# Fast Calculation of Electromagnetic Forces in IPMSMs Under PWM VSI Supply Based on Small-Signal Time-Harmonic Finite Element Method

Sa Zhu, Member, IEEE, Jianbo Lu, and Feng Zeng

Abstract—This paper introduces a novel method for fast calculating the electromagnetic forces in interior permanent magnet synchronous machines (IPMSMs) under pulse width modulation (PWM) voltage source inverter (VSI) supply based on the small-signal time-harmonic finite element analysis (THFEA), which has been successfully utilized for fast calculating the PWMinduced losses in silicon steel sheets and permanent magnets. Based on the small-signal THFEA, the functional relationships between high-frequency harmonic voltages (HFHVs) and corresponding airgap flux densities are established, which are used for calculating the flux density spectra caused by each HFHV in the PWM voltage spectra. Then, the superposition principle is applied for calculating the flux density spectra caused by fundamental currents and all HFHVs, which are converted to the electromagnetic force spectra at last. The relative errors between the force density spectra calculated with the proposed method and those obtained from traditional time-stepping finite element analysis (TSFEA) using PWM voltages as input are within 3.1%, while the proposed method is 24 times faster than the traditional TSFEA.

Index Terms—Time-harmonic finite element analysis (THFEA), Interior permanent magnet synchronous machines (IPMSMs), Electromagnetic forces, Pulse width modulation, Vibration and acoustic noise.

### I. INTRODUCTION

NTERIOR permanent magnet synchronous machines INTERIOR permanent magnet synchronous machines<br>(IPMSMs) are widely applied in electrical vehicles and industries in modern times [1]. The accurate modeling of the electromagnetic noise and vibration characteristics of IPMSMs is essential for their optimal design, which requires accurate electromagnetic force calculations on the inner surfaces of stators [2]-[10]. Because of the nonlinearity of IPMSMs, finite element analysis (FEA) is the most widely used methods for the accurate force calculation, which is however time-consuming [2]-[6]. Especially, when the pulse width modulation (PWM)

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Sa Zhu, Jianbo Lu, and Feng Zeng are with the College of Energy and Electrical Engineering, Hohai University, Nanjing, 211100, China (e-mail: zhusa@hhu.edu.cn).

(Corresponding author: Sa Zhu).

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effects are considered, fine steps are needed to distinguish highfrequency components if the traditional time-stepping FEA (TSFEA) is used, disregarding current sources contained highfrequency harmonic currents (HFHCs) or PWM voltages are used as the input for the transient calculation [7]-[11]. Numerous researches have been conducted on the influence of control and modulation strategies on electromagnetic forces and consequent vibrations and noises [12]-[16]. However, these investigations are mainly based on experiments, which have not addressed a fast and accurate method for calculating highfrequency electromagnetic forces caused by different PWMinduced high-frequency harmonic voltages (HFHVs).

Neglecting the nonlinearity of silicon steel sheets (SSTs), a method for fast calculating electromagnetic forces caused by HFHCs has been proposed in [17] based on the superposition principle, which uses the permeance distribution function to describe the relationships between input currents and flux density distributions. However, the HFHCs themselves are very difficult to be accurately predicted at the design stage because of the eddy current reaction effects at high frequency under PWM voltage source inverter (VSI) supply [11]. Besides, the superposition principle and other analytical methods [17]-[20] can only be applied under the assumption of neglecting the nonlinearity of SSTs, which is not fit for IPMSMs. To tackle the nonlinearity in IPMSMs, the small-signal time-harmonic FEA (THFEA) based on the frozen differential reluctivity tensor method (FDRTM) has been proposed for fast calculating the PWM-induced permanent magnet (PM) eddy current losses and iron losses [21]-[23]. In this paper, the small-signal THFEA method is further extended for calculating the airgap flux densities and electromagnetic forces caused by HFHVs.

This paper focuses on presenting a detailed procedure for fast calculating the electromagnetic forces in IPMSMs under PWM VSI supply. Although only the calculation procedure at one working condition is given and the eddy current reaction effect in PMs is neglected, the proposed method is able to consider different working conditions and the eddy current reaction effect, just like the calculation of the PWM-induced losses in [21]-[23], with more THFEA and interpolation methods, which is no longer repeated here. Fig. 1 gives an overview of the proposed fast calculation method. In Section II-A, a new computational efficient FEA (CE-FEA) method based on the  $dq$ transformation is proposed for fast calculating airgap flux density under sinusoidal current source (SCS) supply, which has similar effects with the CE-FEA method in [24] but

different ways. In Section II-B, the flux densities under one pole generated by independent  $d$ - and  $q$ -axis HFHV excitations are calculated, respectively, which establishes the functional relationships between HFHVs and corresponding flux densities. In Section II-C, for a simple introduction, the flux density spectra generated by one positive rotational HFHV are calculated based on the functional relationships, which is verified with the TSFEA method. In Section II-D, the flux

density spectra caused by all the PWM-induced HFHVs are calculated and verified. In Section III, the analytical method used for converting flux density spectra to force density spectra is introduced. In Section IV, conclusions are made, which demonstrates the calculation speed of PWM-induced forces is improved by 24 times, while relative errors between the proposed method and TSFEA are within 3.1%.



Fig. 1. Flowchart of the proposed method for fast calculating PWM-induced electromagnetic forces

# II. CALCULATION OF HIGH-FREQUENCY FLUX DENSITY SPECTRA AT ONE WORKING CONDITION

The IPMSM reported at [11] is taken as the prototype machine for the calculation, which has 3 pole pairs, 36 slots, and distributed windings. The skewed-slots effect is neglected for simplicity in this paper. One working condition shown in Table I is analyzed in this Section to illustrate the detailed procedure of the proposed method. The fundamental frequency  $f<sub>o</sub>$  is 50 Hz according to the parameters in Table I.



# A. Fast Calculation of Airgap Flux Density Under SCS Supply Based on DQ Transformation

The common practice to calculate the radial electromagnetic force is the Maxwell tensor method, i.e., to first calculate the airgap flux density under one pole over one electrical period. Then, the radial electromagnetic force density is computed as:

$$
F_r = \frac{B_r^2 - B_t^2}{2\mu_0} \approx \frac{B_r^2}{2\mu_0}
$$
 (1)

where  $\mu_0$  is the permeability of the air.  $B_r$  and  $B_t$  are radial and tangential flux densities in the airgap, respectively. Because the slot opening in the IPMSM [11] is small, the tangential component is neglected when calculating  $F_r$  in this paper.

