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# **RESEARCH ARTICLE**

# Improving IEEE 802.11ax UORA Performance: Comparison of Reinforcement Learning and Heuristic Approaches

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**ABSTRACT** Machine learning (ML) has gained attention from the network research community because it can help solve difficult problems and potentially lead to groundbreaking achievements. In the Wi-Fi domain, ML is applied to solve challenges such as efficient channel access and fair coexistence with other technologies in unlicensed bands. In this paper, we address the performance of uplink orthogonal frequency division multiple random access (UORA) in IEEE 802.11ax networks. Optimization of UORA is a good case for applying ML because of its inherent complexity and dependence on situation and time-dependent parameters. In particular, we use deep reinforcement learning to tune UORA parameters. Our simulation results show that even though the ML-based solution leads to close to optimal results, its operation is comparable to a much simpler, non-ML heuristic. Therefore, we conclude that ML-based solutions to improve IEEE 802.11 performance need not exceed well-designed heuristics.

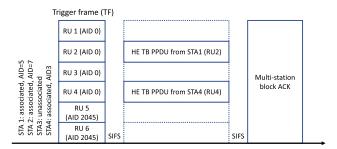
**INDEX TERMS** deep Q-learning, IEEE 802.11ax, machine learning, OFDMA, reinforcement learning, UORA, uplink orthogonal frequency division multiple random access.

#### I. INTRODUCTION

The IEEE 802.11ax amendment introduces uplink (UL) multi-user (MU) orthogonal frequency-division multiple access (OFDMA) to improve the efficiency of Wi-Fi net-works. OFDMA-based channel access divides radio channel resources into subcarrier groups, called resource units (RUs), which are then allocated to stations. Stations can transmit simultaneously, which improves efficiency compared to single-user transmissions. OFDMA has two modes of operation: scheduled access (SA) [1] and random access (RA) [2]. In the former, all decisions are made centrally at the 802.11ax AP. Meanwhile, in the latter, decisions are distributed and there is room for performance improvement. Therefore, in this paper, we focus on the RA mode.

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To provide RA OFDMA, 802.11ax defines uplink OFDMA-based random channel access (UORA) [3], which can be used in dense scenarios, e.g., in Internet of Things (IoT) deployments or industrial wireless sensor networks [4], [5]. SA is inappropriate for such scenarios due to the overhead cost of polling all stations to determine their UL buffer status. With RA, only stations that require UL transmission opportunities compete for RUs using UORA rules. UORA is based on two components: the OFDMA contention window (OCW) and OFDMA random access backoff (OBO). Stations select a random OBO counter from the range (0, OCW) and then decrease it by the number of eligible RUs assigned by the access point (AP) for uplink transmissions. OBO is decremented during each UORA frame exchange (Figure 1). Stations transmit when their OBO reaches 0. The OCW range can be configured by the AP in the UORA parameter set element, distributed through beacon frames. Unfortunately,



(a) UORA frame exchange

	STA1, initial OBO=3	STA2, initial OBO=6	STA3, initial OBO=4	STA4, initial OBO=2
RU1 (AID 0)	OBO-4=-1		-	OBO-4=-2
RU2 (AID 0)	(Set OBO=0, randomly select	OBO-4=2	-	(Set OBO=0,
RU3 (AID 0)		080-4=2	-	randomly select
RU4 (AID 0)	one RU)		-	one RU)
RU5 (AID 2045)	-	-	OBO-2=2	-
RU5 (AID 2045)	-	-	060-2=2	-
	Select new OBO	Resume OBO in next TF	Resume OBO in next TF	Select new OBO

(b) OBO decrementation after TF reception

FIGURE 1. Example of UORA operation in 802.11ax [2].

this basic operation (which we refer to as legacy UORA) is highly inefficient under saturation [2].

Researchers have proposed to modify UORA in various ways (cf. Table 1). The efficiency of UORA can be improved by adaptive grouping [6], [7], spatial clustering [8], subchannel hopping [9], complementary probability instead of backoff [10], additional carrier sensing [11], retransmission awareness [12], OBO modifications [2], [13], grouping-based channel access [14], and considering adjacent channel interference [15].<sup>1</sup> None of the above research uses ML methods, although the application of reinforcement learning (RL) to improve UORA operation is suggested as future work by Kim et al. [13]. In fact, with the proliferation of the use of ML solutions to improve Wi-Fi performance [17], extending UORA with ML is the logical next step.

Thus inspired, in this paper, we present an RL-based OBO procedure (RL-OBO) to adjust the UORA random access backoff operation to the congestion level of the shared channel. After providing a brief description of legacy UORA (Section II) and an existing non-ML heuristic (Section III), our main contributions are:

• We design an RL-based OBO procedure (RL-OBO) for UORA (Section IV), where, based on the observed probability of unsuccessful RUs, the AP learns the level of network congestion and adjusts the OBO countdown to achieve a higher success rate and, whenever possible, avoid empty RUs. To the best of our knowledge, this has not yet been done.

