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# Four-Port Wide-Band Cavity-Backed Antenna With Isolating X-Shaped Block for Sub-6 GHz 5G Indoor Base Stations

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**ABSTRACT** A four-port wideband cavity-backed antenna is presented for indoor base stations applications. The antenna is composed of a square open cavity with an X-shaped isolating block and 4 feeding monopoles symmetrically and orthogonally arranged in the aperture of the cavity. A novel methodology based on Characteristic Modes Analysis (CMA) is used for identifying the modes which are contributing to the coupling. As a result of this analysis, an X-shaped isolating block placed at the center of the cavity is proposed for increasing the isolation between ports. A wide-band four-port antenna with unidirectional radiation patterns is obtained with a measured impedance bandwidth ( $S_{11} < -10$  dB) ranging from 1.55 to 6 GHz (118%), covering most of the sub-6 GHz 5G bands. The proposed antenna provides four independent radiation patterns, with 16 dB of measured minimum isolation between ports and an efficiency higher than 84%. Multiple-input multiple-output (MIMO) compatibility is confirmed with a  $4 \times 4$  MIMO simulated system with an envelope correlation coefficient (ECC)  $< 0.5$  in different propagation conditions. The antenna is easy to fabricate and presents a compact size of  $129.5 \times 129.5 \times 28.2$  mm<sup>3</sup> ( $0.68\lambda \times 0.68\lambda \times 0.15\lambda$ , at a frequency  $f = f_{min} = 1.55$  GHz). Moreover, the antenna has the advantage of avoiding complex feeding structures with baluns or directional couplers.

**INDEX TERMS** Cavity-backed antenna, characteristic modes, indoor base station, MIMO, 5G, sub-6 GHz.

## I. INTRODUCTION

The arrival of the new 5G wireless communication system emerges as the solution for providing connectivity to an enormous number of terminals, meeting the new requirements regarding data rate, latency, efficiency and reliability [1]. The early deployment of the 5G will be supported by the so-called sub-6 GHz bands which have the advantage of the retro-compatibility with the current infrastructure deployed for the previous wireless systems.

Currently, there is a growing demand for 5G indoor base station antennas covering the new licensed sub-6 GHz bands and also the 2G/3G/4G bands, which are still working. Most existing solutions are based on wide-band or multi-resonant

(multi-band) structures, providing single or dual-polarized antennas. The requirements for these antennas are unidirectional radiation patterns, high total efficiency and decoupled ports. Moreover, low-profile, easy-manufacturing and low-cost are also very appreciated characteristics for an easy and rapid installation of the antennas in indoor scenarios.

Recent papers propose multi-band antenna solutions consisting of a triple-band and dual-polarization radiating structure based on crossed dipoles [2], a compact single-port quadruple-band antenna that combines an asymmetrical dipole and parasitic patches [3], and a low-profile dual-band dual-polarized antenna, with an artificial magnetic reflector [4]. Regarding wide-band solutions, a single-port solution based on a dual-sleeve monopole is proposed in [5] and a three-port antenna is presented in [6], which uses the novel tri-polarized antenna concept and the combination of

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a monopole-equivalent structure and two orthogonal stepped dipoles.

In addition, multiple-input multiple-output (MIMO) capability is a highly demanded characteristic for increasing the data rate in 5G indoor base stations. MIMO operation requires multiple port antennas with highly isolated ports and independent radiation patterns with a low Envelope Correlation Coefficient (ECC) between them. A compact dual-broadband (0.8-0.9 GHz, 1.7-2.7 GHz) MIMO antenna composed by a microstrip patch with slots and two monopoles for 2G/3G/4G/5G systems is presented in [7]. In addition, a four-port wide-band annular-ring patch antenna has recently been proposed in [8], working at 3.3-5 GHz band. In a previous work, the authors of this paper presented a MIMO antenna with 4 independent ports, but with a low isolation between them [9].

The isolation between ports is a critical parameter, specially when multiple-port solutions are mounted in limited space scenarios. Some of the techniques used for increasing isolation are based on using a decoupling element [10], a neutralization line [11], orthogonal polarizations [12]–[17], decoupling networks [18] and self-isolated/self-decoupled [19]–[21] antennas. In addition, the interest on Characteristic Modes Analysis (CMA) in modern applications [22] has increased due to the possibility of exciting different orthogonal modes on a radiating ground plane of a hand-held device for MIMO compatibility. CMA has been applied to several planar solutions that have been proposed for MIMO systems covering different bands with low coupling [23]–[26].

In this paper, a four-port wide-band cavity-backed antenna is proposed for sub-6 GHz 5G indoor base station applications. The design consists of 4 T-shaped monopoles arranged in an orthogonal and symmetric configuration. An X-shaped isolating block is included at the center of the cavity to increase the isolation between ports. The design provides four independent unidirectional radiation patterns with high efficiency, MIMO capability and a wide-band impedance bandwidth. Due to the presence of the isolating block, it is not necessary to use differential feeding to isolate the ports, what would decrease the number of independent ports. Thus, hybrid couplers or baluns are not required here, turning the design into an easy-manufacturing and low-cost solution, suitable for being installed as an indoor MIMO base station antenna.

The novelty of the paper lies in the characteristic modes based methodology used to obtain a four-port wide-band design by exciting clusters of orthogonal modes and identifying which of them contribute to the coupling between ports. With this information, a proper isolating element (isolating block) is introduced to fade the non-desired modes. As a result of the inclusion of an isolating block, the coupling between ports is reduced to the minimum required for base station indoor applications, obtaining a four-port decoupled design which improves the performance of some antennas proposed in recent publications like [8]. Unlike the referenced designs based on characteristic modes which try to

excite a single mode per independent port, either using direct feeding or differential feeding, in the proposed design each independent port excites a cluster of modes, which provides the wide-band behaviour of the antenna. In addition, all the referenced designs are not 3D, but planar designs based on simple structures. In the proposed design, characteristic modes are applied for the first time for analyzing an open cavity fed by monopoles, which is a 3D structure that involves high complexity.

