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# **RESEARCH ARTICLE**

# **PHTI: Pashto Handwritten Text Imagebase** for Deep Learning Applications

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**ABSTRACT** Document Image Analysis (DIA) is one of the research areas of Artificial Intelligence (AI) that converts document images into machine-readable codes. In DIA systems, Optical Character Recognition (OCR) plays a key role in digitizing document images. The output of an OCR system is further used in many applications including, Natural Language Processing (NLP), Sentiment Analysis, Speech Recognition, and Translation Services. However, standard datasets are an essential requirement for the development, evaluation and comparison of different text recognition techniques. Pashto is one of such low resource languages that lacks availability regarding standard dataset of handwritten text. This paper therefore, addresses the unavailability of standard dataset for the Pashto handwritten text by developing a dataset named Pashto Handwritten Text Imagebase (PHTI). The PHTI is created by collecting handwritten samples from diverse genre of the Pashto language including poetry, religion, short stories, articles, novels, sports, culture and news. The dataset consists of 4, 000 scanned images, written by 400 writers including 200 males and 200 females. These 4,000 images are further segmented into 36,082 text-line images. Each text-line image is annotated/ transcribed with UTF-8 codecs. The dataset can be used for many deep learning-based applications including, text recognition, skew detection, gender classification and age-groups classification.

INDEX TERMS Artificial intelligence, document image analysis, handwritten text, natural language processing, optical character recognition, speech recognition, Pashto, standard dataset.

### I. INTRODUCTION

The abundance of document images is due to the advancement of recent technologies including acquisition via cameras and scanners. Also, the use of smart phones has increased the creation of document images by taking snapshots of documents and then sharing them in different social media's applications. Such document images are in pixel form and cannot be read or analyzed directly on a computer. In short, these images need conversion from pixel data to a representation that can be easily understood and analyzed by computer.

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Thus, the Document Image Analysis (DIA) helps to convert document images into machine-readable codes (i.e., Unicode and UTF-8 Codec) [1], [2], [3].

The goal of a DIA system is to extract text and graphics from document images. As images require large storage and their exchange is also very expensive. Therefore, the conversion of document images enables us to exchange, analyze, and store data on the cost of less recourses i.e., time and space. In a typical DIA, it is the Optical Character Recognition (OCR) component that plays a key role in digitizing image documents. Though there exist various effective OCR systems for other cursive scripts, the Pashto language lacks such a system. The major reasons include insufficient real training

data (datasets) and less significant research addressing the language specific challenges [4], [5], [6], [7], [8], [9].

Datasets have a significant role in recognizing handwritten scripts. Moreover, these datasets are essential for developing, evaluating, and comparing various text recognition methods. Standard datasets provide a foundation for comparing and evaluating different techniques. However, creating a dataset is a laborious job and the process involves collecting maximum extent of samples from different targeted population [10], [11]. In addition to that, the development of datasets becomes more challenging while it deals with a language whose research is just in its introductory phase [7]. Further, the researchers always try to find out an appropriate dataset that covers all the possible levels of diversity in the target language [12], [13]. Handwritten text recognition has become an important area in the DIA and finding a good dataset for recognition is the main issue. Very little attention has been paid to develop a dataset for the Pashto handwritten text recognition.

Therefore, the major objective of this study is to introduce a dataset that covers different genres of Pashto language and to the best of our knowledge; there is no such dataset that contains handwritten text images regarding the Pashto language. The new dataset is named as Pashto Handwritten Text Image-base (PHTI). The focus is more on covering the different genre of the Pashto language rather than creating text-line images. The major contents of the PHTI are taken from poetry, short stories, news, religion, culture, jokes, and sports. The newly dataset contains images of text-lines with fully annotated ground-truth. The main contributions of this research work are following.

- The dataset comprising of 4,000 pages of handwritten materials in the Pashto language.
- The 4,000 images are further segmented into 36,082 text-line images.
- The dataset is annotated/ transcribed for the supervised learning paradigm with UTF-8 codecs.
- The textual analyses; that is total words, unique words, unique characters and their frequencies are given.
- The dataset also provides a platform for the DIA community to benchmark deep leaning-based recognition systems.