According to the idea of CE-FEA [24], the flux density in the stator over one electrical period can be reconstructed with the results obtained from 1/6 electrical period by fully exploiting

the symmetries of electric and magnetic circuits, which is obviously also applicable for the flux density in the airgap layer near the stator. Here, another method for achieving the CE-FEA is introduced based on the  $dq$  transformation. For clearly illustrating this method, the airgap flux density under one pole over one electrical period is first analyzed. As shown in Fig. 2, it is assumed that there are  $N$  points under one pole for sampling the flux density, where  $N$  is the integer multiple of 3. Then, the points from 1 to  $N/3$  belongs to the  $+A$  phase belt, points from  $N/3+1$  to 2N/3 belongs to the -C phase belt, and points from  $2N/3+1$  to N belongs to the  $+B$  phase belt, where ABC is used to denote the three-phase system. In this case, point  $i$  ( $1 \le i \le$  $N/3$ ), point  $i+N/3$ , and point  $i+2N/3$  form a three-phase system.



Fig. 2. N uniformly distributed points for calculating flux density.

For example, Fig. 3 shows the radial flux density  $B_r^1$  at point 1,  $B_r^{2N/3+1}$  at point  $2N/3+1$ , and the opposite of the flux density  $B_r^{\text{N/3+1}}$  at point  $\text{N/3+1}$ . They clearly form a three-phase system with a positive sequence. Hence, the  $dq$  transformation can be applied to obtain the flux density in the rotor reference frame as:

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$$
\begin{bmatrix} f_d \\ f_q \\ f_0 \end{bmatrix} = \frac{2}{3} \begin{bmatrix} \cos \theta_e & \cos (\theta_e - 2\pi/3) & \cos (\theta_e + 2\pi/3) \\ -\sin \theta_e & -\sin (\theta_e - 2\pi/3) & -\sin (\theta_e + 2\pi/3) \\ 1/\sqrt{2} & 1/\sqrt{2} & 1/\sqrt{2} \end{bmatrix} \begin{bmatrix} f_A \\ f_B \\ f_C \end{bmatrix}
$$
\n
$$
\theta_e = \theta_{er} + \theta_i = p\theta_m + \theta_i \tag{3}
$$

where  $\theta_m$  is the rotor mechanical angle, p is the number of pole pairs,  $\theta_{er}$  is the rotor electrical angle.  $\theta_e$  is the electrical angle of the d-axis ahead of the A-axis and  $\theta_e$  is equal to  $\theta_i$  when  $\theta_m$  is 0, as shown in Fig. 4.  $f_A$ ,  $f_B$ , and  $f_C$  are three-phase quantities in the stator reference frame, while  $f_d$ ,  $f_q$ , and  $f_0$  are corresponding quantities in the  $dq$  reference frame.



Fig. 3. Flux density waveforms at three points forming a three-phase system.



Fig. 4. Diagram of dq transformation.

Fig. 5 shows the calculated flux density waveforms in the  $dq$ reference frame when submitting the three-phase flux densities in Fig. 3 into

(2).  $\theta_i$  is 165° for the IPMSM in this paper. It can be clearly seen that:

$$
B_d \left( \theta_{er} + l \cdot 60^{\circ} \right) = B_d \left( \theta_{er} \right), \quad l = 1, 2, 3, 4, 5, \quad 0 \le \theta_{er} < 60^{\circ} \tag{4}
$$

$$
B_q(\theta_{er} + l \cdot 60^\circ) = B_q(\theta_{er}), \quad l = 1, 2, 3, 4, 5, \quad 0 \le \theta_{er} < 60^\circ \tag{5}
$$

$$
B_0\left(\theta_{er} + l \cdot 60^\circ\right) = \begin{cases} -B_0\left(\theta_{er}\right), & l = 1, 3, 5, \quad 0 \le \theta_{er} < 60^\circ\\ B_0\left(\theta_{er}\right), & l = 2, 4 \quad 0 \le \theta_{er} < 60^\circ \end{cases} \tag{6}
$$

where  $B_d$ ,  $B_q$ , and  $B_0$  are flux densities at  $d$ -,  $q$ -, and 0-axis respectively. Hence, the flux density waveforms in the  $dq$ reference frame over one electrical period can be directly obtained by repeating the waveforms obtained in the first 60°.

After obtaining the flux densities in the *dq* reference frame over one electrical period, the flux densities in the stator reference frame can be calculated by applying the inverse  $dq$ transformation as:

$$
\begin{bmatrix} f_A \\ f_B \\ f_C \end{bmatrix} = \begin{bmatrix} \cos \theta_e & -\sin \theta_e & 1/\sqrt{2} \\ \cos (\theta_e - 2\pi/3) & -\sin (\theta_e - 2\pi/3) & 1/\sqrt{2} \\ \cos (\theta_e + 2\pi/3) & -\sin (\theta_e + 2\pi/3) & 1/\sqrt{2} \end{bmatrix} \begin{bmatrix} f_d \\ f_q \\ f_0 \end{bmatrix} (7)
$$



Fig. 5. Flux density waveforms in the  $dq$  reference frame.

Flux density waveforms at three pints forming a three-phase system. The above procedure can be releved and be the flux densities over one electrical period. The short part of can be the dividend period can be the dividend The above procedure can be repeated when  $i$  increases from 1 to  $N/3$ , so that the flux densities at N points under one pole over one electrical period can be calculated with those obtained in  $1/6$  electrical period. For the prototype machine,  $N$  is chosen as 144. Fig. 6 compares the flux densities at three arbitrarily chosen points calculated with common FEA over one electrical period and those reconstructed with the FEA results obtained in  $60^\circ$  based on the  $dq$  transformation. They agree with each other very well demonstrating the effectiveness of the proposed method.



Fig. 6. Comparison of calculated and reconstructed flux densities at three arbitrarily chosen points.

