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# CANFIS: A Chaos Adaptive Neural Fuzzy Inference System for Workload Prediction in the Cloud

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**ABSTRACT** As cloud-based applications become increasingly solicited by companies and individuals, the competition between cloud providers that offer cloud services keeps increasing. To win this competition, cloud providers must provide sufficient computing resources that will satisfy users' demand for every request. Workload prediction has been investigated extensively to resolve this issue using various techniques. This paper presents a workload prediction method called CANFIS, which combines the Savitzky-Golay (SG) filter and Chaotic time series analysis with the Adaptive Neural Fuzzy Inference System (ANFIS) to make predictions of cloud workloads. The SG filter is used to clean data from noise and outliers, and chaotic analysis is used to investigate the chaotic nature of workload and to build the improved ANFIS model. The proposed method is evaluated using real workload traces from web applications (i.e., Wikipedia and NASA Kennedy traces) and cluster applications (i.e., CPU and Memory of Google cluster). Experimental results show that the proposed CANFIS model can improve prediction accuracy compared to existing techniques, including simple ANFIS, Auto-Regressive Integrated Moving Average (ARIMA), Support Vector Machine (SVM), Long Short Term Memory (LSTM), and Neural Networks based methods. A statistical analysis is also performed using the Friedman test, along with Finner post hoc analysis to verify the efficiency of the proposed prediction model.

**INDEX TERMS** Adaptive neuro-fuzzy inference system, chaos analysis, cloud application workloads, proactive technique, workload prediction.

## I. INTRODUCTION

Cloud computing is a powerful paradigm that has been evolving in the computing field for the last few years. It allows individuals and enterprises to deploy their applications, store their data and obtain access to various resources deployed in data centers that are held and managed by third-party cloud providers. All resources in the cloud are offered as on-demand services to users on a pay-as-you-go basis [1].

The number of companies and individuals willing to use cloud services increases every day due to the various advantages of the cloud, such as elasticity and on-demand scalability. However, due to this quick growth in the number of cloud users, some challenges related to efficient resource management in data centers have begun to arise. Cloud computing providers have to provide sufficient resources to manage the large and complex demands of users; otherwise, the Service Level Agreement (SLA) terms will be violated, and cloud

providers are likely to lose their customers. Overprovisioning resources is not the best solution, particularly when a period of low demand occurs because in that case, the excess of available resources will remain idle, wasting resources [2]. One possible solution to these under- and over-provisioning issues is to have prior knowledge of upcoming demand. Cloud providers can use this prior knowledge to perform proactive provisioning and scheduling of resources and consequently avoid SLA violations and maximize resource utilization [3].

### A. RESEARCH MOTIVATION AND CHALLENGES

As much as the prediction of cloud workload is the solution to the encountered problems in cloud resource management, it is a challenge by itself. The first major challenge in workload prediction is the presence of nonlinearity in workloads [4]; for example, demand at a given time interval could be on the order of millions of requests but zero or on the order of ten at the next time interval, which results in unexpected peak and slack periods in workload patterns. These sudden fluctuations in workload provoke under- or overestimation of required

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resources, which results in violation of SLA terms, extreme power consumption and resource wastage [5]. The second major challenge in workload prediction is the presence of nonrepeating patterns [6], [7]; for example, some patterns seem to be indistinguishable on regular workdays with the presence of peaks in the morning as well as in the afternoon and low demand at midnight, but they are not exactly the same. Therefore, finding a prediction method that can consider the aforementioned characteristics of cloud workloads can play an important role in improving the prediction accuracy.

## B. PROPOSED APPROACH

In this paper, we propose a prediction model that combines chaotic time series analysis and the ANFIS model to predict cloud workload.

First, chaotic time series analysis is used to show that the workload system has a chaotic nature. Chaos is one form of behavior displayed in nonlinear systems and is used to characterize the system's time behavior when that behavior does not exactly repeat itself (i.e., aperiodic) and appears to be noisy [8]. Once chaos is identified in the cloud workload, we can say that workload time series are nonlinear, deterministic and dynamic, and can be predicted on a short-term scale [9]. Therefore, we can use a nonlinear model to perform a short-term prediction of these chaotic workloads.

The ANFIS model [10] is an intelligent model that has the ability to approach complex nonlinear systems and has been explored extensively in the prediction of cloud workloads [11]–[13]. The good accuracy achieved by this model is encouraging. Thus, in this paper, we also use the ANFIS model to predict chaotic cloud workloads. To overcome the limitation of the ANFIS model regarding the selection of its inputs, this paper suggests the use of methods of chaotic time series analysis, the phase space reconstruction theory, to select these inputs.

## C. CONTRIBUTIONS

In brief, the major contributions of this paper are as follows:

- To clean data from possible outliers and noise while preserving the width and the peak of the signal, we use a smoothing method called the Savitzky-Golay filter [14].
- We examine the existence of chaos in cloud workloads using chaotic time series analysis.
- We predict chaotic workloads using an ANFIS model.
- We evaluate the performance of the proposed prediction method using various real workload traces collected from web and cluster applications

## D. ORGANIZATION OF THE PAPER

The remainder of this paper is structured as follows. The related work is given in Section II. In Section III, the proposed solution is explained in detail. Section IV evaluates the proposed prediction model using various real workload traces. Finally, Section VI concludes the paper.

## II. RELATED WORK

Workload prediction is a challenging issue that has attracted the attention of researchers in cloud computing for the last few years. This is due to its utility in the development of efficient resource provisioning strategies that can improve the overall performance of the cloud system. Many studies have investigated this issue, and each uses a different category of prediction model.

Amiri *et al.* [15] presented an exhaustive review of the application prediction methods in various aspects. They proposed a taxonomy for prediction methods that can examine the principal aspects and challenges of these methods. For statistical-based prediction models, Calheiros *et al.* [16] applied an ARIMA model to dynamically forecast and provision resources for SaaS providers. In [17], a seasonal ARMA model was used to forecast workload data up to 168 h forward using expert guidance for order optimization purposes. Roy *et al.* [18] predicted the workload using moving average, exponential moving average and ARMA models. Amekraz *et al.* [19] presented a strategy for ARMA model selection based on the Gaussianity feature of the workload. If the workload was non-Gaussian, a higher order ARMA model was applied, while if the workload was Gaussian, the second order ARMA model was used.

Regarding pattern matching-based techniques, Caron *et al.* [20] searched for patterns in the archival data that are similar to the current pattern to make predictions of the workload. In [21], the authors proposed an Euclidian distance-based string pattern matching algorithm to forecast the workload.

For machine learning-based models, Kumar *et al.* [22] proposed an artificial neural network based on self-adaptive differential evolution (SaDE) and showed that the proposed model outperformed the backpropagation trained neural network model of [23]. Cao *et al.* [24] used machine learning methods such as random forest to forecast server load using monitoring of historical data and showed that these methods outperform traditional time series methods in terms of prediction accuracy. In [4], a workload prediction framework was presented that combines a neural network model and supervised learning. An improvement of the differential evolution algorithm was performed to ameliorate the efficiency of learning of the prediction model. In [25], the authors presented a workload forecasting method based on the black-hole algorithm. The latter was used to train the neural network model. In [26], the authors proposed a self-directed workload forecasting method (SDWF) that captures the prediction error trend by calculating the deviation in recent predictions and used it to ameliorate the accuracy of future predictions. The authors developed an improved black-hole algorithm for the training of network neurons to achieve more accurate predictions. In [27], the authors proposed an LSTM neural network method that uses a two-dimensional time series instead of one to train the LSTM model. Singh *et al.* [28] presented an evolutionary quantum neural network (EQNN) for workload

TABLE 1. Review of works related to cloud workload prediction techniques.

Ref	Prediction technique	Workload dataset	Evaluation tools	Performance metrics
[4]	NN + Improved adaptive differential evolution algorithm	Nasa, Saskatchewan, and Google cluster	Jupyter notebook	RMSE, Training time, and Convergence speed
[11]	Ensemble model + Subtractive fuzzy clustering + Fuzzy Neural Network	Network traffic data	NA	MAE, MSE, and PRED
[16]	ARIMA	Wikipedia	Cloudsim	RMSD, NRMSE, MAD, and MAPE
[17]	Seasonal ARMA	achat-ville.com	Java	MAPE
[19]	Higher order statistics + ARMA model	Wikipedia	Matlab	MAPE and NRMSE
[20]	String matching	Animoto, LCG, NorduGrid, and SHARCNET	NA	UCSB metrics, minimum, maximum, median, and average percentage prediction error and Average runtime
[21]	String matching	Words count program, Computational tasks, Web applications, and memory consumption applications.	Aliyun	MAPE
[22]	NN + Self adaptive Differential Evolution algorithm (SaDE)	NASA and Saskatchewan	Matlab	RMSE, Convergence speed, and Training time
[26]	NN + Improved black-hole algorithm (SDWF)	NASA, Calgary, Saskatchewan, Google cluster, and PlanetLab	Matlab + SPSS	MSE, MAE, and MAPE
[27]	Improved LSTM	Shanghai Supercomputer Center	NA	MSE and speedup
[28]	Evolutionary Quantum Neural Network (EQNN)	Google cluster, PlanetLab, Nasa, Saskatchewan, AuverGrid, NorduGrid, and SharCNet	Python + STAC web platform	RMSE and MAE
[29]	ANFIS	Synthetic, and RuneScape	Matlab	MSE, RMSE, $R^2$
[32]	Sequential pattern mining	Synthetic workloads and Bitbrain	NA	Prediction precision
[34]	Bidirectional LSTM + Gated Recurrent Unit	Bitbrains	Google Colaboratory	MSE, RMSE, MAE, MAPE
[36]	Base predictors (AR and Gray model) + Deep belief network + Particle swarm optimization	Google cluster	Matlab	MAE, MSE, MAPE, and error
[37]	Ensemble model : AR, ARIMA, LR, SVM...	Google cluster, Facebook, Wikipedia, Grid5000, NorduGrid, AuverGrid, SHARCNet, and LCG	Python	RMSE
[38]	SVM and LR	Google cluster	NA	MRPE and Cumulative Relative Error
[39]	Learning Automata theory	PlanetLab	R software	Execution time, RMSD, Error Ratio, and Absolute Error
[40]	Bayesian information + Smooth filters	PlanetLab	R software	MAE, RMSE, MAPE, and MASE
Proposed	SG filter + Chaotic time series analysis + ANFIS	Wikipedia, Nasa, and Google cluster	Matlab + STAC web platform	RMSE, MAE, MAPE, and $R^2$

prediction. The method uses quantum computing to encode workload information into qubits. The weight of the qubits is adjusted using the rotation effects of the controlled-NOT gate serve activation function. Also, the qubit weights are optimized using the self-balanced adaptive differential evolution (SB-ADE) algorithm. In [29], the authors used an ANFIS

model to forecast future workload and a fuzzy decision tree algorithm to determine the suitable number of resources to allocate in the Massively Multiplayer Online Games applications. The results of simulations indicate that their proposed approach outperforms existing methods in terms of accuracy and performance. Amiri *et al.* [30] presented a provisioning

**TABLE 2. Advantages and disadvantages of the related works.**

Ref	Advantages	Disadvantages
[4]	Faster convergence compared to self adaptive differential evolution algorithm	Higher complexity than self adaptive differential evolution algorithm
[11]	Decrease the amount of calculation	May increase time delay and Execution time
[16] , [17]	Simplicity	Needs to be retrained to adapt to workload changes + Unsuitable for nonlinear and non Gaussian workloads
[19]	Suitable for non Gaussian workloads	Needs to be retrained to adapt to workload changes + Unsuitable for nonlinear and non Gaussian workloads
[20], [21]	Fast	Needs preprocessing + Selection of the length of pattern
[22]	Accuracy improvement compared to Back-propagation	Static prediction approach
[26]	Accuracy improvement compared to Black-hole, SaDE, and Back-propagation	Higher time complexity
[27]	Accuracy improvement compared to LSTM in large-scale computing systems	No information whether it is convenient to predict CPU or other workloads
[28]	Higher and stable prediction accuracy	Higher complexity due to qubits generation and processing
[29]	Accuracy improvement	Selection of model parameters
[32]	No assumptions about the behavior of the workload	Inability to adapt to the workload changes.
[34]	Accuracy improvement compared to ARIMA, LSTM, GRU, and Bi-LSTM	High training time
[36]	Accuracy improvement	Time consuming
[37]	Real-time learning and acceptable accuracy	Higher complexity than single machine learning models
[38]	Accuracy improvement	Complexity increasing
[39]	Accuracy improvement and less execution time compared to Genetic algorithm	Prediction of one type of resources (i.e., CPU)
[40]	Simplicity + Accuracy improvement compared to Genetic algorithm and Ensemble model	Inability to adapt to the workload changes

method based on workload prediction. The prediction was performed using a neural network model, while the estimation of the number of physical machines was performed using the Q learning technique. The results of simulations show that their proposal had an important effect on the convergence speed and decreases the learning time. In [31], the authors presented a new Clustered Induced Ordered Weighted Averaging (IOWA) Adaptive Neuro-Fuzzy Inference System (ANFIS) model to predict QoS. Their proposed model could reduce the dimension of data and manage the nonlinear relationship of the QoS dataset.

