

The Switching Fractional Order Chaotic System and Its Application to Image Encryption

Jialin Hou, Rui Xi, Ping Liu, and Tianliang Liu

Abstract—Many studies on fractional order chaotic systems and secure communications have been carried out, however, switching fractional order chaotic system and its application to image encryption have not been explored yet. In this paper, a new switching fractional order chaotic system is proposed, containing fractional order Chen system and the other two fractional order chaotic systems. Chaotic attractors and dynamical analysis including Lyapunov exponent, bifurcation diagram, fractal dimension, dissipation, stability and symmetry are shown firstly. After that, some circuit simulations through Multisim are presented. By controlling switch k_1 and k_2 , switching among the three fractional order chaotic subsystems can be realized. Finally, we apply the switching fractional order chaotic system to image encryption using exclusive or (XOR) encryption algorithm. The encryption scheme could increase randomness and improve speed of encryption.

Index Terms—Fractional order chaotic system, image encryption, subsystem, switching.

I. INTRODUCTION

WITH the rapid development of computer and network technology, the problem of security in image information transmission and storage draws more attention [1], and image encryption has become a hot topic in public. Image encryption is far different from text encryption and more complicated owing to some internal features [2]. Chaotic properties such as boundedness, intrinsic randomness and sensitivity to initial conditions meet the demand for image

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J. L. Hou and R. Xi are with Shandong Key Laboratory of Gardening Machinery and Equipment, College of Mechanical and Electrical Engineering, Agricultural University of Shandong, Tai'an 271018, China (e-mail: jlhoul@dau.edu.cn; 18854887517@163.com).

P. Liu is with Shandong Key Laboratory of Gardening Machinery and Equipment, College of Mechanical and Electrical Engineering, Agricultural University of Shandong, Tai'an 271018, China, and the School of Computer Science and Technology, Dalian University of Technology, Dalian 116023, China (e-mail: liupingshd@126.com).

T. L. Liu is with Shandong Key Laboratory of Gardening Machinery and Equipment, College of Mechanical and Electrical Engineering, Agricultural University of Shandong, Tai'an 271018, China, and the Department of Mechanical and Electronic Engineering, Taishan Vocational and Technical College, Tai'an 271018, China (e-mail: 30599345@qq.com).

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encryption. In the past years, theory and control of integer order chaotic systems have been explored such as Lorenz system [3], [4], Chua's system [5], Chen system [6], [7], Liu system [8]–[10] and Lu system [11]–[13]. Studies on fractional order chaotic systems including fractional order Chen system [14]–[16], fractional order Lorenz system [17], fractional order Liu system [18] and fractional order Chua's system [19] have also attracted many researchers. In terms of secure communication, lower dimensional integer chaotic systems are more easily-implemented than higher ones, whereas security of higher dimensional integer chaotic systems is better than lower ones [17]. Compared with integer order chaotic system, fractional order chaotic system has more complex chaotic characteristics and more complicated topological structures. When applied to image encryption, the fractional order system could support a larger key space, enhance security and withstand the attacks effectively. At present, articles could be found about fractional order chaotic systems applied to secure communications in [20]–[22].

Fractional calculus has a long history of more than 300 years, we know the Riemann-Liouville definition of fractional order integral [23] is

$$\frac{d^\alpha f(t)}{dt^\alpha} = \frac{1}{\Gamma(m-a)} \frac{d^m}{dt^m} \int_{t_0}^t \frac{f(\tau)}{(t-\tau)^{\alpha-m+1}} d\tau$$

where $m-1 < \alpha < m$, $m \in \mathbb{N}$.

When all the initial conditions are zero, the Laplace transform of the Riemann-Liouville definition is

$$L \left\{ \frac{d^q f(t)}{dt^q} \right\} = s^q L \{ f(t) \}.$$

The rest of the paper is organized as follows. Section II gives dynamical analyses of the proposed switching fractional order chaotic system. Section III presents circuit simulations. In Section IV, application of the switching fractional order chaotic system to image encryption is introduced and some conclusions are given in the last.

II. SYSTEM COMPOSITION AND DYNAMICAL PROPERTIES

A. System Composition

The fractional order Chen system is:

$$\begin{cases} \frac{d^q x}{dt^q} = a(y-x) \\ \frac{d^q y}{dt^q} = (c-a)x - xz + cy \\ \frac{d^q z}{dt^q} = xy - bz \end{cases} \quad (1)$$

where a , b and c are real parameters, and $a = 35$, $b = 3$ and $c = 28$, q is called the fractional order and $q = 0.9$. Keeping the values of a , b , c and q unchanged, we switch the subsystem

(1) by replacing xy with x^2 in the nonlinear term and then obtain the subsystem (2):

$$\begin{cases} \frac{d^q x}{dt^q} = a(y - x) \\ \frac{d^q y}{dt^q} = (c - a)x - xz + cy \\ \frac{d^q z}{dt^q} = x^2 - bz. \end{cases} \quad (2)$$

Next, by the substitution of y^2 for x^2 in the nonlinear term of the subsystem (2), the subsystem (3) could be obtained:

$$\begin{cases} \frac{d^q x}{dt^q} = a(y - x) \\ \frac{d^q y}{dt^q} = (c - a)x - xz + cy \\ \frac{d^q z}{dt^q} = y^2 - bz. \end{cases} \quad (3)$$