The rest of the paper is organized as follows. Section II reviews the existing datasets that are addressing the Pashto language in the domain of DIA. Section III describes the basic features of the Pashto language including information regarding character set. Section IV explains the overall process of how we have created the PHTI dataset. Section V shares some potential applications that can be benchmarked via PHTI dataset using the supervised learning domain. Finally, section VI concludes the overall work.

# **II. RELATED DATASETS**

There have been abundant datasets available that have contributed to the domain of DIA for cursive script languages such as Arabic [14], [15], [16], [17], [18],

Urdu [19], [20], [21], and Persian [22], [23], [24]. However, this section reviews the datasets that only address the Pashto language. Following are the datasets available for the Pashto language in the DIA research.

Wahab et al. [25] created a synthetic dataset, comprises of 1000 unique ligatures in four different font sizes (4 images of every ligature). The main contribution was the creation of a dataset rather than an OCR system for the Pashto language. In 2016, Ahmad et al. [26] introduced a dataset named KPTI. The dataset was developed for the Pashto cursive script and contains 1, 026 images acquired from the scribed books; the images are further segmented into images of 17, 015 text-line using method reported in [6] and [27]. The scribed text is considered harder than printed material, and comparatively easier than handwritten text.

Khan et al. [28] in 2018 presented a medium-sized dataset consisting of 4, 488 images from 102 different samples of 44 Pashto characters. An A4-sized paper was divided into six columns to collect from the participants. Thus, one person wrote six times the 44 characters of the Pashto language.

Khan et al. [29] presented the Pashto Handwritten Numerals Database (PHND) in 2019. The dataset consists of 50, 000 scanned images of the Pashto Numerals and is publicly available for the research community. The data was collected from three universities: University of Malakand, University of Azad Jammu and Kashmir, and University of Peshawar. The total participants were 1, 250; with a ratio of 65% male and 35% female. Each person wrote four times the digits from 0 to 9.

Khan et al. [30] developed a medium-sized dataset for the recognition of handwritten Pashto characters. The dataset includes 8, 800 images of the Pashto characters, with 200 different representations of each letter.

Amin et al. [12] developed another dataset named Poha in 2020. The poha dataset contains 26, 400 images of 44 isolated Pashto characters and 10 numerals. The data was collected from the Department of Pashto in the University of Peshawar, Pakistan and Tongmyoung University Busan, South Korea. The total participants were 350 while 300 were from University of Peshawar, Pakistan among them.

Similarly, Din et al. [31] developed a benchmark for Pashto handwritten character dataset of Pashto characters; the dataset consists of 43, 000 images. The data was collected on A4-sized paper from 350 university students aged 19 - 24. The participants were native speakers of the Pashto language and studied Pashto at their primary school level.

Another dataset presented by Khan et al. [32] named Handwritten Pashto Character Image Dataset (HPCID). The HPCID dataset consists of 14, 784 samples; (336 variants of 44 characters) of the Pashto. The data was collected on A4 sized paper from 360 participants including 169 males and 163 females of different ages, and educational background.

Similarly, Huang et al. [33] presented another mediumsized dataset in 2021. Their dataset consists of 11, 352 images of characters (258 samples of each Pashto character). The data was collected from the Department of Pashto, University

Dataset/Author Name	Year	Writers	Contents	Statistics
FAST-NU [25]	2009	Synthetic	Ligatures	1000 Unique Ligatures (4 font sizes)
KPTI [26]	2016	Scribed	Sentences	17,015 Text-line images of Pashto
Khan et al. [28]	2018	17	Characters	4,488 Images of Pashto characters
PHND [29]	2019	1250	Numerals	50,000 Images of Pashto numerals
Khan et al. [30]	2020	30+	Character	88,00 Images of Pashto characters
Poha [12]	2020	350	Char/Digits	26, 400 Images of characters & numerals
PHCD [31]	2020	350	Characters	43,000 Images of Pashto characters
HPCID [32]	2021	336	Characters	14,784 Images of Pashto characters
Huang et al. [33]	2021	258	Characters	11, 352 Images of Pashto characters
Rehman et al. [34]	2021	550	Digits	60,000 Images of Pashto digits
Gold Standard Pashto Dataset [35]	2021	Printed Books	Sentences	300 Text-line images of Pashto

TABLE 1. Pashto datasets and their important statistics.

of Swabi, Pakistan with different gender, age, and educational background.

