







Sectorized FMCW MIMO Radar by Modular Design With Non-Uniform Sparse Arrays

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ABSTRACT Automotive radars are designed to enhance road user safety and reduce the number of accidents on public roads and there is a constant demand to improve the performance for these radars. In this paper, a novel proof-of-concept multiple-input multiple-output (MIMO) radar architecture is presented by frequency modulated continuous waveform (FMCW) transmission. For enhanced angular resolution, the radar uses a two-tier antenna setup leading to a sparse array arrangement, mainly in an effort to mitigate grating lobes and to offer different illuminations of the same scenario. Also, the experimentally verified sparse radar antenna designed for target detection at the receiver, achieves modest sidelobe levels and grating lobes well below 12 dB from the main beam maximum, whilst still maintaining competitive half-power beamwidths when compared to more conventionally spaced arrays. Moreover, the high impedance bandwidth of this receiver array and the supporting radar electronics (more than 6%) allows for the detection of targets at only a 10 cm spatial separation. The complete system is also capable of seeing a wide field-of-view (FOV) since it utilizes a network of radar modules to cover the forward -90° to $+90^\circ$ angular range by sectorization. In the best case, the measured radar system can resolve targets that are a distance of just $\pm 2^\circ$ apart in angular separation.

INDEX TERMS Automotive radar, antenna systems, array design, millimeter-wave, MIMO, radar antennas, radar subsystem.

I. INTRODUCTION

At the beginning of 2020, autonomous vehicle markets have been globally expanding, with novel technology-ready solutions being investigated in order to make autonomous driving safer and more reliable. Extensive efforts have been made by government and industry to promote intelligent mobility in recent years. According to [1], between 2014 to 2018, £120 million has been invested in the United Kingdom for research into connected and autonomous vehicle (CAV) applications.

Moreover, it is estimated that the total global CAV sector will be worth £906 billion by 2035 [2].

In order to make autonomous technology a reality, detection of objects and vehicles requires accurate and a real-time response. At the time of writing, self-driving cars still have to fill a technological gap in order to be legally approved for road use, hence many works found in the literature approach the problem of radar resolution [3]–[7]. The discussions focus on both azimuth and range resolution while maximising the

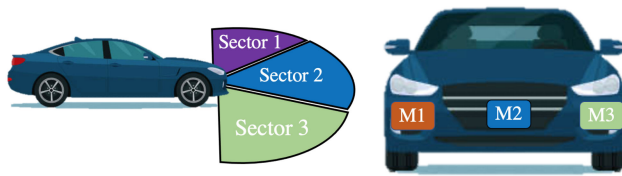


FIGURE 1. Sectorized radar concept using multiple radars across the front bumper of an automobile, where the forward $\pm 90^\circ$ FOV is sub-divided into three 60° sections or sectors. These multiple RMs for each section are labelled $M1$, $M2$, $M3$ on the bumper (see right).

angular span, also termed as the field-of-view (FOV). These high performance radars are made innovative with techniques including but not limited to beamforming, antenna engineering, target detection algorithms, orthogonal transmission, and clever RF front-end design.

A single radar system with high angular resolution implies a large antenna front-end. Depending on the carrier frequency, car manufacturing requirements and space available on the vehicle, the designer has to restrict the size of the radar front-end to specific dimensions. Our proposed radar architecture for proof-of-concept, which is an extension of the work presented in [8], is to employ repeated radar units for concurrent operation enabling a collective radar system by frequency modulated continuous waveform (FMCW) transmission. The novelty consists of the use of sparse antenna arrays designed through a tiling method proposed in this paper.

This sectorized approach means that the forward ($\pm 90^\circ$) and complete angular radar spectrum is covered. Basically, the approach illuminates specific sectors of the FOV by assigning multiple radar modules (RMs) to the three 60° sectors (see Fig. 1), which are individually monitored by a sub-radar with 32-elements. These radars, for each sector, also use multiple-input multiple-output (MIMO) virtual array principles to define the effective receivers. This collective system arrangement offers improved functionality and radar performance when compared to the individual RMs, which can only cover a confined FOV, as well as more conventional radar design strategies.

We define our radar antenna system as a sparse array because we are able to reduce the number of elements for the aperture length from 47 elements to only 32 elements, achieving a 32% element reduction. As further described in the paper, these 32 elements monitor a well-defined FOV using multiple RMs. Our approach can also reduce costs due to the scalability and repetition of the required RM hardware and radar antennas. This makes the system maintenance and repair simpler in that individual RMs can be replaced or fixed, rather than the entire radar system itself. This modular or networked design strategy also fosters low-cost mass production of the RMs rather than the assembly and manufacturing of an equivalent non-discrete or non-tiled radar; i.e. a more complete or classic radar system.

By considering the appropriate scheduled signal processing for the individual RMs, all transmission line traces are kept at

a minimum electrical length, reducing losses and noise susceptibility. This would not be possible for an equivalent and more standard (or larger scale) radar architecture. In addition, the monolithic microwave integrated circuits (MMICs) connected to the antenna front-ends can be physically separated (in practice discretely encapsulated for example) to reduce electromagnetic coupling between radar electronics. These design considerations also support easy maintenance of the relevant radar hardware.

The problem of grating lobes and possible false targets are also mitigated in our radar system by the implementation of a MIMO radar receiver made possible by sparse array theory [9]–[11]. Following these works, we define our MIMO sparse array as a radar antenna formed of transmitters and receivers which cooperatively combine several illuminations of the target for a larger effective aperture (or virtual array). Moreover, to study this for the developed radar system, a static $\lambda/2$ -spaced receiver was designed for the RMs using substrate integrated waveguide (SIW) technology. Then, the required channels connected to the antenna elements were appropriately selected. In particular, during the radar signal processing of the simulated and measured system, the antenna elements (and the corresponding RF channels) that contribute to the grating lobes were suppressed and mitigated. It is shown in the paper that the overall radar system performance can benefit from this MIMO sparse array approach whilst preserving a large antenna aperture for the equivalent virtual receiver array. Comparisons are also made in the paper for the radar system response when considering more conventional $\lambda/2$ -spaced and λ -spaced radar receivers.

It should be made clear that the inter-element spacing for the receiver SIW antennas plays an important role in the effective virtual antenna aperture for the radar as well as the accuracy in the radar response. This is because grating lobes hinder the performance of the radar by generating false targets when the receiver inter-element spacing is equally spaced at a distance greater than half of a wavelength. To solve this problem, the aforementioned non-uniform spacing at the SIW receiver is introduced and combined with MIMO time domain transmission to obtain a clear angular target estimation for the forward FOV.

This is made possible by installing a network of multiple RMs at different angles on the vehicle bumper considering the adopted scenario for automotive applications (see Fig. 1). Moreover, by employing this sparse array method whilst using a fixed inter-element spacing in multiples of half-wavelengths, due the developed SIW antenna design, the element (and RF channel) selection which dictates the receiver array pattern can be made less complex. This is because the total possible number of arrangements for the array is significantly reduced when compared to a more standard sparse array which might have non-uniform spacing. Similar conclusions were observed in [9] which uses a three transmitter, four receiver antenna configuration.

It should be mentioned that better radar system performance is possible if the receiver spacing is not limited

TABLE 1. Comparison of State-of-the-Art Radar Systems (Measurements) as Reported in the Literature

Radar	Carrier Frequency	Target Estimate Algorithm	Antenna Type	Equivalent Uniform Linear Array	Array Element Spacing	Receiver Percentage Bandwidth	Range Resolution	FOV ¹	Θ_{3dB}	Time (ms)	SLL (dB) ²
[3]	76.5-77 GHz	Analog Beamforming	Microstrip Arrays	32	0.6 λ	5.2% (4 GHz)	3.75 cm	$\pm 50^\circ$	5.5° to 7.0°	100	-15
[12]	120 GHz	MIMO Digital Beamforming	Circular Patch Antennas	16 (= 2 \times 8)	Sparse Non-uniform	4.1% (5 GHz)	3 cm	30°	8.5°	100	-8
[13]	61 GHz	Digital Beamforming	Scalable TRX MIMO radar	4 (= 2 \times 2)	2 λ	8.2% (5 GHz)	3 cm	$\pm 15^\circ$	6°	-	-11.8
[6]	75-77 GHz	MIMO Digital Beamforming	Differential Microstrip Arrays	16 (= 4 TRX modules)	Non-uniform	2.6% (2 GHz)	7.5 cm	$\pm 81^\circ$	3.7 – 6.8°	-	-13
[14]	79 GHz	MIMO Digital Beamforming	Planar SIW Antenna Arrays	8 (= 2 \times 4)	1.75 λ	1.2% (1 GHz)	15 cm	$\pm 30^\circ$	7°	-	-20
[15], [16]	79 GHz / 150 GHz / 300 GHz	DBS / SAR	Horn Antennas	2 RX	-	6.3% / 3.33% / 1.67% (5 GHz)	3 cm	$\pm 40^\circ$	1.2° at $v = 0.25$ m/s	-	-12
This work	24 GHz	MIMO Digital Beamforming with Sectorization	Planar SIW Antenna Arrays	32 (= 4 \times 2 \times 4)	Sparse Non-uniform	6.25% / 1.5 GHz	10 cm	$\pm 90^\circ$	4.4° (Tier 1) ³ 2.2° (Tier 2) ⁴	30	-8 ⁵

¹Measured field-of-view (FOV).²side-lobe-level (SLL) for the complete radar system.³uniform array configuration.⁴non-uniform sparse array configuration, and⁵observed worst case (as will be further described in the paper, measured values range from -8 dB to -13 dB).

to multiples of half-wavelengths, hence some methods of discovering these positions can be carried out with simulated annealing as in [9]. However, the method applied in our paper has the advantage of finding the approximate solution by following a heuristic approach, which conforms with the largest aperture size possible for the virtual array whilst not degrading radar system performance. As further discussed in the paper, our methodology also supports a two-tier or dual-mode detection scheme using a network of RMs for specific FOVs. The first detection is carried out considering $\lambda/2$ - or λ -spaced receivers, and then, secondly, reconfigured for more accurate detection considering a sparse or non-uniformly spaced array. Then the angular target estimation response is computed from the relevant receivers considering the achieved MIMO virtual array.