For sequential pattern mining-based prediction models, Amiri *et al.* [32] presented for the first time a sequential pattern mining-based prediction method to forecast future cloud demand. This method, called POSITING, could extract all behavioral patterns of workloads by investigating the correlation between resources without making assumptions about the behavior of the workload. Therefore, the proposed model could be used for various types of workloads. Although the proposed model yields good results, it cannot adapt to workload changes. Thus, in [5], the authors suggested a forecasting model that combines episode mining with online learning (RELENTING) to ameliorate POSITING. Their model was supposed to fulfill the adaptability characteristic

of the prediction models along with accuracy. To improve the space complexity of the pattern mining technique, Amiri *et al.* [33] defined redundant occurrences of patterns and proved that eliminating them does not cause any loss of information. Using PROSPER, which is an imPROved RepresentatiON of the Stream based on PointERs, the authors developed the algorithms in [32] to identify redundant occurrences and eliminate them. In addition to reducing time complexity, the authors proposed a solution to improve the space complexity of the pattern mining technique. The suggested solution consists of a data structure called the closed pattern backward tree (CPBT), which can extract and store closed patterns directly without processing or storing all the possible closed patterns.

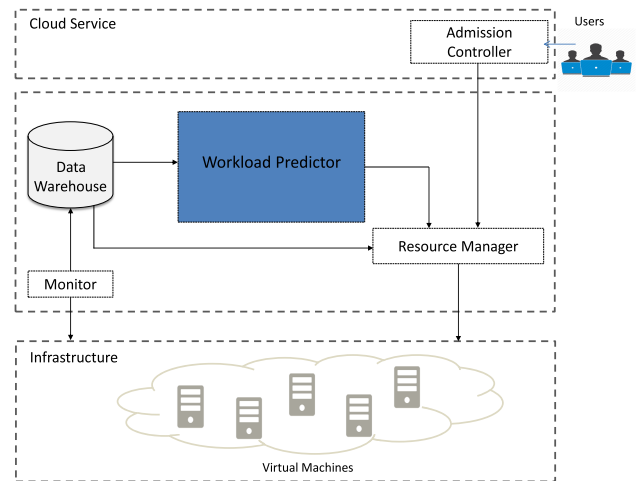
A combination of two or more of the previously mentioned models (i.e., statistical, machine learning models...) is also widely used by several researchers. Notably, Karim *et al.* [34] presented a hybrid prediction model called BHyPreC, which is based on a recurrent neural network, to predict the CPU usage workload of a cloud's virtual machine. The proposed model includes a gated recurrent unit (GRU) and bidirectional long short-term memory (LSTM). Gong *et al.* [35] presented a scaling mechanism called PRESS that uses fast Fourier transform (FFT) to extract repeating patterns that

will be used during workload predictions. If no repeating patterns are found, PRESS uses a statistical state-driven technique and employs a Markov chain to forecast demand. Wen *et al.* [36] used particle swarm optimization with a deep belief network (DBN) to predict CPU usage. After preprocessing the data, the AR and gray models are used as base prediction methods and trained to give additional input information for the DBN.

Regarding ensemble model-based prediction approaches, Kim *et al.* [37] used an ensemble model based on many predictors to make forecasts for real-world workloads. The authors used a total of eight predictors in their model, including robust stepwise linear regression (RSLR), support vector machine (SVM), and ARIMA models. In [38], the authors made use of workload characteristics, including burstiness, to select a convenient workload forecasting model among linear regression (LR) and SVM models. Rahmanian *et al.* [39] suggested a prediction approach for CPU utilization that uses a combination of many baseline prediction models. The method uses the theory of learning automata to compute the weight for each of the prediction models used. Tofighy *et al.* [40] presented an ensemble model for load prediction based on the Bayesian information criterion (BIC) and smooth filters. The BIC is used to select the best element model in every time slot using a history of resource usage. Smooth filters are used to reduce the negative effect of outliers in the data. Ghobaei-Arani [41] proposed a workload classification method that combines a biogeography-based optimization (BBO) technique with K-means clustering to classify workloads according to QoS needs. Also, the author used the Bayesian learning method to decide proper resource provisioning operations to meet the requirements of the QoS. Etemadi *et al.* [42] proposed a Bayesian learning-based provisioning technique for fog computing that enables dynamic scaling of resources based on the predicted workload. Workload prediction is then performed using several prediction models, including the MA, ARMA, ARIMA, and AR models. The appropriate model that will be used to make predictions corresponds to the model with the minimum error values. Evaluation results demonstrated that their proposed method could reduce the cost and delay violations and increase the use of fog nodes. Chen *et al.* [11] proposed an adaptive forecasting method that uses an improved fuzzy neural network model. The latter was constructed using an ensemble model and subtractive fuzzy clustering. Although ensemble model-based approaches are effective in enhancing the accuracy of workload prediction, they are time-consuming compared to single-method approaches.

In the proposed approach, we use the SG filter to clean the historical data and the Neuro-Fuzzy Inference System with chaos theory (CANFIS) to forecast the future demand. Chaos theory provides a new and different perspective, from which we can visualize, analyze and understand workloads in the cloud.

Table 1 summarizes relevant works related to workload prediction in the cloud based on four features: (1) prediction



**FIGURE 1.** The global architecture of the cloud resource management system.

technique, (2) workload dataset, (3) evaluation tools, and (4) performance metrics. Also, Table 2 describes some of the advantages and disadvantages of these related works.

### III. PROPOSED PREDICTION STRATEGY

This section presents the proposed workload prediction model. First, the general architecture of the cloud resource management system is introduced, and the different interactions between its primary entities are briefly described. Next, the structure of the proposed workload predictor is explained in detail. Finally, the time complexity of the proposed predictor is given.

#### A. CLOUD RESOURCE MANAGEMENT SYSTEM

In this section, we present the global architecture of the cloud resource management system. The primary components of this architecture are shown in Figure 1. The different interactions between these components are shown in Figure 2.

As shown in Figure 1, the cloud resource management system consists of five major modules: the admission controller, the data warehouse, the monitor, the workload predictor, and the resource manager module. We will now explain the functionalities of these modules as well as the different interactions between them.

At the beginning of each time slot, the monitor module collects information about requests (e.g., amount of requests, response time, etc.) and the state of the computing resources (e.g., CPU, RAM, storage, etc.) from the cloud infrastructure. The collected information is stored in the data warehouse. Then, this information is fed into the workload predictor module to predict future demand. The contributions of this paper concern the workload predictor module, where the proposed structure will be explained in detail in the next section. The results of the prediction given by the workload predictor module are sent to the resource manager module. The resource manager uses the information about the state of

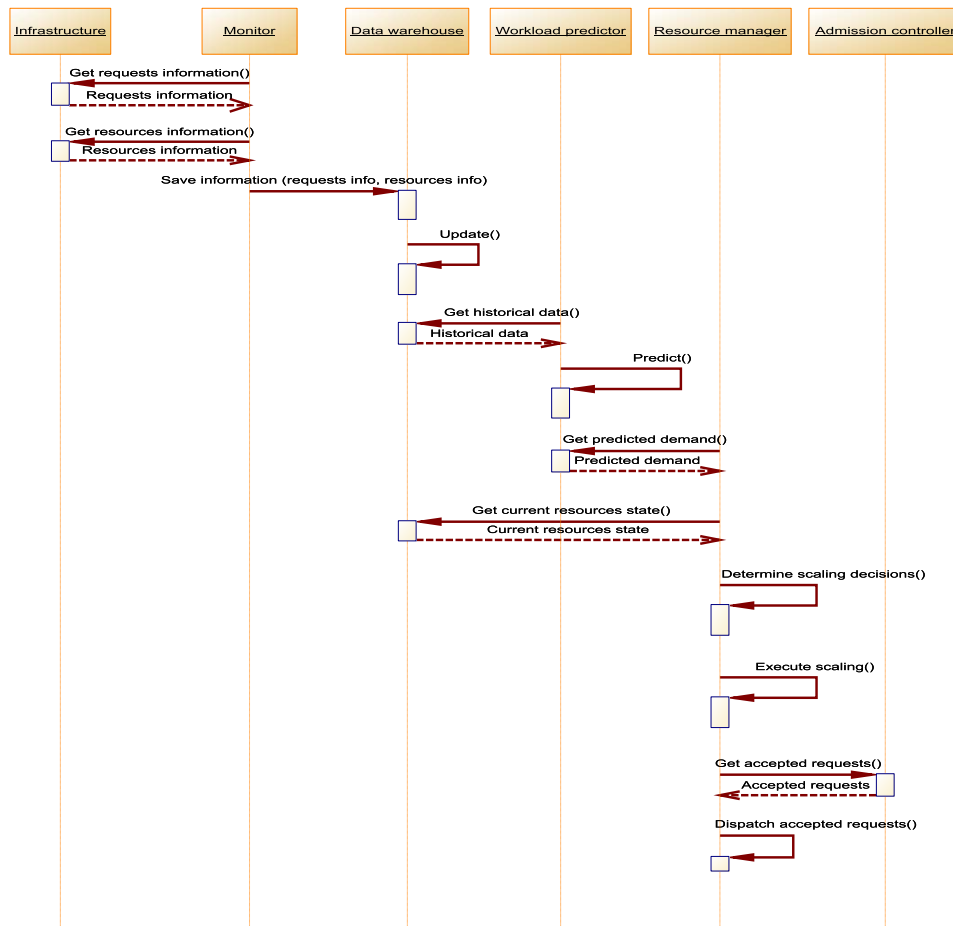


FIGURE 2. Sequence diagram for cloud resource management system.

the computing resources that are stored in the data warehouse and the prediction results to determine the scaling decisions (i.e., scale up, scale down, or no action) that should be taken. Next, the resource manager transfers the scaling decisions to the cloud infrastructure and makes the required changes. Also, the resource manager module will dispatch the accepted user requests received from the admission controller to the appropriate VMs according to different strategies (i.e., round robin, first fit, etc.). Finally, the users’ requests are executed by the selected VMs.

**B. PROPOSED WORKLOAD PREDICTION METHOD**

This section presents the proposed workload prediction method. Primarily, the method consists of three steps, as shown in Figure 3. First, historical data are processed using a set of preprocessing operations, including extraction and aggregation, cleaning with an SG filter, and normalization. The resulting processed workload time series are fed to the chaotic time series analysis module to identify the chaotic characteristics of the workload. This analysis consists of reconstructing the phase space and calculating the MLE to verify the chaotic nature of the workload. Finally, the inputs

of the CANFIS model are selected based on the chaotic study that is performed in the previous module. The CANFIS model is then built, trained and tested, and the prediction results are forwarded to the resource manager. A detailed explanation of every part of the proposed workload prediction method is given in the rest of this section.