In 2021, Rehman et al. [34] developed a dataset for Pashto digits, the dataset consists of 60,000 images of Pashto digits. Likewise, KPTI, Han et al. [35] presented a dataset named Gold-Standard Pashto Dataset, consists of 300 text-line images, selected from three Pashto books. Table 1 provides a comparative overview of Pashto script datasets.

The literature suggests that none of the datasets, except KPTI [26], collected continuous text-lines of the Pashto language. The majority of the datasets are developed for isolated characters and numerals. The KPTI dataset is based on scribed material, which means calligraphers write the samples, and such calligraphers are famous for their beautiful/ uniform writing. Though the KPTI dataset provides a clear aspect for being used in generalization, it lacks the real handwritten samples of the Pashto language. Therefore, the literature itself reveals the gap and we need a comprehensive dataset that will not only show the diverse materials of the Pashto language but also exploit the natural variations of the native hand writers.

### III. PASHTO LANGUAGE

The Pashto language is originated from Eastern Iranian language [7], which is derived from the Indo-European family. Pashto is the second largest language in Pakistan and one of the two official languages of Afghanistan. Pashto's native speakers are ranging from 55-60 million across the world [7], [30]. Pashto language writing is cursive by nature and its writing has close resemblance with Arabic script as it uses several Arabic characters. However, they may have differences in their structure, syntax, pronunciation and lexicon etc. [31], [36]. It is written from right to left, but numerals are written from left to right. According to a prominent historian, Abdul Hai Habibi [37], the first-ever book of Pashto was written in the 8<sup>th</sup> century. It reveals the fact that the Pashto language has a long association with novel, history, poetry and religious themes. Poetry is very famous in the Pashto language.

### A. PASHTO LANGUAGE IN THE GLOBAL CONTEXT

Apart from Afghanistan and Pakistan, Pashto is taught in the United Kingdom (UK) and United States (US) universities and Jamia Millia Islamia in New Delhi, India [38]. The United Nations Organization (UNO) officially recognizes 82 languages around the globe. Pashto is ranked  $43^{rd}$  among them [38]. Pashto is also spoken by Pashto speakers around the world, with a significant population in the regions of Germany, the United Arab Emirates, the US, UK, Iran, Tajikistan, Canada, India, Malaysia, and Singapore [38], [39]. It has also a special role in international media houses such as BBC,<sup>1</sup> Voice of America, Afghanistan National Television and Pakistan Television, AVT Khyber etc.

#### **B. PASHTO CHARACTERS SET**

An interesting aspect of the Pashto language is its character set, as it is the superset of Arabic, Urdu and Persian character sets. The generic character set of Pashto provides a solid hypothesis for transfer learning and domain adaptation due to similar visual cues [40], [41]. FIGURE 1 illustrates the mutual relationship between the characters of Pashto, Urdu, Arabic, and Persian.

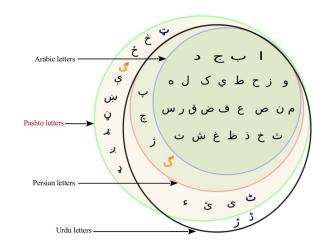


FIGURE 1. Pashto character set and overlapping similar alphabets with Urdu, Persian and Arabic [7].

# **IV. DESCRIPTION OF THE PHTI DATASET**

According to the researchers in [29] and [42], the need for a sufficient amount of training data is a considerable

<sup>1</sup>https://www.bbc.com/pashto

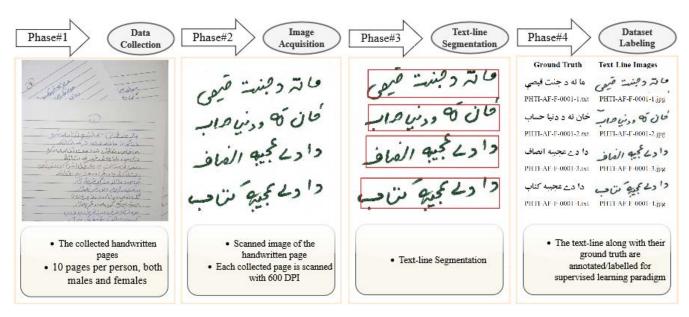


FIGURE 2. PHTI dataset and its development process.

