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# Development of Frequency Fixed Sliding Discrete Fourier Transform Filter Based Single-Phase Phase-Locked Loop

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**ABSTRACT** The single-phase phase-locked loop (PLL) is essential for the stable operation and control of single-phase grid-connected converters. However, in practical applications, the grid voltage is usually affected by harmonics and dc offset, which will cause errors in the output of the PLL. Therefore, using sliding discrete Fourier transform filter (SDFT) as a prefilter, this paper proposes an improved singlephase synchronous reference frame PLL with a fixed sampling frequency, which can accurately and quickly obtain the grid parameters under distorted grid conditions. Most importantly, the paper theoretically analyzes phase and amplitude errors generated by SDFT for the first time and a quantitative compensation method is proposed, which is straightforward to be used by a reader. Finally, the proposed PLL is compared with other PLLs through simulation and experiments. The experimental results show the effectiveness and practicability of the proposed method.

**INDEX TERMS** Sliding discrete fourier transform filter (SDFT), phase-locked loop (PLL), dc offset, harmonic.

#### **I. INTRODUCTION**

In recent years, single-phase grid-connected power conditioning systems such as pulse width modulation (PWM) rectifier [1], active power filters, distributed generation, uninterruptible power supplies (UPS), etc. have attracted more and more attention [2]. Therefore, obtaining the grid voltage phase accurately and quickly is the key factor to ensure the stable operation of these systems.

At present, phase-locked loop (PLL) is a widely used method to obtain grid synchronization signals. The block diagram of the single-phase PLL is shown in Fig. 1, which consists of three parts: 1) phase detector (PD), 2) loop filter (LF), 3) voltage controlled oscillator (VCO). According to the design of PD, single-phase PLLs can be divided into powerbased PLLs (pPLLs) and quadrature signal generation-based PLLs (QSG-PLLs) [3].

The pPLLs generate the phase error signal  $V_e$  by multiplying the output voltage signal and the input voltage signal, which is easy to implement. However, this method causes

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**FIGURE 1.** Block diagram of a typical single-phase PLL.

double-frequency oscillatory errors. To solve this problem, notch filter-based PLL (NF-PLL) [4] and programmable cascaded low-pass filter-based PLL (PCLPF-PLL) [5] are proposed. But the dynamic response speed of the system is sacrificed due to the introduction of filters.

On the other hand, the QSG-PLL has attracted wide attention due to its effectiveness and reliability. The QSG-PLL is derived from the synchronous reference frame PLL (SRF-PLL) in the three-phase system. The key point of QSG-PLL is how to accurately and quickly generate virtual orthogonal component. There are various QSG methods such as transfer delay-based PLL (TD-PLL) [3], linear Kalman filter-based PLL (LKF-PLL) [6], inverse Park transformation-based PLL (IPT-PLL), Hilbert transformer-based PLL (HT-PLL) [7],

second-order generalized integrator-based PLL (SOGI-PLL) [8], all pass filter-based PLL (APF-PLL) [9], etc. The above methods work well under ideal conditions but these PLLs will suffer a large phase error under grid voltage disturbances such as harmonics, dc offset. Therefore, they will not meet the requirements of the grid-connected system.

