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## RNN Based Channel Estimation in Doubly Selective Environments

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**ABSTRACT** Doubly-selective channel estimation represents a key element in ensuring communication reliability in wireless systems. Due to the impact of multi-path propagation and Doppler interference in dynamic environments, doubly-selective channel estimation becomes challenging. Conventional symbol-bysymbol (SBS) and frame-by-frame (FBF) channel estimation schemes encounter performance degradation in high mobility scenarios due to the usage of limited training pilots. Recently, deep learning (DL) has been utilized for doubly-selective channel estimation, where long short-term memory (LSTM) and convolutional neural network (CNN) networks are employed in the SBS and FBF, respectively. However, their usage is not optimal, since LSTM suffers from long-term memory problem, whereas, CNN-based estimators require high complexity. For this purpose, we overcome these issues by proposing an optimized recurrent neural network (RNN)-based channel estimation schemes, where gated recurrent unit (GRU) and Bi-GRU units are used in SBS and FBF channel estimation, respectively. The proposed estimators are based on the average correlation of the channel in different mobility scenarios, where several performance-complexity trade-offs are provided. Moreover, the performance of several RNN networks is analyzed. The performance superiority of the proposed estimators against the recently proposed DL-based SBS and FBF estimators is demonstrated for different scenarios while recording a significant reduction in the computational complexity and the GPU execution time.

**INDEX TERMS** Wireless communications, channel estimation, deep learning, RNN, LSTM, GRU, Bi-GRU.

#### **I. INTRODUCTION**

THE recent advances in beyond 5G networks enable high data rates and low latency mobile wireless applications [1], [2]. Wireless communications offer mobility to different nodes within the network, however, the mobility feature has a severe negative impact on the communication reliability [3]. In such environment, the wireless channel is said to be doubly-selective, i.e. varies in both time and frequency. This is due to the propagation medium, where the transmitted signals propagate through multiple paths, each having a different power, delay, and Doppler shift effect resulting from the motion of network nodes. Knowing that the accuracy of the estimated channel influences the system performance since it affects different operations at the receiver like equalization, demodulation, and decoding. Therefore, ensuring communication reliability using accurate channel estimation is crucial, especially in high mobility scenarios [4].

In general, a few pilots are allocated within the transmitted frame in order to maintain a good transmission data rate, where the state-of-the-art (SoA) channel estimation schemes can be categorized into: (*i*) SBS estimators: the channel is estimated for each received symbol separately [5], [6]. (ii) FBF estimators: where the previous, current, and future pilots are employed in the channel estimation for each received symbol [7]. The higher channel estimation accuracy can be achieved by using FBF estimators, since the channel estimation of each symbol takes advantage from the knowledge of previous, current, and future allocated pilots within the frame. Unlike, SBS estimators, where only the previous and current pilots are exploited in the channel estimation for each received symbol. However, the allocated

pilots are insufficient for accurately tracking the doublyselective channel. As a result, conventional SBS channel estimation schemes use the demapped data subcarriers besides pilot subcarriers to accomplish the channel estimation task. This procedure is known as data-pilot aided (DPA) channel estimation, which is unreliable due to the demapping errors of the data subcarriers that are also enlarged from one symbol to another, leading to accumulated error in the channel estimation process. Moreover, the DPA-based channel estimation schemes such as spectral temporal averaging (STA) [5] and time-domain reliable test frequency domain interpolation (TRFI) [6] are impractical solutions as they rely on many assumptions such as high correlation of the channel within the received frame. In addition, they lack robustness in highly dynamic environments. On the other hand, several 2D interpolation methods, such as radial basis function (RBF) [8] and average decision-directed with time truncation (ADD-TT) [9] are employed in the FBF channel estimation. However, the performance of these interpolation methods is limited when employed in high mobility scenarios, since they use fixed interpolation parameters. Moreover, the wellknown FBF estimator is the conventional 2D linear minimum mean square error (LMMSE) uses the channel and noise statistics in the estimation, thus, leading to comparable performance to the ideal case. However, it suffers from high complexity making it impractical in real-case scenarios. Therefore, investigating both SBS and FBF channel estimators with a good trade-off complexity vs. performance is a crucial need for improving the channel estimation accuracy as well as maintaining affordable computational complexity.

Recently, a great success of deep learning (DL) has been witnessed in several wireless communications applications [10], [11], including localization [12], [13], [14], and channel estimation [15], [16], [17], [18], particularly when integrated with conventional SBS and FBF estimators. This success is due to the robustness, low-complexity, and good generalization ability of DL algorithms making their integration into communication systems beneficial. Motivated by these advantages, DL algorithms have been integrated into doubly-selective channel estimators in two different manners: (i) feed-forward neural network (FNN) and LSTM networks with different architectures and configurations are employed on top of SBS estimators [19], [20], [21], [22], [23]. (ii) CNNs are integrated into the FBF estimators [8], [9], [24], where the estimated channel for the whole frame is considered as a 2D low-resolution noisy image and CNN-based processing is applied as super-resolution and denoising techniques. These SoA DL-based SBS and FBF still encounter a considerable performance degradation due to the poor accuracy of the employed initial channel estimation as in [20] and [21]. Moreover, they require high computational complexity due to the employed DL architectures [8], [9], [24].

In order to achieve better performance-complexity tradeoff in different mobility scenarios according to the channel correlation, this paper sheds light on the RNN-based channel COMPUTER Stand Stand Computer Computer Stand Computer Com

estimation in doubly-selective environments for both SBS and FBF channel estimation, where an optimized RNN networks represented by a GRU and bi-directional (Bi)-GRU units are used in the proposed SBS and FBF channel estimators, respectively. Thus, having a low-complexity and robust channel estimation in different mobility scenarios. The proposed GRU-based SBS estimator uses only one GRU network instead of two as the case in the recently proposed LSTM-based estimator [22]. After that, DPA estimation is applied using the GRU estimated channel. Finally, unlike [22] where FNN network is used for noise elimination, in the proposed GRU-based estimators, time averaging (TA) processing is employed as a noise alleviation technique where the noise alleviation ratio is calculated analytically. Moreover, motivated by the fact that Bi-RNN is designed to perform 2D interpolation of unknown data bounded between known data [25], the proposed Bi-GRU channel estimator is designed to overcome the limitations of the FBF CNN-based channel estimation schemes, where an end-to-end 2D interpolation is performed by the proposed Bi-GRU unit. In this context, the proposed Bi-GRU channel estimator employs an adaptive frame design, where comb pilot allocation is replaced by full pilot allocated symbols that are inserted periodically within the transmitted frame. As a first step, the channel is estimated at the inserted pilot symbols, after that, Bi-GRU acts as an end-to-end 2D interpolation unit to estimate the channel at the data symbols without the need to any initial estimation. By doing this interpolation, the proposed Bi-GRU based estimator is able to further improve the estimation performance, unlike the CNNbased estimators that work according to the noise mitigation principle [26], [27] rather than doing actual interpolation. Simulation results show the performance superiority of the proposed RNN-based channel estimation schemes against the SoA SBS and FBF channel estimators while recording an outstanding computational complexity reduction represented by the floating-point operations per second (FLOPS) and the graphics processing unit (GPU) execution time. To sum up, the contributions of this paper are listed below<sup>1</sup>:

- Proposing low-complexity and robust RNN-based channel estimation schemes, where an optimized GRU, and Bi-GRU units are employed to accurately estimate the doubly-selective channel in SBS and FBF fashions, respectively.
- Employing GRU unit as a pre-processing module to DPA and TA processing in SBS channel estimation. Whereas an end-to-end 2D interpolation using Bi-GRU unit is proposed for FBF channel estimation.
- Analyzing the appropriate RNN architectures to be employed according to the average channel correlation within the frame in different mobility scenarios, where

<sup>&</sup>lt;sup>1</sup>We would like to mention that part of this work related to the Bi-RNN based FBF channel estimation has been accepted for publication in the IEEE ICC 2023 conference [28].

the advantages of using the proposed optimized GRU unit instead of regular LSTM unit are discussed.

- Showing that the proposed RNN-based channel estimators record a significant superiority over the SoA SBS and FBF channel estimators in terms of bit error rate (BER) and throughput for different modulation orders, mobility scenarios, and frame lengths.
- Illustrating the advantage of using the ensemble learning (EL) algorithm [29] in the generalization of one DL model that is robust against a range of Doppler frequencies.
- Providing a detailed computational complexity analysis for the studied channel estimators, where we show that the proposed RNN-based channel estimators achieve substantial reduction in the required number of FLOPS, and the GPU execution time in comparison with the SoA SBS and FBF channel estimators.

The remainder of this paper is organized as follows: Section II presents the system model. The SoA DL-based channel estimation schemes are thoroughly investigated and discussed in Section III. Section IV illustrates the framework of the proposed RNN-based channel estimation schemes, besides providing a brief overview of the main RNN networks integrated into the doubly-selective channel estimation. In Section V, different modulation orders are used to present simulation results, wherein the performance of the studied estimators is examined in terms of BER. Detailed computational complexity analysis is provided in Section VI. Finally, Section VII concludes this study.

