theta_{l}\right) \mathbf{a}_{\mathrm{R}}^{T}\left(\phi_{l}\right), \quad (6)$$

where *L* represents the number of paths,  $\beta_l$  and  $\tau_l$  are the complex path gain and time delay of the *l*-th path ( $l = 1, \dots, L$ ),  $f_s$  is the sampling rate,  $\theta_l$  and  $\phi_l$  denote the AOA in the UE and the AOD in the RIS on the *l*-th path, respectively. We calculate the phase shift based on the sampling frequency  $f_s$  and normalized frequency k/K to maintain consistency in digital signal processing and simplify model analysis.

1) Path Loss: The path loss in the THz channel consists of molecular absorption loss and diffusion loss [40], i.e.  $\beta_l = |\Gamma_l| \left(\frac{c}{4\pi f_c r}\right) e^{-\frac{1}{2}k_{abs}(f_c)r}$ . Where *c* represents the speed of light, *r* is the LOS path length, *f\_c* denotes the carrier frequency, and  $k_{abs}(f_c)$  represents the frequency-dependent absorption coefficient of the medium [41].

Due to its high reflection loss, THz channels have a limited number of NLOS paths and are sparser than mmWave channels. In the THz channel, the gain difference between the LOS path and the NLOS path (averaging over 15 dB [42]) is more prominent than in the mmWave channel. This indicates that THz channels are mainly affected by LOS paths and are more sensitive to obstacles than mmWave channels.

2) Array Response Vector:  $\mathbf{a}_{M}(\theta_{l})$  and  $\mathbf{a}_{B}^{T}(\phi_{l})$  respectively represent the antenna array steering vectors for the UE and RIS, both of which satisfy:

$$\mathbf{a}\left(\psi\right) = \left[1, e^{-j\frac{d}{\lambda}2\pi\sin(\psi)}, \cdots, e^{-j\frac{d}{\lambda}2\pi(N-1)\sin(\psi)}\right]^{T}, \quad (7)$$

where d represents the antenna spacing,  $\lambda$  denotes wavelength, and  $\mathbf{a}(\psi) \in \mathbb{C}^{N \times 1}$ .

An illustration of the channel parameter concerning the position coordinates is given in Fig. 2, where  $d_l = c\tau_l$ ,

 $d_{l,1} = \|\mathbf{p}_l - \mathbf{p}_R\|$  and  $d_{l,2} = \|\mathbf{p}_{UE} - \mathbf{p}_l\|$  [43]. The geometric correspondence between channel parameter  $\{\tau_l, \theta_l, \phi_l\}$  and position coordinates can be expressed as, with  $\mathbf{p}_{UE} = [x_{UE}, y_{UE}]^T$  and  $\mathbf{p}_R = [x_R, y_R]^T$ 

Time delay: 
$$\tau_l = \|\mathbf{p}_l - \mathbf{p}_R\|/c + \|\mathbf{p}_l - \mathbf{p}_{UE}\|/c,$$
 (8)  
AOA:  $\theta_l = \pi - \arccos\left(\left(x_{UE} - x_l\right)/\|\mathbf{p}_{UE} - \mathbf{p}_l\|\right),$ 

AOA: 
$$\theta_l = \pi - \arccos\left(\left(x_{\rm UE} - x_l\right) / \|\mathbf{p}_{\rm UE} - \mathbf{p}_l\|\right),$$
(9)

AOD: 
$$\phi_l = \arccos\left((x_l - x_R)/||\mathbf{p}_l - \mathbf{p}_R||\right),$$
 (10)

where  $\mathbf{p}_l = [x_l, y_l]^T$  is the position of the SP associated with the *l*-th path. Substituting (4) into (3), there are

$$\mathbf{Y}^{k} = \mathbf{C}^{T} \mathbf{H}_{\mathrm{RU}}^{k} \mathbf{\Omega} \mathbf{H}_{\mathrm{BR}} \mathbf{Q} + \mathbf{N}^{k}$$
$$= \mathbf{C}^{T} \mathbf{H}_{\mathrm{RU}}^{k} \mathbf{E} + \mathbf{N}^{k}, \qquad (11)$$

where  $\mathbf{E} = \mathbf{\Omega} \mathbf{H}_{BR} \mathbf{Q} \in \mathbb{C}^{N_R \times T}$ ,  $\mathbf{\tilde{a}}_M(\theta_l) \stackrel{\Delta}{=} \mathbf{C}^T \mathbf{a}_M(\theta_l)$ , and  $\mathbf{\tilde{a}}_R(\phi_l) \stackrel{\Delta}{=} \mathbf{E}^T \mathbf{a}_R(\phi_l)$ . Combining channels (5) and (6), the received signal  $\mathbf{Y}^k$  can be re-represented as:

$$\mathbf{Y}^{k} = \sum_{l=1}^{L} \beta_{l} \exp\left(-j2\pi \tau_{l} f_{s} k / \underline{K}\right) \mathbf{C}^{T} \mathbf{a}_{\mathrm{M}} \left(\theta_{l}\right) \mathbf{a}_{\mathrm{R}}^{T} \left(\phi_{l}\right) \mathbf{E} + \mathbf{N}^{k}$$
$$= \sum_{l=1}^{L} \beta_{l} \exp\left(-j2\pi \tau_{l} f_{s} k / \underline{K}\right) \widetilde{\mathbf{a}}_{\mathrm{M}} \left(\theta_{l}\right) \widetilde{\mathbf{a}}_{\mathrm{R}}^{T} \left(\phi_{l}\right) + \mathbf{N}^{k}.$$
(12)

