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# Adaptive FIT-SMC Approach for an Anthropomorphic Manipulator With Robust Exact Differentiator and Neural Network-Based Friction Compensation

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ABSTRACT In robotic manipulators, feedback control of nonlinear systems with fast finite-time convergence is desirable. However, because of the parametric and model uncertainties, the robust control and tuning of the robotic manipulators pose many challenges related to the trajectory tracking of the robotic system. This research proposes a state-of-the-art control algorithm, which is the combination of fast integral terminal sliding mode control (FIT-SMC), robust exact differentiator (RED) observer, and feedforward neural network (FFNN) based estimator. Firstly, the dynamic model of the robotic manipulator is established for the n-degrees of freedom (DoFs) system by taking into account the dynamic LuGre friction model. Then, a FIT-SMC with friction compensation-based nonlinear control has been proposed for the robotic manipulator. In addition, a RED observer is developed to get the estimates of robotic manipulator joints' velocities. Since the dynamic friction state of the LuGre friction model is unmeasurable, FFNN is established for training and estimating the friction torque. The Lyapunov method is presented to demonstrate the finite-time sliding mode enforcement and state convergence for a robotic manipulator. The proposed control approach has been simulated in the MATLAB/Simulink environment and compared with the system with no observer to characterize the control performance. Simulation results obtained with the proposed control strategy affirm its effectiveness for a multi-DoF robotic system with model-based friction compensation having an overshoot and a settling time less than 1.5% and 0.2950 seconds, respectively, for all the joints of the robotic manipulator.

**INDEX TERMS** Robotic manipulator, robust exact differentiator, feedforward neural network, fast integral sliding mode control, LuGre friction model, autonomous articulated robotic educational platform.

### I. INTRODUCTION

Researchers in academia and industry have shown a significant deal of interest in robotic manipulators in recent years due to scientific advancements and industrial needs [1]. In reality, robotic manipulators play a significant role in the industry by lowering manufacturing costs, improving

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accuracy, quality, and efficiency, and offering more flexibility than specialized equipment. They ought to be controlled and operated smoothly, securely, and reliably to accomplish tasks with higher throughput or productive exploration [2]. The control of robotic manipulators is a complex task because their dynamic behavior is exceptionally nonlinear, highly coupled, and time-varying. Apart from that, uncertainties in the system model, such as external disturbances, parameter uncertainty, and nonlinear frictions, constantly exist and cause the unstable performance of the robotic system [3]. In the literature, several approaches have been proposed for controlling the robotic systems, such as sliding mode control (SMC) [4], H-infinity ( $H_{\infty}$ ) control [5], optimal control [6], PID control [7], adaptive control [8], model predictive control (MPC) [9], and other nonlinear controls reported in [10]–[12].

One of the main issues impeding the fast-tracking behavior of robotic manipulators is friction, resulting in steady-state tracking inaccuracy [13]. On the other hand, nonlinear friction often causes disturbances in a control system and may even make it to unstable [14]. As a consequence, friction is an intrinsically nonlinear occurrence that is hard to predict [15]. Therefore, friction should be modeled for better control performance and efficiency. Various friction modeling techniques are available in the literature, each describing and predicting improved and more accurate friction behavior [16]. Friction models are, generally, categorized into static [17] and dynamic [18] friction models. Static models merely illustrate the direct relationship between actual velocity and friction. They ignore the friction memory effect and hysteresis resulting in inaccuracy near zero velocity. In [19], an overview of static model methods based on the Coulomb and Stribeck effects is provided. Furthermore, the dynamic models capture physical characteristics and reactions by adding up the extra state variables. To put it simply, the static and dynamic friction models vary primarily in the predicted frictional effects, computing efficiency, and implementation complexity [20]. A suitable friction model is a fundamental need for effective compensation outcomes. A dynamic model known as the LuGre model has been widely utilized because it provides a fair balance of complexity and accuracy [21], [22]. A reasonably compact formula captures the significant friction phenomena, such as Coulomb friction, viscous friction, stiction, and dynamic brittle behavior at the contact surface.

Friction is a significant element influencing the accuracy with which an actuator system places or positions itself. The feedback linearization technique may be used to compensate for known nonlinearities. In terms of friction compensation, there are two types of schemes [23]: friction model-based and friction non-model-based schemes. The concluding methodology is employed when precise friction modeling is complicated or unnecessary, such as for variable structure control [24], PD control [25], and neural network control [26]. The model-based methodology [27] may be used if the friction parameter can be accurately identified to a certain degree. For dynamic friction compensation with backstepping control in [28], a robust observer for friction and a recurrent fuzzy neural network (RFNN) were designed. The generalized Maxwell-slip (GMS) friction compensation in a two-DoF robotic manipulator utilized an online least-squares estimator to estimate the friction force in each joint [29]. A proportional derivative (PD) controller was illustrated in [30] with friction compensation. The adaptive sliding control (A-SC) algorithm with friction compensation for robotic manipulator established on fuzzy random vector function is tor is presented in [32], the proportional derivative adaptive control approach is employed for the estimation of system dynamics, and SMC is implemented for the unknown dynamics of the robotic manipulator. A robust adaptive control technique based on fuzzy wavelet neural networks (FWNNs) dynamic structure is presented in [33]. Furthermore, using a radial basis function (RBF) neural networks technique, [34] proposed an amplitude saturation controller (ASC) that can ensure the development of exclusively saturated unidirectional attractive force for maglev vehicles on an elastic track. This paper's primary objectives and contributions are summarized as follows:

described in [31]. The tracking control of robotic manipula-

- The robot's dynamic model for the five-DoF AUTAREP robotic manipulator is built using the dynamic LuGre friction model. Under the uncertainties limited by certain positive functions, the velocity of each link is obtained using the RED observer.
- The FFNN approximates the friction torque, using the estimated velocities of joints obtained from the RED observer. Furthermore, a FIT-SMC scheme is proposed to achieve the desired trajectory tracking in finite time in the presence of uncertainties.
- Henceforth, the Lyapunov method is utilized to strengthen the robotic manipulator's stability. Results obtained from the proposed approach are illustrated in the MATLAB/Simulink environment to validate the FIT-SMC performance.