In recent years, many advanced PLLs have been proposed. [10] proposes a PLL based on the differential elements (DE-PLL). It generates orthogonal component through differential method and has a fast response speed. When the grid frequency changes, the out of the TD-PLL will contain a double frequency term, which will cause offset errors. Therefore, [11] proposes the nonfrequencydependent TD-PLL (NTD-PLL) to correct the offset error of the PLL output, but it still cannot remove the doublefrequency oscillation term. The extended Kalman filter-based PLL is complicated to design and requires constant adjustment of parameters, which is difficult to achieve in practice. [12] proposes an advanced design version of Kalman filterbased PLL, which has a simple structure and uses a fixed gain. The performance of traditional SOGI-PLL algorithm will be seriously affected when the input signal contains dc offset. Therefore, [13] proposes *dq*-frame delayed signal cancellation based PLL (*dq*DSC<sub>2</sub>-PLL). However, it has a low response speed and a large estimated phase error when the grid distortion is serious. [14] proposes a method for detecting frequency and phase through an adaptive sliding mode observer, which has a simple structure and high realtime performance. However, this method does not perform well when the grid input signal is distorted. A novel PLL based on a circular limit cycle oscillator (CLO) is proposed in [15], but it cannot suppress the influence of harmonics and dc offset on the PLL. The improved scheme of this PLL can be found in [16]. [17] proposes a single-phase PLL by using multiple complex band-pass filters as pre-filters, which shows good performance in the case of distorted grid conditions. However, when the sampling frequency is low, the estimated frequency will produce errors [18]. Recently, [19], [20] proposes an advanced frequency-fixed SOGI-based PLL (FFSOGI-PLL) to improve dc-offset rejection ability of the traditional SOGI-PLL. By adding a fractional gain parameter, [21] proposes an adaptive FFSOGI-PLL, to improve the antifrequency interference ability of FFSOGI-PLL. However, the above improved versions of the SOGI-PLL cannot obtain the estimated phase without steady error under harmonic interference. The multiple SOGIs-based PLL (MSOGI-PLL) effectively solves this problem, but the system response time requires at least two fundamental periods [22].

Discrete Fourier transform (DFT) is popular in power systems for signal spectrum analysis and harmonic detection. Recently, a sliding discrete Fourier transform filter (SDFT) is proposed. In [24], a method for acquiring the synchronous fundamental signal based on SDFT is proposed, which can suppress the dc offset and harmonic. However, the estimated phase will produce large deviations in the case of asynchronous sampling.

In this case, [25] presents a method, which multiplies the quadrature signal obtained from the SDFT and the input signal to produce the phase error signal, *Ve*. In addition, it realizes the frequency adaptation by feeding back the estimated frequency to a numerically controlled oscillator (NCO). However, all the above methods need to change the sampling frequency. For the single-phase grid-connected systems, each subsystem such as current controller and grid synchronization control must adopt a consistent sampling frequency. Therefore, the method of implementing the SDFTbased PLL frequency adaptation by feedback of the estimated frequency is not suitable for these applications.

An improved SDFT-based SRF-PLL with fixed sampling frequency is proposed in this paper. By using SDFT as the prefilter, the pure fundamental component of the input voltage can be accurately obtained when the input voltage signal contains harmonics and dc offset. Then, the phase can be detected by SRF-PLL without generating fictitious quadrature component and introducing additional filters. Most importantly, this paper analyzes the phase and amplitude offset errors generated by SDFT during asynchronous sampling for the first time, and proposes a quantitative compensation algorithm based on this analysis to improve the performance of the PLL under frequency changes. Finally, through experiment and simulation comparison with the other two PLL methods, the superiority of the proposed algorithm is proved.

Our contribution is as follows.

1. In the case of asynchronous sampling, this article theoretically analyzes the phase and amplitude errors generated by SDFT for the first time, and proposes a compensation algorithm that is easy for readers to follow.

2. A single-phase phase-locked loop based on a fixed frequency sliding discrete Fourier transform filter is proposed. Even in the case of grid distortion, the estimated phase can be obtained accurately and quickly.

3. In this paper, by improving the traditional SRF-PLL, the effort of generating quadrature component is eliminated. In addition, the double-frequency term in the  $u_q$  is eliminated by the feedback decoupling method, which avoids using additional filters and improves the dynamic performance.

The rest of the paper is organized as follows. Section I introduces the SDFT algorithm in detail. In Section II, the output error of SDFT during asynchronous sampling is analyzed in depth and the compensation method is proposed. Section III presents the proposed PLL based on fixed frequency SDFT. Simulation and experimental verification are carried out in Section IV. Finally, Section V concludes this paper.