# B. Fast Calculation of Airgap Flux Densities under Independent D- or Q-axis HFHV Excitation with THFEA

de transformation.<br>
Securities in the degree of the state and that the calculated flux density waveforms in the day<br>
when submitting the three-phase flux densities<br>  $\begin{pmatrix}\n\frac{1}{2} & 0.4 \\
0.6 & 0.1 \\
0.6 & 0.1\n\end{pmatrix} = B_q(\theta_w)$ , be calculated flux density waveforms in the deg<br>
when submitting the three-phase flux densities<br>  $\epsilon_2$  is  $\epsilon_3$ <br>  $\epsilon_4$ <br>  $\epsilon_5$  is the FIMSM in this paper. It can be clearly<br>  $\epsilon_1$  is  $\epsilon_2$  is  $\epsilon_3$ <br>  $\epsilon_4$ <br>  $\epsilon_5$  i PWM harmonic voltages can be viewed as the combination of a series of high-frequency components whose frequencies are usually near the integer multiples of the carrier frequency. With the FDRTM, the IPMSM model can be linearized and THFEA can be utilized to investigate the relationships between HFHVs and high-frequency flux densities in PMs and SSTs [21]-[23]. It is a natural idea that this method can also be applied to investigate the high-frequency flux densities in the airgap. According to [21]-[23], the PWM-induced losses in PMs and SSTs can be fast calculated with the THFEA results under independent  $d$ - and  $q$ -axis HFHV excitations. Hence, in this Sub-section, the same THFEA results are utilized to investigate the relationships between HFHVs and airgap flux density variations without repeating more THFEA. The relationship between the injected three-phase HFHVs for the THFEA and the  $d$ - and  $q$ -axis HFHVs at different rotor positions can be expressed as [21]:

$$
\begin{bmatrix} \mathbf{v}_{A h} \\ \mathbf{v}_{B h} \\ \mathbf{v}_{C h} \end{bmatrix} = \begin{bmatrix} \cos(\theta_e) & -\sin(\theta_e) \\ \cos(\theta_e - 2\pi/3) & -\sin(\theta_e - 2\pi/3) \\ \cos(\theta_e + 2\pi/3) & -\sin(\theta_e + 2\pi/3) \end{bmatrix} \begin{bmatrix} \mathbf{v}_{d h} \\ \mathbf{v}_{q h} \end{bmatrix}
$$
 (8)

where  $v_{dh}$  and  $v_{gh}$  are d- and q-axis HFHVs, respectively. Note that the frequency of  $v_{dh}$  and  $v_{qh}$  is the same as that of  $v_{Ah}$ ,  $v_{Bh}$ , and  $v_{Ch}$  because the rotor is assumed to be stationary in the THFEA. This transformation is named as the stationary  $dq$ transformation because the rotor speed is assumed as 0, although the  $d$ - and  $q$ -axes rotate with the rotor. The 0 sequence HFHVs are neglected because the three-phase windings are connected in the Y style.

The airgap flux densities under one pole when  $v_{dh}$  or  $v_{qh}$  is applied independently are first calculated at different rotor positions with the small-signal THFEA. In the calculation, they are all assumed as  $40e^{i0}\text{V}$  and their frequencies are set as  $4200$ Hz, which is equal to  $f_c+4f_o$ . To obtain the high-frequency flux densities over one fundamental electrical period, the reconstruction method based on the dq transformation presented in Section II-A is also applied so that only the flux densities while the rotor rotates over 1/6 electrical period needs to be calculated. Taking point 1 in Fig. 2 as an example, Fig. 7(a) and (b) compare real and imaginary parts of the flux densities at this point calculated over one electrical period and those reconstructed with the THFEA results over 1/6 electrical period under  $v_{dh}$  or  $v_{qh}$  independent excitation, respectively.  $B_{sd0}^{C_{r}}$  and  $B_{sd0}^{R_{r}}$  are the calculated and reconstructed real parts of the airgap flux densities under  $v_{dh}$  excitation, respectively, while  $B_{sq0}C_{r}^{r}$  and  $B_{sq0}R_{r}^{r}$  are those obtained under  $v_{qh}$  excitation. Meanwhile,  $B_{sd0}C^{-i}$  and  $B_{sd0}R^{-i}$  represent the calculated and reconstructed imaginary parts under  $v_{dh}$  excitation, respectively, while  $B_{sq0}^C$ <sup>c</sup> and  $B_{sq0}^R$ <sup>n</sup> are those obtained under  $v_{qh}$  excitation. Obviously, the calculated and reconstructed flux densities agree with each other very well, hence the reconstruction method shown in section II-A can also be applied for the complex flux densities. The same conclusion can be obtained at the other N-1 points in the airgap, which is no longer repeated here. The airgap flux densities obtained under independent  $v_{dh}$  and  $v_{qh}$ excitations form the foundation for fast calculating the flux densities generated by arbitrary HFHVs. reconstructed with the THEA results foot if o the continue and phase and phase and phase and phase and  $B_{120}$ .<br>  $\frac{d}{dx}$  and  $B_{20}$ <sup>6</sup> are the calculated and reconstructed real parts applied fundamental voltage in pha





Fig. 7. Comparison of calculated and reconstructed complex flux densities under  $v_{dh}$  or  $v_{qh}$  independent excitation at point 1 when the frequency is 4200Hz

#### C. Calculation of High-Frequency Densities Caused by One Rotational HFHV

Before introducing the method for computing flux densities causing by all HFHVs in the PWM voltage spectra, the airgap flux densities caused by one rotational HFHV is first calculated based on the results obtained in section  $II - B$  and compared with those calculated using the traditional TSFEA method. It is assumed that a rotational HFHV with a positive sequence is injected into the machine together with the fundamental currents. However, it is impossible to use the three-phase current source and three-phase voltage source at the same time for conducting the TSFEA. Hence, the sum of the fundamental voltage and the HFHV is used as the input to conduct the TSFEA, which is expressed as:

$$
v_A(t) = V_1 \cos(2\pi f_o t + \varphi_1) + V_h \cos(2\pi f_h t + \varphi_h)
$$
  
\n
$$
v_B(t) = V_1 \cos(2\pi f_o t + \varphi_1 - 2\pi/3) + V_h \cos(2\pi f_h t + \varphi_h - 2\pi/3)
$$
  
\n
$$
v_c(t) = V_1 \cos(2\pi f_o t + \varphi_1 + 2\pi/3) + V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3)
$$
\n(9)

where  $V_1$  and  $\varphi_1$  are amplitude and initial phase angle of the applied fundamental voltage in phase  $A$ , respectively, which are determined from the TSFEA results under SCS supply [25].  $V<sub>h</sub>$ ,  $f_h$ , and  $\varphi_h$  are amplitude, frequency, and phase angle of the injected HFHV, respectively. In this subsection,  $f_h$  is also assumed as  $f_c+4f_o$ ,  $V_h$  is 40V, and  $\varphi_h$  is 0.