1) PREPROCESSING DATA

In this section, we present the preprocessing steps in detail, including extraction and aggregation, cleaning, and normalization.

*a: EXTRACTION AND AGGREGATION*

Before analyzing the chaotic features of the cloud workload, the data in the proposed model go through three preprocessing steps. The first step is data extraction and aggregation. During this step, the desired fields in the historical workload data (e.g., CPU usage, memory usage, number of requests) are extracted and aggregated into equally spaced time intervals.

In this paper, we focus on the number of requests for web workloads and CPU usage and memory usage for cluster

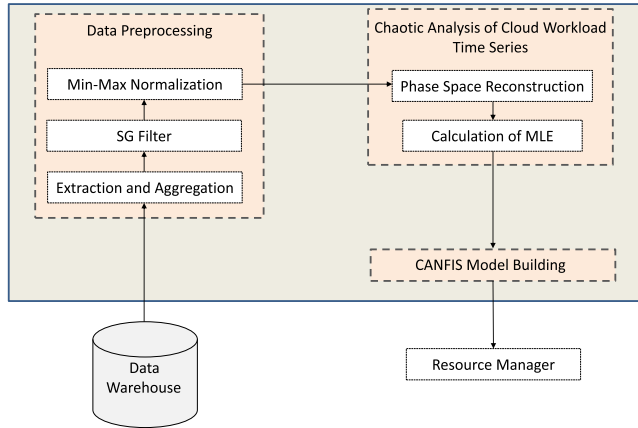


FIGURE 3. Overview of the proposed workload prediction method.

workload. Next, the workload is presented in the form of a time series  $X = (x_1, x_2, \dots, x_t)$ , which is an arrangement of workload values in chronological order with fixed time intervals, and the workload value at time  $t$  is  $x_t$ . In this case, the time intervals are 60min and 5min for web and cluster workloads, respectively.

b: SG FILTER

The resulting workload time series data from the previous part are likely to be contaminated by noise caused by some abnormal events, such as machine failures [43]. Therefore, they must be cleaned before applying the prediction model. In this paper, we use the SG filter [14] to clean data from possible outliers and noise.

The SG filter is a smoothing method that uses convolution and polynomial least squares approximation to remove noise while preserving the width and the peak of the original signal. According to [43], this filter outperforms the average filter and the median filter in terms of forecasting accuracy. Equation 1 yields the polynomial approximation, with  $2M + 1$  input samples centered at  $c = 0$  ( $M$  is the “half width” of the approximation interval):

$$poly(c) = \sum_{i=0}^{pow} \alpha_i c^i \tag{1}$$

where  $pow \leq 2M + 1$  is the power of the polynomial.

Next, Equation 2 describes the error between the estimated and raw values and yields the polynomial solution:

$$\begin{aligned} \epsilon_{pow} &= \sum_{c=-M}^M (poly(c) - x(c))^2 \\ &= \sum_{c=-M}^M \left( \sum_{i=0}^{pow} \alpha_i c^i - x(c) \right)^2 \end{aligned} \tag{2}$$

Finally, the outputs are calculated as follows:

$$poly(c) = \sum_{c=-M}^M h(j)x(c - j) \tag{3}$$

c: MIN-MAX NORMALIZATION

After cleaning the data with an SG filter, they go through a normalization process. We use the min-max normalization to scale the workload time series in the same range of [0,1]. This normalization is described by the following formula:

$$X_{norm} = (X - X_{min}) / (X_{max} - X_{min}) \tag{4}$$

where  $X$  and  $X_{norm}$  are the time series before and after normalization, respectively; and  $X_{min}$  and  $X_{max}$  are the minimum and the maximum of the time series  $X$ , respectively.

2) CHAOTIC ANALYSIS OF CLOUD WORKLOAD TIME SERIES

The term chaos theory is somewhat confusing: many researchers use terms such as nonlinear dynamics, self-organizing theory, bifurcation theory, or change theory [44]. Even though chaos theory consists of elements of chance, chaos is not random disorder. Instead, chaos is an action between certainty and randomness, and is thus uncertain in the long term but feasible to estimate in the short term.

To confirm the chaotic nature of cloud workload time series and investigate its chaotic features, it is possible to perform a chaotic time series analysis over the cloud workload time series. The chaosity of a time series can be inspected by its corresponding maximal Lyapunov exponent (MLE) [45]. To calculate the MLE, the time series must first be embedded in a multidimensional space called phase space to form the trajectory matrix. In such an embedding, every point in multidimensional space is a vector whose elements are the delayed version of the time series. A detailed description of the methods used for the reconstruction of the phase space, and the calculation of the MLE is described below.

a: RECONSTRUCTION OF THE PHASE SPACE

The state of a system can be described by its state variables. The state variables at time  $t$  form a vector in an  $m$ -dimensional space, which is called phase space. The state of a system typically changes over time, and thus, the vector in the phase space describes a trajectory representing the time evolution or the dynamics of the system. The observation of a real process usually does not yield all possible state variables. Either not all state variables are known or not all of them can be measured. However, because the evolution of any state variable in a system is dependent on other state variables that interact with it, it is possible to reconstruct the phase space using one observation state variable of the system.

According to Takens’ delay embedding theorem [46], the time series of any variable of the system can be used to reconstruct the phase space; the phase space reconstruction achieved by embedding a single-variable time series is not exactly the same as the original phase space, but its topological properties are preserved. For example, we let  $\{x_i; i = 1, 2, \dots, N\}$  be a single variable time series of a cloud workload, where  $N$  is the length of the workload time series. From this time series, an  $m$ -dimensional phase space can be reconstructed, and an embedded phase vector is then

described by:

$$X_i = (x_{i-(m-1)\tau}, x_{i-(m-2)\tau}, \dots, x_i) \quad (5)$$

where  $\tau$  is the time delay;  $m$  is the embedding dimension; and  $X_i$  is a phase-space vector at discrete time  $i$ , where  $i \in [1 + (m - 1)\tau, N]$ .

To guarantee an accurate reconstruction of phase space that can restore attractors in phase space, optimal values of time delay  $\tau$  and embedding dimension  $m$  should be determined. Studies have reported that the suitable value of  $\tau$  should be sufficiently large that  $x_i$  and  $x_{i+\tau}$  are rather independent but not so large that they are completely independent in a statistical sense [47]. Similarly, if  $m$  is too large, the density of points defining the attractor will decrease, and the level of contamination of the data will unnecessarily increase due to the noise in the data [48]. However, if  $m$  is too small, distant points on the attractor will overlap, and the attractor will be folded.

To estimate the optimal value of  $\tau$ , we use the average mutual information method (AMI) [49]. The AMI approach measures the nonlinear dependence between successive points  $x_i$  and  $x_{i+\tau}$  based on Shannon's entropy; this is the information we already know about the value of  $x_{i+\tau}$  if we know  $x_i$ . The AMI function is defined as:

$$I(\tau) = \sum_{x_i, x_{i+\tau}} P(x_i, x_{i+\tau}) \log_2 \left( \frac{P(x_i, x_{i+\tau})}{P(x_i)P(x_{i+\tau})} \right) \quad (6)$$

where  $P(x_i, x_{i+\tau})$  is the joint probability density in values of  $x_i$  and  $x_{i+\tau}$ ;  $P(x_i)$  and  $P(x_{i+\tau})$  are the individual probability densities in values of  $x_i$  and  $x_{i+\tau}$ , respectively. When the time delay becomes large, the chaotic behavior of the signal makes the measurements  $x_i$  and  $x_{i+\tau}$  become independent in a practical sense, and  $I(\tau)$  will tend to zero. According to [49], the suitable value of the time delay corresponds to the time delay when the AMI reaches its first minimum.

To estimate the embedding dimension  $m$ , we use the False Nearest Neighbors (FNN) method introduced by Kennel *et al.* [50]. This method searches for the nearest neighbors of every point in a given dimension  $m$  and then checks to see if these points are still near neighbors in one higher dimension  $m + 1$ . If this condition is verified, then the dimension  $m$  is the correct embedding dimension, but if it is not verified, then the points that were considered close neighbors in the dimension  $m$  are actually "false neighbors", and the dimension  $m$  is not the correct embedding dimension. This process is repeated at higher dimensions until the percentage of FNN becomes zero or sufficiently small.

### b: CALCULATION OF MLE

The calculation of the MLE is the next step of the chaotic time series analysis that follows the reconstruction of the phase space. The MLE is used to inspect the sensitive dependence on the initial conditions (i.e., the presence of chaotic attractors).

Each dynamic system is characterized by the entire spectrum of Lyapunov exponents,  $\lambda_k (k = 1, 2, \dots, m)$ ,

where  $m$  is the embedding dimension. Lyapunov exponents play a fundamental role in the description of the behavior of dynamical systems because they describe how orbits on the attractor move apart (or together) under the evolution of the dynamics [47]. Lyapunov exponents show the exponential convergence or divergence of nearby points in the multidimensional space, and MLE is the maximum Lyapunov exponent that determines the largest convergence or divergence. In most cases, checking the existence of chaos requires only a calculation of the MLE of the system. A positive value of the latter indicates chaos, while a negative value indicates no chaos.

Various estimation methods of MLE are described in the literature [48], [51]. In this paper, we use the algorithm proposed by Rosenstein *et al.* [52]. The first step of this algorithm consists of reconstructing the phase space from a single time series using the method described in Section III.B.2. After reconstructing the phase space, the algorithm looks for the nearest neighbor of each point on the trajectory. The nearest neighbor of the reference point  $X_i$  is assumed to be  $X_j$ , which satisfies the following:

$$d_i(0) = \min_{X_j} \|X_i - X_j\| \quad (7)$$

where  $d_i(0)$  is the initial distance from  $X_i$  to its nearest neighbor  $X_j$ . In addition to this constraint, nearest neighbors should have a temporal separation greater than the mean period of the time series:

$$|i - \hat{i}| > \text{meanperiod} \quad (8)$$

This second constraint makes it possible to consider each pair of neighbors as nearby initial conditions for different trajectories. From the definition of Lyapunov exponents, it is assumed that the  $i$ th pair of nearest neighbors diverges exponentially in some time period  $\Delta t$  at a rate given by the MLE:

$$d_i(j) \approx C_i e^{\lambda_1(j\Delta t)} \quad (9)$$

where  $d_i(j)$  is the distance between the  $i$ th pair of nearest neighbors after  $j$  discrete-time steps, i.e.,  $j\Delta t$  seconds;  $C_i$  is the initial separation; and  $\lambda_1$  is the MLE. By taking the logarithm of both sides of Equation 9, we find:

$$\ln d_i(j) \approx \ln C_i + \lambda_1(j\Delta t) \quad (10)$$

Equation 10 corresponds to a set of approximately parallel lines, each with a slope roughly proportional to  $\lambda_1$ . Therefore, the MLE can be simply calculated using the least-squares fit to the "average" line defined by:

$$y_j = \frac{1}{\Delta t} \langle \ln d_i(j) \rangle \quad (11)$$

where  $\langle \cdot \rangle$  is the value averaged for all  $j$ .

### 3) CANFIS MODEL BUILDING

As mentioned earlier, it is possible for a chaotic system to be predicted in the short term. Therefore, in this section, we present the proposed CANFIS model that we will use to perform workload prediction.



The ANFIS model [10] is a combination of two intelligence systems, a neural network (NN) system and a fuzzy inference system (FIS), in which the NN learning algorithm is used to determine the parameters of the FIS. NNs are nonlinear statistical data modeling tools that can capture and model any input-output relationships (or can learn to detect complex patterns in data). FIS is the process of formulating the mapping from a given input to an output using fuzzy logic. This mapping provides a basis from which decisions can be made or patterns can be discerned.