# **II. SDFT**

DFT is widely used in signal processing, harmonic analysis and other occasions, but the traditional DFT algorithm is computationally intensive. This paper uses the SDFT method as the prefilter of the input voltage signal.



**FIGURE 2.** Frequency response diagram of the SDFT,  $N = 128$ ;  $T_0 = 20$ ms.

Assuming that the time domain signal is  $x(t)$ ,  $x\{k\}$  is generated by sampling at the rate of *N*/*T<sup>w</sup>* (*N* is the number of samples,  $T_w$  is the time window for the DFT, usually  $T_w = T_0$ ,  $T_0$  is the fundamental period of the input voltage). The mth harmonic  $X_m(k-1)$  at the  $k-1$  moment is expressed as follows.

<span id="page-2-0"></span>
$$
X_m (k-1) = \sum_{n=k-N}^{k-1} x_n e^{\frac{-j2\pi (k-1)m}{N}}
$$
 (1)

One time step later, the mth harmonic  $X_m(k)$  at the *k* moment can be obtained as follows.

<span id="page-2-1"></span>
$$
X_m(k) = \sum_{n=k-N+1}^{k} x_n e^{\frac{-j2\pi(k-1)m}{N}}
$$
 (2)

Subtracting [\(1\)](#page-2-0) from [\(2\)](#page-2-1) yields

<span id="page-2-3"></span>
$$
X_m(k) = X_m(k-1) + [x_k - x_{k-N}] e^{\frac{-j2\pi(k-1)m}{N}}
$$
 (3)

We can be obtained [\(4\)](#page-2-2) by inverse DFT transformation.

<span id="page-2-2"></span>
$$
x_m(k) = G_m X_m(k) e^{\frac{j2\pi(k-1)m}{N}}
$$
 (4)

where

$$
G_m = \begin{cases} N^{-1} & m = 0, \frac{N}{2} \\ 2N^{-1} & \text{otherwise} \end{cases} \tag{5}
$$

From [\(3\)](#page-2-3) and [\(4\)](#page-2-2), we can obtain the *z*-domain transfer function of SDFT.

<span id="page-2-4"></span>
$$
H(z) = \frac{1}{N} \left[ \frac{1 - z^{-N}}{1 - e^{\frac{j2\pi}{N}} z^{-1}} + \frac{1 - z^{-N}}{1 - e^{-\frac{j2\pi}{N}} z^{-1}} \right] \tag{6}
$$

where

$$
-z^{-N} = \prod_{k=0}^{N-1} \left( 1 - e^{\frac{j2\pi k}{N}} z^{-1} \right) \tag{7}
$$

It can be seen from [\(6\)](#page-2-4) and [\(7\)](#page-2-5) that the two poles cancel with the zeros corresponding to the fundamental frequency.

<span id="page-2-5"></span>1 − *z*



**FIGURE 3.** Block diagram for the SDFT.

Thus, the gain of the SDFT transfer function at other integer harmonic frequencies (including dc) is  $-\infty$  and the gain at the fundamental frequency is 0 dB. This conclusion can also be proved by the frequency response diagram of the SDFT shown in Fig. 2.

Finally, as shown in Fig. 3, by setting *m* to 1, the pure fundamental component of the input voltage signal can be obtained by [\(4\)](#page-2-2). In addition, the amplitude of the fundamental voltage can be calculated by  $V_\alpha(k)$ :

<span id="page-2-6"></span>
$$
A_1 = \frac{2}{N} \sqrt{[\text{Re}\{V_{\alpha}(k)\}]^2 + [\text{Im}\{V_{\alpha}(k)\}]^2}
$$
 (8)

Since the pole of the SDFT is located on the unit circle in the *z*-domain, the system is in a critical steady state. In [27], the stability of the system is improved by adding the stability factor  $r < 1$  and  $r$  is chosen to be 0.99999 in this paper.