B. Dynamical Properties of System

The subsystem (1) has following basic dynamical properties:

1) *Lyapunov Exponents and Bifurcation Diagram*: According to the definition of Lyapunov exponents, when selecting parameters $a = 35$, $b = 3$, $c = 28$ with initial values $x(0) = 3$, $y(0) = 1$, and $z(0) = 5$, we could get the Lyapunov exponents of subsystem (1) as: $L_1 = 2.0074$, $L_2 = 0$ and $L_3 = -19.2303$. The subsystem (1) displays chaotic behavior since one of the Lyapunov exponents is positive [24]. For the case of choosing the same parameters and initial conditions, chaotic attractors of the subsystem (1) in different phase planes are shown in Fig. 1.

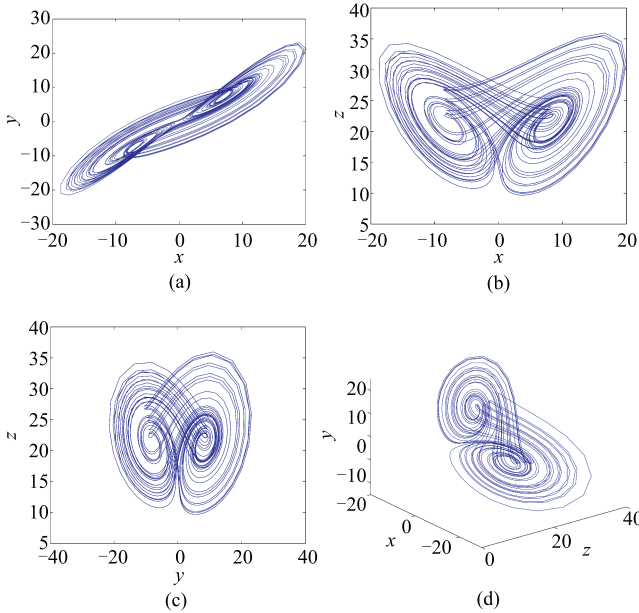


Fig. 1. Chaotic attractors of the subsystem (1) in different phase planes.

Bifurcation means a change, a splitting apart and a division into two branches. The bifurcation diagram and Lyapunov exponent spectrum for subsystem (1) due to the variation of parameters a and b are investigated in this paper. For subsystem (2) and subsystem (3), those could also be discussed similarly and will be omitted.

From Fig. 2, we could see that when $33 < a < 46$, subsystem (1) is chaotic.

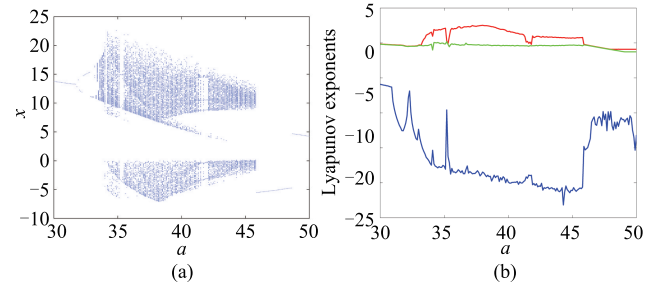


Fig. 2. Bifurcation diagram and Lyapunov exponent spectrum with respect to parameter a . (a) Bifurcation diagram. (b) Lyapunov exponent spectrum.

From Fig. 3, conclusion could be obtained that when $0 < b < 4.2$, subsystem (1) shows chaotic behavior.

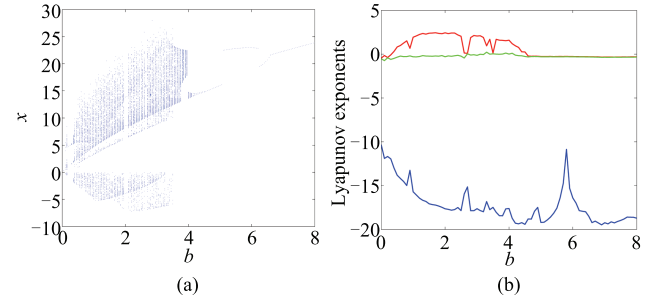


Fig. 3. Bifurcation diagram and Lyapunov exponent spectrum with respect to parameter b . (a) Bifurcation diagram. (b) Lyapunov exponent spectrum.

2) *Fractal Dimension*: For this case, the formula is

$$D = j + \frac{1}{|L_{j+1}|} \sum_{i=1}^j L_i = 2 + \frac{L_1 + L_2}{|L_3|} = 2.1044 \quad (4)$$

therefore, the Lyapunov dimension of subsystem (1) is fractal dimension.

3) *Dissipation*: The formula is

$$\nabla V = \frac{\partial \dot{x}}{\partial x} + \frac{\partial \dot{y}}{\partial y} + \frac{\partial \dot{z}}{\partial z} = -a + c - b = -10 < 0 \quad (5)$$

so the subsystem (1) is dissipative, converging at exponential rate e^{-10t} . In other words, the initial volume element is $V(0)$ and becomes $V(t) = V(0)e^{-10t}$ in time t . When $t \rightarrow \infty$, every volume element which contains trajectories of the subsystem (1) shrinks to zero with the rate of exponential convergence.