The contents in the remaining article are organized as follows: Section II presents a robotic manipulator state-space model, including the LuGre friction model. In Section III, the FIT-SMC approach is designed; RED observer, FFNN, and Lyapunov stability analysis is provided. Simulated results are provided in Section IV. Section V provides some concluding observations and remarks. Finally, Section VI presents the acknowledgment.

### **II. MATHEMATICAL MODELING**

The effectiveness of robotic manipulators can be improved by combining high motion accuracy with high speed. Feedback robot controllers have a challenging task to accomplish this objective because they rely on the operating state without taking into account the dynamic features of the robot manipulator. In the last several years, certain model-based controllers have been created, and the performance of robot manipulators has been improved. The research in this paper has been accomplished using the Autonomous Articulated Robotic Educational Platform (AUTAREP) ED-7220C robotic manipulator as shown in Fig. 1.

A manipulator is typically comprised of a kinematic chain, and its dynamic model is influenced by various drawbacks, such as coupling among the links, low rigidity, and unknown parameters. Furthermore, nonlinear effects often induced by the actuation mechanism include dead zone and friction. Thus, a robotic manipulator's motion is greatly influenced



FIGURE 1. AUTAREP robotic manipulator ED-7220C.

by its dynamic modeling, which is an extremely important consideration [35]. In order to implement control algorithms, the mathematical system model is an essential requirement. It is a five-DoF articulated robotic manipulator. Each joint movement is operated by a single DC servo motor except for the wrist joint, which is actuated by two motors for roll and pitch movements. The joints' location is provided through optical encoders equipped on each joint actuator axis of the robotic manipulator. Table 1 presents the robotic manipulator parameters.

### TABLE 1. AUTAREP robotic manipulator parameters.

Parameters	Specifcations	Units	
	Base: 385	mm	
Links length	Shoulder: 220	mm	
	Elbow: 220	mm	
	Wrist: 155	mm	
Movement speed	Approx. 100	mm/s max	
Range of motion (ROM)	Base: 310	deg	
	Shoulder: $+130/-35$	deg	
	Eblow: $\pm 130$	deg	
	Wrist: 360 rotation, up-down $\pm$ 130	deg	
Precision (position)	$\pm 0.5$	mm	
Weight	33	Kg	
Load capacity	1	Kg	
Construction	Vertical articulated arm		
Actuator	DC servo motor (optical encoder)		
Number of joints	5 joints + gripper		

# A. DYNAMIC MODEL

The forces and torques that produce robot motion are the subjects of research in dynamic modeling of the robot system. In this research, the Euler-Lagrange method is used for the n-link robotic manipulator dynamic equation [36], and it is illustrated by the following equation:

$$\tau_{r\eta} = M\left(r_{\eta}\right)\ddot{r}_{\eta} + C_{F}\left(r_{\eta},\dot{r}_{\eta}\right)\dot{r}_{\eta} + G\left(r_{\eta}\right) + T_{F}\left(\dot{r}_{\eta}\right), \quad (1)$$

where for *n* joints,  $M(r_{\eta}) \in \mathbb{R}^{n \times n}$  is the mass matrix,  $G(r_{\eta}) \in \mathbb{R}^{n \times 1}$  describe the gravitational matrix,  $C_F(r_{\eta}) \in \mathbb{R}^{n \times n}$  represents the centripetal and Coriolis forces,  $T_F(\dot{r}_{\eta}) \in \mathbb{R}^{n \times 1}$  represents friction torques, and total torque of robotic manipulator joints is denoted by  $\tau_{r_{\eta}}$ .

The characteristics of the robotic manipulator dynamics in Eq. (1) are as follows.

# 1) PROPERTY I

The matrix of inertia  $M(r_{\eta})$  is symmetric, is positive definite, and satisfies the condition given in the following equation [37]:

$$\Omega_1 I_\eta \le M(r_\eta) \le \Omega_2 I_\eta,\tag{2}$$

where  $\Omega_1$  and  $\Omega_1$  are constants having positive value and  $I_{\eta}$  is the identity matrix.

## 2) PROPERTY II

The  $C_F(r_i)$  centrifugal and Coriolis matrix in a dynamic robotic manipulator model justifies the following equation [37]:

$$\left\|C_F(r_{\eta},\dot{r}_{\eta})\right\| \le \Omega_3 \left\|r_{\eta}\right\|, \qquad \forall r_{\eta},\dot{r_{\eta}} \in \mathbb{R}^n, \qquad (3)$$

where  $\Omega_3$  is the positive constant and  $\|(.)\|$  is the Euclidean norm.

## 3) PROPERTY III

The term G in Eq. (1) is defined as a gravitational quantity [38] bounded as

$$\|G\| \le g_b \quad \forall r_\eta \in \mathbb{R}^n, \tag{4}$$

where  $g_b$  is defined as a positive function of  $r_{\eta}$ .

4) PROPERTY IV

 $N(r_{\eta}, \dot{r}_{\eta}) = \dot{M}(r_{\eta}) - 2C_F(r_{\eta}, \dot{r}_{\eta})$  is a skew symmetric [39]; that is, the components  $\eta_{jk}$  of N satisfy  $\eta_{jk} = -\eta_{kj}$  and assure the following equation [38]:

$$x^{T} \left[ \dot{M}(r_{\eta}) - 2C_{F}(r_{\eta}, \dot{r}_{\eta}) \right] x = 0, \quad \forall x \in \mathbb{R}^{n}.$$
 (5)

# B. DYANMIC LuGre FRICTION MODEL

Friction is also a significant factor in the performance of control systems. Friction reduces the precision of positioning and pointing systems, and it can also cause instabilities in the system. Friction compensation can help mitigate the negative impact of friction to a certain extent. It is beneficial to have

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simple models of friction that capture the essential properties of friction for use in control applications. The LuGre friction model [40], a nonlinear dynamic friction model widely used in mechanical and servo systems, will be used to formulate the dynamic friction  $T_{F\eta}$  in this subsection [41]. The LuGre model is defined as in Eq. (6) and Eq. (7) and Table 2 demonstrates the LuGre friction model parameters.