The contributions of this paper regarding the ANFIS model concern its starting point, which is the selection of the inputs. These inputs are selected using the phase space reconstruction method of chaos theory. The proposed chaos ANFIS model is referred to in the remainder of this paper as the CANFIS model.

As mentioned in Section III.B.2, the embedding dimension corresponds to the lowest dimension that can describe the attractor’s motion. As mentioned before, the cloud workload system condition can be recovered from one observed workload time series. Thus, if we select the embedding dimension of the collected workload data (e.g., CPU usage, memory usage, number of requests) as the dimension of the input space of the ANFIS model, we can restore the dynamical features of the workload system in the embedding phase space. Therefore, the number of ANFIS input vectors will be equal to or higher than the embedding dimension. This methodology resolves the problems of ANFIS inputs selection and considers the nonlinear features of the cloud workload system. Thus, as presented in Equation 5, the input X and the output Y of the CANFIS prediction model are:

$$\begin{aligned}
 X &= \begin{bmatrix} X_{1+(m-1)\tau} \\ X_{2+(m-1)\tau} \\ \vdots \\ X_N \end{bmatrix} \\
 &= \begin{bmatrix} x_1 & x_{1+\tau} & \dots & x_{1+(m-1)\tau} \\ x_2 & x_{2+\tau} & \dots & x_{2+(m-1)\tau} \\ \vdots & \vdots & \ddots & \vdots \\ x_{N-(m-1)\tau} & x_{N-(m-2)\tau} & \dots & x_N \end{bmatrix} \quad (12)
 \end{aligned}$$

$$\begin{aligned}
 Y = x_{i+1} &= \begin{bmatrix} x_{1+(m-1)\tau+1} \\ x_{2+(m-1)\tau+1} \\ \vdots \\ x_{N+1} \end{bmatrix} = \begin{bmatrix} x_{2+m\tau} \\ x_{3+m\tau} \\ \vdots \\ x_{N+1} \end{bmatrix} \quad (13)
 \end{aligned}$$

The next step in the process of CANFIS model construction is the selection of the type and the number of membership functions (MFs) involved in the FIS process. The selection can be performed using expert knowledge, empirically (i.e., by examining the desired input-output data), and/or by trial and error if no expert is available [10]. The rule base used in this work contains if-then rules of Sugeno type described as follows:

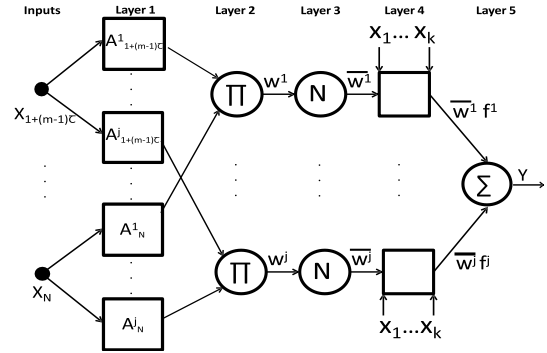


FIGURE 4. CANFIS architecture.

Rule j: If  $X_{1+(m-1)\tau}$  is  $A^j_{1+(m-1)\tau}$  and  $X_{2+(m-1)\tau}$  is  $A^j_{2+(m-1)\tau}, \dots,$  and  $X_N$  is  $A^j_N$ , then:

$$\begin{aligned}
 f^j &= z^j_{1+(m-1)\tau} X_{1+(m-1)\tau} + z^j_{2+(m-1)\tau} X_{2+(m-1)\tau} \\
 &\quad + \dots + z^j_N X_N + u^j \quad (14)
 \end{aligned}$$

where  $j = 1, 2, \dots, n_r$  is the number of rules,  $\{X_i, i = 1 + (m - 1)\tau, \dots, N\}$  is the input vector,  $A^j_i$  is the linguistic label associated with the  $i$ th input variable in the  $j$ th fuzzy rule,  $f^j$  is the prediction result according to the  $j$ th fuzzy rule, and  $z^j_i$  and  $u^j$  are the parameters that are determined during the training process.

The CANFIS architecture that implements these rules is shown in Figure 4 and consists of five layers: the fuzzy layer, the product layer, normalized layer, defuzzy layer and total output layer. The node function in each layer is presented below. Note that fixed nodes, which are represented by circles, refer to the parameter sets that are fixed in the system, while adaptive nodes, represented by squares, refer to the parameter sets that are adjustable in these nodes.

Layer 1 (Fuzzy Layer): All the nodes in this layer are adaptive nodes with node functions described as:

$$O1^j_i = \mu_{A^j_i}(X_i) \quad (15)$$

where  $i = 1+(m-1)\tau, \dots, N, j = 1, 2, \dots, n_r$  and  $O1^j_i$  is the output of layer 1 with respect to the  $i$ th input  $X_i$  in the  $j$ th fuzzy rule, and  $\mu_{A^j_i}(X_i)$  is the MF of  $A^j_i$ , which specifies the degree to which the given  $X_i$  specifies the quantifier  $A^j_i$ . Any continuous and piecewise differentiable functions, such as generalized bell-shaped MFs, triangular-shaped MFs, or Gaussian MFs, can be used in this layer. For example, if the Gaussian MF is used, it is expressed as:

$$\mu_{A^j_i}(X_i) = \exp \left[ -\frac{(X_i - c_j)^2}{2\sigma_j^2} \right] \quad (16)$$

where  $i = 1 + (m - 1)\tau, \dots, N, j = 1, 2, \dots, n_r$  and  $\{c_j, \sigma_j\}$  is the parameter set. The parameters in this layer are referred to as the premise parameters (PPs).

**TABLE 3. Two passes in the hybrid learning algorithm for CANFIS.**

	FP	BP
PP	Fixed	GD
CP	LSE	Fixed
Signals	Node Outputs	Error Rates

*Layer 2 (Product Layer):* Each node in this second layer is a fixed node, labeled  $\prod$ , with the node function to be multiplied by input signals to serve as output:

$$w^j = \prod_{i=1+(m-1)\tau}^N \mu_{A_i^j}(X_i), \quad j = 1, 2, \dots, n_r \quad (17)$$

where  $w^j$  is the firing strength of the  $j$ th rule.

*Layer 3 (Normalized layer):* Each node in this layer is also a fixed node that is labeled  $N$  with the node function to normalize  $w^j$ , which calculates the ratio of the  $j$ th rule's firing strength to the sum of all rules' firing strengths. Each node is defined as follows:

$$\bar{w}^j = \frac{w^j}{\sum_{j=1}^{n_r} w^j}, \quad j = 1, 2, \dots, n_r \quad (18)$$

where  $\bar{w}^j$  refers to the normalized firing strength.

*Layer 4 (De-Fuzzy Layer):* Each node in this layer is an adaptive node with a node function:

$$\begin{aligned} O4^j &= \bar{w}^j f^j \\ &= \bar{w}^j (z_{1+(m-1)\tau}^j X_{1+(m-1)\tau} + \dots + z_N^j X_N + u^j) \end{aligned} \quad (19)$$

where  $j = 1, 2, \dots, n_r$  and  $\{z_i^j, u^j\}$  is the parameter set related to the first-order polynomial. Parameters in this layer are referred to as the consequent parameters (CPs).

*Layer 5 (Total Output Layer):* The single node in this layer is a fixed node labeled  $\Sigma$  with a node function to compute the overall output as the summation of all incoming signals, and can be expressed as:

$$\begin{aligned} O5 = \text{overalloutput} = Y &= \sum_{j=1}^{n_r} \bar{w}^j f^j \\ &= \frac{\sum_{j=1}^{n_r} w^j f^j}{\sum_{j=1}^{n_r} w^j} \end{aligned} \quad (20)$$

The proposed CANFIS model uses a hybrid learning algorithm that combines least squares estimation (LSE) with gradient descent (GD). Every epoch of this algorithm is composed of a forward pass (FP) and a backward pass (BP). In the FP, the PPs are fixed. The functional signals go forward until layer 4, and the CPs are identified by the LSE. Once the optimal CPs are calculated, the backward pass begins. In this pass, the GD is used to adjust the PPs. The output of the CANFIS is calculated by setting the CPs to the values found in the FP. By comparing the estimated output with the real output, the output error rates of the CANFIS propagate backward from the output to the input to adjust the PPs using

the standard backpropagation algorithm. Table 3 summarizes the activities in each pass.

The training of the CANFIS model continues until the specified number of epochs or the specified root mean square error (RMSE) is achieved. When training is complete, the training error and final MFs from the training data set are generated. Although it is feasible for CANFIS to perform with only a training data set, it is preferable to use a checking data set too to enhance the accuracy and avoid overfitting the training data. If the resulting checking error does not meet the target error, the entire process of selecting CANFIS parameters (i.e., type of MFs, number of MFs, epochs) should be repeated until the goal error is met. Then, the testing data set is used to evaluate the performance of the CANFIS model.

### C. TIME COMPLEXITY

This section describes the time complexity of the proposed prediction method. The first step is data cleaning using SG filter. For a given time series of length  $N$ , the maximum amount of time required to execute this step is  $O(N)$ . The second step is the estimation of the time delay  $\tau$  using AMI method and requires  $O(N \log(N))$ . The third step is the calculation of the embedding dimension  $m$  using the FNN method and requires  $O(N^2)$ . Next, the phase space reconstruction takes  $O(N)$ . Also, the calculation of MLE takes  $O(N^2)$ . The last step is the building of the CANFIS model. Considering the MF type to be Gaussian, this step takes  $O(N^2)$ . Finally, the total time complexity of the proposed method is  $O(N \log(N)) + O(N) + O(N^2)$ .

## IV. PERFORMANCE EVALUATION

This section presents the workload datasets used to evaluate the proposed prediction method, the data preprocessing stages, the experimental setup, the evaluation metrics, the baseline methods used to evaluate the performance of the proposed model and the results of the performed experiments.

### A. DATASETS

To evaluate the prediction method introduced in this paper, we used two types of cloud workload traces from real world applications: 1) web workload traces from Wikipedia servers [53] and NASA servers [54]; and 2) cluster workload traces from Google cluster servers [55]. These two types of workloads have different features that allow us to evaluate the proposed proposal in a more reliable, generic and realistic way. A summary of the evaluated workload information is given in Table 4, and detailed information on these workloads is presented in the following paragraphs.

#### 1) WEB WORKLOAD TRACES

The web workloads describe the behavior of web application models on cloud computing. In this paper, we use two web workload traces: the Wikipedia trace [53] and the NASA trace [54].

The Wikipedia trace is used in many different studies [16], [37] and contains the number of http requests that

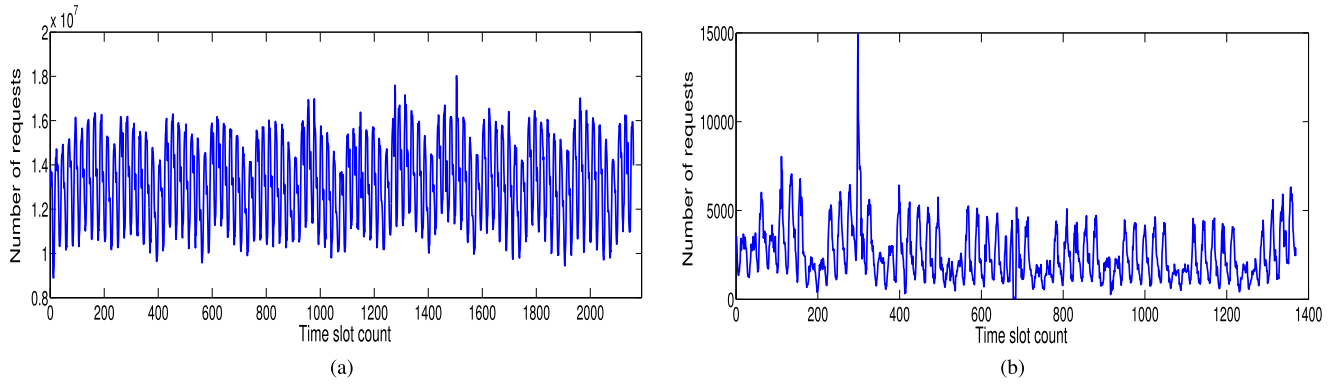


FIGURE 5. Original web workload traces with 60-minute time intervals. (a) Wikipedia trace (b) NASA trace.