The paper is organized as follows: In Section II, the initial design is presented, showing the limitations in terms of coupling. In Section III, a characteristic modes analysis is applied to the initial design for the understanding of the antenna performance and the identification of the modes which are contributing to the coupling between ports. In Section IV, a novel decoupling methodology based on the characteristic modes analysis is presented to minimize the excitation of the modes which are contributing to the coupling with the use of an isolating block and the geometry of the final design is presented. In Section V, a comparison between different isolating block geometries is detailed. In Section VI, the results of the simulated and measured final design are presented. In Section VII, the performance of the proposed antenna is evaluated in a  $4 \times 4$  MIMO system under different propagation conditions. Finally, Section VIII exposes the conclusion of the paper.

## II. INITIAL DESIGN

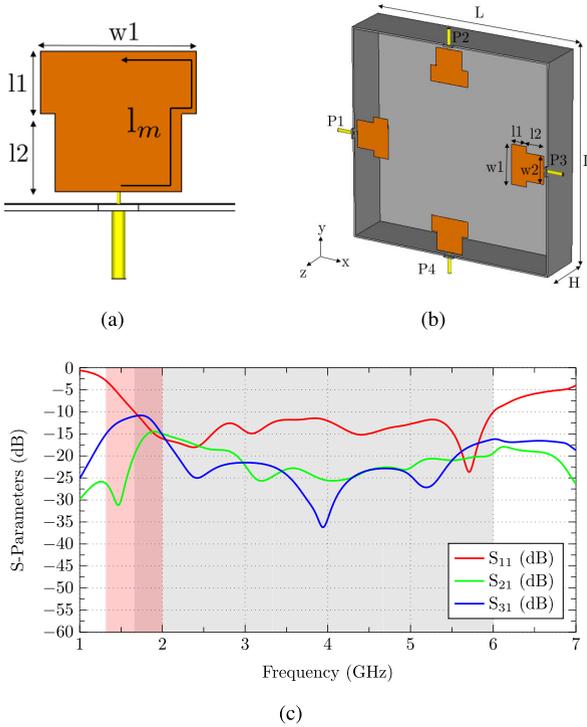
Our initial approach to design a wide-band and unidirectional structure is based on the combination of wide-band elements. As shown in Fig. 1(b), the first element is a squared cavity that may exhibit unidirectional and wide-band behaviour if properly excited. Taking advantage of the symmetry of this cavity, 4 independent feeding elements are placed in a symmetric and orthogonal arrangement in the middle of the four edges at the top aperture of the cavity (see Fig. 1(b)).

A wide-band excitation is necessary to attain a wide-band operation of the cavity. Therefore, T-shaped planar monopoles (shown in Fig. 1(a)) have been chosen for the design, since they are easy to construct and they present large impedance bandwidth.

The minimum operating frequency is determined by the length  $l_m$  (Fig. 1(a)) of the monopoles, since  $l_m = \lambda/4$  at  $f = f_{min}$ . In addition, a minimum separation of  $\lambda/2$  ( $f = f_{min}$ ) is required between feedings to guarantee minimum coupling. In this case, four feedings (monopoles) have been incorporated, resulting in a minimum square cavity aperture perimeter of  $2\lambda$ .

Taking these considerations into account, the dimensions of the antenna have been optimized to operate in the sub-6 GHz 5G bands. The final dimensions of the structure are summarized in Table 1, resulting an antenna with total electrical size of  $0.68\lambda \times 0.68\lambda \times 0.15\lambda$  (at  $f = f_{min}$ ).

Fig. 1(c) shows the simulated S-parameters of this initial antenna. As observed, this cavity is a promising four-port wide-band solution with the drawback of low isolation



**FIGURE 1.** a) T-shaped monopole; b) Initial design with the squared cavity; c) S-Parameters of the initial design, where  $S_{21} = S_{41}$  and  $S_{31} = S_{42}$ . Note that red area highlights the band with high coupling levels (from 1.35 to 2 GHz), whereas grey area shows the operating bandwidth (from 1.55 to 6 GHz). Dimensions are detailed in Table 1.

**TABLE 1.** Dimensions of the initial design (unit: mm).

L	H	w1	w2	l1	l2
130.5	29.2	24	18.5	9.5	11.5

between ports, specially between adjacent ports P1 and P2 at 1.35-2 GHz (see red area and  $S_{21}$  in Fig. 1(c)). This isolation is not high enough for the antenna to be installed in an indoor base station. Consequently, a modal analysis using TCM is going to be performed next, in order to get insight into the reason for the high coupling between ports and overcome the problem. Notice that the minimum matched frequency is  $f_{min} = 1.55$  GHz, hence the analysis will be focused at 1.55-2 GHz, which is the band with coupling issues in the operating impedance bandwidth.

### III. MODAL ANALYSIS OF AN OPEN CAVITY

The electromagnetic analysis of a closed square cavity is well known and described in the electromagnetic theory with field cavity modes. In an open cavity, the information is not detailed and the inclusion of the feeding elements would not be considered in a cavity modes analysis. For the proper understanding, we propose the use of characteristic modes analysis, which is an appropriate approach to analyze an open cavity including the four monopoles.