**Notations**: Throughout the paper, vectors are defined with lowercase bold symbols x. Time and frequency domain vectors are represented by x and  $\tilde{x}$  respectively. Moreover, the (i, k) element of  $\tilde{x}$  is represented by  $\tilde{x}_i[k]$ , where i and k denote the time and the subcarrier indices. We note that  $\tilde{x}_i \in \mathbb{C}^{K \times 1}$ ,  $\tilde{x}_{i,d} \in \mathbb{C}^{K_d \times 1}$ , and  $\tilde{x}_{i,p} \in \mathbb{C}^{K_p \times 1}$  refer to the full OFDM symbol, data subcarriers, and the pilots, respectively, where  $K_d$ , and  $K_p$  are the number of data and pilot subcarriers. Matrices are written as uppercase bold symbols X. Finally, E [.] denotes the expectation operator and the conjugate matrix of X is signified by  $X^*$ .

## **II. SYSTEM MODEL**

Consider a frame consisting of I orthogonal frequency division multiplexing (OFDM) symbols. The *i*-th transmitted frequency-domain OFDM subcarrier  $\tilde{x}_i[k]$ , is denoted by

$$\tilde{\boldsymbol{x}}_{i}[k] = \begin{cases} \tilde{\boldsymbol{x}}_{i,d}[k], & k \in \mathcal{K}_{d}. \\ \tilde{\boldsymbol{x}}_{i,p}[k], & k \in \mathcal{K}_{p}. \\ 0, & k \in \mathcal{K}_{n}. \end{cases}$$
(1)

where k refers to the subcarrier index, where  $0 \le k \le K - 1$ . Moreover, d and p indices refer to the transmitted data and pilot subcarriers, respectively. The total number of subcarriers is divided into  $K_{\text{on}} = K_d + K_p$  subcarriers in addition to  $K_n$  null guard band subcarriers, where  $\tilde{x}_{i,d} \in \mathbb{C}^{K_d \times 1}$  and  $\tilde{x}_{i,p} \in \mathbb{C}^{K_p \times 1}$  represent the modulated data symbols and the predefined pilot symbols allocated at a

set of subcarriers denoted  $\mathcal{K}_d$  and  $\mathcal{K}_p$ , respectively. The received frequency-domain OFDM subcarrier denoted as  $\tilde{y}_i[k]$  is expressed as follows

$$\tilde{\mathbf{y}}_i[k] = \tilde{\mathbf{h}}_i[k]\tilde{\mathbf{x}}_i[k] + \tilde{\mathbf{v}}_i[k], \ k \in \mathcal{K}_{\text{on}}.$$
(2)

Here,  $\tilde{h}_i \in \mathbb{C}^{K_{on} \times 1}$  refers to the frequency response of the doubly-selective channel at the *i*-th OFDM symbol.  $\tilde{v}_i \in \mathbb{C}^{K_{on} \times 1}$  signifies the additive white Gaussian noise (AWGN) of variance  $\sigma^2$ . As a matrix form, (2) can be expressed as follows

$$\tilde{\boldsymbol{Y}}[k,i] = \tilde{\boldsymbol{H}}[k,i]\tilde{\boldsymbol{X}}[k,i] + \tilde{\boldsymbol{V}}[k,i], \ k \in \mathcal{K}_{\text{on}}, \qquad (3)$$

where  $\tilde{Y} \in \mathbb{C}^{K_{\text{on}} \times I}$  and  $\tilde{X} \in \mathbb{C}^{K_{\text{on}} \times I}$  denotes the received and transmitted frames in frequency domain. Moreover,  $\tilde{V} \in \mathbb{C}^{K_{\text{on}} \times I}$  and  $\tilde{H} \in \mathbb{C}^{K_{\text{on}} \times I}$  denote the AWGN noise and the doubly-selective frequency response of the channel for all symbols within the transmitted OFDM frame, respectively.

#### **III. SoA DL-BASED CHANNEL ESTIMATION**

This section presents the recently proposed SoA DL-based SBS and FBF channel estimation schemes, where the processing steps applied in each estimator are presented.

#### A. DL-BASED SBS CHANNEL ESTIMATION SCHEMES

In general, FNN and LSTM networks are employed in the SBS channel estimation, where optimized FNNs are integrated as a post-processing unit with conventional SBS channel estimators as the case in the DPA-FNN [19], STA-FNN [20], and TRFI-FNN [21]. On the other hand, LSTM networks are utilized as a pre-processing unit in the LSTM-FNN-DPA [22], and LSTM-DPA-TA [23] channel estimators. Both implementations are helpful in improving the accuracy of the channel estimation. However, the LSTMbased estimation illustrates a considerable superiority over the FNN-based estimation. In this context, and since we are focusing on RNN-based channel estimation, this section presents the steps applied in the LSTM-based channel estimators.

We would like to mention that the conventional DPA channel estimation aims at tracking the channel variation within the received OFDM frame by applying a three-step operation. First of all, the current received OFDM symbol is equalized by the previously estimated channel, resulting in an initial channel estimation. Next, a demapping operation is applied to the initial estimated channel, such that

$$\tilde{\boldsymbol{d}}_{i}[k] = \mathfrak{D}\left(\frac{\tilde{\boldsymbol{y}}_{i}[k]}{\hat{\tilde{\boldsymbol{h}}}_{\text{DPA}_{i-1}}[k]}\right), \ \hat{\tilde{\boldsymbol{h}}}_{\text{DPA}_{0}}[k] = \hat{\tilde{\boldsymbol{h}}}_{\text{LS}}[k].$$
(4)

 $\mathfrak{D}(.)$  refers to the demapping operation to the nearest constellation point in accordance with the employed modulation order.  $\hat{\hat{h}}_{LS}$  signifies the LS estimated channel at the received

## LSTM-DNN-DPA Estimator

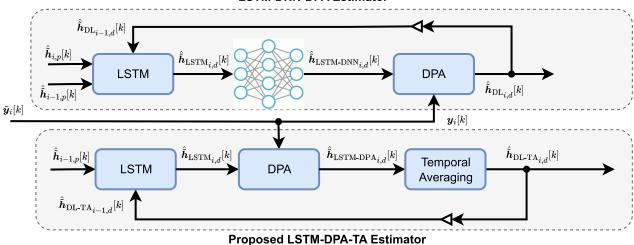


FIGURE 1. Block diagram of the SoA LSTM-based SBS estimators.

preambles, such that

$$\hat{\tilde{\boldsymbol{h}}}_{\text{LS}}[k] = \frac{\sum_{u=1}^{F} \tilde{\boldsymbol{y}}_{u}^{(p)}[k]}{P\tilde{\boldsymbol{\Lambda}}[k]}, \ k \in \mathcal{K}_{\text{on}},$$
(5)

where  $\tilde{\mathbf{A}}$  denotes the frequency domain predefined preamble sequence. The final DPA channel estimates are updated in the following manner

$$\hat{\tilde{h}}_{\text{DPA}_i}[k] = \frac{\tilde{y}_i[k]}{\tilde{d}_i[k]}.$$
(6)

It is worth mentioning that conventional DPA estimation is based on the basic LS estimation that suffers from noise enhancement. In addition to that, due to the doublyselective channel variation, a significant demapping error is recorded in (4). This demapping error is enlarged and accumulated over the received frame resulting in a significant degradation in performance. In order to address the limitation of the conventional DPA channel estimation, the recently proposed DL-based channel estimators employ LSTM prior to the DPA estimation so that the demapping error can be implicitly reduced, thus, improving the accuracy of the channel estimation.

#### 1) LSTM-FNN-DPA

The work proposed in [22] shows that employing the LSTM processing prior to the DPA estimation could lead to a significant improvement in the overall performance. In this context, two cascaded LSTM and FNN networks for both channel estimation as well as noise compensation. The LSTM-FNN-DPA estimator employs the least squares (LS) estimated channel at the current and previous received pilots denoted by  $\hat{\tilde{h}}_{i,p} \in \mathbb{C}^{K_p \times 1}$  and  $\hat{\tilde{h}}_{i-1,p} \in \mathbb{C}^{K_p \times 1}$ , such that

$$\hat{\tilde{h}}_{i,p}[k] = \frac{\tilde{y}_{i,p}[k]}{\tilde{x}_{i,p}[k]}, \ \hat{\tilde{h}}_{i-1,p}[k] = \frac{\tilde{y}_{i-1,p}[k]}{\tilde{x}_{i,p}[k]}, \ k \in \mathcal{K}_{p}.$$
 (7)

 $\tilde{x}_{i,p} \in \mathbb{C}^{K_p \times 1}$  refers to the predefined pilot subcarriers. The LS estimated channels in (7) are fed as an input to both LSTM and FNN networks, where the LSTM-FNN estimated channel is expressed as follows

$$\tilde{\boldsymbol{d}}_{\text{LSTM-FNN}_{i,d}}[k] = \mathfrak{D}\Big(\frac{\boldsymbol{y}_{i,d}[k]}{\hat{\boldsymbol{h}}_{\text{LSTM-FNN}_{i-1,d}}[k]}\Big).$$
(8)

Finally, the DPA channel estimation is applied using  $\tilde{d}_{\text{LSTM-FNN}_{i,d}}$ , such that

$$\hat{\tilde{\boldsymbol{h}}}_{\text{DL}_{i,d}}[k] = \frac{\tilde{\boldsymbol{y}}_{i,d}[k]}{\tilde{\boldsymbol{d}}_{\text{LSTM-FNN}_{i,d}}[k]}.$$
(9)

We note that at the beginning of the frame (i = 1),  $\tilde{h}_{i-1,p}$  denotes the LS estimated channel at the received preamble symbols as shown in (5). While this estimator can outperform the FNN-based estimators, it encounters a high complexity cost arising from the employment of two DL networks.