When conducting the TSFEA under voltage source supplies, the first step TSFEA still needs be conducted under SCS supply using the initial three-phase currents as input to minimize the transition process, so that one electrical period calculation is enough to analyze the steady-state characteristics [11]. From the second step on, voltage sources are used as the input for the calculation. When the backward Euler method is used to approximate the differential operation and the voltage drop in the resistance is neglected, the numerical voltage applied at  $\theta_e$ is expressed as: V<sub>1</sub> cos  $(2\pi f_o t + \varphi_1) + V_n \cos(2\pi f_n t + \varphi_n)$ <br>
V<sub>1</sub> cos  $(2\pi f_o t + \varphi_1 - 2\pi/3) + V_n \cos(2\pi f_n t + \varphi_n - 2\pi/3)$ <br>
V<sub>1</sub> cos  $(2\pi f_o t + \varphi_1 + 2\pi/3) + V_n \cos(2\pi f_n t + \varphi_n + 2\pi/3)$ <br>  $\gamma_1$  and  $\varphi_1$  are amplitude and initial phase angle  $t + \varphi_h$ )<br>  $s(2\pi f_h t + \varphi_h - 2\pi/3)$ <br>  $s(2\pi f_h t + \varphi_h + 2\pi/3)$ <br>
(9)<br>
al phase angle of the<br>
espectively, which are<br>  $r$  SCS supply [25].  $V_h$ ,<br>
d phase angle of the<br>
ubsection,  $f_h$  is also<br>
litage source supplies,<br>
ted unde

$$
v''\left(\theta_e\right) = \frac{\Psi\left(\theta_e\right) - \Psi\left(\theta_e - \Delta\theta_e\right)}{\Delta t} \approx v^a\left(\theta_e - \Delta\theta_e/2\right) \tag{10}
$$

where Ψ represents the flux linkage which varies with  $\theta_e$ ,  $\Delta t$  is the time step of the TSFEA,  $\Delta\theta_e$  is the variation of rotor electrical angle during  $\Delta t$ .  $v^a$  represents the analytical voltage shown in (9). It can be seen from (10) that there exists a phase difference of  $\Delta\theta_e/2$  between the numerical voltage and the analytical one. For example, the numerical voltage applied at the second step TSFEA when rotor is located at  $\Delta\theta_e$  should be

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the analytical voltage at  $\Delta\theta_e/2$ . Hence, the numerical threephase voltages applied for conducting the TSFEA are:

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\nAL TIME-HARMONIC FINTE ELEMENT METHOD  
\nal voltage at Δθ<sub>e</sub>/2. Hence, the numerical three-  
\n
$$
k(1\leq k\leq N_s)
$$
 can be expressed as:  
\nes applied for conducting the TSFEA are:  
\n $v_A^s(t) = V_1 \cos(2\pi f_b t + \varphi_b - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h - 2\pi/3 - \Delta \theta_e/2)$   
\nwhere k is an integer. It can be seen from (14) that the phase  
\nangle of  $v_{dh}$  and  $v_{ph}$  vary as the rotor rotates. Rather than  
\n $v_h^s(t) = V_1 \cos(2\pi f_h t + \varphi_h - 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h - 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n $+V_h \cos(2\pi f_h t + \varphi_h + 2\pi/3 - \Delta \theta_e/2)$   
\n

It can be seen form (11) that the phase difference for the HFHV whose frequency is  $f_h$  becomes  $\Delta \theta_e f_h/2/f_o$ , which will increase with  $f_h$  when  $\Delta\theta_e$  is fixed.

For the THFEA analysis, the positive sequence HFHV in (9) can be transferred to the  $dq$  reference frame using the static  $dq$ transformation by substituting it into

(2), which is shown as:

$$
\begin{bmatrix} v_{dh}(t) \\ v_{qh}(t) \end{bmatrix} = \begin{bmatrix} V_h \cos(2\pi f_h t + \varphi_h - \theta_e) \\ V_h \cos(2\pi f_h t + \varphi_h - \theta_e - \pi/2) \end{bmatrix}
$$
 (12)

where  $v_{dh}(t)$  and  $v_{qh}(t)$  are transient harmonic voltages at d- and q-axes, respectively. In (12),  $\theta_e$  varies with the rotor position but is not considered as a function of  $t$ , hence the frequency of  $v_{dh}(t)$  and  $v_{qh}(t)$  is still  $f_h$ . For conducting the THFEA,  $v_{dh}(t)$  and  $v_{qh}(t)$  can be written in complex phasors, which are shown as:

$$
\mathbf{v}_{dh} = V_h e^{j(2\pi f_h t + \varphi_h - \theta_e)}, \quad \mathbf{v}_{qh} = V_h e^{j(2\pi f_h t + \varphi_h - \theta_e - \pi/2)} \tag{13}
$$

Assuming that in one electrical period, there are  $N_s$  sample points, which means there are  $N_s$  steps TSFEA under SCS supply and  $N_s$  steps corresponding small-signal THFEA. Hence, the  $d$ - and  $q$ -axis HFHVs applied for the THFEA at step  $k$  (1 $\leq k \leq N_s$ ) can be expressed as:

$$
\mathbf{v}_{dh} = V_h e^{j\left(\frac{2\pi(k-1)f_h}{f_o N_s} + \varphi_h - \theta_e\right)}, \quad \mathbf{v}_{qh} = V_h e^{j\left(\frac{2\pi(k-1)f_h}{f_o N_s} + \varphi_h - \theta_e - \pi/2\right)} \tag{14}
$$