The Theory of Characteristic Modes (TCM) [27], [28] provides a basis set of currents on a structure with an arbitrary geometry, which exhibits orthogonal radiation properties. It provides a physical interpretation of the radiating mechanisms of the structure and helps to determine the optimum feeding configuration. Characteristic modes are obtained from the following eigenvalue problem that is solved using the Method of Moments (MoM):

$$[X]\vec{J}_n = \lambda_n[R]\vec{J}_n \quad (1)$$

where  $\vec{J}_n$  is the  $n_{th}$  real eigenvector (characteristic current) and  $\lambda_n$  is the  $n_{th}$  eigenvalue.  $[X]$  and  $[R]$  correspond to the imaginary and real parts of the complex generalized impedance matrix of the structure  $[Z]$ , respectively. In addition, the surface current density can be expressed as follows:

$$\vec{J} = \sum_n \alpha_n \vec{J}_n = \sum_n \frac{V_n^i}{(1 + j\lambda_n)} \vec{J}_n \quad (2)$$

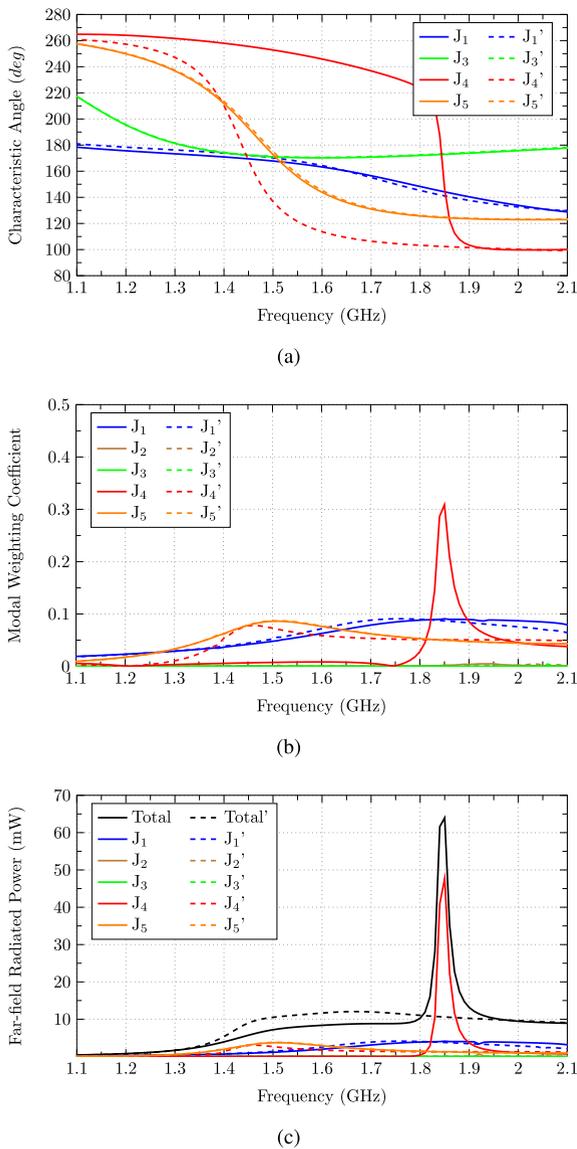
where  $\alpha_n$  describes the modal weighting coefficient (MWC) and  $V_n^i$  the modal excitation coefficient (MEC). Eigenvalues ( $\lambda_n$ ) depend on the frequency and give information about the resonance of the associated current mode. The mode is at resonance when  $\lambda_n = 0$ . When  $\lambda_n$  is negative, the mode stores electric energy, whereas when  $\lambda_n$  is positive the stored energy is magnetic. There are different modal attributes for the physical interpretation of the eigenvalues [29]. In this paper, the characteristic angle ( $\alpha_n$ ) is chosen for the analysis, and it is derived as follows:

$$\alpha_n = 180^\circ - \tan^{-1}(\lambda_n) \quad (3)$$

As stated above, when the mode is at resonance  $\lambda_n = 0$ , and hence  $\alpha_n = 180^\circ$ .

For a proper understanding, and due to the complexity of the structure, the modal analysis of the 3D structure is limited to the frequency range from 1.1 to 2.1 GHz, considering only the lowest order characteristic modes, and including the band from 1.55-2 GHz which has coupling issues. Nevertheless, the identification of the first resonant modes at low frequencies helps to understand the behaviour of the antenna at higher frequencies.

