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Privacy-Preserving Blockchain-Based Healthcare System for IoT Devices Using zk-SNARK

DUC ANH LUONG AND JONG HWAN PAR[K](https://orcid.org/0000-0003-2742-6119)[®]

Department of Computer Science, Sangmyung University, Seoul 03016, South Korea Corresponding author: Jong Hwan Park (jhpark@smu.ac.kr)

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ABSTRACT Privacy is playing a crucial role in the smart health industry, where health service providers and their customers use the internet of things (IoT) to provide and consume health services. Preserving privacy for legitimate users and preventing illegitimate users from accessing services are difficult to implement simultaneously. In this study, we addressed this issue by proposing a new healthcare system for IoT based on the blockchain and zero-knowledge succinct noninteractive argument of knowledge (zk-SNARK). We employ the anonymity property of the public blockchain to protect users' privacy. The zk-SNARK scheme works as an anonymous authenticator to prevent unauthorized users from using services. We also analyze the security of the proposed system by showing that it can resist various types of attacks, such as impersonation, collusion, and man-in-the-middle attacks. Finally, we evaluate the performance of the zk-SNARK scheme with respect to computational costs and the interactions with the Ethereum blockchain smart contract with respect to transaction fees.

INDEX TERMS Blockchain, healthcare, IoT, privacy, zk-SNARK, anonymous authentication.

I. INTRODUCTION

Environmental pollutions cause diseases and adversely affect people. To prevent diseases and improve quality of life, healthcare is necessary and should be provided to all people who need it, thereby tremendously increasing patients seeking medical care, and it is becoming difficult to access caregivers or physicians directly and physically. The advent of the internet of things (IoT) or IoT health devices that can track patients' health conditions and health status has improved healthcare quality by allowing patients to send their health data to physicians through the internet. Basically, these IoT health devices can be divided into two: high- and low-level devices.

- High-level devices: collect health data from patients and send them directly to physicians through the internet. These devices can be wearable devices such as smartwatches, smartphones, or stationary devices.
- Low-level devices: also collect health data from patients but cannot send them directly to physicians through the internet. These devices need a medium device such as a smartphone or computer to gather and format collected data and send them to physicians. They are

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FIGURE 1. Data sharing between health IoT devices and physicians.

medical embedded devices such as ingestible sensors or connected inhalers.

The process of collecting health data from patients and transmitting them via the internet to physicians or a health service provider (HSP) is called remote patient monitoring (RPM) [1].

In practice, data are not sent directly from patients to physicians but rather stored in cloud storage where physicians work (the HSP). By accessing this cloud, they can process and analyze their patients' health data and provide treatments or health alerts to the patients through IoT devices. Fig. [1](#page-0-0) describes this process.

Cloud storage could be provided by a third party or the HSP. Either ways, data need to be securely transmitted between the cloud server and IoT devices. Because the communication between the cloud server and IoT devices is wireless, it is vulnerable to several attacks. For example, malicious adversaries can eavesdrop on messages to obtain sensitive information about patients. In worse cases, they can tamper or change data to break the service and make the physicians give wrong treatments. Therefore, a data-sharing process must be protected to ensure confidentiality, integrity, and availability (also known as the CIA triangle).

A. MOTIVATION

Besides the CIA triangle, privacy plays a crucial role in a health data-sharing system. Traditionally, people look for health services when they have health problems. Using these services, they must provide their identities, and physicians will give them treatments or health alerts after analyzing their information, such as symptoms, health conditions, and medical history. However, when people care more about their quality of life in modern life, they may look for healthcare services even in good health conditions to ensure that their health does not have potential harm. These services allow physicians to track their daily health status and other sensitive information to ensure they are always in good shape and alert them when something abnormal is observed. In these cases, physicians only need to know essential details of their health conditions and medical history; thus, people should be able to hide their identities. Naturally, besides efficiency, a healthcare system needs the following security requirements.

- 1) Only legitimate users, who paid for healthcare services, should be able to use health services from HSPs.
- 2) Health data between IoT devices and the HSP need to be protected from malicious adversaries using appropriate encryption schemes.
- 3) Privacy should be protected so that the HSP and adversaries do not know who the health data owner is.

The first and second requirements can be solved by combining a standard authentication technique with an encryption scheme, whereas the third requirement is not easy to be handled simultaneously with the first one. Most existing healthcare systems use a trusted third party to authenticate users. This solution can hide users' identities from the HSP and adversaries. However, using a trusted third party is not a good solution because the users' privacy relies on this party. There is nothing to guarantee that the trusted third party will not collude with the HSP to disclose users' identities and trace their health data.

The advent of the blockchain provided a better solution, thanks to its anonymity property. The HSP can sell its services in a public blockchain network, where users are free to join and quit. For the first requirement, users can easily generate a blockchain address to buy health services, and because their addresses are unique, the HSP can use them to identify legitimate users. The third requirement is also satisfied because the public blockchain allows users to create an address without providing their real identities.

However, there are cases when the HSP needs to classify users, for instance, splitting users into two groups based on whether users have health insurance. Users with insurance should have the services at a lower price than non-insurance users. In these cases, using the pure public blockchain to conceal users' identities is inefficient. Because users' addresses are anonymous, the HSP cannot classify users without information about their identities. One solution to address this issue is blockchain-based anonymous authentication. Using this technique, users must show their identities to the HSP when signing up for the health services so that the HSP can classify them first. Later, their identities can be hidden using their blockchain address in the anonymous authentication phase.

One of the anonymous authentication techniques is zero-knowledge proof (ZKP) [2], by which a prover can convince a verifier that he knows a secret witness without revealing it. Applying the ZKP technique to a healthcare system, a user (as a prover) can convince the HSP (as a verifier) that he is a registered user who passed some predefined restriction conditions without showing the user's identity (as a witness) to the HSP. Therefore, users can protect their privacy when using health services from the HSP, whereas the HSP can still classify their users and guarantee that they provide health services for legitimate users.

