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An Intelligent Heap-Based Technique With Enhanced Discriminatory Attribute for Large-Scale Combined Heat and Power Economic Dispatch

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ABSTRACT The basic goal of Combined Heat and Power Economic Dispatch (CHPED) is to find the best value for heat obtained from heat generators, power obtained from power generators, and both power and heat obtained from co-generators such that fuel costs are kept minimum while heat and power demands and constraints are met precisely. Based on enhanced discriminatory attribute, a newly Improved version of the Heap-based Technique (IHT) is to increase the searching capacity around the leader position and avoid trapping in a local optimum. Additionally, an adaptive parameter is used linearly to half of the iteration to select an effective operation for creating the new solutions. On 25 benchmark optimizing functions of unimodal or multimodal properties, the efficacy of the proposed IHT in contrast to the traditional HT is tested. Additionally, the proposed IHT in contrast to the traditional HT are employed for CHPED with small scale (seven units), medium scale (twenty-four) and two large-scale (eighty-four and ninety-six) systems with consideration of valve point loading and transmission losses constraints. According to comparisons of results obtained by the IHT with existing approaches, it is shown that the proposed IHT is particularly effective and resilient for finding optimal solutions for the CHPED.

INDEX TERMS Heap-based technique, enhanced discriminatory attribute, combined heat and power, benchmark functions.

I. INTRODUCTION

The development of the management patterns and efficient energy consumption has been promoted in response to the rising prominence of environmental pollution and the energy crisis. Integrated energy systems, in which various energy sectors are incorporated to achieve energy complementation and cascade utilization, have received a lot of attention in recent years. To meet various demands, combined heat and power (CHP) plants are used as the basic and core energy conversion sector in a variety of integrated energy systems.

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They can supply both electricity and heat energy at the same time, where it provides recycling and utilizing waste heat.

Moreover, the CHPED challenge necessitates the dispatch of units to meet the heat and power demands, while achieving the goal of lowering system operation costs and satisfying system constraints. The rapid expansion of today's society has resulted in a massive increase in load demand for economically reliable power energy. The CHPED issue, under these conditions, plays a pivotal role in the modern power system's operation. Because the complexity and scope of the CHPED problem are unavoidable, tackling large-scale CHPED has become a challenging task. Deterministic methods have been employed, in the early years, to obtain minimum system

cost of solving the CHPED problem including branch and bound algorithms (BB) [1], dual and quadratic programming [2], benders decomposition (BD) [3], and Lagrangian relaxation (LR) [4]. Nevertheless, due to valve-point effects, these methods have had difficulty in handling non-convex fuel cost functions of the CHPED problem. Metaheuristic algorithms (MHAs) have been demonstrated later to solve the CHPED problem which include particle swarm optimization (PSO) [5], [6], genetic algorithm (GA) [7], and differential evolution (DE) [8], [9]. Because of their ability to deal with the non-linear and non-convex CHPED problem, these MHAs have become highly popular. Accordingly, to address the CHPED issue, a number of MHAs have been elaborated. These methods are applied to CHPED systems of various scales, and the number of units have been categorized into three groups: small-scale instances are with less than 10 units, medium-scale instances are with 10 to 50 units, and largescale instances are with more than 50 units.

Genetic Algorithm (GA) may be thought of as a broad searching technique, optimization tool relying on Darwinian concepts of evolutionary biology, reproducing, and ''survivability of the strongest'' [10]. GA keeps a population of possible solutions and updates it on a regular basis. At each stage, the GA chooses people from the present population to be parents and utilizes them to generate offspring for the following generations. Generally, the fittest people in any community reproduced and survived to the following generation, therefore enhancing subsequent generations. Inadequate people, on the other hand, can live and reproduce by random. Particle swarm optimizer (PSO) is motivated by the capacity of bird flocks, groups of fishes, and animals to adjust to local surroundings, discover abundant food sources, and escape predators via the use of information exchange. The PSO approach was developed of a social cognition investigation into the concept of collaborative intelligence in natural groups [11]. Through PSO, a collection of completely random individuals adapts in the searching design region toward optimal solution across a number of iterations depending on a significant quantity of space data gathered and exchanged by all individuals in the swarm. PSO and GA are analogous where they are both population-based searching algorithms that seek the best solution by adjusting iterations. Because the two techniques are designed to come up with a solution to a particular target function yet use various tactics and computing effort, comparing their efficiency is adequate.

The Heap-based technique (HT), which was recently published [12], is motivated by the hierarchical structure of organisations. This may be observed when a group of people works together to achieve a mission and organize themselves in a hierarchy, which is known as a corporate rank hierarchy (CRH). Therefore, the notion of CRH is to arrange searching individuals in a hierarchy depending on the objective score, and the heap data architecture is used to represent this idea. HT is built on three basic principles. The first component is teamwork between the assistants and their respective employer. The communication among coworkers is the

second component. The third component is employee selfcontribution. The HT has been effectively employed for several engineering optimization problems such as the optimal power flow [13], photovoltaic cell parameter estimation [14], distributed generation allocations in power systems [15], [16], economic dispatch with N-1 Unit outages [17].

