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# TCAD Simulation Study of Cylindrical Vertical Double-Surrounding-Gate a-InGaZnO FETs and Geometric Parameter Optimization

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**ABSTRACT** Threshold control of amorphous In-Ga-Zn-O field-effect transistor (a-IGZO FET) is generally a critical issue through material composition adjustment. Instead, this work reports a cylindrical vertical double-surrounding-gate (DSG) a-IGZO FET, featuring flexibility of threshold modulation, by the 3-D technology computer-aided design (TCAD) simulation. Firstly, physics-based parameters are calibrated to single-gated vertical transistor experiments. Thereafter, the performance is simulated by sweeping inner gate ( $G_1$ ) bias voltages under the various outer gate ( $G_2$ ) voltages, indicating the ability of threshold modulation. Length-scaling and position-variation of  $G_2$  significantly impact the transistor performance metrics. For in-depth understanding of dimensional dependence, the surface potential of the channel and the electric field distribution near electrode are systematically investigated for an ultra-thin outer gate electrode, via considering spatial and geometric effects. These results will boost a design technology co-optimization flow of the future DSG-a-IGZO-FET-based extremely large-scale and high-density M3D memory.

**INDEX TERMS** Vertical transistor, double-surrounding-gate, TCAD, a-IGZO, gate length, gate position, scaling behavior.

## I. INTRODUCTION

Recently, amorphous In-Ga-Zn-O field-effect transistor (a-IGZO FET) has received considerable interest and been actively explored for applications in display drivers, flexible electronics and artificial synapse/neuron emulation, owing to its several remarkable advantages, such as high mobility in amorphous state, ultra-low leakage current and back-end-of-line (BEOL) compatibility [1], [2], [3]. Moreover, a-IGZO FET exhibits distinguishable sensitivity to a range of physical parameters, including light, pressure and gases, making it a promising candidate for sensor applications [4], [5], [6]. Notably, a-IGZO FETs have also been increasingly reported to be applied in high-performance capacitor-less dynamic random-access memory (DRAM) [7], [8], [9], [10]. Compared to a large 2T0C bit-cell area

about  $20F^2$  constructed by common planar FET structure, using vertical Channel-All-Around (CAA) IGZO FET, a 3D monolithic integrated bit cell merely requires  $4F^2$  area for future extremely large-scale and high-density applications [11], [12], [13], [14]. However, threshold voltage control and sub-threshold optimization of a-IGZO FETs are generally critical issues due to complex material composition [15], [16], [17]. Moreover, the reliability issues on the cycle-to-cycle and device-to-device variations have been reported in the previous work [18]. To overcome such issues, a planar dual-gate IGZO transistor has been employed to compensate the threshold variations [19]. Thus, to incorporate a dual-gate structure into the vertical device can further enhance the gate control ability of transistors, mitigate the short-channel effects (SCEs), and

**TABLE 1.** Critical simulation parameters.

Symbol	Parameter	Value
CD	Critical Dimension	500 nm
$t_{\text{igzo}}$	Thickness of IGZO	3 nm
$t_{\text{ox1}}$	Thickness of GI <sub>1</sub>	5 nm
$t_{\text{ox2}}$	Thickness of GI <sub>2</sub>	4 nm
	Thickness of bottom electrode	100 nm
	Thickness of top electrode	70 nm

