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On Noise-Sensitive Automatic Tuning of Gate-Defined Sensor Dots

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ABSTRACT In gate-defined quantum dot systems, the conductance change of electrostatically coupled sensor dots allows the observation of the quantum dots' charge and spin states. Therefore, the sensor dot must be optimally sensitive to changes in its electrostatic environment. A series of conductance measurements varying the two sensor-dot-forming barrier gate voltages serve to tune the dot into a corresponding operating regime. In this article, we analyze the noise characteristics of the measured data and define a criterion to identify continuous regions with a sufficient signal-gradient-to-noise ratio. Hence, accurate noise estimation is required when identifying the optimal operating regime. Therefore, we evaluate several existing noise estimators, modify them for 1-D data, optimize their parameters, and analyze their quality based on simulated data. The estimator of Chen et al. turns out to be best suited for our application concerning minimally scattering results. Furthermore, using this estimator in an algorithm for flank-of-interest classification in measured data shows the relevance and applicability of our approach.

INDEX TERMS Automated tuning, charge sensor, noise estimation, quantum computing, semiconductor quantum dots.

I. INTRODUCTION

Quantum dots are a promising scalable platform for quantum computations. However, the isolation of electrons in quantum dots is a nontrivial task that needs to be automated. One can observe the charge and spin states in gate-defined quantum dots by the conductance change of a nearby electrostatically coupled sensor dot. It is optimally sensitive if the sensor dot gate voltages reach a particular operating regime during the tuning. To locate the operating point, we carry out reflectometry measurements¹ of the conductance through the sensor dot while varying the barrier gate voltages, SL and SR , forming the sensor dot. This measured signal, proportional to the conductance $G_m(SL, SR)$, comprises the undisturbed sensor dot signal overlaid with some noise. Thus, as part of the autotuning development, our primary focus is to identify

continuous regions with sufficient signal-gradient-to-noise ratio.

Fig. 1 illustrates the methodological procedure of our investigation. Section II-A covers the tuning procedure and the requirements for suitable voltage regions. The noise characteristics must be accurately estimated when identifying the optimal operating regime. Many noise estimators exist for 2-D data applications (see Section II-B). However, as our sensor dot data sampling is highly anisotropic, the estimation can only be performed on 1-D data. Thus, only estimators that are adaptable to 1-D come into consideration. Furthermore, a quantitative analysis of the estimator results requires a known ground truth. Therefore, an inevitable first step is to analyze the noise sources and generate a theoretical model. Then, generated realistic sample data, composed of simulated noise and approximated clean sensor dot data, constitute the ground truth. Sections III-A–III-D cover the noise analysis and generation of data.

¹Although in our work reflectometry measurements are performed, the results should also be transferable to other measurement techniques (e.g. DC).

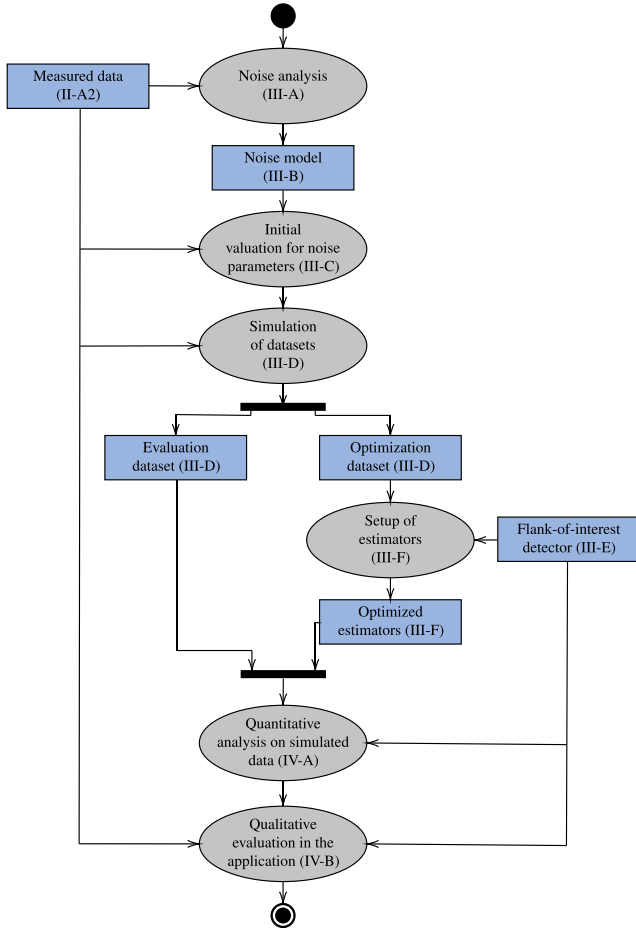


FIGURE 1. Activity diagram of the methodological procedure. The numbers in brackets refer to the associated section.

After quantitatively comparing the estimators with simulated data in Section IV-A, the qualitative evaluation in Section IV-B analyzes the impact of the estimators’ characteristics on the detection of qualified regions in measured data.

II. BACKGROUND

A. SENSOR DOT TUNING

Semiconductor gate-defined quantum dot systems often incorporate charge sensors to measure the quantum dot’s charge state, which allows accessing dot parameters such as capacitive coupling and tunnel coupling. Moreover, the electron spin which is typically used to encode and process quantum information can be read out via spin-to-charge conversion [1].

One way to realize a charge sensor is a capacitively coupled quantum dot called a sensor dot. In the correct operating regime, changes in the local electrostatic environment directly affect the conductance through the sensor dot [2], thus providing measurement information about the quantum dot’s charge state. The key to tuning a sensor dot is to observe the energy of the dot via its conductance characteristics as a function of the applied gate voltages [3].

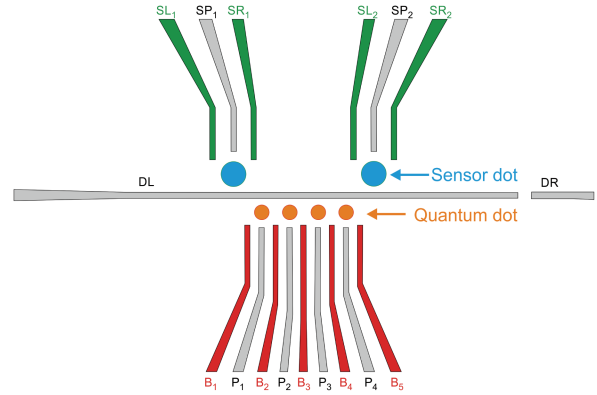


FIGURE 2. Arrangement of the sensor dot gates. Blue spots mark sensor dot regions and orange spots quantum dot areas. The gates SL_1 , SP_1 , and SR_1 form the left sensor dot, and the gates SL_2 , SP_2 , and SR_2 the right one.

TABLE 1. Most Relevant Noise Sources in Quantum Dot Systems

Noise type	Noise source	Characteristics
Charge noise	Fluctuating charges in the heterostructure	Pink, Gaussian
Johnson-Nyquist noise	Thermal agitation of the charge carriers in the amplifier	White, Gaussian

For the present experiment, Fig. 2 illustrates the arrangement of the gates that form the regions for the sensor and the quantum dots. The authors in [4] and [5] describe the overall experiment setup, and Fig. 3 shows the schematic representation of the signal path.

The works mentioned report on two types of existent noise that are relevant for this work: 1) charge noise and 2) thermal noise (see Table 1). According to the work in [6], charge noise shows pink characteristics. For pink noise, also known as $1/f$ -noise, the power spectral density is proportional to $f^{-\alpha}$ with typically $0.5 \lesssim \alpha \lesssim 2$ [7], [8], [9]. On the other hand, thermal noise, also known as Johnson–Nyquist noise, is approximately white and has a nearly Gaussian amplitude distribution if limited to a finite bandwidth [10]. Therein, white denotes a constant noise power spectral density.

1) SENSOR DOT PREPARATION

This work focuses on criteria to ultimately evaluate the eligibility of Coulomb peaks. Therefore, this analysis requires that the sensor dot has been formed, i.e., Coulomb oscillations² are visible in barrier–barrier scans. This requires numerous device-dependent tuning steps, which for our device are as follows.

- 1) Deplete 2DEG below DL/DR to split the sample in sensing and computation region.

²Coulomb oscillations are voltage-dependent oscillations in conductance through a quantum dot.