The paper is organized as follows. Sections II discusses the state-of-the-art for automotive radar while Section III

presents the mechanisms of FMCW radar, its general structure and our proposed architecture. Section IV outlines the developed millimeter-wave radar system. Section V discusses the performance of the transmit and receive SIW antennas for the radars. Section VI presents the experimental setup for the radar, and the results of the measurement data. Section VII concludes the findings of this paper.

II. STATE OF THE ART AND RADAR DESIGN MOTIVATIONS

A number of other radar solutions have been reported in literature for high angular resolution and are summarized in Table 1. The work presented in [3] used analog beamforming with 2 transmitter and 16 receiver elements (vertically polarised) defining the radar antenna front-end. While a range resolution of 2.5 cm was achieved with a 5.2% impedance matching bandwidth and minimal beam squinting, the FOV only covers $\pm 50^\circ$. The half-power beamwidth (Θ_{3dB}) ranges

between 5.5° to 7° . In [12], a 120 GHz compact radar system was presented to show that it is possible to employ integrated circular antennas to achieve a high range resolution, whilst adopting a 5 GHz radar bandwidth. Furthermore, in [13], it was shown possible that different transmit-receive (TRX) architectural blocks can be configured and spaced appropriately to achieve better target detection when compared to more conventional MIMO strategies.

The work presented in [4] used quasi-optical elements to form a large aperture which produced a Θ_{3dB} of 4° with only 4 quartz glass resonator antennas. However, the FOV covered was only $\pm 30^\circ$, a configuration suitable for long-range radars (LRRs). An ultra-wide band short-range radar (SRR) sensor was presented in [14] for operation at 79 GHz. That radar was configured using substrate-integrated waveguide (SIW) antennas allowing for increased gain, broad FOV and narrow beams. Also, by using a combination of pulse compression methods and digital beamforming, the radar was able to detect objects up to 90 m, with a range resolution of 10 cm, a FOV of $\pm 35^\circ$, and an angular target resolution of 7° . Recently, works have also been carried out in the low-terahertz frequency range. This is because research has shown that absorption losses between 100 GHz and 900 GHz does not exceed 3 dB/km, hence detection ranges up to 200 m are achievable [17]. For example, high resolution images have been obtained with a 1.2° angular resolution (see [15], [16]) where increased frequencies allowed for larger bandwidths and thus improved range resolution. In addition, novel processing techniques such as Doppler beam shaping (DBS) have been adopted from aerospace engineering principles for advanced imaging techniques [15].

To compliment and advance these findings our newly proposed radar system in this paper uses SIW antennas with non-uniform element spacing which further develops our preliminary results as presented in [8]. This antenna selection and its distinctive features, along with the radar electronics which offer high bandwidth, provides significant benefits as compared to other radars (see Table 1) in terms of FOV, the angular resolution (i.e. Θ_{3dB}) for the radar, and the detection time. More specifically, we develop and examine in this paper a 24 GHz radar system prototype using three different sectors to divide the complete FOV (also defined herein as a sectorized radar) as illustrated in Fig. 1 for automotive applications (for example), but can also be applied to other scenarios. The system is capable of refined angular resolution due to the developed two-tier detection procedure by considering sparse arrays to achieve better angular resolution, and multiple radar modules (RMs) for a larger effective FOV. Also, the use of the designed SIW antennas offers a broad impedance matching bandwidth to achieve high range resolution. Using these multiple RMs to monitor the FOV, the system architecture allows for several sectors to be illuminated to obtain a $\pm 90^\circ$ image while also achieving an angular resolution of 2.2° and a range resolution of 10 cm.

The 24 GHz frequency band was chosen because the radar hardware as well as the supporting MMICs are commercially

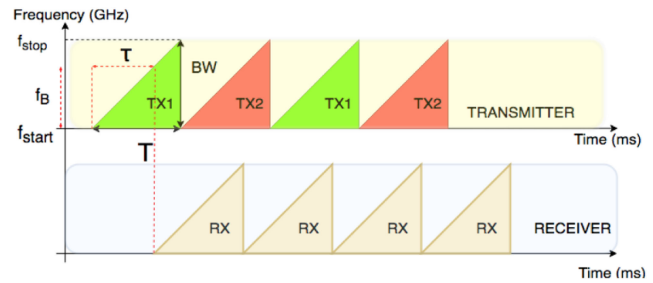


FIGURE 2. Frequency modulated continuous wave (FMCW) transmission showing a two transmitter MIMO antenna system with time domain multiple access.

available. This offers simple integration, low-cost implementation for research and development, and proof-of-concept demonstration for our proposed radar system architecture. More specifically, the ultra-wide band (UWB) range for automotive radars have been used in this work for testing the proof-of-concept demonstrator. The allocation of the 24 GHz ISM and UWB frequency bands are explained further in [18] and [19]. It should also be mentioned that a scaled-down version of the radar system, for operation at 77 GHz for example (or other microwave and millimetre-wave frequencies), is possible and can define future work. However, the motivation of the present research is development and study of the proposed MIMO radar system architecture using multiple RMs whilst employing sparse antenna arrays for enhanced angular resolution.

There are several challenges at 77 GHz as mentioned in [20] and [21]. Firstly, 77 GHz radars are smaller in size and packaging is very important at these frequencies since it can significantly contribute to the losses of the radar system. This is a performance penalty that needs to be considered during the radar design and hardware manufacturing. For example, some of the possible on-chip losses are described in [21] whilst considering several antenna-on-chip technologies. Another disadvantage for working at 77 GHz is the increased cost for the antenna manufacturing due to the required tolerances. This is because the size of an antenna design at 77 GHz is about three times smaller than one at 24 GHz whilst considering the wavelength. Lastly, these practical manufacturing tolerances may cause some inaccuracies, and it is possible that the actual antenna performance might be slightly different from its expected parameters which can degrade the radar system. For these reasons, the reported antenna and radar system was designed at 24 GHz, mainly, for proof-of-concept of the proposed radar system architecture.

III. FMCW RADAR SYSTEM PRINCIPLES

A. FMCW RADAR RANGING CONCEPTS

Frequency modulated continuous wave (FMCW) radars make use of chirps to identify targets located at a distance by analysis of the frequency difference between the transmitted and received signals (see Fig. 2). By down-mixing the transmitted and received signal, the resultant intermediate frequency

(IF) signal has the information of both range and speed of the target. This process is called target acquisition and range tracking [22].

The linear modulated waveform or a linear chirp is a signal which has a linear variation in frequency. To better understand the concept, a sinusoidal signal is taken [23]:

$$x(t) = A \sin(\phi(t)) \quad (1)$$

where A is the amplitude and $\phi(t)$ is the signal phase. The derivative of the phase is the instantaneous angular frequency [24]:

$$w(t) = \frac{d\phi(t)}{dt}, \quad w(t) = 2\pi f(t) \quad (2)$$

$$f(t) = \frac{1}{2\pi} \times \frac{d\phi(t)}{dt}; \quad (3)$$

The frequency rate or chirp rate is defined by the rate of change of the frequency [24]:

$$c = \frac{1}{2\pi} \times \frac{d^2\phi(t)}{dt^2} = \frac{df(t)}{dt}; \quad (4)$$

Since it is known that a linear frequency modulated signal has a linear frequency response over time [23], we can write that signal as:

$$f(t) = f_0 + kt \quad (5)$$

where f_0 is the starting frequency, k is the slope of the chirp and t is the time variable. Also, k is defined as:

$$k = \frac{f_1 - f_0}{T} \quad (6)$$

where f_1 is the stop frequency and T is the period to sweep between f_0 and f_1 . Since the frequency behaves linearly within the sweep, one is able to compute the phase of the chirp by taking the time integral of the frequency.

$$\begin{aligned} \phi(t) &= \phi_0 + 2\pi \int_0^t f(\tau) d\tau \\ &= \phi_0 + 2\pi \int_0^t (f_0 + k\tau) d\tau \\ &= \phi_0 + 2\pi \left(f_0 t + \frac{k}{2} \tau^2 \right) \end{aligned} \quad (7)$$

where the linear modulated waveform signal (or linear chirp) can be written as [23], [24]:

$$x(t) = \sin \left[\phi_0 + 2\pi \left(f_0 t + \frac{k}{2} \tau^2 \right) \right]; \quad (8)$$

Usually, the initial phase at $t = 0$ is zero. The chirp is therefore a frequency modulated (FM) signal which has a linear change of rate in the frequency domain.

B. ANGULAR TARGET LOCALISATION

The beamforming method, also called delay and sum (DAS) has been adopted in this paper as the main beamformer since it is a fast and simple method for target detection [25]. However, DAS has coarse angular resolution compared to adaptive

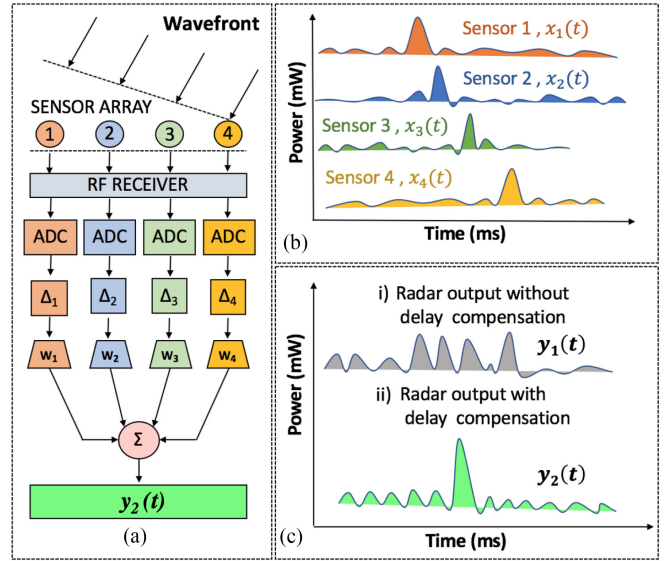


FIGURE 3. Receiver digital beamforming: (a) structure, (b) time domain signals, (c) radar beamforming returns without and with delay compensation caused by antenna inter-element separation.

beamforming methods or compressed sensing [25]. A complete review of automotive radar signal processing and beamforming methods such as MUSIC, MVDR and Compressive Sensing can be found in [26]–[29].