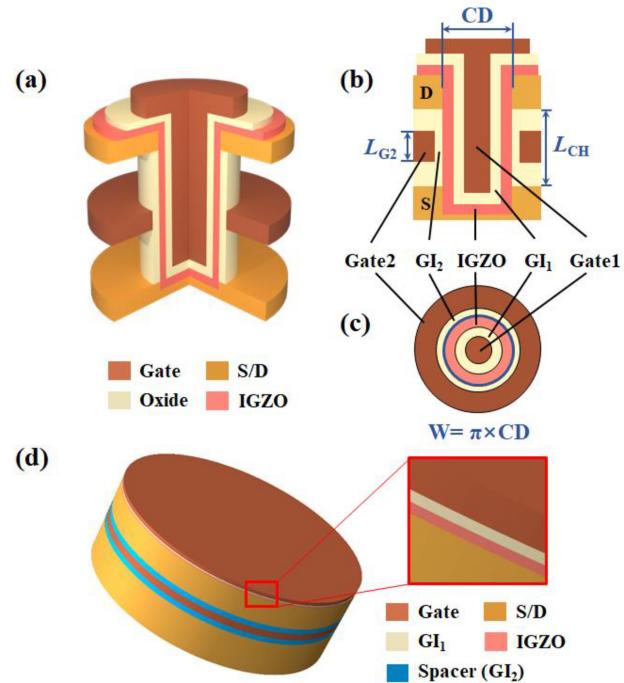
enable dynamic threshold voltage control, which provides the basis for some future technologies such as multi-value storage and multi-bit programming in 3D integrated DRAMs [19], [20]. It can also be applied in active-matrix organic light-emitting diode (AMOLED) pixel circuit to compensate threshold voltage variation, as well as shifting the operation characteristics of devices from enhancement mode to depletion mode to realize logic circuits at low voltages [21], [22], [23]. However, current discussions on vertical DSG FETs mainly focus on the optimization of Gate-All-Around (GAA) structure, with the channel material being silicon nanowire [24], [25], [26]. Generally, GAA device is another type of single-gate transistor. Compared to CAA, the GAA device is not proper in 2T0C vertical stacking due to its unique electrode position [27], [28]. Vertical dual-gate structures compatible with IGZO technology and CAA-device-based DRAM application have been barely reported due to the difficulty in device fabrication, which hinders further device optimization and associated DRAM's storage density.

In this article, towards future high-reliability and high-density memory application, a distinguishing cylindrical vertical double-surrounding-gate (DSG) a-IGZO FET is successfully proposed. Based on the previous CAA FET, an extra ultra-thin electrode is inserted as an outer gate inside the insulator layer between the drain and source electrodes. Via sweeping the bias voltages on the inner gate under various outer gate voltages, we observe a distinct threshold voltage control of this structure using a 3-D TCAD simulation tool. Performance metrics are investigated under different geometry parameters, including length scaling and position variation of the outer gate. Underlying physical mechanisms are studied in detail for the structural variations. These results will provide guidance for structural optimization of vertical dual-gate FETs for the cross-layer design of M3D applications.

## II. DEVICE STRUCTURE AND FABRICATION

### A. DSG FET STRUCTURE

The structure of proposed cylindrical vertical DSG a-IGZO FET is shown in Fig. 1(a). The vertical and horizontal (at the outer gate) 2D cross-sections are presented in Fig. 1(b)

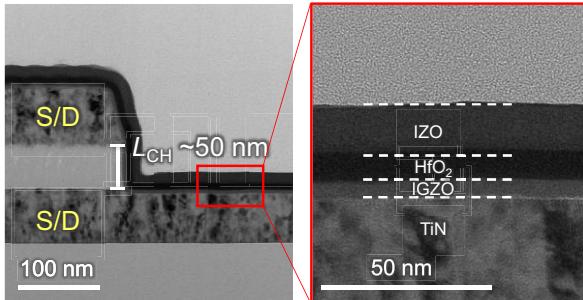


**FIGURE 1.** (a) The structure of proposed cylindrical vertical double-surrounding-gate (DSG) a-IGZO FET. (b) The vertical and (c) horizontal (at the outer gate) two-dimensional cross-sections of DSG structure. (d) TCAD simulation structure of DSG a-IGZO FET.