### **III. FREQUECNY FIXED SDFT**

When the frequency of the input signal changes, the SDFT time window length is inconsistent with input signal period, that is,  $T_w \neq T_0$ . At this time, the output of the SDFT and the input signal obviously have a large phase and amplitude deviation. Therefore, in the case of sudden changes in frequency, quantitative compensation must be made.

The time domain signal  $x[k]$  obtained in the case of asynchronous sampling is shown in [\(9\)](#page-3-0).

<span id="page-3-0"></span>
$$
x[k] = A\cos(\frac{2\pi kT_w}{NT_0} + \varphi)
$$
\n(9)

where  $\varphi$  is the initial phase for the time sequence. We can get [\(10\)](#page-3-1) after a sampling period

<span id="page-3-1"></span>
$$
X_{m=1} = A \sum_{k=0}^{N-1} \cos(\frac{2\pi kT_w}{NT_0} + \varphi) e^{\frac{-j2\pi k}{N}} \tag{10}
$$

which is equal to

<span id="page-3-2"></span>
$$
X_{m=1} = \frac{A}{2} \sum_{k=0}^{N-1} \begin{pmatrix} j \left( \frac{2\pi}{T_0} \frac{kT_w}{N} + \varphi - \frac{2\pi k}{N} \right) \\ e^{-j \left( \frac{2\pi}{T_0} \frac{kT_w}{N} + \varphi + \frac{2\pi k}{N} \right)} \\ + e^{-j \left( \frac{2\pi}{T_0} \frac{kT_w}{N} + \varphi + \frac{2\pi k}{N} \right)} \end{pmatrix}
$$
(11)

solving [\(11\)](#page-3-2) gives

<span id="page-3-3"></span>
$$
X_{m=1} = \frac{A}{2} \left( \frac{e^{j\varphi} \left[ 1 - \exp\left(\frac{j2\pi T_w}{T_0}\right) \right]}{1 - e^{j\frac{2\pi}{N} \left(\frac{T_w}{T_0} - 1\right)}} + \frac{e^{-j\varphi} \left[ 1 - \exp\left(-\frac{j2\pi T_w}{T_0}\right) \right]}{1 - e^{-j\frac{2\pi}{N} \left(\frac{T_w}{T_0} + 1\right)}} \right)
$$
(12)

For the sake of analysis, define  $\lambda$  as follows

$$
\lambda = 2\pi (T_w - T_0)/T_0 \tag{13}
$$

Therefore, [\(12\)](#page-3-3) can be further written as

<span id="page-3-4"></span>
$$
X_{m=1} = \frac{A}{2} \left[ \frac{e^{j\varphi} \left[ 1 - e^{j\lambda} \right]}{1 - e^{j\frac{\lambda}{N}}} + \frac{e^{-j\varphi} \left[ 1 - e^{-j\lambda} \right]}{1 - e^{-j(\frac{\lambda}{N} + \frac{4\pi}{N})}} \right] \quad (14)
$$

Simplify [\(14\)](#page-3-4), we can get [\(15\)](#page-3-5), as shown at the bottom of the page.

Considering that  $\lambda$  is actually small, the phase after SDFT can be expressed as

$$
\theta = \tan^{-1}\left(\frac{Im_{X_m(k=N)}}{Re_{X_m(k=N)}}\right) = \varphi + \frac{\lambda}{2} = \varphi + \pi(\frac{T_w}{T_0} - 1) \tag{16}
$$

By further simplification, we can obtain [\(17\)](#page-3-6)

<span id="page-3-6"></span>
$$
\varphi = \theta + \frac{\pi}{f} \Delta f \tag{17}
$$