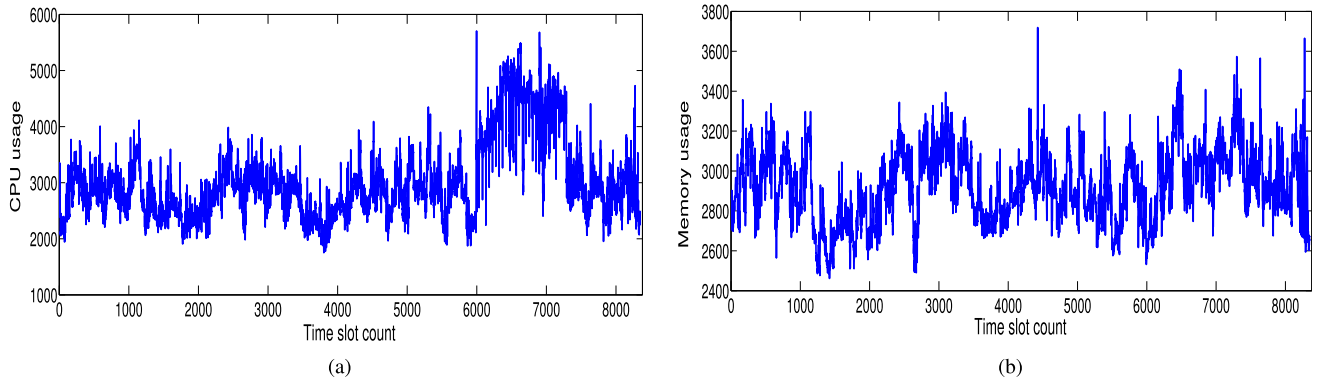


FIGURE 6. Original cluster workload traces with 5-minute time intervals. (a) Google CPU usage trace (b) Google memory usage trace.

are received for each project resource, such as static pages and images, which were collected in 1-hour intervals. The trace also contains the project name and the language of each accessed resource. In this experiment, we consider only requests to English Wikipedia resources dated from midnight, January 01, 2015 to 23 pm, March 31, 2015 (a total of three months). The Wikipedia workload is shown in Figure 5(a). As shown in the figure, the Wikipedia time series exhibits strong seasonal behaviors in addition to some trends.

The NASA trace is also used in many different studies [4], [22] and consists of two logs that contain the HTTP requests to NASA Kennedy Space Center WWW server in Florida. The first log was collected between 00:00:00 July 1, 1995 and 23:59:59 July 31, 1995. The second log was collected between 00:00:00 August 1, 1995 and 23:59:59 August 31, 1995. During these two months, the server received 3,461,612 requests with timestamps with a 1-second resolution. We note that from 01/Aug/1995:14:52:01 until 03/Aug/1995:04:36:13, there was no access recorded because the web server was shut down due to the Hurricane Erin [54]. This workload is shown in Figure 5(b).

The Nasa series exhibits repetition in some periods. However, compared to the Wikipedia workload, the NASA workload is more dynamic, which means that the requests issued

TABLE 4. Summary of the evaluated web and cluster workloads.

Workload	Trace	Duration	Prediction Interval
Web	Wikipedia	90 days	60 min
	Nasa	60 days	
Cluster	Google CPU	29 days	5 min
	Google Memory	29 days	

to the NASA website might increase by a larger margin and a faster speed.

## 2) CLUSTER WORKLOAD TRACES

The cluster workloads describe the behavior of big data analytic and cluster application models on clouds. Similar to many other studies [43], [26], this paper considers two cluster workload traces (CPU and memory usages) of the Google cluster [55]. Google Workload is a 29-day workload trace published by Google in 2011 that contains approximately 650000 jobs, 20 million tasks and 12500 machines. The job includes one or more tasks, and every task may consist of multiple processes. The information related to each job and

**TABLE 5.** Parameters of CANFIS model.

	Web workloads		Cluster workloads	
	Wikipedia	Nasa	CPU	memory
Type of MFs	gaussmf	gaussmf	gaussmf	gaussmf
Number of MFs	2	3	2	3
Epochs	10	80	10	10

task, such as the job ID, the task ID, the measurement period, the mean CPU usage rate, the canonical memory, the mean local disk space used and others are given in this trace.

Figures 6(a) and 6(b) show the two traces and highlight that cluster workloads are dynamic and vary markedly compared to web workloads, which makes it challenging to predict this type of workload.

### B. EXPERIMENTAL SETUP

In this paper, we perform experiments on a machine equipped with an Intel<sup>®</sup> Core<sup>™</sup> i5-6300U processor running at 2.40 GHz and 16 GB of memory. We use MATLAB R2017a software to implement the method and to perform simulations. The statistical analysis was conducted using the STAC web platform [56]. The data traces are split into training, checking and testing parts. The first 60% of the data are used to train the model, the next 20% of data are used for checking, and the remaining 20% are used to test the model. All experiments are repeated 10 times, and the mean accuracy is stated to mitigate randomness. Values of  $M$  and  $pow$  for the SG filter are set equal to 6 and 3, respectively. Table 5 shows the primary parameters used in the building of the CANFIS model. The impact of these parameters on the prediction results will be discussed later in this paper.

### C. PERFORMANCE METRICS

The goals of the evaluation of the proposed prediction method are twofold. First, we measure the prediction accuracy for the evaluated workloads. Second, we measure the training time of the proposed prediction method because prediction in a predetermined time is essential for every workload prediction method.

To evaluate the prediction accuracy of the proposed method, we use the following metrics, which are widely used in many different studies [22], [34], [43], [57]. For all metrics,  $x_i$  and  $\hat{x}_i$  are the real and predicted values of the workload, respectively, and  $n$  is the forecast horizon:

- **Root mean square error (RMSE):** This is a quadratic scoring rule that measures the errors' average magnitude. Thus, RMSE can be described as the square root of the average of the squared differences between real and predicted values. The RMSE is beneficial when large errors are specifically unwanted because it gives high weights to large errors. A lower value of RMSE indicates higher accuracy in the prediction method. The formula

of the RMSE metric is given in Equation 21:

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (x_i - \hat{x}_i)^2}{n}} \quad (21)$$

- **Mean absolute error (MAE):** The MAE is the average of the absolute values of the differences between the real and predicted values. Unlike RMSE, MAE assigns equal weights to all errors because it is a linear score. A smaller MAE value indicates a better fit of the forecasting model. Equation 22 presents the mathematical formula of the MAE metric:

$$MAE = \frac{\sum_{i=1}^n |x_i - \hat{x}_i|}{n} \quad (22)$$

- **Mean absolute percentage error (MAPE):** MAPE is the average of the absolute percentage errors. This percentage is defined as the ratio of the difference between predicted and real values to real values, as shown in Equation 23. A lower value of MAPE suggests a higher prediction accuracy of the model:

$$MAPE = 100 * \frac{\sum_{i=1}^n \frac{|x_i - \hat{x}_i|}{x_i}}{n} \quad (23)$$

- **$R^2$  coefficient:** This coefficient measures how well the prediction model fits the real data. Its value lies in the range [0,1], and the closer the value is to 1, the better the prediction model. The corresponding mathematical formula is given in Equation 24:

$$R^2 = 1 - \frac{\sum_{i=1}^n (x_i - \hat{x}_i)^2}{\sum_{i=1}^n (x_i - \bar{x})^2} \quad (24)$$

where  $\bar{x} = \frac{1}{n} \sum_{i=1}^n (x_i)$ .

### D. BASELINES

To validate the effectiveness of investigating the chaosity of cloud workloads and selecting ANFIS inputs based on chaos theory, we compare the proposed method with the baseline ANFIS model (called the ANFIS model in this paper). We also compare the CANFIS model against three well-known baseline models: the LSTM, SVM, and ARIMA models. The following paragraphs provide a description of these baselines.

**LSTM:** The long short-term memory (LSTM) network [58] is an advanced recurrent neural network that can memorize data sequences from earlier stages for the aim of future use. This process is possible due to the gates and the memory line that are incorporated in the LSTM network.

**SVM:** Support vector machine (SVM) [59] is a machine learning model that is used in both classification and regression problems. SVM operates by constructing a hyperplane in multidimensional space to separate the data into classes. Using an iterative method, SVM generates an optimal hyperplane with minimum error.

**ARIMA:** The autoregressive integrated moving average (ARIMA) model [16] is a statistical model that is used

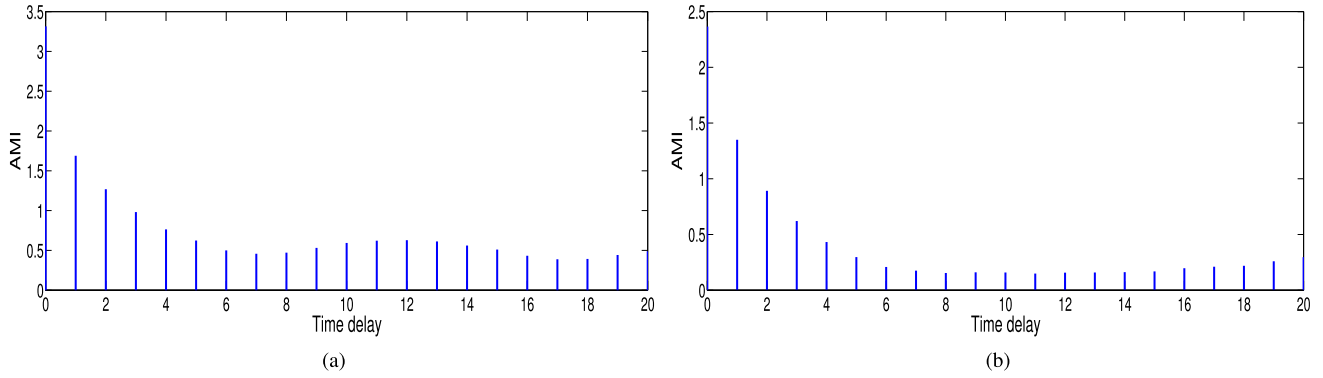


FIGURE 7. Average mutual information (AMI). (a) Wikipedia workload. (b) NASA workload.