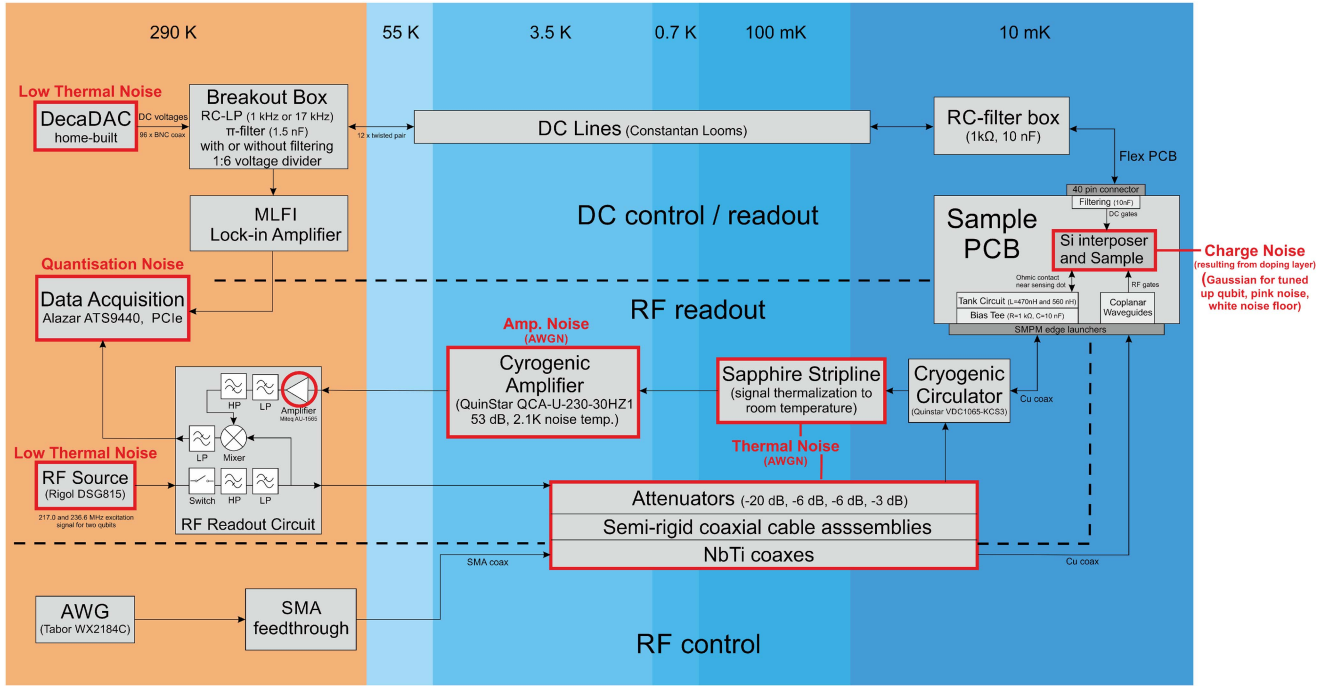


FIGURE 3. Diagram of the signal path. The sample printed circuit board (PCB) contains the current sample with the gates for the sensor dots and quantum dots. In the dc part, a digital-to-analog converter (DecaDAC) processes and generates the gate voltages. Then, the breakout box filters these voltages before arriving at the area cooled to 55 K. Here, 12 twisted pairs of dc lines (Constantan looms) transmit the signals to the RC filter box, which operates at only 10 mK. After filtering, the voltages reach the gates on the sample PCB. The radio frequency (RF) part implements a homodyne detection setup. A single tone generated by an RF source is sent via a coaxial cable and a circulator to the sample PCB where it is matched to the sensor dot impedance by an LC tank circuit. The circulator directs the signal reflected at the sample to a second coaxial cable. This signal is thermalized by a sapphire stripline and amplified at 3.5 K and at room temperature, where it is demodulated, low-pass filtered, and finally digitized using a PCI Express card.

- 2) Pick a voltage for the plunger SP_i of sensor dot $i = 1, 2$ (see Fig. 2) from a priori knowledge.
- 3) Test if Coulomb oscillations are visible in barrier-barrier (SL_i/SR_i) scans. If not, go back to 2. Otherwise, select the voltage range with Coulomb oscillations.

The last step relies on wide-scan measurements using the same measurement principle as described in Section II-A2 for the narrow scans. The exemplary scan in Fig. 4 covers a wide voltage range to determine the area with Coulomb oscillations (red box). Promising approaches for its localization include the application of the following:

- 1) classical image processing methods comprising the following:
 - a) the Gabor filter [11];
 - b) region growing, edge detection, K-means clustering with contouring, and template matching [12];
- 2) object detection with deep learning [12], [13], [14].

After performing the above steps, the measurement of the narrow scan data described in Section II-A2 enables the analysis presented in this work.

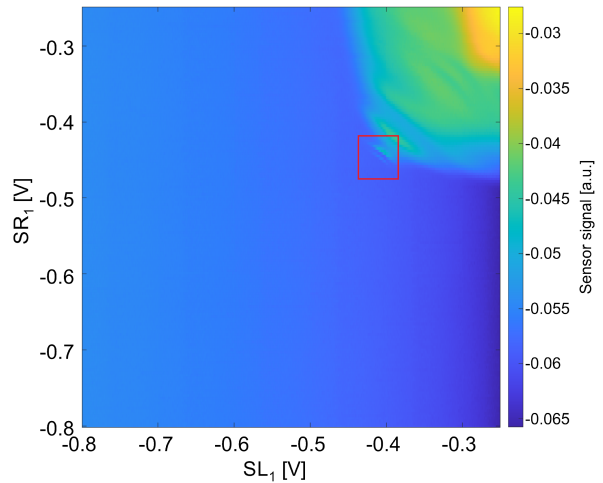


FIGURE 4. Wide-scan data of a sensor dot with a red box marking the area of interest that contains the Coulomb oscillations. In this area, a sensor dot has been formed and can be further adjusted.

2) SENSOR DOT NARROW SCANS

Predominantly, the conductance characteristics of sensor dots $G_{sd}(SL, SR)$ represented in a sensor dot scan are controlled via the two barrier gate voltages (SL_i and SR_i for sensor dot $i = 1, 2$ in Fig. 2). A fine or narrow scan in the

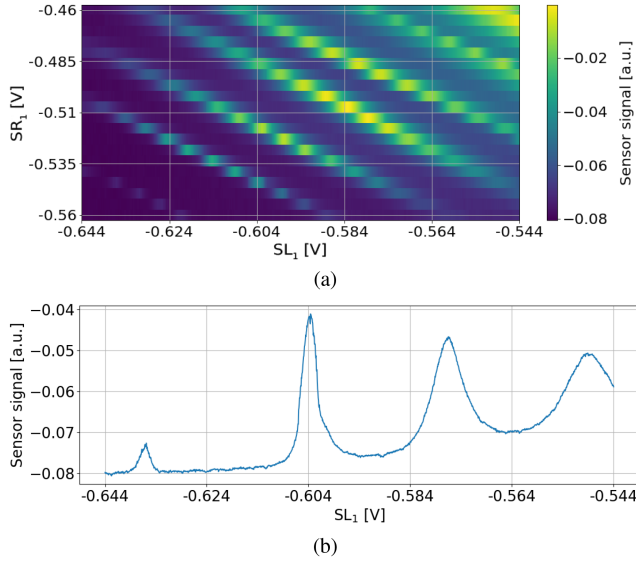


FIGURE 5. Example data from sensor dot measurements. (a) Sensor dot narrow scan data. (b) Row data of the narrow scan in (a) with $SR_1 = -0.544$ V.

region with Coulomb oscillations [shown in Fig. 5(a)] sufficiently resolves the oscillations to determine an optimal sensor dot working point. These narrow scans are of interest to the present work.

Due to the experimental setup and measurement time reduction, the voltage on one axis changes stepwise. The other voltage continuously ramps up in a defined voltage interval while an integration generates the measurement data. In our experiments, a narrow scan typically delivers 10 or 20 rows of data consisting of 1664 data points each. This distinct anisotropic 2-D data resolution requires a rowwise data analysis to avoid artifacts. Fig. 5(b) shows a 1-D data example of a single row.

3) REQUIREMENTS

To provide an optimal operating point, the requirements for a qualified region are as follows:

- 1) a steep slope to achieve the strongest possible response and sensitivity³ [4], [15];
- 2) adequate linearity of the slope to achieve a constant behavior within the region;⁴
- 3) a large region or rather a wide voltage range to have as much flexibility as possible when the working point shifts;
- 4) a sufficient signal-gradient-to-noise ratio.

The operation point selection is sensitive to the last requirement and, thus, requires precise noise estimation. Therefore, we propose the minimal signal gradient (MSG)

³A steep slope as a function of the gate voltage corresponds to a steep slope as a function of the change of the electrostatic potential, which is the parameter to be measured later on.

⁴Automatic analysis can benefit from a linear response, e.g., for background compensation.

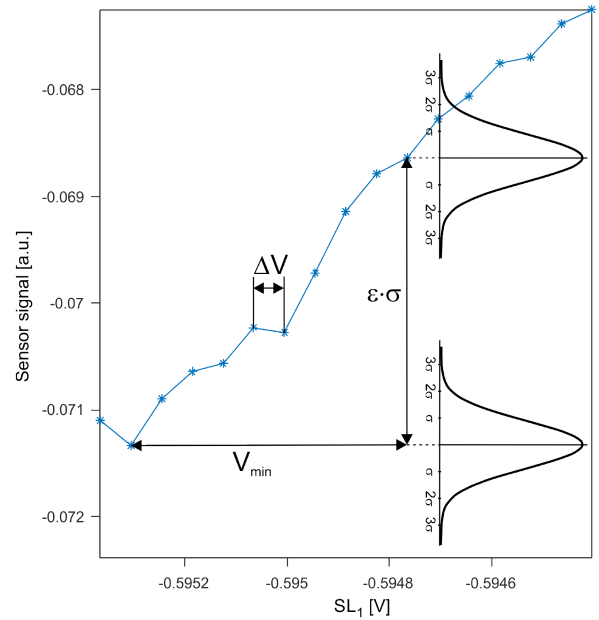


FIGURE 6. Visualization of the MSG criterion (1) with ϵ : desired signal-gradient-to-noise factor, σ : estimated noise STD, ΔV : voltage sample distance, and V_{\min} : minimal required voltage resolution of the sensor dot.

criterion to ensure a sufficient signal-gradient-to-noise ratio in a qualified region

$$MSG = \epsilon \cdot \sigma \cdot \frac{\Delta V}{V_{\min}} \quad (1)$$

where σ denotes the standard deviation (STD) of the estimated noise, ΔV the voltage sample distance, V_{\min} the minimal required voltage resolution of the sensor dot, and ϵ the desired signal-gradient-to-noise factor. Fig. 6 shows a visualization of the MSGs composition. For a Gaussian distribution, 99.73% of the noise is in the range of 3σ . We choose a value of 10 for ϵ , resulting in an additional security distance of 4σ between two measurement points. The term $\frac{\Delta V}{V_{\min}}$ relates the minimal required voltage resolution to sample points. Thus, if the gradient in a qualified region is always greater than the MSG, the signal change for each V_{\min} is at least ϵ times as large as the noise σ .

B. NOISE ESTIMATION

Noise estimation is still a highly discussed topic in data analysis and processing. In signal processing, noise refers to unknown, unwanted distortions a signal may experience during acquisition, storage, transmission, processing, or conversion [16]. From the estimation theory viewpoint [17], [18], our 1-D measurement (the observation) is regarded as one realization of a sensor measurement (the random process). The parametric model described in Section III-B (the observation model) translates our a priori knowledge on the data. Primarily, our statistical signal part consists of Gaussian distributions (the probability laws) described by parameters. The task of the estimation is to find an approximate value

for the parameters. In our case, only the estimation of the final noise amplitude's STD in the 1-D data is required for the MSG criterion in (1).