and (c), respectively. Fig. 1(d) shows the TCAD simulation structure with actual device dimensions. Different from the previous CAA structure, an additional ring gate is inserted into the spacer layer between S and D to enhance the control over the channel. The spacer material is also used as outer gate insulator (GI<sub>2</sub>) material. The possible fabrication process of the proposed DSG structure is similar to CAA FET [12], [13], [14]. Particularly, the fabrication of  $G_2$  is a critical step that is not included in the CAA process. Its possible fabrication approach can be referenced from relevant experiments, such as the 'C'-shaped outer gate oxide process reported in 2024 VLSI [29] and the metal gate electrode self-aligned oxidation process reported in 2024 IEDM [30]. Moreover, the channel length is determined by the thickness of the spacer layer and etching angle. The diameter of etched hole is defined as the critical dimension (CD), and its perimeter is nearly regarded as the channel width. In the simulation, hafnium dioxide HfO<sub>2</sub> is considered for the inner gate insulator (GI<sub>1</sub>) and SiO<sub>2</sub> for the outer gate insulator (GI<sub>2</sub>), the material of spacer. The source and drain electrodes are composed of TiN and two gates are made up of InZnO. The critical design parameters for the proposed DSG FET are listed in Table 1.

### B. CAA FET FABRICATION

In order to establish an accurate simulation model and optimize the parameters, a group of CAA FETs were fabricated and tested for experimental data. The fabrication



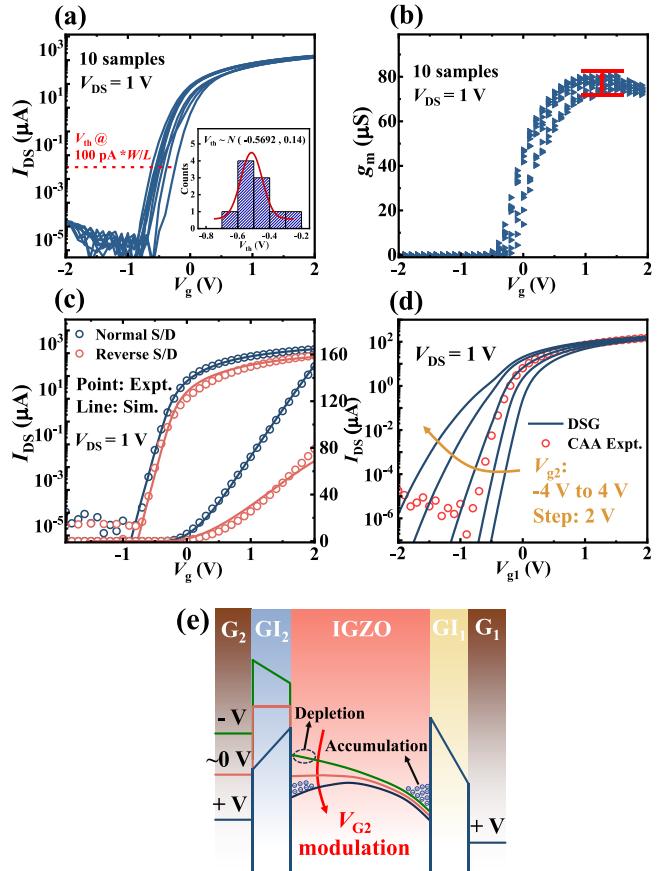
**FIGURE 2.** Cross-sectional TEM images of the fabricated CAA device with 500-nm CD and 50-nm channel length.

process flow is as follows: First, a TiN layer is deposited and patterned as the bottom S/D electrode. A silicon oxide spacer layer and the top TiN as another S/D electrode are deposited and patterned sequentially, forming the MIM structure. Then, a hole in MIM structure is etched to obtain the vertical channel by dry etching process. Finally, an IGZO film as channel, a hafnium oxide film as gate insulator and an In-Zn-O film as gate electrode are sequentially deposited by plasma-enhanced atomic layer deposition (PEALD) at 250°C. Fabrication process details can refer to our previous publication [13]. Fig. 2 shows the cross-sectional TEM images of the fabricated CAA device with 500-nm CD and 50-nm channel length.