The process of narrowband beamforming consists of adding delays and weights to each of the receiver paths and is depicted in Fig. 3(a). The individual time domain signals at each RX antenna sensor, $x_i(t)$, can be observed in Fig. 3(b). After the collected time domain signals are sampled, they are then time shifted by a delay δ_i and weighting w_i according to the contribution of each sensor element. If the signals of each sensor are not delayed according to their position, the output of the beamformer can be determined according to the expression:

$$y_1(t) = \sum_{i=1}^N w_i x_i(t). \quad (9)$$

This result does not maximise the true position of the target [30] (see Fig. 3(c)). On the other hand, if the time delays δ_i due to antenna element spacing are considered, the output sum indicates the highest power received for that particular angle according to the expression [22]:

$$y_2(t) = \sum_{i=1}^N w_i x_i(t) e^{-j\omega \Delta_i} \quad (10)$$

Once this has been carried out, the resultant signals are measured at each scanning angle to combine the returns measured in the directions of interest.

The unambiguous FOV is defined as the angular space in which the radar is able to detect a target. For a radar system

this parameter is defined as [31]:

$$\Theta_{FOV} = \pm \sin^{-1} \left(\frac{\lambda}{2d} \right) \quad (11)$$

where λ is the wavelength and d is the uniform inter-element spacing of the receiver antenna elements. A widely accepted definition for radar angular resolution is not yet present in the literature [4], [32], however, there is a wide acceptance of the Rayleigh criterion metric. Here it is defined for both uniformly and non-uniformly spaced receiver arrays:

$$\Theta_{3\text{dB}} = \frac{180^\circ}{\pi} 1.22 \frac{\lambda}{d_1 + d_2 + \dots + d_N} \quad (12)$$

where d_1, d_2, \dots, d_N are the distances between each of the elements. The sum of all these distances equals the effective aperture of the receiver array.

C. SIMO & MIMO RADAR SYSTEMS

Single-input multiple-output (SIMO) radars are conventional radar configurations which have one transmitter and usually equally spaced receivers defined as a uniform linear array (ULA). Multiple-input multiple-output (MIMO) radars, on the other hand, can provide increased angular resolution for automotive detection systems because these radars can emulate an increased aperture size for the receiver antenna array [26]. MIMO systems also combine the advantage of using multiple reflections from transmit/receive paths. The targets are illuminated successively from different views, taking into account the positioning of the antenna sensors [29].

MIMO antennas also bring more spatial diversity. Emerging antenna designs provide beamsteering which can improve radar resolution in addition to the transmitted power distribution [33]. MIMO systems have also seen a proliferation among radars designed for automotive applications [34]–[36]. This is especially true due to the well-known principles behind MIMO processing which have been adapted from digital communication systems [33], [37], [38]. The advantage of using MIMO radar front-ends is also related to the increased illumination of the target for a defined FOV. At the same time, MIMO systems use spatial antenna distribution to increase the virtual aperture length by convolving the antenna signals. Furthermore, signal orthogonality is key to multi-antenna transmission systems, especially when concurrent transmission is taking place. The received signal strengths vary for different radar cross sections (RCS) of the targets, however increased aperture length and illumination from different positions makes target identification easier.

According to the MIMO theory described in [9], the positions of the N_{TX} transmitters, together with the positions of the M_{RX} receivers constitute an equivalent array, also termed the virtual array. The following steering vectors can be defined for this virtual array:

$$\mathbf{y}(\phi) = e^{i \frac{2\pi}{\lambda} (d^{Tx} \oplus d^{Rx})_u} \quad (13)$$

where d^{Tx} , d^{Rx} are the transmitter and receiver antenna element positions, and $d^{Tx} \oplus d^{Rx} (= d_1^{Tx} + d_1^{Rx}, d_1^{Tx} + d_2^{Rx}, \dots, d_N^{Tx} + d_M^{Rx})$ represents the antenna virtual array configuration based on the convolution of the transmitter and receiver antenna element positions. The aperture of this equivalent virtual array has a total number of $N_{TX} \times M_{RX}$ element positions. The advantage of using this MIMO configuration is the reduced sensor footprint and RF hardware requirement when compared to an equivalent uniform linear array of the same size. In general, it is expected that for an array of size $N_{TX} \times M_{RX}$ antenna sensors, the total physical area is reduced to only $N_{TX} + M_{RX}$ antenna sensors for the radar front-end.

D. MILLIMETRE-WAVE RADAR CONFIGURATIONS

In order to assess the benefit of using several modules together, the radar configurations have been simulated in MATLAB. The trialled radars and their configurations are illustrated in Fig. 4.

1) SINGLE-INPUT SINGLE OUTPUT (SISO) RADAR (FIG. 4(A))

This refers to the single transmit single receive radar configuration.

2) RADAR MODULE (FIG. 4(B))

This configuration is defined by two transmitters and four receivers defining an elementary MIMO radar module (RM).

3) MODULAR MIMO RADAR (FIG. 4(C))

This radar configuration uses a combination of four MIMO sub-modules; i.e. four distinct RMs where each RM is defined by two transmitters and four receivers. This defines the modular MIMO radar system to have 32 ($= 4 \times 2 \times 4$) virtual receiver antenna elements.

4) SECTORIZED MIMO RADAR FRONT-END (FIG. 4(D))

The final configuration is composed of three modular MIMO radars which are assigned in three sectors to cover the range from -90° to $+90^\circ$. Each sector is operating in the time domain with multiple access i.e. TDMA, to reduce inference between radars. An overview of signal processing schedule for the radar can be seen in Fig. 6. Other MIMO works, which do not consider sectorization, solve the problem of concurrent transmission using orthogonal frequency division multiplexing (OFDM) (see [39]–[41]).

When trying to determine the sensitivity of the sectorized radar system, it is useful to quantitatively compare the range detection capability of all configurations (see Fig. 4) with respect to the signal-to-noise ratio (SNR). By assuming that the modules are similar in configuration (with respect to the gains of the antennas and placement), the SNR of the sectorized radar case has a direct dependence on distances from the transmitters and receivers to each target.

A simulation in MATLAB has been developed to match configurations in Fig. 4 and to test the SNR performance with the radar equation. The results of the simulation are presented

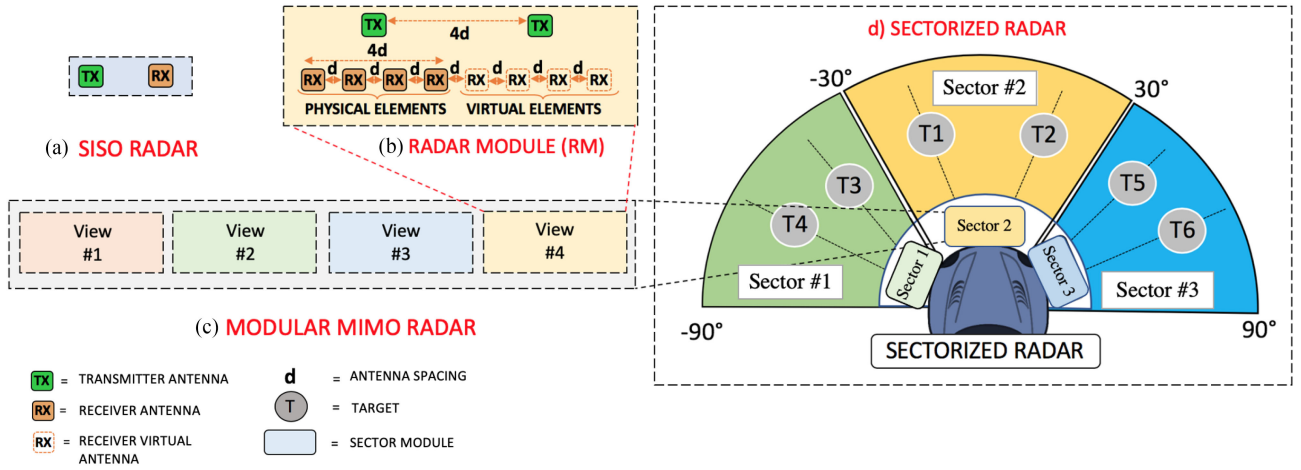


FIGURE 4. Proposed automotive radar and antenna configuration: (a) SISO, (b) a MIMO radar module (RM) defined by 2 physical transmitters (TX) and four receivers (RX), (c) modular MIMO radar system defined by 32 antenna elements in total (= 4 RM × 2 TX × 4 RX) for each sector, and, (d) the complete sectorized MIMO radar system covering the complete $\pm 90^\circ$ FOV. Here also 6 targets are illustrated in (d) for each sector.

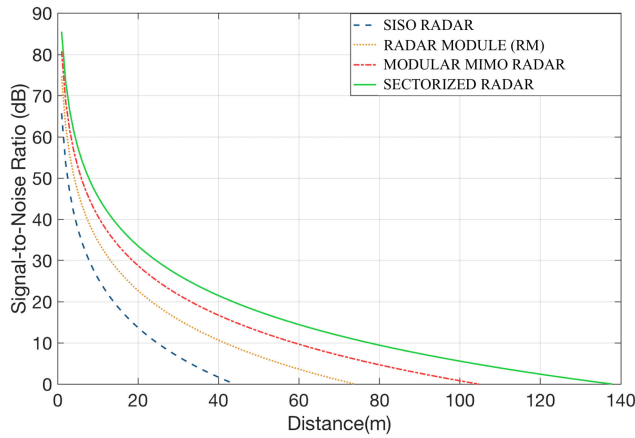


FIGURE 5. SNR simulations of the radar configurations: SISO radar (1TX/1RX), MIMO RM (2TX/4RX), modular MIMO radar (8TX/16RX) and sectorized radar (24TX/48RX).

