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A General Pulse Width Modulation Method for Zero-Voltage-Switching Active-clamping Three-phase Power Converters: Edge Aligned Pulse Width Modulation (EA-PWM)

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ABSTRACT A general PWM method for soft-switching three-phase power converters—Edge Aligned PWM (EA-PWM) is proposed. It aligns all the high loss commutation instants of the converter bridges in a switching cycle at the same instant so that all the switches of the three-phase converter can realize zero-voltage-switching (ZVS) with the help of the resonant auxiliary circuit which operates once each switching cycle. EA-PWM scheme for ZVS active-clamping three-phase converter is introduced. ZVS condition and voltage stress of the converters is derived. EA-PWM can adapt to various modulation methods such as SPWM, Harmonic Injected SPWM, Continuous Pulse Width Modulation (CPWM), Discontinuous Pulse Width Modulation (DPWM), etc. The ZVS condition of three-phase Compound Active Clamping (CAC) converter are discussed. Finally, EA-PWM scheme is verified in Back-to-Back (BTB) converter prototype.

INDEX TERMS Zero-Voltage-Switching, active-clamping, edge-aligned PWM, ZVS condition.

I. INTRODUCTION

Three-phase power converters are widely used in renewable energy generation, motor driving and uninterruptible power supplies, etc. Three-phase power converters suffer from low efficiency due to switching loss of the power semiconductor device when the switching frequency increases, which limits the system power density. Soft-switching technology is an effective approach to eliminate the switching loss of the power semiconductor device.

The DC-side resonant soft-switching converters have the auxiliary branch on the DC side, so generally only one auxiliary branch is required, which means it has simpler structure. The resonant DC-link (RDCL) converter proposed by Divan has a significant impact on the development of the DC-side resonant three-phase power converters [1]. Since the resonant components in RDCL are in the resonant state most of the switching cycle, the peak value of the resonant voltage on the resonant capacitor is as high as twice the DC bus voltage, which causes high voltage stress of the main switch. A modified version, known as active clamping resonant DC-link

(ACRDCL) converter is proposed in [2]-[4] to reduce the voltage stress on the switches by adding a clamping capacitor. Both RDCL and ACRDCL features simple auxiliary circuit structure. However, they all suffer from sub-harmonics in the ac-side waveform due to variable switching frequency. In order to overcome this problem, a quasi-resonant DC-link (QRDCL) soft-switching converter was proposed in [5]. The DC side of QRDCL converter only resonates to zero shortly before the commutation instants of the main switches. Therefore, the main switches can still use SPWM or SVM scheme and the power quality on the load side is increased. However, it has more complicated auxiliary circuit and the auxiliary circuit need to act multiple times in one switching cycle [6]–[10]. [11] proposes a ZVS technique for inverters by using reverse recovery of the diode. In this way, ZVS operation for all switches can be satisfied. But the circuit needs to select a diode with a slow reverse recovery characteristic to ensure that there is enough time to add energy to the resonant inductor to complete the resonant process, which leads to the problem of limited soft switching range.



The auxiliary resonant commutated pole (ARCP) converter achieves zero-voltage turn-on for main switches and zerocurrent turn-off for auxiliary switch, and SVM scheme is used [12]. However, the DC side split capacitor midpoint voltage needs to be controlled. The inductor coupled ZVT inverter achieves zero-voltage turn-on for main switches and near-zero current turn off for auxiliary switches [13], [14]. DC side split capacitor midpoint voltage control is avoided. The zero-current transition (ZCT) inverter [15] achieves zero current switching for all of the main and auxiliary switches and their anti-parallel diodes. It has a higher resonant current even in the light load, which affects efficiency in the light load. To reduce the switching times of the auxiliary switch, a modified PWM scheme is proposed for delta-configured auxiliary resonant snubber inverter in [16], [17]. It can reduce the number of actions of the auxiliary circuit to two times in each switching cycle. Generally speaking, AC-side resonate three-phase converters have more complex auxiliary circuits in comparison to DC-side resonant converter [18]-[20].