## 2) LSTM-DPA-TA

In our previous work [23], an optimized LSTM network is used instead of two as implemented in the LSTM-FNN-DPA estimator. In addition, noise compensation is made possible by applying TA processing. This methodology only requires the previous pilots  $\hat{\hat{h}}_{i-1,p}$  besides the LSTM estimated channel as an input. Then, the LSTM estimated channel is employed in the DPA estimation as follows

$$\tilde{\boldsymbol{d}}_{\text{LSTM}_{i}}[k] = \mathfrak{D}\left(\frac{\tilde{\boldsymbol{y}}_{i}[k]}{\tilde{\boldsymbol{h}}_{\text{LSTM}_{i-1}}[k]}\right), \ \hat{\boldsymbol{h}}_{\text{LSTM}_{0}}[k] = \hat{\boldsymbol{h}}_{\text{LS}}[k],$$
(10)

$$\hat{\tilde{h}}_{\text{LSTM-DPA}_i}[k] = \frac{\tilde{y}_i[k]}{\tilde{d}_{\text{LSTM}_i}[k]}.$$
(11)

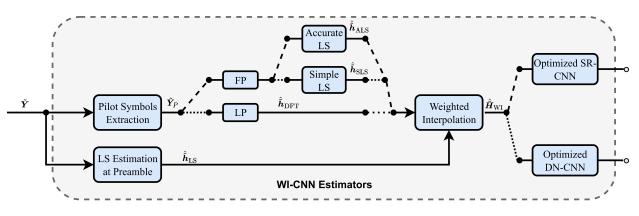


FIGURE 2. Block diagram of the SoA CNN-based FBF estimators

AWGN noise alleviation can be achieved by further applying TA processing such that

$$\hat{\bar{\boldsymbol{h}}}_{\text{DL-TA}_{i,d}} = (1 - \frac{1}{\alpha})\hat{\bar{\boldsymbol{h}}}_{\text{DL-TA}_{i-1,d}} + \frac{1}{\alpha}\hat{\bar{\boldsymbol{h}}}_{\text{LSTM-DPA}_{i,d}}.$$
 (12)

Here,  $\alpha$  denotes the utilized weighting coefficient. In [23], the authors use a fixed  $\alpha = 2$  for simplicity. Therefore, the TA applied in (12) reduces the AWGN noise power  $\sigma^2$  iteratively within the received OFDM frame according to the ratio

$$R_{\text{DL-TA}_q} = \left(\frac{1}{4}\right)^{(q-1)} + \sum_{j=2}^{q} \left(\frac{1}{4}\right)^{(q-j+1)} = \frac{4^{q-1}+2}{3 \times 4^{q-1}}.$$
(13)

This corresponds to the AWGN noise power ratio of the estimated channel at the q-th estimated channel, where 1 < q < I + 1 and  $R_{DL-TA_1} = 1$  denotes the AWGN noise power ratio at  $\tilde{h}_{LS}$ . From the derivation of  $R_{DL-TA_a}$ , it can be seen that the noise power decreases over the received OFDM frame, i.e. the SNR increases, resulting in an overall improved performance. The full derivation of (13) is found in [23]. Even though the LSTM-DPA-TA improves the performance compared to the LSTM-FNN-DPA estimator, it still suffers from high computational complexity. Moreover, in Section IV, we show that employing LSTM unit in the channel estimation would affect the estimation accuracy negatively due to the long memory of the LSTM unit, where uncorrelated previous estimated channels are taken into consideration to estimate the current channel. However, the proposed GRU-based channel estimation provides a better performance-complexity trade-off.

## B. CNN-BASED FBF CHANNEL ESTIMATION SCHEMES

In [24], a CNN aided weighted interpolation (WI) channel estimation schemes have been proposed. The WI-CNN estimators use adaptive frame structure according to the mobility scenario. The idea is to avoid using comb pilot allocation and insert Q pilot OFDM symbols with different configurations within the transmitted OFDM frame instead. In this context, the WI-CNN estimators employ one, two, and three pilot symbols in low, high, and very high mobility scenarios, respectively. Following the selection of the frame structure, the WI-CNN estimators proceed as follows

• **Pilot symbols channel estimation**: In order to estimate the channel at the inserted pilot symbols, the basic LS denoted as *simple LS (SLS)* estimation is applied using the received preambles as shown in (5), and using each received pilot symbol such that

$$\hat{\tilde{\boldsymbol{h}}}_{\mathrm{SLS}_q}[k] = \frac{\tilde{\boldsymbol{y}}_q^{(p)}[k]}{\tilde{\boldsymbol{p}}[k]} = \tilde{\boldsymbol{h}}_q[k] + \tilde{\boldsymbol{v}}_q[k], \ k \in \mathcal{K}_{\mathrm{on}}.$$
 (14)

where  $\tilde{v}_q[k]$  represents the noise at the *q*-th received pilot symbol,  $1 \leq q \leq Q$  denotes the inserted pilot symbol index, and  $\tilde{Y}_Q = [\tilde{y}_1^{(p)}, \dots, \tilde{y}_q^{(p)}, \dots, \tilde{y}_Q^{(p)}] \in \mathbb{C}^{K_{\text{on}} \times Q}$ . Moreover, *accurate LS (ALS)* can be obtained by applying the discrete Fourier transform (DFT) interpolation of  $\hat{h}_{q,L}$  such that

$$\tilde{\boldsymbol{h}}_{\text{ALS}_q} = \boldsymbol{F}_{\text{on}} \hat{\boldsymbol{h}}_{q,L}, \ k \in \mathcal{K}_{\text{on}},$$
(15)

with  $\hat{h}_{q,L} \in \mathbb{C}^{L \times 1}$  denotes the estimated channel impulse response at the *q*-th received pilot symbol. We note that the *ALS* and *SLS* are used for full pilot (FP) allocation. However, if the number of channel taps *L* is known, the channel estimation requires only *L* pilots in each pilot symbol, where DFT interpolation can be applied to the estimated channel impulse response  $\hat{h}_{q,L}$ such that

$$\hat{\hat{\boldsymbol{h}}}_{\text{DFT}_q} = \boldsymbol{F}_{\text{on}} \hat{\boldsymbol{h}}_{q,L}, \ k \in \mathcal{K}_{\text{on}},$$
(16)

where  $F_{on} \in \mathbb{C}^{K_{on} \times L}$  denotes the truncated DFT matrices obtained by selecting  $\mathcal{K}_{on}$  rows, and *L* columns from the *K*-DFT matrix.

• Data symbols channel estimation: After estimating the channel at the inserted *Q* pilot symbols, the WI-CNN estimator divides the received frame into several sub-frames that are grouped as follows

$$\tilde{\tilde{\boldsymbol{H}}}_{q} = [\tilde{\tilde{\boldsymbol{h}}}_{q-1}, \tilde{\tilde{\boldsymbol{h}}}_{q}], \ q = 1, \cdots Q,$$
(17)

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 $\tilde{h}_q$  refers to the implemented LS estimation. Then, the estimated channel for the *i*-th received data OFDM symbol within each sub-frame is calculated as a weighted summation of the estimated channels at the pilot symbols, such that

$$\hat{\tilde{\boldsymbol{H}}}_{\mathrm{WI}_f} = \hat{\tilde{\boldsymbol{H}}}_f \boldsymbol{C}_f, \qquad (18)$$

where  $\hat{H}_f \in \mathbb{C}^{K_{on} \times 2}$  denotes LS estimated channels at the pilot symbols within the *f*-th sub-frame.  $C_f \in \mathbb{R}^{2 \times I_f}$ denotes the interpolation weights of the  $I_f$  OFDM data symbols within the *f*-th sub-frame. The interpolation weights of  $C_f$  are calculated by minimizing the mean squared error (MSE) between the ideal channel  $\tilde{H}_f$ , and the LS estimated channel at the OFDM pilot symbols  $\hat{H}_f$  as derived in [30]. In the final step, optimized super resolution CNN (SR-CNN) is employed on top of the WI estimators in a low mobility scenario, whereas an optimized denoising CNN (DN-CNN) is considered in high mobility one.

The WI-CNN estimators suffer from high computational complexity. Moreover, using noise alleviation CNNs is not sufficient to accurately estimate the doubly-selective channel. Therefore, we propose a Bi-GRU channel estimator that performs 2D interpolation, unlike the SR-CNN and DN-CNN networks, which are based on noise alleviation techniques. As a result, performance superiority of the proposed Bi-GRU channel estimator can be achieved while recording a significant decrease of the computational complexity in comparison to the WI-CNN estimators as illustrated in Section V and Section VI.