Nevertheless, anonymous authentication using the ZKP technique has one issue; anyone with a correct witness can generate the correct proof and pass the authentication phase. This issue results in collusion attacks in which attackers have access to users' secret witnesses or malicious users intentionally leak their secret witnesses so that unauthorized users can use this witness to generate valid proof and get authenticated in the anonymous authentication phase. It is difficult to counter this attack scenario and protect users' privacy simultaneously because (1) the user's secret witnesses are hidden using the ZKP technique in the anonymous authentication phase and (2) the ZKP is generated differently even if the same witness is used. This issue leads to a financial loss for the HSP when unregistered users can use their services without being detected. Therefore, a new privacy-preserving blockchain-based healthcare system for IoT, which provides collusion attack resistance without trusted third parties, should be designed.

B. CONTRIBUTIONS

The major contributions of this study are as follows.

- We propose a privacy-preserving healthcare system that securely shares health data between IoT devices and the HSP. The proposed system is based on a simple and efficient anonymous authentication scheme using the zero-knowledge succinct noninteractive argument of knowledge (zk-SNARK) [3] (as the ZKP) in combination with the public blockchain.
- The proposed system also provides the authentication property against collusion attacks without relying on a trusted third party. In the anonymous authentication

phase, the zk-SNARK scheme is designed to detect if the same witness is used more than once.

• We present security requirements for a healthcare system and analyze the proposed system's security based on these requirements. We also give implementation results simulated on the Ropsten test network using the Zokrates toolbox [4]. Our implementation focuses on the performance of a smart contract (SC) working with the designed zk-SNARK scheme and evaluates its relevant computational costs and transaction fees based on the number of users.

II. RELATED WORKS

To date, several works have been proposed to design health data sharing systems. In this section, we briefly review a few systems that relate to our work.

Dwivedi *et al.* [5] suggested a decentralized privacypreserving healthcare blockchain system for IoT devices, where user anonymity is achieved using a ring signature scheme [11]. In their system, health data are encrypted under a symmetric-key encryption (SKE) scheme and signed by the ring signature so that the HSP (as a verifier) can ensure that the data sender belongs to a valid group of users but cannot identify exactly which user it is. Health data are not directly sent from the users to the HSP. Instead, they are sent to the blockchain (overlay in their term). After verifying that the sender is authenticated by an authority, the blockchain network sends these data to the HSP. However, all users in this system must be authenticated by a trusted authority. If the authority colludes with the HSP, the HSP can reveal the owners of all health data. Badr *et al.* [6] introduced a multitier blockchain framework for IoT-electronic health record systems in which users generate their pseudonyms on a blockchain network and use them to communicate with the HSP to protect their identities. However, user anonymity also relies on the trust of a public authority. Fu *et al.* [7] introduced a healthcare blockchain system based on lightweight message-sharing. The interleaving encoder encrypts health data as an electronic medical record into n shares, and these shares are stored in different local blockchain nodes. However, the system does not provide user anonymity to the HSP, which can decode and recover entire health data. A similar drawback can also be found in other constructions [12], [13] that allow the HSP to recover health data and their relevant information on a user. Griggs *et al.* [8] suggested a healthcare blockchain system using SCs for a secure automated RPM system. In this system, health data from users are collected by IoT devices and sent directly to an SC in plaintext. The SC analyzes these data and sends alerts to the users and HSP. This system uses the anonymity property of the public blockchain to protect users' privacy. However, to receive the service, users must use their real identities to register their IoT devices with the HSP and have permission to use the SC from the HSP, meaning that user anonymity is not provided to the HSP.

Yin *et al.* [9] introduced an IoT-based anonymous function for security and privacy in healthcare sensor networks. Users

in this system encrypt their health data and send them to a data center. The anonymization process is triggered when the data center sends users' data to the HSP or other organizations. However, this system is centralized so that users' data can be collected and manipulated in the data center before being sent to other organizations. Attarian and Hashemi [10] presented an anonymity communication protocol for health data-sharing, where user anonymity is guaranteed using ring signature and noninteractive ZKP techniques [14] proof techniques. Then, health data are encrypted and sent through three intermediate nodes in a peer-to-peer network before reaching the target HSP node. However, before participating in their healthcare network, all participants must be authenticated by the so-called healthcare authority, which has the right to explore the reality of participants' identities. This means that if the healthcare authority is malicious, user anonymity could be broken.

Based on the above literature review, some systems do not have collusion attack-resistance property, whereas others rely on a trusted third party (certification authority) to counter collusion attacks and prevent unauthorized users from joining the system. If the certification authority is malicious and colludes with the HSP, user anonymity is no longer provided. We aim to present a new blockchain-based privacypreserving healthcare system for IoT devices to address the above issues.

III. PRELIMINARIES

In this section, we briefly describe blockchain and cryptographic schemes used in the proposed system.

A. BLOCKCHAIN

A blockchain is a distributed database shared via cryptography among the nodes of a computer network. The blockchain concept was first introduced by Satoshi Nakamoto in 2008 in the cryptocurrency bitcoin project [15]. Blockchains are typically managed in a peer-to-peer network using a consensus algorithm. Currently, proof of work (PoW) [16] and proof of stake (PoS) [17] are two of the primary consensus algorithms. Basically, there are two types of blockchains: public and private blockchains. In the former, data in blocks are transparent to everyone, and people are free to join and leave the system. Bitcoin and Ethereum [18] are the two most popular public blockchain systems. For the latter, data are transparent to only system members, and only people with permission can join. The private blockchain is not fully decentralized, and some parties have more power than other nodes. Commonly, some parties have the right to allow nodes to join a network, or they can be validators who create data blocks and commit to the blockchain. Hyperledger Sawtooth [19], and Hyperledger Fabric [20] are typical private blockchain systems. Below are the most crucial properties of a blockchain.

• Immutability: once data are stored in a blockchain, it is infeasible to change or remove them. Data can only be updated by adding a new block, but the old version is still maintained in the chain.

TABLE 1. System comparison.

- Decentralized: no entity entirely governs the blockchain network. Data are recorded to the chain by miners or validators if the transaction satisfies the network's policy and is not tampered with by a malicious node. No one in the network can personally add a block to the chain or deny a valid block to be added.
- Consensus: a block is considered valid if and only if more than half the number of nodes in the network agree with its validity. The chain of these valid blocks is the main chain. Blocks that are not in the main chain are orphan blocks. Orphan blocks are correctly minted, and the data in them are corrected.