Note that the *k*-th slice  $\mathbf{Y}^k$  of tensor  $\mathbf{\mathcal{Y}} \in \mathbb{C}^{M \times T \times K}$  is a weighted sum of a common rank-one outer product. Therefore, the tensor  $\mathbf{\mathcal{Y}}$  facilitates the CP decomposition, which breaks down a tensor into a weighted sum of rank-1 tensors. In other words,

$$\boldsymbol{\mathcal{Y}} = \sum_{l=1}^{L} \widetilde{\mathbf{a}}_{\mathrm{M}}(\theta_{l}) \circ \widetilde{\mathbf{a}}_{\mathrm{R}}(\phi_{l}) \circ (\beta_{l} \mathbf{t}(\tau_{l})) + \boldsymbol{\mathcal{N}}, \qquad (13)$$

where

$$\mathbf{t}(\tau_l) \stackrel{\Delta}{=} \left[ \exp\left(-j2\pi \tau_l f_s\left(1/\underline{K}\right)\right), \\ \cdots, \exp\left(-j2\pi \tau_l f_s\left(K/\underline{K}\right)\right) \right]^T.$$
(14)

Since the sparse scattering characteristic of the THz channel, the path number *L* is typically much smaller compared to the tensor dimension. Therefore, the tensor  $\mathcal{Y}$  inherently possesses a low-rank structure. This low-rank characteristic guarantees the uniqueness of the CP decomposition of  $\mathcal{Y}$  in terms of scaling and arrangement ambiguity. Consequently, through the CP decomposition of the received signal  $\mathcal{Y}$ , the estimated values of parameters  $\{\theta_l, \phi_l, \tau_l, \beta_l\}$  can be obtained. As a result, an estimate of the UE position is acquired. Define

$$\mathbf{U} \stackrel{\Delta}{=} \left[ \widetilde{\mathbf{a}}_{\mathrm{M}} \left( \theta_{1} \right), \cdots, \widetilde{\mathbf{a}}_{\mathrm{M}} \left( \theta_{L} \right) \right], \tag{15}$$

$$\mathbf{V} \stackrel{\Delta}{=} [\widetilde{\mathbf{a}}_{\mathrm{R}}(\phi_1), \cdots, \widetilde{\mathbf{a}}_{\mathrm{R}}(\phi_L)], \qquad (16)$$

$$\mathbf{W} \stackrel{\Delta}{=} \left[\beta_1 \mathbf{t}\left(\tau_1\right), \cdots, \beta_L \mathbf{t}\left(\tau_L\right)\right],\tag{17}$$

where matrices  $[\mathbf{U}, \mathbf{V}, \mathbf{W}]$  are factor matrices associated with a noiseless version of  $\boldsymbol{\mathcal{Y}}$ .

#### III. THE PROPOSED ALGORITHM

In this section, we derive the PARAFAC decomposition of the tensor form of the received signal. Firstly, we utilize the ALS algorithm to solve the factor matrices of the tensor  $\mathcal{Y}$ . Then the channel parameter  $\{\theta_l, \phi_l, \tau_l, \beta_l\}$  can be estimated through a straightforward correlation-based scheme. And a uniqueness analysis of tensor decomposition is provided. Finally, user sensing and localization are performed based on the estimated parameter information.

#### A. PARAMETER ESTIMATION

Note that the number of scattering paths *L* between RIS and UE is typically unknown and requires estimation beforehand. Specifically, the rank of the received signal tensor  $\mathcal{Y}$  corresponds to the number of scattering paths *L*. Therefore, we utilize MDL [44] to detect the rank of tensors. For simplicity, we let  $[\mathcal{O}]_j = [\mathcal{Y}]_j^T$  (j = 1, 2, 3) represents the transpose of the mode-*j* unfolding of tensor  $\mathcal{Y}$ ;  $I_1 = M$ ,  $I_2 = T$ ,  $I_3 = K$ , and  $I_T = MTK$ . When we perform eigenvalue decomposition on the sample covariance matrix  $\frac{l_j}{l_T}[\mathcal{O}]_j[\mathcal{O}]_j^H$ , we obtain a set of eigenvalues in descending order  $\{\lambda_{1,j}, \dots, \lambda_{l_j,j}\}$ . In the *j*-th sequential procedure, we utilize the following global eigenvalues for the MDL criterion in detection

$$\lambda_{i,j}^{(G)} = \lambda_{i,1} + \dots + \lambda_{i,j},\tag{18}$$

where  $i = 1, \dots, I_j$ . Therefore, the estimated value of *L* is  $\widehat{L} = \min \{\widehat{L}_j\}_{i=1}^3$ , and we have

$$\widehat{L}_{j} = \operatorname*{arg\,min}_{l_{j}} \mathrm{MDL}\left(l_{j}\right),\tag{19}$$

$$\text{MDL}\left(l_{j}\right) = \frac{l_{j}}{2} \left(2I_{j} - l_{j}\right) \log \frac{I_{T}}{I_{j}} + p_{l}\left(\left[\mathcal{O}\right]_{j}, \widehat{\rho}_{l}\right), \quad (20)$$

where  $\hat{\rho}_l$  represents the maximum likelihood estimate of the parameter vector for the *l*-th model. Additionally,  $p_l([\mathcal{O}]_j, \hat{\rho}_l)$  denotes the corresponding log-likelihood function, which follows the form [45]

$$p_{l}\left([\mathcal{O}]_{j}, \widehat{\rho}_{l}\right) = \frac{I_{T}}{I_{j}}\left(I_{j} - l_{j}\right)\log\frac{\frac{1}{I_{j} - I_{j}}\sum_{i=l_{j}+1}^{l_{j}}\lambda_{i,j}^{(G)}}{\prod_{i=l_{j}+1}^{I_{j}}\lambda_{i,j}^{(G)}}.$$
 (21)