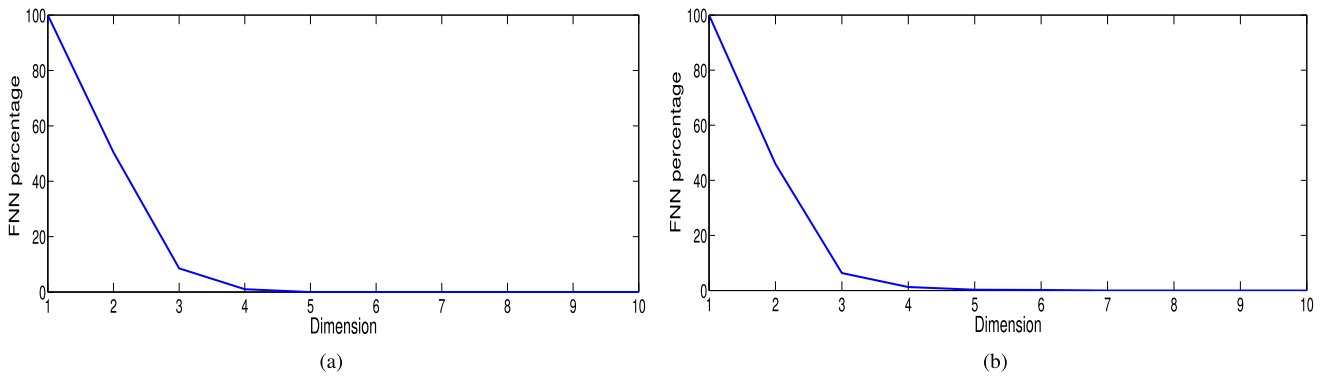


FIGURE 8. Percentage of false nearest neighbors. (a) Wikipedia workload. (b) NASA workload.

to predict time series. The model begins by transforming the nonstationary time series data into stationary data using a d-order difference method. Then, the autocorrelation and the partial autocorrelation functions of the resulting time series are plotted and analyzed to determine the suitable orders  $p$  and  $q$  for the autoregressive model’s lags and the moving average model’s lags, respectively. Finally, the model uses the least-squares method to estimate parameters and then makes predictions of the future values.

**E. EXPERIMENTAL RESULTS AND ANALYSIS**

In this section, we present the results of the different experiments performed using the selected workloads to validate the performance enhancement of the proposed method. First, the results of the chaotic analysis of cloud workload are presented. Then, the prediction results of the proposed method are given, and an evaluation of the prediction accuracy of the proposed method is made by conducting a comparative study between the proposed method and the baselines and some other new neural network-based methods. Finally, a statistical analysis is performed to confirm the efficiency of the proposed model.

**1) RESULTS OF CHAOTIC ANALYSIS OF CLOUD WORKLOAD**

As mentioned in Section III.B.2, the first step in chaotic time series analysis is the reconstruction of the phase space

by determining the optimal values of  $\tau$  and  $m$ . Taking the Wikipedia and NASA workloads as examples, the AMI and the percentage of FNN of the time series are calculated and displayed in Figures 7 and 8.

As shown in the results, the AMI of the Wikipedia and NASA time series reaches its first minimum at the time delay equal to 7 and 8, respectively. In contrast, the percentage of FNN becomes zero when the embedding dimension is 5 for both Wikipedia and Nasa time series. These time delay and embedding dimension values will be used for phase space reconstruction of the Wikipedia and NASA time series. The phase space reconstruction parameters for Google CPU and Google memory time series are calculated using the same methods and are presented in Table 6.

TABLE 6. The values of  $\tau$ ,  $m$  and MLE for web and cluster workloads.

Workload	Trace	$\tau$	$m$	MLE
Web	Wikipedia	7	5	2.25E- 05
	Nasa	8	5	3.42E- 05
Cluster	Google CPU	1	3	0.0017
	Google Memory	1	3	0.0019

Now, the phase space can be reconstructed, and a further investigation of chaos characteristics in cloud workloads can be performed. This investigation can be done by calculating

the MLE for each time series using the method described in Section III.B.2. The results of the MLE for every time series are also presented in Table 6. According to the results, the MLEs of different workload data are all positive, demonstrating that the workload time series exhibits chaotic behavior.

## 2) PREDICTION ACCURACY

Figure 9 shows the results of the prediction of the web workloads using the CANFIS model. The proposed CANFIS model clearly captures the Wikipedia workload and the NASA workload patterns effectively, and the forecasted values of the workloads using CANFIS are very near the real values. Therefore, the CANFIS model can achieve high prediction accuracy for both the Wikipedia and NASA workloads.

To demonstrate the effectiveness of the CANFIS model, a comparison with four baseline methods (i.e., ANFIS, LSTM, SVM, ARIMA) using RMSE is shown in Figure 10. All RMSE results are normalized to the CANFIS RMSE results. The value 1.00 refers to the results of the proposed CANFIS model, while higher values indicate poor performance. The reduction in prediction error is calculated as in [4] using the following formula:

$$Error_{reduction} = \frac{RMSE_B - RMSE_P}{RMSE_B} * 100, \quad (25)$$

where  $RMSE_B$  and  $RMSE_P$  are the RMSEs of the baseline methods and the proposed method, respectively.

The RMSE metric in the latter formula can be replaced with any other error metric. On average, in terms of RMSE, the CANFIS model reduces the prediction error up to 77.58%, 80.35%, 79.21% and 80.54% against ANFIS, LSTM, SVM and ARIMA, respectively, on web workloads. This result occurs because the CANFIS model can recognize the seasonality and trends within this type of workload and can concurrently follow their changes.

Table 7 shows the MAE, MAPE and  $R^2$  outputs for the two web workloads. Similar to the RMSE results, the CANFIS model achieves higher accuracies in terms of these metrics compared to the baseline methods for both the Wikipedia and NASA workloads. For example, MAE for the CANFIS model with Wikipedia and NASA workloads are 0.0056 and 0.0055, respectively, meaning that on average, the CANFIS model reduces MAE up to 77.31%, 79.76%, 78.41% and 80.12% compared to ANFIS, LSTM, SVM and ARIMA, respectively. Similarly, on average, the CANFIS model can reduce the error in terms of MAPE up to 80.01%, 82.41%, 81.11% and 82.69% against ANFIS, LSTM, SVM and ARIMA, respectively. Regarding the  $R^2$  coefficient, the values of this coefficient for the CANFIS model with Wikipedia and NASA workloads are 0.9990 and 0.9960, respectively, which are near 1, indicating that the proposed model has a good fit.

Figure 11 shows the cumulative distribution function (CDF) of forecasting errors in web workloads. The x-axis denotes the absolute prediction error (i.e.,  $|Actual_t - Prediction_t|$ ), while the y-axis represents the cumulative

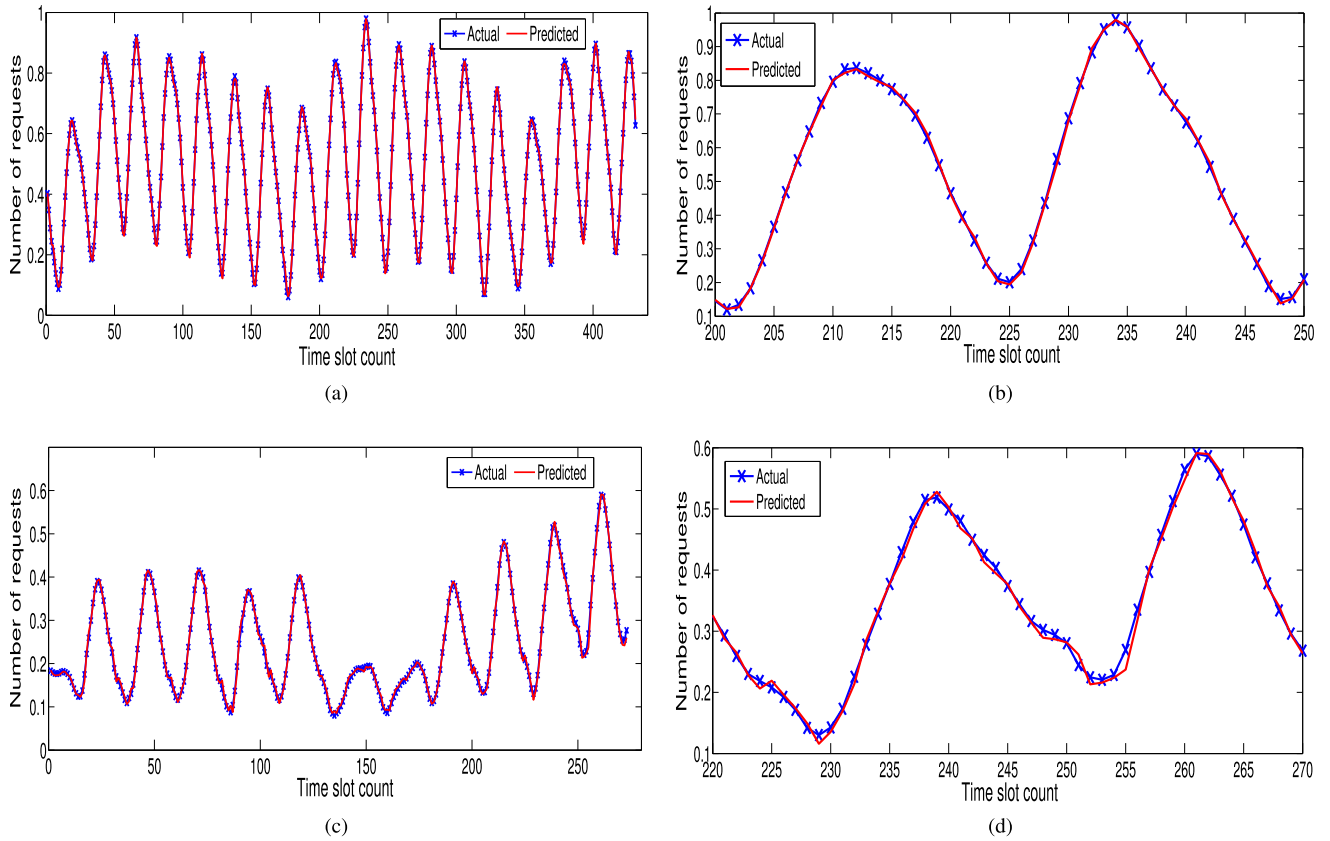
probability of the errors. The curves for the CANFIS model are skewed to the left more than the curves for the baseline methods, which means that the majority of the CANFIS forecasting errors are smaller than those of the baseline methods for both the Wikipedia and NASA workloads. In addition, the results from the baseline methods have longer tails, meaning that they produce more extreme forecasting errors.

The results of the prediction of the cluster workloads using the CANFIS model are shown in Figure 12. Again, similar to the web workloads, the predicted values of CPU usage and memory usage using the proposed CANFIS model are near the real values, indicating that the CANFIS model can achieve good prediction accuracy for cluster workloads. This result can be confirmed by looking at the RMSE results shown in Figure 13. On average, the CANFIS model can reduce the RMSE error up to 65.35%, 67.26%, 65.47% and 66.95% against ANFIS, LSTM, SVM and ARIMA, respectively, on cluster workloads.

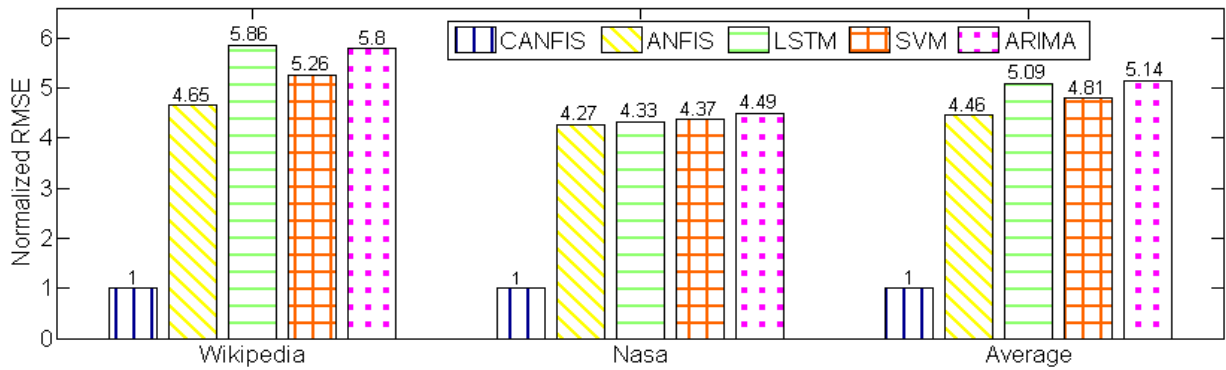
By considering the other prediction errors shown in Table 8, the CANFIS model has the lowest errors for the two cluster traces. For example, on average, the CANFIS model shows a reduction of MAE error up to 62.74%, 65%, 63.08% and 63.92% against ANFIS, LSTM, SVM and ARIMA models, respectively. The CANFIS model shows MAPE results of 2.2888% and 1.5273% with CPU usage and memory usage, respectively. These results imply, on average, a 56.69%, 59.96%, 56.95% and 58.86% reduction in the MAPE error compared to ANFIS, LSTM, SVM and ARIMA, respectively. The values of the  $R^2$  coefficient for the CANFIS model with CPU usage trace and memory usage trace are 0.9973 and 0.9938, respectively. Because these values are near 1, we conclude that although cluster workloads do not contain a stable seasonality and trend, the CANFIS model can still produce predictions with higher accuracy.