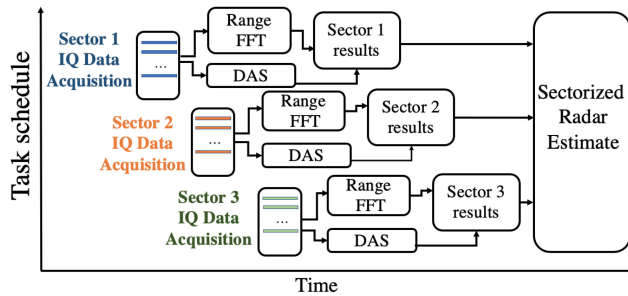


FIGURE 6. Workflow diagram for the signal processing of the sectorized radar for one acquisition cycle.

in Fig. 5. The SNR was calculated according to [22]:

$$SNR = \frac{P_T \tau G_T G_R \lambda^2 \sigma}{(4\pi)^3 k T_s R_T^2 R_R^2 L} \quad (14)$$

where P_T is the transmitted power (8 dBm), τ is the pulse period (50 ms), G_T and G_R are the transmitted

and received gains (22 dB for each), λ is the wavelength (1.25 cm), σ is the RCS (defined by a square metallic target of size 20 cm by 20 cm), k is the Boltzmann constant ($1.38 \times 10^{-23} \text{ m}^2 \text{ kg s}^{-2} \text{ K}^{-1}$), T_s is the system temperature (at 25°C), R_T and R_R are the transmitted and received ranges while L is the path loss at 24 GHz (-86 dB).

For the RM case, doubling the number of transmitters and four-folding the number of receivers (Fig. 4(b)) should theoretically result in improvement of eight times the SISO gain. Also, a minimum 1.5-fold improvement can be observed in simulations when doubling the number of elements at the receiver beamformer. With some further study and the re-arranging of (14) and whilst taking the ratio between the range of the sectorized radar (R_S) and the SISO radar (R_M), the following equation can be obtained:

$$\left(\frac{R_S}{R_M}\right)^{1/4} = \frac{G_S/P_S}{G_M/P_M} \quad (15)$$

where G_S and G_M are the gains of the sectorized and SISO radars while P_S and P_M are the received powers for the two configurations. The gains and the sensitivity of the radars directly affect their performance. Hence the gain improvements compared to the SISO case for the configurations presented in Fig. 5 are: 5.28 dB (Fig. 4(b)), 8.82 dB (Fig. 4(c)), 13.57 dB (Fig. 4(d)). As seen in Fig. 5, the detected range is more than doubled by the sectorized radar compared to the SISO case due to the gain improvement. When comparing the green and black curves the difference is 4 dB, which corresponds to (8). While the modular MIMO radar exhibits a further four-fold SNR improvement compared to the basic MIMO radar. Also, the sectorized radar increases the SNR of the modular MIMO case by three due to the number of sectors.

E. GENERAL DISCUSSIONS

The proposed sectorized radar configuration is able to detect on average with twice the sensitivity of a modular MIMO

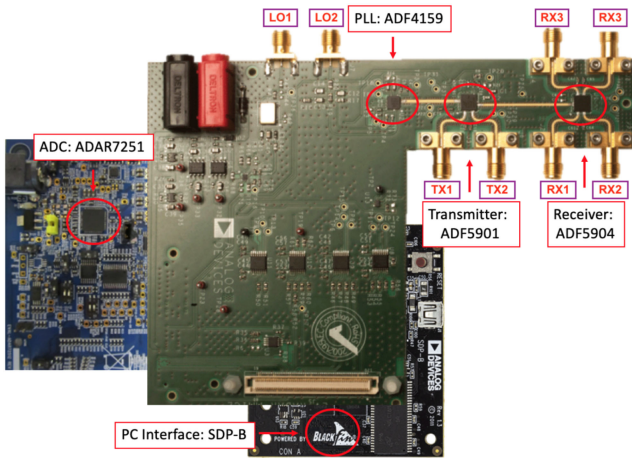


FIGURE 7. Photograph of the 2TX by 4RX radar electronics defining an individual RM.

radar configuration because of the increased number of elements, which adds gain to the overall radar link budget, allowing for more detection range. To achieve better angular resolution, a larger antenna aperture must be designed and this can be achieved using element spacings of λ instead of the conventional $\lambda/2$ for the radar receiver antenna. However, this is at the cost of a reduced FOV due to the presence of grating lobes (for the λ -spaced array which defines a FOV of 60°) and this is why three sectors are employed for each radar as illustrated in Fig. 4(d). This defines Tier 1 detection (see Table 1). Another radar antenna design can be considered which is the optimal element spacing with array sparsity for the array which can help to control side-lobe levels (SLLs), mitigate grating lobes, and improve angular resolution. This array sparsity approach defines possible detection for Tier 2 (see Table 1). These topics are discussed further in the next few sections.

IV. DEVELOPED MILLIMETRE-WAVE RADAR SYSTEM

The preliminary MIMO radar developed by the authors in [8] used two transmitting antennas (2TX) and four receiver antenna elements (4RX). This initially developed MIMO radar configuration is now used as a building block in the following for developing the proposed two mode radar system with FOV sectorization. Also, the antenna transceivers work in a time domain sequence; i.e. TDMA, in order to avoid signal interference while maintaining orthogonality. The process of acquiring a sectorized radar estimate is shown in Fig. 6. A photo of the radar system hardware and the radar block diagram can be seen in Figs. 7 and 8, respectively. Also, the circuit-system architecture for an individual MIMO RM is outlined in Fig. 9.

The radar system uses monolithic microwave integrated circuit (MMIC) components from Analog Devices and Hittite (a summary is presented in Table 2). Signals are generated at the transmitter (model: ADF5901) by using a calibrated voltage controlled oscillator (VCO) preceded by a varying ramp signal generated by a phased lock loop (PLL) (model:

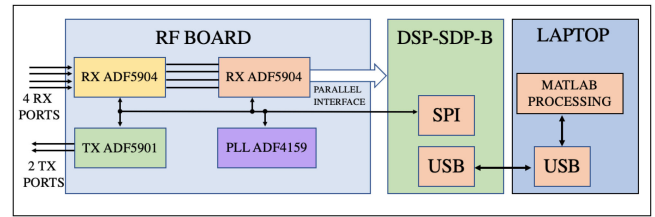


FIGURE 8. Radar connection and signal processing diagram for a single RM.

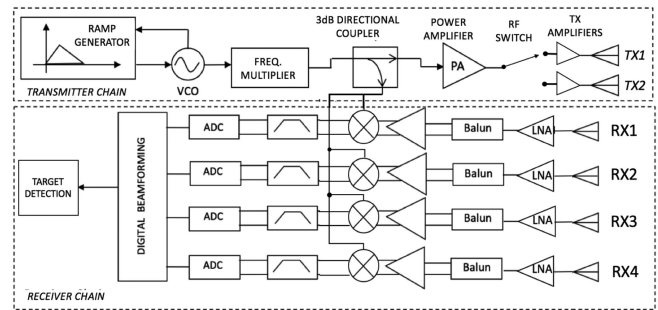


FIGURE 9. TX and RX block diagram for an individual RM.

TABLE 2. Circuit Components for the Radar

Circuit Component	Supplier	Part Number
Phased Lock Loop	Analog Devices	ADF4159
Transmitter MMIC (2TX)	Analog Devices	ADF5901
Receiver MMIC (4RX)	Analog Devices	ADF5904
Low Noise Amplifier	Hittite	HMC751LC4
MESFET Switch	Hittite	HMC1084LC4

ADF4159). This allows for the signal to operate in FMCW transmission. Also, the signal obtained at the output of the VCO is then up-converted to 24 GHz. The ADF5901 has an internal amplifier to reach an output power up to +8 dBm. In addition, the transmitter antennas are used in the time domain with multiple access (i.e. TDMA) since the ADF5901 has an RF switch that can alternate the use of the transmitters by using a Hittite HMC1084LC4 switch (one for each RF channel).

The receiver is also connected to a 24 GHz VCO which is part of the down-converter. Including a low noise amplifier (LNA) (Hittite HMC751LC4) in cascade at the receiver input also lowers the noise level according to Friis's formula [42]. After the signal is down-converted, it passes through a band-pass filter in order to remove unwanted higher order modulation products generated by the mixer. The signal is then sampled by an analog-to-digital converter (ADC) and passed to the digital beamforming network. Differential lines are used

TABLE 3. Three Different RM Transmitter Outputs

Sector	Power Mean (dBm)	Average Power Deviation (dB)	Phase Error (deg)	Frequency Error (kHz)	Slope Frequency Error (kHz)	Bandwidth per Time Period (MHz/ μ s)	Impedance Matching Bandwidth(%)
1	5.01	0.52	3.59	17.18	72.41	480	6.25
2	4.95	0.53	2.97	14.17	72.21	480	6.25
3	4.82	0.55	4.12	17.17	68.37	480	6.25

Note: See the photos in Fig. 7 and 20(b) for an individual RM and three RMs clustered for system measurements, respectively.

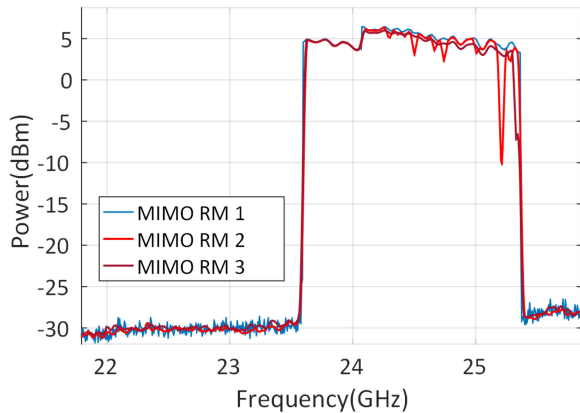


FIGURE 10. Measured transmitter spectrum response for three different 2TX by 4RX MIMO RMs (see Fig. 7) by sampling TX port 1 only. A BW for the transmitter spectrum of about 1.5 GHz is observed, and it is this hardware metric that limits operation of the radar system.

to improve the common mode rejection ratio of the amplifier and reduce noise.