# Algorithm 1 Legacy UORA (802.11ax)

- 1:  $OCW_{\min} \leftarrow 7$
- 2:  $OCW_{max} \leftarrow 31$
- 3: if first transmission then
- 4:  $OCW \leftarrow OCW_{\min};$
- 5: else if retransmission then
- 6:  $OCW \leftarrow 2 \times OCW + 1;$
- 7: **if**  $OCW \ge OCW_{\text{max}}$  **then**
- 8:  $OCW \leftarrow OCW_{\max};$
- 9: end if
- 10: end if
- 11:  $OBO \leftarrow random integer(0, OCW);$
- 12: Station decrements OBO by  $n_{\text{RU}}$  and selects a random RU for transmission if OBO = 0.
  - We evaluate RL-OBO using a simulation model to confirm the accuracy of the RL-based solution (Section V-D). Unlike most of the literature [6], [7], [8], [9], [10], [11], [12], [14], which considers only static scenarios, we follow [13] and study dynamic network loads and station churn.
  - We compare the operation of RL-OBO with a previous approach in Section V-F. This approach (E-OBO) is an existing non-ML-based heuristic exhibiting good performance (Section V-E). However, E-OBO requires the static definition of certain parameters, which is its main disadvantage (cf. Section III).
  - We show that, even though RL-OBO can improve the performance of legacy UORA, its behavior can sometimes be slightly worse than that of E-OBO. In particular, RL-OBO can produce suboptimal results and may lead to throughput unfairness in dynamic environments.

We conclude the paper and outline future work in Section VI. The notation and acronyms used are gathered in Tables 2 and 3, respectively.

# **II. LEGACY UORA**

UORA is summarized in Algorithm 1 while Figure 1 provides an example of its operation. First, a trigger frame (TF) transmitted by the AP ensures the synchronization of participating stations. Each TF can designate one or more RUs for random access. The AP sets the association identifier (AID) field in the transmitted TF to indicate the RA RUs assigned to associated stations (AID = 0) and unassociated stations (AID = 2045).

After the successful reception of a TF, stations contend to access eligible RA RUs if they have pending data frames destined to the AP. Each contending RA station maintains two variables: OCW (initialized to  $OCW_{min}$ ) and the OBO counter (initialized with an integer randomly selected from a uniform distribution from 0 to OCW). If the OBO counter is smaller than the number of available RA RUs, a station randomly selects one of the RUs for data transmission. Otherwise, it decrements the OBO counter by the number of eligible RUs and waits for the next TF.

<sup>&</sup>lt;sup>1</sup>Other UORA-related research areas include coexistence of RA and SA modes; alternative MAC protocols (including deterministic channel access); scheduler design; and adaptation to real-time, V2X, and healthcare IoT applications [2], [16].

#### TABLE 1. Literature review. Evaluation types: theoretical (T) and simulation (S).

Key	UORA Enhancement	Result	<b>Evaluation Type</b>	Year
[6]	A dansking annunging anti-ann	Turning of the second states of		2018
[7]	Adaptive grouping scheme	Improved throughput		2019
[8]	Spatial clustering	Improved area throughput		2019
[9]	Extra backoff stage, opportunistic sub-channel hopping Improved bandwidth utilization and reduced collisions		T+S	2019
[10]	Stations transmit without backoff with a complementary probability after unsuccessful transmissions			2019
[11]	Hybrid OFDMA RA with carrier sensing, secondary backoff mechanism	Improved throughput		2020
[12]	Retransmission-aware channel access	Reduced delay		2020
[13]	New OBO control scheme	Improved throughput	S	2021
[14]	Grouping-based channel access	Improved fairness and QoS	T+S	2021
[2]	Enhanced UORA backoff procedure	Improved throughput, fairness, and delay	S	2022

#### TABLE 2. Notation used.

Parameter	Description
$\overline{\alpha}$	OBO countdown rate
$a_t$	Agent action at step $t$
$\epsilon$	Exploration rate
$f_t, f_{p_c}$	Fairness of throughput and collision probability
$\gamma$	Discount factor
ω	Learning rate
OBO	OFDMA random access backoff
OCW	Current OFDMA contention window value
$OCW_{min(max)}$	OCW minimum (maximum) values
$n_{\rm RU}$	No. of RUs allocated for unassociated stations
$p^e_{RU}$	Probability of empty RUs
$p^e_{ m RU} \ p^u_{ m RU}$	Probability of unsuccessful RUs
$p_c$	Collision probability
$\overline{Q}$	Q value (quality of a state-action combination)
$r_t$	Agent reward at step $t$
$r_S, r_U, r_E$	Reward for successful, unsuccessful, and empty RUs
$s_t$	Agent state at step $t$
t	Time step (cf. Figure 2)
ζ	Measuring interval (in contention rounds)

In the event of an unsuccessful transmission, the station retransmits as follows. First, the station updates its OCW counter to  $2 \times OCW + 1$  every time  $OCW \leq OCW_{max}$ . Once  $OCW = OCW_{max}$ , the OCW value remains unchanged for subsequent retransmissions. The station then randomly selects a new OBO value in the range of 0 and OCW.