Thus, the CP decomposition of the tensor  $\mathcal{Y}$  involves solving the following expression:

$$\min_{\widehat{\mathbf{U}},\widehat{\mathbf{V}},\widehat{\mathbf{W}}} \left\| \boldsymbol{\mathcal{Y}} - \sum_{l=1}^{\widehat{L}} \widehat{\mathbf{u}}_l \circ \widehat{\mathbf{v}}_l \circ \widehat{\mathbf{w}}_l \right\|_F^2.$$
(22)

Let  $\widehat{\mathbf{U}} = [\widehat{\mathbf{u}}_l, \dots, \widehat{\mathbf{u}}_{\widehat{L}}], \ \widehat{\mathbf{V}} = [\widehat{\mathbf{v}}_l, \dots, \widehat{\mathbf{v}}_{\widehat{L}}]$ , and  $\widehat{\mathbf{W}} = [\widehat{\mathbf{w}}_l, \dots, \widehat{\mathbf{w}}_{\widehat{L}}]$ . The optimization presented above can be effectively addressed using the ALS algorithm, which can minimize the data fitting error of one factor matrix while

maintaining the other two factor matrices fixed [46].

$$\widehat{\mathbf{U}}^{(t+1)} = \arg\min_{\widehat{\mathbf{U}}} \left\| \mathbf{Y}_{(1)}^T - \left( \widehat{\mathbf{W}}^{(t)} \odot \widehat{\mathbf{V}}^{(t)} \right) \widehat{\mathbf{U}}^T \right\|_F^2, \quad (23)$$

$$\widehat{\mathbf{V}}^{(t+1)} = \arg\min_{\widehat{\mathbf{V}}} \left\| \mathbf{Y}_{(1)}^T - \left( \widehat{\mathbf{W}}^{(t)} \odot \widehat{\mathbf{U}}^{(t+1)} \right) \widehat{\mathbf{V}}^T \right\|_F^2, \quad (24)$$

$$\widehat{\mathbf{W}}^{(t+1)} = \arg\min_{\widehat{\mathbf{W}}} \left\| \mathbf{Y}_{(1)}^{T} - \left( \widehat{\mathbf{V}}^{(t+1)} \odot \widehat{\mathbf{U}}^{(t+1)} \right) \widehat{\mathbf{W}}^{T} \right\|_{F}^{2}.$$
(25)

Note that equations (23) to (25) represent least squares problems, and their solutions can be easily obtained:

$$\widehat{\mathbf{U}}^{(t+1)} = \mathbf{Y}_{(1)} \left[ \left( \widehat{\mathbf{W}}^{(t)} \odot \widehat{\mathbf{V}}^{(t)} \right)^T \right]^{\dagger}, \qquad (26)$$

$$\widehat{\mathbf{V}}^{(t+1)} = \mathbf{Y}_{(2)} \left[ \left( \widehat{\mathbf{W}}^{(t)} \odot \widehat{\mathbf{U}}^{(t+1)} \right)^T \right]^{\dagger}, \quad (27)$$

$$\widehat{\mathbf{W}}^{(t+1)} = \mathbf{Y}_{(3)} \left[ \left( \widehat{\mathbf{V}}^{(t+1)} \odot \widehat{\mathbf{U}}^{(t+1)} \right)^T \right]^{\dagger}.$$
 (28)

Subject to mild conditions, the CP decomposition exhibits uniqueness concerning scaling and arrangement ambiguity. To be more precise, the connection between the estimated factor matrices and the true factor matrices can be expressed as follows:

$$\widehat{\mathbf{U}}^{(\infty)} = \mathbf{U} \mathbf{\Lambda}_1 \mathbf{\Pi} + \mathbf{R}_1, \qquad (29)$$

$$\widehat{\mathbf{V}}^{(\infty)} = \mathbf{V} \mathbf{\Lambda}_2 \mathbf{\Pi} + \mathbf{R}_2, \tag{30}$$

$$\widehat{\mathbf{W}}^{(\infty)} = \mathbf{W} \mathbf{\Lambda}_3 \mathbf{\Pi} + \mathbf{R}_3, \tag{31}$$

where { $\Lambda_1$ ,  $\Lambda_2$ ,  $\Lambda_3$ } is an unknown non-singular diagonal matrix that satisfies  $\Lambda_1 \Lambda_2 \Lambda_3 = I$ ;  $\Pi$  is an unknown permutation matrix; the estimation errors related to the three estimated factor matrices are represented by  $\mathbf{R}_1$ ,  $\mathbf{R}_2$ , and  $\mathbf{R}_3$ , respectively. Ignoring the permutation matrix  $\Pi$  is possible since it is consistent across all three factor matrices. Note that each column of **U** has a related AOA  $\theta_l$ . Therefore, an AOA estimation  $\theta_l$  can be achieved using a straightforward correlation-based approach:

$$\widehat{\theta}_{l} = \arg\max_{\theta_{l}} \frac{\left|\widehat{\mathbf{u}}_{l}^{H} \widetilde{\mathbf{a}}_{M}\left(\theta_{l}\right)\right|}{\left\|\widehat{\mathbf{u}}_{l}\right\|_{2} \left\|\widetilde{\mathbf{a}}_{M}\left(\theta_{l}\right)\right\|_{2}},\tag{32}$$