DE illustrated by Storn and Price in 1995 [18] is considered as one of the population-based MHA. DE has been effectively applied to a variety of real-world issues, including the CHPED problem, because to its few control parameters and ease of implementation. In [19], a canonical coordinates method (CCM) optimization with improving the searching process has been applied to CHPED but with small number of constraints and small system application. In [20], one small CHPED instance with 7 units has been solved using the original DE, while [9] presented an improved DE with Gaussian mutation (DEGM) for 4 units CHPED problem. In [21], stochastic fractal search (SFS) algorithm has been used to solve the bi-objective CHPED problem with many local minima and bounded feasible operating regions. However, only small unit systems have been considered in that article. In [22], a social group entropy (SGE) has been applied to CHPED with solar and wind power uncertainty. However, small unit system has been considered. However, these methods have had good results when applied on numerous smallscale (less than 10) CHPED problems only.

There are numerous typical instances as following. The teaching learning based optimization (TLBO) has been illustrated in [23] for solving 7, 24 and 48 unit systems of CHPED. Grey wolf optimization (GWO) has been applied in [24] to solve 4, 7, 11, 24 and 48 unit systems of CHPED problems with new limitations such as as ramp-rate limits, power losses, and spinning reserve constraints. A real coded genetic algorithm has been emerged with improved Mühlenbein mutation (RCGA-IMM) in [25] for solving 4, 5, 7, and 24 unit systems of CHPED with consideration of power losses. Particle swarm optimization has been combined with time varying acceleration coefficients (TVAC-PSO) in [26] to tackle small and medium-scale CHPED system with 4, 5, 7, 12 and 48-unit system. A novel Kho-Kho optimization has been manifested in [27] for 4, 5, 7, 24 and 48 unit systems of CHPED with consideration of environmental emissions and power losses. In [28], an upgraded whale optimizer was used for the economic dispatch optimization issue, and an adaptive exploratory hunting strategy was developed to improve whale swarm populations variety. In [29], an enhanced version of differential evolution with an adaptive Gaussian–Cauchy mutation was used to solve a large-scale CHPED task. In this work, a constraint repair approach is also used to cope with complicated operational restrictions.

On the large-scale CHPED problem, only a few MHAs have been utilized. For instance, in [30], the CHPED problem has been solved using the crisscross optimization approach. The whale optimization approach (WOA) has been illustrated to solve a large-scale CHPED system with 24 units, 84 units, and 96 units as depicted in [31]. Additionally, in [32], a novel

multi-player harmony search method (MPHS) has been characterized to deal the large-scale CHPED problem with 24-unit and 84-unit system.

Numerous techniques for dealing with CHPED constraints have been presented and adopted to operate well on the CHPED problem, including penalty function and constraint repair. However, the large-scale CHPED problem requires immediate attention and represents a significant challenge. As a result, the goal of this study is to develop a robust approach that can handle the small, medium, large-scale CHPED problem. Thus, an IHT is proposed to increase the performance of the Heap-based technique (HT) which was recently published [12]. The HT is based on the institution hierarchy, such as the institution rank hierarchy (IRH), in which a group of people works together to achieve a common goal by arranging themselves in a hierarchy based on their fitness in a hierarchy. The performance of HT is improved by using an enhanced discriminatory attribute to strengthen the searching around the leader position in order to prevent becoming locked in a local optimum and improve its global search capabilities. An assessment is developed to illustrate the quality of the traditional HT and the proposed IHT. The following are the main contributions of this article:

- An improved meta-heuristic IHT is proposed for the first time.
- A novel effective exploitation feature is demonstrated for HT.
- The proposed IHT is tested on 25 benchmark optimizing functions with either unimodal or multimodal properties. The comparison with the traditional HT clearly validates the proposed IHT.
- The proposed IHT is implemented on small scale, medium scale and two large-scale systems with consideration of valve point loading and transmission losses constraints.
- The proposed IHT reveals better performance compared with the traditional HT and most reported approaches in addressing the CHPED.

II. PROPOSED IHT WITH ENHANCED DISCRIMINATORY ATTRIBUTE

A. CONVENTIONAL HT

HT is based on the institution hierarchy [12], in which a group works toward a common objective by arranging themselves in a hierarchy, such as the IRH, to build search agents utilizing the heap data structure in line with their fitness in a hierarchy. The HT is made up of three aspects: the first aspect characterizes the interaction between subordinates and their immediate bosses, whereas the second and third aspect characterize the interaction between colleagues and the employees' selfcontribution, respectively [33].

The IRH is modelled using a heap data structure, which is comparable to a tree-shaped data structure, with the whole IRH representing the population and a heap node representing the search agent. Furthermore, the master of the heap node represents the search agent's fitness, whilst the value of the heap node is shown by the search agent's population index.