The ZVS-SVM for three-phase active clamping rectifiers and inverters has been proposed by Xu in [21]–[23], in which the auxiliary switch only switches once in each switching period to realize ZVS for all the switches. The energy stored in the resonant inductor can be accurately controlled to meet the ZVS conditions under different load situations. In recent years, ZVS-SPWM has been proposed for single-phase inverters and three-phase inverter/rectifier with either 3-wire or 4-wires [24]–[26]. Meanwhile, the circuit operation principle and ZVS conditions are separately discussed in 3-wire and 4-wire systems according to SPWM and SVM schemes. The ZVS condition for ZVS CAC rectifier and inverter are derived in different load situations. A unified ZVS-PWM method for the three-phase converter has not been studied.

This paper provides a unified PWM method for softswitching active-clamping three-phase power converters— Edge Aligned PWM (EA-PWM). It can be used in three-phase three-wire, three-phase four-wire inverter/rectifier, and other extensions. It is also suited to different modulation schemes such as SPWM, harmonic-injected PWM, Continuous PWM and Discontinuous PWM, etc. Since EA-PWM aligns all the high loss commutation instants of the converter in a switching period at the same time, the resonant auxiliary circuit only needs to operate once to realize ZVS turn-on for all main switches. ZVS condition and voltage stress of the converters is analyzed. Then the modulation method is extended to softswitching back-to-back (BTB) converter. Finally, EA-PWM scheme is verified in BTB converter prototype.

II. EDGE ALIGNED PWM METHOD

In three-phase converter, there are two types of switching commutation process. Here takes a switching leg of the converter shown in Fig. 1 as an example. The filter current i_a is assumed as positive.

Switch commutation type 1: It happens when the switch S_1 is turned off. The filter current commutates from switch S_1 to the antiparallel diode D_4 of its complementary switch



FIGURE 1. Two types of switching commutation process. (a) Type 1. (b) Type 2.



FIGURE 2. Active-clamping ZVS three-phase converter.

 S_4 as shown in Fig. 1(a). The switch (S_1) is ZVS turned off with paralleled output capacitor. For Wide-Band-Gap (WBG) device, turn-off loss is much smaller than turn-on loss. Therefore, type 1 commutation need not to take special measure.

Switch commutation type 2: It happens when the switch S_1 is turned on. The filter current commutates from the antiparallel diode D_4 to its complementary switch S_1 as shown in Fig. 1(b). S_1 will have turn-on loss and the diode will undergo a reverse recovery process, which causes switching loss in D_4 and EMI issue.

The auxiliary circuit can be implemented with CAC or MVAC circuit [21], [22]. The active-clamping ZVS three-phase converter topology is shown in Fig. 2.

Typical waveforms of three-phase modulation voltages u_{ma} , u_{mb} , u_{mc} and three-phase currents i_a , i_b , i_c are depicted in Fig. 3. The switching instant at t_{set} is selected to illustrate the EA-PWM scheme.

The conventional PWM scheme is shown in Fig. 4(a). By comparing the modulation voltages u_{ma} , u_{mb} , u_{mc} with the triangular carrier v_{car} , the switch states of each phase can be determined and mid-point voltage u_{aN} , u_{bN} and u_{cN} of the converter can be obtained. All the type 2 commutation instants can be easily identified in each switching period as shown with vertical bold line marks. Actually, whether the type 2 commutation instant will happen in the upper switch



FIGURE 3. Three-phase filter current waveforms.



FIGURE 4. Two PWM schemes. (a) Conventional PWM scheme. (b) EA-PWM scheme.

or lower switch of the phase leg during each switching cycle is decided by the instant phase current polarity. In order to achieve ZVS of all these type 2 commutation instants, the auxiliary circuit needs to operate three times in one switching period, which will cause higher loss in the auxiliary circuit. Instead, an EA-PWM scheme shown in Fig. 4(b) is proposed to replace the conventional PWM scheme. By changing the triangular carrier to saw-tooth carrier, the sequences of the type 2 commutation instants are rearranged. For the positive filter current phase, the ramp-up carrier v_{car1} is selected. For the negative filter current phase, the ramp-down carrier v_{car2} is selected. In this way, all the type 2 commutation instants will be aligned at the same instant of each switching period. Then the auxiliary resonant circuit only needs to operate once during each switching period and ZVS turn-on can be achieved for all the type 2 commutation instants. It should be noted that the rule of equivalent voltage time-integral principle of PWM modulation in each switching period still holds when changing triangular carrier to saw-tooth carrier in the proposed EA-PWM method.