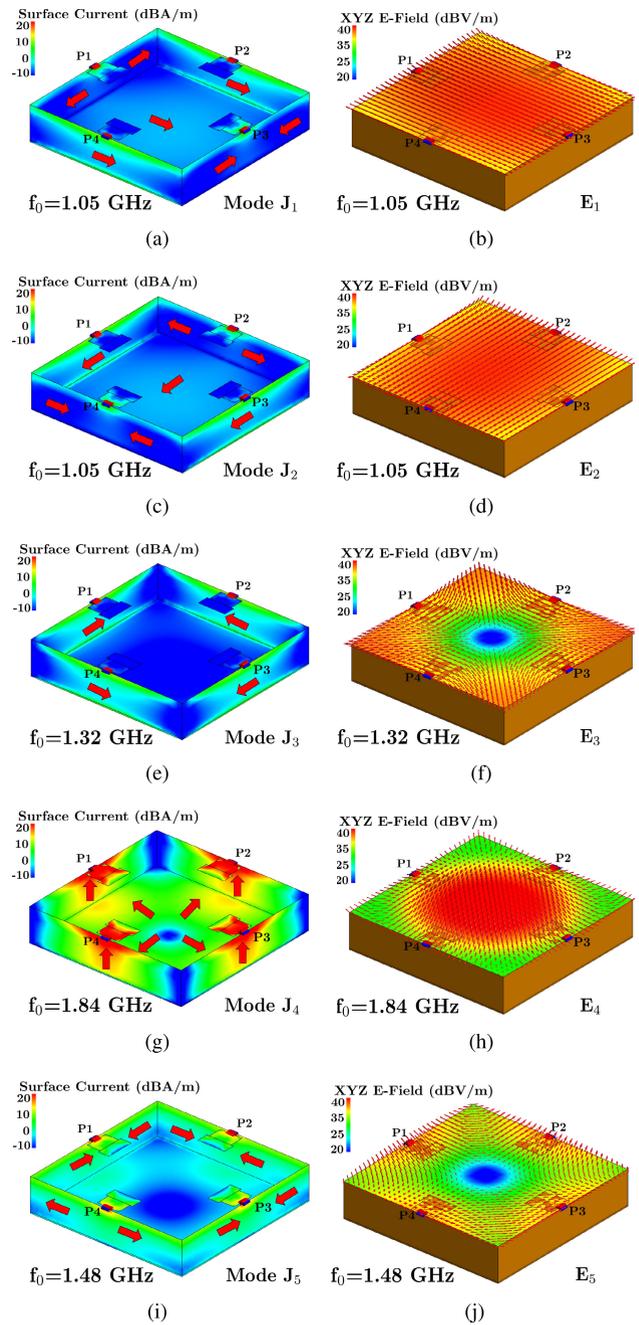
Fig. 2(a) depicts the characteristic angle variation with frequency for the first resonant modes ( $J_n$ ) of the initial design (solid lines) described in Fig. 1, which dimensions correspond with those of Table 1. Since  $J_1$  and  $J_2$  are degenerated modes, only the characteristic angle of  $J_1$  is presented. As observed, all the analyzed modes resonate below 2 GHz. Fig. 3 shows the current distribution and the electric near field distribution associated to each mode at resonance.  $J_1$  (Fig. 3(a)) and  $J_2$  (Fig. 3(c)) are the horizontal and vertical fundamental modes,  $J_3$  (Fig. 3(e)) is a mode with current nulls in the corners of the cavity and with current maximum at the center of the outer walls of the cavity. As observed, its electric field distribution  $E_3$  (Fig. 3(f)) has a maximum at the corner of the cavities and a null at the center.  $J_4$  (Fig. 3(g)) is a radial mode, in which the currents flow from the center



**FIGURE 2.** a) Characteristic angle of the initial design (solid curves) and final design (dashed curves); b) Modal Weighting Coefficient of the initial design (solid curves) and final design (dashed curves); c) Far-field power contribution of the initial design (solid curves) and final design (dashed curves).

of the cavity to the four monopoles and  $E_4$  (Fig. 3(h)) has a maximum in the center of the cavity, with perpendicular direction.  $J_5$  (Fig. 3(i)) has a distribution similar to that of  $J_3$  but in this case the current nulls are in the center of the outer walls and not in the corners.  $E_5$  exhibits a maximum where the monopoles are located and a minimum in the center of the cavity. Regarding the electric field distribution of the modes, as can be observed, all of them have a TE distribution except  $E_4$ , which has a TM field distribution.

Since a capacitive feeding is used in the proposed design, only modes with maximum field at the feeding position will couple to the excitation. Fig. 2(b) and Fig. 2(c) present the Modal Weighting Coefficient (MWC) and the far-field



**FIGURE 3.** Instantaneous magnitude of the modal current distribution  $J_n$  and modal field distribution  $E_n$  at modal resonance  $f_0$  of the initial design: a)  $J_1$ ; b)  $E_1$ ; c)  $J_2$ ; d)  $E_2$ ; e)  $J_3$ ; f)  $E_3$ ; g)  $J_4$ ; h)  $E_4$ ; i)  $J_5$  and j)  $E_5$ .

power contribution obtained when port P1 is excited, respectively. It should be noted that both parameters depend on the excitation, but the MWC does not take into account the port impedance matching. From these two figures it can be extracted that when port P1 (or port P3) is active, only modes  $J_1$ ,  $J_4$  and  $J_5$  are excited. Due to the symmetry, when port P2 (or port P4) is active, only modes  $J_2$ ,  $J_4$  and  $J_5$  will contribute to the total radiated power. Mode  $J_3$  is not excited in any case because it has a current maximum and minimum electric field

at the feeding locations, so it does not couple with the feeding monopoles.

It is also observed that below 1.8 GHz there is no dominant mode, but once mode  $J_4$  resonates (at 1.84 GHz), it becomes dominant, making a great contribution to the total radiated power. In Fig. 1(c) it is detailed that the maximum coupling between ports P1 and P2 occurs exactly when mode  $J_4$  resonates. These results reveal that the low isolation between adjacent ports from 1.55 to 2 GHz is due to the excitation of the radial current mode  $J_4$ .

The following section details a novel methodology based on CMA that is used to improve the isolation between ports of this initial design.

#### IV. DECOUPLING METHODOLOGY

The initial design presents low isolation between adjacent ports in the frequency range between 1.55 and 2 GHz. At this stage, a full-wave analysis does not provide clear information about the coupling, because the total currents and total fields are a combination of several modes so it is difficult to identify the reason for the coupling. In section III, CMA has been applied to identify the modes of the square cavity that are excited when one of the feeding monopoles is activated. The goal of this section is to use CMA to identify which modes are causing the coupling between ports already observed. The modal analysis reveals that a decoupling structure placed in the centre of the cavity is a good solution for fading the non-desired modes.

As demonstrated before, the far-field radiated power from 1.55 to 2 GHz obtained when port P1 is excited, is mainly produced by modes  $J_1$  and  $J_4$  (Fig 2(c)). The current distribution of Mode  $J_1$  (Fig. 3(a)) shows that the currents associated to this mode flow from port P1 to port P3. Since this current flow is orthogonal to ports P2 and P4, it can be concluded that mode  $J_1$  is not responsible of the high coupling observed between adjacent ports. In contrast, mode  $J_4$  has maximum contribution to radiation at the frequency in which the coupling between ports P1 and P2 is maximum (Fig 1(c)). Moreover, the current distribution of mode  $J_4$  (Fig. 3(g)) shows that this mode has minimum current at the center of the cavity and the currents flow radially towards the four monopoles. The current intensity associated to mode  $J_4$  is high inside the cavity and all the ports have intense induced currents, which turns into coupling between ports.