In a blockchain network, nodes communicate by sending and receiving transactions. A transaction is a data package containing information about the sender, receiver, and content the sender wants to send to the receiver. Nodes can send transactions to other nodes or SCs. An SC is simply a program stored on a blockchain network that runs when predetermined conditions are met. It can be triggered by transactions received from nodes or other SCs. Because the SC is a type of data on the blockchain, once SCs are deployed to the blockchain network, they are immutable and transparent to all nodes in the blockchain network.

B. ZK-SNARK

zk-SNARK scheme [3], [21], [22] is a type of ZKP that allows a prover to convince a verifier that the prover knows a witness without revealing any information about that witness. It is presented by four algorithms as follows: Setup, Keygen, Proof, and Verify.

- Setup(1^{λ}) \rightarrow *Z*: given a security parameter λ , a set of public parameters $Z = (p, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_t, g_1, g_2, e)$ is generated, where *p* is a prime number, \mathbb{G}_1 , and \mathbb{G}_2 are two cyclic groups of order *p* with generators *g*1, and *g*2, respectively, *e* is a bilinear map [23] so that *e* : $\mathbb{G}_1 \times \mathbb{G}_2 \to \mathbb{G}_t.$
- KeyGen(C, Z) \rightarrow (PK, VK): the key generation algorithm takes an arithmetic circuit *C* and *Z* as inputs, and after transforming *C* into polynomials and a relation *RC*, the algorithm generates a proving key PK and a verification key VK.
- Proof(PK, \vec{x} , *m*) $\rightarrow \pi$: the proof generation algorithm takes as inputs the public key PK, a public statement \vec{x} (as a public input of the circuit *C*), and a secret witness *m* of the prover. The algorithm outputs a zero-knowledge

proof π with respect to the relation of \vec{x} and *m* through the circuit *C*.

• Verify(VK, \vec{x}, π) $\rightarrow b$: the verification algorithm takes VK, \vec{x} , and π as inputs, and outputs a binary bit *b* indicating whether the proof π is valid ($b = 1$) or invalid $(b = 0)$.

In terms of security requirements, the zk-SNARK scheme has the following properties:

- *Completeness*: the prover can generate a correct proof if he has the witness *m*. Then, the verifier always accepts the correct proof.
- *Zero-knowledge*: the prover can prove that he has the secret *m* without revealing any information on *m* to the verifier (and others).
- *Soundness*: without the proper witness *m*, it is infeasible for a cheating prover to generate correct proof.

C. DIGITAL SIGNATURE

A digital signature scheme comprises the following three algorithms: S_keygen, S_sign, and S_verify.

- S_keygen(1^{λ}) \rightarrow (PK, SK): given a security parameter λ , the key generation algorithm outputs a pair of keys (PK,SK), where PK and SK are a public key and signing key, respectively.
- S_sign(*M*, SK) $\rightarrow \sigma$: the signature generation algorithm takes as input a message *M*, SK; and outputs a signature σ .
- S_verify(M, σ, PK) \rightarrow 0/1: the verification algorithm takes as inputs a message M , a signature σ , and PK; and outputs a bit *b*, which indicates that σ is valid (*b* = 1) and invalid $(b = 0)$.

As the security of a digital signature scheme, we consider the unforgeability against chosen message attacks [24], meaning that it is infeasible for an adversary to generate a forged signature on a message m without a signing key of a signer.

D. HASH FUNCTION

We consider a hash function $H\{0, 1\}^* \to \{0, 1\}^{\ell}$, where ℓ is a positive integer and is determined by a security parameter λ , which satisfies the following property:

• *Collision-resistance:* it is infeasible for an adversary to find two preimages m_1 , and m_2 such that $H(m_1) = H(m_2)$.

In addition, we employ the fact that the collision-resistant property implies the onewayness and second preimage resistance properties.

E. DIFFIE-HELLMAN KEY EXCHANGE (DHKE)

Given a DHKE parameter (g, p) , where p is a prime number and *g* is a generator, two parties *A* and *B* wishing to share a secret key *K* do so as follows.

- *A* sends *B* $M_A = g^a \pmod{p}$ for a random $a \in \mathbb{Z}_p$.
- *B* sends *A* $M_B = g^b \pmod{p}$ for a random $b \in \mathbb{Z}_p$.
- *A* computes $K = (M_B)^a \pmod{p}$ and *B* computes $K =$ $(M_A)^b$ (mod *p*).

In our construction, the well-known man-in-the-middle attack is protected by signing *M^A* and *M^B* under the signing keys of *A* and *B*, respectively.

IV. PROPOSED SYSTEM

A. MAIN ENTITIES

In the proposed system, there are six main entities: users, IoT devices, pseudonyms, an SC, the HSP, and the public address of the HSP on a blockchain network. Their relations are depicted in Fig. [2.](#page-5-0)

- *User (U)*: we can have many users in this system as the consumers of health services. Users employ health services from the HSP.
- *Pseudonym (PS)*: this is a user's public address generated on the blockchain network responsible for calling SC's functions. Notably, a signing key corresponding to a pseudonym will be used for signing a transaction to an SC. There are also many pseudonyms corresponding to a user in this system. Pseudonyms do not link to or disclose users' identities and are necessary for anonymously registering IoT devices to the SC.
- *IoT devices:* these are wearable devices or other health data-collecting devices. One user devices. These devices collect health devices from the user and share them with the HSP.
- *SC:* this contains the zk-SNARK verification function (using pseudonyms received from users) and its relevant verification key. The SC additionally contains IoT device parameters during the registration of IoT devices. As the number of pseudonyms increases, the number of SCs can also increase.
- *HSP*: there is one HSP in the proposed system. The HSP is responsible for building SC, collecting health data from users through IoT devices, storing these data in its data center, and analyzing it to provide users with health services.
- *HSP's blockchain address:* this is responsible for deploying SC to the blockchain network and querying for devices' parameters.

As shown in Fig. [2,](#page-5-0) there are four channels in the proposed system. (1) The blockchain network is the blockchain channel where data are shared in the form of transactions. (2) The insecure channel is a data-sharing channel on the internet where data are unencrypted. (3) The secure channel

- The secure local channels are kept secure and attack-resistant using a separate method (which is out of the scope of this study).
- The DHKE parameters (p, g) are shared in advance by all entities.