# IV. PROPOSED RNN-BASED CHANNEL ESTIMATION SCHEMES

In this section, RNN main concepts and extensions are first briefly introduced. Then, a detailed explanation of the proposed RNN and Bi-RNN based schemes for SBS and FBF channel estimation are presented, respectively.

## A. RECURRENT NEURAL NETWORKS: REVIEW

RNN is a type of artificial neural network (ANN) designed to work with sequential data. This sequential data can be in the form of time series, text, audio, video etc. RNN uses the previous information in the sequence to produce the current output, where it is incorporated with memory to take information from prior inputs to influence the current output. This mechanism is the key essence to RNN success in sequential problems, where the core concept of RNNs is to keep/discard input data in a recurring manner. Hence, the RNN can learn the important and unimportant data contributing to predicting the current output. We note that training and back-propagation operations in RNN are similar to other forms of ANN, where RNN needs to be trained in order to produce accurate and desired outputs. In general, there exist three main types of RNNs [31], [32]: (i) Simple RNN (SRNN), (ii) LSTM, and (iii) GRU. The main difference between them is in how the input data is processed by each RNN.

The SRNN is useful when we need to look at recent information only to perform a present task. Therefore, when an SRNN is exposed to long sequences, it tends to lose the information because it cannot store long sequences since it focuses only on the latest output. This problem is commonly referred to as vanishing gradients [33] that occurs during the training phase, where useful gradients cannot propagate from the output of the model back to the layers near the input of the model. As a result, the RNN does not learn the effect of earlier inputs and it is too difficult for RNN to preserve information over many time steps, hence, causing the short-term memory problem. To overcome this problem, specialized versions of RNN like LSTM and GRU are created.

The LSTM is a special kind of RNN capable of learning long-term sequences. Unlike SRNN, where the input and the previous output are processed by a simple activation layer, in LSTM the process is slightly complex, where the LSTM unit takes at each time input from three different states represented by the current input state, the shortterm memory state, and the long-term memory state from the previous LSTM unit. These inputs are controlled and filtered by three main gates to regulate the information to be kept or discarded before passing the updated information to the next LSTM unit. First of all, we have the input gate that decides what information is relevant to add from the current input state. After that, the forget gate decides what is relevant to keep from prior steps represented by the longterm memory state. Finally, the output gate determines what the next output should be by processing the current input, the previous short-term memory, and the newly computed long-term memory to produce new short-term memory which will be passed on to the next time step. LSTM is capable of learning long-term sequences, and predicting the current output is influenced by the long sequence of previous outputs. However, LSTM is not useful in all scenarios, especially, when the successive inputs become uncorrelated over time. Since predicting the current output will be affected by uncorrelated previous outputs, the prediction accuracy is negatively affected.

To overcome the problems encountered by SRNN and LSTM, GRU has been proposed to provide a trade-off by using a shorter previous memory in comparison to LSTM. GRU is based on the same concept as the LSTM, but with optimized architecture, where it has only two gates, a reset gate, and an update gate. The reset gate is used to decide how much of the past information needs to be neglected, while the update gate acts similarly to the forget and input gate of an LSTM. It decides what information to throw away and what new information to add from the current input as well as determining the previous information that needs to pass along to the next time step. We note that fewer training parameters and faster execution can be achieved by using GRU instead of LSTM.

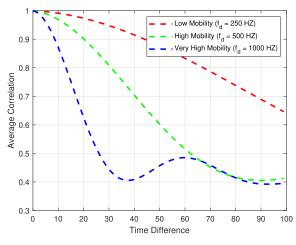


FIGURE 3. Correlation of the channel at the first and the last OFDM symbol within the transmitted frame.

#### **B. PROPOSED SBS RNN-BASED CHANNEL ESTIMATOR**

It is worth mentioning that FNN network treats the initial estimated channels separately, where it produces the output for each input. By doing this single input-output mapping, the FNN network is able to learn the frequency correlation of the doubly-selective channel, besides correcting the initial estimation error. On the contrary, RNN network treats the initial estimated channel as a correlated sequence, where the current estimated channel is computed using the previous RNN estimated channels and the current initial estimated channel. This process allows the RNN network to learn both frequency and time correlation of the doubly-selective channel, and thus, RNN outperforms FNN in the channel estimation task. We note that the SoA RNN-based estimators focus on the employment of different LSTM architectures within the channel estimation process. However, the use of LSTM is not beneficial in all scenarios as discussed in this section due to its high computational complexity and long previous memory. In this context, the proposed RNNbased estimation scheme sheds light on the ability of GRU in estimating doubly-selective channels with high accuracy, tackling the limitations of the LSTM unit.

As discussed in Section IV-A, SRNN takes advantage of the previously estimated channel only while estimating the current one. Whereas, the LSTM has long-term memory, which means that estimating the channel at the current OFDM symbol is affected by the older estimated channels. On the other hand, GRU provides a trade-off between short-term memory and complexity. Therefore, in order to decide which RNN performs better in doubly-selective channel estimation, we study the average correlation between the channel at the first symbol and all successive symbols within the transmitted OFDM frame, considering the frequency-time response, such that

$$\Psi_i = \mathbf{E}\left[\tilde{\boldsymbol{h}}_1 \tilde{\boldsymbol{h}}_i^*\right], \ 2 \le i \le I.$$
(19)

Here,  $\Psi_i$  is calculated for three mobility scenarios: (*i*) Low mobility:  $f_d = 250$  Hz, (*ii*) High mobility:  $f_d = 500$  Hz, and (*iii*) Very high mobility:  $f_d = 1000$  Hz. The detailed properties of these channel models are provided in Section V.

As shown in Fig. 3, when the mobility increases, the average correlation  $\Psi$  starts to decrease exponentially. However, as we can notice,  $\Psi_i$  at the end of the received frame reaches around 65% for low mobility scenario, while it is around 40% in high and very high mobility scenarios, with a drastic decrease in the overall  $\Psi_i$  curve in very high mobility scenarios. According to the  $\Psi_i$  values in different mobility scenarios, we can expect that the impact of the estimated channels at earlier symbols would affect negatively the accuracy of the estimated channel at advanced symbols within the received OFDM frame. As a result, we can conclude that, as the mobility increases, shorter RNN memory is required in the channel estimation in order to guarantee the best possible performance. This is due to the fact that, when long RNN memory is employed in a very high mobility scenario, the older estimated channels negatively impact the channel estimation at the current OFDM symbol because the estimated channels become uncorrelated, i.e. the value of  $\Psi$  is low. In this context, the proposed RNNbased channel estimation scheme employs an optimized GRU unit instead of LSTM unit in the channel estimation process due to its shorter memory. This results in improving the accuracy of the channel estimation while recording a significant decrease in computational complexity. Moreover, we study the performance of the SRNN unit in order to have a complete analysis of different RNN units.

As illustrated in Fig. 4, the RNN unit is first employed to estimate the channel at the current data subcarriers, where it takes as an input the previous LS estimated channels at pilot subcarriers denoted by  $\hat{\hat{h}}_{i-1,p} \in \mathbb{R}^{2K_p \times 1}$ , concatenated with the previously RNN-based estimated channel at the data subcarriers  $\tilde{\mathbf{h}}_{\Phi-\mathrm{TA}_{i-1,d}} \in \mathbb{R}^{2K_d \times 1}$ . Thus, the input and output sizes of the RNN unit are  $2K_{on}$  and  $2K_d$ , respectively. After that, the RNN output is fed as an input to the DPA estimation followed by TA processing in order to further mitigate the impact of noise. We note that our proposed estimators consider both time and frequency selectivity, where the RNN-based pre-processing deals with the time selectivity and the DPA estimation with the frequency selectivity. Moreover, the RNN-based estimated channel is fed as an input to the DPA estimation block, which further improves the DPA estimation accuracy. The proposed RNN-based channel estimation scheme proceeds as follows

$$\bar{\boldsymbol{d}}_{\Phi_{i,d}}[k] = \mathfrak{D}\left(\frac{\bar{\boldsymbol{y}}_{i,d}[k]}{\hat{\boldsymbol{h}}_{\Phi_{i-1,d}}[k]}\right), \ k \in \mathcal{K}_{d}, \tag{20}$$

$$\hat{\bar{h}}_{\Phi\text{-}\text{DPA}_{i,d}}[k] = \frac{\bar{y}_{i,d}[k]}{\bar{\bar{d}}_{\Phi_{i,d}}[k]},$$
(21)

where  $\Phi \in \{\text{SRNN, GRU}\}$  refers to the used RNN unit, and  $\hat{\bar{h}}_{\Phi_{0,d}} = \hat{\bar{h}}_{\text{LS}} \forall k \in \mathcal{K}_{\text{d}}$ . Finally, to alleviate the impact of the AWGN noise, TA processing is applied to the estimated

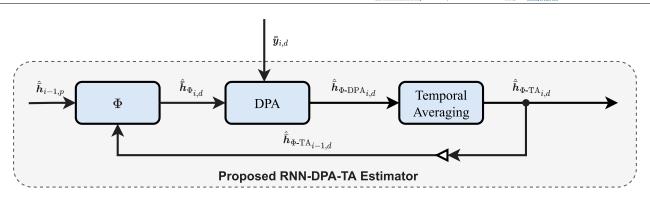


FIGURE 4. Proposed RNN-based channel estimation schemes.