Therefore, the modal analysis reveals that mode  $J_4$  is responsible for the high coupling between adjacent ports at these frequencies.

As seen in Fig. 3(h), the electric field flows in the z-axis direction and exhibits a maximum in the center of the cavity. Mode  $J_4$  has no variation in the z-axis and the maximum magnitude is settled in the center. The solution taken to mitigate this mode is to place a metallic block in the center of the cavity. A metallic block placed in the center cancels the electric field in z-direction, changing the boundary conditions of the cavity. The final design is described in Fig. 4 and

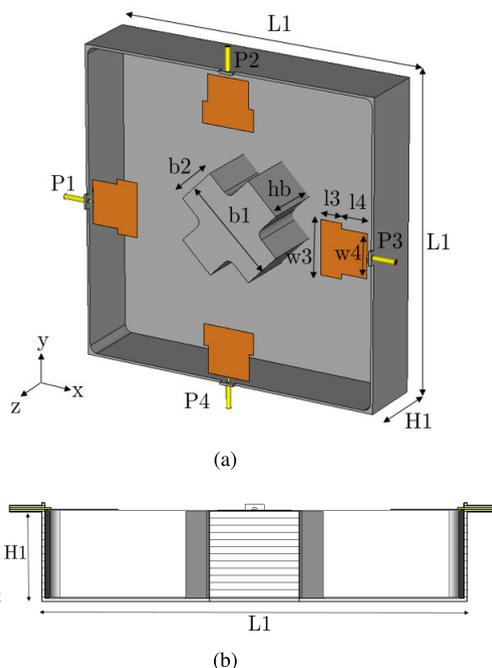


FIGURE 4. a) Overall view of the antenna including the four monopoles coloured in orange and the square open cavity with the X-shaped block coloured in grey. b) Side view of the design (XZ-plane). Dimensions are detailed in Table 2.

TABLE 2. Dimensions of the final design (unit: mm).

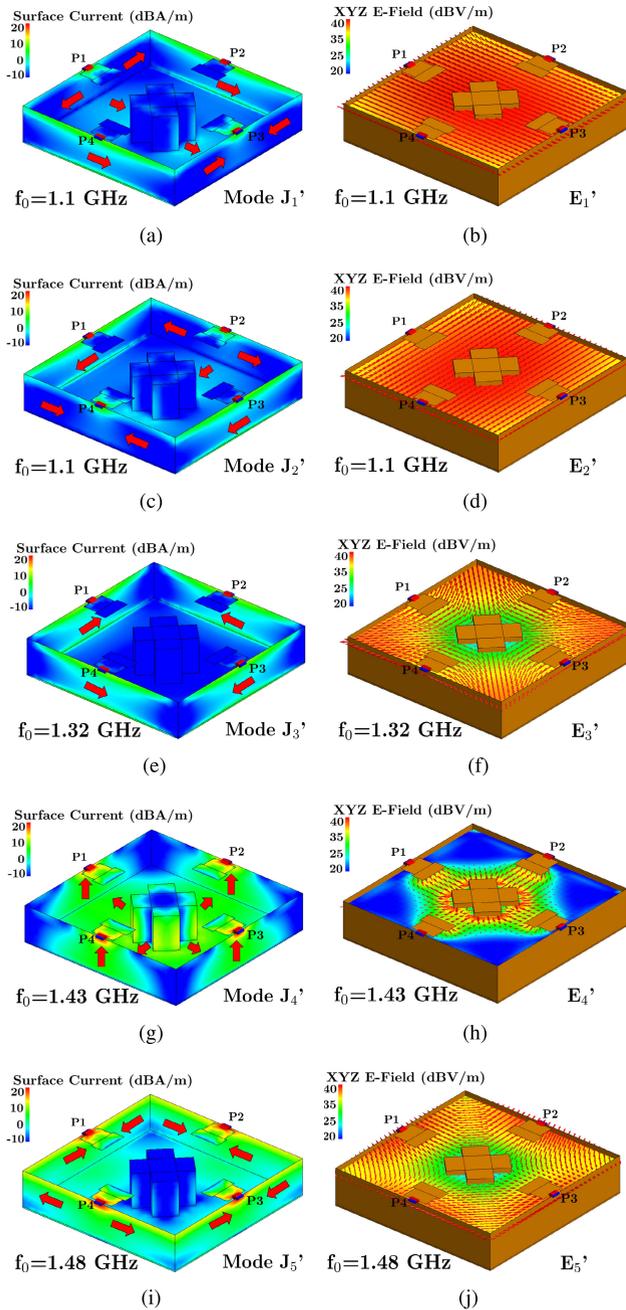
L1	H1	w3	w4	l3	l4	b1	b2	hb
129.5	28.2	23.15	18.7	9.3	11.5	42.6	17.8	26.6

its dimensions detailed in Table 2. In this new scenario, the electric field distribution  $E_4$  of mode  $J_4$  cannot exist.

Different block shapes have been analyzed (section V ). All of them vanish mode  $J_4$ , but some geometries have different impact on the other modes. The goal is to fade mode  $J_4$  and not to disturb the rest of modes. The chosen geometry is the X-shaped block and in the following section will be justified.

To verify this effect, the modal analysis of the final design including the isolating block is presented in Fig. 5. The notation of  $J_n$  and  $J_n'$  is used for representing the modes of the initial design without isolating block ( $J_n$ ) and the modes of the final design with the isolating block ( $J_n'$ ). As observed in Fig. 4, the characteristic currents and characteristic electric fields have an identical distribution of those in Fig. 3 (structure without block), with the exception of mode  $J_4'$ .