 $(2\pi f_c t + \varphi_1 - 2\pi/3 - \Delta\theta_e/2)$  conducting the THFEA to calculate the flux densities caused by M VSI SUPPLY BASED ON 71<br>
be expressed as:<br>  $\frac{2\pi(k-1)f_h}{f_oN_s} + \varphi_h - \theta_e$ ,<br>  $\psi_{qh} = V_h e^{-\int \frac{2\pi(k-1)f_h}{f_oN_s} + \varphi_h - \theta_e - \pi/2}$  (14)<br>
nteger. It can be seen from (14) that the phase<br>
nd  $v_{qh}$  vary as the rotor rotates. Rather where  $k$  is an integer. It can be seen from  $(14)$  that the phase angle of  $v_{dh}$  and  $v_{qh}$  vary as the rotor rotates. Rather than the combination of  $v_{dh}$  and  $v_{qh}$ , they can be directly calculated with the results obtained in Section II-B, which is shown as:

1S UNDER PWM VSI SUPPLY BASED ON  
\n
$$
(1 \le k \le N_s) \text{ can be expressed as:}
$$
\n
$$
\mathbf{v}_{dh} = V_h e^{\int \frac{2\pi (k-1) f_h}{f_o N_s} + \varphi_h - \theta_e} \,, \quad \mathbf{v}_{qh} = V_h e^{\int \frac{2\pi (k-1) f_h}{f_o N_s} + \varphi_h - \theta_e - \pi/2} \quad (14)
$$
\nhere *k* is an integer. It can be seen from (14) that the phase  
\niggle of  $\mathbf{v}_{dh}$  and  $\mathbf{v}_{qh}$  vary as the rotor rotates. Rather than  
\nanducting the THFEA to calculate the flux densities caused by  
\ne combination of  $\mathbf{v}_{dh}$  and  $\mathbf{v}_{qh}$ , they can be directly calculated  
\nith the results obtained in Section II-B, which is shown as:  
\n
$$
\mathbf{B}_h = \frac{V_h \chi_{sd\theta}}{f_h} e^{\int \frac{2\pi (k-1) f_h}{f_o N_s} + \varphi_h - \theta_e} + \frac{V_h \chi_{sq\theta}}{f_h} e^{\int \frac{2\pi (k-1) f_h}{f_o N_s} + \varphi_h - \theta_e - \pi/2} \quad (15)
$$
\n
$$
\chi_{sd\theta} = \frac{\mathbf{B}_{sd\theta}(\alpha, \theta_{er}) \cdot f_s}{V_s}, \quad \chi_{sq\theta} = \frac{\mathbf{B}_{sq\theta}(\alpha, \theta_{er}) \cdot f_s}{V_s} \quad (16)
$$
\nhere  $\alpha$  is the distribution angle along the inner surface of the  
\nator.  $\mathbf{B}_{sd\theta}(\alpha, \theta_{er})$  are flux densities obtained from the small-  
\nginal THFEA using the *d*-axis HFHV  $V_s e^{i\theta}$  as input, whose

CULATION OF ELECTROMAGNETIC FORCES IN IPMSMS UNDER PWM VSI SUPPLY BASED<br>
LELECTROMAGNETIC FORCES IN IPMSMS UNDER PWM VSI SUPPLY BASED<br>
lage at  $\Delta\theta_0/2$ . Hence, the numerical three-<br>  $V_1 \cos(2\pi f_s t + \varphi_1 - \Delta\theta_c f_s)$   $v_{\mu h}$  $(2\pi f_h t + \varphi_h - \theta_e)$  <br> (12) the THFEA using the q-axis HFHV  $V_s e^{j0}$  as input, whose  $\left(2\pi f_h t + \varphi_h - \theta_e - \pi/2\right)$  frequency is also f<sub>s</sub>. The real and imaginary parts of **B**<sub>sd0</sub> and  $\frac{(2\pi f_b t + \varphi_b - \theta_c)}{2\pi f_b t + \varphi_b - \varphi_c}$  (12) the simple superposition principle can be applied because the where  $\alpha$  is the distribution angle along the inner surface of the stator.  $B_{sd\theta}(\alpha, \theta_{er})$  are flux densities obtained from the smallsignal THFEA using the *d*-axis HFHV  $V_s e^{j0}$  as input, whose frequency is  $f_s$ . Similarly,  $\mathbf{B}_{sq\theta}(\alpha, \theta_{er})$  are those obtained from  $B_{sq\theta}$  has been calculated in Section II-B when  $V_s$  is 40V and  $f_s$ is  $f_c+4f_o$ .  $\chi_{sd\theta}$  and  $\chi_{sq\theta}$  are two constant matrices when neglecting eddy current reaction effects at one fixed working condition, because the flux density is proportional to the voltage amplitude and inversely proportional to the frequency [21]-[23]. Besides, model has been linearized with the FDRTM. When considering more working conditions and the eddy current reaction effects,  $\chi_{sd\theta}$  and  $\chi_{sq\theta}$  will be functions of  $i_d$ ,  $i_q$ , and frequency, which can be modeled with interpolation methods and more THFEA considering the eddy current reaction effect [21]-[23].



Fig. 8. Comparison of the flux density spectra caused by one rotational HFHV calculated with different methods.

After obtaining the flux densities under one pole, they can be simply extended to calculate those under one pole pairs by exploiting the symmetry characteristics of the flux density. Then, 2D FFT can be applied to calculate the spectra of the flux density caused by the combination of  $v_{dh}$  and  $v_{qh}$ . On the other hand, 2D FFT can also be applied to obtain the spectra of the flux densities calculated with the traditional TSFEA using (11) as input. When conducting the TSFEA, there are 2000 steps in one fundamental period and there are about 28.3 sample points in one high-frequency period. Fig. 8 compares the spectra of the flux densities calculated with different methods, which are caused by the positive rotational HFHV shown in (9). Clearly, a series of flux density harmonic components are generated and they agree with each other very well. The preponderant components are  $(u=84, v=p)$  and  $(u=86, v=-3p)$ , because  $f_h$  is 84 times of  $f<sub>o</sub>$ . The other components attenuate as they become further away from the preponderant components. Table I further compares several preponderant components in Fig. 8 quantitatively, which demonstrates that (15)-(16) can be effectively applied for calculating the high-frequency airgap flux density.