### C. MODEL CALIBRATION

Fig. 3(a) and (b) show the transfer characteristic curves and transconductance of 10 fabricated CAA device samples from the same process batch, respectively. The statistical distribution of the extracted threshold voltages is inset. Device-to-device variations can be clearly observed for vertical CAA transistors. In our previous work [19], an independent dual-gate complementation scheme is utilized to improve the circuit reliability, which indicates the essentiality of introducing the dual-gate structure for threshold modulation to ensure the uniformity of device performance. Thus, on this basis, a vertical dual-gate transistor is proposed to overcome the reliability issues in the CAA devices for future circuit applications.

All 3-D simulations are performed by VICTORYDEVICE simulator of SILVACO TCAD. Density of states (DOS) model, Fermi-Dirac model and Abe's electron mobility model [31] are employed for carrier distribution and transport mechanism in the IGZO channel, respectively. The calibration results between simulation device model and tested experimental data of CAA FETs are shown in Fig. 3(c). The asymmetric characteristics of source and drain electrodes are examined by exchanging electrodes, which was investigated in another work [32]. The simulated transfer characteristic curves match well with the experimental data, which proves the validity of simulation environment. Besides extracted parameters, the  $G_2$  with 30 nm length ( $L_{G2} = 30 \text{ nm}$ ) is incorporated, transfer curves with a  $V_{g1}$  sweep under various



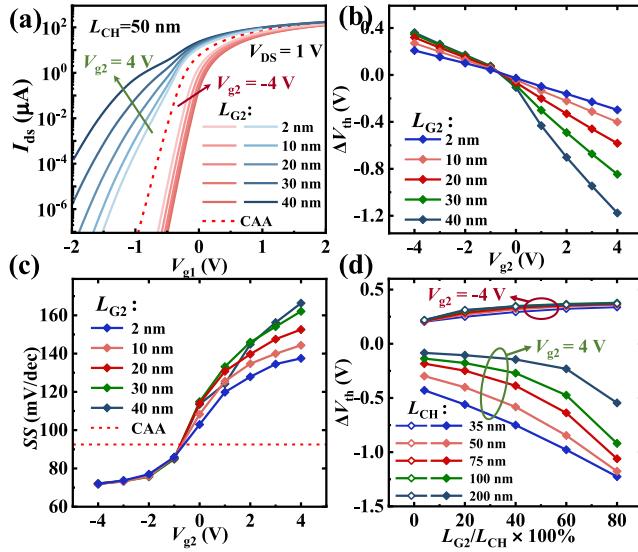
**FIGURE 3.** (a) Transfer curves of 10 fabricated CAA device samples and insert the statistical distribution of the threshold voltages. (b) Transconductance extracted from I-V curves of 10 samples. (c) Simulation calibration to experiments for CAA a-IGZO FETs with  $V_{DS} = 1 \text{ V}$  and  $L_{CH} = 50 \text{ nm}$ . (d) The transfer characteristic curve simulation of DSG a-IGZO FETs when  $V_{g2}$  varies from -4 V to 4 V based on extracted parameters. Besides extracted parameters,  $L_{G2} = 30 \text{ nm}$  and  $G_2$  is located at the center. (e) Energy band diagrams and carrier concentration distributions under different  $G_2$ -voltage conditions.

$V_{g2}$  are shown in Fig. 3(d), demonstrating an ability of threshold modulation. Here  $G_2$  is located at the center of the channel, with  $V_{DS} = 1 \text{ V}$  and normal S/D.  $V_{th} (\text{V})$  is defined as the  $V_{g1}$  given by  $I_{DS} (\text{A})$  of  $W/L \times 100 \text{ pA}$ . For independent dual-gate devices, under the effect of positive  $V_{G1}$ , electrons accumulate at the interface of  $GI_1$ /IGZO and form the main channel. Considering the significant double-gate coupling effect,  $G_2$  can control the electrons accumulation or depletion at the interface of  $GI_1$ /IGZO, which in turn affects the carrier concentration of the whole channel as well as the degree of energy band bending, as shown in Fig. 3(e).