The AP can indicate the OFDMA contention window (OCW) range (i.e.,  $OCW_{min}$  and  $OCW_{max}$ ) in the UORA Parameter Set element, which is a part of management frames (such as beacons and association frames). Alternatively, stations use the default OCW settings, i.e.,  $OCW_{min} = 7$  and  $OCW_{max} = 31$ .

# **III. UORA WITH EFFICIENT OBO**

Recently, we proposed an UORA improvement called efficient OBO (E-OBO), which exhibits good performance [2]. We briefly explain the operation of E-OBO in this section to compare it later with RL-OBO in Section V-F.

#### TABLE 3. List of acronyms.

AID	association identifier
AP	access point
BACK	block acknowledgement
CW	contention window
DQL	deep Q-learning
DQN	deep Q network
E-OBO	efficient OBO
GI	guard interval
HE	high efficiency
IoT	Internet of Things
ML	machine learning
MPDU	MAC protocol data unit
MU	multi-user
OBO	OFDMA random access backoff
OCW	OFDMA contention window
OFDMA	orthogonal frequency-division multiple access
PPDU	PLCP protocol data unit
RA	random access
ReLU	Rectified linear unit
RL	reinforcement learning
RL-OBO	RL-based OBO
RU	resource unit
SA	scheduled access
SIFS	short interframe space
TB	trigger-based
TF	trigger frame
UL	uplink
UORA	uplink OFDMA-based random channel access

In E-OBO, the AP changes the rate of station OBO countdown based on the RU states observed in previous UORA frame exchanges. We classify the RU states as *successful* (the frame in the RU is acknowledged by the AP), *unsuccessful* (more than one station selected the RU that resulted in a collision), and *empty* (no station selected the RU). By observing these states, the AP can determine whether congestion (many unsuccessful RUs and few empty RUs) or nonsaturation (few unsuccessful RUs and many empty RUs) conditions occur. Then, the AP reacts by increasing or decreasing the rate of OBO countdown with the  $\alpha$  parameter, which is later passed to the stations. Algorithm 2 E-OBO Procedure

1:  $\alpha \leftarrow 1$ 

- 2: Measuring interval:  $\zeta$  contention rounds
- 3: if  $p_{\text{RU}}^u \ge 0.33$  and  $p_{\text{RU}}^e < 0.33$  then  $\alpha \leftarrow \max(0.1, \alpha 0.1)$
- 4: else if  $p_{\text{RU}}^u \le 0.5$  and  $p_{\text{RU}}^e \ge 0.5$  then  $\alpha \leftarrow \min(3, \alpha + 0.2)$
- 5: **else**
- 6:  $\alpha \leftarrow \alpha$ ;
- 7: **end if**
- AP sends α in the TF to inform stations of the level of contention in the network.
- 9: Stations decrement their OBO counters by  $\alpha \times n_{\text{RU}}$  and select random RUs for transmission if OBO = 0.

E-OBO is formally defined in Algorithm 2. The AP measures the probability of unsuccessful RUs  $(p_{RU}^u)$  and empty RUs  $(p_{RU}^e)$  within a  $\zeta$  interval and uses  $\alpha \in [0.1, 3]$  to modify OBO:

$$OBO \leftarrow OBO - \alpha \times n_{\rm RU}.$$
 (1)

By default,  $\alpha = 1$ . Then, if  $p_{RU}^u \ge 0.33$  and  $p_{RU}^e < 0.33$  (i.e., under congestion), the AP decreases  $\alpha$  by 0.1. If  $p_{RU}^u \le 0.5$  and  $p_{RU}^e \ge 0.5$  (i.e., under nonsaturation), the AP increases  $\alpha$  by 0.2. Otherwise,  $\alpha$  remains unchanged. The selected  $\alpha$  is transmitted in TFs that initialize each UORA frame exchange. Then, the stations decrement their OBO counters using (1). The remainder of legacy UORA is left unchanged.

# **IV. RL-BASED OBO MECHANISM**

In this section, we explain how UORA can be improved with an RL-based OBO (RL-OBO) mechanism. In particular, we apply deep Q-learning (DQL) [18] to support IEEE 802.11ax<sup>2</sup> APs in adjusting the  $\alpha$  parameter to the congestion level of the shared channel. Similarly to E-OBO, we implement a centralized operation. Therefore, stations do not decide on the  $\alpha$  value but obtain this information from the TFs transmitted by the AP before each contention round.

The implemented DQL model consists of three densely connected layers. The first two layers are composed of 32 nodes and they use the rectified linear unit (ReLU) activation functions. The output layer has three nodes (corresponding to the size of the action space) and it uses the linear activation function.