Here,  $f_0$  is nominal grid frequency,  $f$  is the actual grid frequency and  $\Delta f = f - f_0$ . Combining [\(8\)](#page-2-6) and [\(15\)](#page-3-5) we can get

$$
A_1 = \frac{A}{N} \sin\left(\frac{\lambda}{2}\right) \frac{\sin(2\pi/N)}{(\sin(\lambda/2N)\sin(\lambda/2N + 2\pi/N))}
$$
(18)

By further simplification we can obtain [\(19\)](#page-3-7)

<span id="page-3-7"></span>
$$
A_1 = \frac{A}{N} \tan\left(\frac{2\pi}{N}\right) \frac{\sin\left(\frac{\pi}{f}\Delta f\right)}{\sin\left(\frac{\pi}{Nf}\Delta f\right)}\tag{19}
$$

[\(17\)](#page-3-6) and [\(19\)](#page-3-7) show that the phase and amplitude errors are both functions of frequency deviation  $\Delta f$  under grid frequency drift. The following variables are defined to compensate the SDFT output error during asynchronous sampling.

$$
K = \frac{A}{A_1}; \quad \theta_d = \varphi - \hat{\theta}
$$
 (20)

In practical applications, the grid voltage frequency fluctuations are in a very small range. Therefore, in this paper, the value of  $f$  in [\(17\)](#page-3-6) and [\(19\)](#page-3-7) takes the nominal frequency of the grid (50Hz). Due to the fixed sampling frequency, *N* is a fixed value, we can get

$$
K = \frac{N\sin(0.000491(\Delta f))}{\tan(2\pi/N)\sin(0.062832(\Delta f))}
$$
(21)

$$
\theta_d = 0.062832 \, (\Delta f) \tag{22}
$$

When the grid frequency changes, the frequency of the SDFT output is equal to the actual grid frequency [25], [26].

By the feedback decoupling network proposed in this paper we can obtain accurate and instantaneous estimated frequency  $(f = \hat{\omega}/2\pi)$ , i.e., the actual grid frequency, through the PLL without additional filters. Then  $\Delta f$  is calculated.

Finally, a phase compensator is used in the output of the SDFT-PLL, which is rather straightforward to implement and does not introduce additional control loops.

$$
\theta^+ = \hat{\theta} + \theta_d; \quad A = KA_1 \tag{23}
$$

## **IV. PROPOSED SRF-PLL BASED ON SDFT**

#### A. PRINCIPLE OF THE PROPOSED SRF-PLL

The improved SRF-PLL algorithm proposed in this paper is shown in Fig. 4. Through SDFT module, the fundamental signal  $v_\alpha$  synchronized with the input voltage  $v_i$  is obtained from the distortion grid. In this study, for the convenience of system design, the  $\beta$ -axis signal is directly set to zero.

<span id="page-3-5"></span>
$$
X_{m=1} = \frac{A}{2} \sin\left(\frac{\lambda}{2}\right)
$$
  
 
$$
\times \left[ \frac{j\sin\left(\frac{2\pi}{N}\right)\sin\left(\varphi + \frac{\lambda}{2}\right) + \cos\left(\frac{2\pi}{N}\right)\sin\left(\varphi + \frac{\lambda}{2}\right) - \sin\left(\varphi + \frac{\lambda}{2} - \frac{\lambda}{N} - \frac{2\pi}{N}\right)}{\sin\left(\frac{\lambda}{2N}\right)\sin\left(\frac{\lambda}{2N} + \frac{2\pi}{N}\right)} \right]
$$
(15)



**FIGURE 4.** Schematic diagram of the proposed improved SRF-PLL.

Besides, the double-frequency term in the  $u_q$  is limited by the feedback decoupling method. No additional filters are used and the dynamic performance of the PLL is improved.

The input single-phase voltage signal  $v_i$  is shown in [\(24\)](#page-4-0).