TABLE 1. Parameters of the proposed RNN-based channel estimation scheme.

| (SRNN units; Hidden size)    | (1;48)                  |
|------------------------------|-------------------------|
| (GRU units; Hidden size)     | (1;48)                  |
| (Bi-SRNN units; Hidden size) | (1;32)                  |
| (Bi-GRU; Hidden size)        | (1;32)                  |
| Activation function          | ReLU $(y = \max(0, x))$ |
| Number of epochs             | 500                     |
| Training samples             | 16000                   |
| Testing samples              | 2000                    |
| Batch size                   | 128                     |
| Optimizer                    | ADAM                    |
| Loss function                | MSE                     |
| Learning rate                | 0.001                   |
| Training SNR                 | 40 dB                   |
|                              |                         |

channel  $\bar{h}_{\Phi-\text{DPA}_i}$  similarly as performed in (12), such that

$$\hat{\bar{\boldsymbol{h}}}_{\Phi-\mathrm{TA}_{i,d}} = (1 - \frac{1}{\alpha})\hat{\bar{\boldsymbol{h}}}_{\Phi-\mathrm{TA}_{i-1,d}} + \frac{1}{\alpha}\hat{\bar{\boldsymbol{h}}}_{\Phi-\mathrm{DPA}_{i,d}}, \qquad (22)$$

where  $\alpha = 2$  for simplicity. We note that in doublyselective channel, each two successive symbols are correlated regardless of the mobility scenario, therefore, using  $\alpha = 2$  gives equal weights for the previous and current estimated channel. However, However, alpha can be fine-tuned by studying the average channel correlation between each two successive OFDM symbols, and then assigning more accurate weights to the previous and current estimated channels in (22).

In the proposed scheme, RNN training is performed using a high value of signal-to-noise ratio (SNR) = 40 dB to achieve the best performance as observed in [34]. The reason is that when the training is performed for low noise impact, the RNN is able to better learn the channel correlation. In addition, due to its good generalization ability, it can still perform well in low SNR regions, where the noise is dominant. Moreover, intensive experiments are performed using the grid search algorithm [35] in order to select the best suitable RNN hyperparameters in terms of both performance and complexity. Note that the mobility conditions can be assumed known

in most real case applications. For example, in vehicular communications, the vehicle velocity is a known parameter that can be exchanged between all vehicular network nodes and it must be regulated according to the road conditions. In urban environments (inside cities) the car velocity must not exceed 40 Kmphr, and thus, the model trained on low mobility can be employed. Consequently, the RNN training is performed for each mobility scenario separately using the same architecture and training parameters summarized in Table 1. However, when velocity information is not available, EL algorithm can be used to combine the weights of several trained models so that one generalized model can be employed in all mobility scenarios as discussed in V.

## C. PROPOSED FBF Bi-RNN-BASED CHANNEL ESTIMATOR

Bi-RNN networks are designed to predict unknown data that are bounded within known data [25]. They are based on making the data flow through any RNN unit in both directions forward (past to future), and backwards (future to past). In regular RNN, the input flows in one direction, whereas, in Bi-RNN the input flows in both directions to get the advantage of both past and future information. By doing so, the Bi-RNN network will be able to predict the unknown information in the middle based on its correlation with the known past and future information.

In this context, the proposed Bi-RNN channel estimator aims to utilize the interpolation ability of Bi-RNN networks in the FBF channel estimation instead of employing highcomplexity CNN networks as it is the case in the SoA channel estimation schemes. The proposed Bi-RNN channel estimation scheme uses Bi-GRU unit and it inherits the adaptive frame design from the WI-CNN estimators as shown in Fig. 5. Recall that WI-CNN channel estimation performs WI interpolation at the data symbols, where CNN processing is applied to alleviate the impact of noise. However, Bi-RNNs perform 2D interpolation at the data symbols using the estimated channel at the pilot symbols without the need for any initial channel estimation at the data symbols. Thus, the proposed Bi-RNN channel estimator can be adapted

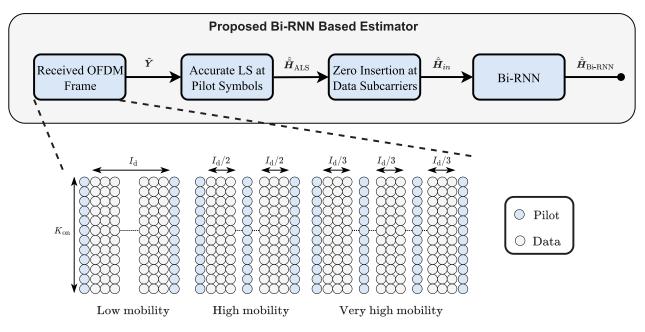


FIGURE 5. Proposed Bi-RNN based channel estimator block diagram.

to any existing protocols regardless of the pilot allocation scheme. However, the employed Bi-RNN architectures should be fine-tuned accordingly to meet the required performance. The proposed Bi-RNN channel estimator proceeds as follows

- ALS estimation at the inserted pilot symbols as performed in (15), followed by zero insertion at all the data symbols. Thereafter, the initial estimated channels  $\hat{H}_{in} \in \mathbb{C}^{K_{on} \times I_d}$  are converted to the real-valued domain by stacking the real and imaginary values in one vector, where  $\hat{H}_{in} \in \mathbb{R}^{2K_{on} \times I_d}$ .
- Bi-RNN end-to-end interpolation, where  $\bar{H}_{in}$  is fed as an input to the optimized Bi-GRU unit. Accordingly, the Bi-GRU unit learns the weights of the estimated channels at the OFDM data symbols. Employing the 2D interpolation using the proposed Bi-GRU unit leads to a considerable performance superiority in comparison with the WI-CNN estimators while recording a significant decrease in the required computational complexity, as shown in Section V. Also here the proposed Bi-GRU architecture is optimized using the grid search algorithm [35] and trained using the parameters listed in Table 1. Moreover, similarly as performed in Section IV-B, the performance of Bi-LSTM and Bi-SRNN are investigated in Section V.

## **V. SIMULATION RESULTS**

This section illustrates the performance evaluation of the SoA and the proposed RNN and Bi-RNN based channel estimation schemes in terms of BER and throughput. Vehicular communications are considered as a simulation case study, where three mobility scenarios are defined as: (*i*)

low mobility (v = 45 Kmph,  $f_d = 250$  Hz) (*ii*) High mobility (v = 100 Kmph,  $f_d = 500$  Hz) (*iii*) Very high mobility (v = 200 Kmph,  $f_d = 1000$  Hz). The power-delay profiles of the employed channel models are provided in Table 2. Moreover, the performance of the studied channel estimators is further evaluated using the QuaDRiGa channel model [36]. We note that the QuaDRiGa channel model is a three-dimensional geometry-based stochastic channel model that offers several features including the small and large-scale fading as well as the continuous-time evolution, therefore, it presents the practical real-world characteristics of the wireless channels.

It is worth mentioning that in order to guarantee fairness in the conducted simulations, the studied channel estimators are trained using the same parameters shown in Table 1 that are optimized using the grid search algorithm. Moreover, simulation parameters are based on the IEEE 802.11p standard [37], where for the SBS channel estimation, comb-pilot allocation is employed such that  $K_p I$  pilots are used within the transmitted frame following the combpilot allocation. Concerning the FBF channel estimation, the ChannelNet and TS-ChannelNet estimators use  $K_nI$ pilots per frame, whereas the WI-CNN and the proposed Bi-RNN channel estimators employ only  $K_{on}Q$  pilots per frame following the block-pilot allocation, where  $K_{on}$  = 52 denotes the number of employed subcarriers within the transmitted OFDM symbol, and *Q* is the number of inserted pilot symbols within the transmitted frame ((i) Low mobility: Q = 1, (ii) High mobility: Q = 2, (iii) Very high mobility: Q = 3). Therefore, the proposed Bi-RNN based channel estimator is able to outperform the recently proposed SoA FBF channel estimators employing fewer pilots with lower computational complexity, resulting in higher transmission data rates as discussed in Section V-B. We also note that these