4) *Equilibrium Points and Stability*: The equilibrium points of subsystem (1) could be calculated by making subsystem (1) = 0, we get three equilibrium points of the subsystem (1) as

$$E_1 = (0, 0, 0)$$

$$E_2 = (7.937, 7.937, 21)$$

$$E_3 = (-7.937, -7.937, 21).$$

By linearizing the subsystem (1) at point E_1 , the Jacobian matrix J_0 could be obtained:

$$J_0 = \begin{pmatrix} -35 & 35 & 0 \\ -7 & 28 & 0 \\ 0 & 0 & -3 \end{pmatrix}. \quad (6)$$

TABLE I
DYNAMICAL PROPERTIES OF THE SUBSYSTEM (2) AND SUBSYSTEM (3)

Dynamical properties	The subsystem (2)	The subsystem (3)
Lyapunov exponents	$LE_1 = 2.0017, LE_2 = 0, LE_3 = -19.2001$	$LE_1 = 2.0019, LE_2 = 0, LE_3 = -19.2011$
Fractal dimension	$D = 2 + \frac{L_1+L_2}{ L_3 } = 2.1043$	$D = 2 + \frac{L_1+L_2}{ L_3 } = 2.1042$
Dissipation	$\frac{\partial \dot{x}}{\partial x} + \frac{\partial \dot{y}}{\partial y} + \frac{\partial \dot{z}}{\partial z} = -10 < 0$	$\frac{\partial \dot{x}}{\partial x} + \frac{\partial \dot{y}}{\partial y} + \frac{\partial \dot{z}}{\partial z} = -10 < 0$
Equilibrium points	$E_1 = (0, 0, 0), E_2 = (7.937, 7.937, 21)$ $E_3 = (-7.937, -7.937, 21)$	$E_1 = (0, 0, 0), E_2 = (7.937, 7.937, 21)$ $E_3 = (-7.937, -7.937, 21)$
Symmetry	Symmetrical about the z -axis	Symmetrical about the z -axis

The characteristic polynomial of Jacobian matrix J_0 is

$$(-35 - \lambda)(28 - \lambda)(-3 - \lambda) + 7 \times 35(-3 - \lambda) = 0. \quad (7)$$

From (7), we could obtain eigenvalues of the linearized subsystem (1) at point E_1 : $\lambda_1 = -30.8359, \lambda_2 = 23.8359, \lambda_3 = -3$. According to [25], we learn that when and only when the real parts of all eigenvalues of system at equilibrium points are negative, system is stable. As a result, the subsystem (1) is unstable at the equilibrium point E_1 .

In the same way, eigenvalues of the corresponding linearized subsystem (1) at point E_2 and E_3 could also be obtained:

$$\begin{aligned} E_2 : \lambda_1 &= -18.4280 \\ \lambda_2 &= 4.2140 + 14.8846i \\ \lambda_3 &= 4.2140 - 14.8846i \\ E_3 : \lambda_1 &= -18.4280 \\ \lambda_2 &= 4.2140 + 14.8846i \\ \lambda_3 &= 4.2140 - 14.8846i. \end{aligned}$$

Eigenvalues at E_2 and E_3 are complex conjugate roots with positive real part, so, the subsystem (1) is unstable at equilibrium points E_2 and E_3 similarly.

5) *Symmetry*: The subsystem (1) is symmetrical about the z -axis on account of its invariance after the transformation $(x, y, z) \rightarrow (-x, -y, z)$. The dynamical properties of the subsystem (2) and subsystem (3) could also be analysed similarly. With the selection of parameters $a = 35, b = 3, c = 28$ and initial values $\dot{x}(0) = 2, \dot{y}(0) = 4, \dot{z}(0) = 4$, chaotic attractors of the subsystem (2) in different phase planes are plotted in Fig. 4.

When selecting parameters $a = 35, b = 3, c = 28$ and initial values $\ddot{x}(0) = 2, \ddot{y}(0) = 1, \ddot{z}(0) = 2$, chaotic attractors of the subsystem (3) in different phase planes are shown as Fig. 5. Dynamical properties of the two subsystems are displayed in Table I.

III. ANALOG CIRCUIT IMPLEMENTATION

A. Fractional Tree Circuit Unit

With respect to circuit design of fractional order chaotic system, the key point is how to design the circuit of $1/s^{0.9}$.