With Fig. [2,](#page-5-0) we now describe our system as follows:

Step 1: Service initialization

- \bullet U_i creates a public address on the blockchain network as a pseudonym. The address must not disclose any information about *Ui*'s identity so that attackers cannot trace the *Ui*'s identity from this address.
- U_i selects a random $m_i \in \{0, 1\}^{\ell}$ for some $\ell \in \mathbb{Z}^+$, where ℓ is determined by the security parameter λ , and computes $H(m_i) = h_i$. Afterward, U_i sends h_i to the HSP and keeps *mⁱ* secure as a preimage of *hⁱ* .
- The HSP collects hash values {*hi*} from users. Based on the $\{h_i\}$, the HSP generates an arithmetic circuit *C*. We emphasize that the number of collected h_i values is

is a data-sharing channel on the internet in which data are encrypted using symmetric-key ciphers. (4) The secure local channel is a local channel not using the internet.

B. SYSTEM DESCRIPTION

For ease of reading, Table [2](#page-4-0) presents notations used in the proposed system. In addition, we assume the following.

- The HSP generated a pair of keys (PK_{sig} , SK_{sig}), and all users have PK*sig*. The digital signature scheme is ECDSA.
- U_i owns an identity ID_i corresponding to an IoT device, which does not reveal *Ui*'s identity.
- *ID_i* has built-in functions to perform the DHKE and securely communicate with the HSP (using symmetrickey ciphers). ID_i can receive data from the HSP and show them to U_i .
- All inputs to SC are generated as transactions on ockchain network, meaning that each transaction be signed by the owner of a transaction and can be ly verified, especially by miners when generating a block.

critical to the performance of the zk-SNARK Setup and Proof algorithms. In Section VI, we show the performance results of such algorithms, depending on various numbers of h_i values. The detail of circuit C is described in Algorithm 1.

Step 2: SC building and parameter distribution

- The HSP generates a pair of zk-SNARK proving and verifying keys (PK , VK) based on the circuit C , such as Setup(1^{λ}) \rightarrow *Z* and KeyGen(*C*, *Z*) \rightarrow (PK, VK).
- The HSP creates an SC containing (1) the zk-SNARK verification function using the VK, (2) a function that allows a user to add (or remove) its own device's identity, and (3) a function for the HSP to query to check the validity of a device's identity. Algorithm 2 describes SC in detail. Afterward, the HSP uses its public address to deploy SC to the blockchain network. This step corresponds to *(2.1) Contract creation* in Fig. [2.](#page-5-0)
- The HSP creates a data package $P = (AD, PK)$ and generates a signature $\sigma = S$ _{sign}(P, SK_{sig}) using its signing key SK_{sig} . (*P*, σ) is sent to all users who sent those {*hi*} values. This corresponds to *(2.2) Parameters distribution* in Fig. [2.](#page-5-0)
- When receiving (P, σ) , U_i verifies the signature using PK*sig* of the HSP. If the signature is valid, *Uⁱ* proceeds to the next step.

Step 3: Anonymous authentication between *PSⁱ* **and SC**

This step corresponds to *(3) Anonymous authentication* in Fig. [2.](#page-5-0) After verifying that $P = (AD, PK)$ is from the HSP, U_i generates the zk-SNARK proof π_i (regarding m_i) and asks SC for authentication as follows.

• *U_i* computes Proof(PK, \vec{x}_i , m_i) $\rightarrow \pi_i$. Notably, \vec{x}_i is an array of circuit *C*'s public input and output, which is

Algorithm 1: Arithmetic Circuit *C*

uniquely determined by *mⁱ* . As in Algorithm 1, because the circuit C has both public input and output, \vec{x}_i comprises the public input $\hat{h}_i \leftarrow H(m_i + 1)$, and *r*. That is, $\vec{x}_i = \{\hat{h}_i, r\}.$

TABLE 3. Table of authenticated devices in SC.

- U_i uses PS_i to interact with SC, and invokes the function **verify Tx**() with π_i and \vec{x}_i . As usual, note that the transaction for **verify** $\mathbf{T} \mathbf{x}(\pi_i, \vec{x}_i)$ is verified under PS_i (and its relevant public key).
- The function **verifyTx()** is implemented as the zk-SNARK Verify algorithm. If *mⁱ* is one of preimages with respect to those hash values listed in the arithmetic circuit C and \vec{x}_i has not been used yet, then the function **verify** $\text{Tx}(\pi_i, \vec{x}_i)$ returns *true*. In that case, SC authenticates PS_i by adding (PS_i, \vec{x}_i) into a table of authenticated pseudonyms. We see that SC is programmed to register \vec{x}_i only once with respect to the corresponding h_i .

Step 4: Authentication and key exchange between IoT devices and the HSP

This step corresponds to (4.1) , (4.2) , (4.3) , and (4.4) in Fig. [2.](#page-5-0)

- U_i selects a random $a \in \mathbb{Z}_p$ and computes $M_i = g^a$ (mod p). M_i is the public token of PS_i , which will be used for the DHKE.
- To register ID_i for an IoT device, U_i uses PS_i to register a tuple of data $T_i = (M_i, ID_i)$ to SC by calling the **addDevices** (T_i) function. The transaction for **addDevices**(T_i) should be verified under PS_i (and its relevant public key). If the verification succeeds, SC stores $(PS_i, \vec{x}_i, M_i, ID_i)$ into a table of authenticated devices as in Table [3.](#page-6-0) This step corresponds to *(4.1) Device/Token registration* in Fig. [2.](#page-5-0)

As shown in Table [3,](#page-6-0) each PS_i is associated with its corresponding (\vec{x}_i, M_i, ID_i) , which allows the HSP to perform the DHKE protocol only with those IoT devices registered in this table.

- *ID*^{*i*} sends (ID_i, M_i) to the HSP to start the DHKE. This step corresponds to *(4.2) Key exchange request* in Fig. [2.](#page-5-0)
- The HSP checks the validity of ID_i by calling the function **query**(M_i). The transaction of **query**(M_i) is also verified under the public address of the HSP. If (M_i) is registered in SC, the HSP accepts M_i as a DHKE key share. This step corresponds to *(4.3) Device query* in Fig. [2.](#page-5-0)
- Next, the HSP continues the DHKE process by choosing a random $b \in \mathbb{Z}_p$ and computing a token $M_{HSP} = g^{\overline{b}}$ (mod *p*). Afterward, the HSP obtains a signature σ_{HSP} = S_sign(*MHSP*,SK*sig*) and sends (*MHSP*, σ*HSP*) to *IDⁱ* . This step corresponds to *(4.4) Key exchange response* in Fig. [2.](#page-5-0)

• *ID*^{*i*} checks if σ_{HSP} is valid.