The CDF of forecasting errors in cluster workloads is shown in Figure 14. Both the CPU usage and memory usage CDF curves for the CANFIS model are skewed to the left more than the curves for the baseline methods. Again, this result indicates that most prediction errors for both CPU usage and memory usage are small compared to those of the baseline methods.

In addition to the baseline methods, the proposed approach is also compared with some new neural network-based methods, EQNN [28] and SDWF [26]. Table 9 shows the error reduction percentage  $Error_{reduction}$  of the proposed model against the ARIMA model and the  $Error_{reduction}$  of the EQNN[28] and SDWF [26] models against the ARIMA model. This percentage is calculated using Equation 25 presented earlier in this section. In this study, the  $RMSE_B$  corresponds to the RMSE of the ARIMA model, while the  $RMSE_P$  corresponds to the RMSE of the proposed CANFIS model, EQNN model [28], or the SDWF model [26]. The error reduction percentage of the proposed CANFIS model against the ARIMA model is much higher than the error reduction percentage of EQNN [28] and SDWF [26] models against the ARIMA model with both the NASA and Google CPU



**FIGURE 9.** Actual vs predicted web workloads using the proposed method. (a) Wikipedia workload prediction results. (b) Enlarged part. (c) NASA workload prediction results. (d) Enlarged part.



**FIGURE 10.** Normalized RMSE results in web workloads.

**TABLE 7.** Performance comparison of the proposed and the baseline methods with web workloads.

	Wikipedia			Nasa		
	MAE	MAPE	R <sup>2</sup>	MAE	MAPE	R <sup>2</sup>
ARIMA	0.0331	9.4340	0.9612	0.0241	16.6462	0.8636
SVM	0.0291	8.3371	0.9683	0.0230	15.9059	0.8710
LSTM	0.0336	9.1933	0.9605	0.0231	16.5657	0.8735
ANFIS	0.0261	7.4001	0.9751	0.0230	16.2764	0.8769
CANFIS	0.0056	1.7071	0.9990	0.0055	2.7497	0.9960

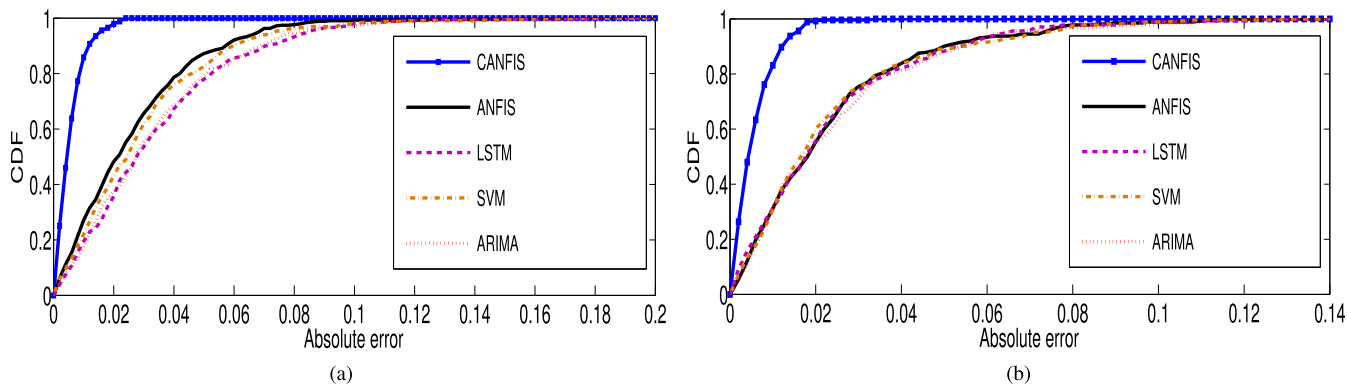


FIGURE 11. Cumulative distribution function (CDF) of prediction errors of the proposed and baseline methods for web workloads. (a) CDF for Wikipedia trace. (b) CDF for NASA trace.

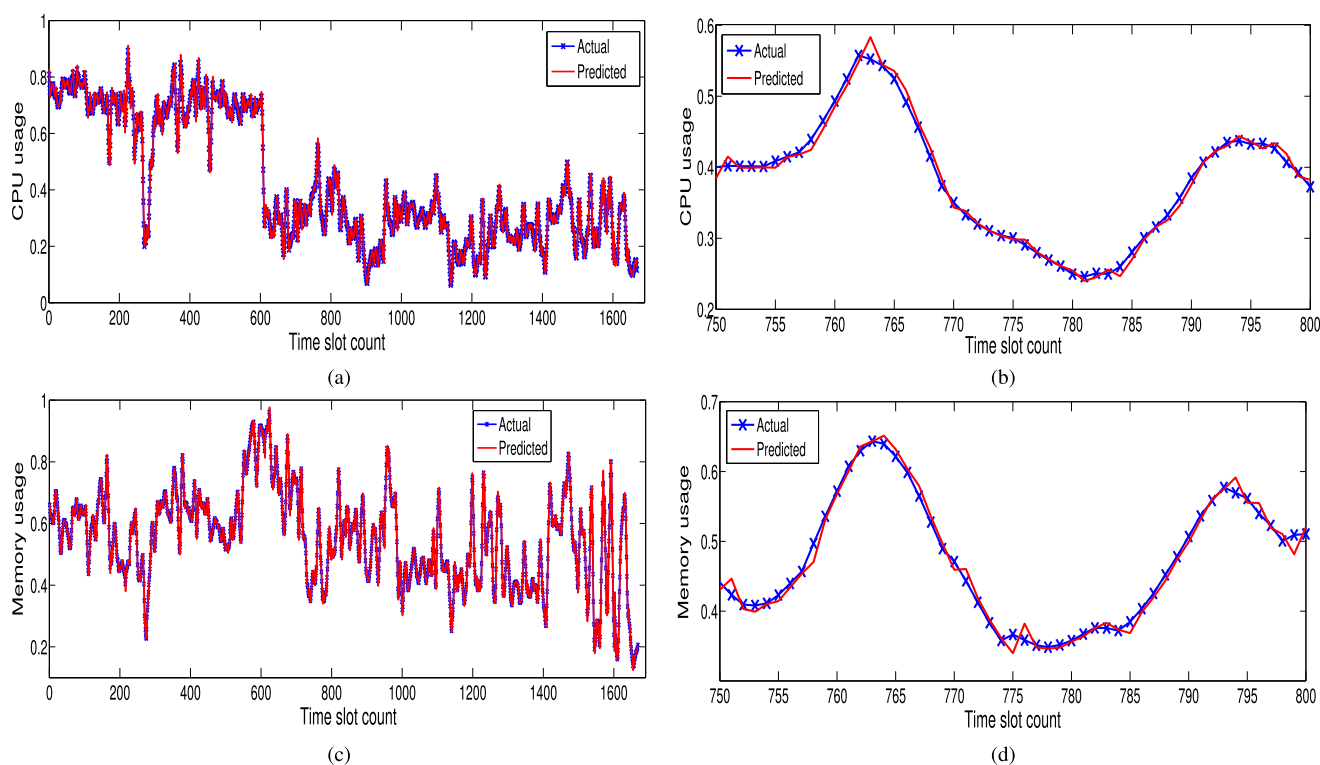


FIGURE 12. Actual vs predicted cluster workloads using the proposed method. (a) Google CPU prediction results. (b) Enlarged part. (c) Google memory prediction results. (d) Enlarged part.

TABLE 8. Performance comparison of the proposed and the baseline methods with cluster workloads.

	CPU			Memory		
	MAE	MAPE	R <sup>2</sup>	MAE	MAPE	R <sup>2</sup>
ARIMA	0.0285	8.6335	0.9200	0.0177	2.7399	0.8313
SVM	0.0295	8.6985	0.9130	0.0167	2.5556	0.8603
LSTM	0.0290	8.7924	0.9186	0.0184	2.8271	0.8284
ANFIS	0.0285	8.4700	0.9171	0.0168	2.5631	0.8579
CANFIS	0.0080	2.2888	0.9973	0.0078	1.5273	0.9938

datasets. For the Google memory dataset, the performance of the three evaluated models is similar with SDWF [26]

being the model with the lowest error reduction percentage (i.e., 53.13%), followed by the proposed (i.e., 59.18%) and



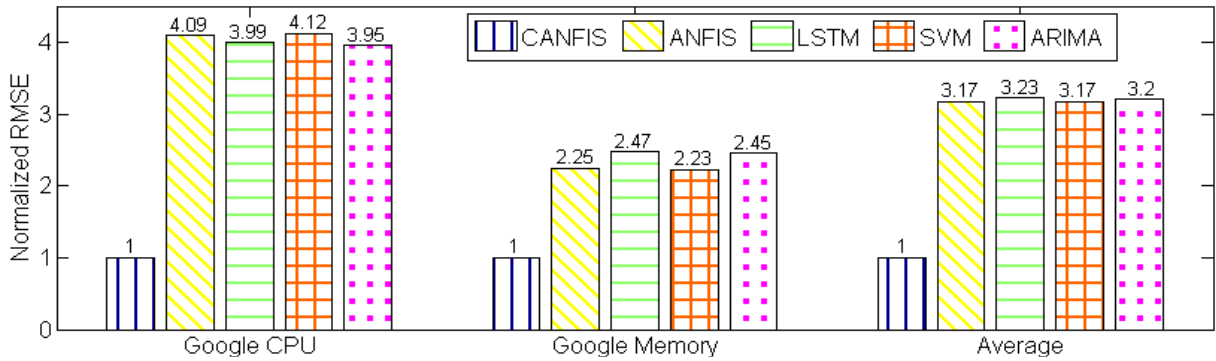


FIGURE 13. Normalized RMSE results in cluster workloads.

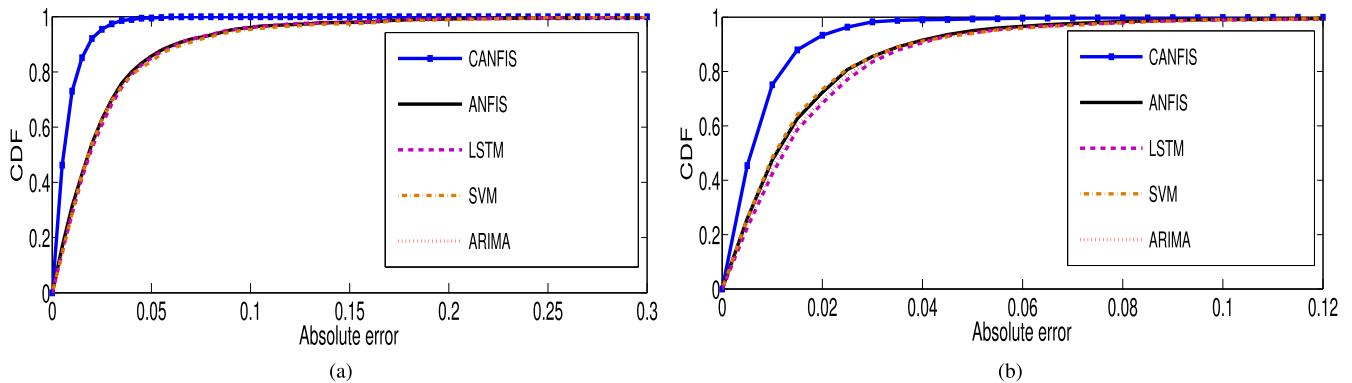


FIGURE 14. Cumulative distribution function (CDF) of prediction errors of the proposed and baseline methods for cluster workloads. (a) CDF for Google CPU trace. (b) CDF for Google memory trace.