TABLE I COMPARISON OF PREPONDERANT COMPONENTS IN FLUX DENSITY CTRA OBTAINED WITH DIFFERENT METHODS CAUSED BY ONE HFHV

91 ECHAR ODTAINED WITH DIFFERENT METHODS CAUSED DT ONE HITTLY									
Time order	Space order	Amplitude (mT)		Phase angle $(°)$					
$\boldsymbol{u}$	$\nu(p)$	<b>TSFEA</b>	<b>THFEA</b>	<b>TSFEA</b>	<b>THFEA</b>				
84	$-1$	2.124	2.119	$-165.0$	$-165.2$				
86	$-3$	1.225	1.221	$-68.4$	$-68.5$				
84	11	0.804	0.802	$-163.1$	$-163.2$				
82		0.146	0.142	$-144.4$	$-145.8$				
80	3	0.572	0.569	$-141.1$	$-140.6$				
86	9	0.547	0.546	$-76.7$	$-76.6$				

# D. Fast Calculation of High-Frequency Flux density Spectra Caused by All PWM-Induced HFHVs

The method shown in Section II-C can be further extended to calculate the high-frequency flux density spectra caused by all the PWM-induced HFHVs based on the superposition principle. The PWM voltage spectra can be generated analytically or numerically [21], [25]. In this paper, the PWM voltages are generated with the numerical method shown in [25]. The space vector pulse width modulation (SVPWM) method is used, and there are 4000 steps in one fundamental period and hence 50 steps in one carrier period to distinguish the HFHVs in the PWM voltages. Then, the three-phase PWM voltages are first analyzed with the FFT to calculate the spectra of each phase, which are then substituted into

(2) to conduct the static dq transformation, with which  $\theta_e$  is considered as independent of  $t$ . Unlike the rotational  $dq$ transformation in the rotor reference frame or the static  $\alpha\beta$ transformation in the stator reference frame [22], the spectra of the PWM voltages vary with the rotor position. For example, according to (12), the phase angle of a rotational HFHV with the positive sequence at the static dq reference frame decreases with the increase of  $\theta_e$ , although the amplitudes of  $v_{dh}(t)$  and  $v_{ah}(t)$  keep unchanged.

Assuming that the PWM-induced HFHVs in the static  $d$ - and q- axes are denoted as  $V_{dh} e^{j \varphi_{dh}}$  and  $V_{gh} e^{j \varphi_{qh}}$ , respectively, where  $V_{dh}$  and  $V_{qh}$  are amplitudes and  $\varphi_{dh}$  and  $\varphi_{qh}$  are phase angles at different  $\theta_e$ . Fig. 9 shows  $V_{dh}$  and  $V_{qh}$  when  $\theta_m$  is 0, which is similar to the PWM voltage spectra obtained from the static  $\alpha\beta$ transformation [22]. Components with the frequencies of  $f_c-2f_o$ ,  $f_c+4f_o$ ,  $2f_c+f_o$ , and  $3f_c-2f_o$  have the positive sequence, while those with the frequencies of  $f_c$ -4 $f_o$ ,  $f_c$ +2 $f_o$ ,  $2f_c$ - $f_o$  and  $3f_c$ +2 $f_o$  have the negative sequence [8]. Fig. 10 shows the variations of  $\varphi_{dh}$  and  $\varphi_{qh}$  with  $\theta_{er}$  for two positive and negative components. For the component with a positive sequence,  $\varphi_{gh}$  always lags  $\varphi_{dh}$  by 90°, and they both decrease as  $\theta_{er}$  increases. For the negative component,  $\varphi_{qh}$  is always 90° leading  $\varphi_{dh}$ , and they both increase with  $\theta_{er}$ . So a contribution of the initial states of the initial state of the initial state of the maximum flux density component should be also<br>
and  $V_{ab}e^{i\omega_3}$ , respectively, where order of the maximum flux density component s



Fig. 9. Amplitudes of each HFHV in the static dq coordinate when  $\theta_m$  is 0.



Fig. 10. Variations of  $\varphi_{dh}$  and  $\varphi_{gh}$  with  $\theta_{er}$  for different components.

Similar to (15), the flux density generated by each HFHV can be calculated as:

$$
\boldsymbol{B}_{h} = \frac{V_{dh}\boldsymbol{\chi}_{sd\theta}}{f_{h}}e^{j\left(\frac{2\pi(k-1)f_{h}}{f_{o}N_{s}}+\varphi_{dh}\right)} + \frac{V_{gh}\boldsymbol{\chi}_{sq\theta}}{f_{h}}e^{j\left(\frac{2\pi(k-1)f_{h}}{f_{o}N_{s}}+\varphi_{qh}\right)} \qquad (17)
$$

where  $f_h$  is the frequency of the HFHV. 2D FFT is then applied for calculating the spectral of the flux density caused by this HFHV. After that, the flux density spectra caused by each HFHV is superimposed in the frequency domain to obtain the flux density spectra caused by all the HFHVs.

To correctly superimpose the flux density spectra caused by each HFHV, several important points to be addressed are listed as follows. First, the time order of the flux density component, no matter how high its frequency is, obtained from the 2D FFT of the complex flux densities in (17) will always fall in the range between 0 and  $N_s$ -1 because there are  $N_s$  sample points in one fundamental electrical period. Hence, the flux density spectra directly obtained from the 2D FFT need to be modified for conducting the superposition. To clearly demonstrate the modified method, the flux density spectra caused by the HFHV component whose frequency is  $3f_c-2f_o$  is taken as an example for the illustration. Because  $3f_c-2f_o$  is 238 times of  $f_o$ , the time order of the maximum flux density component should be also 238 according to Fig. 8. However, the time order of this component becomes 118 in the directly obtained spectra, as shown in Fig. 11(a). The other preponderant components must distribute around this component, which is similar to Fig. 8. However, the component whose time order should be 240 becomes the 0 in Fig. 11(a). Hence, the modification process for the flux density spectra caused by the voltage component whose frequency is  $f_h$  can be expressed as: rrectly superimpose the flux density spectra caused by<br>HV, several important points to be addressed are listed<br>HV, several important points to be addressed are listed<br>for .<br>First, the time order of the flux density compon