$$\frac{dz_F}{dt} = \omega - \sigma_0 \frac{|\omega|}{g(\omega)} z_F, \tag{6}$$

$$T_{F\eta} = \sigma_0 \, z_F + \sigma_1 \dot{z}_F + f(\omega), \tag{7}$$

$$T_{F\eta} = \sigma_0 \, z_F + \sigma_1 \dot{z}_F + \, \sigma_2 \omega, \tag{8}$$

where  $T_{F\eta}$  is the predicted friction torque, its internal state is described by  $z_F$ ,  $\omega$  is the velocity between the two surfaces in contact, the function  $\omega$  that changes with velocity is illustrated in Eq. (9), and  $\sigma_1$  and  $\sigma_0$  are coefficients for bristles.

$$g_{\eta}(\omega) = \tau_{c\eta} + \left(\tau_{s\eta} - \tau_{c\eta}\right) \exp^{-\left(|\omega/\omega_s|\right)}, \qquad (9)$$

where  $\tau_{c\eta}$  represents the Coulomb friction torque and  $\tau_{s\eta}$  describes the stiction torque. The  $\omega_s$  factor determines precisely how  $g_{\eta}(\omega)$  reaches the Coulomb torque  $\tau_{c\eta}$  immediately.

Parameter	Description	Value	Unit
$\omega_s$	Velocity	$6.109.10^{-2}$	rad/sec
$\sigma_2$	Viscous friction coefficients	1.819	Nm.sec/rad
$\sigma_1$	Damping coefficient	45.2	Nm.sec/rad
$\sigma_0$	Stiffness coefficient	2750	Nm/rad
$\tau_{s\eta}$	Static friction torque	8.875	Nm
$ au_{c\eta}$	Coulomb friction torque	6.975275	Nm

TABLE 2. Parameters of the LuGre friction model.

### III. CONTROL DESIGN AND MATHEMATICAL PRELIMINARIES

Due to nonlinearities and uncertainties in robotic dynamical models, adaptive control has been acknowledged as a viable method for mechanical robotic controller design. An innovative stable finite-time controller design for five-DoF robotic manipulators is given in Fig. 2 that uses FIT-SMC-based law, a variable-gain RED, and FFNN to achieve the ultimate aim.

The AUTAREP robotic manipulator system is presented in Eq. (10) as a state-space model, where  $r_{1\eta}$  is the state variable of position,  $r_{2\eta}$  is the velocity state variable, and  $r_{z_{\eta}}$  is the friction state of the dynamic LuGre friction model.

$$\left. \begin{array}{l} \dot{r}_{1\eta} = r_{2\eta} \\ \dot{r}_{2\eta} = M^{-1}\tau_r - M^{-1}(C_F r_{2\eta} + Gr_{1\eta} + \sigma_0 r_{z_\eta} \\ + \sigma_2 r_{2\eta} + \sigma_1 \dot{r}_{z_\eta}) \\ \dot{r}_{z_\eta} = r_{2\eta} - \sigma_0 \frac{|r_{2\eta}|}{g(r_{2\eta})} r_{z_\eta} \end{array} \right\}, \quad (10)$$

where  $\eta = b(base/waist)$ , s(shoulder), e(elbow), w(wrist).

The control law has three main phases. First, a robust FIT-SMC is developed to guarantee and ensure the global



FIGURE 2. Block diagram.

boundness of the robot manipulator system in the occurrence of uncertainty and disturbance. Secondly, the velocities of the system are estimated by utilizing variable-gain RED observer. In the third phase, FFNN is applied to estimate friction torque as the friction in the robotic manipulator model is not measurable. In the recent literature, a fast TSMC technique has been extensively used to achieve speedy finite-time stability.

### A. VARIABLE-GAIN ROBUST EXACT DIFFERENTIATOR

A second-order nonlinear dynamics of the robotic manipulator may be expressed as follows in order to demonstrate the differentiator design:

$$\left. \frac{\dot{\bar{r}}_{1\eta} = \bar{r}_{2\eta}}{\dot{\bar{r}}_{2\eta} = J_{\bar{r}_{\eta}}(t, \bar{r}_{\eta}) + K_{\bar{r}_{\eta}}(t, \bar{r}_{\eta}) U_{\bar{r}_{\eta}}(t, \bar{r}_{\eta})} \right\},$$
(11)

where  $\bar{r}_{\eta} = [\bar{r}_{1\eta}, \bar{r}_{2\eta}]$  and  $\eta = b, s, e, w$ .

$$\psi_{1n} = \hat{\bar{r}}_{1n} - \bar{r}_{1n},\tag{12}$$

$$\psi_{2n} = \hat{\bar{r}}_{2n} - \dot{\bar{r}}_{1n}.$$
 (13)

The RED observer design will provide the estimated/predicted derivatives for the available positions. In other terms, every  $r_{1\eta}$  will provide  $r_{2\eta}$  estimations. Moreover, the dynamics of tracking error may be described as follows:

$$\dot{\psi}_{1\eta} = -\Lambda_{i1}(t,\bar{r}_i)|\psi_{1\eta}|^{1/2}sign(\psi_{1\eta}) + \psi_{2\eta}, \dot{\psi}_{2\eta} = -\frac{\Lambda_{i2}(t,\bar{r}_i)}{2}sign(\psi_{1\eta}) - \ddot{r}_{1\eta}.$$
(14)

To construct the control algorithm, most controllers need all state variables. In reality, however, all state variables are unavailable for measurement for various economic and technological reasons. The high-frequency gain is boosted by a differentiator (classical). The controller design requires the whole state to be accessible; however, in this study, only the measurements of position states are considered to be available. As a result, to estimate its velocities, a smooth differentiator is used as an observer. The proposed differentiator has a unique feature that reduces high-frequency chattering compared to the conventional sliding mode-based differentiators. The globally converging RED is taken into account.