However, existing state-of-the-art estimators often specialize in a particular application and data dimensionality. Therefore, to evaluate the estimators, we collect them, examine their adaptability to 1-D space, and, if possible, revise them. The following sections provide a classification overview on different noise estimation approaches. A more general insight into different noise estimators can be found in [19], [20], [21], [22], and [23].

1) SPATIAL DOMAIN APPROACHES

Approaches that work with spatial domain analysis apply the following:

- 1) local filtering techniques [24] and statistical analysis using the following:
 - a) the Laplacian filter [25];
 - b) Laplacian and gradient data masks [26];
 - c) a modified Gaussian filter [27];
 - d) the ROAD-statistic-based impulse detector [28];
- 2) truncated local Taylor series approximation [29];
- 3) a step signal model utilizing polarized derivatives and their nonlinear combination [30];
- 4) a minimally controlled recursive averaging technique and neighborhood analysis [31];
- 5) Mahalanobis distance measure from multivariate empirical mode decomposition [32];
- 6) a difference prefiltering and statistical evaluation of a histogram of local signal variances [33];
- 7) singular value decomposition (tail part) and content-dependent parameter determination [21].

2) PATCH-BASED APPROACHES

Patch-based approaches subdivide the data into homogeneous regions (patches, blocks, or superpixels). Patches are analyzed with different approaches using the following:

- 1) the mean of local variance of homogeneous regions [34];
- 2) a gradient approach (Laplace) [35];
- 3) the Sobel filter and an averaging filter [36];
- 4) Kendall's τ [37], [38];
- 5) principal component analysis [39] applying for patch selection:
 - a) static [40], [41] and adaptive [42] thresholding or statistics of the patches' local variance [43];
 - b) a multidirectional high-pass operator as uniformity estimator followed by the calculation of a quantity threshold of homogeneity measure [44];
 - c) the eigenvalues of the gradient covariance matrix of the patches [45], [46];
 - d) the statistical relationship between the noise variance and the eigenvalues of the covariance matrix of patches [47];

- e) an iterative strategy to adaptively choose the optimal set of patches [48], [49], [50].

3) TRANSFORM-BASED APPROACHES

The methods in this category apply the transformation of the given data with different approaches for noise identification:

- 1) wavelet decomposition [51], [52], [53];
- 2) trained moments and cumulative distribution functions of wavelet components [54];
- 3) goodness-of-fit test on dual-tree complex wavelet transform coefficients [55];
- 4) Morrison noise reduction method [56];
- 5) discrete cosine transformation [57], [58], [59], [60]:
 - a) with analysis of variance and kurtosis or [61];
 - b) utilizing a thin-plate spline approximation [62].

III. EVALUATION PROCEDURE

A. NOISE ANALYSIS

To evaluate the estimators, we need to analyze the nature of the existing noise to construct simulated data as the ground truth. Random fluctuations and telegraph noise [63] are not of interest here. Moreover, dedicated algorithms have to detect them and reject contaminated flanks.

For noise analysis, a common strategy is to separate the noise from the signal by reconstructing the initial signal. However, various problems arise when fitting the signal with Lorentzians [64], the well-known behavior of Coulomb oscillations [65]. Usually, the fit works well for single peaks not influenced by neighboring peaks. However, peaks overlap in most of our data, challenging a suitable fit. Notably, the peak position is unknown for incomplete oscillations at the signal borders. We also observe several other distortions diverging from the Lorentzian model, including an overall signal drift, oscillations in the signal [see Fig. 7(a)], and peaks that do not conform to the expected shape [see Fig. 7(b)]. Moreover, fitting with Lorentzians is considerably more time-consuming, as the dimensionality of the optimization problem increases with the number of peaks and sometimes requires manual adjustments.

Therefore, we follow a general, fully automatic approach to extract the noise. First, we perform 1-D Gaussian smoothing followed by a spline interpolation to ensure signal steadiness. Then, we subtract the approximated signal from the original signal to reveal a first assessment of the present noise, as visible in Fig. 8. The example suggests that the noise characteristic is not homogeneous but depends on the signal regime.

To further investigate the signal dependence of the noise, we perform a local noise estimation using the methods of Chen et al. [47]⁵ and Donoho [52] in a sliding window. Its size should balance sufficient statistics for a robust estimate with enough local significance. Therefore, we choose a window size of 201, considering the confidence intervals for

⁵With a patch size of 6.

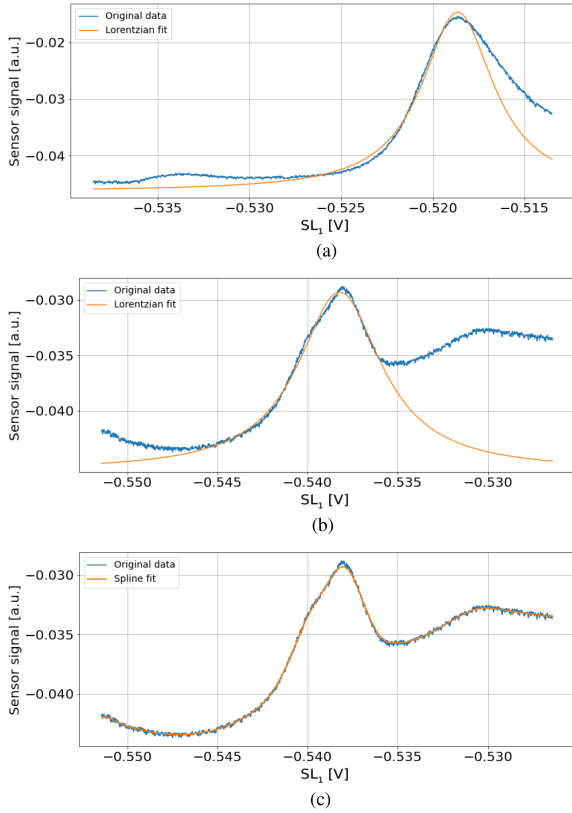


FIGURE 7. Examples of problems when applying a fit with Lorentzians. (a) Oscillations in the signal and missing information about the influence of the next peak. (b) Unexpected peak shape and substantial interference between peaks. (c) Same data fit as in (b) but using Gaussian smoothing and spline interpolation.

the STD of a draw from a normal distribution⁶ (see Fig. 9). Within the local noise analysis framework, we could confirm more substantial local noise in the flanks and a more distinctive overall noise scattering compared to the pure additive white Gaussian noise (AWGN) case. Among others, these originate from distortions directly affecting the gate voltages or occurring in the sample and are amplified parametrically by the sensor.

B. NOISE MODEL

1) STANDARD MODEL

The simplified generic model of the measured signal G_m consists of an idealistic noise-free signal G_{sd} at the sensor dot and additive noise n , including all noise sources

$$G_m(SL, SR) = G_{sd}(SL, SR) + n. \quad (2)$$

n is composed of the gradient-dependent noise (n_{grad}) before and the constant noise (n_{const}) after the dot

$$\begin{aligned} n &= n_{grad} + n_{const} \\ &= X_{grad} \cdot \frac{\partial}{\partial SL} G_{sd}(SL, SR) + X_{const} \end{aligned} \quad (3)$$

⁶Calculated using the chi-squared distribution.

with X_{grad} and X_{const} representing random variables for the noise distributions. According to Section II-A, we presume pink noise for n_{grad} and white noise for n_{const} . The amplitude of the individual noise sources is sufficiently independent and normally distributed. Based on the central limit theorem, we summarize both types of noise as one normal distribution. This results in the following representation:

$$\begin{aligned} X_{grad} &\sim \mathcal{N}(0, \sigma_{grad}^2) \\ X_{const} &\sim \mathcal{N}(0, \sigma_{const}^2) \end{aligned} \quad (4)$$

with σ being the STD of the corresponding noise in the system. Because X_{grad} influences the applied voltages, it is multiplied by the signal gradient to obtain the measured noise n_{grad} . In a sufficient linear region, the corresponding STD $\hat{\sigma}_{grad}$ can be calculated

$$\hat{\sigma}_{grad} = \sigma_{grad} \cdot \frac{\partial}{\partial SL} G_{sd}(SL, SR). \quad (5)$$

The STD of the total noise in the measurement data σ_n is composed of σ_{const} and $\hat{\sigma}_{grad}$

$$\sigma_n = \sqrt{\hat{\sigma}_{grad}^2 + \sigma_{const}^2}. \quad (6)$$

2) EXTENDED MODEL

Although we found no clear evidence for the presence of linearly signal-dependent noise (n_{lin}) in the measured data, we extended our model by n_{lin} , as it occurs in many applications

$$\begin{aligned} G_m(SL, SR) &= G_{sd}(SL, SR) + n_{grad}(SL, SR) \\ &\quad + n_{const} + n_{lin}(SL, SR) \\ n_{lin}(SL, SR) &= X_{lin} \cdot G_{sd}(SL, SR) \\ X_{lin} &\sim \mathcal{N}(0, \sigma_{lin}^2) \\ \hat{\sigma}_{lin} &= \sigma_{lin} \cdot G_{sd}(SL, SR). \end{aligned} \quad (7)$$

We assume n_{lin} to be approximately white. The extended model allows for evaluating the estimators for a broader possible application spectrum.