Preliminary findings reported in [8] suggested that by increasing the physical and virtual aperture size of the receiver front-end, improved angular resolution for the MIMO radar system can be made possible. Consequently, it is conceivable that multiple MIMO RMs could be appropriately placed to collaborate, and therefore, be able to achieve better system performance in terms of resolution and target detection when compared to an individual MIMO RM. This design motivation follows the MIMO radar work in [43] which also employs highly separated antennas. However, it is important at this stage to validate that the individual MIMO RMs (see Fig. 4(b)) first detect the targets individually, before integrating them further into a larger radar with multiple RMs. The following sub-sections investigate the sensitivity of detection for different radars starting from the fundamental mono-static radar, and then, leading to the proposed sectorized radar system (Fig. 4(d)) which uses a network of MIMO-RMs.

A summary of the measured linearity parameters for three radar sectors for three different RMs, representative of the three sectors, see Fig. 4(d) are also reported in Table 3 while output power spectrums are shown in Fig. 10. Measurements have been completed using a N9030B PXA Signal Analyzer from Keysight Technologies. The signal bandwidth which could be analysed for the linearity parameters was limited to 50 MHz due to the inner circuit constraints of the PXA analyzer hardware.

In comparison with the results presented in [44] which uses direct digital synthesis (DDS), the transmitter presented in our paper uses a fractional-N frequency synthesizer capable of generating 2 GHz of bandwidth. However, the device can transmit a signal using 13 GHz of bandwidth when triggered by an external source. Clear advantages have been further explained in [45], while both PLLs and DDS can be tailored for certain applications. However, PLLs can be more cost efficient than DDS [45]. This defines a clear advantage when employing such modular radar systems for automotive applications.

As further explained in [46], the ADF4159 PLL uses a 25-bit fixed modulus which allows for sub-hertz resolution at 3μ Hz for a phase detector frequency of 110 MHz. In addition, a 12 b DAC powers the VCO and the average power consumption of the ADF4159 module is 100 mW. Also, the spurious-free dynamic range (SFDR) for the VCO can reach -90 dBc [46], while the frequency update time per step is 100 ns. The FFT gain of the system is 33 dB. Except for the update time, the fractional-N frequency synthesizer, employed here, shows improved figures of merit for generating the transmitting signal, in comparison to the DDS solution outlined in [44].

V. FRONT END ANTENNA DESIGN

Microstrip antenna arrays have seen a widespread use for automotive radar systems since this type of antenna is simple to design and is easy to manufacture [47]. On the other hand, the radiation losses of substrate-integrated waveguide (SIW) antennas are significantly reduced for millimetre-wave frequencies when compared with microstrip patch antennas as described in [48] and [49]. Also, SIW-type antenna arrays generally are less dispersive when compared to series-fed microstrip structures and other patch-type arrays [50], leading to reduced beam-squint over frequency which is generally desired for improved radar accuracy. A review of SIW technology can be found in [50] while a detailed report of other automotive antenna types was reported in [51].

Following these previous efforts, SIW antennas have been selected for our radar (see Fig. 11). This is because when considering more conventional microstrip patch antennas, the beam can be squinted depending on the transmission frequency; i.e. the main beam position can undesirably change with frequency. This is due to the fixed distance of the radiating elements with respect to the wavelength [52]. More specifically, since the transmission frequency is constantly being changed in an FMCW radar, the beam angle can vary due

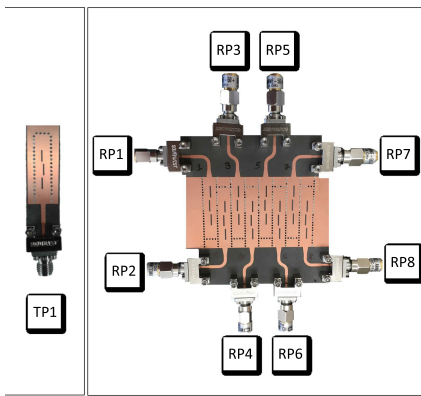


FIGURE 11. Single element SIW TX (left) and 8-element RX (right). The TX and RX SIW antenna ports, are labeled as TP_i and RP_i , respectively.

to this dispersion, when employing more standard microstrip-based antenna arrays. A property which is undesirable in radar detection [8].

SIW structures on the other hand support fundamental and dominant TE_{01} -like mode excitation. This mode is generally known to have lower dispersion when compared to the quasi-TEM mode of microstrip [50], [53]. SIW slot-based antennas can also offer reduced (unwanted) beam squint over frequency and can also exhibit reduced electromagnetic coupling because of reduced surface wave losses [50]. Regardless of these features, to the best knowledge of the authors, our paper is the first to use an SIW antenna for the proposed sectorized radar to achieve a complete horizontal FOV of -90° to $+90^\circ$ and with a high operating bandwidth of more than 6% (see Table 1).

A. TRANSMIT SUBSTRATE INTEGRATED WAVEGUIDE (SIW) ANTENNAS

SIW antennas generally have decreasing impedance bandwidth with a higher number of radiating slots [54]. However, the investigated structure employs three slots which not only increases the gain and bandwidth of the antenna but generates a fan-like far field beampattern in the horizontal plane. This is important such that a large angular range is illuminated by the transmitter. For example, the achieved half-power beamwidth is about 60 degrees. Also, the measured -10 dB impedance bandwidth of the TX-SIW (see Fig. 11, left) was more than 1.5 GHz centered at 23.75 GHz (all results not reported for brevity).

The three radiating slots determine the transmit antenna fan-like beam in the horizontal plane and the fabricated TX-SIW can be seen in Fig. 11 (left) and its beampattern is observed in Fig. 12. It should be mentioned that results for two transmitter prototypes are reported, and as can be observed, similar results are shown with general agreement with the full-wave simulations. This beampattern characteristic allows the radar to have a wide coverage of the FOV in the transmit path, assuring electromagnetic scattering returns back from the targets at the required angles.

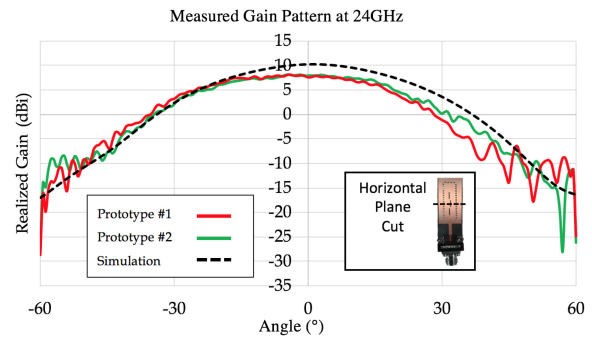


FIGURE 12. Realised gain beam pattern of the SIW transmitter antenna in the horizontal plane.

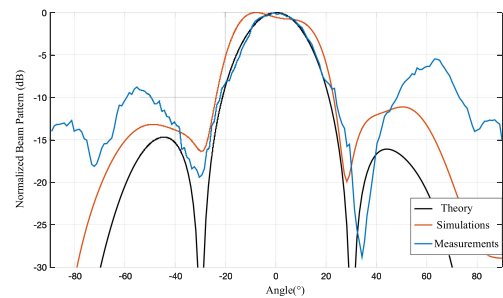


FIGURE 13. Comparison of theoretical results, simulations and measurements for the $\lambda/2$ array with 4 RX elements using RP3, RP4, RP5, and RP6 (see Fig. 11).

B. RECEIVE SUBSTRATE INTEGRATED WAVEGUIDE (SIW) ANTENNA ARRAYS AND DUAL-MODE DETECTION

In the receiver configuration, the designed SIW structure uses an array of longitudinal slots. As with the transmit antennas, the design parameters have been selected according to the guidelines presented in [55], [56] and optimised using CST Microwave Studio. The beam pattern measurements of both the transmit and the receive antennas were completed in a calibrated anechoic chamber using a near-field system (NSI) positioner which computed the far-field response of the antennas. Good agreement between CST simulations and the measurements can be observed for the S-parameters and impedance matching values of less than -10 dB are observed for the 8-port structure with a bandwidth of about 2 GHz (in Fig. 11, right, all results not reported for brevity). Also, the horizontal patterns of the four-element array at $\lambda/2$ inter-element spacing is shown in Fig. 13.

The half-power beamwidth of the λ -spaced receiver is reduced by approximately a factor of two when compared to the $\lambda/2$ spaced receiver due to the enlarged aperture, but it introduces grating lobes. The investigation of these two configurations can allow for the same target scenario to be viewed by the radar system to obtain useful data from both resolution perspectives. Consequently, this makes it advantageous to interrogate data from both radar receiver configurations because each set has acquired complementary data for each radar measurement. Therefore, combining data with such a two-fold detection system (see Fig. 14)) can offer improved

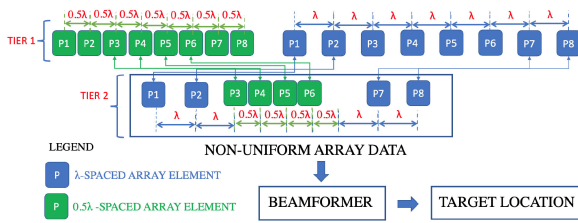


FIGURE 14. Two-tier process for determining the sparse, non-uniform antenna from MIMO data for $\lambda/2$ and λ -spacing.

angular resolution for the radar should that be desired. Since our presented radar uses an antenna array which can detect at both $\lambda/2$ and λ spacing (respectively RP3, RP4, RP5, and RP6, and, RP1 \dots RP8, defining Tier 1, see Fig. 14), we have used this two-tier approach to investigate further the possibility of improved angular resolution.