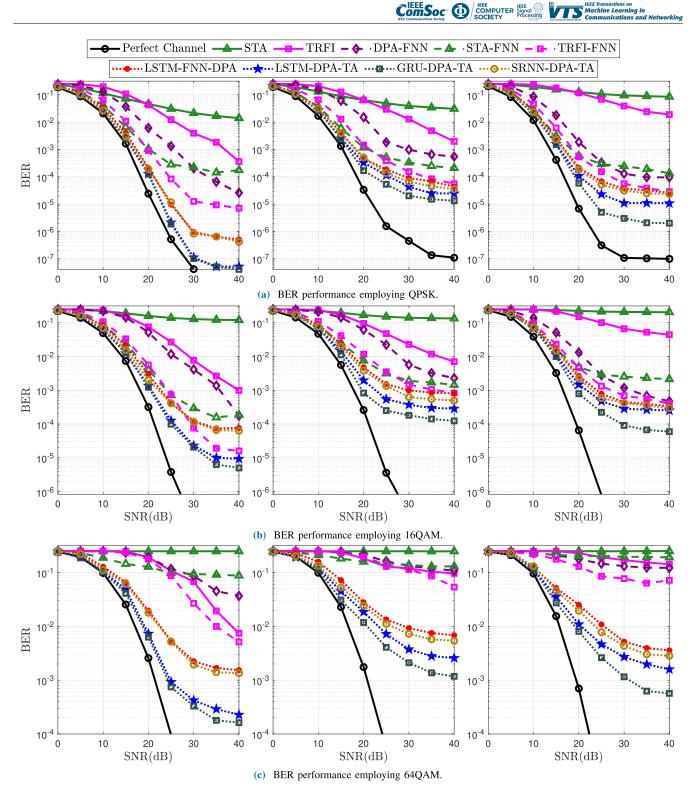


FIGURE 6. BER for I = 100, mobility from left to right: low, high, very high.

simulations are implemented using QPSK, 16QAM, and 64QAM modulation orders, the SNR range is [0, 5, ..., 40] dB. In addition, the performance evaluation is performed according to the employed modulation orders, the mobility scenarios, and variable frame length.

## A. SBS CHANNEL ESTIMATION

## 1) MODULATION ORDER

For QPSK modulation order, we can notice from Fig. 6a that FNN-based channel estimators can implicitly learn the channel frequency correlation apart from preventing a

high demapping error arising from conventional DPA-based

| Channel<br>model | Channel<br>taps | Vehicle velocity<br>[kmph] | Doppler<br>shift [Hz] | Average path gains [dB]   | Path delays [ns]  |
|------------------|-----------------|----------------------------|-----------------------|---|---|
| VTV-UC           | 12              | 45                         | 250                   | [0, 0, -10, -10, -10, -17.8, -17.8,<br>-17.8, -21.1, -21.1, -26.3, -26.3]   | [0, 1, 100, 101, 102, 200, 201, 202, 300, 301, 400, 401]    |
| VTV-SDWW         | 12              | 100-200                    | 500-1000              | [0, 0, -11.2, -11.2, -19, -21.9, -25.3,<br>-25.3, -24.4, -28, -26.1, -26.1] | [0, 1, 100, 101, 200, 300, 400,<br>401, 500, 600, 700, 701] |

TABLE 2. Characteristics of the employed channel models following jake's doppler spectrum.

estimation, where STA-FNN and TRFI-FNN outperform conventional STA and TRFI estimators by at least 15 dB gain in terms of SNR for  $BER = 10^{-3}$ . However, STA-FNN suffers from an error floor beginning from SNR = 20 dB, particularly in very high mobility scenarios. This is due to the STA frequency and time averaging operations that can alleviate the impact of noise and demapping error in low SNR regions. On the other hand, the averaging operations are not useful in high SNR regions since the impact of noise is low, and the STA averaging coefficients are fixed. Therefore, TRFI-FNN is used to improve the performance at high SNRs to compensate for the STA-FNN performance degradation in the high SNR regions. We can clearly observe that employing RNNs as a pre-processing unit rather than a simple FNN in the channel estimation brings a significant improvement in the overall performance. This is because RNNs are capable of efficiently learning the time correlations of the channel by taking the advantage of the previous output apart from the current input in order to estimate the current output. Even though the recently proposed LSTMbased estimators are able to outperform the FNN-based estimator, but using LSTM in the channel estimation is not the best option, due to LSTM long-term memory problem. In contrast, we can notice that the proposed GRU-DPA-TA estimator is able to outperform the LSTM-DPA-TA estimator by around 6 dB gain in terms of SNR for BER =  $10^{-5}$ , especially, in very high mobility scenario. This is due to the fact that LSTM employs long-term memory, thus, the current estimated channel is affected by older estimated ones. This process harms the performance as the mobility increases, and the channel at successive received OFDM symbols becomes uncorrelated. Whereas, the GRU uses shorter memory than LSTM, Thus, leading to the superiority of the proposed GRU-DPA-TA estimator in comparison with the LSTM-DPA-TA estimator. However, we can notice that in low mobility scenario, both LSTM-DPA-TA and GRU-DPA-TA estimators achieve almost similar performance. This is because of the negligible impact of Doppler interference in low mobility scenario, thus, the channels at successive symbols within the received OFDM frame are highly correlated. So, considering long or short memory while estimating the current channel will not lead to considerable performance degradation. Concerning 16QAM and 64QAM modulation orders, the proposed GRU-DPA-TA estimator outperforms the LSTM-DPA-TA estimator by more than 5 dB and 7 dB gains in terms of SNR for BER =  $10^{-4}$  and BER =  $10^{-3}$ , respectively, in very high mobility scenarios, as illustrated in Fig. 6b and Fig. 6c. However, it can be noticed that FNN-based channel estimators suffer from severe performance degradation when 64QAM modulation is employed. This is because of the remarkable accumulated DPA demapping error that cannot be eliminated by simple FNN architectures. A nice observation can be noticed from Fig. 6 where employing SRNN in the channel estimation performs similarly to the LSTM-FNN-DPA estimator in all mobility scenarios. This reveals that using SRNN combined with TA processing records similar performance as LSTM combined with FNN. In other words, the performance degradation caused by the LSTM long-term memory is compensated by the FNN network in the LSTM-FNN-DPA estimator. However, SRNN unit can be used instead to eliminate the LSTM longterm memory problem as well as mitigating the noise by simple TA processing as the case in the SRNN-DPA-TA estimator.

Fig. 7 illustrates the throughput of the studied SBS channel estimators employing QPSK modulation. It can be seen that the proposed RNN-based channel estimators perform higher throughput than conventional and FNN-based channel estimators, especially in low SNR regions. This is due to the accurate channel prediction.

#### 2) MOBILITY

The impact of mobility can be observed in Fig. 6. The performance behavior is influenced by the following factors: (i) channel estimation error, (ii) time diversity due to increased Doppler spread, since the Doppler spread and the time diversity gain are proportional, i.e. more time diversity gain can be obtained in very high mobility scenarios, and (iii) frame length. As shown in Fig. 6, where the frame length is fixed (I = 100), the performance of all the studied channel estimation schemes degrades with the increase of mobility. This is because the channel estimation error increases with the increase of Doppler frequency. Moreover, we can notice that the conventional STA and TRFI channel estimators suffer from severe performance degradation in the very high mobility scenario, since the impact of the AWGN noise and DPA demapping error is much more dominant than the time diversity gain. On the contrary, the time diversity gain is dominant in DL-based channel estimators, since DL networks are capable of reducing the channel estimation error resulting from the AWGN noise and the DPA demapping

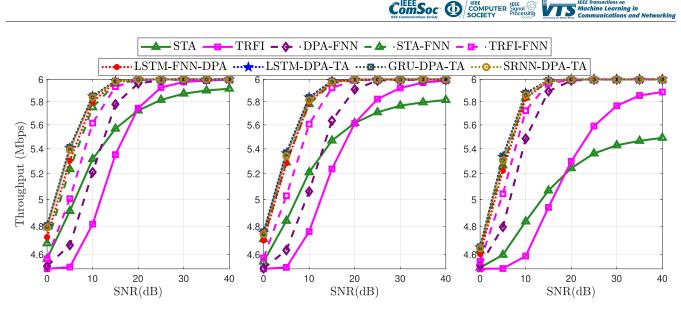


FIGURE 7. Throughput employing QPSK, mobility from left to right: low, high, very high.

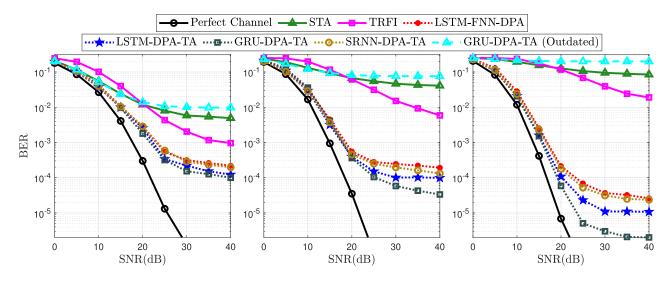


FIGURE 8. BER employing very high mobility and QPSK, frame length from left to right: I = 10, I = 50, I = 100.

error, leading to a performance improvement in very high mobility scenarios. Note that the net time diversity gain is also related to the employed frame length, since increasing the frame length increases the time diversity gain. This is clearly illustrated in Fig. 8, where QPSK modulation order with very high mobility is utilized. As we can notice, the performance of the proposed RNN-based channel estimators improves when a longer frame length is employed. It is worth mentioning that, the proposed GRU-DPA-TA and LSTM-DPA-TA estimators perform similarly when I = 10, since in shorter frames the impact of long and short-term memory cannot be clearly illustrated. On the contrary, when using longer frames, i.e, I = 50 and I = 100, we can notice the superiority of using the GRU-based estimator instead of the LSTM-based one.