In RL-OBO, the agent is installed at the AP and learns (in the offline training phase) how to update  $\alpha$  to reduce collisions under varying congestion levels. After training, the agent can be used to adjust the  $\alpha$  value in online operation.

In RL-OBO, at each training step, the agent observes the probability of unsuccessful RUs in state  $s_t$  and selects an

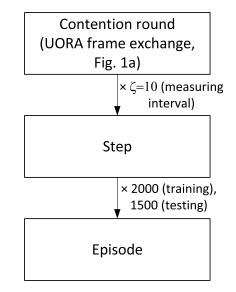


FIGURE 2. UORA simulator elements for training and testing RL-OBO.

action based on previous observations. The agent has three possible actions to choose from:

- Action 1 increase  $\alpha$ , i.e., set the  $\alpha$  parameter as min(3,  $\alpha + 0.1$ ),
- Action 2 decrease  $\alpha$ , i.e., set the  $\alpha$  parameter as  $\max(0.1, \alpha 0.1)$ ,
- Action 3 leave  $\alpha$  unchanged.

After taking each action, the agent receives feedback in the form of a reward  $r_t$  and a new state  $s_{t+1}$ . Based on the above, the state space is one-dimensional (it stores the probability of unsuccessful transmission) and the action space is three-dimensional (increase  $\alpha$ , decrease  $\alpha$ , or leave  $\alpha$  unchanged).

We notice that a collision is less desirable than an empty RU, since empty RUs may be the result of low congestion. Obviously, a successful transmission is the most desirable outcome. Therefore, the reward is decreased by  $r_E = 1.5$  in the case of each empty RU, increased by  $r_S = 3$  in the case of each successful RU, and decreased by  $r_U = 2$  in the case of each unsuccessful RU. The motivation behind selecting these particular values is given in Appendix A. Additionally, Actions 1 and 2 result in decreasing the reward by 0.1 to promote Action 3 whenever possible (i.e., leave  $\alpha$  unchanged if the performance is satisfactory).

The agent calculates the probability of unsuccessful RUs as the fraction of the total number of unsuccessful RUs divided by the sum of the number of successful, unsuccessful, and empty RUs. Additionally, to limit the number of possible states, the agent rounds the results to two decimal places.

At each step (composed of 10 contention rounds, as presented in Figure 2) in the training process, the agent stores  $s_t$ ,  $a_t$ ,  $r_t$ ,  $s_{t+1}$  and, after each action taken, updates the Q-value:

$$Q'(s_t, a_t) \longleftarrow Q(s_t, a_t) + \omega(r_t + \nu(\max O(s_{t+1}, a)) - O(s_t, a_t))$$
(2)

<sup>&</sup>lt;sup>2</sup>DQL has previously been successfully applied to improve IEEE 802.11 performance in various areas: rate selection [19], CW tuning [20], [21], [22], multi-AP association [23], and RU selection in OFDMA [24].

## Algorithm 3 RL-OBO Procedure

# 1: Train agent:

- 2: (1) For state  $s_t$  agent selects action  $a_t$ .
- 3: (2) AP sends  $\alpha$  in TF to inform stations of network contention level.
- 4: (3) Stations decrement OBO counters by  $\alpha \times n_{\text{RU}}$  and transmit in a randomly selected RU if OBO = 0.
- 5: (4) For a period of ζ contention rounds, the agent calculates the reward as the sum of the results for each RU *i*:
- 6: if  $R_i$  is successful then  $r_t \leftarrow r_t + r_s$
- 7: else if  $R_i$  is unsuccessful then  $r_t \leftarrow r_t r_U$
- 8: else  $r_t \leftarrow r_t r_E$   $\triangleright R_i$  is empty 9: end if
- 10: (5) Agent calculates new state  $s_{t+1} = p_{RU}^{u}$ , stores  $s_t, a_t, r_t, s_{t+1}$ , and selects new action  $a_{t+1}$ .
- 11: (6) Update the reward after action selection
- 12: **if**  $a_{t+1} \in \{1, 2\}$  **then**  $r_t \leftarrow r_t 0.1$
- 13: end if
- 14: Repeat steps 2-5 until training is finished, i.e., when the reward stabilizes.
- 15: Use trained agent:
- 16: (1) AP sends  $\alpha$ , selected by the trained agent, in the TF to inform stations of the contention level in the network.
- 17: (2) Stations decrement their OBO counters by  $\alpha \times n_{\rm RU}$ and transmit in a randomly selected RUs if OBO = 0.

where Q is the old and Q' is the new Q-value. Furthermore, we define the mean squared error as the loss function and use the  $\epsilon$ -greedy strategy to balance exploration and exploitation.