The top management of the central institution creates the plans and regulations, whilst immediate supervisors supply the instruction, that must be carried out by subordinates. The conundrum can be mathematically stated in each search by changing the agent position as follows:

$$
x_i^k(t+1) = B^k + \gamma(2r - 1) |B^k - x_i^k(t)|
$$
 (1)

where k denotes the search agent's k^{th} vector component; *t* denotes the current iteration and *B* is the parent node.

As indicated in Eq. [\(2\)](#page-2-0), the term $(2r - 1)$ is generated at random and exemplifies the k^{th} component of the vector λ , whereas γ can be assessed as shown in Eq [\(3\)](#page-2-0).

$$
\lambda^k = 2r - 1\tag{2}
$$

$$
\gamma = \left| 2 - \frac{t * mod(T^{max}/C)}{T^{max}/4C} \right| \tag{3}
$$

where *r* is a random value in the range [0,1], while T^{max} is the total number of iterations. Furthermore, the parameter (*C*) can control the variation in the term $\gamma(2r - 1)$, completed in *T max* iterations as follows:

$$
C = T^{max}/25\tag{4}
$$

Also, the nodes depict colleagues on the same level wheras each agent position x_i could be modified based on its randomly assigned colleague (*Sr*):

$$
x_i^k(t+1) = \begin{cases} S_r^k + \gamma \lambda^k \left| S_r^k - x_i^k(t) \right|, & f(\overrightarrow{S_r}) < f(\overrightarrow{x_i}(t)) \\ x_i^k + \gamma \lambda^k \left| S_r^k - x_i^k(t) \right|, & f(\overrightarrow{S_r}) \ge f(\overrightarrow{x_i}(t)) \end{cases}
$$
(5)

where *f* represents the search agent's fitness.

In addition, an employee's self-contribution is written out as the following equation:

$$
x_i^k(t+1) = x_i^k(t) \tag{6}
$$

The position updating equations are combined by dividing the proportions into p_1 , p_2 , and p_3 , the probabilities of selection may be obtained using a roulette wheel to balance exploitation and exploration. Therefore, the choice of proportion $(p_1, p_2, \text{ and } p_3)$ illustrated in (7) , (8) , and (9) allow a search agent to update its position using [\(6\)](#page-2-2), [\(1\)](#page-2-3), and [\(5\)](#page-2-4), respectively [34].

$$
p_1 = 1 - \frac{t}{T^{max}} \tag{7}
$$

$$
p_2 = p_1 + \frac{1 - p_1}{2} \tag{8}
$$

$$
p_3 = p_2 + \frac{1 - p_1}{2} = 1\tag{9}
$$

Thus, Eq. [\(10\)](#page-3-0), as shown at the bottom of the next page, denotes a process for updating HT's overall position:

The key steps of the conventional HT are depicted in Figure 1.

FIGURE 1. key steps of the conventional HT.

B. PROPOSED IHT WITH ENHANCED DISCRIMINATORY **ATTRIBUTE**

To increase the performance of the HT, two adjustments have been illustrated to improve the performance of HT. Firstly, an adaptive variable (α) which is increased linearly with increasing iterations' number until it reaches to 0.5 at the maximum number of iterations and this parameter can be expressed using this formula [35]:

$$
\alpha = \frac{t}{2 * T^{\max}} \tag{11}
$$

Secondly, an enhanced discriminatory attribute is merged to increase the searching process for the leader position. As a result, the conventional HT's updating process has been adjusted, and as depicted in [\(12\)](#page-3-1), the positions of several search agents can be modified.

$$
x_i^k(t+1) = x_i^k(t) + \gamma \lambda^k \left| \text{Leader}^k - x_i^k(t) \right| \tag{12}
$$

where Leader refers to the position of the search agents who attain the lowest fitness value.

The proposed IHT's key steps are depicted in Figure 2. As indicated, the suggested update process of Eq. [\(12\)](#page-3-1) is not engaged till 75 percent of the iterations have been completed.

This condition preserves the HT's great diversifying potential in exploring new potential directions. At this condition, the enhanced discriminatory attribute is merged to increase the searching process. The more increasing the iterations, the more increasing the value of the adaptive variable (α) . Therefore, there are increasing in the production of the positions of new search agents in the surrounding region of the Leader position. The adaptive variable is limited to 0.5 where it doesn't allow activating the enhanced discriminatory attribute to exceed the 50% of search agents. If the adaptive variable extends to 100% at the end of the iterations, the new positions are prone to be produced around the area of the Leader

$$
x_i^k(t+1) = \begin{cases} x_i^k(t), & p \le p_1 \\ B^k + \gamma \lambda^k \left| B^k - x_i^k(t) \right|, & p_1 < p < p_2 \\ S_r^k + \gamma \lambda^k \left| S_r^k - x_i^k(t) \right|, & p_2 < p \le p_3 \text{ and } f(\overrightarrow{S_r}) < f(\overrightarrow{x_i}(t)) \\ x_r^k + \gamma \lambda^k \left| S_r^k - x_i^k(t) \right| & p_2 < p \le p_3 \text{ and } f(\overrightarrow{S_r}) \ge f(\overrightarrow{x_i}(t)) \end{cases} \tag{10}
$$

FIGURE 2. Key steps of the proposed IHT.

position. Therefore, this limitation guarantees avoiding the stagnation problem if all the search agents focus on the surrounding region of the Leader position.