where  $\widehat{\mathbf{u}}_l$  represents the *l*-th column of  $\widehat{\mathbf{U}}$ . Given a circularly symmetric Gaussian distribution for the entries in the estimation error matrix  $\mathbf{R}_1$ , equation (32) serves as a maximum likelihood (ML) estimator. Similarly, the estimated value of the AOD  $\phi_l$  is:

$$\widehat{\phi}_{l} = \arg \max_{\varphi_{l}} \frac{\left|\widehat{\mathbf{v}}_{l}^{H} \widetilde{\mathbf{a}}_{\mathrm{B}} \left(\phi_{l}\right)\right|}{\left\|\widehat{\mathbf{v}}_{l}\right\|_{2} \left\|\widetilde{\mathbf{a}}_{\mathrm{B}} \left(\phi_{l}\right)\right\|_{2}},\tag{33}$$

where  $\hat{\mathbf{v}}_l$  represents the *l*-th column of  $\hat{\mathbf{V}}$ . When estimating the time delay  $\tau_l$  from the factor matrix  $\hat{\mathbf{W}}$ , it is important to observe that the *l*-th column of **W** is constructed

#### TABLE 1. The proposed algorithm.

First stage: The Channel Parameters Estimation Algorithm
<b>Input:</b> the received tensor $\mathcal{Y}$ , matrices $\mathbf{C}$ and $\mathbf{Q}$ , the estimation
channel $\widehat{\mathbf{H}}_{\mathrm{BR}}$ between BS and RIS;
1: Obtain eigenvalues by performing eigenvalue decomposition on
$rac{I_j}{I_T}[\mathcal{O}]_j [\mathcal{O}]_j^H$ for all $j = 1, 2, 3;$
2: Compute global eigenvalues by (18);
3: Compute $\widehat{L}_j$ by (19)-(21);
4: Estimation the number of scatter paths L by $\widehat{L} = \min \left\{ \widehat{L}_j \right\}_{i=1}^3$ ;
5: Set $i = 0$ and initialize $\widehat{\mathbf{V}}^{(0)}$ and $\widehat{\mathbf{W}}^{(0)}$ randomly;
6: t = t + 1;
7: Compute the least square: $\widehat{\mathbf{U}}^{(t+1)} = \mathbf{Y}_{(1)} \left[ \left( \widehat{\mathbf{W}}^{(t)} \odot \widehat{\mathbf{V}}^{(t)} \right)^T \right]^{\dagger};$
8: Compute the least square: $\widehat{\mathbf{V}}^{(t+1)} = \mathbf{Y}_{(2)} \left[ \left( \widehat{\mathbf{W}}^{(t)} \odot \widehat{\mathbf{U}}^{(t+1)} \right)^T \right]^{\dagger};$
9: Compute the least square: $\widehat{\mathbf{W}}^{(t+1)} = \mathbf{Y}_{(3)} \left[ \left( \widehat{\mathbf{V}}^{(t+1)} \odot \widehat{\mathbf{U}}^{(t+1)} \right)^T \right]^{\dagger};$
10: Repeat steps 6-9 until $\left\ \widehat{\boldsymbol{\mathcal{Y}}}^{(t+1)} - \boldsymbol{\mathcal{Y}}\right\ _{F}^{2} \left\ \widehat{\boldsymbol{\mathcal{Y}}}^{(t+1)}\right\ _{F}^{2} \leq \varepsilon;$
11: Estimate the channel parameters $\{\beta, \theta, \phi, \tau\}$ according to (32)-(34);
12: Estimate the channel $\widehat{\mathbf{H}}_{\mathrm{RU}}$ between RIS and UE according to (6);
Output: $oldsymbol{eta}, oldsymbol{ heta}, \phi,  au, \widehat{\mathbf{H}}_{\mathrm{RU}}.$

using  $\beta_l \mathbf{t}(\tau_l)$ . Therefore, the expression for estimating the time delay  $\tau_l$  can be formulated as follows:

$$\widehat{\tau}_{l} = \arg \max_{\tau_{l}} \frac{\left|\widehat{\mathbf{w}}_{l}^{H} \mathbf{t}(\tau_{l})\right|}{\|\widehat{\mathbf{w}}_{l}\|_{2} \|\mathbf{t}(\tau_{l})\|_{2}},$$
(34)

where  $\widehat{\mathbf{w}}_l$  represents the *l*-th column of  $\widehat{\mathbf{W}}$ . Maximizing problems (32) - (34) involve one-dimensional search, starting with a coarse grid and subsequently refining the search in proximity to potential grid points. Substituting the estimated  $\widehat{\theta}_l$  and  $\widehat{\phi}_l$  back into (32) and (33) yields the estimated values of the non-singular diagonal matrices  $\mathbf{\Lambda}_1$  and  $\mathbf{\Lambda}_2$ . Then, the estimated value of  $\mathbf{\Lambda}_3$  can be obtained through equation  $\mathbf{\Lambda}_1 \mathbf{\Lambda}_2 \mathbf{\Lambda}_3 = \mathbf{I}$ . Ultimately, the estimation of the path gain  $\beta_l$  can be achieved using equation (31). Note that the proposed parameter extraction method can also be extended to multi-user three-dimensional scenarios.