For the proposed EA-PWM, the three-phase modulation signals are selected freely, which encompasses sinusoidal, sinusoidal with 3rd harmonic injection and other waveforms. In other words, it can be applied to Continuous PWM or Discontinuous PWM method. EA-PWM is suitable to both three-phase three-wire system and three-phase four-wire system. For generation of PWM signal for each phase, either the ramp-up or ramp-down carrier is selected according to the instant current polarity of its filter phase current. In comparison to the conventional PWM methods, EA-PWM method needs the information of the instant filter current polarities which is used to select either the ramp-up carrier or ram-down carrier. It is the main difference of EA-PWM from traditional PWM method. In general, EA-PWM is not limited by power factors, load current unbalance and distortion as the traditional PWM method.

III. EA-PWM ZVS CONDITION

A. DEDUCTION OF EA-PWM ZVS CONDITION

The ZVS condition for three-phase CAC and MVAC ZVS converter using proposed EA-PWM method is related to the operation condition of the converter. This section gives the unified EA-PWM ZVS condition criterion, which is suitable for different PWM methods and circuit operation conditions. CAC converter is taken as an example to illustrate the ZVS condition deduction.

The key operation waveforms of the circuit in one switching period is given in Fig. 5, which takes the switching instant t_{set} as an example. According to the principle of the EA-PWM scheme, gate signals $u_{g1} \sim u_{g6}$ for each switch can be obtained by comparing its modulation voltage with corresponding carrier signal. During $t_1 \sim t_6$ (D₀T_s), the bus voltage u_{bus} is resonated to zero, all the type 2 commutation instants realize ZVS turn-on simultaneously. While in the rest of the switching period, the converter works as the conventional PWM converter.

There are two resonant stages in each switching cycle to realize ZVS operation of the main switches and auxiliary switch respectively. The first resonant stage $(t_1 \sim t_2)$ happens when the auxiliary switch S₇ is turned off. The dc bus voltage u_{bus} will be resonated to zero at the end of the stage. The circuit state and its simplified circuit are given in Fig. 6.



FIGURE 5. Key waveforms in one switching period.

According to KVL and KCL, with the simplified circuit of the first resonant stage, the following state equation is derived:

$$(C_{res1} + C_{r7}) \frac{du_{bus(t)}}{dt} = i_{cs1} - i_{Lr}(t) L_r \frac{di_{Lr}(t)}{dt} = u_{bus}(t) - V_{dc}$$
(1)

where $C_{res1} = C_{r1} + C_{r6} + C_{r2} = 3C_r$, $i_{cs1} = -i_b - i_c$. The initial condition of the state variables at t_1 can be written as:

$$\begin{cases} u_{bus}(t_1) = V_{dc} + V_{Cc} \\ i_{Lr}(t_1) = I_{Lr_t 1} \end{cases}$$
(2)

Then the expressions of $u_{bus}(t)$ and $i_{Lr}(t)$ can be solved:

$$\begin{cases} u_{bus}(t) \\ = V_{dc} - \sqrt{V_{Cc}^2 + (I_{Lr_t 1} - i_{cs1})^2 Z_r^2} \sin(\omega_r (t - t_1) - \varphi_1) \\ i_{Lr}(t) \\ = \sqrt{(I_{Lr_t 1} - i_{cs1})^2 + \frac{V_{Cc}^2}{Z_r^2}} \cos(\omega_r (t - t_1) - \varphi_1) + i_{cs1} \end{cases}$$
(3)

where V_{Cc} is the voltage of clamping capacitor C_c and can be regarded as constant in the switching cycle, ω_r is the resonance angular frequency, Z_r is the characteristic impedance, and φ_1 is the initial angle of the first resonant stage. Some of these key parameters can be expressed as

$$\begin{cases} \omega_r = 1/\sqrt{L_r (3C_r + C_{r7})} \\ Z_r = \sqrt{L_r/(3C_r + C_{r7})} \\ \varphi_1 = \arctan\left[V_{Cc}/Z_r (i_{Lr_t1} - i_{cs1})\right] \end{cases}$$
(4)



FIGURE 6. The first resonant stage. (a) Circuit state. (b) Simplified circuit.