III. IMPLEMENTATION OF THE PROPOSED IHT FOR CHPED

The purpose of solving the CHPED challenge is to reduce the cost of system manufacture while meeting all the CHPED requirements. The CHPED problem's objective cost function (OCF) and constraints are provided [31]:

Min F_C =
$$
\sum_{j=1}^{N_h} C_j H_j^h + \sum_{i=1}^{N_p} C_i P_i^p + \sum_{k=1}^{N_C} C_k (P_k^C, H_k^C) (\$/\$)
$$
 (13)

The cost functions of this system are the following:

1) OCF of *i th* CHP units

$$
C_i(P_i^c, H_i^c) = a_i(P_i^c)^2 + b_iP_i^p + c_i + d_i(H_i^c)^2 + e_iH_i^c + f_iH_i^cP_i^c(\mathcal{S}/h)
$$
\n(14)

2) OCF of *j th* Heat only units

$$
C_j(H_j^h) = a_j(H_j^h)^2 + b_j P_j^p + c_j(\$/h)
$$
 (15)

3) OCF of *k th* Power only units

$$
C_k(P_k^p) = a_k(P_k^p)^2 + b_k P_k^p + c_k + |\lambda_k \sin(\rho_k(P_k^{p_{\min}} - P_k^p))| (\text{$\frac{1}{2}$}) \tag{16}
$$

The cost of i^{th} CHP, j^{th} heat only, and k^{th} power only units are established by $C_i(P_i^c, H_i^c)$, $C_j(H_j^h)$, and $C_k(P_k^p)$ $_k^p$). The symbols a_i , b_i , c_i , d_i , e_i and f_i describe the ith CHP unit cost coefficients, whereas the symbols a_j , b_j , and c_j characterize the cost coefficients of jth heat-only plant $a_i b_i c_i$ and a_k, b_k , and c_k express the cost coefficients of *k th* power-only plant. The nonconvexity and non-differentiability of the problem are noticed from the sinusoidal term of the valve-point impacts indicated in the power only units as described in Eq. (16) [36], [37]. Besides, Eq. (14) which represent OCF of CHP contains power output (P^c) and heat output (H^c) . There are diverse number or equality and inequality constraints as described in the following equations:

1. *Heat balance constraint*

$$
\sum_{i=1}^{N_c} H_i^c + \sum_{j=1}^{N_h} H_j^h = H_d,
$$
\n(17)

2. *Bounds of heat only units' Generation*

$$
H_j^{h_{\min}} \le H_j^h \le H_j^{h_{\max}} \quad j = 1, \dots, N_h,
$$
 (18)

3. *Power balance constraint*

$$
\sum_{k=1}^{N_p} P_k^p + \sum_{i=1}^{N_c} P_i^c = P_d \tag{19}
$$

4. *Bounds of power only units' capacity*

$$
P_k^{p_{\min}} \le P_k^p \le P_k^{p_{\max}} \quad i = 1, \dots, N_p,
$$
 (20)

5. *Bounds of CHP Capacity*

$$
P_i^{\text{Cmin}}(H_i^c) \le P_i^c \le P_i^{\text{Cmax}}(H_i^c) \quad i = 1, ..., N_c, \quad (21)
$$

$$
H_i^{\text{Cmin}}(P_i^c) \le H_i^c \le H_i^{\text{Cmax}}(P_i^c) \quad i = 1, \dots, N_c, \quad (22)
$$

where the number of CHP units, heat-only units, and power only units can be indicated by *N*c, *N*h, and *N*p, respectively, whereas H_d and P_d demonstrate the system heat demand and the electric power demand of the system.

Therefore, the solution of CHPED problem can be affected by the mutual dependency among the bounds of CHP units as manifested in Fig. 3. This figure shows that:

- For the setpoint (A), the CHP system is running within its restrictions, hence this operating option is practical. As a result, no penalty would be imposed.
- For the setpoint (B), the CHP system would run over its permitted limits. Regardless of the fact that such operating option is infeasible, the spacing between itself and the closest border is not very great. As a result, a minor penalty value is being designated and applied to the fitness performance.
- For the setpoint (C), the CHP system would run over its permitted limits. The operating option is infeasible in such situation, and the spacing between itself and the closest border is so great. Consequently, a severe punishment period will be imposed.

As a conclusion, for the infeasible operational locations, the greater the distances between itself and the closest border, the greater the extra penalty length, and conversely.