Step 5: Data sharing

If σ_{HSP} is valid, the HSP and *ID*^{*i*} perform as follows.

Algorithm 2: The Smart Contract **Structure** Pseudonym uint256 *address* uint256 *x* uint256[] M; uint 256 [] ID; **uint** cnt = 0 ; **Pseudonym[]** PS; $/*$ Invoked by PSdonyms to verify proof **Function** verify Tx (pi), **uint256** x) **if** *proof is valid* **then for** $i = 0$; $i \le i \le int$; $i + 4$ **do if** $PS[i].x == x$ **then return** false; **end** PS[cnt]. *address* = *msg*.*sender*; PS[cnt]. $x = x$; $cnt++;$ **return** true; **end return** false; $/*$ Invoked by pseudonyms to add devices **Function** addDevices(*Mi*, *IDi*) **for** $i = 0$; $i \le i \le int$; $i + 4$ **do if** *PS[i]* == *msg.sender* **then** $PS[i].M.add(M_i);$ PS[i].ID.add(*IDi*); **return** true; **end end return** false; $/*$ Invoked by pseudonyms to remove devices **Function** removeDevices(*Mi*) **for** $i = 0$; $i \le i \le int$; $i + 4$ **do if** *PS[i]* == *msg.sender* **then** PS[i].M.delete(*Mi*); PS[i].ID.delete(*IDi*); **end return** true; **end return** false; $/*$ Invoked by the HSP to query for devices $*/$ **Function** query(*Mi*) **for** $i = 0$; $i \le i \le int$; $i + 4$ **do if** *PS[i].M contains Mⁱ* **then return** true; **end return** false

- *ID*^{*i*} computes $K_i = M_{HSP}^a \pmod{p}$ and the HSP computes $K_i = M_i^b \pmod{p}$.
- Using symmetric-key ciphers under K_i , ID_i and the HSP securely share data. This step corresponds to *(5) Data sharing* in Fig. [2.](#page-5-0)
- Finally, if PS_i wants to use ID_i no longer, it can remove ID_i by calling the function **RemoveDevices**() with input *Mⁱ* .

Fig. [3](#page-7-0) describes the flowchart of the proposed system.

FIGURE 3. Flowchart of the proposed system.

V. SECURITY

A. SECURITY REQUIREMENTS

Based on the existing literature [5], [10] in Section II, a secure privacy-preserving health data-sharing system between IoT devices and HSP must meet the following security requirements.

- *User anonymity:* after the initialization phase, the identities of users should be hidden from all other parties while using health services, including the HSP and outside adversaries. It means that it should be difficult for an adversary to gain any information on a user identity from all transactions except the initialization phase.
- *Authentication:* only IoT devices from authorized users can join the system and use healthcare services. This means that it should be difficult for an adversary to illegitimately register either an identity or IoT device to the HSP, even though collusion attacks are allowed.
- *Confidentiality:* health data between IoT devices and the HSP should be transmitted in a secure channel. This means that it should be difficult for an adversary to gain any information on shared health data.

B. SECURITY ANALYSIS

This section analyzes the security of the proposed system and demonstrates that all security requirements above are achieved.

1) ANONYMITY

In the service initialization phase, U_i sends h_i to the HSP in an insecure channel. To provide user anonymity in subsequent phases, any information on the specific *hⁱ* (and the corresponding *mi*) should be hidden from an adversary, including the HSP.

Theorem 1: If the hash function H is collision-resistant, and the zk-SNARK scheme satisfies the zero-knowledge property, the proposed system provides anonymity.

Proof: We create a series of games from Game₀ to $Game_F$.

• Game₀ is a real game where the adversary, A , tries breaking the anonymity in real attack scenarios.

• Game₁ is the same as Game₀, except that no collision of *H* occurs. In this case, assuming that *H* is collisionresistant, Game₁ is indistinguishable from Game₀. Because the collision-resistance implies onewayness of H , it is also infeasible for A to find a pre-image m_i of the hash value h_i .

• Game₂ is the same as Game₁, except that the pseudonym with respect to U_i , PS_i is chosen regardless of U_i and *hⁱ* . Because *PSⁱ* is just a pseudonym generated by a hash output value, it does not link to U_i , meaning that $Game_2$ is identical to $Game₁$.

• Game₃ is the same as Game₂, except that π _{*i*} presented in the authentication phase (numbered (3) in Fig. [2\)](#page-5-0) between pseudonym PS_i and the SC is simulated, regardless of the relevant m_i (as a witness). In this case, because of the zero-knowledge property of the zk-SNARK scheme, Game₃ is indistinguishable from Game₂. Regarding π_i , the zero-knowledge property of the zk-SNARK shows that π_i does not leak any information on *mⁱ* , except for the fact that π_i is associated with one of users $\{U_j\}$ who sent $\{h_j\}$ values to the HSP in the initialization phase. Thus, the zero-knowledge

property ensures hiding which h_i (and thus m_i) PS_i uses to prove among the listed {*hj*} values.

• Game_F is the same as Game₃, except that ID_i is chosen regardless of regardless of *Uⁱ* and *hⁱ* . From our initial assumption that ID_i is not relevant to any user identity from the manufacturing stage, $Game_F$ is identical to $Game_3$.

Now, we see that $Game_F$ is the simulated game where any information on the target use U_i is not revealed from the viewpoint of A. In addition, in the subsequent phases (numbered (4.1) and (4.2) (4.2) in Fig. 2), a set of tokens ${M_i}$ and IoT devices' identities {*IDi*} do not disclose any information about their owner *Uⁱ* , because those values are calculated regardless of user-related values such as m_i and U_i . Instead, they link to the owner's pseudonym PS_i because the pseudonym is used to register devices and tokens to the SC. Hence, using these devices to share data with the HSP does not disclose any information about the user U_i . .

2) PSEUDONYM AUTHENTICATION

In the anonymous authentication phase (numbered (3) in Fig. [2\)](#page-5-0), the goal of an adversary is to illegitimately register its pseudonym to the SC, although collusion attacks on witnesses are allowed. These attacks are inevitable (1) when A registers its pseudonym instead of an honest user, and (2) when A registers two pseudonyms under the same *hⁱ* , knowing the corresponding witness *wⁱ* .