C. INITIAL VALUATION FOR NOISE PARAMETERS

We perform the first assessment for the different noise parts with the noise estimators of Donoho [52] and Chen et al. [47]. Flat areas with a low slope in the 1-D measurement signal $G_{m,1-D}(SL)$ qualify to determine an initial guess for σ_{const} , whereas in steep areas, we estimate σ_{est} . Then, we calculate an initial guess for σ_{grad}

$$\sigma_{grad} = \frac{\sqrt{\sigma_{est}^2 - \sigma_{const}^2}}{\sqrt{G_{m,1D}}} \quad (8)$$

where $\sqrt{G_{m,1D}}$ denotes the average gradient of an area. Fig. 10 illustrates the results for the estimator of Donoho. Furthermore, Table 2 lists the corresponding centralized 95%-quantile (CQ_{0.95}) interval for σ_{const} , σ_{grad} , and $\hat{\sigma}_{grad}$. For the last, we multiply σ_{grad} with the average gradient of

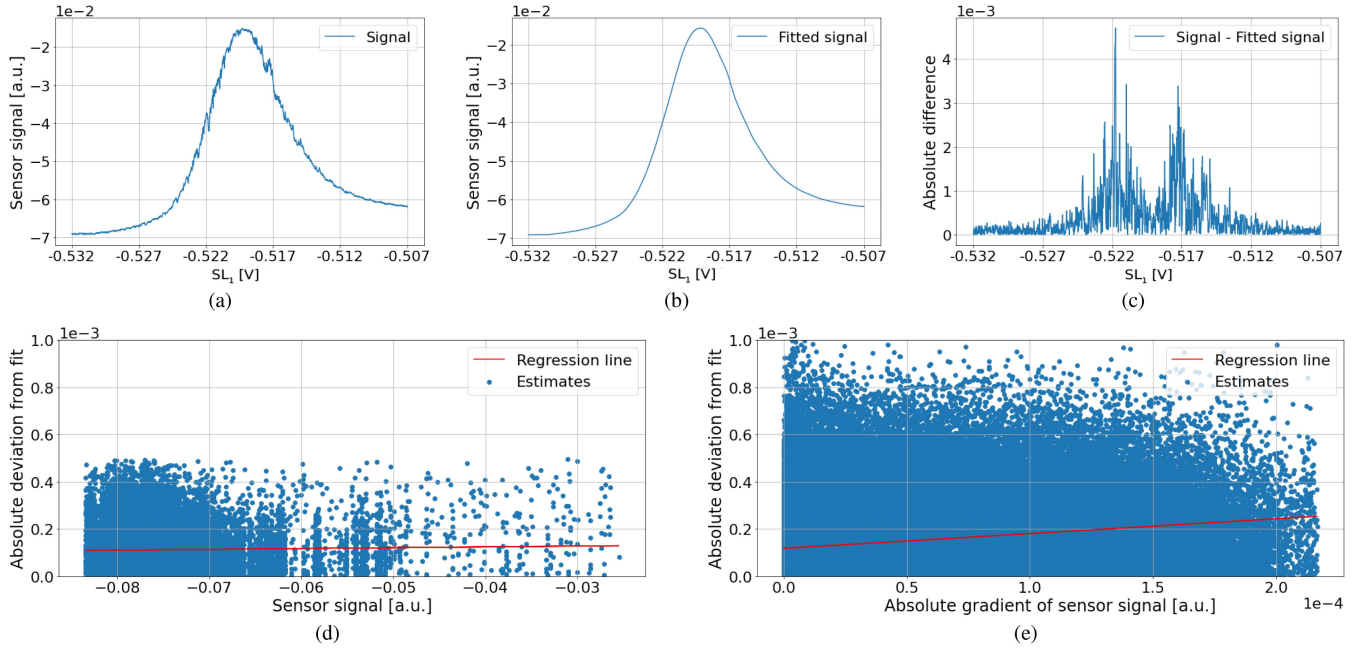


FIGURE 8. Initial noise analysis by fitting the signal and calculating the difference in fit with the original signal. Example showing (a) the signal, (b) the fitted version of the signal, and (c) the absolute difference between the signal and the fit. (d) Dependence of the noise on the sensor signal only in flat regions. Thus, we suppress the gradient-dependent parts. Signal peaks often cannot fulfill the flatness criterion, resulting in fewer estimates. The regression line shows a noise increase of 18.33% and does not indicate any relevant dependence. (e) Dependence of the noise on the sensor signal gradient. The regression line shows a clear dependence on the gradient, with an increase of 115.52%.

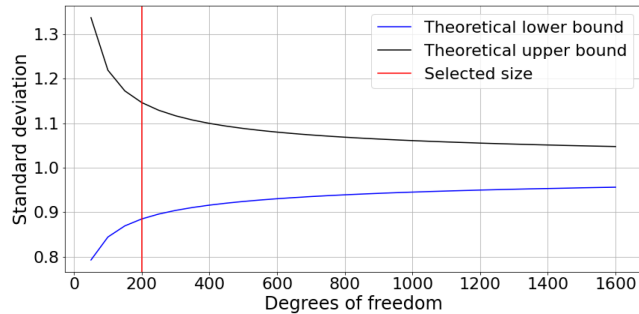


FIGURE 9. Theoretical confidence interval for the STD of 1 with $\alpha=0.01$ and different degrees of freedom.

TABLE 2. Results of the Initial Valuation for Different Noise Types Using the Estimator of Donoho

Parameter	Value
$CQ_{0.95}$ interval for σ_{const}	$[8.6; 13.9] \cdot 10^{-5}$
$CQ_{0.95}$ interval for σ_{grad}	$[0.4; 4.7]$
$CQ_{0.95}$ interval for $\hat{\sigma}_{grad}$	$[1.4; 16.9] \cdot 10^{-5}$

the dataset (3.6×10^{-5}). Notably, the $\hat{\sigma}_{grad}$ interval scatters more than the σ_{const} interval, as the scattering of σ_{const} still affects the calculation of σ_{grad} . The estimator of Chen et al. produces similar results but with less scattering.

We also conduct an initial valuation for the n_{jin} . For this purpose, we consider different levels of flat regions to suppress n_{grad} and divide the estimates by the average signal of the corresponding region. Again, we cannot observe an existing n_{jin} of sufficient significance in these investigations.

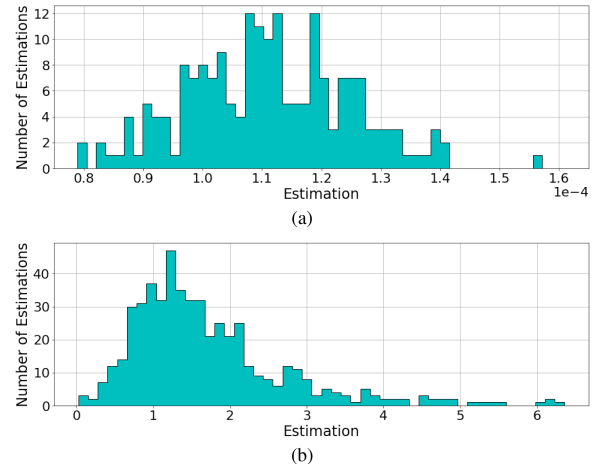


FIGURE 10. Histogram of the estimated initial guess for the noise distributions σ obtained using the estimator of Donoho. (a) Estimates for σ_{const} . (b) Estimates for σ_{grad} .

D. SIMULATION OF DATASETS

For our examination, we create two separate datasets. The first is to optimize the estimator parameters, and the second is to perform the quantitative analysis. The optimization dataset consists of 65 images and the evaluation dataset of 203 images. Both sets realize the standard and the extended noise model added to a fitted version of the measured signal. Table 3 gives an overview of the value ranges of the data and gates.

Starting with the initial guess (see Section III-C), we further optimize the noise generation parameters for each image

TABLE 3. Parameters of the Measured Data

Dataset	SL voltages [mV]	SL range [mV]	SL stepsize [mV]	SR voltages [mV]	SR range [mV]	Sensor signal [10^{-3} a.u.]
optimization	[-668.58, -398.03]	[25.00, 100.00]	[0.015, 0.060]	[-603.42, -442.69]	[25.00, 100.00]	[-84.85, 4.30]
evaluation	[-694.74, -508.68]	[25.00, 100.00]	[0.015, 0.060]	[-691.31, -404.08]	[25.00, 100.00]	[-75.56, 12.01]

The gate voltages used differ between individual scans, and the individual dynamic voltage range falls into the interval of the respective gate. The stepsize indicates the difference in voltage between two measuring points.

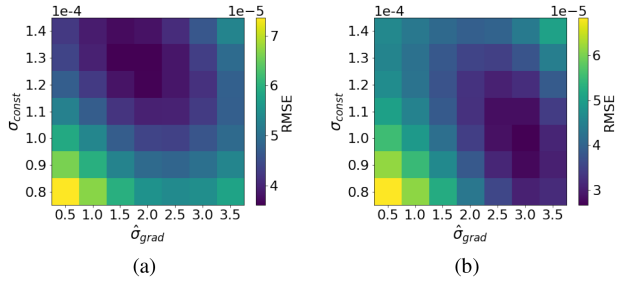


FIGURE 11. Optimization results of noise parameters for the standard noise model. Heat map of the RMSE of noise parameter combinations across all images for (a) the optimization dataset and (b) the evaluation dataset.

independently because of the scattering of their noise levels. The root-mean-square error (RMSE) between the locally estimated STD of the measured and the simulated data steers optimizing the regionally differing noise in the complete signal. We choose the estimator of Chen et al. to target a low variation of the estimates.⁷ A PCG family⁸ generator, known for its excellent performance in statistical tests [66], creates the AWGN part, whereas the algorithm from [67]⁹ produces the pink noise part.

Fig. 11 shows the optimization results for standard noise model parameter combinations. We generate the simulated datasets with the best combination per image.

E. FLANK OF INTEREST DETECTION

First, our flank-of-interest (FOI) detection algorithm calculates the absolute gradient of a fit to the measured data. Then, it selects maxima with a value of at least *discard_weak* times the value of the strongest one in the current row. Doing so dynamically prevents the inclusion of small maxima resulting from disturbances in the original signal. Next, around each selected maximum, the algorithm determines a candidate region defined by values higher than *increase_until* times the maximum. Finally, it records only candidate regions as FOIs with at least *min_size* data points determined from the

⁷The data are not optimized for the estimator to estimate as accurately as possible, but to be as similar as possible to the real data. Thus, the estimator used has no advantage in the evaluation process by being used for data optimization. The underlying artificial noise and the accuracy of the estimation is not known to the estimator at any time.