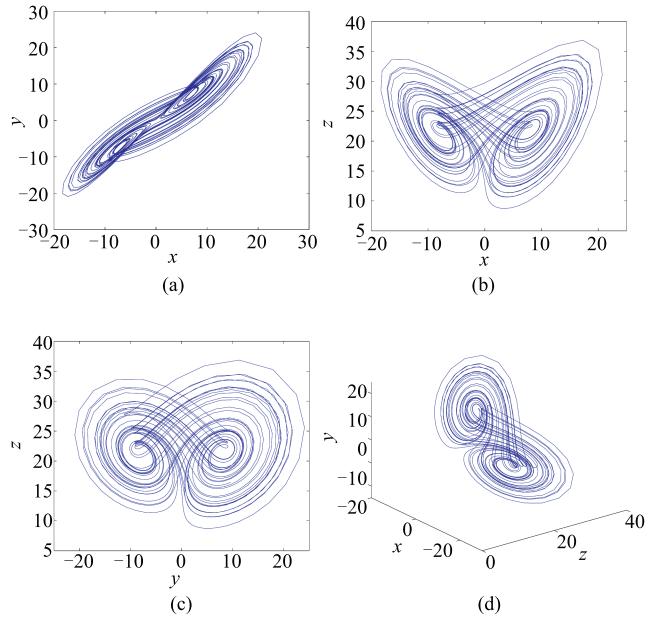


Fig. 4. Chaotic attractors of the subsystem (2) in different phase planes.

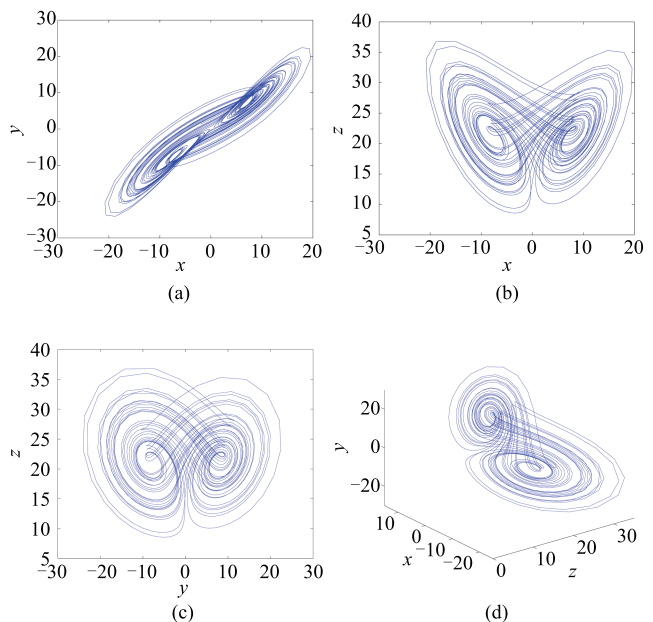


Fig. 5. Chaotic attractors of the subsystem (3) in different phase planes.

Reference [26] gave the approximate expression (8) (approximation error 2 dB) and tree circuit unit of $1/s^{0.9}$ is shown as Fig. 6.

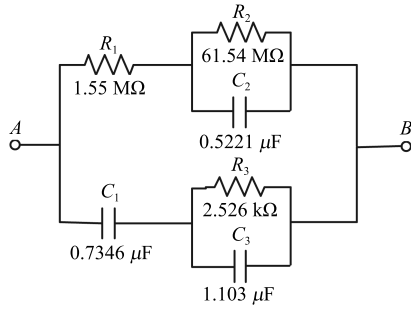


Fig. 6. Tree circuit unit.

$$\frac{1}{s^{0.9}} \approx \frac{2.2675(s + 1.292)(s + 215.4)}{(s + 0.01292)(s + 2.154)(s + 359.4)}. \quad (8)$$

The transfer function of the tree circuit unit is (9), shown at the bottom of this page.

In (9), C_0 is a unit parameter, and let $C_0 = 1 \mu\text{F}$, $F(s) = H(s) \cdot C_0 = 1/s^{0.9}$. Compared with (8), the values of resistances and capacitances could be calculated as follows: $R_1 = 1.55 \text{ M}\Omega$, $R_2 = 61.54 \text{ M}\Omega$, $R_3 = 2.526 \text{ k}\Omega$, $C_1 = 0.7346 \mu\text{F}$, $C_2 = 0.5221 \mu\text{F}$, $C_3 = 1.103 \mu\text{F}$.

B. Circuit Simulations

As is shown in Fig. 7, this circuit is composed of resistors, capacitors with different values, operational amplifiers LM741, analog multipliers AD633 (output gain is 0.1), analog switches and 0.9 order circuits.

When switch k_1 connects to x while k_2 connects to y , or k_1 connects to y while k_2 connects to x , the subsystem (1) will be obtained. Simulation results are shown in Fig. 8.

According to circuit schematic diagram, the fractional order circuit equation could be gotten:

$$\begin{cases} \frac{d^{0.9}x}{dt^{0.9}} = \frac{R_{12}}{R_{14}R_{11}C}y - \frac{R_{12}}{R_{13}R_{11}C}x \\ \frac{d^{0.9}y}{dt^{0.9}} = \frac{R_{17}}{R_{18}R_{11}C}(-x) - \frac{R_{17}}{10R_{20}R_{11}C}xz + \frac{R_{17}}{R_{21}R_{11}C}y \\ \frac{d^{0.9}z}{dt^{0.9}} = \frac{R_{23}}{10R_{24}R_{11}C}xy - \frac{R_{23}}{R_{25}R_{11}C}z. \end{cases} \quad (10)$$

When switch k_1 connects to x , and k_2 connects to x , the subsystem (2) will work. Simulation results are shown in Fig. 9.