$$
\boldsymbol{B}_{\text{mod}}(i_{T}) = \boldsymbol{B}_{\text{ini}}\left(\text{mod}(i_{T}, N_{s})\right), \quad \frac{f_{h}}{f_{o}} - \frac{N_{s}}{2} \leq i_{T} \leq \frac{f_{h}}{f_{o}} + \frac{N_{s}}{2} - 1 \tag{18}
$$

where  $i<sub>T</sub>$  denotes the time orders of the flux density components  $V_{db}$ ,  $\theta_m$ =0 caused by the  $f_h$  harmonic voltage, which are assumed to be within  $f_h/f_o-N_s/2$  and  $f_h/f_o+N_s/2$ -1. The function mod( $i_T$ ,  $N_s$ ) means the remainder after division of  $i<sub>T</sub>$  by  $N<sub>s</sub>$ . **B**<sub>ini</sub> represents the initial spectra directly obtained from the 2D FFT, as shown in Fig. 11(a).  $B_{mod}$  represents the right flux density spectra, as shown in Fig. 11(b), which can be calculated with the modification method shown in (18).

> The flux density spectra caused by each component in the PWM-induced HFHVs can be obtained from the above procedure. Then, the superposition method can be applied for

calculating the total spectra caused by all the HFHVs. In this case, the harmonic voltage components ranging from 2.5kHz to 25kHz are submitted into (17) to calculate the flux density spectra. Fig. 12 and Fig. 13 compare the flux density spectra calculated with the traditional TSFEA method and the proposed method. When conducting the TSFEA, SVPWM voltages are

used as the input to conduct 4000-step transient calculations in one electrical period to compute the flux density waveforms [21]-[23], [25]. Then, 2D FFT is applied to obtain their spectra. Obviously, the spectra obtained from the two methods agree with each other very well.



TABLE II COMPARISON OF PREPONDERANT COMPONENTS IN THE FLUX DENSITY SPECTRA OBTAINED WITH DIFFERENT METHODS UNDER PWM VSI SUPPLY

ƏPECIKA UBTAINED WITH DIFFERENT METHUDS UNDER E WIM VƏLƏUPPLY								
Time	Space	Amplitude (mT)		Phase angle $(°)$				
order	order	<b>TSFEA</b>	<b>THFEA</b>	<b>TSFEA</b>	<b>THFEA</b>	Difference		
$\mathcal{U}$	v(p)							
78	$-1$	2.258	2.256	$-16.2$	$-19.5$	$-3.3$		
78	11	0.970	0.969	$-22.0$	$-25.3$	$-3.3$		
80	$-3$	1.824	1.820	75.5	71.9	$-3.6$		
82		2.149	2.147	$-157.0$	$-160.9$	$-3.9$		
159	$-11$	0.827	0.825	$-127.8$	$-134.9$	$-7.1$		
159		2.624	2.613	$-112.3$	$-119.5$	$-7.2$		
161	$-1$	2.588	2.577	$-53.4$	$-60.6$	$-7.1$		
242		0.681	0.678	30.0	19.4	$-10.6$		
321	$-1$	0.609	0.600	$-46.2$	$-60.4$	$-14.2$		

For a quantitative comparison, both amplitudes and phase angles of several preponderant components are shown in Table II. It can be seen that the amplitudes agree with each other very well. However, there exist errors in the phase angles, which increase with the increase of the time order. This is because the PWM voltages are generated numerically and submitted for conducting the TSFEA without modifying the phase angle of each component using (11). Numerical errors generated because of the backward Euler method used to approximate the

differential operation, which has been explained in Section II-C. It will be shown in the next subsection that the phase angle errors will not result in significant errors in the magnetic forces, which are the sources of vibrations and noises.

#### III. CALCULATION OF ELECTROMAGNETIC FORCE SPECTRA WITH FLUX DENSITY SPECTRA

The total flux density spectra under PWM VSI supply can be obtained with the sum of those calculated under SCS supply in Section II-A and those caused by HFHVs in Section II-D, which is expressed in the frequency domain as:

$$
B_r = \sum_{u=0}^{N_u} \sum_{v=-N_v/2}^{N_v/2} B_{uv} \cos(u\omega_0 t + vp\theta_m + \varphi)
$$
 (19)

$$
\omega_o = 2\pi f_o \tag{20}
$$

where  $N_u$  is the maximum time order and  $pN_v/2$  is the maximum space order.  $B_{uv}$  and  $\varphi$  are the amplitude and phase angle of the component  $(u, vp)$ .  $\omega_o$  is the fundamental angular frequency. By submitting (19) into (1), the PWM-induced electromagnetic force spectra can be calculated analytically as:

$$
F_r = \sum_{k=0}^{N_k} \sum_{l=-N_l/2}^{N_l/2} F_{kl} \cos(k\omega_0 t + l p \theta_m + \varphi_{kl}) = \frac{1}{2\mu_0} \sum_{u_1=0}^{N_u} \sum_{v_1=-N_v/2}^{N_v/2} \sum_{u_2=0}^{N_u} \sum_{v_2=-N_v/2}^{N_v/2} \cos(u_1 \omega_0 t + v_1 p \theta_m + \varphi_1)
$$
  
\n
$$
= \frac{1}{2\mu_0} \sum_{u_1=0}^{N_u} \sum_{v_1=-N_v/2}^{N_v/2} \sum_{u_2=0}^{N_u} \sum_{v_2=-N_v/2}^{N_v/2} \sum_{l=1}^{N_l} B_{u 1 v 1} B_{u 2 v 2} \cos((u_1 + u_2)\omega_0 t + (v_1 + v_2)p\theta_m + \varphi_1 + \varphi_2)
$$
  
\n
$$
= \frac{1}{2\mu_0} \sum_{u_1=0}^{N_u} \sum_{v_1=-N_v/2}^{N_v/2} \sum_{u_2=0}^{N_u} \sum_{v_2=-N_v/2}^{N_v/2} \sum_{l=1}^{N_l} B_{u 1 v 1} B_{u 2 v 2} \cos((u_1 - u_2)\omega_0 t + (v_1 - v_2)p\theta_m + \varphi_1 - \varphi_2)
$$
 (21)

where  $k$  and  $l$  are integers, which denote the time and space order of the electromagnetic forces, respectively.  $F_{rl}$  and  $\varphi_{kl}$  are amplitudes and phase angles of the component  $(k, lp)$ , respectively.  $N_k$  and  $pN_l/2$  are the maximum time and space orders of the electromagnetic force spectra, respectively. The subscripts  $_1$  and  $_2$  are used to distinguish the two multiplied components.