⁸PCG64 from NumPy-module random.

⁹Implemented in the python library colorednoise

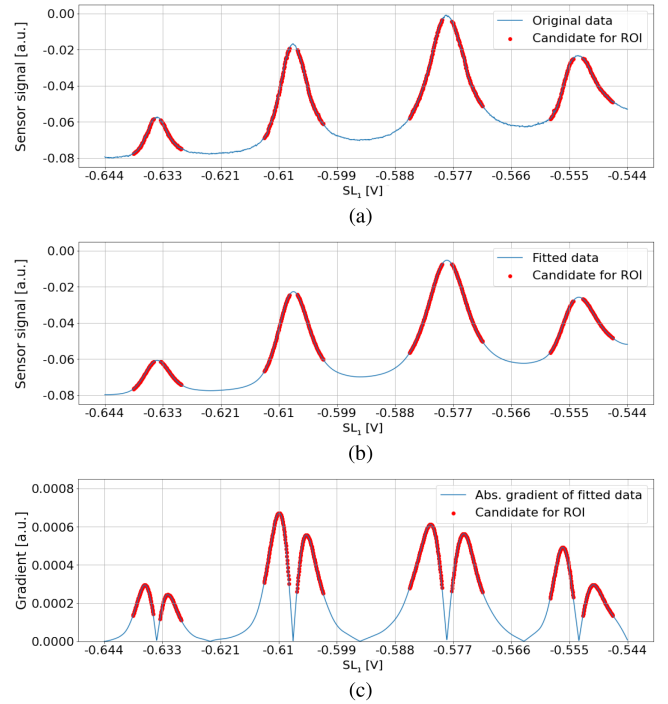


FIGURE 12. FOI detection: FOIs, defined by the minimal gradient, the minimal relative deviation from the local gradient maximum, and the minimal region size, are colored red. (a) Single row of measured data. (b) Fitted row. (c) Absolute gradient of the fitted row.

sampling rate and a voltage range of 3 mV. Default values used for our sensor dot scans are as follows.

- 1) $min_size = \lceil \frac{3 \text{ mV}}{\Delta x} \rceil$.
- 2) $increase_until = 0.5$.
- 3) $discard_weak = 0.2$.

The example in Fig. 12 visualizes detected and selected edges in the signal.

F. SETUP OF ESTIMATORS

Table 4 gives an overview of the estimators considered in our work, their references, and the source code used. We only consider estimators adaptable to 1-D signals.

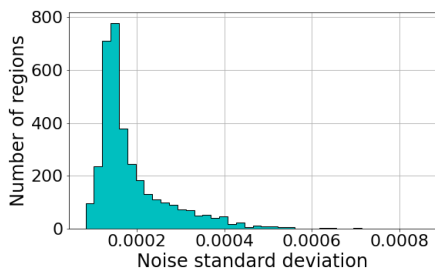
We use the simulated optimization dataset to optimize the parameters of the estimators. The cost function consists of the RMSE between the estimated and the actual STD of all detected FOIs. Instead of using the STD parameters of the noise generation, we calculate the actual STD of the added

TABLE 4. Implementations of the Noise Estimators, Sorted by the Year of Publication

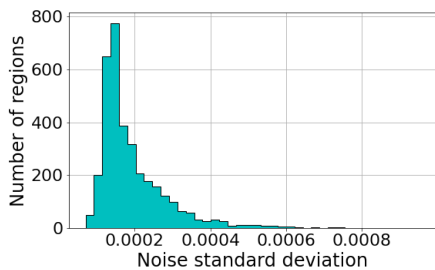
Estimator name	Year	Ref.	Source Code	Programming language
<i>Olsen</i>	1993	[24]	[68]	Matlab
<i>DonohoJohnstone</i>	1994	[51]	[69]	Matlab
<i>Donoho</i>	1995	[52]	[69]	Matlab
<i>Immerkær</i>	1996	[25]	[69]	Matlab
<i>AbdelnourSelesnick</i>	2000	[53]	[69]	Matlab
<i>Garnett</i>	2005	[28]	[70]	Matlab
<i>Smith</i>	2006	[56]	[56]	Matlab
<i>D’Errico</i>	2007	[29]	[29]	Matlab
<i>TaiYang</i>	2008	[35]	[68]	Matlab
<i>ZoranWeiss</i>	2009	[61]	[71]	Matlab
<i>Garcia</i>	2010	[62]	[72]	Matlab
<i>YangTai</i>	2010	[36]	[73]	Matlab
<i>Liu</i>	2012	[45]	[74]	Matlab
<i>Laligant</i>	2013	[30]	[68]	Matlab
<i>Pyatykh</i>	2013	[41]	[75]	Matlab
<i>Chen</i>	2015	[47]	[76]	Python
<i>Sutour</i>	2015	[38]	[77]	Matlab
<i>Ponomarenko</i>	2017	[59]	[78]	Matlab

TABLE 5. Results of the Noise Estimator Parameter Optimization

Estimator name	Parameters	Value
<i>Olsen</i>	Percentage of points having a low gradient to take into account	55.0
	Averaging type (0='lms'; 1='mean')	1.0
<i>Smith</i>	Maximum number of iterations to use in the Morrison denoising method	1.0
<i>TaiYang</i>	Threshold for excluding structures and details after edge detection	100.0
<i>ZoranWeiss</i>	Patch size	4.0
<i>YangTai</i>	Threshold for excluding structures and details after edge detection	1.0
<i>Liu</i>	Patch size	2.0
<i>Pyatykh</i>	Patch size	6.0
<i>Chen</i>	Patch size	3.0
<i>Ponomarenko</i>	Number of DCT coefficients of each image block to be used for further analysis	4.0



(a)



(b)

FIGURE 13. Histogram of the actual noise STD in an FOI for the evaluation dataset. (a) Standard noise model. (b) Extended noise model.

noise to improve the accuracy of the ground truth. Table 5 shows the best parameters used for the evaluation. Since we found the same best parameters for both noise models, we omit a further comparison between the models at this point.

Although initially working in manually selected regions, the *YangTai* and *Sutour* approaches are not applicable because their internal homogeneity criteria do not match the characteristics of our data. Therefore, we exclude these estimators from further evaluation. Due to similar reasons, the *Liu* estimator only enters its first iteration in the estimation process. However, we maintain this approach because the initial estimation is competitive.

IV. RESULTS

A. QUANTITATIVE ANALYSIS USING SIMULATED DATA

We test the estimators on the evaluation dataset (see Section III-D) in regions detected with the algorithm described in Section III-E. The ground truth is calculated the same way as in Section III-F. The histograms in Fig. 13 show the distribution of FOIs to actual STDs for the two noise models. Considerably, both distributions are very similar, and most FOIs have an STD less than 2×10^{-4} .

The boxplots in Fig. 14 show the STD estimation errors of the different approaches. The entire box of most estimators is negative, indicating their tendency to underestimate the noise. Additionally, notably, most estimators rarely show any upward outliers. However, the *Pyatykh* and *Smith* approaches have a symmetric distribution of errors around zero.

In terms of the interquartile range (IQR) and the centralized 99%-quantile range ($C_{0.99R}$), the best approaches are *Chen*, *ZoranWeiss*, *Liu*, and *Smith*. Overall, *Chen* achieves the best results; only *Smith* outperforms it on the extended noise model concerning the $C_{0.99R}$.

Similarly, these four estimators perform best concerning the RMSE. Here, the good result of *Smith* derives from its

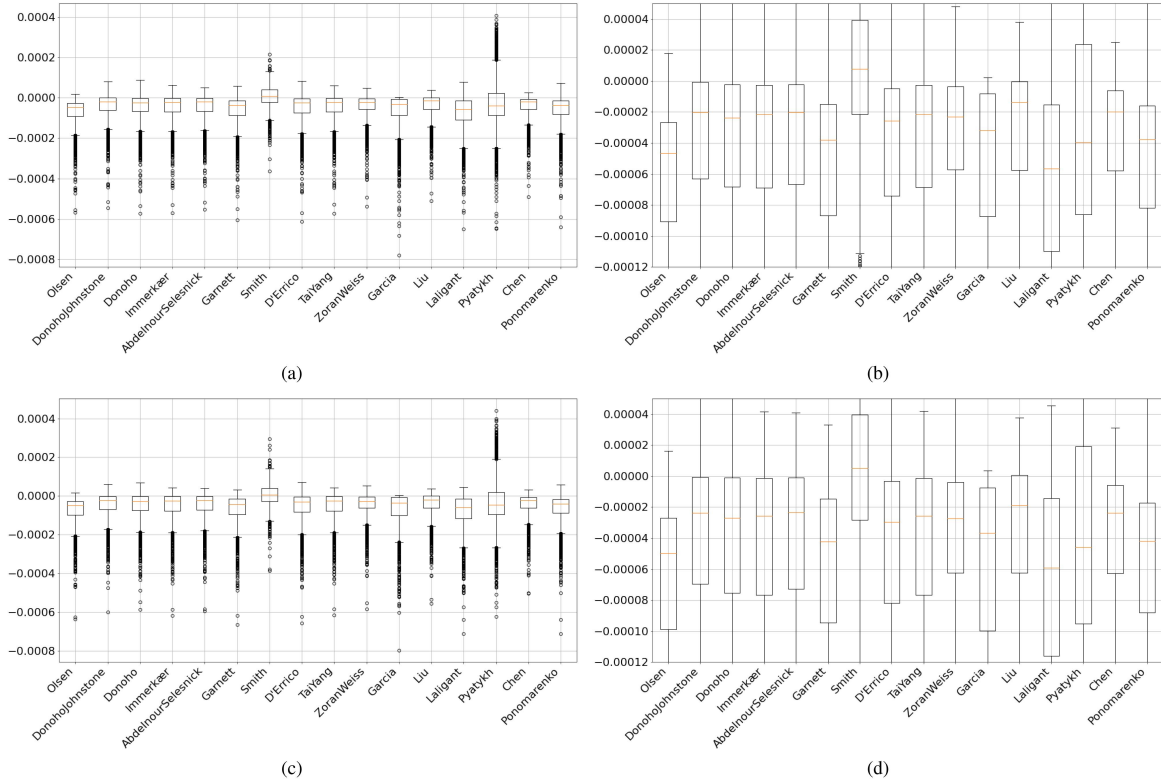


FIGURE 14. Boxplots of the errors on the evaluation dataset. Each box contains the central 50% of the data, bounded by the upper and lower quartiles. Its length corresponds to the IQR, indicating the dispersion of the data. Furthermore, the red line in the box denotes the median. The whiskers represent the values outside the box, with lengths limited to 1.5 times the IQR. All other values are treated as outliers and marked as dots. (a) Standard noise model. (b) Standard noise model clipped to the box. (c) Extended noise model. (d) Extended noise model clipped to the box.

mean close to zero and not from a good dispersion. Additionally, Tables 6 and 7 in Appendix C show the values for the quartiles, the associated IQR, the centralized 99%-quantile ($CQ_{0.99}$), the $C_{0.99}R$, and the RMSE.