$$H(s) = \left[R_1 + (R_2 // \frac{1}{sC_2}) \right] // \left[\frac{1}{sC_1} + (R_3 // \frac{1}{sC_3}) \right]$$

$$= \frac{\frac{1}{C_0} \left[\left(\frac{C_0}{C_1} + \frac{C_0}{C_3} \right) (s + \frac{R_1 + R_2}{R_1 C_2 R_2}) (s + \frac{1}{C_1 R_3 + C_3 R_3}) \right]}{s^3 + \left(\frac{R_1 + R_2}{R_1 C_2 R_2} + \frac{1}{C_3 R_3} + \frac{C_1 + C_3}{C_1 R_1 C_3} \right) s^2 + \left(\frac{R_1 + R_2}{R_1 C_2 R_2 C_3 R_3} + \frac{1}{R_1 C_1 R_3 C_3} + \frac{C_1 + C_3}{R_1 C_1 R_2 C_2 C_3} \right) s + \frac{1}{R_1 C_1 R_2 C_2 R_3 C_3}}. \quad (9)$$

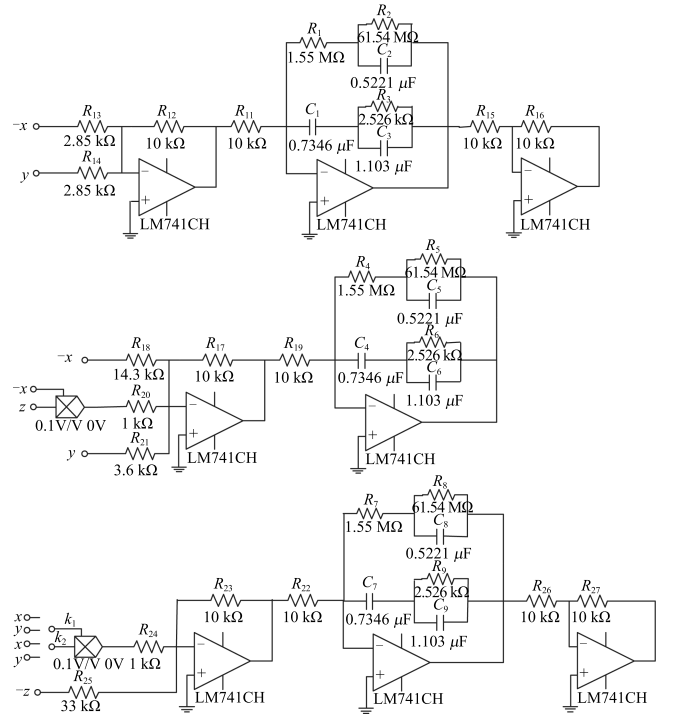


Fig. 7. Circuit schematic diagram.

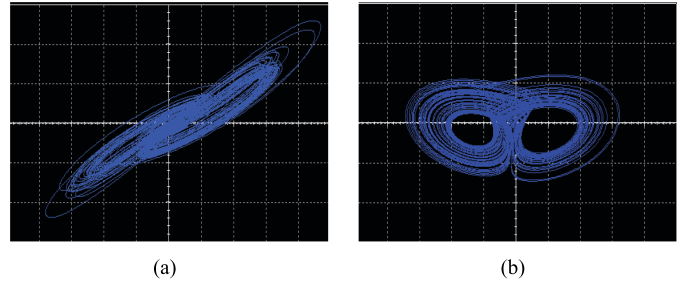


Fig. 8. Circuit simulations of the subsystem (1).

In this case, the fractional order circuit equation could be written as

$$\begin{cases} \frac{d^{0.9}x}{dt^{0.9}} = \frac{R_{12}}{R_{14}R_{19}C}y - \frac{R_{12}}{R_{13}R_{19}C}x \\ \frac{d^{0.9}y}{dt^{0.9}} = \frac{R_{17}}{R_{18}R_{19}C}(-x) - \frac{R_{17}}{10R_{20}R_{19}C}xz + \frac{R_{17}}{R_{21}R_{19}C}y \\ \frac{d^{0.9}z}{dt^{0.9}} = \frac{R_{23}}{10R_{24}R_{19}C}x^2 - \frac{R_{23}}{R_{25}R_{19}C}z. \end{cases} \quad (11)$$

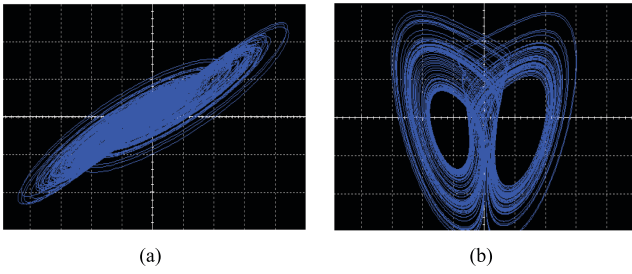


Fig. 9. Circuit simulations of the subsystem (2).