In order to further illustrate the importance of channel tracking, Fig. 8 shows the performance of the proposed

GRU-DPA-TA channel estimator when the outdated estimated channel is used. In this context, the received OFDM symbols are equalized by the DL-based estimated channel at the beginning of the frame. As shown in Fig. 8, equalizing by the outdated estimated channel significantly degrades the performance even when shorter frames are employed. Therefore, this shows the importance of applying channel tracking to guarantee good performance in different mobility scenarios.

Fig. 9 illustrates the robustness of the proposed GRU-DPA-TA channel estimator in addition to the LSTM-DPA-TA and SRNN-DPA-TA channel estimators against the change in Doppler frequency, where QPSK modulation is employed. RNN training is performed on one Doppler frequency and tested on the entire range of Doppler frequencies. In this context, the entire range of Doppler frequencies is divided into 3 ranges: (i) Low mobility (0 Hz - 300 Hz), (ii)

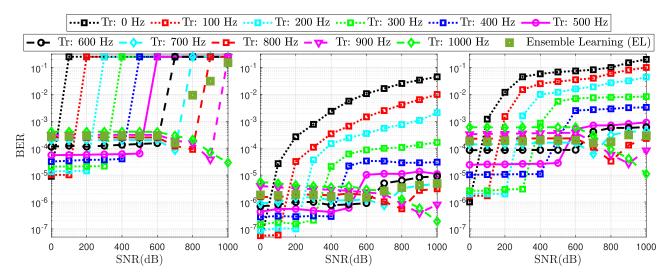


FIGURE 9. Robustness against Doppler frequencies, SNR = 40 dB. RNN from left to right: SRNN, GRU, LSTM.

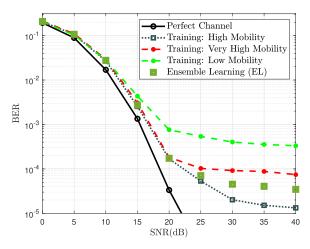


FIGURE 10. Robustness analysis of the proposed GRU-DPA-TA channel estimator employing QPSK modulation.

High mobility (300 - 600 Hz), and (iii) Very high mobility (600 Hz - 1000 Hz). As we can notice, training on one Doppler frequency and testing on the same one gives the best performance. However, training on the highest Doppler frequency within each range shows a satisfactory performance when tested on different Doppler frequencies within the considered range. This can be explained by the fact that when the model is trained on the worst conditions, i.e., high Doppler frequency, it can perform well when tested on better conditions, i.e., low Doppler frequency. However, the opposite does not work, especially, in the case of SRNN-DPA-TA channel estimator which suffers from severe performance degradation when the trained model is tested on higher Doppler frequencies due to its simple architecture. Similarly, for the LSTM-DPA-TA channel estimator but with smoother degradation. Therefore, training on lower Doppler frequencies, (for example,  $f_d = 250$  Hz) and testing on higher Doppler frequencies lead to a severe performance

| TABLE 3  | QuaDRiGa car-to-car | channel | model   | narameters  |
|----------|---------------------|---------|---------|-------------|
| IADLE 3. |                     | CHAINE  | IIIUuei | Darameters. |

| Parameter     | Car 1                        | Car 2         |
|---------------|------------------------------|---------------|
| Track         | Linear, 250 m                | Linear, 200 m |
| Speed         | 100 km/hr                    | 80 km/hr      |
| Antenna       | Dipole                       | Dipole        |
| Channel Model | 3GPP_38.901_UMi (LOS & NLOS) |               |

degradation which is expected since the model is trained in the absence of Doppler interference.

On the other hand, the proposed GRU-DPA-TA channel estimator provides better robustness in comparison to the other RNN-based channel estimators due to its ability to learn the time correlation efficiently using its short memory. It is worth mentioning that, further model generalization can be achieved by using the concept of ensemble learning (EL) in case the velocity range is not known, where the weights of several trained models can be averaged in order to produce one generalized model as shown in Fig. 10, where the model is trained on Doppler frequency and tested on different Doppler frequencies considering the entire SNR range. We note that in Fig. 9, the EL results are obtained by averaging the weights of the trained models on 700 Hz, 800 Hz, and 900 Hz. Therefore, the performance of the trained models can be generalized according to the requirements of real-time applications.

## 3) PERFORMANCE EVALUATION USING QuaDRiGa CHANNEL MODEL

The QuaDRiGa channel model is used in order to further investigate the robustness of the proposed GRU-DPA-TA channel estimation scheme. We consider a Car-to-Car channel model with the dual mobility feature [38] (Section 4.14). In this scenario, we have two vehicles moving toward each

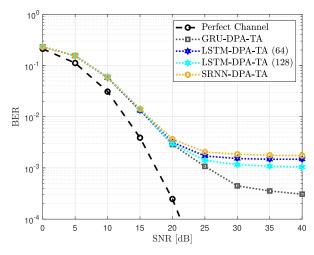


FIGURE 11. BER performance employing QuaDRiGa channel model. I = 100 employing QPSK modulation.

other on linear tracks considering the setup parameters as shown in Table 3. QPSK modulation with I = 100 OFDM symbols per frame.

Figure 11 shows the BER performance of the RNN-based channel estimators. Performance degradation is noticed in comparison to the VTV-SDWW channel model, Fig. 6. This is due to simulating the large-scale fading effects, i.e., the shadowing and the path loss in the QuaDRiGa channel model. However, the proposed GRU-DPA-TA channel estimator outperforms the other RNN-based channel estimators by at least 5 dB gain in terms of SNR for a BER =  $10^{-3}$ . Again, the long memory of the LSTM shows a negative impact on the BER performance, unlike the proposed GRU-based estimator. We note that employing LSTM unit with a larger hidden size leads to a marginal performance improvement in comparison to the optimized LSTM architecture. Therefore, the proposed GRU-DPA-TA channel estimator provides a good performance-complexity trade-off.

## **B. FBF CHANNEL ESTIMATION**

In this section, performance evaluations of the CNN-based estimators, conventional 2D LMMSE estimator as well as the proposed Bi-RNN based channel estimator are discussed using the same criteria as Section V-A. We note that we only consider the ALS-WI-CNN among the WI-CNN estimators since it has the best performance.

#### 1) MODULATION ORDER

Fig. 12a and Fig. 12b depict the BER performance employing QPSK and 16QAM modulation orders, respectively. The performance of channel network (ChannelNet) and temporal spectral ChannelNet (TS-ChannelNet) accounts of the predefined fixed parameters in the applied interpolation scheme, where the RBF interpolation function and the ADD-TT frequency and time averaging parameters need to be updated in a real-time manner. On the contrary, in the

ALS-WI-CNN estimator there are no fixed parameters, and the time correlation between the previous and the future pilot symbols is considered in the WI interpolation operation. These aspects lead to the performance superiority of the ALS-WI-CNN compared to the ChannelNet and TS-ChannelNet estimators. Although CNN processing is applied in the ChannelNet, TS-ChannelNet, and ALS-WI-CNN estimators, they suffer from a considerable performance degradation that is dominant in very high mobility scenario. This show that the CNN processing is not able to effectively alleviate the impact of Doppler interference, especially in very high mobility scenarios, where the proposed Bi-RNN based channel estimation scheme outperforms the WI-ALS-CNN estimator by at least 5 dB and 12 dB gain in terms of SNR for a BER =  $10^{-5}$  employing OPSK and 16OAM modulations, respectively. We note that the robustness of the proposed Bi-RNN based channel estimator against high mobility is mainly due to the accuracy of the end-to-end 2D interpolation implemented by the utilized Bi-GRU unit. Moreover, we can see that employing Bi-LSTM performs similarly to the ALS-Bi-GRU estimator, this is due to the used frame structure, where the variation of the doubly-selective channel within each sub-frame is low. However, it can be noticed that employing CNN performs better than the Bi-SRCNN unit in low and high mobility scenarios, while using Bi-SRCNN unit leads to around 2 dB gain in terms of SNR for a BER =  $10^{-4}$  in comparison with the ALS-WI-CNN estimator in very high mobility scenario as shown in Fig. 12. As a result, we can conclude that employing Bi-GRU unit instead of CNN network leads to more accurate channel estimation with lower complexity. Finally, we note that the performance of the 2D-LMMSE estimator is comparable to the performance of the ideal channel but it requires huge complexity as we discuss in the next section, which is impractical in a real scenario. Moreover, the proposed Bi-RNN based estimator records almost close performance as the 2D-LMMSE estimator. Therefore, the proposed Bi-RNN based channel estimator is an alternative to the 2D-LMMSE estimator where it provides a good performance-complexity trade-off.