Theorem 2: If the hash function H is collision-resistant, the zk-SNARK scheme satisfies completeness and soundness properties, and the blockchain is immutable, the proposed system provides pseudonym authentication.

Proof: We create a series of games from $Game_0$ to $Game_F$.

• Game₀ is a real game where the adversary, A , tries breaking the pseudonym authentication in real attack scenarios.

• Game₁ is the same as Game₀, except that no collision of *H* occurs. Assuming that *H* is collision-resistant, Game₁ is indistinguishable from Game₀. As before, the collision-resistance implies that it is infeasible for A to find a preimage *mⁱ* of the hash value *hⁱ* .

• Game₂ is the same as Game₁, except that the SC on the blockchain is unchanged. Because of the immutability property of the blockchain network, Game₂ is indistinguishable from $Game_1$. In this case, A cannot change the verification key on the SC, meaning that the hash values $\{h_i\}$ embedded in the SC cannot be changed by A .

• Game₃ is the same as Game₂, except that A succeeds at generating a zk-SNARK proof π without using those $\{h_i\}$ in the SC. Because of the completeness property of zk-SNARK, Game₃ is indistinguishable from Game₂. In this case, A cannot generate an accepting proof, based on one or more new and nonregistered {*hi*} values.

• Game₄ is the same as Game₃, except that A succeeds at generating a zk-SNARK proof π without a witness corresponding to the target *hⁱ* embedded in the SC. Because of the soundness property of zk-SNARK, Game₄

is indistinguishable from $Game_3$. In this case, A cannot generate an accepting proof, even if A is given all witnesses but the target *mⁱ* .

• Game_F is the same as Game₄, except that A succeeds at registering two pseudonyms under the same *hⁱ* , knowing the relevant witness m_i . Because of the soundness property of zk-SNARK and the deterministic public input \hat{h}_i , Game_F is indistinguishable from Game₄.

To distinguish between Game_F to Game₄. A shares its m_i with others, allowing illegitimate users to use services from the HSP without fair payment. However, as mentioned in Step 3 of Section IV, A is forced to enter two inputs *mⁱ* and \hat{h}_i to circuit *C*. If $H(m_i)$ is not listed in circuit *C* or $\hat{h}_i \neq$ $H(m_i + 1)$, the resulting proof is not correctly verified. Under the assumption that *H* is collision-resistant, \hat{h}_i is uniquely determined by m_i . This means that if m_i is the same, the values of \hat{h}_i must be the same. Thus, \hat{h}_i can be used as a factor for detecting that A reuses its secret m_i . This is because the zk-SNARK Verify algorithm requires (VK, \vec{x}_i , π_i) as input and \vec{x}_i contains \hat{h}_i . If the given \hat{h}_i was used beforehand, the Verify algorithm can reject any proof with the same \vec{x}_i . Therefore, the SC will never additionally authenticate malicious users by colluding to share their witnesses $\{m_i\}$. As a result, in Game_F, the probability that A breaks the pseudonym authentication becomes negligible.

3) IoT DEVICE AUTHENTICATION AND CONFIDENTIALITY

This phase corresponds to Steps 4 and 5 in our scheme. The goal of an adversary, A , is to illegitimately authenticate its IoT devices to the HSP or try gaining any information on health data transmitted between an IoT device and the HSP. Notably, to protect users' privacy, we assumed that IoT devices do not associate with users' identities.

Theorem 3: If the underlying digital signature schemes are secure (i.e., unforgeable against chosen message attacks), the blockchain is immutable, DDH problem is difficult to solve, and the underlying SKE scheme is secure, the proposed system provides IoT device authentication and confidentiality (in Steps 4 and 5).

Proof: We also create a series of games from $Game_0$ to Game_F, and prove that the probability that A breaks either the IoT device authentication or confidentiality becomes negligible.

• Game₀ is the real game where A tries breaking one of those properties in real attack scenarios.

• Game₁ is similar to Game₀, except that A succeeds at registering an unauthorized device *ID* under the pseudonym PS_i of an honest user U_i . Unless A breaks the unforgeability of an underlying signature scheme, it is infeasible for A to convince the HSP that A has a legitimate device *ID* under *PSⁱ* . Thus, under the unforgeability of the digital signature scheme, $Game_1$ is indistinguishable from $Game_0$.

• Game₂ is the same as Game₁, except that the SC on the blockchain is unchanged. As before, under the immutability property of the blockchain network, Game₂ is

indistinguishable from $Game_1$. In this case, A cannot change the pairs $\{(ID_i, M_i)\}$ stored on the SC.

• Game₃ is the same as Game₂, except that $\mathcal A$ succeeds at modifying a transaction of **query** (M_i) issued by the HSP. Under the unforgeability of the digital signature scheme, Game₃ is indistinguishable from Game₂. We see that, in Game₃, A cannot change two tokens authenticated by a device and the HSP. Thus, even if A sends (ID_i, M_i) to the HSP as the key exchange request, which is possible because (ID_i, M_i) is transmitted in an insecure channel, such a request will be rejected because of the response to the function **query** (M_i) . This explains why the proposed system prevents the man-in-the-middle attack against the DHKE protocol.

• Game₄ is the same as Game₃, except that A succeeds in distinguishing whether a shared key between an IoT device and the HSP is normal or random. Under the DDH assumption, Game₄ is indistinguishable from Game₃.

• Game_F is the same as Game₄, except that A gains any information on transmitted health data. Under the security of the underlying SKE scheme, $Game_F$ is indistinguishable from $Game_4$.