The employed method for obtaining the non-uniform antenna array (Tier 2) is to search through the antenna beam pattern responses which result in an improved Θ_{3dB} and SLL by combining both $\lambda/2$ data and λ data. By removing unnecessary elements that contribute to the grating lobes and increased SLL, we are able to obtain an improved array pattern. However, this exhaustive search implies evaluating the patterns of over 10,000 antenna array configurations. To overcome this challenging selection, an automated methodology in MATLAB was developed to identify the best antenna array combination of $\lambda/2$ data and λ data, where the algorithm would choose only solutions which have improved Θ_{3dB} and SLL compared to the previous solution. An overview of this two-tier process is illustrated in Fig. 14, which also shows the best antenna configuration for our proposed sparse antenna array design.

Fig. 15 depicts the 8-element receiver antenna connections. A similar procedure was adopted for the modular MIMO radar (see Fig. 4(c)) realising the effective 32-element receiver. This MIMO radar antenna configuration has a virtual aperture length of 23.5λ , which is equivalent to a virtual array of 47 antenna elements with a spacing of $\lambda/2$ defining the effective receiver. Therefore, a reduction in the number of elements by 32% is achieved by employing just 32 elements when compared to an equivalent aperture size of 47. Further discussions on the analysis and measurements for these SIW antennas will be described next considering the different spaced arrays. The interested reader can see [57]–[59] for more information on sparse arrays and MIMO radar concepts.

The employed SIW antenna arrays exhibits less radiation on the edges of the PCB contributing to the element beam pattern when compared to a more omnidirectional-like antenna. Therefore, the total antenna receiver array response for the RMs will also exhibit lower radiation levels on the edges of the antennas and in the positions of the grating lobes (when considering the far-field). We show the numerically calculated array factors in Figs. 16 and 17 for 8-elements and 32-elements. Notice the relatively high grating lobes at $\pm 90^\circ$ for the λ -spaced array as well as the non-uniform sparse array.

These results can be compared to the measurements found in Figs. 18 and 19 using the measured element factor and the calculated array response. As it can be observed, the grating lobes and the first side-lobe for the λ -spaced receiver do not appear as high when compared to the calculated array factors in Figs. 16 and 17. Similarly, for the non-uniform sparse array. For example, pattern levels are about -15 dB below the main beam maximum in both cases. This is due to the minimal radiation at the PCB edges for the SIW antenna elements as described earlier as well as the optimized element positioning for the sparse array having non-uniform spacing.

VI. RADAR SYSTEM MEASUREMENTS

The radar architecture for proof-of-concept has been tested in an anechoic chamber. Several trials have been carried out to verify: range approximation, range resolution and angle of arrival estimation with delay-and-sum digital beamforming. In general, theoretical findings, simulation studies, and radar system measurements are in agreement. The experiments included a SIMO setup for radar range testing as well as three RMs (see in Figs. 20(a) and (b)), which were assigned to each sector of the FOV. This meant multiple readings were required to achieve the effective virtual apertures for the modular MIMO radar and the sectorized radar systems as outlined previously (see Fig. 4). Also, as shown in Fig. 20(c), three square metallic targets have been used with different sizes during the experiments. A photograph of the angular detection trial can be seen in Fig. 20(d).

A. TARGET DETECTION MEASUREMENT SETUP

Radar detection of the targets was first measured using a SISO configuration (see Fig. 4(a)). As can be seen from Fig. 21, both the targets ($30\text{ cm} \times 30\text{ cm}$ and $20\text{ cm} \times 20\text{ cm}$) are visible for a 13 cm separation. Another test has been carried out for the target separation below 10 cm and the two targets were not distinguishable. This experiment confirms that the range resolution of the radar is approximately 10 cm. This is because for a 1.5 GHz radar bandwidth (BW), we can observe a theoretical range resolution of $v_0 / (2 \text{ BW})$ where $v_0 = 3.0 \times 10^8$ m/s. This is also confirmed by our simulations (see Fig. 21). Furthermore, a target has been swept at several distances up to 4 meters in order to check the range accuracy at both 250 MHz and 1.5 GHz. Results are reported in Fig. 22 where it can be observed that the radar was able to track the target in range. Also, with increased radar BW, it can be observed that the measured range accuracy improved. These results follow the expected response of the radar system.

B. TARGET ANGULAR ESTIMATES

Measurements have been carried out for $\lambda/2$ -spaced, λ -spaced and non-uniform sparse receiver configurations. Since each RM is defined by using only two transmitters and four receivers, the measurements for the 32-element virtual receiver array, for each sector, have been carried out by displacing the MIMO RMs at positions of exactly 4λ from each other (similar to how a synthetic aperture radar would acquire its

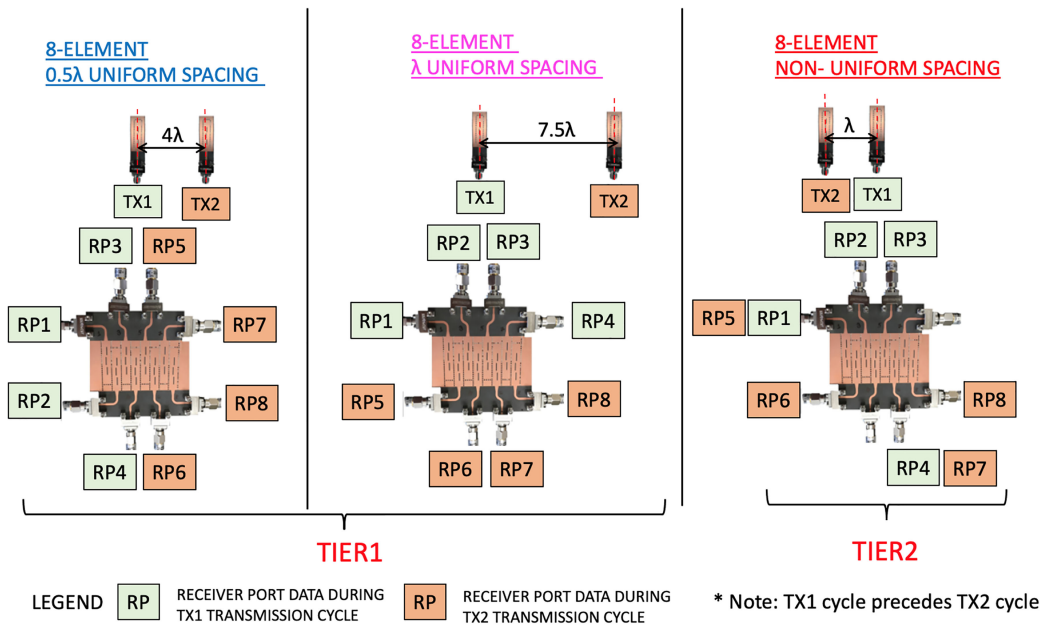


FIGURE 15. Radar receiver configurations for 8-element $\lambda/2$, λ -spaced and the non-uniform MIMO array receiver using SIW receiver antennas.

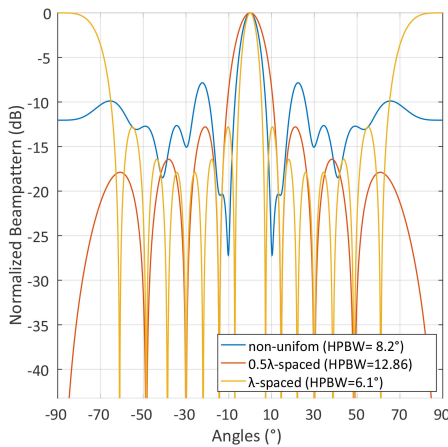


FIGURE 16. Numerically calculated array factor for the RM considering 8 elements at $\lambda/2$ uniform spacing, λ uniform spacing, and sparse non-uniform spacing.

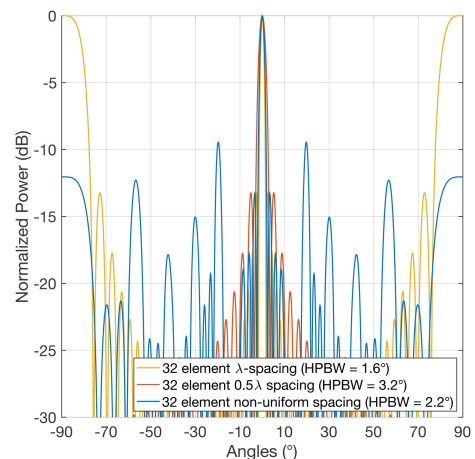


FIGURE 17. Numerically calculated array factor for 32 elements at $\lambda/2$ uniform spacing, λ uniform spacing, and sparse non-uniform spacing.

data). This measurement approach and by considering the same target scenario, has permitted the authors to predict the performance of the modular MIMO radar system for the 32-element virtual receiver array, whilst considering both uniform and non-uniform spacing.

The results of the measurements with one target as well as two targets spaced at different angular separations are shown in Figs. 23, 24, 25 and 26. For the multiple target scenarios, the targets have been measured while spaced at decreasing offsets of 2° in order to observe the responses. The results show that the radar with non-uniform spacing is managing to detect both targets in all situations, even when these targets are spaced at an angular distance of $\pm 2^\circ$. Also, the side-lobe levels (SLLs) are about 8 dB below (or better) from the main

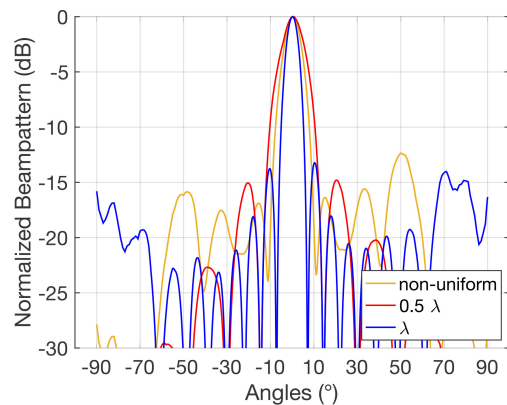


FIGURE 18. Measurement comparison of the 8 element MIMO radar antenna considering $\lambda/2$ uniform spacing, λ uniform spacing, and the non-uniform sparse array.