In Fig. 2 the characteristic angle and the far-field radiated power are depicted for the initial design (without block) and for the final block (with block), at the analyzed band with coupling issues (1.55-2 GHz). Modes  $J_1, J_2$  and  $J_3$  are not distorted by the inclusion of the block (see  $J_1', J_2'$  and  $J_3'$  in Fig. 2(a)). However,  $J_4$  is shifted to lower frequencies (see  $J_4'$ ) and the peak power at 1.85 GHz fades due to the presence of the isolating block, as desired. As mentioned above, the mode  $J_4$  vanishes due to the inclusion of the



**FIGURE 5.** Modal current distribution  $J_n'$  and modal field distribution  $E_n'$  of the initial design at modal resonance  $f_0'$ . Instantaneous magnitude: a)  $J_1'$ ; b)  $E_1'$ ; c)  $J_2'$ ; d)  $E_2'$ ; e)  $J_3'$ ; f)  $E_3'$ ; g)  $J_4'$ ; h)  $E_4'$ ; i)  $J_5'$  and j)  $E_5'$ .

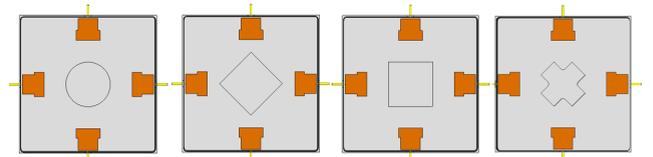
isolating block, but it still appears at the characteristic angle representation ( $J_4'$ ), resonating at 1.45 GHz and having some power contribution at resonance. The reason behind this phenomenon can be explained with the field representation of the mode  $J_4'$ . Fig. 5(h) illustrates the modal electric field distribution of mode  $J_4'$  at the aperture. Its electric field distribution has no component in the z-axis showing a TEM-like field distribution.

In conclusion, the mode  $J_4$  is responsible for the coupling in the initial design. In order to improve the behavior,

an isolating block is used to vanish mode  $J_4$  and a new  $J_4'$  mode appears when the modes of the final design are computed.  $J_4'$  mode represents a new mode created by the inclusion of the inner block. The new  $J_4'$  mode has no impact on the coupling because its electric and magnetic fields are maximum in the center of the cavity surrounding the isolating block.

### V. ISOLATING BLOCK GEOMETRIES

In this section a comparison of four different isolating-block geometries is presented in terms of coupling and matching. Figure 6 shows the four geometries, including the circular, the rhombus, the square and the X-shaped blocks.



**FIGURE 6.** The four analyzed designs with different isolating-block geometries: circular, rhombus, square and X-shaped.

As stated in the previous section, the goal of the isolating block is to vanish mode  $J_4'$  for increasing the isolation between ports, and at the same time not to distort the modes which are contributing to the wide-band performance of the antenna.

Fig. 7(a) depicts the  $S_{11}$  parameter of all the geometries. The X-shaped geometry has an excellent behaviour with  $S_{11} < -10$  dB in a wide range of frequencies. The circular geometry has matching issues between 5 and 5.4 GHz, the square geometry at 3.5 GHz and 3.9 GHz and the rhombus geometry at 5 GHz.

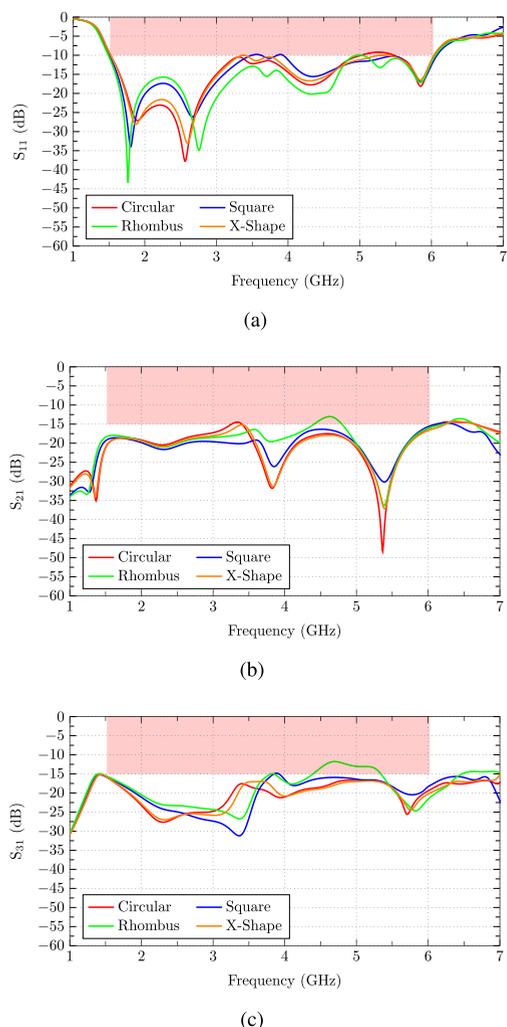
The coupling between ports P1 and P2 can be determined by the  $S_{21}$  parameter depicted in Fig. 7(b). X-shaped and square block geometries show a good performance with coupling lower than  $S_{21} < -15$  dB in the analyzed frequency range. The circular case has coupling issues at 3.3 GHz and the rhombus between 4.4-4.7 GHz.

The last analyzed parameter in Fig. 7(c) is the coupling between ports P1 and P3 ( $S_{31}$ ). X-shaped and circular block geometries present coupling levels lower than  $S_{31} < -15$  dB. The rhombus has coupling issues at 4.4-5.3 GHz and the square at 3.9 GHz.