In Game_F, it is difficult for $\mathcal A$ to launch an eavesdropping attack against transmitted health data. To prevent a stronger version of a chosen-ciphertext attack, we can also consider SKE schemes secure against chosen-ciphertext attacks. As a result, Steps 4 and 5 do not leak any information on a user's identity, bacause (ID_i, M_i) is associated only with their pseudonym *PSⁱ* . В последните поставите на пример, на приме
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VI. PERFORMANCE EVALUATION

The proposed system is implemented in a device with Intel-Core i7 8700k processor @3700 MHz clock frequency and 32 Gb RAM. The operating system is Windows 10 pro. The blockchain network is the testnet called ''Ropsten.'' The SC is compiled and run in Ethereum Remix on Google Chrome web browser version 95.0.4638.69 (Official Build) (64-bit). The zk-SNARK is initialized using the package, Zokrates [4], version 0.7.10 in WSL 2 Ubuntu 18.04 LTS. The hash function in circuit C is MiMC [25] and the underlying zk-SNARK scheme is Pinocchio [21] based on the elliptic curve of ALT-BN128 [26], [27]. Among several zk-SNARK schemes, such as G16 [28], GM17 [22], and Marlin [29], we chose the Pinocchio scheme because it is nonmalleable and has the lowest computation cost. The MiMC hash function was selected instead of SHA256 because MiMC is designed for zk-SNARK and does not require as much computation power as SHA256.

In our testing system, we use the following five functions of the Zokrates toolbox. The first two functions correspond to the zk-SNARK Setup and KeyGen algorithms, respectively, the second two functions correspond to the zk-SNARK proof algorithm, and the last function corresponds to the zk-SNARK Verify algorithm.

• *compile*(C) \rightarrow *P*, where *C* is an arithmetic circuit and *P* is a set of polynomials.

TABLE 4. Simulation parameters for zk-SNARK scheme.

- *setup*(P) \rightarrow (PK , VK), where PK and VK are the proving key and the verification key, respectively.
- *compute witness*(P, m, x) $\rightarrow w$, where *m* is a prover's secret, *x* is a public statement, and *w* is a witness.
- *generate proof* (*w*, PK) $\rightarrow \pi$, where π is a proof.
- *verify*(\forall K, π , x) \rightarrow *b*, where *b* indicates the result of the verification (PASS or FAILED).

Our implementation comprises two parts. The first one is to measure the timings for the zk-SNARK KeyGen, Proof, and Verify algorithms which are performed with different numbers of users. Four circuits are created to accommodate 1,000, 5,000, 10,000, and 20,000 users. The performance evaluation parameters for zk-SNARK are summarized in Table [4.](#page-9-0) The second one is to calculate the transaction fees for invoking the functions embedded in the SC. With respect to the zk-SNARK verification function, the proof size and the verification cost are almost the same regardless of the different number of users, so our second implementation is tested only for the SC generated for 20,000 users. The performance evaluation parameters for interaction with SC are summarized in Table [6](#page-10-0)

A. ZK-SNARK PERFORMANCE

To initialize an underlying zk-SNARK scheme, the HSP performs the zk-SNARK Setup and KeyGen algorithms, which can be implemented by running the following command lines. Notably, zk-SNARK parameters are selected randomly by Zokrates (depending on a security parameter).

- 1) *''zokrates compile -i circuit-C.zok -o output''* compiles circuit *C* from a computer code to the polynomial type. Circuit *C* is stored as a *.zok* file, named *circuit-C.zok*, and the polynomials are stored in a file named *output*.
- 2) *''zokrates setup -i output -s pghr13 -b libsnark''* takes polynomials from *output* and generates a pair of PK and VK, using the Pinocchio scheme and backend Libsnark.

To generate proof, the user performs the zk-SNARK Proof algorithm, which is implemented by running the following command lines.

1) *''zokrates compute-witness -a* <*secret*> <*public statement*> *-i output''* computes a witness, using polynomials from *output*, a user's secret and public statement. We assume that the HSP sends *output* to all their valid users as in Step 2 of Section IV.

TABLE 5. zk-SNARK performance (unit: second).

TABLE 6. Simulation parameters for smart contract.

2) *''zokrates generate-proof -s pghr13 -b libsnark''* uses the witness and PK to generate proof using the Pinocchio scheme and backend Libsnark.

We consider the zk-SNARK Verify algorithm by running the following command line.

1) *''zokrates verify -s pghr13 -b libsnark''* returns "PASS" or "FAILED" indicating a valid or an invalid proof, respectively.

Table [5](#page-10-1) presents the performance of the zk-SNARK initialization (including Setup and KeyGen), proof generation, and verification, with respect to computational timings. As the number of users increases, the initialization and proof generation times increase. Moreover the verification time is almost constant regardless of the number of users.

B. INTERACTIONS WITH THE SC

In this subsection, we measure the cost of interacting with the SC. Recall that all interactions with the SC are in the form of transactions, and processing a transaction costs transaction fees. These fees depend on the size of the SC and the complexity of the code (embedded in the SC). Calling a complex function with a large size of parameters needs a higher fee than a simple function with a small size of parameters.

We first create two addresses on the Ethereum network.

- 0x642fb237b06919393C1B8766BDEA4Fe57d3cB8eB
- for the pseudonym of the user. • 0x4D885Cb3a8155bc46f8622d1A2C132F6B5c17dE2
- for the HSP.

We create an SC with functions described in Algorithm 2. Afterward, it is deployed into the blockchain network with the address 0xF8416C862f9D127efBC0fa6F765775CA14A 81755 As shown in Fig. [4,](#page-10-2) the cost for deploying this SC is *2,024,075 gas*. The interface of the SC is shown in Fig. [10,](#page-12-0)

to

gas

[block: txIndex:] from: 0x4D8...17dE2 to: Verifier. (constructor) value: 0 wei data: 0x608...70033 logs: 0 hash: status true Transaction mined and execution succeed transaction hash 0x6a5112460b504750d0cca07866b250e5f5ad153a599 d19763ca0d60d300fb1b7 L from 0x4D885Cb3a8155bc46f8622d1A2C132F6B5c17dE2 Verifier. (constructor) \Box 2024075 gas **门** transaction cost 2024075 gas ி 0x6a5112460b504750d0cca07866b250e5f5ad153a599 hash

FIGURE 4. Smart contract's deployment.

FIGURE 5. Transaction log of VerifyTx().

containing four functions and a public variable *''qresult''* to show the result of **query()**.