### III. GEOMETRIC PARAMETER OPTIMIZATION

#### A. THE OUTER GATE LENGTH ( $L_{G2}$ )

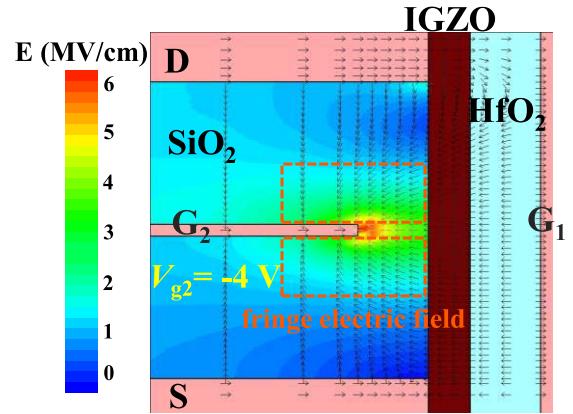
To investigate the impact of the outer gate length  $L_{G2}$  on device performance, several designs of experiments are simulated [33]. Firstly, the channel length  $L_{CH}$  is fixed at 50 nm and  $L_{G2}$  varies from 2 nm to 40 nm. The transfer characteristic curves of CAA FET and DSG FET as  $L_{G2}$



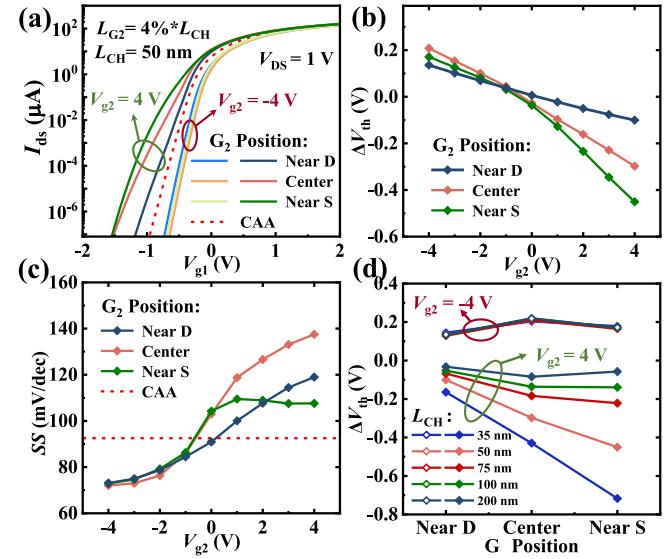
**FIGURE 4.** (a) The transfer characteristic curves of CAA FET and DSG FETs as  $L_{G2}$  scaling down when  $V_{g2}$  is set to 4 V and  $-4$  V (b)  $\Delta V_{th}$  and (c) SS vs.  $V_{g2}$  curves under different  $L_{G2}$  with  $L_{CH} = 50$  nm. (d)  $\Delta V_{th}$  vs.  $L_{G2}/L_{CH}$  curves with  $V_{g2} = -4$  V and 4 V at different channel lengths.