<span id="page-4-0"></span>
$$
v_i(t) = A\cos(\omega t) + \sum_{h=2}^{n} A_h \cos(\omega_h t)
$$
 (24)

where  $A_h$ ,  $\omega_h$  are the amplitude and angular frequency of *h*-order voltage component, respectively. When  $v_i$  passed into the SDFT, we can obtain the fundamental component,  $v_{\alpha}$ .

$$
v_{\alpha}(t) = A_{\alpha} \cos(\omega t) \tag{25}
$$

The quadrature signals required for the αβ/*dq* coordinate transformation are shown in [\(26\)](#page-4-1) and [\(27\)](#page-4-1).

<span id="page-4-1"></span>
$$
u_{\alpha} = A\cos(\omega t) = \frac{1}{2} \left[ A\cos(\omega t) + A\cos(-\omega t) \right] \quad (26)
$$

$$
u_{\beta} = 0 = \frac{1}{2} \left[ Asin(\omega t) + Asin(-\omega t) \right]
$$
 (27)

Then the transformed  $u_d$ ,  $u_q$  can be expressed as

$$
\begin{pmatrix} u_d \\ u_q \end{pmatrix} = \frac{1}{2} A \begin{pmatrix} \cos(\omega t - \hat{\theta}) \\ \sin(\omega t - \hat{\theta}) \end{pmatrix} + \frac{1}{2} A \begin{pmatrix} \cos(-\omega t - \hat{\theta}) \\ \sin(-\omega t - \hat{\theta}) \end{pmatrix}
$$
(28)

where,  $\hat{\theta}$  is the estimated phase, By further simplification, we can get [\(29\)](#page-4-2).

<span id="page-4-2"></span>
$$
\begin{pmatrix} u_d \\ u_q \end{pmatrix} \approx \frac{1}{2} A \begin{pmatrix} 1 \\ \omega t - \hat{\theta} \end{pmatrix} + \frac{1}{2} A \begin{pmatrix} \cos(-2\omega t) \\ \sin(-2\omega t) \end{pmatrix}
$$

$$
= \begin{pmatrix} u_d^* \\ u_q^* \end{pmatrix} + \frac{1}{2} A \begin{pmatrix} \cos(-2\omega t) \\ \sin(-2\omega t) \end{pmatrix}
$$
(29)

When the system reaches stability,  $\hat{\theta} = \omega t$ , we can know from [\(29\)](#page-4-2) that the amplitude information of the fundamental voltage component can be obtained from  $u_d^*$ , and its phase error information can be obtained from  $u_q^*$ . As shown in the red line box in Fig. 2, a low-pass filter is often needed to obtain the amplitude information, which will greatly reduce the dynamic response of the system. In this paper, the amplitude *A* is obtained by [\(8\)](#page-2-6).

### B. TUNING PROCEDURES

When the system is stable, it can be seen from [\(14\)](#page-3-4) that  $u_q^* = A/2(\omega t - \hat{\theta}) = 1/2A\Delta\theta$ . According to Fig. 2, the phase



**FIGURE 5.** Linearized model of the proposed PLL.

angle small signal model is established and shown in Fig. 5. Based on the linear model, we can obtain the system closedloop transfer function.

<span id="page-4-3"></span>
$$
f(s) = \frac{\hat{\theta}(s)}{\theta(s)} = \frac{Mk_{p}s + Mk_{i}}{s^{2} + Mk_{p}s + Mk_{i}}
$$
(30)

Here,  $M = A/2$ . [\(30\)](#page-4-3) shows a typical second-order system with a damping ratio of  $\xi = \frac{k_p}{2}$  $\lambda \xi = \frac{k_p}{2} \sqrt{\frac{M}{k_i}}$  and an undamped natural frequency of  $\omega_n = \sqrt{Mk_i}$ . When designing the system, trequency of  $\omega_n = \sqrt{Mk_i}$ . When designing the system,<br>the damping coefficient  $\xi$  is usually designed to be  $\sqrt{2}/2$ , and the undamped natural frequency  $\omega_n$  is designed to be  $20\pi$  rad/s. In this way, the system response speed and antihigh frequency interference can be optimized.