$$\dot{\hat{r}}_{1\eta} = -\gamma_{1\eta}(t, \bar{r}_{\eta}) |\psi_{1\eta}|^{1/2} sign\psi_{1\eta} + \hat{\bar{r}}_{i2}, \qquad (15)$$

$$\dot{\tilde{r}}_{2\eta} = -\frac{\gamma_{2\eta}(t, r_{\eta})}{2} sign\psi_{1\eta}, \qquad (16)$$

where  $\gamma_{1\eta}$  and  $\gamma_{2\eta}$  are the variable gains of RED observer expressed in Eq. (15) and Eq. (16), respectively:

$$\gamma_{1\eta}(t,\bar{r}_{\eta}) = \chi_{i} + \frac{1}{\beta_{i}} \left[ \frac{V_{\eta}^{2}(t,\bar{r}_{\eta})}{2\epsilon_{i}} + 2\epsilon_{i}(\beta_{i} + 4\epsilon_{i}^{2}) + 4\epsilon_{i}V_{\eta}(t,\bar{r}_{\eta}) \right], \quad (17)$$

$$\gamma_{2\eta}(t,\bar{r}_{\eta}) = 2\epsilon_i \gamma_{1\eta}(t,\bar{r}_{\eta}) + \beta_i + 4\epsilon_i^2, \qquad (18)$$

where  $i = 1, 2, 3, 4, \eta = b, s, e, w$  and the arbitrary positive constants are  $\chi_i$ ,  $\epsilon_i$ ,  $\beta_i$ . It is worth noting that the error dynamics are globally converged to zero in limited time with the aid of this velocity observer. Now, the previously mentioned robust global convergence differentiator can be used to estimate the derivatives of the AUTAREP robotic manipulator system.

For the waist (base) joint,

$$\dot{\hat{r}}_{1b} = -\gamma_{1b} |\hat{r}_{1b} - r_{1b}|^{1/2} sign(\hat{r}_{1b} - r_{1b}) + \hat{r}_{1b}, \dot{\hat{r}}_{2b} = -\frac{\gamma_{2b}}{2} sign(\hat{r}_{1b} - r_{1b}) \gamma_{1b} = \delta_1 + \frac{1}{\beta_1} \left( \frac{V_1^2}{2\epsilon_1} + 2\epsilon_1(\beta_1 + 4\epsilon_1^2) + 4\epsilon_1 V_1 \right), \gamma_{2b} = 2\epsilon_1 \gamma_{1b} + \beta_1 + 4\epsilon_1^2$$

$$(19)$$

For the shoulder joint,

$$\dot{\hat{r}}_{1s} = -\gamma_{1s} |\hat{r}_{1s} - r_{1s}|^{1/2} sign(\hat{r}_{1s} - r_{1s}) + \hat{r}_{2s}, 
\dot{\hat{r}}_{2s} = -\frac{\gamma_{2s}}{2} sign(\hat{r}_{1s} - r_{1s}) 
\gamma_{1s} = \delta_2 + \frac{1}{\beta_2} \left( \frac{V_2^2}{2\epsilon_2} + 2\epsilon_2(\beta_2 + 4\epsilon_2^2) + 4\epsilon_2 V_2 \right), 
\gamma_{2s} = 2\epsilon_2\gamma_{1s} + \beta_2 + 4\epsilon_2^2$$
(20)

For the elbow joint,

$$\dot{\hat{r}}_{1e} = -\gamma_{1e} |\hat{r}_{1e} - r_{1e}|^{1/2} sign(\hat{r}_{e1} - r_{1e}) + \hat{r}_{2e}, \dot{\hat{r}}_{2e} = -\frac{\gamma_{2e}}{2} sign(\hat{r}_{1e} - r_{1e}) \gamma_{1e} = \delta_3 + \frac{1}{\beta_3} \left( \frac{V_3^2}{2\epsilon_3} + 2\epsilon_3(\beta_3 + 4\epsilon_3^2) + 4\epsilon_3 V_3 \right), \gamma_{2e} = 2\epsilon_3\gamma_{1e} + \beta_3 + 4\epsilon_3^2$$

$$\left. \right\}$$

$$(21)$$

For the wrist joint,

$$\dot{\hat{r}}_{1w} = -\gamma_{1w} |\hat{r}_{1w} - r_{1w}|^{1/2} sign(\hat{r}_{1w} - r_{1w}) + \hat{r}_{2w}, 
\dot{\hat{r}}_{2w} = -\frac{\gamma_{2w}}{2} sign(\hat{r}_{1w} - r_{1w}) 
\gamma_{1w} = \delta_4 + \frac{1}{\beta_4} \left( \frac{V_4^2}{2\epsilon_4} + 2\epsilon_4(\beta_4 + 4\epsilon_4^2) + 4\epsilon_4 V_4 \right), 
\gamma_{2w} = 2\epsilon_4 \gamma_{1w} + \beta_4 + 4\epsilon_4^2$$
(22)

### B. NEURAL NETWORK-BASED APPROXIMATION

In recent years, controllers based on neural networks (NN) have gained significant interest. The controller uses neural networks' capabilities to learn nonlinear functions and handle specific problems that need large parallel computing. This subsection discusses how to approximate friction torque  $(T_{F\eta})$  using a FFNN and it is presented in Fig.3. The network's information flows exclusively in the forward direction. It starts from the level of the input layer and later flows to the level of hidden layers, if they are any. Finally, it concludes at the output layer. The output of feedforward networks is entirely dependent on the network input (and, in some instances, the output is constant while the network input is fixed).



FIGURE 3. FFNN for robotic manipulator.

The approximation function under consideration is a three-layer feedforward neural network (TLFFNN). The TLFFNN consists of one input layer with one hidden layer and one output layer. The hidden layer of TLFFNN has artificial neurons, N = 10. The artificial NN is primarily trained and guided by mapping the input data to the output data. As soon as the system has been trained, the estimated model functions will adapt autonomously and then provide the desired output under running conditions based on the training data provided by the user. Optimization techniques are used in the training of the artificial NN. It is worth noting that the friction states  $r_{z\eta}$  and  $\dot{r}_{z\eta}$  of the robotic manipulator and the estimated velocity of the joints obtained from robust exact differentiator functioned as inputs of TLFFNN.  $T_{F\eta}$  is considered a network output. Consider  $T_{F\eta} = J_r$ :

$$P_{N} = \mathcal{N}_{N}^{1} \left( \sum_{n=1}^{N} \mathcal{Q}_{N,n}^{1} X + d_{N}^{1} \right)$$

$$= \mathcal{N}_{N}^{1} \left( \mathbf{Q}_{N}^{1T} \mathbf{X}_{n} + d_{N}^{1} \right),$$

$$\hat{J}_{r} = \mathcal{N}_{m}^{2} \left( \sum_{N=1}^{m} \hat{\mathcal{Q}}_{J_{r},N}^{2} P_{N} \right)$$

$$= \hat{\mathbf{Q}}_{J_{r}}^{2^{T}} \mathbf{P}_{N}$$

$$(23)$$

VOLUME 10, 2022

(32)

where n = 3 and m = 1 denote the number of network inputs and outputs for a single joint of robotic manipulator, respectively (for all joints, n = 12 and m = 4). The hidden layer of neurons is N = 10 and M = 4 is the number of output layer neurons.