scaling down when  $V_{g2}$  is set to 4 V and  $-4$  V are shown in Fig. 4(a), and performance differences of dual-gate devices are obvious. Fig. 4(b) and (c) show the threshold voltage shift ( $\Delta V_{th} = V_{th,DSG} - V_{th,CAA}$ ) and subthreshold swing (SS) vs.  $V_{g2}$  curves under different  $L_{G2}$ , respectively. As  $L_{G2}$  decreases, the absolute value of  $\Delta V_{th}$  decreases at the same  $V_{g2}$ , which indicates a weak  $G_2$ -control over the channel. The degradation effect is severer at a positive  $V_{g2}$  than that at a negative  $V_{g2}$ . The deterioration of SS with increase of the  $V_{g2}$  is also alleviated with  $L_{G2}$  decreasing. Particularly, even when  $L_{G2}$  is scaled down to 2 nm, an obvious threshold voltage shift is still observed via altering  $V_{g2}$ . Furthermore, Fig. 4(d) shows the impact of  $L_{G2}$  scaling ( $L_{G2}/L_{CH}=4\%, 20\%, 40\%, 80\%$ ) on the threshold voltage control ability at different channel lengths ( $L_{CH} = 35, 50, 75, 100, 200$  nm). It can be clearly observed that at a fixed  $L_{G2}/L_{CH}$ , as  $L_{CH}$  increases, the absolute value of  $\Delta V_{th}$  decreases notably under the condition of  $V_{g2} = 4$  V, and remains almost unchanged under the condition of  $V_{g2} = -4$  V. In general, the extremely scaled  $G_2$  holds a more acceptable ability of channel control in short-channel devices than long-channel devices.

To deeply analyze the influence of  $L_{G2}$  extreme scaling on the threshold modulation ability of dual-gate devices, the electric field distribution under  $L_{CH}=50$  nm,  $L_{G2}=2$  nm,  $V_D=1$  V and  $V_{g2}=-4$  V is shown in Fig. 5. It can be clearly observed that except for the region directly below  $G_2$ , fringe electric field from the upper and lower surfaces of  $G_2$  provides additional control over the channel, allowing the device to still retain appreciable threshold modulation ability and leading to a feasible extreme  $L_{G2}$  scaling [34], [35], [36]. Compared to the case of short channel length, an additional channel control length covered by the fringe electric field ( $L_{Fr}$ ) is nearly fixed for long



**FIGURE 5.** Electric field distribution in  $\text{SiO}_2$  spacer layer for the DSG a-IGZO FET with  $L_{G2} = 2$  nm,  $L_{CH} = 50$  nm,  $V_D = 1$  V and  $V_{g2} = -4$  V.

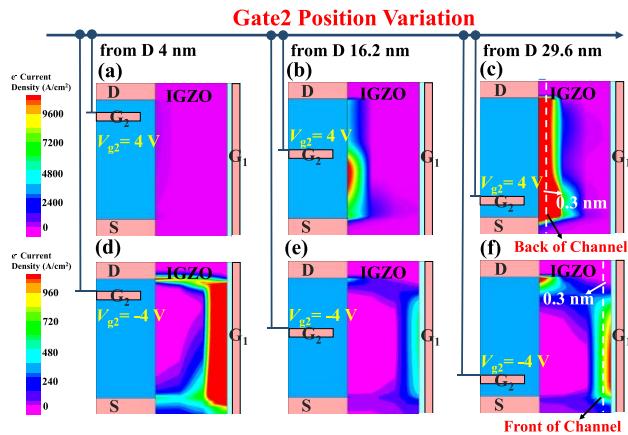


**FIGURE 6.** (a) The transfer characteristic curves of CAA FET and DSG FETs with different  $G_2$  positions ( $L_{CH} = 50$  nm,  $L_{G2} = 4\% * L_{CH} = 2$  nm,  $V_{DS} = 1$  V,  $V_{g2} = -4$  V and 4 V). (b)  $\Delta V_{th}$  and (c) SS vs.  $V_{g2}$  curves with different  $G_2$  positions ( $L_{CH} = 50$  nm). (d)  $\Delta V_{th}$  vs.  $L_{G2}/L_{CH}$  curves with  $V_{g2} = -4$  V and 4 V at different channel lengths.

channel. Since  $L_{Fr}/L_{CH}$  increases at a decreased  $L_{CH}$ , presence of the fringe electric field will greatly enhance the  $G_2$  gate control in short-channel devices.