requirement for deep learning-based methods. The more data from all genres we have, the more chances will be there for generalization of deep learning-based methods. Thus, PHTI is a real dataset developed for the research community keeping in view the generalization of the recognition systems. Further, this section explains the process of how we created the PHTI dataset. The overall process includes; data collection process, image acquisition process, text-line segmentation process, annotation process and detailed statistics of the PHTI dataset. FIGURE 2 shows the graphical representation of the process with which the PHTI dataset is created. The dataset is freely available via GitHub link.<sup>2</sup>

### A. DATA COLLECTION PROCESS

The PHTI dataset is developed with an intention to cover many Pashto language genres such as prose, poetry, short story, history, sports, BBC Pashto, and religion. In this context, data were collected from a diverse background of learners having different levels of educational background, including University, College, School, and Deeni Madaras.<sup>3</sup> Students of both sexes participated in the process, and we provided each student with ten pages of sample text to write in their natural writing style. Also, the participants were advised to use any paper of their own choice. Table 2 shows education background, age, gender, and data collection statistics. The data were taken from 17 different sources, which cover a variety of genres in the Pashto language. Table 3 shows each source and number of text-lines extracted from a specific source.

### **B. IMAGE ACQUISITION**

Image acquisition is the first step in dataset creation. Scanned-based images are considered suitable for

<sup>2</sup>https://github.com/adqecsbbu/PHTI

<sup>3</sup>Schools/Institutes that teaches law and religion.

digitization, while camera-captured images mostly contain skewness, blurriness, perspective distortion, wrapping skew, shadow, and light reflection. On the other hand, scannedbased images often include skewness [7]. We have tried our best to avoid skewness while scanning the collected data. Each collected handwritten sample is scanned with 600 DPI using an HP Scanjet scanner. FIGURE 3 shows the scanned pages/images of the dataset along with their ground-truth. Each acquired image is named PHTI-XX-Y-ZZZZ.jpg; PHTI is a constant prefix that refers to our new dataset. The "XX" annotates the source name, and "Y" represents the gender, while "ZZZZ" describes the page number in the respective source.

 TABLE 2. Education/profession, age, and gender wise data collection statistics.

Education/ Profession	Age	Male	Female	Total
10 <sup>th</sup> Grade Students	15 - 20 Years	50	50	100
Bachelor Student	20 - 30 Years	50	50	100
MPhil/Ph.D Scholars	30 - 40 Years	50	50	100
Faculty & Staff	Above 40 Years	50	50	100

# C. TEXT-LINE SEGMENTATION

A text-line image is an image of one text-line from a scanned page. In offline datasets, text line segmentation is an essential pre-processing step. It is crucial because the performance of OCR systems depends mainly on the quality and cleanness of text-line image fed as input. One of the complex challenges in developing a reliable dataset is the text-line segmentation of handwritten documents because of the natural variation in handwriting [41], [42], [43]. The PHTI images are further segmented into 36, 082 text-line images using the method reported in [6] and [27]. After segmentation into text-line images, the notion used for image file names is extended with another number. For example; a name for a text-line

### TABLE 3. Source wise statistics.

	Source	Text-line Extracted	<b>Test</b> 15%	Validation 15%	Training 70%	Contents
	Alwat	2,012	302	302	1,408	Poetry
	Da Madiny Yaduna	1,641	246	246	1,149	Traveling story
	Pashto Literature	3,134	470	470	2,194	Literary terms
Books	Sandareez Ilham	1,886	283	283	1,320	Religion
	Atharzan	1,053	158	158	737	-
	Salam Pakistan	1,672	251	251	1,170	
	Rangona Sangsar	1,250	188	188	875	
	20th Century Sociology in Pashto	2,593	389	389	1,815	Sociology
Discontation	History of Pashto Academy	5,487	823	823	3,841	Culture
Dissertation	Prof. M. Nawaz Tair	3,279	492	492	2,295	History
	Aesthetics	1,439	216	216	1,007	Education
	History & Geography of Malakand	2,466	370	370	1,726	
	Khushal Khan Khattak Poetry	2,468	370	370	1,728	
	Sports	1,220	183	183	854	Sports
Comoral	Afsana	1,338	201	201	937	Short Story
General	News & Health	1,496	224	224	1,047	JokesNews
	Pashto Jokes & Tappay	1,648	247	247	1,154	
	Sum	36,082	5,412	5,412	25,25	