Table 3 summarizes the results obtained in the analysis. The X-shaped geometry is the most suitable one due to the results obtained throughout the entire band. The physical reason behind these results can be addressed with the size and

**TABLE 3.** Comparison table between isolating block geometries.

	Circular	Rhombus	Square	X-Shaped
$S_{11} < -10$ dB	✗	✗	✗	✓
$S_{21} < -15$ dB	✗	✗	✓	✓
$S_{31} < -15$ dB	✓	✗	✗	✓

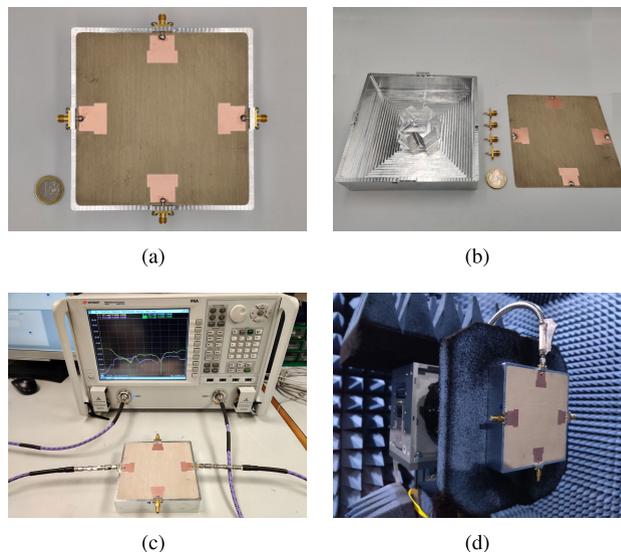


**FIGURE 7.** a)  $S_{11}$  of the four analyzed designs, b)  $S_{21}$  of the four analyzed designs and c)  $S_{31}$  of the four analyzed designs. Red area (values in the impedance bandwidth from 1.55 to 6 GHz in which minimum matching( $S_{11}$ ) or coupling ( $S_{21}$ ,  $S_{31}$ ) is not satisfied).

geometry of the X-shaped block. The X-shaped block has a geometry which is able to vanish mode  $J_4$  with lower size than the rest of the blocks, hence, it is more innocuous to the rest of modes which flow through the edges of the cavity. It does not interfere into matching and does not induce coupling due to its lower size. In addition, the X-shaped block has not parallel sides to the monopoles which would induce more coupling.

### VI. FINAL DESIGN. RESULTS AND FABRICATION

The final design includes an X-shaped isolating block and a Rogers R04003C PCB ( $\epsilon_r = 3.55$ ,  $\tan \delta = 0.0027$  and thickness  $t = 0.6$  mm) containing the 4 monopoles (Fig. 8(a)) which leans on top of the cavity. The inclusion of the PCB is proposed to support the 4 monopoles that facilitate the fabrication process with negligible impact on the operation frequency. The PCB is included in all the measured and simulated results of the final design.

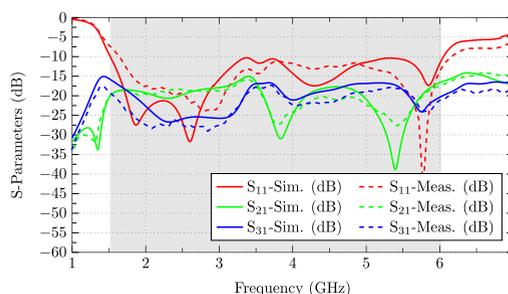


**FIGURE 8.** a) Fabricated Antenna b) Disassembled antenna c) Antenna set-up for the measurement with the network analyzer and, d) Antenna set-up for the measurement in the anechoic chamber.

The cavity and the isolating block have been milled from an aluminum piece and the PCB has been placed on top. Lastly, four coaxial ports have been attached to each side of the cavity, welding the inner conductor to each monopole. In Fig. 8(b) the final design is disassembled to correctly identify all the components included in the design. The antenna has been measured with a network analyzer (Fig. 8(c)) and in an anechoic chamber (Fig. 8(d)). All the results are detailed below.

Fig.9 presents the simulated (solid curves) and measured (dashed curves) S-parameters of the final design. Results confirm the wide-band behaviour, with good matching from 1.55 GHz to 6 GHz with a slight difference between simulated and measured  $S_{11}$  due to fabrication tolerances. Regarding the measured isolation, it is higher than 16 dB in all the band (see  $S_{21}$  and  $S_{31}$ ). Measured isolation is slightly higher than simulated. Unlike the initial design (Fig. 1(b)), the desired minimum isolation level between ports of 15 dB is achieved.

Fig. 10 depicts measured and simulated radiation patterns in XZ plane ( $\theta = 0^\circ$ ) and YZ plane ( $\theta = 90^\circ$ ) at 1.5 GHz



**FIGURE 9.** S-parameters of the final design. Due to the design symmetry,  $S_{21} = S_{41}$ .

TABLE 4. Comparison Table.