## B. THE OUTER GATE POSITION

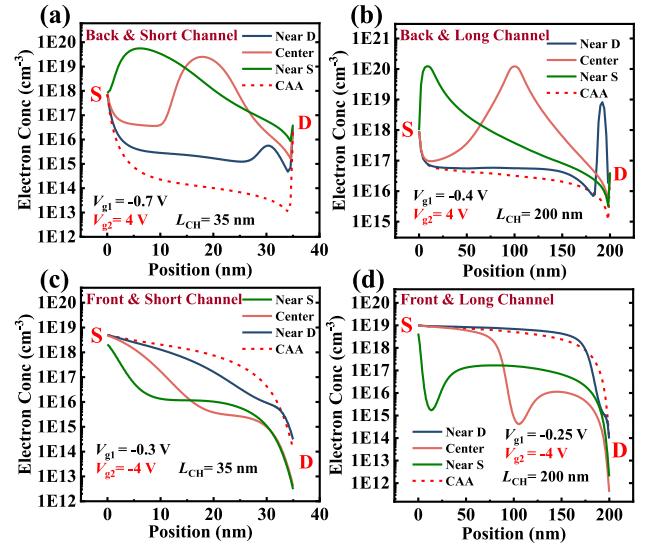
The position of the outer gate relative to the channel is an important geometric parameter considering asymmetric electric field distribution. Hence, the performance of DSG FETs with various  $G_2$  positions is also investigated. The rate of  $L_{G2}/L_{CH}$  is fixed at 4% and three different gate positions are considered for comparison: Near Drain (4 nm from drain), Center (equal distance from the source and drain) and Near Source (4 nm from source). Fig. 6(a) shows the transfer characteristic curves of CAA FET and DSG FETs with different  $G_2$  positions (at  $L_{CH}=50$  nm), and obvious performance variations are observed. Fig. 6(b) and



**FIGURE 7.** The current density distribution when  $G_2$  is located at the (a) Near-D, (b) Center and (c) Near-S positions in a-IGZO channel for DSG FETs with  $L_{CH} = 35$  nm,  $L_{G2} = 1.4$  nm,  $V_{g1} = -0.7$  V and  $V_{g2} = 4$  V. These results indicate the formation of back channel when  $G_2$  is applied by a large positive voltage. The current distribution of the three  $G_2$ -position devices at  $L_{CH} = 35$  nm,  $L_{G2} = 1.4$  nm,  $V_{g1} = -0.3$  V and  $V_{g2} = -4$  V are then shown in (d-f), indicating the depletion of the front channel. Contour cutline in a-IGZO layer with 0.3 nm from the inner (outer) surface is utilized to estimate the electron concentration and current density distribution of front (back) channel [37].

(c) further indicate  $\Delta V_{th}$  and SS vs.  $V_{g2}$  curves considering spatial dependence of  $G_2$ , respectively. In the negative region of  $V_{g2}$ , the variation of  $\Delta V_{th}$  induced by positions is not large. Additionally, the Center-gate configuration presents a largest  $\Delta V_{th}$ . However, when  $V_{g2}$  is converted to positive region, the  $\Delta V_{th}$  in all three gate configurations becomes more pronounced. Moreover, the largest threshold voltage shift is found in Near-S gate device, while the smallest one presents in Near-D gate device. In addition, among three configurations, the SS shows non-monotonic increase for Near-S gate device. To further comprehend the working principles, device simulations under various channel lengths are also performed, as shown in Fig. 6(d). When  $V_{g2} = -4$  V, the results of threshold voltage shift are nearly independent on  $L_{CH}$ . However, when  $V_{g2} = 4$  V, as  $L_{CH}$  increases, the strength of gate controllability for modulating the threshold voltage gradually changes from “Near S > Center > Near D” to “Center > Near S  $\approx$  Near D”. The performance differences induced by various gate positions are extremely pronounced in short-channel devices.