The flow of the proposed tensor decomposition and channel parameter estimation algorithm is summarized in **Table 1**, where  $\widehat{\mathcal{Y}}^{(i+1)}$  represents the corresponding expansion pattern formed by the estimated three factor matrices  $\{\widehat{\mathbf{U}}^{(i+1)}, \widehat{\mathbf{V}}^{(i+1)}, \widehat{\mathbf{W}}^{(i+1)}\}\)$  in the i + 1-th iteration.  $\varepsilon$  is the lower limit of error, usually taken as  $\varepsilon = 10^{-5}$ . Note that the channel between the BS and RIS does not undergo significant changes over a period of time, so we assume that the channel estimated in a short period of time is represented as  $\widehat{\mathbf{H}}_{\text{BR}}$ .

#### **B. UNIQUENESS ANALYSIS**

Within this subsection, the discussion centers around the uniqueness of the CP decomposition. The Kruskal condition [47] ensures the fundamental uniqueness of the CP

#### TABLE 2. The proposed algorithm.

Second stage: UE Sensing and Localization Algorithm
Input: the position of the RIS $\mathbf{p}_{\mathrm{R}}$ , the estimated parameters
$\{oldsymbol{ heta}, oldsymbol{\phi}, oldsymbol{ au}\}$ in Table 1.
1: Compute the transmit direction vector $\mathbf{r}_{\mathrm{R},l} = \left[\cos\left(\widehat{\phi}_l\right), \sin\left(\widehat{\phi}_l\right)\right]^T$
and receive direction vector $\mathbf{r}_{\mathrm{UE},l} = \left[\cos\left(\widehat{\theta}_l\right), \sin\left(\widehat{\theta}_l\right)\right]^T$ for the
<i>l</i> -th path;
2: Compute the parameters: $\boldsymbol{\delta}_l = \mathbf{p}_{\mathrm{R}} - c \hat{\tau}_l \mathbf{r}_{\mathrm{UE},l}$ ;
3: Compute the parameters: $\boldsymbol{v}_l = c \hat{\tau}_l \left( \mathbf{r}_{\mathrm{R},l} + \mathbf{r}_{\mathrm{UE},l} \right);$
4: Estimate the position of the UE $\widehat{\mathbf{p}}_{\mathrm{UE}}$ according to (50);
5: Estimate the position of the SP $\hat{\mathbf{p}}_l$ for path $l \ (l = 1, \cdots, L)$
according to (51);
<b>Output:</b> $\widehat{\mathbf{p}}_{UE}, \widehat{\mathbf{p}}_l \ (l = 1, \cdots, L).$

decomposition. The matrix **O** possesses a *k*-rank, denoted as  $k_{\mathbf{O}}$ , defined as the maximum value ensuring the linear independence of each subset with  $k_{\mathbf{O}}$  columns of matrix **O**. There are the following theorems:

*Theorem 1:* Let {**O**, **P**, **T**} be a CP solution, which decomposes a third-order tensor  $\mathcal{Z} \in \mathbb{C}^{S \times G \times J}$  into *R* rank-1 arrays, where **O**  $\in \mathbb{C}^{S \times R}$ , **P**  $\in \mathbb{C}^{G \times R}$ , and **T**  $\in \mathbb{C}^{J \times R}$ . Assuming the following Kruskal condition hold:

$$k_{\rm O} + k_{\rm P} + k_{\rm T} \ge 2R + 2.$$
 (35)

Additionally, an alternative CP solution denoted as  $\{\overline{\mathbf{O}}, \overline{\mathbf{P}}, \overline{\mathbf{T}}\}\$  exists, which also decomposes the tensor  $\mathcal{Z}$  into *R* rank-1 arrays. Then we have:

$$\mathbf{O} = \mathbf{O} \mathbf{\Pi} \Lambda_a, \tag{36}$$

$$\mathbf{P} = \mathbf{P} \mathbf{\Pi} \Lambda_b, \tag{37}$$

$$\mathbf{T} = \mathbf{T} \mathbf{\Pi} \Lambda_c, \tag{38}$$

where  $\mathbf{\Pi}$  denotes a transformation matrix, diagonal matrices  $\Lambda_a$ ,  $\Lambda_b$ , and  $\Lambda_c$  satisfy  $\Lambda_a \Lambda_b \Lambda_c = \mathbf{I}$ .

*Proof:* A strict proof of this can be obtained in [48].

It is crucial to note that the Kruskal condition cannot be realized when R = 1. Nevertheless, uniqueness in this scenario has been established by Harshman [49]. For R = 2and R = 3, the Kruskal sufficient condition is both necessary and sufficient, but for R > 3, it is only sufficient, as indicated by [48].

According to this, we can see that if:

$$k_{\mathbf{U}} + k_{\mathbf{V}} + k_{\mathbf{W}} \ge 2L + 2,\tag{39}$$

then the CP decomposition of the tensor  $\mathcal{Y}$  is fundamentally unique. The initial step involves examining the *k*-rank of **U**, taking note that:

$$\mathbf{U} = \mathbf{C}^{T} \left[ \mathbf{a}_{\mathrm{M}} \left( \theta_{1} \right), \cdots, \mathbf{a}_{\mathrm{M}} \left( \theta_{L} \right) \right] \stackrel{\Delta}{=} \mathbf{C}^{T} \mathbf{U}_{\mathrm{M}}, \qquad (40)$$

where  $\mathbf{U}_{M} \in \mathbb{C}^{N_{M} \times L}$  a Vandermonde matrix when using a ULA. By generating **C** randomly, with its elements uniformly

selected from the unit circle, we can determine the *k*-rank of **U**:

$$k_{\mathbf{U}} = \min\left(N_{\mathbf{M}}, L\right). \tag{41}$$

For the *k*-rank of **V**, we have:

$$\mathbf{V} = \mathbf{E}^{T} \left[ \mathbf{a}_{\mathrm{R}} \left( \varphi_{1} \right), \cdots, \mathbf{a}_{\mathrm{R}} \left( \varphi_{L} \right) \right] \stackrel{\Delta}{=} \mathbf{E}^{T} \mathbf{U}_{\mathrm{R}}, \qquad (42)$$

where the value of matrix  $\mathbf{E}$  immediately falls within the identity circle. The *k*-rank of  $\mathbf{V}$  can be derived:

$$k_{\mathbf{V}} = \min\left(N_{\mathbf{R}}, L\right). \tag{43}$$

Since the matrix W satisfies

$$\mathbf{W} = [\mathbf{t}(\tau_1), \cdots, \mathbf{t}(\tau_L)] \mathbf{D}_{\beta}, \qquad (44)$$

where  $\mathbf{D}_{\beta} \stackrel{\Delta}{=} diag(\beta_1, \dots, \beta_L)$ , it can be shown that the matrix **W** is a Vandermonde matrix with cylindrical proportions. Thus, we can obtain the *k*-rank of **W** as follows:

$$k_{\mathbf{W}} = \min\left(K, L\right). \tag{45}$$

#### C. USER SENSING AND LOCALIZATION

Let  $\mathbf{r}_{\mathrm{R},l} = [\cos(\widehat{\phi}_l), \sin(\widehat{\phi}_l)]^T$  and  $\mathbf{r}_{\mathrm{UE},l} = [\cos(\widehat{\theta}_l), \sin(\widehat{\theta}_l)]^T$ , represent the transmission direction vector and reception direction vector of the *l*-th path, respectively. The problem of localization and mapping can be formulated as a maximum likelihood problem:

$$\begin{bmatrix} \widehat{\mathbf{p}}_{\mathrm{UE}}, [\widehat{\mathbf{p}}_{l}]_{l=1}^{L} \end{bmatrix}$$
  
= arg max pue.[p\_{l}]\_{l=1}^{L} p\left( \left[ \widehat{\tau}\_{l}, \widehat{\theta}\_{l}, \widehat{\phi}\_{l} \right]\_{l=1}^{L} \middle| \mathbf{p}\_{\mathrm{UE}}, [\mathbf{p}\_{l}]\_{l=1}^{L}, \mathbf{p}\_{\mathrm{R}} \right). \quad (46)

To circumvent challenges associated with high-dimensional optimization and hidden parameter inference, we introduce a non-search method rooted in [50]. This approach eliminates the dependence on prior knowledge of path existence. For each cluster l, there are:

$$\mathbf{p}_{\mathrm{UE}} = \mathbf{p}_{\mathrm{R}} + c \widehat{\tau}_{l} \xi_{l} \mathbf{r}_{\mathrm{R},l} + c \widehat{\tau}_{l} \left(1 - \xi_{l}\right) \left(-\mathbf{r}_{\mathrm{UE},l}\right), \qquad (47)$$

where the unknown parameter  $\xi_l \in [0, 1]$  represents the proportion of the path delay from RIS to the SP of the *l*-th path. It is essential to emphasize that the value of  $\xi_l$  is arbitrary for the LOS path, if it exists. Rearrange (46) that the line equations for each *l* are:

$$\mathbf{p}_{\mathrm{R}} = \boldsymbol{\delta}_l + \boldsymbol{\xi}_l \boldsymbol{v}_l, \tag{48}$$

where  $\delta_l$  and  $v_l$  satisfy  $\delta_l = \mathbf{p}_{\mathrm{R}} - c\hat{\tau}_l \mathbf{r}_{\mathrm{UE},l}$  and  $v_l = c\hat{\tau}_l (\mathbf{r}_{\mathrm{R},l} + \mathbf{r}_{\mathrm{UE},l})$ , respectively. The intersection points of these line segments determine the estimated value of  $\mathbf{p}_{\mathrm{UE}}$ . Specifically, the cost function is represented as the summation of the distances between  $\mathbf{p}_{\mathrm{UE}}$  and each path:

$$C\left(\mathbf{p}_{\mathrm{UE}}\right) = \sum_{l=1}^{L} \zeta_{l} \left\| \mathbf{p}_{\mathrm{UE}} - \left( \boldsymbol{\delta}_{l} + \overline{\boldsymbol{\upsilon}}_{l}^{T} \left( \mathbf{p}_{\mathrm{UE}} - \boldsymbol{\delta}_{l} \right) \overline{\boldsymbol{\upsilon}}_{l} \right) \right\|^{2},$$
(49)

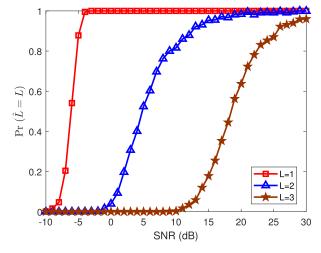


FIGURE 3. PoD versus SNR for different L.

where the weight of the *l*-th path  $\zeta_l \ge 0$  depends on SNR or path diffusion and  $\overline{\boldsymbol{v}}_l = \boldsymbol{v}_l / \|\boldsymbol{v}_l\|$ . The least squares solution has become [33]

$$\widehat{\mathbf{p}}_{\mathrm{UE}} = \arg\min_{\mathbf{p}_{\mathrm{UE}}} C\left(\mathbf{p}_{\mathrm{UE}}\right)$$
$$= \left(\sum_{l=1}^{L} \zeta_l \left(\mathbf{I} - \overline{\boldsymbol{v}}_l \overline{\boldsymbol{v}}_l^T\right)\right)^{-1} \sum_{l=1}^{L} \zeta_l \left(\mathbf{I} - \overline{\boldsymbol{v}}_l \overline{\boldsymbol{v}}_l^T\right) \boldsymbol{\delta}_l.$$
(50)