The tan-sigmoid  $\mathcal{N}_N^1 \in \mathbb{R}^n \to \mathbb{R}^N$  and pure linear activation  $\mathcal{N}_M^2 \in \mathbb{R}^M \to \mathbb{R}^m$  are functions of the hidden layer neuron and output layer neuron, respectively.  $b_N^1 \in \mathbb{R}^n$ demonstrate the network bias that are utilized to improve learning speed during network training. The input vector is described as  $X = [r_{z\eta} \quad \dot{r}_{z\eta} \quad \dot{r}_{1\eta}] \in \mathbb{R}^n$ .  $\hat{T}_{F\eta}$  is the desired target output.  $Q_N^1 \in \mathbb{R}^n$  and  $Q_{J_r}^2$  are the hidden layer and output layers weights vector, respectively. The suggested NN's output algorithm is as follows:

$$J_r = \mathbf{Q}_{J_r}^{2^T} \mathbf{P} + e_J, \qquad (24)$$

where  $e_J$  describes the network approximation error.

$$\tilde{J}_r = \hat{J}_r - J_r = \tilde{T}_{F\eta}$$
$$= \tilde{\mathbf{Q}}_{J_r}^{2^T} \mathbf{P} - e_J$$
(25)

$$\tilde{\mathbf{Q}}_{J_r}^2 = \hat{\mathbf{Q}}_{J_r}^2 - \mathbf{Q}_{J_r}^2, 
\dot{\tilde{\mathbf{Q}}}_{J_r}^2 = \dot{\hat{\mathbf{Q}}}_{J_r}^2.$$
(26)

### C. FIT-SMC SCHEME

The difference between the expected and reference trajectories in the controller that generates the control inputs is utilized as a performance benchmark. The speed of the different motors fluctuates and varies as the control inputs are supplied to the actuator. As an outcome, the underlying system's anticipated motion is accomplished. The reference tracking errors expressed for the said purpose are given in the following equation:

$$e_{\eta} = r_{1\eta} - r_{d\eta},$$
  

$$\dot{e}_{\eta} = \dot{r}_{1\eta} - \dot{r}_{d\eta},$$
  

$$\ddot{e}_{\eta} = \ddot{r}_{1\eta} - \ddot{r}_{d\eta}.$$
(27)

In contrast to the conventional SMC-based designs, TSMC has superior speedy and finite-time convergence characteristics, which improves high-precision control performance by increasing the convergence rate towards an equilibrium point. Consider the sliding surface manifold design as described in the following equation to accomplish the primary control objectives:

$$\delta_{\eta} = \dot{e}_{\eta} + \alpha_{\eta} e_{\eta} + \beta_{\eta} \int_{0}^{t} |e_{\eta}|^{\gamma_{\eta}} sign(e_{\eta}) dt, \qquad (28)$$

where  $\delta_{\eta} \in \mathbb{R}^{n}$ ,  $\alpha_{\eta}$ ,  $\beta_{\eta} > 0$ , and  $0 < \gamma_{\eta} < 1$  is the positive number. Henceforth, the time derivative of  $\delta_{\eta}(t)$  is used to retain the system on the integral terminal sliding surface  $\delta_{\eta}(t) = 0$ . The time derivative of Eq. (28) is determined as follows:

$$\dot{\delta}_{\eta} = \ddot{e}_{\eta} + \alpha_{\eta} \dot{e}_{\eta} + \beta_{\eta} |\dot{e}_{\eta}|^{\gamma_{\eta}} sign(e_{\eta}).$$
<sup>(29)</sup>

VOLUME 10, 2022

The objective is accomplished in SMC by setting  $\dot{\delta}_{\eta} = 0$ . Using this value as a substitute in Eq. (29),

$$0 = \ddot{e}_{\eta} + \alpha_{\eta} \dot{e}_{\eta} + \beta_{\eta} |\dot{e}_{\eta}|^{\gamma_{\eta}} sign(e_{\eta}).$$
(30)

Substituting the values of error dynamics from Eq. (27) into Eq. (30),

$$0 = \dot{r}_{2\eta} - \ddot{r}_{d\eta} + \alpha_{\eta}(\dot{r}_{1\eta} - \dot{r}_{d\eta}) + \beta_{\eta}|\dot{r}_{1\eta} - \dot{r}_{d\eta}|^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta}),$$
(31)  
$$\dot{r}_{2\eta} = \ddot{r}_{d\eta} - \alpha_{\eta}(\dot{r}_{1\eta} - \dot{r}_{d\eta}) - \beta_{\eta}|\dot{r}_{1\eta} - \dot{r}_{d\eta}|^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta}).$$

Replace the value of  $\dot{r}_{2\eta}$  in Eq. (32) from Eq. (10). Therefore, Eq. (31) after considering TLFFNN can be written as follows:

$$0 = M^{-1} \bigg[ \tau_{\eta} - (C_F r_{2\eta} + Gr_{1\eta} + \hat{T}_{F_{\eta}}) \bigg] - \ddot{r}_{d\eta} + \alpha_{\eta} (\dot{r}_{1\eta} - \dot{r}_{d\eta}) + \beta_{\eta} |\dot{r}_{1\eta} - \dot{r}_{d\eta}|^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta}).$$
(33)

Solving Eq. (33) for  $\tau_{\eta}$ , we get

$$\tau_{\eta} = M \left[ \ddot{r}_{d\eta} - \alpha_{\eta} (r_{1\eta} - \dot{r}_{d\eta}) - \beta_{\eta} |r_{1\eta} - \dot{r}_{d\eta}|^{\gamma_{\eta}} + sign(r_{1\eta} - r_{d\eta}) \right] + C_F r_{2\eta} + Gr_{1\eta} + \hat{T}_{F_{\eta}} \quad (34)$$