6. *Transmission losses consideration*

Another reason for problem's non-convexity is transmission losses integration, which can be expressed as a function of the power units' output power as manifested in Eq. [\(23\)](#page-5-0):

$$
P_{Loss} = \sum_{i=1}^{N_p} \sum_{m=1}^{N_p} B_{im} P_i^p P_m^p + \sum_{i=1}^{N_p} \sum_{j=1}^{N_c} B_{ij} P_i^p P_j^c + \sum_{j=1}^{N_c} \sum_{n=1}^{N_c} B_{in} P_j^c P_n^c
$$
\n(23)

Consequently, Eq. [\(19\)](#page-5-1) can be rewritten as follows:

$$
\sum_{i=1}^{N_{\rm p}} P_i^{\rm p} + \sum_{j=1}^{N_{\rm c}} P_j^{\rm c} = P_d + P_{Loss}
$$
 (24)

FIGURE 3. Dependency between power and heat for CHP unit.

IV. APPLICATION OF THE HT AND THE PROPOSED IHT TO BENCHMARK MATHEMATICAL FUNCTIONS

To evaluate the proposed IHT's search capacity, 25 benchmark optimizing functions with varying features are run. The testing functions under discussion are distinguished of unimodal and multimodal optimization functions (F1-F25). The functions of testing are Brent, Schaffer No. 4, Wayburn Seader 3, Leon, Zettl, Ackley N.3, Adjiman, Bird, Camel 6 Hump, Goldstien Price, Hartman 3, Hartman 6, Cross-in-tray, Carrom Table, Chichinadze, Cross function, Cross leg Table, Crowned cross, Giunta, Helical Valley, Himmelblau, Holder, Test Tube Holder, Shubert and Shekel. Complete data of these functions are detailed in Table 1.

For equitable assessments, the HT and suggested IHT use 50,000 function evaluations as a maximum number, while the population is set at 40. Figs. 4 and 5 display comparison of the performance of the HT and the suggested IHT using the mean and the standard deviation, respectively. As shown, even though there is no significant difference in the mean goal, the suggested IHT outperforms the traditional HT in the majority of benchmark mathematical functions with a lower standard deviation. Full results are detailed in Table 1 [12].

As indicated, the suggested IHT finds better results than the traditional HT for 17 benchmark functions which are F1, F3-F5, F8, F10-F12, F14-F20, F22, F24 and F25. Added to that, similar performance is declared between the suggested IHT and the traditional HT for 4 benchmark functions which are F6, F7, F13 and F23. On the other side, the suggested IHT finds worse results than the traditional HT for 3 benchmark functions which are F2, F9 and F21.

V. APPLICATION OF THE HT AND THE PROPOSED IHT TO COMBINED HEAT AND POWER ECONOMIC DISPATCH

A series of tests are conducted based on the CHPED problem to assess the performance of the proposed IHT in solving small scale (seven units), medium scale (twenty-four) and two large-scale (eighty-four and ninety-six) systems.

TABLE 1. Comparisons between the HT and IHT for mathematical testing functions.

Function				HT		IHT		Comparison
No.	Name	Vars	Range	Mean	St_{dev}	Mean	St_{dev}	Sign
F1	Brent	$\overline{2}$	$-10,10$]	1.38E-87	6.8E-103	1.38E-87	4.56E-103	
F2	Schaffer No. 4	$\overline{2}$	$[-100, 100]$	0.292579	7.14E-17	0.292579	8.40E-17	$\mathbf x$
F3	Wayburn Seader 3	$\overline{2}$	$-500,500$]	19.10588	1.45E-14	19.10588	7.57E-15	V
F ₄	Leon	\overline{c}	$-1.2, 1.2]$	3.59E-13	1.29E-12	1.96E-31	6.43E-31	V
F ₅	Zettl	$\overline{2}$	$-5,10$]	-0.00379	1.76E-18	-0.00379	1.33E-18	V
F ₆	Ackley N.3	$\overline{2}$	$-32,32]$	-195.629	5.8E-14	-195.629	5.8E-14	$=$
F7	Adjiman	$\overline{2}$	$[-1,2]$	-2.02181	1.36E-15	-2.02181	1.36E-15	$=$
F8	Bird	$\overline{2}$	$-2pi,2pi]$	-106.765	2.95E-14	-106.765	2.9E-15	V
F9	Camel 6 Hump	$\overline{2}$	$-5,5$]	-1.03163	6.71E-16	-1.03163	6.8E-16	X
F10	Goldstien Price	$\overline{2}$	$-2,2]$	3	1.04E-15	٦	6.54E-16	N
F11	Hartman ₃	3	[0,1]	-3.86278	2.71E-15	-3.86278	2.27E-15	N
F12	Hartman 6	6	[0,1]	-3.322	1.36E-15	-3.322	4.53E-16	V
F13	Cross-in-tray	$\overline{2}$	$-10,10$]	-2.06261	9.03E-16	-2.06261	9.06E-16	$=$
F14	Carrom Table	$\overline{2}$	$-10,10$]	-24.1568	8.9E-15	-24.1568	8.73E-15	
F15	Chichinadze	$\overline{2}$	$-30,30$]	-42.9444	3.61E-14	-42.9444	2.9E-14	
F16	Cross function	$\overline{2}$	$-10,10$]	4.85E-05	1.38E-20	4.85E-05	6.92E-21	
F17	Cross leg table	$\overline{2}$	-10.101	-0.08479	0.000356	-0.08479	4.29E-05	
F18	Crowned cross	$\overline{2}$	$-10,10$]	0.001179	6.56E-07	0.001179	4.3E-07	N
F19	Giunta	$\overline{2}$	$[-1, 1]$	0.06447	4.82E-17	0.06447	4.25E-17	N
F ₂₀	Helical Valley	3	$-10,10$]	7.49E-29	2.77E-25	7.49E-29	2.21E-28	$\sqrt{ }$
F21	Himmelblau	\overline{c}	$[-5,5]$	5.05E-31	2.41E-31	5.05E-31	1.42E-30	X
F ₂₂	Holder	$\overline{2}$	$[-10, 10]$	-19.2085	8.47E-15	-19.2085	5.08E-15	V
F ₂₃	Test Tube Holder	$\overline{2}$	$-10,10$]	-10.8723	3.63E-15	-10.8723	3.63E-15	$=$
F ₂₄	Shubert	$\overline{2}$	$-10,10$]	-186.731	2.64E-14	-186.731	1.83E-14	V
F25	Shekel	4	[0, 10]	-10.5364	1.81E-15	-10.5364	1.66E-15	N