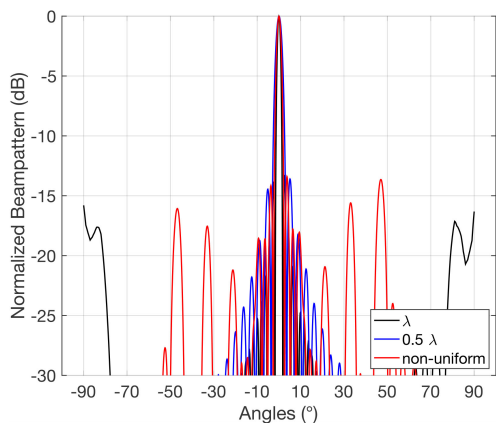


FIGURE 19. Measurement comparison of the measured 32 element MIMO radar antenna for $\lambda/2$ uniform spacing, λ uniform spacing, and the non-uniform sparse array configuration.

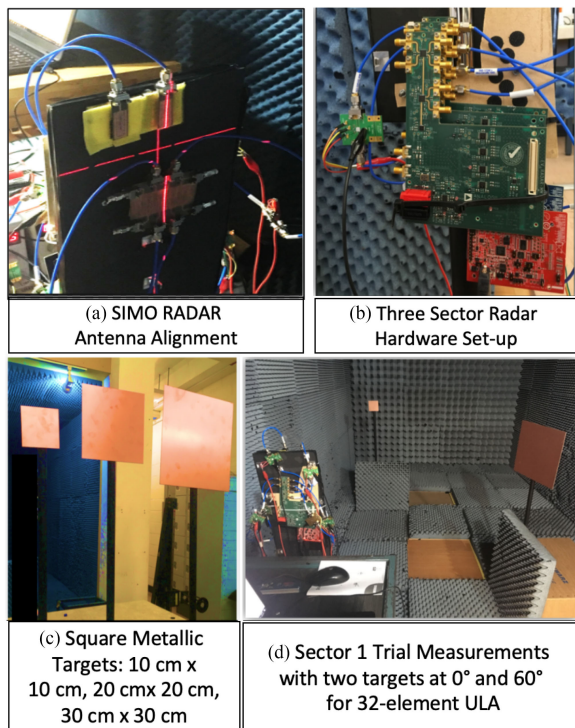


FIGURE 20. Measurement setup for the radar detection trials within an anechoic chamber with 3 RMs and three targets of dimensions: 10 cm x 10 cm, 20 cm x 20 cm and 30 cm x 30 cm.

target estimates for the angular spectrums. In addition, it can be observed that the grating lobes are mitigated at about $\pm 90^\circ$ for the non-uniform spaced array when compared to the λ -spaced receiver. For example, grating lobes are reduced to about -12 dB (or more) in Fig. 23 for the non-uniform array. Similar results are observed in Figs. 24, 25, and 26.

There are a few possible strategies to improve the SLLs for the radar system should it be desired. One solution as mentioned in [60], is to apply time-domain spectral smoothing [61] to allow several images of the radar to be averaged. This technique is similar to the averaging of multiple

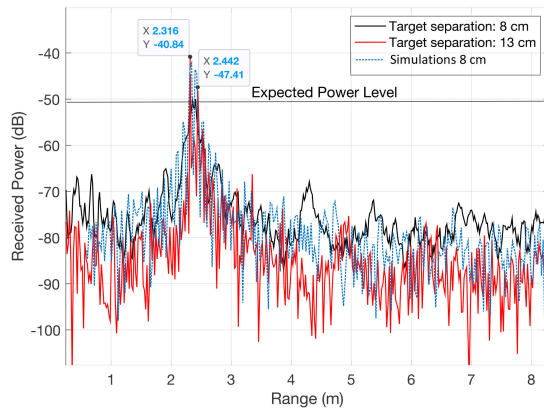


FIGURE 21. Range resolution simulations and measurements with two targets separated above and below the angular resolution limit of 10 cm using a 1.5 GHz antenna transmitter bandwidth.

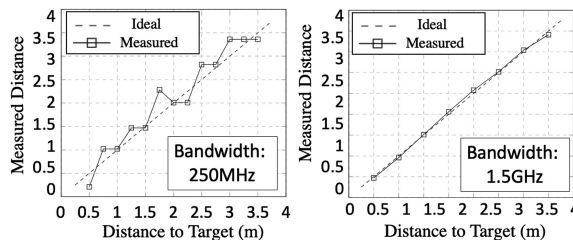


FIGURE 22. Range accuracy comparison using one target for a distance up to 4 meters using a 250 MHz and 1.5 GHz bandwidth.

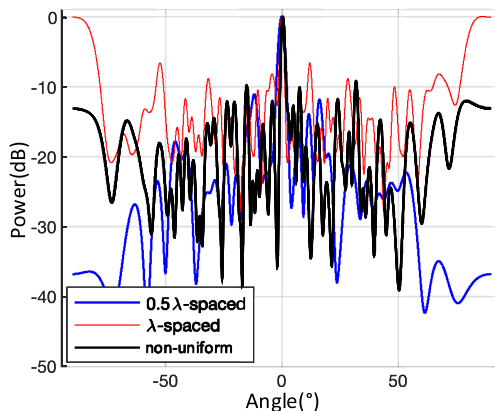


FIGURE 23. One target comparison: $\lambda/2$, λ and non-uniform spacing.

frequency-domain samples when completing vector network analyzer (VNA) measurements for low power signals in a noisy environment, or, when reducing the IF bandwidth on a spectrum analyser making the noise floor decrease (or improving the signal-to-noise ratio; i.e. the SNR), however, at the cost of increased measurement time. This can result in a cleaner or more accurate response where this noise level is reduced, in practice, improving the SNR when considering a practical radar setup.

Following [61] this spectral smoothing approach has been shown to practically improve the radar detection accuracy. For example, in [60], 2 targets (with a narrow $\pm 1^\circ$ angular

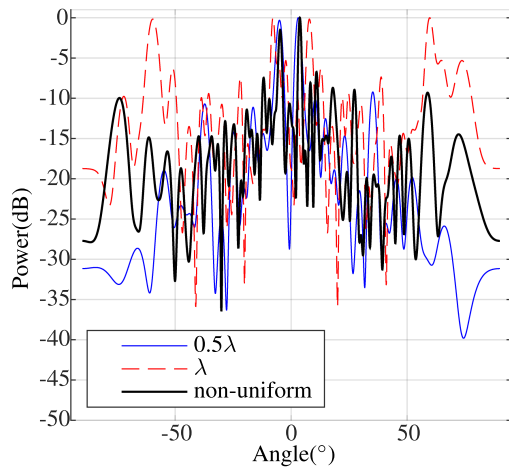


FIGURE 24. Radar measurement comparison for an 8° angular target separation: $\lambda/2$, λ and non-uniform spacing. It should be mentioned that grating lobes are observed for the λ spaced array at about $\pm 60^\circ$ while the radar measurement with the non-uniform receiver array clearly distinguishes the targets and where grating lobes and SLLs are about -10 dB or lower.

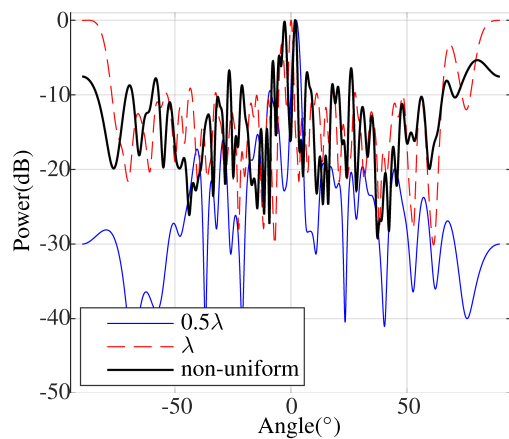


FIGURE 25. Radar measurement comparison for a 6° angular target separation: $\lambda/2$, λ and non-uniform spacing, which is the only effective radar antenna which can clearly distinguish the two targets; i.e. the non-uniform spaced array.

separation) where resolved when spectral smoothing was applied using an advanced SIW buttler matrix beamformer at the FMCW transmitter, and with, time-domain beam steering. Similarly, this spectral smoothing has also shown an improvement of 13 dB for the SLL of the radar system as reported in [62]. Other possible solutions for SLL reduction when considering the employed SIW arrays, and likely SNR improvement for the complete radar, include the use of tapering functions such as Gaussian, Chebyshev, Hamming, or Taylor window functions for the radar antenna aperture [22], [63]. However, these approaches can tend to broaden the half-power beamwidth of the array and thus possibly diminish the angular resolution of the radar which might be unwanted.

Given these above discussions, the mathematical foundations outlined in [61], and our previous findings [60], [62],

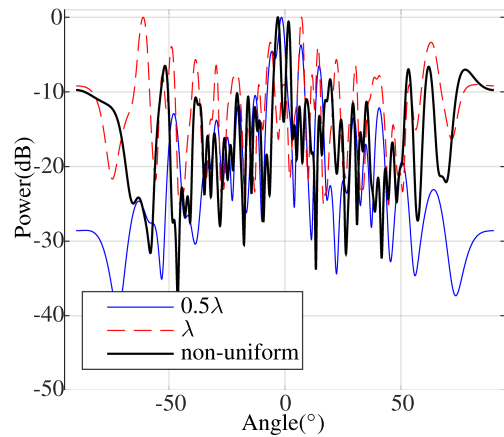


FIGURE 26. Radar measurement comparison for a 4° (or ± 2 degrees) angular target separation: $\lambda/2$, λ and non-uniform spacing. Again, similar to Fig. 25, the non-uniform spaced array is the only virtual receiver that can decipher the two targets and with low SLLs for the angular spectrum estimate.

SLL mitigation is probable for the complete radar system. Basically, by using the noted spectral smoothing made possible by additional measurement sampling and post-processing of the radar responses, our findings suggest that the accuracy of the angular target estimates can be improved (see for example, results in [62] for an individual RM, results not reported herein for multiple RMs due to brevity). Regardless, we would like to highlight that a more dedicated study is required to investigate this spectral smoothing methodology within our modular radar system comprised of many RMs and this defines future work.