#### **V. SIMULATION AND EXPERIMENTAL VALIDATION**

#### A. SIMULATION RESULTS

In [8] and [28], The SOGI-PLL and the adaptive MAF-PLL (AMAF-PLL) are widely used methods to achieve grid synchronization and they show satisfactory performance. In order to compare the performance of the proposed SDFTbased SRF-PLL, SOGI-PLL and AMAF-PLL, we have tested these three PLLs under various conditions in the Matlab/Simulink environment. For a fair comparison, the three PLL algorithms use the same design parameters.

To compare the transient response of the proposed method SOGI-PLL, and AMAF-PLL for amplitude change, a voltage sag of 0.3 p.u. is considered. From Fig. 6, it can be observed that the settling time for the proposed SDFT-based PLL is one cycle. The settling time for SOGI-PLL and AMAF-PLL are almost three cycles and two cycles, separately.

Next, Fig. 7 shows the results of the estimated frequency and the estimation phase error when the grid is subjected to 40◦ phase-angle jump. We can know that the proposed method has the fastest setting time (one cycle).

In Fig. 8, the simulation results of the estimated frequency and phase estimation error when the grid voltage frequency



**FIGURE 6.** Simulation results for a voltage sag. Time scale: 20ms/div.





**FIGURE 7.** Simulation results for a phase step. Time scale: 20ms/div.



**FIGURE 8.** Simulation results for a frequency change. Time scale: 20ms/div.



**FIGURE 9.** Simulation results when grid voltage is distorted (10% dc offset). Time scale: 20ms/div.

is increased by 5 Hz can be obtained. The proposed method has the fastest setting time, 0.024 s. Because the SOGI-PLL and AMAF-PLL adopt frequency feedback, they also have the feature of frequency adaption. Note that without any overshoot, the frequency of the proposed method converges to its new steady-state. In addition, it can be seen that the output frequency of SOGI-PLL will fluctuate.

Fig. 9 shows the waveform for the input voltage when it is distorted with 10% dc offset. We can know that the proposed method can reach a new steady in one cycle, and the AMAF needs two cycles to reach steady state. But the SOGI-PLL will generate a large oscillation.

In the case where the grid is distorted by harmonics (10% third harmonics and 10% fifth harmonics), the simulation results are shown in Fig. 10. The estimated frequency of SOGI-PLL and AMAF-PLL show noticeable oscillations. From Figs. 9 and 10, we can find that the proposed PLL can reach a new steady state in one cycle, and is not affected by harmonics and dc offset.

In order to compare the noise immunity of the PLLs, it is assumed that the grid voltage is polluted by a zero-mean white Gaussian noise with variance  $\sigma^2 = 0.05$ . The signal-to-noise ratio (SNR) in the PLL input is  $SNR = 10 \log (1/2\sigma^2)$  = 10 dB. The relevant specific noise selection and processing



**FIGURE 10.** Simulation results when grid voltage is distorted (10% third, 10% fifth harmonics). Time scale: 20ms/div.



**FIGURE 11.** Simulation results when the input signal is polluted by a zero mean white gaussian noise. Time scale: 20ms/div.

can be found in [18]. The simulation results are shown in Fig. 11. It can be seen that the estimated frequency of AMAF-PLL and SOGI-PLL will produce a large oscillation. The proposed method has the smallest steady-state oscillation of 0.23 Hz.

#### B. EXPERIMENTAL RESULTS

In this paper, we established an experimental platform based on the floating-point digital signal processor TMS320C28346, and the desired input voltage is generated by a programmable AC power supply. In the experiment, the sampling frequency is 6.4 kHz, and the nominal grid frequency is 50 Hz. The experimental results of SOGI-PLL and AMAF-PLL are also presented here.

1) Test A: The input grid voltage is reduced by 0.3 p.u.

2) Test B: The grid voltage is increased by 5 Hz.