The exploration rate ( $\epsilon$ ) is set to 1 at the beginning of the first episode. Then, with each time step, it anneals linearly from 1 to 0.1 (with a decay of 0.995) to increase the probability of exploitation. Additionally, at each time step, a random number is generated from a uniform distribution over [0, 1). The sampled value is then checked with the current  $\epsilon$  value. If it is lower, a random action is taken. Otherwise, the learned action is performed. Therefore, initially, the agent starts exploring the environment, and then it steadily increases exploitation.

Table 4 summarizes all the parameters and settings of the proposed machine learning model. The values of the hyperparameters of the model were selected empirically (cf. Appendix A) to provide good performance results.

In summary, the described model allows the AP to map congestion levels (reflected by the number of empty, successful, or unsuccessful RUs) to optimal  $\alpha$  settings, which are then announced to the stations in the TFs. The rest of the legacy UORA operation is left unchanged. RL-OBO operation is summarized in Algorithm 3.

## V. RESULTS

To evaluate the performance of the two OBO selection schemes, we implement both E-OBO and RL-OBO in a

Parameter	Value
Exploration rate $\epsilon$	1
Epsilon minimum	0.01
Epsilon decay	0.995
Discount rate $\gamma$	0.95
Batch size	100
Learning rate $\omega$	0.001
Maximum memory length	100,000
Action space size	3
State space size	1
No. of nodes in different layers	32, 32, 3
Activation function	Rectified linear unit
Optimizer	Adam
Loss function	Mean squared error

custom 802.11ax UORA simulator. First, we provide details regarding the simulator design. Then, we describe the simulation scenario and define the performance metrics used. Next, we explain the RL-OBO training process and show how the trained agent performs in testing scenarios. Subsequently, we show how E-OBO performs under similar network conditions (such an analysis was not carried out previously [2]). Finally, we compare the ML-based and heuristic solutions.

# A. SIMULATOR

Our custom 802.11ax UORA simulator is written in Python, with the RL parts written using Keras. Unfortunately, to the best knowledge of the authors, there are no real devices available with an UORA implementation, which would make an experimental evaluation possible.

The implemented simulator analyzes consecutive 802.11ax UORA frame exchange sequences (Figure 1a) called *contention rounds*. Decisions about future behavior are made after each measurement interval, i.e.,  $\zeta$  contention rounds. In accordance with the ML nomenclature, we refer to these intervals as *steps* and to each simulation run – as *episodes* (Figure 2). The simulator code is available to the research community.<sup>3</sup>

# **B. SIMULATION SCENARIO**

We study a scenario with a single AP without outside interference. We assume there are no channel errors, no hidden nodes, and that stations always have data frames to send (a full buffer model). There are two main input parameters that we modify in the analysis: the number of stations  $n_s$ and the number of RUs  $n_{RU}$ . We refer to the  $(n_s, n_{RU})$  pair as the current *network configuration*. The former parameter denotes the number of stations transmitting to the AP. This number fluctuates over time as stations join and leave the network. We evaluate both fixed changes in the number of stations as well as random ones. In the latter case, the number of stations arriving or departing is randomly chosen from

<sup>&</sup>lt;sup>3</sup>https://github.com/KatarzynaKosek/RL-UORA

#### TABLE 5. Simulation parameters.

Parameter	Value
PHY/MAC	802.11ax
$OCW_{\min}$	7
$OCW_{\max}$	31
Number of RUs $(n_{RU})$	4, 8, 16, 32
Data rate per RU $(r)$	6.67 Mb/s
Slot time	9 µs
SIFS time	16 µs
PHY header duration	$40  \mu s$
TF duration	100 µs
MU-BACK duration	$68  \mu s$
MPDU length $(L)$	10 kb
Guard interval (GI)	$1.6  \mu s$
OFDM symbol duration	$12.8 \ \mu s$

#### TABLE 6. RL-OBO training and testing parameters.

Parameter	Value
No. of episodes	30 (training), 5 (testing)
No. of steps per episode	2000 (training), 1500 (testing)
Measuring interval $\zeta$	10 contention rounds
Frequency of new station arrivals (i.e., change of the network configuration)	Every 100 steps
No. of network configurations	20 (training), 45 (testing)
$r_S$	3
$\widetilde{r_U}$	2
$\tilde{r_E}$	1.5

the ranges [1, 5], [1, 15], or [1, 30], which represents small, moderate, and large network dynamicity, respectively. The second network configuration parameter, the number of RUs, is selected from the set {4, 8, 16, 32}. Since we are interested in measuring upper-bound performance, we evaluate only configurations in which the number of stations is greater than the number of available RUs. Table 5 summarizes the general simulation parameters.

# C. PERFORMANCE METRICS

We consider the following performance metrics:

- throughput measured as the sum of successfully transmitted bytes divided by the simulation time (unless otherwise indicated, throughput refers to the aggregate network throughput),
- efficiency measured at the AP as the number of successful RUs divided by the total number of RUs,
- collision probability measured by each station as the ratio of successfully transmitted data frames and all transmission attempts (we report the average probability across all stations),
- fairness measured using Jain's fairness index calculated either over the throughput or collision probability of each station.