## 2) MOBILITY

The impact of mobility can be clearly observed in Fig. 12b, where the performance of the ChannelNet and TS-ChannelNet channel estimation schemes degrades as the mobility increases, and the impact of the time diversity gain is not dominant due to the high estimation error of the 2D RBF and ADD-TT interpolation techniques employed in the ChannelNet and TS-ChannelNet estimators, respectively. In contrast, the time diversity gain is dominant in the ALS-WI-CNN and the proposed Bi-RNN based channel estimator, since the initial ALS and WI estimations are accurate, thus, the SR-CNN and DN-CNN networks are capable of overcoming the Doppler interference. However, using the ALS estimation at the pilot symbols followed by Bi-GRU unit for 2D interpolation at the data symbols

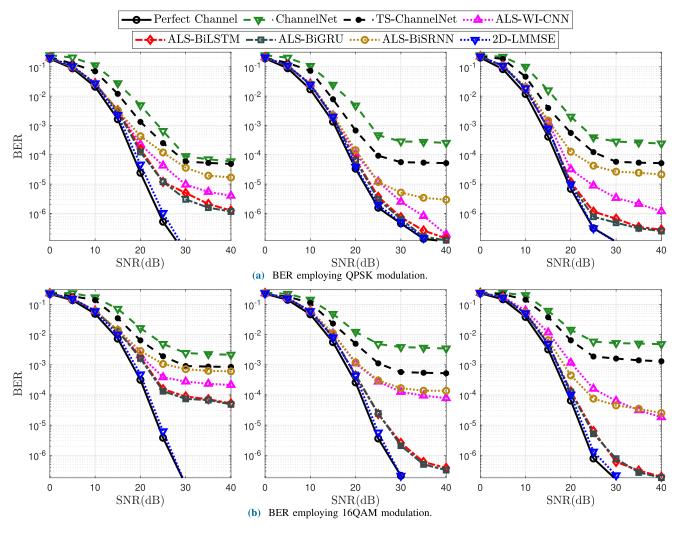


FIGURE 12. BER for I = 100, mobility from left to right: low, high, very high.

reveal considerable robustness against mobility. This is due to the ability of the optimized Bi-GRU unit to significantly alleviating the impact of Doppler interference, where it can be noticed that the proposed Bi-RNN estimator is able to outperform the ALS-WI-CNN estimators in different mobility scenarios. As a result, the proposed Bi-RNN based channel estimator provides a good performance-complexity tradeoff between the CNN-based estimators and 2D-LMMSE estimator.

## VI. COMPUTATIONAL COMPLEXITY ANALYSIS

This section provides a detailed computational complexity analysis of the studied channel estimation schemes. The computational complexity analysis is performed according to two criteria: (*i*) The number of FLOPS required by the DL architecture used in each channel estimator.<sup>2</sup> (*ii*) The

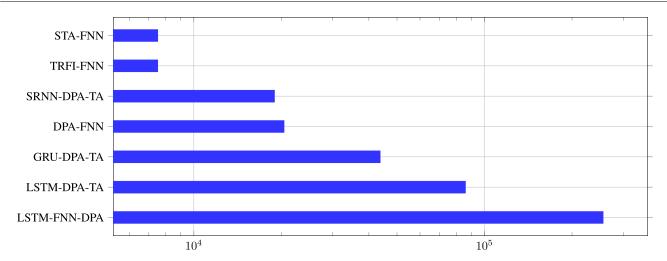
VOLUME 2, 2024

execution time, where the simulations are performed on NVIDIA TITAN Xp GPU card (12 GB GDDR5X).

## A. SBS CHANNEL ESTIMATION

Table 4 shows the FLOPS and the GPU execution time of the studied SBS channel estimation schemes. We can notice that the FNN-based channel estimation schemes, especially, STA-FNN and TRFI-FNN record the lowest number of FLOPS and execution time due to their optimized FNN architectures in comparison to other schemes. Employing both LSTM and FNN networks requires 255.84 kFLOPS as the case in the LSTM-FNN-DPA channel estimation scheme. Moreover, 86.01 kFLOPS are required by the LSTM-DPA-TA channel estimator. This significant decrease in the number of FLOPS is due to optimized LSTM architecture as well as using only one DL network in the channel estimation. However, the proposed optimized GRU-DPA-TA estimator is able to decrease the required number of FLOPS by around 82% and 50% compared to the LSTM-FNN-DPA and LSTM-DPA-TA channel estimators, respectively. In other words, the

<sup>&</sup>lt;sup>2</sup>We note that the number of FLOPS are calculated using the pytorch-OpCounter package [39].





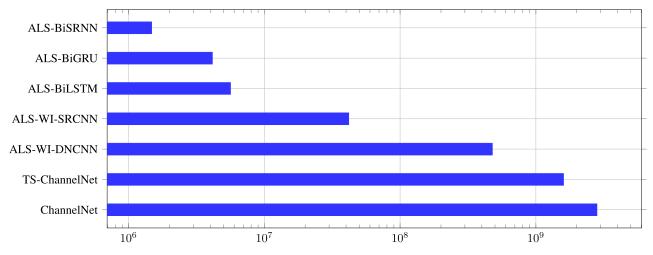


FIGURE 14. FLOPS of the studied DL-based FBF channel estimators.

proposed GRU-DPA-TA estimator is 2x less complex than the LSTM-DPA-TA estimator, at the same time, it achieves a significant BER performance gain as well as recording a practical execution time.

We would like to mention that the number of FLOPS can be further reduced by using the SRNN-DPA-TA channel estimator since it is 2x less complex than the GPU-DPA-TA channel estimator. However, the RNN-DPA-TA estimator performs similarly to the LSTM-FNN-DPA estimator as shown in Section V. Finally, we note that a trade-off between the desired performance and the accepted complexity should be taken into account, in order to optimize the use of the RNN-based channel estimators. Fig. 13 shows the required FLOPS by various examined SBS channel estimators.

## **B. FBF CHANNEL ESTIMATION**

Table 5 shows the FLOPS and the GPU execution time of the studied FBF channel estimation schemes. We can notice that

| IABLE 4. FLOPS and GPU execution time of the studied SBS |
|--|
| channel estimation schemes.                              |
|  |

| Channel estimation<br>scheme | FLOPS    | Execution time |
|------------------------------|----------|----------------|
| STA-FNN                      | 7.52 K   | 0.12 ms        |
| TRFI-FNN                     | 7,52 K   | 0.12 ms        |
| DPA-FNN                      | 20.44 K  | 0.25 ms        |
| LSTM-FNN-DPA                 | 255.84 K | 0.55 ms        |
| LSTM-DPA-TA                  | 86.01 K  | 0.42 ms        |
| GRU-DPA-TA                   | 43.77 K  | 0.34 ms        |
| SRNN-DPA-TA                  | 18.95 K  | 0.23 ms        |

the CNN-based channel estimation schemes do not provide a good complexity vs. performance trade-off since a high number of FLOPS is required. In contrast, the complexity

TABLE 5. Flops and GPU execution time of the studied FBF channel estimation schemes.

| Channel estimation<br>scheme | FLOPS    | Execution time |
|------------------------------|----------|----------------|
| ALS-BiSRNN                   | 1,48 M   | 16 ms          |
| ALS-BiGRU                    | 4.15 M   | 32 ms          |
| ALS-BiLSTM                   | 5.64 M   | 36 ms          |
| ALS-WI-SRCNN                 | 41.89 M  | 52 ms          |
| ALS-WI-DNCNN                 | 478.85 M | 72 ms          |
| TS-ChannelNet                | 1.6 G    | 83 ms          |
| ChannelNet                   | 2.82 G   | 100 ms         |

is significantly decreased by the proposed ALS-BiGRU channel estimator which is 10x and 115x less complex than the ALS-WI-SRCNN and the ALS-WI-DNCNN estimators, respectively. Therefore, we can conclude that employing the proposed optimized Bi-GRU network instead of CNN networks in the channel estimation is more efficient, and at the same time, it offers better performance and feasible execution time. Fig. 14 illustrates the FLOPS of the studied CNN-based FBF channel estimators.

#### **VII. CONCLUSION AND FUTURE PERSPECTIVES**

In this paper, RNN-based channel estimation in doublyselective environments has been investigated. The recently proposed DL-based SBS and FBF channel estimators have been presented and their limitations have been discussed. In order to overcome these limitations, we have proposed optimized RNN-based and Bi-RNN estimators for SBS and FBF channel estimation respectively. Moreover, the performance of several RNNs architectures including SRNN, LSTM, and GRU has been thoroughly analyzed based on the channel correlation within the received frame. Moreover, we show that the proposed GRU and Bi-GRU units result in a better performance-complexity trade-off in different mobility scenarios. Simulation results have shown the performance superiority of the proposed channel estimators over the recently proposed DL-based SBS and FBF estimators while recording a significant reduction in computational complexity and execution time. As a future perspective, advanced DL algorithms such as transfer and meta-learning can be investigated in order to further improve the online performance of the proposed channel estimators and their generalization capabilities. Moreover, working on interpretable and explainable theoretical DL models is a crucial future step that would ensure the reliability and transparency of employing DL networks in the domain of wireless communications [40], especially, channel estimation, where the intuitions behind our proposed work can be further validated.

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