When switch $k1$ is connected to y while $k2$ is connected to y , the subsystem (3) will be in operation. Simulation results are shown in Fig. 10. Similarly, the fractional order circuit equation is given by

$$\begin{cases} \frac{d^{0.9}x}{dt^{0.9}} = \frac{R_{12}}{R_{14}R_{22}C}y - \frac{R_{12}}{R_{13}R_{22}C}x \\ \frac{d^{0.9}y}{dt^{0.9}} = \frac{R_{17}}{R_{18}R_{22}C}(-x) - \frac{R_{17}}{10R_{20}R_{22}C}xz + \frac{R_{17}}{R_{21}R_{22}C}y \\ \frac{d^{0.9}z}{dt^{0.9}} = \frac{R_{23}}{10R_{24}R_{22}C}y^2 - \frac{R_{23}}{R_{25}R_{22}C}z. \end{cases} \quad (12)$$

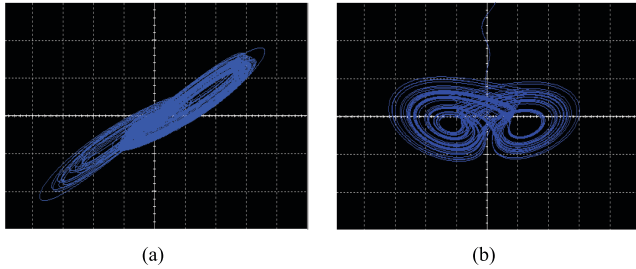


Fig. 10. Circuit simulations of the subsystem (3).

Comparing (10)–(12) with (1)–(3) respectively, we could get the values of resistors in Fig. 7.

We could see that the results of circuit simulations with Multisim and numerical simulations through MATLAB are basically the same.

IV. APPLICATION TO IMAGE ENCRYPTION

After the presentation of dynamical analyses, numerical and circuit simulations, validity of the proposed switching fractional order chaotic system is confirmed. Now, we apply it to image encryption.

A. Description of Encryption Algorithm

In this paper, XOR operation is used in the image encryption and it has the following rule:

$$m \text{ XOR } f(z) \text{ XOR } f(z) = m \quad (13)$$

where, m is pixel value of the image shown in Fig. 11(a), $f(z)$ is key sequence generated by the switching fractional order chaotic system and $f(z)$ has the following rules:

$$f(z) = \begin{cases} z_1, & 0 \leq m < 85 \\ z_2, & 85 \leq m < 170 \\ z_3, & 170 \leq m \leq 255 \end{cases} \quad (14)$$

where z_1 , z_2 and z_3 sequences are from the three subsystems respectively, when $0 \leq m < 85$, z_1 is used in the image encryption, when $85 \leq m < 170$, z_2 is used to encrypt the image and in the same way, when $170 \leq m \leq 255$, z_3 is used in the image encryption.

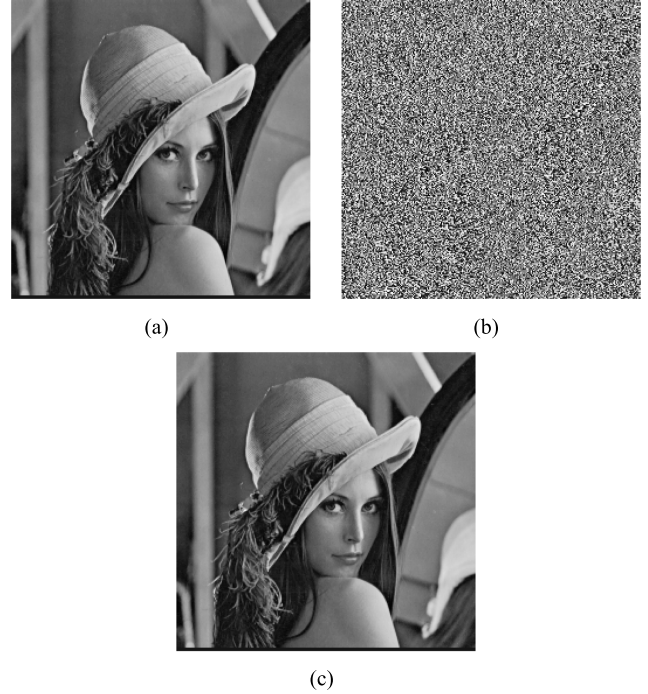


Fig. 11. Process of encryption and decryption. (a) Original image. (b) Encrypted image. (c) Decrypted image.

B. Encryption and Decryption Process

We could get the encrypted image by performing logic XOR operation between m and $f(z)$, shown in Fig. 11 (b). Similarly, decrypted image could be obtained by performing the same operation between encrypted image and $f(z)$, shown in Fig. 11 (c). Therefore, process of encryption and decryption could be realized by using the same secret-key sequence. The schematic block diagram of encryption and decryption is shown in Fig. 12.

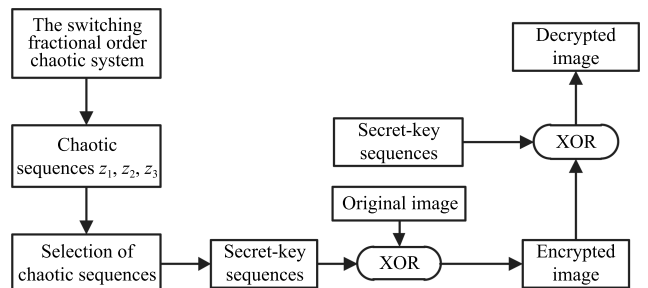


Fig. 12. The schematic block diagram of encryption and decryption.