To realize the ZVS turn-on of the main switches, the minimum value of $u_{bus}(t)$ is required to be less than zero. According to (3), following equation should be satisfied:

$$I_{Lr_{1}} \ge i_{cs1} + \sqrt{V_{dc}^{2} - V_{Cc}^{2}} / Z_{r}$$
(5)

The second resonant stage happens during $(t_5 \sim t_6)$ when the voltage across the auxiliary switch u_{Cr7} resonates to 0. It provides the ZVS turn-on condition for the auxiliary switch S₇. The circuit state and its simplified circuit are given in Fig. 7.

Similarly, following state equation is derived for the second resonant stage:

$$\begin{cases} (C_{res2} + C_{r7}) \frac{du_{Cr7}(t)}{dt} = i_{Lr}(t) - i_{cs2} \\ L_r \frac{di_{Lr}(t)}{dt} = V_{Cc} - u_{Cr7}(t) \end{cases}$$
(6)

where $C_{res2} = C_{r4} + C_{r3} + C_{r5} = 3C_r$, $i_{cs2} = -i_a$. The initial condition of equation (6) at t_5 is:

$$\begin{cases} i_{Lr}(t_5) = i_{cs2} - i_{add} \\ u_{Cr7}(t_5) = V_{dc} + V_{Cc} \end{cases}$$
(7)

 i_{add} is the extra current added to i_{Lr} through a shorting stage $(t_4 \sim t_5)$ in Fig. 5. By solving (6), the expression for $u_{Cr7}(t)$ and $i_{Lr}(t)$ during the second resonant stage can be obtained:

$$\begin{cases} u_{Cr7}(t) = V_{Cc} - \sqrt{V_{dc}^2 + Z_r^2} i_{add}^2 \sin(\omega_r (t - t_5) - \varphi_2) \\ i_{Lr}(t) = i_{cs2} - \sqrt{i_{add}^2 + \frac{V_{dc}^2}{Z_r^2}} \cos(\omega_r (t - t_5) - \varphi_2) \end{cases}$$
(8)





FIGURE 7. The second resonant stage. (a) Circuit state. (b) Simplified circuit.

where φ_2 is the initial angle of the second resonant stage, which can be expressed as

$$\varphi_2 = \arctan \frac{V_{dc}}{Z_r i_{add}} \tag{9}$$

To realize the ZVS turn-on of the auxiliary switch S_7 , u_{Cr7} should be resonated to 0 and the following inequality should be satisfied:

$$V_{Cc} - \sqrt{V_{dc}^2 + Z_r^2 i_{add}^2} \le 0$$
 (10)

As V_{Cc} is far less than V_{dc} , the above equation is always satisfied, which means the ZVS turn-on for auxiliary switch S_7 is always realized.

The resonant inductor current $i_{Lr}(t_6)$ can be calculated through equation (8) with $u_{Cr7}(t_6) = 0$:

$$i_{Lr}(t_6) = i_{cs2} - \sqrt{\frac{V_{dc}^2 - V_{Cc}^2}{Z_r^2} + i_{add}^2}$$
(11)

When the circuit works in steady state, the resonant inductor L_r satisfies the voltage-second balance principle in a switching cycle. The following equation is formulated by neglecting two short resonant stages in Fig. 5:

$$\int_{0}^{T_{s}} v_{Lr}(t) dt = V_{Cc} (1 - D_0) T_s - V_{dc} D_0 T_s = 0$$
(12)

where D_0 is the turn-off duty cycle of the auxiliary switch S_7 . Then the voltage stress of all the switches can be represented by:

$$V_{str} = V_{dc} + V_{Cc} = \frac{1}{1 - D_0} V_{dc}$$
(13)



FIGURE 8. Waveform of *u*_{an} with consideration of D₀.



FIGURE 9. Waveform of u_{bn} with consideration of D₀.

The clamping capacitor C_c should also obey the amperesecond balance principle in one switching period. The current of the clamping capacitor is same as i_{S7} in Fig. 5. Neglecting the short durations in one switching cycle such as resonant stages and three type 1 commutation instants, the average current of the clamping capacitor in one switching period is approximated by:

$$\int_{0}^{T_{s}} i_{S7} dt \approx \int_{t_{1}}^{t_{6}} i_{S7}(t) dt + \int_{t_{6}}^{t_{7}} i_{S7}(t) dt + \int_{t_{8}}^{t_{9}} i_{S7}(t) dt + \int_{t_{10}}^{t_{11}} i_{S7}(t) dt + \int_{t_{12}}^{t_{13}} i_{S7}(t) dt = 0$$
(14)

The duration of different stages in (14) is decided by the duty cycle of each phase d_a , d_b and d_c , which is decided by both its modulation voltage u_{ma} , u_{mb} , u_{mc} and the turn-off duty cycle of the auxiliary switch D₀.