 \sqrt{X} and = refer to better, worse and equal

FIGURE 4. Comparison of the performance of HT and IHT using the mean objective of benchmark functions (F1-F25).

The experimental context considers myriads of CHPED test systems with 7, 24, 84, and 96 units. Setting parameters: the maximum number of iterations is 300 for small scale system and 3000 for other systems. The proposed IHT and the traditional HT have a population size of 100 in each experiment and runs 30 times. All of the most recent efficient algorithms have been created and implemented in the MATLAB R2017b 64-bit platform. Tests are performed on a DELL Inspiron computer with an Intel Core i7-4510U CPU running at 2 GHz and 8GB of RAM.

A. THE 7-UNIT SYSTEM

The heat and power demands for the 7-unit CHPED system are 150 MWth and 600 MW, respectively, where it includes 2 CHP units, 1 heat-only units, and 4 conventional thermal units. Literature [7] contains data for systems with 7 units. Two considered cases are investigated as:

Case 1: considers of the valve constraints without the transmission losses Case 2: Considers the transmission losses and valve constraints.

For this system, the proposed IHT and traditional HT are applied on the two cases of the 7-unit test system. Table 2 illustrates the detailed results of the control variables for both cases. As shown, the minimum cost value of the proposed IHT and traditional HT for case 1 are 10091.9034 \$ and 10091.9966\$, respectively, whilst they achieve 10094.4188\$ and 10094.5077\$, respectively, for case 2.

For both cases, the convergence curves of the proposed IHT and traditional HT are manifested in figures 6 and 7, respectively. The proposed IHT's curve, in the early, stages

FIGURE 5. Comparison of the performance of HT and IHT using the standard deviation of benchmark functions (F1-F25).

clearly have the slowest descent speed, but swiftly converges in the later stages. The number of iterations of the proposed IHT when the curve approaches the optimal solution in cases 1 and 2 is roughly 150 and 200, respectively. That is, the curse of case 1 converges to the optimal solution faster than the curse of case 2 for the proposed IHT. For this tiny CHPED issue with complex constraints, the findings show that the proposed IHT has poor convergence performance. It is worth noting that the maximum number of iterations for each experiment is set high enough to ensure that the best solution attained by each method is a feasible one. To illustrate, the proposed IHT and traditional HT optimal solutions meet all CHPED constraints and have fitness values equal to the objective function values. In addition, a comparison of the obtained OCF(\$) with several recent techniques are tabulated in Table 3 considering case 1. As shown, the proposed IHT derives the best performance compared to the others since it achieves the

FIGURE 6. Convergence characteristics for the HT and the proposed IHT for case 1 of 7-unit test system.

minimum cost among these techniques. As indicated, the proposed IHT acquires the least costs value with 10091.9034 \$. The other algorithms HT, DE [9], Bee Colony Optimization (BCO) [38], CPSO [23], RCGA-IMM [25], TVAC-PSO [26], CSO [30], TVAC-PSO [39], AIS [40], TLBO [23], WVO-PSO [41], MRF [42], LCA [43], CSO&PPS [44], IGA-NCM [7] and ECSA [45] obtain costs of 10091.9966, 10317, 10317, 10325.33, 10094.0552, 10100.32, 10094.1267, 10244.002, 10355, 10094.84, 10372.015, 10092.33, 10104.38, 10111, 10107.9071 and 10121.9466 \$, respectively.