C. Security Analyses

1) *Histogram Analysis*: Histogram reflects the basic statistical characteristics of images. From Fig. 13, we could see a great difference between histogram of original and encrypted

image. Information of original image is well covered, which could be hardly decoded from histogram of encrypted image.

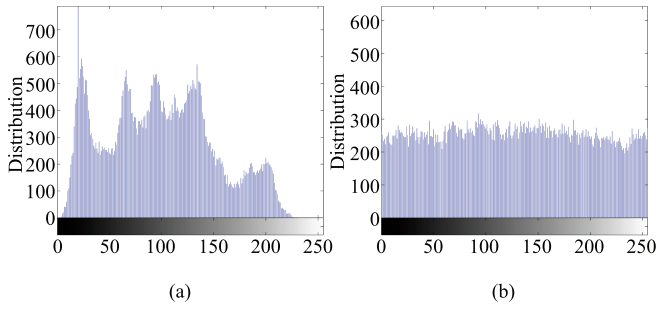


Fig. 13. Histogram. (a) Histogram of original image. (b) Histogram of encrypted image.

2) *Key Space Analysis*: In the encryption scheme, key space should be sufficiently large to resist brute-force attack. The size of key space is the total number of different keys which are used in the encryption [27]. The encryption scheme contains thirteen key parameters, which are $x(0)$, $y(0)$, $z(0)$, $\dot{x}(0)$, $\dot{y}(0)$, $\dot{z}(0)$, $\ddot{x}(0)$, $\ddot{y}(0)$, $\ddot{z}(0)$ and a , b , c , q . If the precision is 10^{-14} , it is obvious that the total key space is $10^{14} \times 10^{14} \times 10^{14} \times 10^{14} \times 10^{14} \times 10^{14} \times 10^{14} \times 10^{14} \times 10^{14} \times 10^{14} \times 10^{14} \times 10^{14} \times 10^{14} = 10^{182}$ in our encryption algorithm. Obviously, it is much larger than one in [21].

3) *Key Sensitivity Analysis*: A good encryption algorithm not only has a large key space but also is sensitive to encrypting parameters to resist attacks [22]. In the process of decrypting, we alter the key parameters slightly, when $x(0) = 3 + 10^{-8}$ is selected in the key sequences to decrypt the image, the result is shown in Fig. 14, we could see that the proposed encryption algorithm is so sensitive to key parameters that a little variance will lead to a quite different result. The sensitivity of the other parameters are similar to $x(0)$.

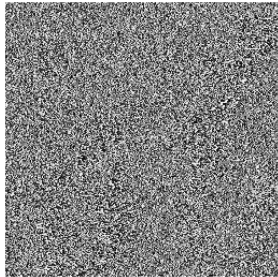


Fig. 14. Wrong decryption.

4) *Encryption Speed*: In this paper, MATLAB 7.10 is used to run the program that realizes the proposed algorithm in a personal computer with a Pentium 4 CPU 3.0GHz, 4.0GB RAM, 500GB hard disk and Microsoft Windows 7 operating system. The proposed algorithm is compared with encryption algorithms in [28] and [29], comparison results between different algorithms are shown in Table II. We could see that the encryption speed of our algorithm is much faster.

D. Color Image Encryption

1) *Procedure of Encryption and Decryption*: RGB components from the color image are extracted, as shown in

Figs. 15 (a)–(d), and then XOR operation on each channel of the image using the key sequences is performed, as shown in Fig. 16.

TABLE II
COMPARISON OF ENCRYPTION SPEED BETWEEN DIFFERENT ALGORITHMS

Algorithms	Encryption speed (MB/s)
Algorithm of [28]	4.80
Algorithm of [29]	6.21
The proposed algorithm	8.32



Fig. 15. Color plain-image and its R, G, B components. (a) Color plain-image. (b) R component of plain-image. (c) G component of plain-image. (d) B component of plain-image.

The decryption process is similar to that of the encryption but in the reversed order.

2) *Histogram Analysis*: The histograms of plain image and encrypted image in each channel are shown in Fig. 17. The histogram of plain image in R, G and B channel is displayed in Figs. 17 (a)–(c). Figs. 17 (d)–(f) represent the results after encryption. Obviously, no useful information can be extracted from encrypted image and we can therefore guarantee the security of image encryption. With respect to quantitative analyses, we employ variances of histograms to evaluate uniformity of distribution. The formula of histogram variance [30] is

$$\text{var}(z) = \frac{1}{n^2} \sum_{i=1}^n \sum_{j=1}^n \frac{1}{2} (z_i - z_j)^2$$

the detailed calculation procedure could be referred to [30] and will not be presented here.

So, the proposed encryption algorithm could also be applied in color image. Discussions on key space and sensitivity are similar to grey-level image encryption and will be omitted.