The SMC law's control input is divided into two components. The equivalent control legislation ( $\tau_{\eta eq}$ ) is the first component, and it is a continuous term. The signum function is used in the second half of the discontinuous control legislation ( $\tau_{\eta dis}$ ). The sliding phase drive system guarantees slide to equilibrium, while the reaching phase drive system maintains a steady manifold. Consider the robotic manipulator system's overall control law ( $\tau_{\eta t}$ ) as follows:

$$\tau_{\eta t} = \tau_{\eta_{dis}} + \tau_{\eta_{eq}}.$$
(35)

The discontinuous function  $\tau_{\eta dis}$  is defined in the following equation to compensate for the dynamic model uncertainties.

$$\tau_{\eta_d is} = -\Upsilon_{1\eta} \delta_\eta - \Upsilon_{2\eta} sign(\delta_\eta).$$
(36)

The equivalent control input  $\tau_{\eta eq}$  can be described as in the following equation:

$$\tau_{\eta eq} = M \bigg[ \ddot{r}_{d\eta} - \alpha_{\eta} (r_{1\eta} - \dot{r}_{d\eta}) \\ - \beta_{\eta} |r_{1\eta} - \dot{r}_{d\eta}|^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta}) \bigg] + C_F r_{2\eta} + Gr_{1\eta} + \hat{T}_{F_{\eta}}.$$
(37)

By invoking the values from Eq. (36) and Eq. (37) into Eq. (35), the total control effort  $\tau_{\eta t}$  of robotic manipulator system is given by the following:

$$\tau_{\eta t} = M \bigg[ \ddot{r}_{d\eta} - \alpha_{\eta} (r_{1\eta} - \dot{r}_{d\eta}) \\ - \beta_{\eta} |r_{1\eta} - \dot{r}_{d\eta}|^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta}) \bigg] + C_F r_{2\eta} + Gr_{1\eta} \\ + \hat{T}_{F_{\eta}} - \Upsilon_{1\eta} \delta_{\eta} - \Upsilon_{2\eta} sign(\delta_{\eta}),$$
(38)

where  $\Upsilon_{1\eta}$  and  $\Upsilon_{2\eta}$  are constants with positive value. The control input  $\tau_{\eta t}$  will be used to execute the tracking task for robotic manipulator joints.

The following theorem is presented to demonstrate sliding mode enforcement and tracking errors convergence in finite time.

Theorem 1: Consider the robotic manipulator dynamics described by Eq. (10). In the presence of matched uncertainties, the proposed Eq. (28), the reaching law Eq. (36), and the robust control law Eq. (38) provide finite-time enforcement of the sliding mode. Furthermore, the tracking errors converge to the origin in a finite amount of time.

*Proof:* In order to prove the above statement theorem, the Lyapunov function time derivative is given by function  $L_r$ , along the dynamics Eq. (10), one gets

$$L_r = \frac{1}{2}\delta_\eta^2,\tag{39}$$

$$\dot{L}_r = \delta_\eta \dot{\delta}_\eta, \tag{40}$$

$$\begin{split} \dot{L}_{r} &= \delta_{\eta} \bigg[ M^{-1} (\tau_{\eta t} - (C_{F} r_{2\eta} + G r_{1\eta} + \hat{T}_{F\eta})) \\ &- \ddot{r}_{d\eta} + \alpha_{\eta} (\dot{r}_{1\eta} - \dot{r}_{d\eta}) \\ &+ \beta_{\eta} |\dot{r}_{1\eta} - \dot{r}_{d\eta}|^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta}) \bigg]. \end{split}$$
(41)

Substituting Eq. (38) in Eq. (41) and then re-arranging it, one has

$$\dot{L}_{r} = \delta_{\eta} \left( -\Upsilon_{1\eta} \delta_{\eta} - \Upsilon_{2\eta} sign(\delta_{\eta}) \leq -\Upsilon_{1\eta} \delta_{\eta}^{2} - \Upsilon_{2\eta} |\delta_{\eta}|,$$
(42)

$$\dot{L}_r + 2\Upsilon_{1\eta}L_r + \sqrt{2L_r}\Upsilon_{2\eta} \le 0, \tag{43}$$

where  $\bar{\Upsilon}_{1\eta} = 2\Upsilon_{1\eta}, \, \bar{\Upsilon}_{2\eta} = \sqrt{2}\Upsilon_{2\eta},$ 

$$\dot{L}_r + \bar{\Upsilon}_{1\eta} L_r + \bar{\Upsilon}_{2\eta} \sqrt{L_r} \le 0.$$
(44)

The numerical expression of the settling time is derived from Eq. (44) in the following form:

$$T_{S} \leq \frac{1}{2\bar{\Upsilon}_{1\eta}} \ln\left(\frac{\bar{\Upsilon}_{1\eta}\sqrt{L_{r}}\left(\delta_{\eta}\left(0\right)\right) + \bar{\Upsilon}_{2\eta}}{\bar{\Upsilon}_{2\eta}}\right).$$
(45)

The finite-time FIT-SMC function defined in Eq. (28) is ensured by the differential inequality in Eq. (44) with sliding mode convergence time in Eq. (45). Now, it is abundantly obvious that  $\delta_{\eta} = 0$  is obtained by ensuring sliding modes along Eq. (28). To put that into perspective, when  $\delta_{\eta}$ approaches zero, one must deal with

$$\ddot{e}_{\eta} + \alpha_{\eta} \dot{e}_{\eta} + \beta_{\eta} |\dot{e}_{\eta}|^{\gamma_{\eta}} sign(e_{\eta}) = 0.$$
(46)

The second-order differential equation is finite-time stable in  $\delta_{\eta}$ ; i.e.,  $\delta_{\eta} \longrightarrow 0$  in finite time. It is worth noting that the estimation of frictional torque is done using FFNNs. It is appropriate to address neural networks in the following subsection at this stage.