We do not measure airtime since we evaluate only AP-triggered frame exchanges, i.e., the channel contains only consecutive UORA frame exchanges (Figure 1a). How

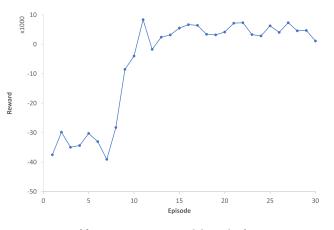
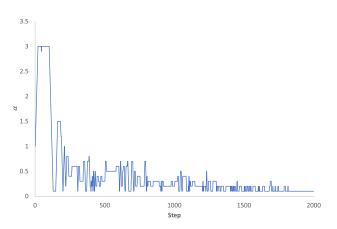


FIGURE 3. Reward for RL-OBO across 30 training episodes.



**FIGURE 4.** Evolution of RL-OBO's  $\alpha$  in the final training episode.

much data is contained in these exchanges is reflected in the efficiency metric mentioned above. Furthermore, we are interested in determining the upper bound of RL-OBO and E-OBO performance, hence in some cases, the number of stations varies up to a dense network of 90 stations.

## D. RL-OBO PERFORMANCE

In this section, we first explain the RL-OBO training process, which is performed in a scenario where the number of stations increases by a fixed number over time. Then, we show how the trained agent performs in dynamic scenarios, where the number of stations increases randomly over time. Table 6 provides the training and testing parameters for RL-OBO.

#### 1) TRAINING

In the training scenario, a fixed number of new transmitting stations (five) arrive in the network every 100 steps. A single step consists of  $\zeta = 10$  contention rounds, which gives the agent time to estimate the congestion level in the network. Additionally, every 500 steps we increase the number of RUs (from 4 to 32) and the number of transmitting stations is then set to  $2 \times n_{\text{RU}}$ . Therefore, there are  $20 (n_s, n_{\text{RU}})$  configurations in each training episode.

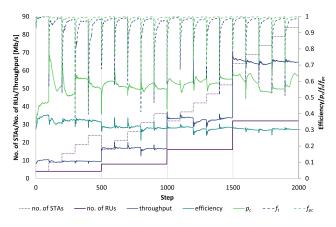


FIGURE 5. RL-OBO operation in the final training episode.

Simulations show that a training duration of 30 episodes is sufficient; the reward stabilizes after about 10 episodes (Figure 3). These results confirm the correct operation of the implemented learning and its fast convergence.

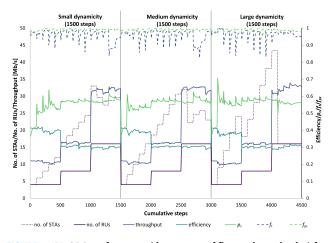
The results for the final (30-th) training episode are shown in Figures 4 and 5. RL-OBO allows UORA to adjust the  $\alpha$ parameter values to the number of contending stations and the number of available RUs. This results in a moderately high collision probability ( $p_c$ ), high network efficiency and throughput, as well as high throughput fairness ( $f_t$ ) and high collision probability fairness ( $f_{p_c}$ ).

# 2) TESTING

After training in fixed-increase scenarios, we test the operation of RL-OBO in three scenarios with varying network dynamics. We select the number of new station arrivals randomly from the ranges [1, 5], [1, 15], and [1, 30] to reflect small, moderate, and high network dynamicity, respectively. Additionally, starting from step 1100, stations start leaving the network. The number of stations leaving the network is again randomly selected from the ranges [1, 5], [1, 15], and [1, 30], respectively. We also set the minimum number of stations as  $n_s = n_{\rm RU}$ , because if the number of stations is less than the number of available RUs, the resources would be underutilized, leading to low observed efficiency. All other aspects are similar to the training phase.

For each of the three network dynamicity scenarios, we perform five independent runs (episodes), each composed of 1500 testing steps in total (i.e., for each episode 15 different network configurations are tested). These settings amount to 45 different network configurations per episode (3 network dynamics  $\times$  15 configurations). The following metrics are measured: network efficiency, throughput, throughput fairness ( $f_t$ ), and collision probability fairness ( $f_{p_c}$ )

In Figure 6, we present the average results of the five testing episodes. Each point represents the results obtained for a different configuration. The performance of RL-OBO is highly satisfactory. For all measured metrics, the observations are similar to those for the training scenario.



**FIGURE 6.** RL-OBO performance (the average of five testing episodes) for three dynamic test scenarios (small, moderate, and large network dynamicity). Results are gathered at the end of the measuring interval  $\zeta$ . The number of stations arriving or departing (since step 1100) is randomly chosen from the ranges [1, 5], [1, 15], and [1, 30], for the three scenarios respectively.