B. THE 24-UNIT SYSTEM

The heat and power demands for the 24-unit CHPED system are 1250 MWth and 2350 MW, respectively, where it includes 6 CHP units, 5 heat-only units, and 13 conventional thermal units. The 13 power-only units was derived from a 13-unit standard economic dispatch test instance with a large number of local optima. As a result, the 24-unit system is a multimodal challenge. Literature [26] contains data for this system. For this system, the proposed

FIGURE 7. Convergence characteristics for the HT and the proposed IHT for case 2 of 7-unit test system.

Optimizer	OCF(S)
IHT	10091.9034
HТ	10091.9966
DE[9]	10317
BCO[38]	10317
CPSO[23]	10325.33
RCGA-IMM[25]	10094.0552
TVAC-PSO[26]	10100.32
CSO[30]	10094.1267
TVAC-PSO[39]	10244.002
AIS[40]	10355*
TLBO[23]	10094.84
WVO-PSO[41]	10372.015*
MRF[42]	10092.33
LCA[43]	10104.38*
CSO&PPS[44]	10111
IGA-NCMI71	10107.9071
ECSA[45]	10121.9466

TABLE 4. Values of heat and power generated Using HT and the proposed IHT for the 24-unit CHPED system.

IHT and traditional HT are applied. Table 4 illustrates the detailed results of the control variables. In this table, the minimum cost value of the proposed IHT and traditional HT for case 1 are 57953.5263 and 57994.515 \$, respectively. Additionally, as manifested in Table 5, a comparison for this system with other reported techniques in the

TABLE 5. Comparison the proposed IHT, HT and reported techniques for the 24-unit system.

FIGURE 8. Convergence characteristics for the HT and the proposed IHT for of 24-unit test system.

literature which are hybrid HT with Jellyfish search optimization (HHTJFSO) [46], JFSO [46], Supply demand optimization (SDO) [46], gravitational search algorithm (GSA) [47], GSO-based algorithm with modified scrounger and ranger operators (GSO)[48], group search optimization (GSO) [49], Improved GSO (IGSO) [49], TLBO [23], PSO [26], TVAC-PSO [26], CPSO [26]. As shown, the proposed IHT shows the best performance. It achieves the minimum cost among these techniques where the minimum cost value of the proposed IHT and traditional HT are 57953.52 and 57994.52 \$, respectively.

Also, in Table 5, the proposed IHT provides the lowest minimum, average, worst and standard deviation of 57953.53, 58056.13, 58192.22 and 77.77, respectively. Therefore, the proposed IHT derives superior robustness compared to the others.The convergence curve of the proposed IHT and traditional HT in this system is manifested in figure 8. The number of iterations of the proposed IHT when the curve approaches the optimal solution is roughly 2200, respectively. For this medium CHPED issue with complex constraints, the findings show that the proposed IHT has excellent convergence performance.

techniques for the 84-unit system.

C. THE 84-UNIT SYSTEM

The heat and power demands for the 84-unit CHPED system are 5000 MWth and 12700 MW, respectively, where it includes 24 CHP units, 20 heat-only units, and 40 conventional thermal units. Literature [31] contains the detailed data for this system. For this system, the proposed IHT and traditional HT are applied. Table 6 illustrates the detailed results of the control variables. From this table, the minimum cost value of the proposed IHT and traditional HT for case 1 are 288368.9610 and 289822.3922\$, respectively.

Table 7 displays a comparison with other reported techniques in the literature to minimize the OCF which are

TABLE 7. Statistical analysis of the proposed IHT, HT and reported

WOA [31], SDO [33], MPA [33], IMPA [33], MRF [42], HT [33], JFSO [46], and HHTJFSO [46]. As shown, the proposed IHT achieves the minimum cost among these techniques where the minimum cost value by it is 288369 \$ where the traditional HT obtains 289822.4 \$. Additionally, as manifested in Table 7, the proposed IHT provides the lowest minimum, average, worst and standard deviation of 288369, 289101.7, 290282 and 553.47, respectively. Therefore, the proposed IHT derives superior robustness compared to the others.

D. THE 96-UNIT SYSTEM

The heat and power demands for the 96-unit CHPED system are 9400 MWth and 5000 MW, respectively, where it includes 24 CHP units, 20 heat-only units, and 52 conventional thermal units. Literature [31] contains the studied data for this system. For this system, the proposed IHT and traditional HT are applied Table 8 illustrates the detailed results of the control variables. Table 9 depicts a comparison of the obtained OCF(\$) with several recent techniques. As shown, the proposed IHT derives the best performance compared to the others since it achieves the minimum cost among the other techniques. The minimum cost value of the proposed IHT is 234090.7241 \$ where the traditional HT attains 235102.65 \$. Additionally, as manifested in Table 9, the proposed IHT provides the lowest minimum, average, worst and standard deviation of 234090.72, 234952.84, 236243.85 and 690.33, respectively. Therefore, the proposed IHT derives superior robustness compared to the others. The convergence curve of the proposed IHT and traditional HT for the 84-unit and 96-unit systems are manifested in figures 9 and 10.