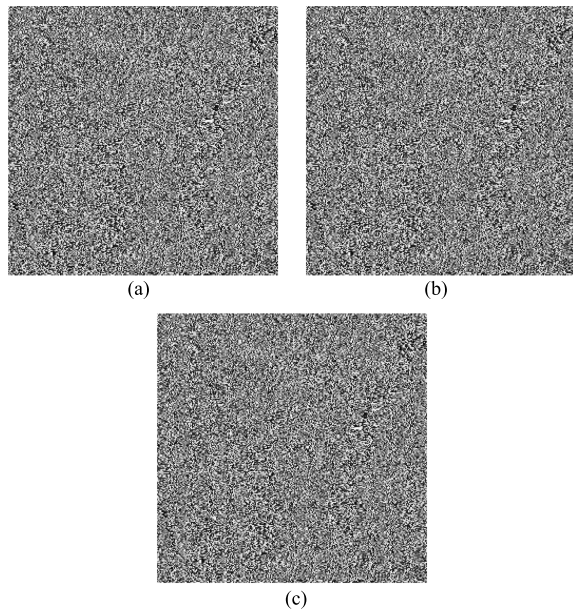


Fig. 16. The encrypted R, G, B components. (a) The encrypted R component. (b) The encrypted G component. (c) The encrypted B component.

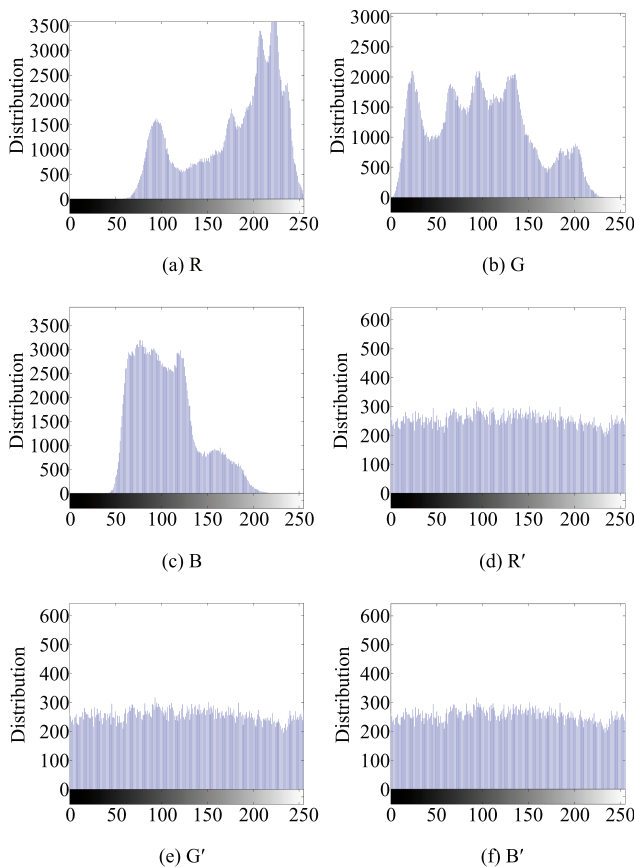


Fig. 17. Histograms of plain image and encrypted image in each channel.

V. CONCLUSIONS

In this paper, we have studied a new switching fractional order chaotic system, which is composed of three fractional order chaotic subsystems. With regard to the switching fractional order chaotic system, chaotic attractors are shown firstly, and then dynamical properties are discussed, switching among the

three subsystems is allowed by controlling switch k_1 and k_2 , the results of circuit simulations and numerical simulations are basically the same. Therefore, the proposed switching fractional order chaotic system is valid. At last, we apply it to image encryption by using XOR operation. The security analyses show that the encryption scheme has a larger key space and higher sensitivity to key parameters. Additionally, it also has stronger randomness and faster speed in encryption process. Although the proposed system has been applied to image encryption, but it is not just limited to it. It has perfect prospects in key agreement protocol [31] and neural network [32], [33].

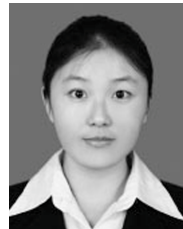
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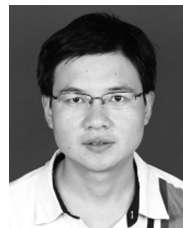
Jialin Hou graduated from Agricultural University of Shandong, China, in 1987. He received the D.E. degree from Agricultural University of China in 2005. He is currently a professor at the College of Mechanical and Electronic Engineering, Agricultural University of Shandong. His research interests include intelligent detection and automation instrument and the application of computer in agriculture.



Rui Xi is Ph.D. candidate at the College of Mechanical and Electronic Engineering, Agricultural University of Shandong. Her research interests include automation and fractional order chaotic system.



Ping Liu is associate professor at the College of Mechanical and Electronic Engineering, Agricultural University of Shandong. She received her Ph.D. and M.Sc. degrees from Shandong University, in 2012 and 2007, respectively, and received her bachelor degree from Dalian University in 2006. Her research interests include control of nonlinear systems and robotics, especially the adaptive and robust control of chaos and fractal and path planning. Corresponding author of this paper.



Tianliang Liu graduated from Technological University of Shandong, China, in 2008. He received the M.Sc. degree from Inner Mongolia University in 2011. He is currently a lecturer at Taishan Vocational and Technical College. His research interests include detection technology and automation, especially the memristor and its application.