Due to the existence of D_0 , the duty cycle of the ZVS converter is slightly different from those in the hard-switching converter. For the phase with positive filter current, such as phase A, the average value of u_{an} in a switching period meet the following equation according to Fig. 8:

$$\langle u_{an} \rangle_{T_s} = \left(V_{Cc} + \frac{V_{dc}}{2} \right) (d_a - D_0) - \frac{V_{dc}}{2} (1 - d_a + D_0) = u_{maa}$$
(15)

For the phase with negative filter current, such as phase B, its average value of u_{bn} in a switching period meet the





FIGURE 10. Waveform of *i*₅₇.

following equation according to Fig. 9:

$$\langle u_{bn} \rangle_{T_s} = \left(V_{Cc} + \frac{V_{dc}}{2} \right) d_b - \frac{V_{dc}}{2} (1 - d_b) = u_{mb}$$
 (16)

Therefore, the duty cycle of each phase can be written as:

$$\begin{cases} d_a = \left(\frac{1}{2} + \frac{u_{ma}}{V_{dc}}\right)(1 - D_0) + D_0 \\ d_b = \left(\frac{1}{2} + \frac{u_{mb}}{V_{dc}}\right)(1 - D_0) \\ d_c = \left(\frac{1}{2} + \frac{u_{mc}}{V_{dc}}\right)(1 - D_0) \end{cases}$$
(17)

The current i_{S7} at t₆ meet the following equation:

$$i_{S7}(t_6) = -\sqrt{\frac{V_{dc}^2 - V_{Cc}^2}{Z_r^2}} + i_{add}^2$$
(18)

During $t_1 \sim t_6$, the auxiliary switch is turned off, i_{S7} equals 0. In the rest time of the switching cycle, i_{S7} increases with a constant rate of V_{Cc}/L_r . When the type 1 commutation instant happens, i_{S7} will have a reduction of the corresponding filter current value. Fig. 10 shows the typical waveform of i_{S7} . Then the ampere-second balance equation for the clamping capacitor in (14) can be rewritten as:

$$\int_{0}^{T_{s}} i_{S7} dt \approx \int_{t_{6}}^{t_{13}} \left[i_{S7}(t_{6}) + \frac{V_{Cc}}{L_{r}} t \right] dt + \int_{t_{8}}^{t_{13}} i_{b} dt + \int_{t_{10}}^{t_{13}} i_{c} dt + \int_{t_{12}}^{t_{13}} (-i_{a}) dt = 0$$
(19)

By substituting the duty cycles into (19), the turn-off duty cycle D_0 for the auxiliary switch S_7 can be obtained:

$$D_0 = \frac{2L_r \left(-\frac{i_{b}u_{mb}}{V_{dc}} - \frac{i_{c}u_{mc}}{V_{dc}} - \frac{i_{a}u_{ma}}{V_{dc}} + \frac{i_{a}}{2} - \frac{i_{b}}{2} - \frac{i_{c}}{2} + \sqrt{\frac{V_{dc}^2 - V_{Cc}^2}{Z_r^2} + i_{add}^2}\right)}{V_{dc}T_s}$$
(20)

The resonant inductor current i_{Lr} meet the following equation:

$$i_{Lr}(t_1) - i_{Lr}(t_6) = \frac{V_{dc}}{L_r} D_0 T_s$$
 (21)

By combining (11), (20) and (21), ZVS condition for the first resonant stage in (5) can be obtained:

$$i_{Lr}(t_1) = \sqrt{\frac{V_{dc}^2 - V_{Cc}^2}{Z_r^2} + i_{add}^2} + 2i_M \ge \frac{\sqrt{V_{dc}^2 - V_{Cc}^2}}{Z_r}$$
(22)

where i_M is defined as:

$$i_M = -\frac{i_a u_{ma}}{V_{dc}} - \frac{i_b u_{mb}}{V_{dc}} - \frac{i_c u_{mc}}{V_{dc}}$$
(23)