Given  $\widehat{\mathbf{p}}_{UE}$ , the position  $\mathbf{p}_l$  of the SP can be solved as the intersection of the linear equations  $\mathbf{p}_R + \rho_R \mathbf{r}_{R,l}$  ( $\rho_R \in \mathbb{R}$ ) and  $\mathbf{p}_{UE} + \rho_{UE} \mathbf{r}_{UE,l}$  ( $\rho_{UE} \in \mathbb{R}$ ). The least squares solution is as follows:

$$\widehat{\mathbf{p}}_{l} = \left(\mathbf{B}_{\mathrm{R},l} + \mathbf{B}_{\mathrm{UE},l}\right)^{-1} \left(\mathbf{B}_{\mathrm{R},l}\mathbf{p}_{\mathrm{R}} + \mathbf{B}_{\mathrm{UE},l}\widehat{\mathbf{p}}_{\mathrm{UE}}\right), \qquad (51)$$

where  $\mathbf{B}_{\mathrm{R},l} = \mathbf{I} - \mathbf{r}_{\mathrm{R},l}\mathbf{r}_{\mathrm{R},l}^{T}$  and  $\mathbf{B}_{\mathrm{UE},l} = \mathbf{I} - \mathbf{r}_{\mathrm{UE},l}\mathbf{r}_{\mathrm{UE},l}^{T}$ . The flow of the proposed user sensing and localization algorithm is outlined in **Table 2**.

#### **IV. SIMULATION RESULTS**

In this section, we evaluate the channel parameter estimation and user sensing performance of the proposed algorithm. The effectiveness of the proposed algorithm is showcased through the results of the Monte Carlo simulation. Define the average probability of detection (PoD) across all Monte Carlo implementations, i.e.,  $\Pr(\widehat{L} = L)$ , to evaluate the estimation performance of the number of scattering paths *L*. The evaluation of the elstimation performance of channel parameters, the UE position, and the SPs positions employs the Root Mean Square Error (RMSE), which is defined as:

RMSE (
$$\mathbf{z}$$
) =  $\sqrt{\frac{1}{N} \left( \sum_{n=1}^{N} \| \widehat{\mathbf{z}}_n - \mathbf{z}_n \|_2^2 \right)}$ , (52)

where N = 500 denotes the number of Monte Carlo runs, and *n* signifies the *n*-th independent Monte Carlo run. The variable **z** can refer to the channel parameters { $\beta$ ,  $\theta$ ,  $\phi$ ,  $\tau$ }, the UE position  $\mathbf{p}_{UE}$ , and the SP position  $\mathbf{p}_l$  ( $l = 1, \dots, L$ ). The performance of channel  $\mathcal{H}_{RU} \in {}^{N_U \times N_R \times K}$  estimation is assessed by introducing the Mean Square Error (MSE), which is represented as:

$$MSE\left(\mathcal{H}_{RU}\right) = \frac{1}{N} \left( \sum_{n=1}^{N} \left\| \widehat{\mathcal{H}}_{RU,n} - \mathcal{H}_{RU,n} \right\|_{F}^{2} \right).$$
(53)

We define the SNR as [51]:

$$SNR = 10 \lg \frac{\|\mathcal{V} - \mathcal{N}\|_F^2}{\|\mathcal{N}\|_F^2}.$$
(54)

Taking the following system settings as an example, the channel parameter estimation and user localization performance of the proposed algorithm are demonstrated. In simulation, the communication system should have a carrier frequency of  $f_c = 100$  GHz, a signal bandwidth of  $f_s = 500$  MHz, and a total number of carriers of  $\underline{K} = 128$ . The BS is equipped with 64 antennas, denoted as  $N_{\rm B} = 64$ , the UE is equipped with 16 antennas, denoted as  $N_{\rm U} = 16$ , and the RIS consists of 32 units, denoted as  $N_{\rm R} = 32$  [52]. Due to the short wavelength of high-frequency signals, such RIS can still effectively adjust the phase of reflected signals, thereby improving signal propagation and enhancing the quality of received signals. It is suitable for specific scenarios such as indoor edge coverage enhancement. There are  $L_{BS-RIS} = 3$ scattering paths between the BS and RIS. The separation between two adjacent antennas is set to half of the signal wavelength.

In the first example, we evaluate the estimation performance of the number L of channel scattering paths for different measurements. The relationship between PoDs curves with different reasonable L values and SNR is shown in Fig. 3. The remaining system parameters are configured with M = T = K = 6. From Fig. 3, it can be seen that PoD increases monotonically with SNR, and for all reasonable numbers of scattering paths L, it reaches 1 at sufficiently high SNR.

In the second example, the assessment of the performance of the proposed algorithm in channel parameter estimation and user localization is conducted by varying the number of scattering paths L between the RIS and UE. The remaining system parameters are configured with M = T = K = 6. Fig. 4 reveals the RMSE curves of the proposed algorithm for estimating channel parameters, the UE position, and the SPs positions at L = 2 and L = 3. As illustrated in Fig. 4, the RMSE curve exhibits a decreasing trend with the rise in SNR. By decreasing the number of paths L between the RIS and UE, there is an enhancement in the estimation performance of the proposed algorithm. The reason is that when the number of paths is small, the multipath effect weakens and the signal becomes more concentrated, thereby reducing the complexity and uncertainty of estimation. The low-rank estimation performance of the proposed algorithm is superior. However, the proposed algorithm exhibits commendable

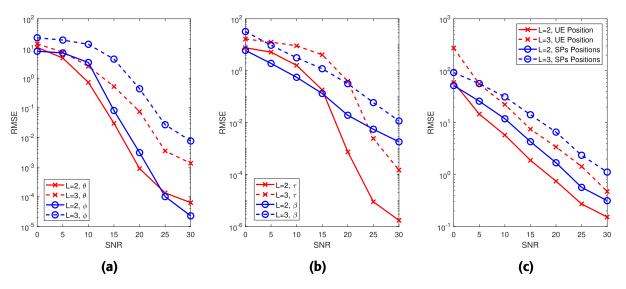


FIGURE 4. RMSE vs. SNR for the different number of the path.