Theoretically, it is straightforward to compute  $F_{kl}$  of each component according to (21). However, a quadruple cycle is needed in the program, which makes it very time-consuming for computing the electromagnetic forces generated by all the flux density components. Fortunately, for the PWM-induced high-frequency force components, only those with low space orders are important for the vibration and noise calculation [8]. In this case,  $N_k$  is set as 400, which is corresponding to the highest audible frequency.  $N_l$  is set as 36 so that the maximum space order of the force is 54 (18p).  $N_u$  is set as 560 and  $N_v$  is 72, which are larger than  $N_k$  and  $N_l$  because some high-order flux density components may also contribute to low-order force components [20]. The high-frequency force density spectra calculated with different methods are compared in Fig. 14 and Fig. 15. Obviously, they agree with each other very well. For a quantitative comparison, the amplitudes of several components are shown in Table III. The relative errors between the traditional TSFEA and the proposed method are with 3.1% at 11 preponderant components, which shows that the proposed

method has rather good accuracy for engineering applications. The possible reasons for the errors are summarized as follows. (1) The phase resistance makes the assumption that the voltage is proportional to the flux density no longer true when the frequency is low. (2) The PWM flux density harmonics may also contribute to the saturation in the SSTs, which is assumed to be only determined by the fundamental current with the FDRTM. (3) The Phase angle difference between the highfrequency flux densities calculated with the proposed method and the traditional TSFEA shown in Table II may also cause the error in the calculated electromagnetic force. Besides, the used traditional TSFEA may also has errors when compared with the experiment results [11]. More researches in the future are still needed to conduct the experimental verification. By the way, it is suggested to use the transient flux density waveforms obtained under SCS supply for computing the force density spectra caused by fundamental currents and the PM, rather than using (21), because the investigated maximum space order of the low-frequency force components is usually high. In this case, using (21) will be time-consuming.

Table IV compares the calculation time of the traditional TSFEA and the proposed method. The FEA model in this paper has 12864 nodes and 24606 first order triangular elements and the calculation is conducted in a personal computer with an Intel Core i7-9750H CPU  $\omega$  2.60GHz. With the traditional method, 4000 steps nonlinear TSFEA are needed, which takes 46min. Additional 46s is needed for 2D FFT to calculate the force



TABLE III COMPARISON OF PREPONDERANT COMPONENTS IN FORCE DENSITY



density spectra. With the proposed method, only 42s is needed for the FEA. However, another 68s is still needed to use (21) for the force density spectra. By the way, when applying the proposed method for force density spectra calculations caused by other PWM voltages with different dc-bus voltages, carrier frequencies, or modulation strategies at the same working point, it is no longer to repeat 20 steps TSFEA and 40 steps THFEA, although additional time for the analytical force density spectra calculation is still needed. TABLE IV







### IV. CONCLUSION

This paper proposed a fast PWM-induced force density spectra calculation method for IPMSMs based on the small-signal THFEA. The detailed calculation procedure has been presented. The relative errors between the preponderant components with the proposed method and those obtained from the traditional TSFEA have been shown to be within 3.1%, while the proposed method improves the calculation speed for over 24 times at one working condition. Some conclusions can be drawn as follows.

(1) Based on the small-signal THFEA, the functional relationships between HFHVs and high-frequency flux densities in the airgap can be established with  $\chi_{sd\theta}$  and  $\chi_{sd\theta}$  without calculating HFHCs. Besides,  $\chi_{sd\theta}$  and  $\chi_{sq\theta}$  can be obtained together with  $z_{d1}$ ,  $z_{d2}$ ,  $z_{q1}$ , and  $z_{q2}$  in [21] as well as  $\chi_{ds}$ ,  $\chi_{dr}$ ,  $\chi_{qs}$ , and  $\chi_{qr}$  in [22] without additional THFEA.

(2) One HFHV component at  $f_h$  generates a series of highfrequency flux density components distributing around  $f_h$ . The time order modification using (18) on the initial spectra directly obtained from the 2D FFT is essential for obtaining the correct flux density spectra.

(3) The proposed method can be used for fast evaluating the influence of inverters' parameters on the high-frequency electromagnetic forces, as well as corresponding vibrations and noises, which will be investigated in the future.

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Sa Zhu (Member, IEEE) received the B.Sc. and Ph.D. degrees in electrical engineering from the School of Electrical Engineering, Southeast University, Nanjing, China, in 2011 and 2017, respectively.

From 2013 to 2014, he went to Politecnico di Torino for a one-year visiting study. Since 2017, he has been a Lecturer with Hohai University, Nanjing. His current research

interests include permanent magnet machines, loss calculation, and multiphysics analysis.

Dr. Zhu holds the First Place in the 2011 IEEE Industry Applications Society Myron Zucker Undergraduate Student Design Contest.



Jianbo Lu received the B.E. degree in electrical engineering and automation from the School of Energy and Electrical Engineering, Hohai University, Nanjing, China, in 2021. He is currently working toward the M.E. degree in electrical engineering with the College of Energy and Electrical Engineering, Hohai University.

His current research interests include permanent magnet machines and finite element analysis.



Feng Zeng received the B.E. degree in electrical engineering and automation from the School of Energy and Electrical Engineering, Hohai University, Nanjing, China, in 2020. He is currently working toward the M.E. degree in electrical engineering with the College of Energy and Electrical Engineering, Hohai University,

Nanjing, China.

His current research interests include the optimization of permanent magnet machines and finite element analysis.