### D. STABILITY ANALYSIS WITH LYAPUNOV FUNCTION

For stability analysis, the enhanced Lyapunov function is described as follows:

$$V = \frac{1}{2}\delta_{\eta}^2 + \frac{1}{2\zeta_1}\tilde{\mathbf{Q}}_{J_r}^{2^T}\tilde{\mathbf{Q}}_{J_r}^2.$$
(47)

The Lyapunov candidate function derivative is computed as follows:

$$\dot{V} = \delta_{\eta} \dot{\delta}_{\eta} + \frac{1}{\zeta_1} \tilde{\mathbf{Q}}_{J_r}^{2^T} \dot{\hat{\mathbf{Q}}}_{J_r}^2.$$
(48)

By substituting the values of  $\dot{\delta}_{\eta}$  from Eq. (33),

$$\dot{V} = \delta_{\eta} \bigg[ \dot{r}_{2\eta} - \ddot{r}_{d\eta} + \alpha_{\eta} (\dot{r}_{1\eta} - \dot{r}_{d\eta}) + \beta_{\eta} |\dot{r}_{1\eta} - \dot{r}_{d\eta}|^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta}) \bigg] + \frac{1}{\zeta_{1}} \tilde{\mathbf{Q}}_{J_{r}}^{2^{T}} \dot{\mathbf{Q}}_{J_{r}}^{2}.$$
(49)

By replacing the value of  $\dot{r}_{2\eta}$  with estimated friction torque  $T_{z\eta}$ , Eq. (49) after TLFFNN is

$$\dot{V} = \delta_{\eta} \left[ M^{-1} (\tau_{\eta t} - (C_F r_{2\eta} + G r_{1\eta} + \hat{T}_{F\eta})) - \ddot{r}_{d\eta} + \alpha_{\eta} (\dot{r}_{1\eta} - \dot{r}_{d\eta}) + \beta_{\eta} |\dot{r}_{1\eta} - \dot{r}_{d\eta}|^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta}) \right] + \frac{1}{\zeta_{1}} \tilde{\mathbf{Q}}_{J_{r}}^{2^{T}} \dot{\mathbf{Q}}_{J_{r}}^{2}.$$
 (50)

The control input is built accordingly after evaluation of TLFFNN is demonstrated as follows:

$$\tau_{\eta t} = M \left[ \ddot{r}_{d\eta} - \alpha_{\eta} (r_{1\eta} - \dot{r}_{d\eta}) - \beta_{\eta} | r_{1\eta} - \dot{r}_{d\eta} |^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta}) \right] + C_F r_{2\eta} + G r_{1\eta} + \hat{T}_{F\eta} - \Upsilon_{1\eta} \delta_{\eta} - \Upsilon_{2\eta} sign(\delta_{\eta}).$$
(51)

By inserting the value of control input from Eq. (51), we get

$$\dot{V} = \delta_{\eta} \bigg[ -\Upsilon_{1\eta} \delta_{\eta} - \Upsilon_{2\eta} sign(\delta_{\eta}) - C_F r_{2\eta} - Gr_{1\eta} - \hat{T}_{F\eta} - M(\ddot{r}_{d\eta} - \alpha_{\eta}(\dot{r}_{1\eta} - \dot{r}_{d\eta}) - \beta_{\eta} |\dot{r}_{1\eta} + \dot{r}_{d\eta}|^{\gamma_{\eta}} sign(r_{1\eta} - r_{d\eta})) \bigg] \\ + \frac{1}{\zeta_1} \tilde{\mathbf{Q}}_{J_r}^{2^T} \dot{\mathbf{Q}}_{J_r}^2.$$
(52)

By solving Eq. (52) and taking Eq. (23) and Eq. (24) into consideration, we get

$$\dot{V} = \delta_{\eta} \left( -\Upsilon_{1}\delta_{\eta} - \Upsilon_{2}sign(\delta_{\eta}) - \tilde{\mathbf{Q}}_{J_{r}}^{2^{T}}\mathbf{P} + e_{J} \right) + \frac{1}{\zeta_{1}}\tilde{\mathbf{Q}}_{J_{r}}^{2^{T}}\dot{\mathbf{Q}}_{J_{r}}^{2},$$
(53)
$$\dot{V} = -\Upsilon_{1}\delta_{\eta}^{2} - \Upsilon_{2}\delta_{\eta}sign(\delta_{\eta}) + \delta_{\eta}e_{J} - \delta_{\eta}\tilde{\mathbf{Q}}_{J_{r}}^{2^{T}}\mathbf{P} + \frac{1}{\zeta_{1}}\tilde{\mathbf{Q}}_{J_{r}}^{2^{T}}\dot{\mathbf{Q}}_{J_{r}}^{2},$$
(54)
$$\dot{V} = -\Upsilon_{1}\delta_{\eta}^{2} - \Upsilon_{2}\delta_{\eta}sign(\delta_{\eta}) + \delta_{\eta}(e_{J}) - \tilde{\mathbf{Q}}_{J_{r}}^{2^{T}} \left(\delta_{\eta}\mathbf{P} - \frac{1}{\zeta_{1}}\dot{\mathbf{Q}}_{J_{r}}^{2}\right).$$
(55)

The predicted weight selected from the above Eq. (55) is as follows:

$$\dot{\hat{\mathbf{Q}}}_{J_r}^2 = \zeta_1 \mathbf{P} \delta_\eta. \tag{56}$$

The  $e_J$  elements are assumed to be norm bounded by a constant  $\Gamma_{\alpha} \in \mathbb{R}^n$  having positive value. Therefore, Eq. (56) can be written as follows:

$$\dot{V} = -\Upsilon_1 \delta_\eta^2 - \Upsilon_2 \delta_\eta sign(\delta_\eta) + \delta_\eta \Gamma_\alpha, \qquad (57)$$

$$\dot{V} \le -\Upsilon_1 \delta_\eta^2 - \left[ \Upsilon_2 - |\Gamma_\alpha| \right] |\delta_\eta|, \tag{58}$$

where  $\Upsilon_1$  is a constant with a positive value and if the gain of controller  $\Upsilon_2$  is selected in such a manner that  $\Upsilon_2 > |\Gamma_{\alpha}|$ , then Eq. (58) can be written as Eq. (59). Therefore, Eq. (59) would be negatively semidefinite:

$$\dot{V} \le -\Upsilon_1 \delta_\eta^2 - \Delta |\delta_\eta|,\tag{59}$$

where  $\Delta$  in Eq. (59) is defined as  $\Delta = \min(\Upsilon_2 - \Delta, \Upsilon_2 + \Delta)$ . As approximation error  $e_J$  based on NN has a minimum value, the state of the system achieves an equilibrium point in finite duration.