In order to investigate the underlying physical mechanisms, the current density and electron concentration distribution in a-IGZO channel layer are projected in different configurations near the threshold voltage. As shown in Fig. 7(a-c), in short-channel devices at  $L_{CH}=35$  nm,  $V_{g2}=4$  V and  $V_{g1}=-0.7$  V, a higher current density corresponds to the more negatively shifted threshold voltage, due to the formation of back channel when  $G_2$  is applied by a large positive voltage. Given by a large negative voltage on  $G_2$  in devices at  $L_{CH}=35$  nm,  $V_{g2}=-4$  V and  $V_{g1}=-0.3$  V, it manifests a depletion of the front channel and a lower current density represents the more positively shifted



**FIGURE 8.** (a) The electron concentration distribution along the back surface of channel with  $L_{CH} = 35$  nm  $V_{g1} = -0.7$  V and  $V_{g2} = 4$  V; (b) along the back surface with  $L_{CH} = 200$  nm,  $V_{g1} = -0.4$  V and  $V_{g2} = 4$  V; (c) along the front surface with  $L_{CH} = 35$  nm,  $V_{g1} = -0.3$  V and  $V_{g2} = -4$  V; (d) along the front surface with  $L_{CH} = 200$  nm,  $V_{g1} = -0.25$  V and  $V_{g2} = -4$  V.

threshold voltage, as shown in Fig. 7(d-f). The different modulation mechanisms of  $G_2$  on the conductivity of IGZO channel under positive and negative voltages, particularly the dominant transport mechanism and carrier density profile variations, which are dependent on the gate voltage, lead to a significant asymmetry in the electrical characteristic curves at the same voltage magnitude [38], [39], [40]. Meanwhile, the effective gate capacitance of  $G_2$  ( $C_{g2}$ ) varies with different bias conditions. Under positive voltage, the  $C_{g2}$  is composed only of the outer gate insulator capacitance ( $C_{ox2}$ ). However, under negative bias, the electric field lines emitted by  $G_2$  need to vertically traverse the active layer to reach the front channel, resulting in the  $C_{g2}$  being comprised of the series combination of  $C_{ox2}$  and active layer capacitance ( $C_{igzo}$ ). This is also the reason for the asymmetry of device’s electrical characteristic curves [41].

Furthermore, the electron concentration distribution on the a-IGZO channel surfaces is analyzed. According to Fig. 8(a), in the case of short channel and positive  $V_{g2}$ , the electron concentration at the back surface of channel for Near-S gate is significantly higher than the other, attributed to the lower surface potential [42], [43]. And the area covered by the fringe electric field takes a large portion of the entire channel length. Therefore, the Near-S gate device shows a superior performance. When  $L_{CH}$  is extended to 200 nm, electron concentrations of Near-S and Center gate devices are almost equal. Unlike Near-S gate, the performance of Center-gate shows better gate control with electric field distribution on both sides, as shown in Fig. 8(b). Figs. 8(c), (d) illustrate the electron concentrations along the front

surface of channel for short-channel ( $L_{CH}=35$  nm) and long-channel ( $L_{CH}=200$  nm) devices at  $V_{g2}=-4$  V, respectively. Due to ultra-thin a-IGZO layer, a strong depletion of the channel is observed in all three cases with little difference in performance as mentioned above. Particularly, when  $G_2$  is set close to the drain, the electric field from the drain will weaken the gate's control over the channel. Hence the Near-D gate devices indicate the worst threshold modulation in all cases.

#### IV. CONCLUSION

In summary, a cylindrical vertical double-surrounding-gate amorphous IGZO field-effect transistor is proposed. Via 3-D TCAD simulations, effects of critical geometry parameters, including the gate length and position of the outer gate as well as channel length, on device performance are investigated. It is found that an extremely scaled gate exhibits better gate control in short-channel devices. For the case of short-channel and positive  $V_{g2}$ , the Near-S gate shows the best controllability of threshold voltage. Instead, among other cases, the center gate will take advantage in threshold voltage control. These characteristics are explained by potential and electric field predictions, showing a great potential for threshold-modulated high-density M3D capacitor-less DRAM applications.

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