TABLE 9. Performance comparison using error reduction percentage Error<sub>reduction</sub>.

	Nasa	Google CPU	Google Memory
EQNN [28]	54.30%	19.82%	64.84%
SDWF [26]	-56.67%	-7.21%	53.13%
CANFIS	77.72%	74.68%	59.18%

the EQNN [28] model (i.e., 64.84%). Therefore, the proposed CANFIS model outperforms the existing forecasting models and can thus improve workload prediction accuracy.

### 3) IMPACT OF MODEL PARAMETERS ON PREDICTION RESULTS

The performance of the CANFIS model depends on the values of its initial parameters. Therefore, in this section, we evaluate the impact of some of these parameters, such as the type of MFs, the number of MFs, and the number of epochs, on the accuracy of the prediction results in terms of RMSE for every dataset used.

#### a: IMPACT OF THE TYPE AND THE NUMBER OF MFs

To build the CANFIS model, various types of MFs (i.e., Gaussian, Generalized Bell, triangular, etc.) are used,

and the number of MFs is varied from 1 to 3 for each input data. Tables 10-13 show the impact of the number and the type of MFs on the prediction accuracy for every workload dataset in terms of RMSE with epoch = 10. The best CANFIS model that produces the smallest RMSE error is the model with 2 Gaussian MFs for the Wikipedia workload and 3 Gaussian MFs for the NASA workload. For the Google CPU workload, the CANFIS model with 2 MFs of three different types: Gaussian, Generalized Bell, and triangular, yields the same smallest value of RMSE (i.e., 0.0115). For the Google memory workload, the most suitable CANFIS model is the one with 3 Gaussian MFs.

#### b: IMPACT OF THE NUMBER OF TRAINING EPOCHS

To evaluate the impact of the number of training epochs on the prediction results, we use different numbers of training epochs (i.e., 10, 50, 80, 120) to train the CANFIS model for every dataset. The results are shown in Table 14. We set the type and the number of MFs for every dataset based on the results of Tables 10-13. Increasing the number of epochs does not yield a marked improvement in the prediction accuracy. For the Wikipedia, Google CPU and memory workloads, using 10 epochs to train the CANFIS model is sufficient to give the smallest RMSE error. For the NASA workload, the smallest RMSE error is obtained for 80 epochs.

**TABLE 10.** Impact of the type and the number of MFs on prediction accuracy for Wikipedia workload (*Epoch = 10*).

Type of MFs	gaussmf		gauss2mf		gbellmf		trimf		trapmf		pimf	
Number of MFs	2	3	2	3	2	3	2	3	2	3	2	3
RMSE	0.0073	0.0074	0.0074	0.0077	0.0074	0.0075	0.0076	0.0076	0.0075	0.0087	0.0077	0.0081

**TABLE 11.** Impact of the type and the number of MFs on prediction accuracy for Nasa workload (*Epoch = 10*).

Type of MFs	gaussmf		gauss2mf		gbellmf		trimf		trapmf		pimf	
Number of MFs	2	3	2	3	2	3	2	3	2	3	2	3
RMSE	0.0076	0.0073	0.0075	0.0077	0.0076	0.0074	0.0076	0.0075	0.0077	0.0076	0.0077	0.0075

**TABLE 12.** Impact of the type and the number of MFs on prediction accuracy for google CPU workload (*Epoch = 10*).

Type of MFs	gaussmf		gauss2mf		gbellmf		trimf		trapmf		pimf	
Number of MFs	2	3	2	3	2	3	2	3	2	3	2	3
RMSE	0.0115	0.0117	0.0116	0.0117	0.0115	0.0118	0.0115	0.0117	0.0118	0.0118	0.0118	0.0119

**TABLE 13.** Impact of the type and the number of MFs on prediction accuracy for google memory workload (*Epoch = 10*).

Type of MFs	gaussmf		gauss2mf		gbellmf		trimf		trapmf		pimf	
Number of MFs	2	3	2	3	2	3	2	3	2	3	2	3
RMSE	0.0120	0.0119	0.0121	0.0120	0.0121	0.0123	0.0122	0.0125	0.0125	0.0122	0.0125	0.0121

**TABLE 14.** Impact of the number of epochs on prediction performance in terms of RMSE.

Epochs	Wikipedia	Nasa	Google CPU	Google memory
10	0.0073	0.0073	0.0115	0.0119
50	0.0074	0.0073	0.0115	0.0126
80	0.0074	0.0072	0.0115	0.0126
120	0.0075	0.0072	0.0115	0.0125

**TABLE 15.** Training time of the proposed and some baseline methods with web and cluster workloads.

	Training time (s)				
	Web workloads		Cluster workloads		Average
	Wikipedia	Nasa	CPU	Memory	
ARIMA	3.07	2.09	2.47	3.10	2.68
SVM	0.44	0.38	1.43	1.29	0.88
LSTM	114.60	76.59	630.13	616.84	359.54
ANFIS	0.80	1.26	0.37	4.26	1.67
CANFIS	0.76	2.92	0.53	1.68	1.47

4) TRAINING TIME

A comparison of the training time of the proposed method and some baseline methods with both web and cluster workloads is shown in Table 15. The LSTM model has the highest training time with all workloads. The results for the proposed and the other baselines vary depending on the workloads.

**TABLE 16.** Friedman test (significance level  $\alpha = 0.05$ ).

Accuracy metric	Statistic	p-value	Result
RMSE	4.27273	0.02229	$H_{0_{fr}}$ is rejected
MAE	8.03448	0.00217	$H_{0_{fr}}$ is rejected
MAPE	13.00000	0.00026	$H_{0_{fr}}$ is rejected

**TABLE 17.** Rankings of friedman test.

Method	Rank		
	RMSE	MAE	MAPE
CANFIS	1.00000	1.00000	1.00000
ANFIS	2.75000	2.50000	2.50000
SVM	3.50000	3.12500	2.75000
ARIMA	3.75000	3.87500	4.25000
LSTM	4.00000	4.50000	4.50000

For the Wikipedia workload, SVM has the lowest training time (0.44 s), followed by the proposed model (0.76 s), the ANFIS model (0.80 s) and the ARIMA model (3.07 s). For the NASA workload, the SVM again has the lowest training time (0.38 s), followed by the ANFIS model (1.26 s), the ARIMA model (2.09 s) and the proposed method (2.92 s). Regarding Google CPU usage, the ANFIS model has the lowest training time (0.37 s), followed by the proposed method (0.53 s), the SVM model (1.43 s) and the ARIMA model (2.47 s).

TABLE 18. Post-hoc analysis using finner test.

Comparison	RMSE			MAE			MAPE		
	Statistic	p-value	Result	Statistic	p-value	Result	Statistic	p-value	Result
CANFIS vs LSTM	2.68328	0.02884	$H_{0_{fi}}$ rejected	3.13050	0.00696	$H_{0_{fi}}$ rejected	3.13050	0.00696	$H_{0_{fi}}$ rejected
CANFIS vs ARIMA	2.45967	0.02884	$H_{0_{fi}}$ rejected	2.57148	0.02015	$H_{0_{fi}}$ rejected	2.90689	0.00729	$H_{0_{fi}}$ rejected
CANFIS vs SVM	2.23607	0.03365	$H_{0_{fi}}$ rejected	1.90066	0.07572	$H_{0_{fi}}$ accepted	1.56525	0.15355	$H_{0_{fi}}$ accepted
CANFIS vs ANFIS	1.56525	0.11752	$H_{0_{fi}}$ accepted	1.34164	0.17971	$H_{0_{fi}}$ accepted	1.34164	0.17971	$H_{0_{fi}}$ accepted

For Google memory usage, SVM has the lowest training time (1.29 s), followed by the proposed method (1.68 s), the ARIMA model (3.10 s) and the ANFIS model (4.26 s). On average, the SVM model has the lowest training time among all the methods, followed by the proposed method, the ANFIS model, the ARIMA model and the LSTM model. Although the SVM model has less training time on average than the proposed model, it has less accuracy than the proposed CANFIS model for all workloads and less accuracy than the ANFIS model for the majority of the workloads. In addition, the average training time (1.47 s) of the proposed CANFIS model is still not important compared to the prediction interval and VM reconfiguration interval (e.g., VM startup time is more than 30 s), meaning that it will not have an impact on the resource provisioning process. Finally, there is always a possibility to reduce the training time of the proposed method by implementing it in parallel on high computing infrastructure.

#### F. STATISTICAL ANALYSIS

In this section, we validate the prediction accuracy of the proposed prediction model and some baselines using statistical analysis. The statistical analysis can determine whether the observed differences in experimental results are significant or simply occur due to random chance. In this context, we use the Friedman test along with Finner post hoc analysis [60], [61]. This test is widely used in many studies [28], [62] and is considered powerful when the performance of five or more models is compared [63]. The Friedman test assumes a null hypothesis ( $H_{0_{fr}}$ ) that states that the primary results of the different algorithms are equal. The alternative hypothesis ( $H_{1_{fr}}$ ) advocates that the mean of at least one algorithm's results is different from others. The Friedman test also ranks the algorithms according to their performance.

The statistics of the Friedman test (statistics and p-values) for RMSE-, MAE-, and MAPE-based prediction accuracy evaluation are shown in Table 16. All p-values indicate a rejection of  $H_{0_{fr}}$  with a significance level of 0.05.

The Friedman ranks of the algorithms are shown in Table 17. The proposed method has the best rank among all five algorithms, meaning that the proposed method's behavior has a significant difference.

Finner post hoc analysis is used to compare the five algorithms in pairs, considers the proposed method as a control method, and works around a null hypothesis ( $H_{0_{fi}}$ ) that

assumes that the mean of the proposed method is equal to each other member of the group under test. Table 18 shows the results of the pairwise comparison obtained using the Finner post hoc analysis. The  $H_{0_{fi}}$  is rejected for the proposed method against the SVM, LSTM, and ARIMA models for RMSE-based prediction accuracy evaluation and against LSTM and ARIMA for MAE- and MAPE-based prediction accuracy evaluation. Conversely,  $H_{0_{fi}}$  is accepted for the proposal against ANFIS for RMSE-based accuracy evaluation and against ANFIS and SVM for MAE- and MAPE-based accuracy evaluation. However, this hypothesis will be rejected against these methods at a significance level of 0.2 or even 0.1 against SVM for MAE-based accuracy evaluation. Based on the encouraging results of the proposed model and their statistical analysis, the superior performance of the proposed model can be confirmed.

#### V. CONCLUSION

In this study, we investigated the presence of chaos in cloud workloads. We used chaotic time series analysis to identify the chaotic behavior of the workloads and proposed an improved ANFIS model called the CANFIS model to predict future workloads. The inputs of the proposed model are selected using the phase space reconstruction method and embedding theory.

Using real-world web and cluster workloads, we performed experiments to evaluate the performance of the proposed model. Every workload used in these experiments went through several preprocessing operations before being fed to the proposed model. These operations include extraction, aggregation, cleaning, and normalization. Cleaning was performed using the SG filter, which can effectively eliminate noise and outliers without changing the width and the peak of the original signal.

The results of the experiments showed that the proposed CANFIS model achieved higher prediction accuracy than the ANFIS, LSTM, SVM and ARIMA models and some other new neural network-based methods. In addition, the proposed model has a low training time, meaning that it will not have any effect on the provisioning process.

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