The scatter plots for the standard noise model in Fig. 15¹⁰ show the estimated and actual STD per FOI for the *Smith*, *Chen*, *Liu*, and *ZoranWeiss* estimators. The *Chen* estimator has the lowest dispersion in compliance with the IQR and $C_{0.99}R$ results. The underestimation of the noise is systematic and, therefore, can be approximately corrected with a compensation term. The *Smith* estimator already uses such a correction term. Additionally, we include investigations on the performance of the estimators on pure AWGN and possible reasons for the underestimation behavior in Appendices A and B.

B. QUALITATIVE EVALUATION: DETECTION OF QUALIFIED REGIONS IN MEASURED DATA

Due to missing ground truth, a quantitative analysis of the estimation errors in the measured data is inapplicable. However, we can evaluate the impact of the estimators on the de-

tection of qualified regions which comprise FOIs satisfying the MSG criterion from (1).

Therefore, we first determine FOIs using the algorithm described in Section III-E. Then, for each FOI, we calculate the local MSG using an estimator to determine the STD of the local noise. Then, we determine the local gradient via a fitted version of the signal as in Section III-A. Finally, we check whether the absolute value of the local gradient consistently exceeds the MSG. If valid, we accept the FOI as a qualified region.

We perform the qualified region detection with the *Chen* (with and without underestimation compensation) and *Pyatykh* estimator to examine their scatter and underestimation influence. Whereas the *Chen* approach shows the lowest dispersion but an underestimation tendency, the *Pyatykh* estimator features the highest dispersion.

The results (see Fig. 16) show that the flanks shift from row to row, forming diagonal wavefronts across several rows. Notably, rejected regions (red) are present where these wavefronts fade out because lower gradients no longer satisfy the MSG criterion. The above behavior is presumably less regular for an estimator with broader dispersion than with smaller dispersion.

Accordingly, the *Chen* estimator produces results without a random acceptance or rejection of FOIs [see Fig.

¹⁰The plots of the other estimators as well as plots for the extended noise model are included in Figs. 20 and 21 in Appendix C.

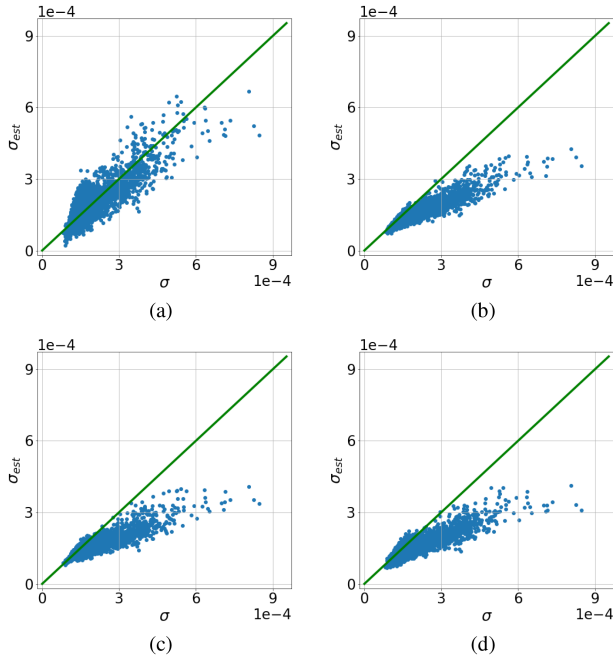


FIGURE 15. Scatter plot (standard noise model) of the actual versus estimated STDs for the estimators of (a) *Smith*, (b) *Chen*, (c) *Liu*, and (d) *ZoranWeiss*. The green line in the figures references a perfect estimate. Each point in the scatter plot represents estimation for one FOI. As expected, the estimates of the *Smith* estimator scatter more evenly, whereas the other estimators tend to underestimate the noise. However, the *Smith* method scatters more strongly than the other estimators.

16(a)]. Moreover, the detection with the *Pyatykh* estimator [see Fig. 16(b)] shows rejections in strong and acceptance in low wavefront areas due to its higher scattering. A reduced signal-to-noise ratio due to the dominant constant noise floor intensifies this behavior in low wavefront areas. To assess the influence of the underestimation, we correct the *Chen* estimator by an approximated compensation derived from the regression line in the examinations on simulated data [see Fig. 16(d)]. Again, the results [see Fig. 16(c)] show no random behavior; only one might observe a negligible amount in the weak parts of the wavefronts.

V. CONCLUSION

This article presents an approach to the noise-sensitive automated tuning of sensor dots in gate-defined semiconductor quantum dots. First, we defined an MSG criterion that requires a local noise estimate to identify qualified regions in sensor dot scans. Subsequently, our evaluation methodology for the estimators comprises a noise analysis, a noise model, the generation of simulated datasets for quantitative analysis, and an optimization of the estimator parameters. Then, the analysis based on the simulated data reveals the quantitative quality characteristics of the estimators and their tendency to underestimate. Finally, on measured data, we showed that a low estimation dispersion is of higher

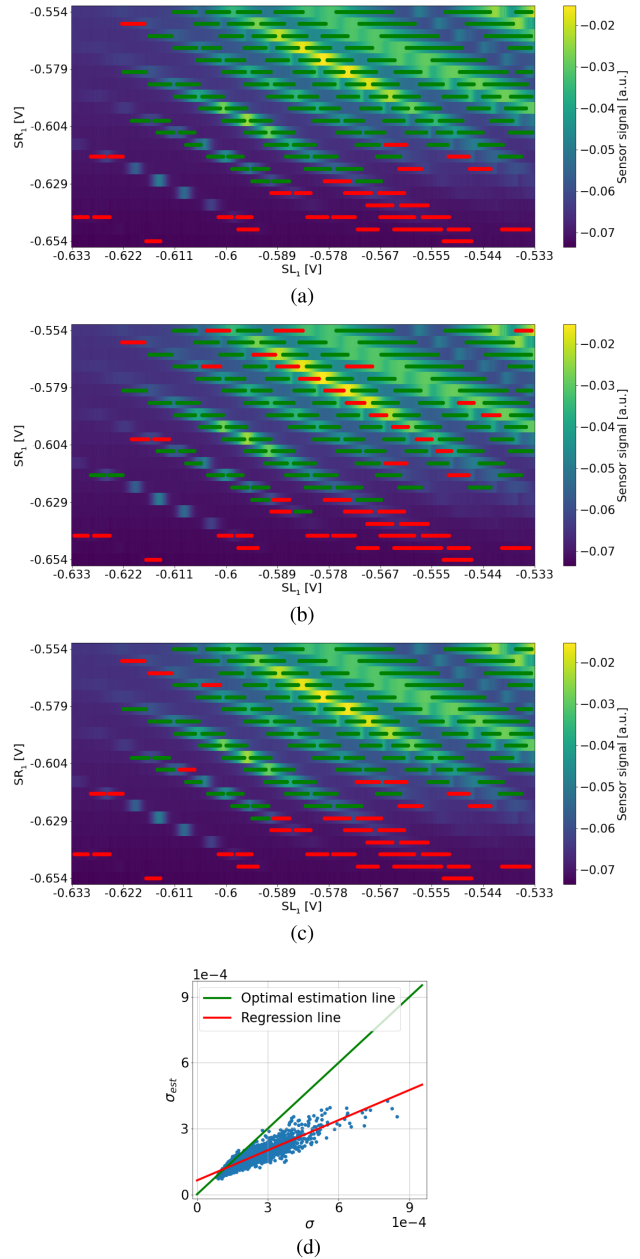


FIGURE 16. Results of the investigation regarding the sensitivity of the quality of results to broader dispersion of the estimation. (a) *Chen* approach, (b) *Pyatykh* approach, and (c) *Chen* approach with approximated compensation. Qualified regions are marked green, and rejected regions are marked red. (d) Regression line in *Chen*'s scatter plot from Fig. 15(b).

relevance for the region identification than the amount of underestimation.

Therefore, when approximately compensating for the underestimation, we propose to use the estimator of *Chen* et al. [47], as it performs best in terms of dispersion. Additionally, it only needs an average runtime of 0.5 ms¹¹ per estimated

¹¹Using an Intel Xeon E5-2630 v2 - 2.6 GHz.

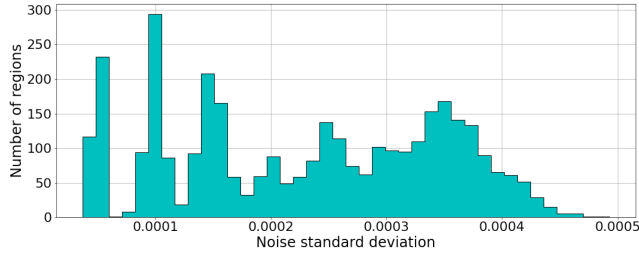


FIGURE 17. Histogram of the distribution of the actual STDs in the FOI found on the evaluation dataset with pure AWGN.