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ځېروکومي چې د اشاعت سرحد له خوا شوي دی د دوست محمد خان کامل مو مند به در نه مقدمه د سکندر خان خټك ديوان شائع کول د خليق صبب د دي اداري د نوم ژوندي ساتلو له یارہ یورہ دے۔ داکتاب یہ کال ۱۹۵۳کنسی چاپ کرے شو دا ورومبے ایډیشن دے چی دري سوه او څلور مخونه لري. هم د دې کتاب دوبم ایډیشن یه کال ۱۹۶۲ هم له دی اداری له خوا په درې سوه او دوه پنځوس مخه خپور كتابي شكل كبني منظر عام ته راغي. مقدمه او حاشيي د ستائني وړ لري خو له بده مر غه د فرهنگ اهتمام نهٔ لری. د کلام د داخلی شهادتونو نه کامل صبب د سکندر خان په باب ځني معلومات راغونډ کړي دي. په کال۱۹۴۵

(a) Acquired Image

(b) Ground truth

FIGURE 3. An instance from PHTI dataset of acquired page along with ground truth.

image file is now "PHTI-XX-Y-ZZZZ-N.jpg". Here, the last "N" in the file name refers to a certain text-line number in a document image. FIGURE 4 shows the graphical user interface developed by [7] for text-line segmentation and dataset labeling. Table 4 shows the naming conventions for a text-line image and its corresponding ground-truth.

### D. DATASET LABELING

To exploit the supervised learning paradigm, data must be in input-output pairs. It means, the scanned images need proper and clean annotation/ labeling to meet the requirement of recognition systems based on supervised learning domain. Usually, labeling is considered to be the most laborious and costly process. However, for the PHTI dataset, we have given the material to the participants that are mostly in transcribed form. For example; books, dissertations and news were already in digitized form. However, each scanned image as well as image of a segmented text-line was manually checked and validated regarding its ground-truth, and if there was a mismatch or an error, then the image as well as its

# IEEE Access<sup>•</sup>

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FIGURE 4. Text-line segmentation and dataset labeling.

TABLE 4. Na	ming Convention	of the PHTI dataset.
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Image/ Ground-truth	Naming Convention	Legends
Image	PHTI-AF-F-0001.jpg	PHTI: Pashto Handwritten Text Imagebase
Ground-truth	PHTI-AF-F-0001.txt	AF= Source, F=Gender(F: Female, M: Male)
Text-line	PHTI-AF-F-0001-1.jpg	0001 as Page No from a Particular Source)
Ground-truth	PHTI-AF-F-0001-1.txt	1 as Text-line No in a Particular Page)

annotation was corrected. However, there might be  $\pm 4\%$  error in the validation process. The ground-truth is stored in a text file with UTF-8 codec. The file name is the same as the image file name, except the extension.

# E. STATISTICS OF PHTI

This section provides the complete statistics of the PHTI dataset, including the number of writers, total pages, total extracted text-lines, the number of unique characters and words, minimum and maximum characters per line, and the most frequently occurring words. A total of 400 persons including 200 males and 200 females participated, and a total of 3, 970/4, 000 pages of handwriting were scanned. However, only 30 pages had errors, omissions, and cutting; therefore, they were ignored. The remaining 3, 970 images are further segmented into overall images of 36, 082 text-lines. The overall text-line images are split into 70% training, 15% test, and 15% validation sets. Table 5 shows the statistics of the PHTI dataset while Table 6 shows the top most occurring words and their frequencies in the PHTI dataset.

#### TABLE 5. Statistics of PHTI.

D i i	NY 1
Description	Number
Total writer	400
Female writer	200
Male writer	200
Total pages	3,970
Pages per writer	10
Average line per page	10
Total text-lines images	36,082
Text-line written by females	18,069
Text-line written by males	18,013
Total words	4, 20, 961
Unique words	33,330
Total character	169
Minimum height of image	28
Maximum height of image	237
Minimum width of image	78
Maximum width of image	1,263

### V. APPLICATIONS OF THE PHTI DATASET

The PHTI dataset is designed in a manner to facilitate the following research areas in several aspects. The applications are given in the following sections.