#### TABLE 7. Simulation parameters for E-OBO.

Parameter	Value
Measuring interval $\zeta$	10 contention rounds
Frequency of new station arrivals (i.e.,	Every 100 steps
change of the network configuration)	2000 (fixed), 1500 (dynamic)
No. of steps per scenario	20 (fixed),
No. of network configurations	45 (dynamic)

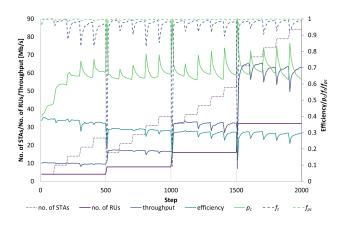
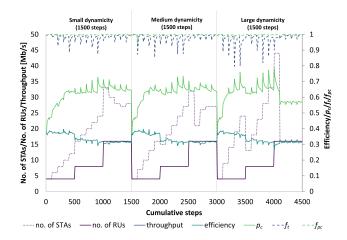


FIGURE 7. E-OBO performance in fixed scenarios.

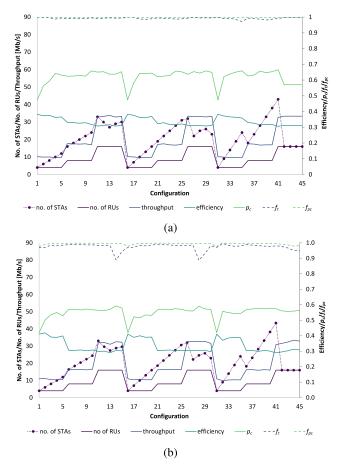
#### E. E-OBO PERFORMANCE

To measure E-OBO performance,<sup>4</sup> which serves as a non-ML benchmark, we use the parameters in Tables 5 and 7. First, we test E-OBO under constant changes to the number of transmitting stations, i.e., every 100 steps five new stations appear in the network, similarly to the RL-OBO training scenario. E-OBO allows UORA to adjust its operation to the

<sup>&</sup>lt;sup>4</sup>In [2], E-OBO was shown to outperform OBO-CTRL [13]. However, E-OBO was not previously analyzed for such dynamic scenarios; the results presented in this section are novel and are provided for completeness.



**FIGURE 8.** E-OBO performance in dynamic scenarios (small, moderate, and large network dynamicity). Results are gathered at the end of the measuring interval  $\zeta$ .



**FIGURE 9.** Performance for dynamic change of the number of transmitting stations at the end of a multiple of 100 steps: (a) E-OBO, (b) RL-OBO (the average of five testing episodes).

number of competing stations, resulting in high values of the five measured metrics (Figure 7).

Next, we test E-OBO under more varying conditions, with low, moderate, and high network dynamicity. To ensure a fair comparison, we use a configuration similar to the RL-OBO dynamic case: the number of active stations in the

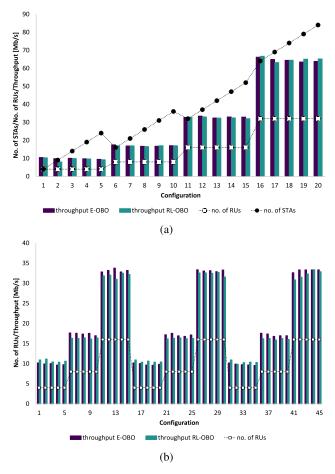


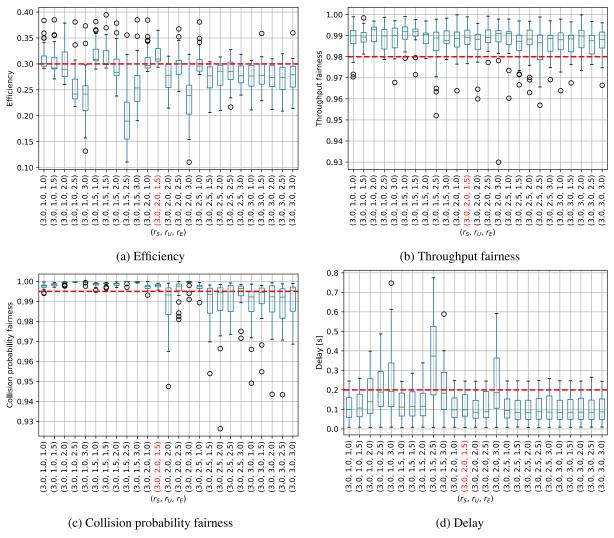
FIGURE 10. Comparison of E-OBO and RL-OBO performance in (a) fixed increase and (b) dynamic increase scenarios.

network changes every 100 steps, while the analysis of each of the three network dynamics lasts 1500 steps (after which the number of stations and RUs is reset to four). Finally, we change the number of RUs every 500 steps to check the performance under different contention levels.<sup>5</sup> The results are shown in Figure 8. Once again, E-OBO allows UORA to quickly adjust to the number of competing stations and maintain high throughput, efficiency, throughput fairness, and collision probability fairness.