The number of iterations of the proposed IHT when the curve approaches the optimal solution is roughly 2300, respectively. For this large CHPED issue with complex constraints, the findings show that the proposed IHT has excellent convergence performance. To recapitulate, the proposed IHT's convergence ability is comparable to that of the traditional HT in systems with small-scale units, but clearly superior to that of the traditional HT in medium and largescale CHPED systems. That is, the proposed IHT can swiftly identify a global optimal point in a high-dimensional search space, and its optimal solution is superior to that of the comparable methods which demonstrate that the proposed IHT has stability and great convergence in solving the medium and large-scale CHPED problem.

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TABLE 8. Values of heat and power generated using HT and the proposed IHT for the 96-unit CHPED system.

E. STATISTICAL ASSESSMENT OF PROPOSED IHT AND HT FOR CHPED

For all studied systems, the proposed IHT and HT are 30 run times, and the corresponding whiskers box plots are drawn in Fig. 11. As illustrated in Fig. 11 (A), the proposed IHT outperforms HT, for the 7-unit system (case: 1), in attaining the statistical calculations of OCF value. The proposed IHT provides the lowest minimum, average, maximum and standard deviation of OCF values of 10091.9\$, 10093.41\$, 10095.9\$, and 1.15\$, respectively, whilst the HT provides VOLUME 10, 2022 64335

FIGURE 10. Convergence characteristics for the HT and the proposed IHT for of 96-unit test system.

Optimizer	OCF(S)	Average	Worst	Std	
IHT	234090.7241	234952.8379	236243.8455	690.3345	
HT	235102.65	236853.3030	239119.459	1594.7970	
HHTJFSO[46]	234836.04	235646.1289	236967.064	764.9310	
JFSO[46]	235277.05	236688.7625	237940.189	859.1088	
WOA[31]	236699.15	237431.4678	238877.049	971.5473	
$\overline{\text{WVO-PSO}}[41]$	238005.79				
PSO-TVAC[26]	239139.5018				
WVOPSO[41]	235789.2014				
WVO[41]	240861.3210				
SDO[33]	236185.18				
MRF[50]	235541.4				
MPA[51]	236283.1				
IMPA _[51]	235260.3				

TABLE 9. Statistical analysis of the proposed IHT, HT and reported techniques for the 96-unit system.

10091.99\$, 10093.86\$, 10097.47\$ and 1.16\$, respectively. Additionally, the proposed IHT outperforms HT for the 7-unit system (case: 2) as illustrated in Fig. 11 (B). The proposed IHT provides the lowest minimum, average, maximum and standard deviation of OCF values of 10094.42\$, 10095.91\$, 10098.78\$, and 1.202\$, respectively, whilst the HT provides 10094.51\$, 10096.17\$, 10099.3\$ and 1.168\$, respectively.

For the 24-unit system, as shown in Fig. 11 (C), the proposed IHT beats HT in statistical computations of OCF value. The suggested IHT gives the smallest minimum,

average, maximum, and standard deviation of OCF values of 57953.526\$, 58056.13\$, 58192.22\$, and 77.77\$, respectively, whereas the HT provides 57994.515\$, 58111.3\$, 58309.42\$, and 98.69\$, respectively. Similar findings are acquired for the 84-unit system and the 96-unit system as illustrated in Fig. 11 (D) and (E), respectively. Therefore,

it is concluded that the proposed IHT has high stability and robustness in attaining the minimum, average, maximum and standard deviation of OCF values with respect to the HT. In addition, Table 10 shows the average computing time for the standard HT and suggested IHT methods. the computational time for both algorithms is stated for the 25 benchmark

TABLE 10. Computational time (Sec) of the proposed IHT and the original HT.

functions and the four studied CHPED systems. As shown by below table, the proposed IHT method requires somewhat less computing time than the traditional HT method.

VI. CONCLUSION

In this paper, an improved Heap-based Technique (IHT) is proposed and implemented successfully to 25 benchmark optimizing functions and to solve the CHPED problem. The CHPED has become a challenging task that seeks to find the best value for heat generated by heat generators, power generated by power generators, and both power and heat generated by co-generators, so that fuel costs are kept to a minimum while heat and power demands and constraints are met precisely. In the CHPED problem, the valve point loading and transmission losses constraints are taken into consideration. The IHT is developed in the article by performing two modifications on the conventional HT. Firstly, developing adaptive parameter is incorporated which increases linearly to half of the iteration to select an effective operation for creating the new solutions. Secondly, an enhanced discriminatory attribute is merged to improve the global search capabilities and avoid trapping in a local optimum. The IHT is employed on different small scale, medium scale and

large-scale systems and from the simplest to the most intricate. The proposed technique's robustness and effectiveness are investigated in each system by comparing it with other well-known approaches. The comparisons revealed that the proposed IHT is quite promising in terms of tackling the CHPED problem. The proposed IHT is more efficient than the traditional HT and most reported approaches. It provides superior performance compared to the traditional HT and other recent techniques. It also derives the best robustness indices in terms of the statistical terms of minimum, average, maximum and standard deviation OCF values.

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