3) Test C: A 40◦ phase jump occurs in the grid voltage.

4) Test D: The grid voltage is polluted with 10% third harmonics, 10% fifth harmonics and 10% dc offset.

Fig. 12 shows the experimental results of the proposed PLL in Test A. From the figure, we can know that the estimated amplitude can reach a new steady state in a short time (about one fundamental period) without overshoot in the adjustment process. In addition, the estimated frequency is also stabilized in one cycle with a deviation of 0.8 Hz.

The experimental results under frequency drifts can be observed in Fig. 13. The estimated frequency converges to the new steady state without any overshoot. It is verified that the proposed feedback decoupling network and compensation algorithm effectively improve the system response speed.

Next, the grid voltage has a 40◦ phase angle jump in Fig. 14. The estimated frequency of the proposed PLL can



**FIGURE 12.** Experimental results for a voltage sag. (a) Frequency estimation (1 Hz/div). the input voltage (0.5 p.u./div). (b) Estimation error of phase angle (0.5 deg/div). Time scale: 10ms/div.

be stabilized within 20 ms. We can know that the proposed method has the fastest setting time.

The experimental results in the case where the grid voltage is polluted by harmonics and dc offset are shown in Fig. 15. The proposed PLL has the fastest dynamic response, 20 ms. But the SOGI-PLL and AMAF-PLL will generate the large oscillation.

In summary, compared with SOGI-PLL and AMAF-PLL, the proposed PLL shows the best response, especially when the input signal is distorted due to harmonics and dc offset.

#### C. PERFORMANCE COMPARISON

In order to further verify the superiority of the proposed method, it is compared with several other advanced PLLs. The comparison results are shown in Table 1, where



**FIGURE 13.** Experimental results for a frequency step. (a) Frequency estimation (5 Hz/div). the input voltage (0.5 p.u./div). (b) Estimation error of phase angle (0.5 deg/div). Time scale: 10ms/div.



**FIGURE 14.** Experimental results for a phase step. (a) Frequency estimation (1 Hz/div). the input voltage (0.5 p.u./div). (b) Estimation error of phase angle (20 deg/div). Time scale: 10ms/div.



**FIGURE 15.** Experimental results when the input voltage is polluted. (a) Frequency estimation (1 Hz/div). the input voltage (0.5 p.u./div). (b) Estimation error of phase angle (2 deg/div). Time scale: 10ms/div.

 $f_m$  represents the frequency peak error,  $\theta_m$  represents the phase peak error and *t<sup>s</sup>* is the settling time. The experimental data of DE-PLL and the adaptive cascaded delayed signal

#### **TABLE 1.** Performance comparison.



cancelation operators-based PLL (CDSC-PLL) come from the literature [8], [10], and [23], respectively.

It can be seen from Table 1 that the settling time of the proposed PLL is minimum under all test conditions. When the phase of the power grid changes, compared with other PLLs, the frequency and phase overshoot of the proposed method is smaller. Therefore, the proposed PLL effectively improves the dynamic response speed of the system and is more suitable for the synchronization control of gridconnected converters.

#### **VI. CONCLUSION**

This paper presents an improved SRF-PLL based on SDFT with a fixed sampling frequency. By using SDFT as a prefilter, we can get the fundamental voltage component from the grid where harmonics and dc offset exist. But when the frequency changes, the output of the SDFT will produce errors. For the first time, this paper analyzes the errors and proposes a quantitative compensation method. In addition, by improving the traditional SRF-PLL, the proposed algorithm uses a feedback decoupling network, which can greatly improve the response speed of the PLL.

Finally, through simulation and experimental results, the proposed algorithm is compared with several other PLLs. The results show that the proposed PLL has the fastest dynamic response and the best performance, especially when the input signal is polluted by harmonics and dc offset. Therefore, in a single-phase grid-connected converter, the proposed algorithm will be a good grid synchronization scheme.

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