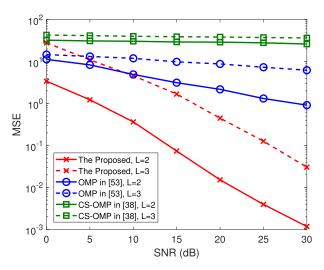
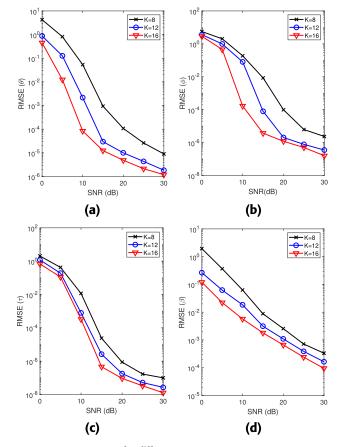


FIGURE 5. MSE vs. SNR compared with the existing algorithms.

channel parameter estimation performance even when L = 3. Additionally, the proposed algorithm can implement decimeter-level positioning accuracy. Fig. 5 displays the MSE curves of the estimated channel  $\widehat{\mathbf{H}}_{RU}$  for different number of paths and compares them using the OMP algorithm in [53] and the CS-based OMP (CS-OMP) algorithm in [38]. From Fig. 5, it can be seen that when L = 3 and SNR  $\in [0, 5]$  dB, the estimation performance of the proposed algorithm is inferior to that of OMP. This is because the increase in the number of paths affects the uniqueness of tensor low-rank decomposition, and achieving low convergence accuracy at low SNR. However, even in the presence of multiple paths, the proposed algorithm still has channel estimation performance advantages at medium to high SNR, and exhibits higher accuracy in channel estimation. The above results confirm the effectiveness of the tensor based scheme proposed, as well as the advantages of user localization



**FIGURE 6.** RMSE vs. SNR for different *K*.

and channel estimation in RIS-assisted THz massive MIMO systems.

In the third example, the evaluation of the performance of the proposed algorithm in implementation parameter estimation and user localization is conducted by varying

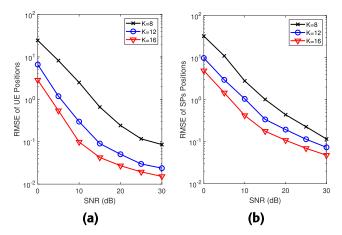


FIGURE 7. RMSE of the UE and SPs positions vs. SNR for different K.

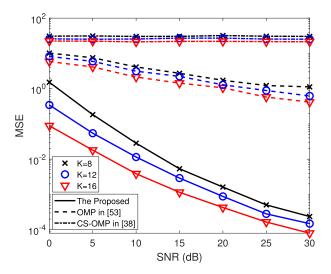
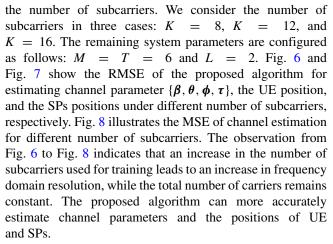
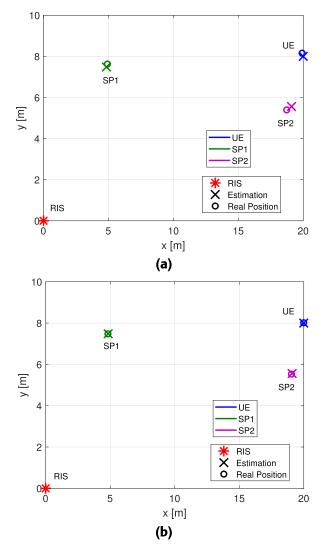


FIGURE 8. MSE of channel estimation vs. SNR under different number of subcarriers.



In the final example, we plot a binary map of the proposed algorithm for estimating the positions of the UE and SPs under different SNRs. The remaining system parameters are set as follows: M = T = 8, K = 6, and L = 2. Fig. 9 shows



**FIGURE 9.** Two-dimensional mapping diagrams for user sensing and localization of the proposed algorithm. (a) SNR = 10dB; (b) SNR = 25dB.

the binary mapping under SNR = 10dB and SNR = 25dB. From Fig. 9, it can be seen that even at low SNR, the proposed algorithm maintains a commendable level of accuracy in user sensing and localization.

#### **V. CONCLUSION**

In this paper, we have introduced a scheme for user sensing and localization in RIS-assisted THz massive MIMO systems. We model the RIS-assisted THz massive MIMO channels as a PARAFAC tensor model. Then the ALS algorithm is utilized for tensor decomposition and estimation of channel parameters. The estimation of channel and user position is finally realized. In comparison to the existing competitive algorithms, the proposed algorithm demonstrates superior accuracy in channel estimation. Moreover, the simulation results illustrate that even with a limited number of subcarriers, the proposed algorithm can still attain localization accuracy at the decimeter level.

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