This is because our findings in this paper suggest that additional noise is apparent in the measured radar system, due to the tiling of the multiple RMs and when compared to just the individual radar arrays. Basically, the SNR decreases for the complete radar response and this could be due to unwanted mechanical misalignment of the RMs when completing the laboratory measurements for the proof-of-concept radar system, or, the noise generated by the microwave circuits (i.e. the radar circuit hardware: VCO, mixers, amplifiers, etc.) within the RMs themselves. Moreover that, when the RMs work collectively and are networked, practical noise levels increase for the radar and this was studied statistically in MATLAB and these simulations confirm these findings (results not reported due to brevity). Basically, these noted practicalities can decrease the SNRs from less than -20 dB (for the simulations) to between -12 and -15 dB for the physical target angular estimates in a controlled microwave laboratory setting. Therefore, these noted practicalities can diminish the collective radar system (which is comprised of multiple RMs) when compared to an ideal radar setup, as well as, a practical radar defined by an individual RM.

This can be further identified by observing the SLL for the 8-port antenna measurements in Fig. 18 which are below -15 dB for the non-uniform sparse array. The grating lobes are also mitigated in these measurements and this can be

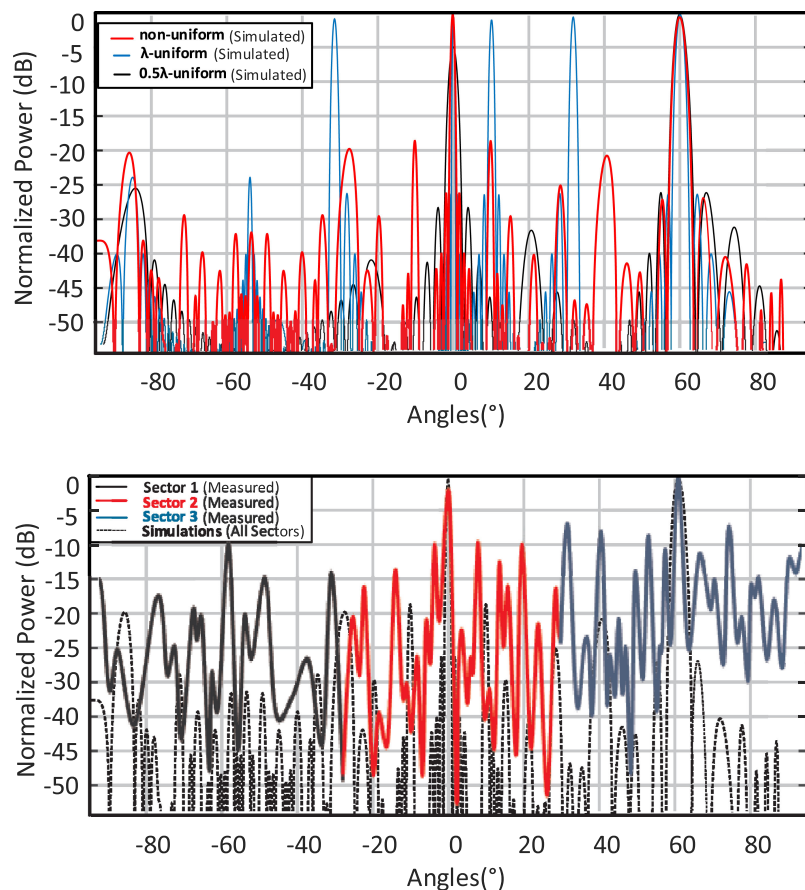


FIGURE 27. Simulation results (a), as well as, simulations compared to measurements (b), for a sectorized radar trial detecting two targets at 0° and 65° as identified in Fig. 20(d). The targets have been placed at an angular separation of 65° to highlight the improvement of the sparse array approach, see (b) for the simulations and measurements for each sector, as compared to the simulated $\lambda/2$ -spaced and λ -spaced uniform array in (a).

observed when inspecting the array factors themselves (see Fig. 16) for the λ -spaced array as well as the non-uniform sparse array. However, when four RMs are arranged to work collectively, the radar SNR decreases to a range from about -8 dB to -13 dB (see Figs. 23, 24, 25 and 26). In general, these SLL and SNR metrics are important for automotive radar scenarios because high levels (i.e. about -10 dB) could bring some ambiguity and reduced accuracy for the radar, or suggest false targets near a main one. It should also be mentioned that there are few works which manage to achieve a radar SLL value of more than about -12 dB (see Table 1). This implies that there needs to be a consistent effort across academia and industry to improve this metric, whilst preserving high angular resolution and system BW as well as minimizing implementation costs, radar architecture complexity, and signal processing time for the complete radar system. As mentioned previously, these were the design motivations for this work.

C. SECTORIZED MIMO RADAR SYSTEM RESULTS

Most radar systems assume that a single radar covers the FOV in the horizontal plane. The proposed sectorized MIMO radar system, on the other hand, presents a novel and improved solution to previous radar works (see Table 1) by employing

multiple RMs in order to discretely sample the angular space. The front horizontal plane of the radar can be divided into three sectors allowing for precise detection (see Fig. 4(d)). Moreover, this allows for data to be sampled at each RM, giving a combined response for the three sectors by data fusion. Results are shown in Fig. 27 and further details for the experiment are described herein.

Each of the modular MIMO radars for each sector is defined by a 32-element virtual receiver array with non-uniform spacing as described in the previous sections. Since the switching speed of the RF ports is completed in approximately 50 ns between each transmitter, the total switching time for the radar system is estimated to be $1.2 \mu\text{s}$. Also, the time required to process the targets by the beamforming algorithm varies between 22 ms and 30 ms for each sector.

The computer hardware used for this data processing is performed using a laptop running MATLAB. In particular, the computer characteristics are as follows: a 2.6 GHz Dual-Core Intel Core i5 processor (I5-4278 U), with 8 GB of DDR3 memory, using an Intel Iris graphics card of 1.5 GB. It is expected that the performance can be improved if the system is transitioned to FPGA-based processing. The modular MIMO radars, see Figs. 4(c and d), are also tilted which are representative of the final front-end configuration, so that

non-overlapping horizontal coverage can be obtained uniformly for the combined radar views. If the radar beams would overlap then it is possible to see some unexpected effects in detection due to the possible interference cause by the adjacent radars.

Figs. 27(a) and (b) show how multiple RMs extend the horizontal coverage of the radar system and that non-uniform spacing can avoid false target detection. This figure highlights two targets consisting of one larger metal plate (30 cm × 30 cm) at 60° from the middle line of the radar system at a 2.5 m distance, while the smaller (20 cm × 20 cm) is situated at 4 m distance at the middle line of the radar. Also, the non-uniform spaced antenna configuration eliminates the grating lobe issue. It can be seen from the simulation results of the scenario, depicted in Fig. 27(a), how the λ -spaced antenna arrays suffer from grating lobes. The particularity of this detection, which has been measured in Fig. 27(b), is that the targets which appear farther in one section are closer for the other section, hence the target at 65° has also the received power of a target detected at its broadside for the modular MIMO radar observing sector 3. All in all, it can be seen that the non-uniform sparse approach presents higher performance than the other solutions presented.

D. GENERAL DISCUSSIONS FOR THE SECTORIZED RADAR

In comparison to the mentioned literature [3]–[7], [14], the radar presented in this paper proposes maximum performance in all areas of detection in terms of $\pm 90^\circ$ FOV, angular resolution Θ_{3dB} , and detection time (see Table 1). Increased receiver sensor separation improves the angular resolution of the radar while range resolution is improved by the operating bandwidth of the employed SIW antennas. When used appropriately, the modular MIMO radars can maximize FOV for the three sectors while targets are rapidly detected due to the simple digital beamforming method and MIMO processing. To the best knowledge of the authors, this paper is the first to produce a sectorized MIMO radar architecture which achieves full coverage of the horizontal plane ($\pm 90^\circ$) using numerous sub-arrays and MIMO RMs which supports low-cost mass production rather than the assembly and manufacturing of a larger scale and equivalent radar system with the same number of receiver elements.

VII. CONCLUSION

The paper presents a MIMO radar system architecture which achieves high range resolution while being the first to report far-field measurements of $\pm 90^\circ$ coverage for a short-range radar system. Also, the measured radar system offers more than a 6% bandwidth (see Table 1) with FMCW signal transmission at 24 GHz for proof-of-concept demonstration. It should also be mentioned that our proposed radar design is scalable, in that it could be re-designed to operate at 77 GHz for example, or any microwave or millimetre-wave frequency for that matter, assuming the relevant radar antennas and electronics are available, and, with the appropriate bandwidth whilst complying with any transmission requirements and allowable frequency allocations. Possible applications include

autonomous vehicle and automotive radar. Despite increased signal processing requirements due to the multiple radar sub-modules and data fusion for the three sectors, competitive processing times (see Table 1) of about 30 ms or less are observed. Also, the angular resolution achieved by the non-uniform sectorized two-mode MIMO radar is better than the standard $\lambda/2$ -spaced array, offering an experimentally verified half-power beamwidth of 2.2° .

It should also be mentioned, that by employing the aforementioned radars, channel noise and signal delays are reduced to a minimum in comparison with a larger scale and more conventional transmit and receiver array, mainly due to the fact that a microstrip-based corporate feeding network or other large-scale beam forming networks (i.e. a Rotman lens for example) are not required. In summary, the presented system design allows for individual sub-sector sampling enabling a modular and sectorized MIMO radar approach. This can support the development of new beamforming techniques for automotive short-range radars which offer reduced SLL, grating lobe mitigation, reduced noise, and competitive range and angle target resolution.

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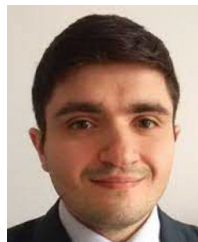
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