It can be concluded from (22) that when $i_M > 0$, ZVS condition is always satisfied and no extra current i_{add} is needed. Meanwhile, an extra current i_{add} is needed when $i_M < 0$. Therefore, i_{add} can be expressed as:

$$i_{add} = \begin{cases} 0 & i_M \ge 0\\ \sqrt{\left(\frac{\sqrt{V_{dc}^2 - V_{Cc}^2}}{Z_r} - 2i_M\right)^2 - \frac{V_{dc}^2 - V_{Cc}^2}{Z_r^2}} & i_M < 0 \end{cases}$$
(24)

The ZVS condition in (22) can be applied for different phase current polarity situations with CPWM modulation scheme. Meanwhile, when DPWM modulation scheme is used, the current source i_{cs1} and i_{cs2} in Fig. 6 and Fig. 7 will be different due to the clamping phase leg in DPWM scheme. Using the same derivation process, the same ZVS condition can also be obtained as in (22). But the expression of i_M is different between CPWM and DPWM scheme. Considering both CPWM and DPWM schemes, the expression of i_M in (23) can be unified as

$$i_M = \frac{\sum\limits_{i=a,b,c} u_{mi} i_i k_i}{V_{dc}} \ge 0, k_i = \begin{cases} 0 \text{ if } |u_{mi}| = \frac{V_{dc}}{2} \\ -1 \text{ otherwise} \end{cases} i = a, b, c$$
(25)

It should be noted that for three-phase four-wire system and other N-bridge ZVS circuit topologies, similar ZVS condition criterion in (25) and expression for i_{add} in (24) is also applicable according to similar deduction process.

B. DISCUSSION OF ZVS CONDITION

Equation (24) shows that the polarity of i_M will influence the extra added current i_{add} . The expression of i_M in (25) indicates that the polarity of i_M is related to load currents, modulation voltages and different PWM methods. To analyze the impact of different operation conditions on the ZVS condition, the following assumption of three-phase modulation voltages and ac side currents in three-phase three-wire systems are made:

$$\begin{cases} u_{ma}(t) = U_m \sin(\omega t) + u_z \\ u_{mb}(t) = U_m \sin(\omega t - 2\pi/3) + u_z \\ u_{mc}(t) = U_m \sin(\omega t + 2\pi/3) + u_z \end{cases}$$

$$\begin{cases} i_a(t) = I_m \sin(\omega t + \theta) \\ i_b(t) = I_m \sin(\omega t + \theta - 2\pi/3) \\ i_c(t) = I_m \sin(\omega t + \theta + 2\pi/3) \end{cases}$$
(26)

Where U_m is the amplitude of the phase modulation voltage, u_z is the zero sequence voltage component. I_m is the amplitude of the phase currents. θ is the power factor angle. The



FIGURE 11. Three-phase modulation voltages using DPWM.

TABLE I. Expression of *i_M* at Six Regions

Region	iм
1	$i_{M} = -\frac{I_{m}}{2}\sin(\omega t + \theta - 2\pi/3) - \frac{3}{4}MI_{m}\cos\theta$
2	$i_{M} = \frac{I_{m}}{2}\sin\left(\omega t + \theta\right) - \frac{3}{4}MI_{m}\cos\theta$
3	$i_{M} = -\frac{I_{m}}{2}\sin(\omega t + \theta + 2\pi/3) - \frac{3}{4}MI_{m}\cos\theta$
4	$i_{M} = \frac{I_{m}}{2} \sin\left(\omega t + \theta - 2\pi/3\right) - \frac{3}{4}MI_{m}\cos\theta$
5	$i_{M} = -\frac{I_{m}}{2}\sin(\omega t + \theta) - \frac{3}{4}MI_{m}\cos\theta$
6	$i_{M} = \frac{I_{m}}{2}\sin(\omega t + \theta + 2\pi/3) - \frac{3}{4}MI_{m}\cos\theta$

discussion of the ZVS condition can be classified by CPWM or DPWM scheme in three-phase three-wire system.