# F. RL-OBO VS. E-OBO

We now compare the performance of RL-OBO with E-OBO. Figure 9 shows the final performance metrics for each configuration (i.e., the converged results at the end of a multiple of 100 steps). In general, both mechanisms perform comparably under low, moderate, and high dynamicity. Analyzing the performance in detail reveals the following. Although both methods have high throughput and collision probability fairness, E-OBO has more stable results. For RL-OBO, especially throughput fairness shows temporary decreases when

<sup>&</sup>lt;sup>5</sup>Congestion decreases with the same number of stations and an increasing number of available RUs.



**FIGURE 11.** Training results for various combinations of  $r_S$ ,  $r_U$ , and  $r_E$  values. The combination used in the simulation results presented in the paper is highlighted on the X-axis in red. The horizontal dashed lines indicate arbitrary performance thresholds: efficiency should be larger than 0.3, throughput fairness – larger than 0.98, collision probability fairness – larger than 0.995, and delay – lower than 0.2 s.

the trend in the number of stations changes from increasing to decreasing. However, fairness remains high (above 0.9). Meanwhile, RL-OBO usually has a slightly lower collision probability. This translates to efficiency – lower (if there are too many empty RUs, i.e., the RL-OBO mechanism is too conservative) or higher (if the prediction of current network conditions is correct). However, the throughput values of both mechanisms are comparable as both adapt to changing network conditions quite well. To better highlight the similarities between the measured metric values, we compare the E-OBO and RL-OBO throughput results in fixed and dynamic scenarios in Figure 10. Clearly, regardless of supply (number of RUs) and demand (number of stations), both methods lead to comparable results.

# **VI. CONCLUSION**

In this paper, we addressed the problem of low UORA efficiency in dense 802.11ax deployments with high station

contention. We have shown that ML can be used to improve the performance of the OBO mechanism, an important part of UORA. Furthermore, we compared the performance of the new RL-OBO mechanism with the E-OBO heuristic, which does not implement ML. The simulation results confirm that the proposed approach gives satisfactory results in various dynamic settings; however, when compared to E-OBO, RL-OBO does not provide meaningful advantages. Both mechanisms provide similar outcomes (i.e., throughput, channel access fairness, efficiency) and, therefore, the need for RL-OBO training and appropriate configuration of ML-related hyperparameters becomes a disadvantage. The selection of hyperparameters needs to be done carefully (e.g., empirically with a grid search approach), since different values may lead to completely different (and often worse) results. In contrast, E-OBO adjusts the  $\alpha$  parameter on the fly using predefined thresholds. In summary, the result of the assessment of whether the ML-based solution

TABLE 8. Netwo	ork configurations used to compare the performance of
various reward	parameter values.

Conf.	$n_S$	$n_{ m RU}$
1	4	4
2	9	4
4	14	4
6	19	4
3	24	4
5	16	8
7	21	8
8	26	8
10	31	8
9	36	8
11	32	16
12	37	16
13	42	16
14	47	16
15	52	16
16	64	32
17	69	32
18	74	32
19	79	32
20	84	32

(RL-OBO) outperforms a heuristic (E-OBO) can be interpreted as negative.

We conclude that ML should be used with care to resolve existing network problems. Although ML may provide satisfactory results, its application in a given area should be well thought out. As we have shown, in the case of UORA, the non-ML-based solution is already efficient, and the use of ML does not bring important advantages. At the same time, ML may be useful in distinguishing between collisions and channel errors [25] to improve the efficiency of E-OBO in more complex scenarios, e.g., with time-sensitive services [26]. However, this requires further validation. Furthermore, as future work, we envision the analysis of UORA in a full-protocol stack simulator such as ns-3.

# APPENDIX A

# **REWARD PARAMETER CALCULATION**

In this appendix, we provide the rationale for selecting the constant values used by the proposed RL-OBO procedure when updating the reward. First, recall that in lines 6-8 of Algorithm 3, the agent's reward at step  $t(r_t)$  is increased by  $r_S$ , decreased by  $r_U$ , or decreased by  $r_E$  in case of successful, unsuccessful, and empty RUs, respectively.

To find good parameter settings, we simulate different congestion levels with the network configurations listed in Table 8. Then we perform model training (each training consisting of 15 episodes), in which  $r_S = 3$  while  $r_U$  and  $r_E$  are changed linearly from 1 to 3 with a step of 0.5.

The results are presented in Figure 11 in the form of boxplots of the performance metrics achieved in the final training round. In this figure, we also define thresholds for each metric to better visualize the performance of each set of parameters.

We conclude that the chosen set  $(r_S, r_U, r_E) = (3, 2, 1.5)$  exhibits the best performance, i.e., the first (third) quartiles are above (below) the defined thresholds. In addition,

(3, 1.5, 1.5) and (3, 1.5, 1) exhibit similar good performance but have slightly larger delay.

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