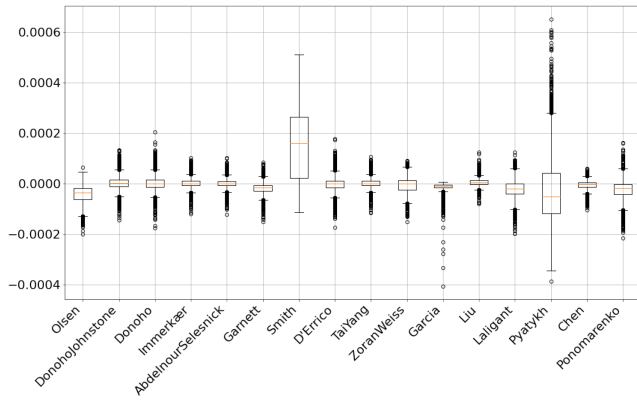


FIGURE 18. Boxplot of errors on the evaluation dataset with pure AWGN.

region and thus is not expected to be a limiting factor in an automated tuning process.

Future research in the automation of the sensor dot tuning needs to address several aspects on basis of the results presented here. Dependent on the different application scenarios such as tuning or readout, a flank selector has to optimize the balance between the flanks' width and steepness. Furthermore, the selector needs to map flanks to wavefronts, identify wavefront irregularities, and evaluate the neighborhood to select the most stable operation area. Additionally, an automated updating of the sensor dot to compensate its drifts can significantly improve the quality of the readout data and, thus, ease the automation of subsequent tuning steps. Eventually, all these steps have to tradeoff between complexity, flexibility, and robustness to enable a hardware implementation that will be necessary for a fully functional quantum computer.

VI. DATA AVAILABILITY

The measured sensor dot data that support the findings of this study are available from Jülich DATA with the identifier <https://doi.org/10.26165/JUELICH-DATA/QIIBZV>. All other data are available from the authors upon reasonable request.

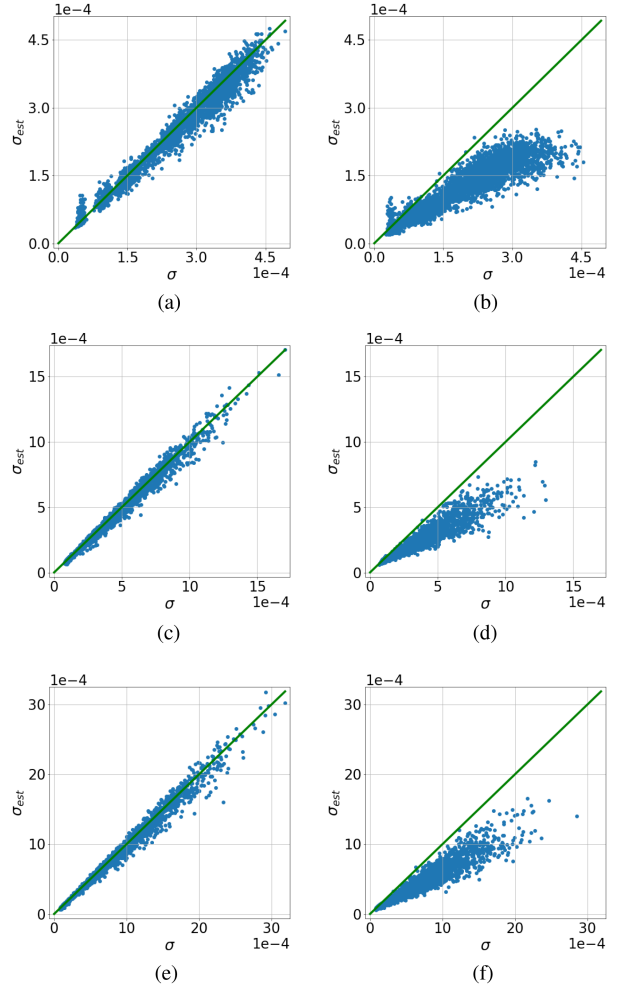


FIGURE 19. Scatter plots of the actual versus the estimated STDs for different noise models. The underestimation does not depend on the regional dependence of the noise but rather on the presence of pink noise. (a) White $\sigma_{\text{const}} = [0.5, 4] \times 10^{-4}$. (b) Pink $\sigma_{\text{const}} = [0.5, 4] \times 10^{-4}$. (c) White $\sigma_{\text{const}} = 10^{-4}$; White $\sigma_{\text{grad}} = 3$. (d) White $\sigma_{\text{const}} = 10^{-4}$; Pink $\sigma_{\text{grad}} = 3$. (e) White $\sigma_{\text{const}} = 10^{-4}$; White $\sigma_{\text{grad}} = 6$. (f) White $\sigma_{\text{const}} = 10^{-4}$; Pink $\sigma_{\text{grad}} = 6$.

APPENDIX A BEHAVIOR OF ESTIMATORS WITH PURE AWGN

In addition to the tests with the developed noise model, we briefly evaluate the behavior of the estimators with pure AWGN to examine the influence of the type of noise on the estimation quality. Therefore, we add simulated noise with eight different STDs from the interval $[0.5, 4] \times 10^{-5}$ to the smoothed data. Fig. 17 shows the actual distribution of STDs in the FOIs, and Fig. 18 shows the boxplot for the errors of the estimates. No systematic under- or overestimation of the noise occurs for most estimators.

In conclusion, a systematic under- or overestimation strongly depends on the existing noise characteristics. Therefore, this must be considered when developing a compensation strategy to improve the estimation.

TABLE 6. Quartiles, IQR, $CQ_{0.99}$, $C_{0.99}R$, and RMSE for the Error of the Estimates on the Evaluation Dataset With the Standard Noise Model, Sorted Ascending by IQR

Estimator	1st Quartile [10^{-5}]	3rd Quartile [10^{-5}]	IQR [10^{-5}]	$Q_{0.005}$ [10^{-4}]	$Q_{0.995}$ [10^{-5}]	$C_{0.99}R$ [10^{-4}]	RMSE [10^{-4}]
<i>Chen</i>	-5.79	-0.63	5.16	-2.60	1.39	2.73	0.65
<i>ZoranWeiss</i>	-5.72	-0.38	5.34	-2.63	3.03	2.93	0.67
<i>Liu</i>	-5.76	-0.04	5.72	-2.70	2.01	2.90	0.66
<i>Smith</i>	-2.14	3.93	6.07	-1.65	12.11	2.87	0.50
<i>DonohoJohnstone</i>	-6.31	-0.06	6.25	-2.86	4.23	3.29	0.73
<i>Olsen</i>	-9.07	-2.68	6.39	-3.26	0.18	3.28	0.93
<i>AbdelnourSelesnick</i>	-6.66	-0.24	6.42	-2.93	2.73	3.20	0.75
<i>Donoho</i>	-6.81	-0.22	6.59	-3.00	4.35	3.43	0.78
<i>Ponomarenko</i>	-8.17	-1.58	6.59	-3.29	3.13	3.61	0.89
<i>Immerkaer</i>	-6.88	-0.28	6.61	-2.96	2.74	3.23	0.77
<i>TaiYang</i>	-6.87	-0.26	6.61	-2.95	2.82	3.24	0.77
<i>D'Errico</i>	-7.40	-0.49	6.91	-3.04	4.47	3.49	0.82
<i>Garnett</i>	-8.66	-1.51	7.15	-3.28	2.00	3.48	0.90
<i>Garcia</i>	-8.76	-0.82	7.93	-4.09	0.10	4.10	1.01
<i>Laligant</i>	-10.97	-1.52	9.45	-3.57	3.23	3.89	1.06
<i>Pyatykh</i>	-8.62	2.36	10.98	-3.81	31.62	6.97	1.24

TABLE 7. Quartiles, IQR, $CQ_{0.99}$, $C_{0.99}R$, and RMSE for the Error of the Estimates on the Evaluation Dataset With the Extended Noise Model, Sorted Ascending by IQR

Estimator	1st Quartile [10^{-5}]	3rd Quartile [10^{-5}]	IQR [10^{-5}]	$Q_{0.005}$ [10^{-4}]	$Q_{0.995}$ [10^{-5}]	$C_{0.99}R$ [10^{-4}]	RMSE [10^{-4}]
<i>Chen</i>	-6.28	-0.58	5.70	-2.91	1.75	3.08	0.69
<i>ZoranWeiss</i>	-6.26	-0.40	5.85	-3.05	3.52	3.40	0.72
<i>Liu</i>	-6.25	0.06	6.31	-2.96	2.47	3.20	0.70
<i>Smith</i>	-2.84	3.95	6.80	-1.55	13.14	2.86	0.53
<i>DonohoJohnstone</i>	-6.95	-0.08	6.87	-3.19	4.20	3.61	0.77
<i>Ponomarenko</i>	-8.80	-1.72	7.08	-3.65	3.63	4.02	0.94
<i>AbdelnourSelesnick</i>	-7.26	-0.11	7.15	-3.20	2.72	3.47	0.79
<i>Olsen</i>	-9.88	-2.69	7.19	-3.64	0.63	3.70	0.98
<i>Donoho</i>	-7.54	-0.10	7.44	-3.34	4.37	3.77	0.82
<i>Immerkaer</i>	-7.66	-0.14	7.52	-3.34	2.92	3.63	0.82
<i>TaiYang</i>	-7.67	-0.13	7.53	-3.33	3.05	3.64	0.82
<i>D'Errico</i>	-8.19	-0.34	7.85	-3.60	3.87	3.99	0.88
<i>Garnett</i>	-9.45	-1.46	7.98	-3.71	1.89	3.89	0.95
<i>Garcia</i>	-9.97	-0.74	9.22	-4.58	0.12	4.59	1.08
<i>Laligant</i>	-11.58	-1.43	10.16	-4.10	3.06	4.40	1.11
<i>Pyatykh</i>	-9.51	1.89	11.41	-4.13	31.23	7.25	1.24

**APPENDIX B
SOURCES FOR UNDERESTIMATION**

Appendix A shows that underestimation does not occur with pure AWGN. Hence, the noise color and the regional dependence might cause the underestimation. Therefore, we apply

different noise models to the evaluation dataset and exemplarily estimate the noise using the *Chen* estimator. Fig. 19 shows the results of the investigation.