In CPWM scheme, three-phase modulation voltages meet:

$$|u_{ma}(t)| \neq \frac{V_{dc}}{2}, |u_{mb}(t)| \neq \frac{V_{dc}}{2}, |u_{mc}(t)| \neq \frac{V_{dc}}{2}$$
 (27)

By combining (23) and (26), the expression of i_M in CPWM scheme can be obtained:

$$i_M = -\frac{u_{ma}i_a + u_{mb}i_b + u_{mc}i_c}{V_{dc}} = -\frac{3}{2}\frac{U_m I_m \cos\theta}{V_{dc}}$$
(28)

It can be concluded that in CPWM scheme, the polarity of i_M is only related to θ . When $\theta \in [-\pi/2, \pi/2]$, the converter works in inverter modes, i_M is always negative, then an constant i_{add} is needed to realize ZVS condition. When $\theta \in [\pi/2, 3\pi/2]$, the converter works in rectifier mode, i_M is always positive, no extra current i_{add} is needed.

In three-phase three-wire system, DPWM scheme can be adopted using a particular zero sequence voltage u_z . Taking the DPWM scheme with six clamping regions in one fundamental period shown in Fig. 11 as an example to analyze the ZVS condition.

The expression for i_M in six regions is given in Table I, M is the modulation index, which meets $M = 2U_m/V_{dc}$. It can be seen that the polarity of i_M is related to the power factor angle



FIGURE 12. i_M under different modulation index M at $\theta = 0$.



FIGURE 13. i_M under different modulation index *M* at $\theta = -\pi/3$ and $\theta = \pi/3$.

 θ and the modulation index *M*. Besides, the polarity of i_M will also change at different regions of the fundamental period.

In DPWM scheme, when the converter works in inverter mode ($\theta \in [-\pi/2, \pi/2]$), $\cos \theta$ is positive. Therefore, a larger modulation index *M* will result in a smaller i_M , which means the larger the modulation index *M* is, it is more likely that an extra current i_{add} will be needed. When the converter works under unit power factor ($\theta = 0$), it can be obtained that i_M will be positive in all the regions as long as *M* is less than $\sqrt{3}/3$. Fig. 12 shows i_M under different modulation index *M* at $\theta = 0$, where i_M is normalized to I_m.

Fig. 13 shows the i_M value under different modulation index M at $\theta = -\pi/3$ and $\theta = \pi/3$. It can be seen that the polarity of i_M will alternate in the fundamental period. In the region $i_M < 0$, an extra current i_{add} should be added to realize the ZVS condition.

When the converter works in rectifier mode ($\theta \in [\pi/2, 3\pi/2]$), $\cos\theta$ is negative. The larger the modulation index M is, the larger i_M will be, which means it will be easier for the first resonant stage to realize ZVS operation. Similarly, Fig. 14 shows i_M under different modulation index M at unit power factor ($\theta = \pi$). It can be obtained that when M is larger than



FIGURE 14. i_M under different modulation index M at $\theta = \pi$.



FIGURE 15. i_M under different modulation index *M* at $\theta = 2\pi/3$ and $\theta = 4\pi/3$.

2/3, i_M will be positive in all the fundamental period, and no extra current i_{add} is needed.

Fig. 15 shows i_M under different modulation index M at $\theta = 2\pi/3$ and $\theta = 4\pi/3$. It can be seen that when M equals its maximum value 1.15, i_M is always positive for both power factor angle. As M decreases, the polarity of i_M will alternate in the fundamental period, extra current i_{add} is also needed in the region $i_M < 0$. Similarly, when the power factor angle decrease to $\theta \in [5\pi/6, 7\pi/6]$, the critical modulation index M equals to 0.77.

C. IMPLEMENTATION OF EA-PWM SCHEME

Fig. 16 shows the control diagram of the ZVS CAC converter using the proposed EA-PWM. The main current or voltage control strategy is same with the conventional converter. The EA-PWM generator shows the generation of seven driving signals $u_{g1} \sim u_{g7}$ of the switch $S_1 \sim S_7$. The polarity information of the current reference signals i_a^* , i_b^* and i_c^* are used to determine the PWM alignment style, which is much more smooth than using the sampled filter current to avoid the zero-crossing jittering. The extra current i_{add} is realized by performing a logical OR operation between the short-circuit



FIGURE 16. Control diagram of three-phase ZVS CAC converter.