With the SC's address, the pseudonym of the user sends its proof and public statement to the SC to invoke the function **verifyTx()**. The result is shown in Fig. [5.](#page-10-3) Next, the pseudonym adds its *IDⁱ* and *Mⁱ* to the SC using the function **addDevices()**. The result of this function call is shown in Fig. [6.](#page-11-0) Afterward, (ID_i, M_i) is sent to the HSP to start the key exchange process. Then, the HSP uses the function **query()** to check the authenticity of M_i as in Fig. [7.](#page-11-1) The query result is shown in Fig. [9.](#page-11-2) Finally the pseudonym will remove its device using the function **removeDevices()**. The result is shown in Fig. [8.](#page-11-3) The transaction fees of running the above functions are shown in Table [7.](#page-11-4)

In Fig. [5,](#page-10-3) the field *from* indicates the address of the function caller. In this case, it becomes the address of the pseudonym, 0x642fb237b06919393C1B8766BDEA4Fe57d 3cB8eB. The field *to* indicates the destination of the function

FIGURE 6. Transaction log of addDevice().

FIGURE 7. Transaction log of query().

call, which is ''Verifier.verifyTx()'' of the SC with the address 0xF8416C862f9D127efBC0fa6F765775CA14A81755. The function's inputs are the zk-SNARK proof (comprising elliptic curve points) and the public statement of the pseudonym (i.e., user). This transaction costs 818,785 gas.

In Fig. [6,](#page-11-0) the function **addDevices()** takes as inputs two 256-bit unsigned integers. Under the assumption that the DHKE parameters (g, p) are shared in advance by all entities, the first input is calculated as M_i = 26703134204753136919541374102937917861. In addition, the second input is $ID_i = 941145$ as the identity of an IoT device. In this case, the transaction costs 137,898 gas. These two inputs (M_i, ID_i) will be linked to the pseudonym and stored in the SC as in Table [3.](#page-6-0)

FIGURE 8. Transaction log of removeDevice().

FIGURE 9. Query result.

TABLE 7. Transaction fees data in this table are calculated with a gas price of 0.0000000025 ETH, 1 ETH = 3762 USD.

In Fig. [7,](#page-11-1) the queried input M_i is in the field **decoded input**. The transaction fee is then 73,332 gas. The result of the function **query** is shown in Fig. [9.](#page-11-2) It contains two parameters, *Mⁱ* and *IDⁱ* , which are identical to those added by the pseudonym in Fig. [6.](#page-11-0) It means that (M_i, ID_i) is registered by the legitimate pseudonym. The HSP uses *Mⁱ* to perform the DHKE process with *IDⁱ* .

At this moment, the average transaction fee on the Ethereum network is approximately 5.71 USD. Regarding

FIGURE 10. Smart contract's interface.

the transaction fee paid by the HSP, the deployment costs 19.04 USD, but the function **query()** costs only 0.39 USD. Because the deployment is implemented just once and the function **query()** is called many times, the average transaction fee for the HSP can be calculated as follows:

$$
\frac{D+wQ}{1+w},
$$

where *D* is the deployment cost, *O* is the cost of **query**(), and *w* is the number of **query**() queries. In the case when $w =$ 20,000, the average transaction fee for the HSP is 0.391 USD. Regarding the transaction fee paid by the user, the average transaction fee can be calculated as follows:

$$
\frac{V + tA + mR}{1 + t + m}
$$

,

where *V* is the cost of **verifyTx()**, which is called once, *A* is the cost of **addDevices()**, *R* is the cost of **removeDevices()**, *t* is the number of registered devices and *m* is the number of removed devices. If a user registers for five devices and removes two devices, the average transaction fee for the user is 1.94 USD.

VII. LIMITATIONS AND FUTURE WORK

A. LIMITATIONS

Although the proposed system's privacy is enhanced compared with the existing healthcare systems. it still has limitations.

First, the proposed system uses zk-SNARK as an anonymous authentication technique, incurring a computational burden for users. Despite the verification being fast, the proof generation phase requires much computational power. Hence, it is unsuitable for mobile devices, such as smartphones; users need to use a computer to create their proof instead.

Second, we implemented the proposed system in the Ethereum blockchain network. It results in low performance and high costs in the device management phase. This limitation stems from the core techniques of Ethereum. Ethereum employs the Ethereum Virtual Machine (EVM) blockchain network in which, all nodes must run an EVM separately.

for the low TPS is the PoW consensus algorithm. Further, the SC language used in Ethereum is Solidity, an object-oriented programming language that depends on the internal state or data on storage and other components. This property results in many unexpected behaviors for function logic in the SC. In other words, the SC can behave differently from what its developer expected or foresaw. B. FUTURE WORK Based on the above limitations, future works can follow

the direction of decreasing the computational burden of zk-SNARK or inventing a new cryptography technique for anonymous authentication, wherein both proof generation and verification are fast and efficient. Another direction is moving the proposed system to a non-EVM blockchain network, or a network using a PoS algorithm such as Cardano [30] or Polkadot [31]. In Cardano, they use a PoS algorithm called Ouroboros Hydra [32], which supports up to 1,000,000 TPS theoretically. They also use Haskell, a functional programming language, for SCs to reduce unexpected behaviors and make the SC more stable and predictable.

Although it makes the network more consistent and faulttolerant, EVM slows down the network; hence,the number of transactions per second (TPS) is limited. Another reason

VIII. CONCLUSION

In this study, we proposed a system for anonymous secured health data-sharing between IoT devices and the HSP. The proposed system allows users to hide their identities and encrypt data sent from their IoT devices while using the health services. The zk-SNARK scheme, the DHKE algorithm, and digital signature algorithm are combined to create an anonymous authentication protocol and a secure data sharing channel between IoT devices and the HSP. This scheme also removes the necessity of the third party for countering collusion attacks in the anonymous authentication phase by detecting whether users' witness is used more than once. The blockchain and the SC are used to ensure that anonymous authentication is fair and independent of any single party.

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DUC ANH LUONG received the bachelor's degree in computer science from Sangmyung University, Seoul, South Korea, in 2020, where he is currently pursuing the M.S. degree in computer science. His research interests include blockchain technology, the IoT, and information security.

JONG HWAN PARK received the B.S. degree from the Department of Mathematics, Korea University, Seoul, South Korea, in 1999, and the M.S. and Ph.D. degrees from the Graduate School of Information Security, Korea University, Seoul, in 2004 and 2008, respectively.

He was a Research Professor at Kyung Hee University, from 2009 to 2011, and a Research Professor at Korea University, from 2011 to 2013. Since 2013, he has been an Assistant Professor

with the Department of Computer Science, Sangmyung University, Seoul. His research interests include functional encryption, broadcast encryption, authenticated encryption, and various cryptographic protocols.

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