S.No	Word	Frequency	S.No	Word	Frequency
1	د	24,706	26	يبنتو	1,291
2	ړه	13,385	27	خان	1,267
3	او	9,425	28	زما	1,096
4	4	6,446	29	مي	1,086
5	كبنىي	5,794	30	24	1,066
6	نه	3,673	31	يى	1,059
7	22	3,420	32	نو	1,009
8	ته	2,952	33	هر	974
9	د	2,920	34	داسې	916
10	دې	2,792	35	اللب ر	880
11	دا	2,679	36	کېنېې	857
12	هم	2,652	37	تر	802
13	نې	2,584	38	شو	800
14	به	2,326	39	وي	799
15	يو	2,235	40	ډېر	799
16	هغه	1,928	41	وو	758
17	سرە	1,927	42	بيا	707
18	دغه	1,717	43	خه	701
19	ړه	1,643	44	وه	690
20	له	1,606	45	ستا	690
21	ده	1,602	46	خه	630
22	نة	1,564	47	بي	601
23	خو	1,563	48	دے	603
24	خېل	1,430	49	وخت	593
25	دي	1,399	50	ما	583

TABLE 6. Top 50 most occurring Pashto words in PHTI.

### A. NATURAL LANGUAGE PROCESSING (NLP)

The NLP research community regarding the Pashto language can utilize all the annotated data of the PHTI dataset, as we have collected all the ground-truth data in one text file. The natural flow of each resource is kept intact. Therefore, the dataset is a suitable candidate for the exploration of research regarding NLP for the Pashto language. The same data can also be used for text-to-speech conversion, keyword spotting and for the analysis of words embedding.

# B. GENDER AND AGE CLASSIFICATION

The PHTI dataset is created by collecting handwritten materials equally from opposite genders. Thus, the PHTI dataset can be used to differentiate among the distinctive features exhibiting different writing styles associated with different genders while writing the Pashto language. Similarly, the PHTI dataset can also be used for age classification, as the materials were equally collected from various age groups of both males and females.

### C. SKEW AND LINE-SEGMENTATION

Although the instances in the PHTI that have skew are very limited, one can use this dataset for evaluating skew-detection and correction approaches. The maximum skew angle present in the images is in between  $-5^{\circ}$  to  $+5^{\circ}$ . Another, the PHTI dataset in terms of scanned documents has 3,970 images. Due to these 3,970 images, the PHTI dataset is a suitable benchmark for evaluating the available line-segmentation approaches. Similarly, new segmentation approaches may also be proposed with the help of this dataset.

### D. OCR APPLICATION

The major contribution that we expect from the PHTI dataset is in the area of OCR application. We believe that PHTI is so far the most comprehensive and largest dataset that contains sufficient material regarding the Pashto language. Also, the contents are rich enough that almost all the possible styles of handwriting are present there. Collecting data from different persons having different qualification backgrounds ensures that the models learned with this data will have high chances for generalization. The deep-learning models can be easily trained and evaluated for the Character Error Rate (CER) and Word Error Rate (WER) using the PHTI dataset.

### **VI. CONCLUSION**

In this work, we addressed the unavailability of handwritten text images for the Pashto language to facilitate the research community in the domain of DIA. Thus, we have created the most comprehensive and the largest dataset so far in the Pashto language. The dataset is written by 400 individuals of different genders, ages, education, qualification, and profession. The name of the dataset is PHTI. The dataset consists of 3, 970 scanned pages. The scanned pages are further segmented into 36, 082 text-line images; for each text-line image, a corresponding ground-truth exists in the UTF-8 codecs. The data collection was made from 17 different sources, including poetry, short stories, history, culture, news, health, and sports, to cover the elementary classes of the target language for maximum generalization. The dataset is freely available for all research communities especially interested in Pashto cursive script recognition, gender and age classification, NLP, skew, and text-line segmentation.

### **VII. FUTURE WORK**

To our knowledge, the PHTI dataset is the first and largest dataset that presents handwritten Pashto text-lines written by 400 different writers. The future work includes adding more Pashto pages to the dataset, including left and right-handed writer samples, age identification, writer identification, and baseline for recognition.

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