FIGURE 17. Three-phase four-wire BTB ZVS converter.

signal u_{gst} with the driving signal of the main switches. u_{gst} is generated by comparing D_{add} with the ramp-up saw-tooth carrier. D_{add} can be written as:

$$D_{add} = \frac{i_{add}L_r}{V_{dc}} \tag{29}$$

For other ZVS CAC converter topologies, similar EA-PWM scheme implementation approach can also be applied.

IV. EXPERIMENTAL VERIFICATION

To verify the EA-PWM scheme and its ZVS condition, a 9kW three-phase four-wire back-to-back CAC converter shown in Fig. 17 is built. The system parameters are listed in Table II [27].

Fig. 18 shows EA-PWM scheme for the BTB CAC converter when $i_{ai} < 0$, $i_{bi} > 0$, $i_{ci} > 0$, $i_{ao} > 0$, $i_{bo} > 0$, $i_{co} < 0$, all the six type2 commutation instants in six bridges are aligned at the beginning of the switching period. For BTB CAC converter, when the inverter side is feeding three-phase balanced load, i_M equals 0, and no extra current i_{add} is needed.

TABLE II. System Parameters

Parameters	Symbol and Value
Input/Output voltage	$u_{grms} = u_{orms} = 220 \text{V}$
Rated power	P=9kW
Input/Output filter inductor	L _i =L _o =400uH
Resonant inductor	L _r =2.7uH
Clamping capacitor	Cc=66uF/200V
DC bus voltage	V_{dc} =700V
Switching frequency	fs=150kHz
Output filter capacitor	Co=20uF
Resonant capacitor	Cr=0.12nF
Main switches Si1~Si6, So1~So6	ROHM SCT2080KE
Auxiliary switch S7	Wolfspeed C2M0025120D



FIGURE 18. EA-PWM scheme for BTB converter.



FIGURE 19. Waveform of ZVS operation

Meanwhile, when the inverter is feeding unbalanced load, the polarity of i_M will alternate in the fundamental period and extra current i_{add} is needed in the region $i_M < 0$.

Fig. 19 shows the ZVS operation waveforms for the main switches and auxiliary switch at 9kW balanced load situation. It can be seen that when the driving signal of the auxiliary switch u_{g7} is turned off, the bus voltage u_{bus} is resonated to



FIGURE 20. Loss and efficiency comparison of hard-switching and soft-switching converter.

zero and the voltage of the auxiliary switch u_{C7} is resonated to $V_{dc} + V_{Cc}$ at the same time. All the six switches can realize ZVS turn-on simultaneously. After a turn-off duty cycle of D_0 , the voltage of the auxiliary switch u_{C7} is resonated to 0, and the bus voltage u_{bus} is resonated to $V_{dc} + V_{Cc}$, which provides the ZVS condition for the auxiliary switch S_7 .

Fig. 20 shows the loss and efficiency comparison between the hard-switching BTB converter and the ZVS BTB converter. At half load situation, the ZVS BTB has a loss reduction of 113W. It can be seen that the turn-on loss in the hard-switching converter can be totally eliminated. The experimental efficiency is 94.3% for the hard-switching BTB converter, and 97.5% for the soft-switching BTB converter. At full load situation, the ZVS BTB converter has a total loss of 262W comparing to 550W for the hard-switching converter, which means the ZVS BTB converter has a 3% higher efficiency than the conventional hard-switching converter at full load. The experimental efficiency for the soft-switching BTB converter is 97.1%.

V. CONCLUSION

The proposed EA-PWM can be used for 3-phase 3-wire or 3-phase 4-wire systems and other combination ZVS converter with different modulation methods and different load power factor. The unified ZVS condition shows that the modulation index and power factor angle will impact the ZVS operation condition. When the converter operates with CPWM scheme in the rectifier mode, no extra added current is needed and the ZVS condition is easier to be satisfied. However, it needs extra added current for the resonant inductor in the inverter mode. With regards to most case with DPWM scheme, an extra current is needed to boost resonant inductor current to realize ZVS operation. Only in certain condition in the rectifier mode with a high modulation index, there is no requirement for extra added current for the resonant inductor. Finally, the proposed EA-PWM method is verified with a three-phase four-wire BTB converter. The ZVS BTB converter has a 3% higher efficiency than the conventional hard-switching converter at full load with 150 kHz switching frequency.



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