Fig. 19(a) and (b) compares the results for independent AWGN and pink n_{const} . The following two figures show the

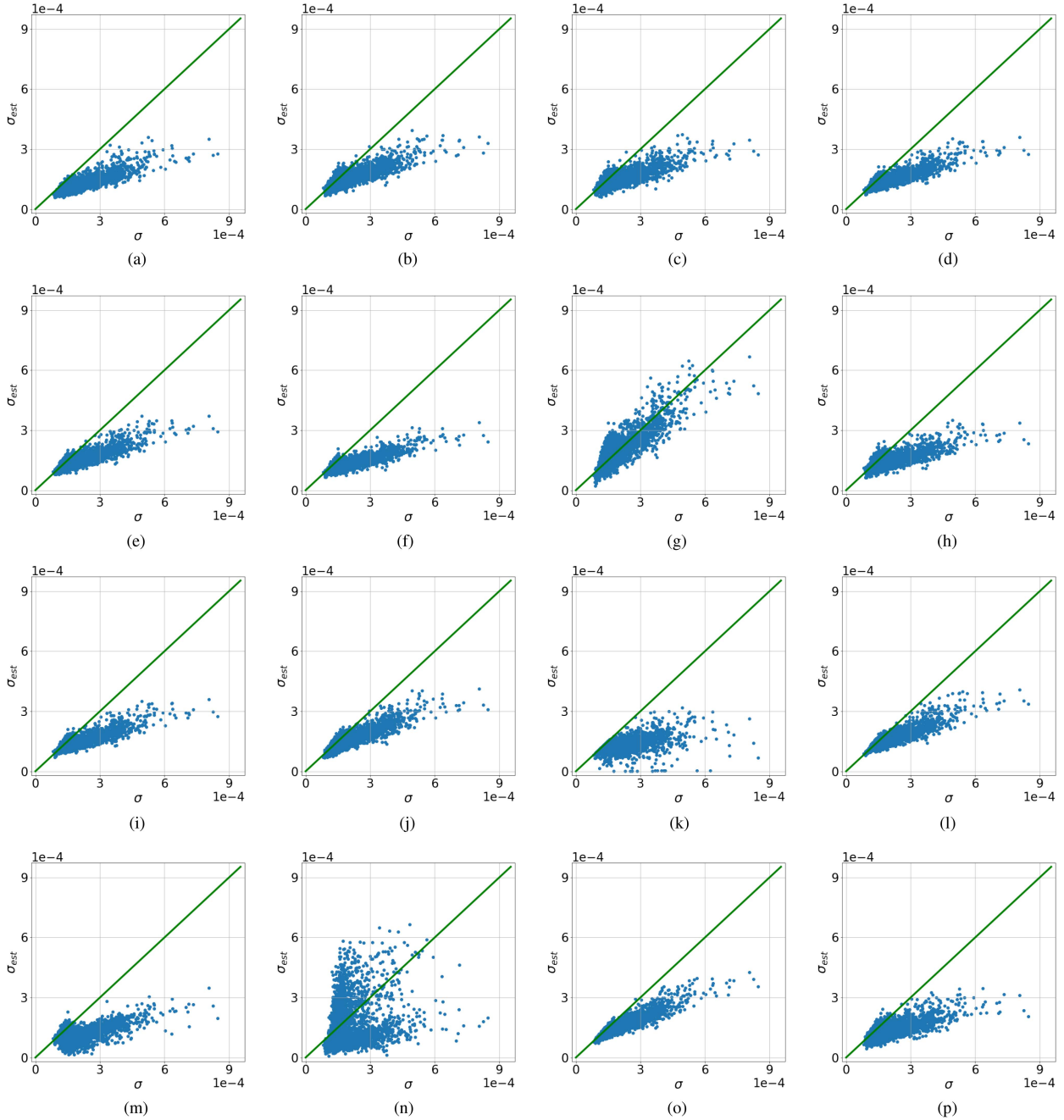


FIGURE 20. Scatter plots of the actual versus the estimated STDs for the evaluation dataset with the standard noise model, sorted in the same order as in Table 4. The green line in the figures indicates where the correct estimate should lie. Each point in the scatter plot represents an FOI. (a) *Olsen*. (b) *DonohoJohnstone*. (c) *Donoho*. (d) *Immerkær*. (e) *AbdelnourSelesnick*. (f) *Garnett*. (g) *Smith*. (h) *D’Errico*. (i) *TaiYang*. (j) *ZoranWeiss*. (k) *Garcia*. (l) *Liu*. (m) *Laligant*. (n) *Pyatykh*. (o) *Chen*. (p) *Ponomarenko*.

results for a noise model consisting of white n_{const} and white n_{grad} [see Fig. 19(c)] and white n_{const} and pink n_{grad} [see Fig. 19(d)], respectively. Finally, Fig. 19(e) and (f) shows results with a more intense gradient component. In all three cases, underestimation only occurs when pink noise is present. In conclusion, the underestimation is not dependent on the regional dependence of the noise but only on the noise color. Accordingly, underestimation compensation presumably requires knowledge of the predominant noise color.

**APPENDIX C
SUPPLEMENTARY FIGURES AND TABLES**

Tables 6 and 7 show the values for the quartiles, the associated IQR, the $CQ_{0.99}$ interval, the $C_{0.99}R$, and the RMSE for the error of the estimates on the evaluation dataset. For all tested estimators, Figs. 20 and 21 show the scatter plots of the actual versus the estimated STDs for the evaluation dataset.

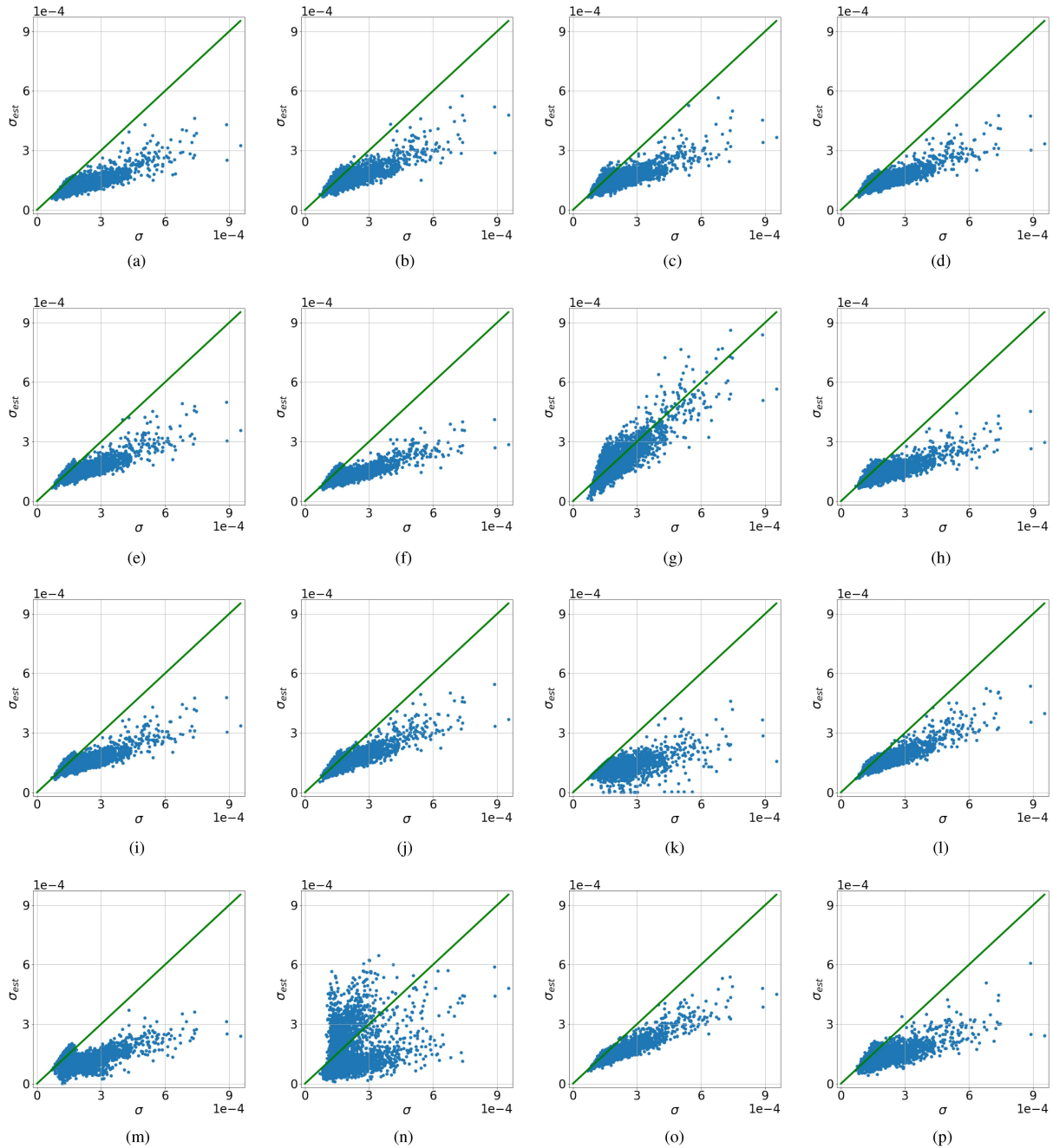


FIGURE 21. Scatter plots of the actual versus the estimated STDs for the evaluation dataset with the extended noise model, sorted in the same order as in Table 4. The green line in the figures indicates where the correct estimate should lie. Each point in the scatter plot represents an FOI. (a) *Olsen*. (b) *DonohoJohnstone*. (c) *Donoho*. (d) *Immerkær*. (e) *AbdelnourSelesnick*. (f) *Garnett*. (g) *Smith*. (h) *D’Errico*. (i) *TaiYang*. (j) *ZoranWeiss*. (k) *Garcia*. (l) *Liu*. (m) *Laligant*. (n) *Pyatykh*. (o) *Chen*. (p) *Ponomarenko*.

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