### **IV. SIMULATION RESULTS AND DISCUSSION**

The proposed control technique has been simulated in MATLAB/Simulink for AUTAREP five-DoF robotic manipulator. A step signal is employed to the controller for the trajectory control of the AUTAREP robotic manipulator. Fig. 4 demonstrates the trajectory control of robotic manipulator joints with desired step input signal. The suggested control methodology assures the closed-loop equilibrium convergence in a finite time and has a fast convergence rate. The conventional sliding mode's asymptotic convergence of states is overcome and it a better convergence feature than regular SMC. The settling time of AUTAREP robotic manipulator joints after implementing the control algorithm is approximately between 0.2 secs and 0.3 secs. Similarly, the percentage overshoot of the AUTAREP robotic manipulator joints is below 1.5%. Table 3 presents the AUTAREP robot manipulator joints' performance parameters such as overshoot, settling time, and rise time.



FIGURE 4. Step response of robotic manipulator joints.

**TABLE 3.** Performance parameters of robotic manipulator joints. The unit of all the times is secs.

Parameter	AUTAREP robotic manipulator joints			
	Waist	Shoulder	Elbow	Wrist
Settling time $(t_s)$	0.2060	0.2340	0.2950	0.2418
% overshoot	1.0766	1.5118	0	$8.2  imes 10^{-8}$
% undershoot	0	0.1064	0.0015	0
Rise time $(t_s)$	0.1411	0.1567	0.2002	0.1624
Peak time $(t_p)$	0.2512	0.2886	5	4.9992



**FIGURE 5.** Control effort  $(\tau_{nt})$  of the robotic manipulator joints.

The control input torque  $(\tau_{\eta l})$  of the robotic manipulator is illustrated in Fig. 5. Moreover, the control input for the proposed control strategy is realistic, and there is no switch function in FIT-SMC; therefore, the chattering issue is avoided. In a finite time, the tracking error converges to zero as presented in Fig. 6. The sliding mode enforcement is achieved at the start of the process, and the global robustness of the closed-loop system is ensured.

One of the most effective ways of tackling uncertainties appears to be the SMC methodology. Sliding mode, as widely known, is precise and insensitive to disturbances [42], [43]. The joints friction torque of the robotic manipulator is estimated and trained using FFNN, as depicted in Fig. 7. However, in the dynamic model of a robotic manipulator, the friction state is not measurable; therefore, FFNN is implemented to estimate joints friction torque. Fig. 8 presents the estimated velocities of the robotic manipulator through variable-gain differentiator observer.

In this methodology, it is understood that merely the measurements of position states of the robotic manipulator are



FIGURE 6. Error signals of the robotic manipulator joints.



**FIGURE 7.** Friction torque  $(T_F)$  of the robotic manipulator joints.

accessible. The dynamics error under differentiators will converge to zero after the convergence procedure. It means that the estimated states reach the original states of the robotic manipulator in finite time. Fig. 9 and Fig. 10 present the step response of the waist and shoulder joints of the robotic manipulator with the RED observer and without the RED observer. The results obtained using the RED observer are compared with those when velocities of waist and shoulder joints are available for control design. Various parameters have been identified for the performance achieved by the controller. It is important to be as accurate and comprehensive as possible; the comparative findings are summarized based on the step input signal in Table 4. This table takes into the consideration the characteristics, such as settling time, maximum percentage undershoot and overshoot, rise time, and peak time.



FIGURE 8. Estimated velocity of the robotic manipulator joints.

**TABLE 4.** Comparison of performance parameters. The unit of all the times is secs.

	AUTAREP Robotic Manipulator Joints			
Parameter	With RED		Without RED	
	Waist	Shoulder	Waist	Shoulder
Settling time $(t_s)$	0.2060	0.2340	0.28630	0.3640
% overshoot	1.0766	1.5118	2.5677	3.5982
% undershoot	0	0.1064	0	0.1483
Rise time $(t_s)$	0.1411	0.1567	0.1431	0.1574
Peak time $(t_p)$	0.2512	0.2886	0.2568	0.2987



FIGURE 9. Step response of the base joint of the robotic manipulator with and without RED observer.

More crucially, due to the robust behavior and excellent performance (compared to noisy information) shown by the suggested RED, the control algorithm appreciably



**FIGURE 10.** Step response of the shoulder joint of the robotic manipulator with and without RED observer.

accomplished stable tracking performance asymptotically. Hence, the newly proposed controller is chosen as the excellent candidate in the most recent control approaches because it eliminates the dependence on the corresponding sensors. Therefore, it decreases the reliance on the sensor in case of sensor failure.

### **V. CONCLUSION**

In this article, an AUTAREP robotic manipulator of five DoFs is, firstly, modeled using the dynamic LuGre friction model. Then, a finite-time FIT-SMC is proposed for five-DoF AUTAREP robotic manipulators, followed by a RED observer and FFNN approach. As an observer, a variablegain RED is employed to estimate the velocity states of five-DoF AUTAREP robotic manipulator joints, which are vital for developing the suggested controller methodology. The friction is considered an unmeasurable internal state in the dynamic model of the AUTAREP robotic manipulator; therefore, FFNN is developed in which the friction torque is trained and approximated. The FIT-SMC method is employed to the AUTAREP robotic manipulator that provides finite-time convergence to the equilibrium points and guarantees good trajectory tracking performance. Furthermore, it enhanced the robust performance of the AUTAREP robotic manipulator. The proposed approaches keep the benefits of classic SMC, such as speedy response, ease of implementation, and robustness to disturbances/uncertainties, and allow the system states to reach the objective control point in a finite amount of time. The disadvantage of adopting a NN model in the proposed technique development is that it is a computationally complicated function that takes a long time to execute. It can take time for a network to converge to an optimum learning state with minimal error using conventional personal computer hardware and the backpropagation algorithm. Simultaneously, the Lyapunov candidate function is used to present the finite-time stability analysis. The simulation findings and comparison of the proposed control algorithm with RED and without RED observer validate the effectiveness of the proposed scheme.

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