Ref.	BW (GHz)	BW (%)	Size (mm <sup>3</sup> )	Isolation (dB)	Indep. Ports	T.Efficiency (%)
[2]	0.70-0.96 1.7-3 3.3-3.8	31 55.3 14	220×220×100	19	2	NA
[4]	3.14-3.83 4.4-5.02	19.8 13.2	79.6×79.6×10	20	2	NA
[6]	1.68-4.15	84.73	180×180×2.7	30	3	85
[7]	0.8-0.96 1.7-2.7	22 71.8	220×220×42	18	2	NA
[8]	3.3-5	41	150×150×10	16.5	4	84
<b>Prop.</b>	<b>1.55-6</b>	<b>118</b>	<b>129.5×129.5×28.2</b>	<b>16</b>	<b>4</b>	<b>84</b>

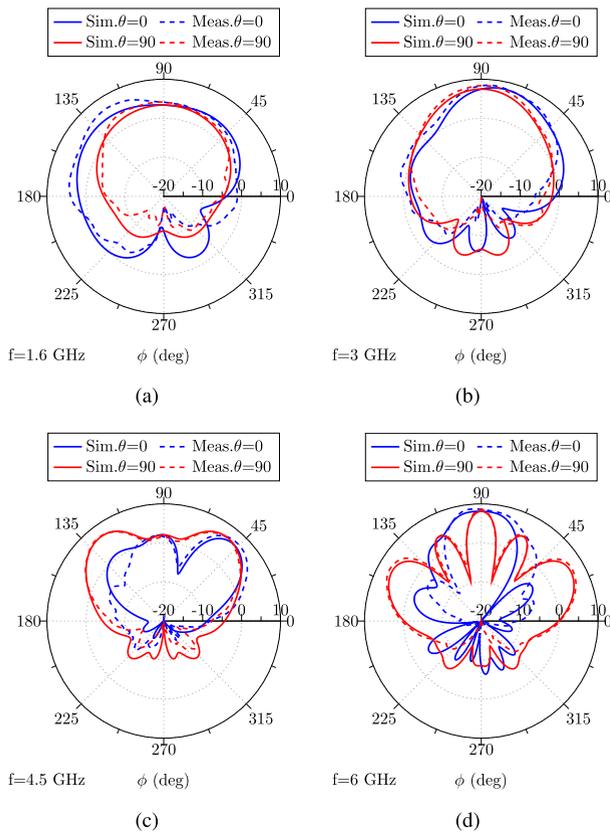


FIGURE 10. Simulated (solid) and Measured (dashed) radiation pattern when Port P3 is excited. Plane  $\theta = 0^\circ$  (blue) and  $\theta = 90^\circ$  (red): a)  $f = 1.6$  GHz, b) 3 GHz, c) 4.5 GHz and d) 6 GHz.

(Fig. 10(a)), 3 GHz (Fig. 10(b)), 4.5 GHz (Fig. 10(c)) and 6 GHz (Fig. 10(d)). At low frequencies, the radiation pattern presents a single lobe and as the frequency increases, side lobes appear. As observed, the antenna has unidirectional pattern at the whole operating band with good agreement between simulated and measured results.

In Fig. 11 the simulated and measured total efficiency curves are represented. Total efficiency values are higher than 84% for simulated results and higher than 81% for measured results. The total efficiency ( $\epsilon_t$ ) is calculated as  $\epsilon_t = G_r/D$  where  $G_r$  is the realized Gain and  $D$  the Directivity.

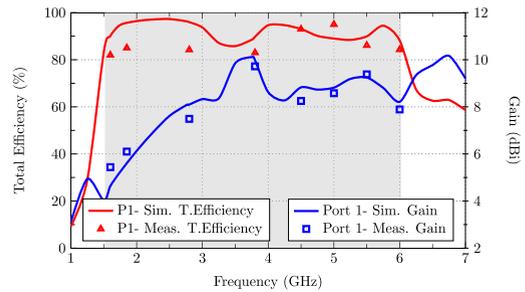


FIGURE 11. a) Total Efficiency (red-left axis) and Gain (blue-right axis) of port P1. Grey area (operating band 1.55-6 GHz).

To sum up, Table 4 compares the features of the most relevant recent publications of indoor base station antennas and the proposed design, highlighting the impedance bandwidth, the size, the isolation, the number of independent ports and the total efficiency. As observed, the proposed design shows wider bandwidth than the one proposed in [8], which is an early access article from IEEE Transactions on Antennas and Propagation, obtaining similar features with a comparable size.

### VII. MIMO SYSTEM

In this section, the effect of the propagation channel is studied with the evaluation of the proposed antenna in a  $4 \times 4$  MIMO system. The first analysis addresses the calculation of the channel capacity in presence of mutual coupling, as proposed in [30]. The second analysis studies the envelope correlation coefficient (ECC) in different propagation conditions modeled by several angular distributions (uniform, Gaussian and Laplacian).

#### A. CHANNEL CAPACITY

Mutual coupling degrades the performance of a MIMO system [30] and one of the main concerns of this article was to increase the isolation between ports of the initial design, specially at low frequencies. In order to quantify the improvement regarding the propagation channel when the isolation is increased, the channel capacity is calculated for the initial and final design at 1.8 GHz (lowest isolation level for the initial design).

For the calculation, the procedure detailed in [30] is followed but in this case, 4 uncorrelated antennas are settled as transmitting antennas, and the 4 receiving antennas are those of the proposed design. It is assumed that the receiver has perfect channel state information (CSI) but the transmitter does not. Furthermore, the analysis is calculated in an isotropic scattering environment. With these assumptions, the ergodic capacity can be calculated using (4) [30], where  $I$  is the  $4 \times 4$  identity matrix,  $\bar{\gamma}_0$  the reference SNR,  $R$  the correlation matrix of receive antenna and  $H_w$  the spatially white MIMO channel with independent and identically distributed (i.i.d) complex Gaussian entries.  $H_w^H$  denotes hermitian of  $H_w$ .

$$C = E \left\{ \log_2 \left[ \det \left( I + \frac{\bar{\gamma}_0}{2} R H_w H_w^H \right) \right] \right\} \quad (4)$$

Fig. 12 represents the capacity calculated for 100000 simulated channel realizations of a  $4 \times 4$  MIMO system, setting as receiving antennas the initial design (blue curve), the final design (green curve) and an ideal receiver (red curve). In addition, the capacity of an ideal  $1 \times 1$  SISO systems (orange curve) is calculated for the sake of comparison. The results show an average 3 b/s/Hz increase between the initial design and the final design. The final design provides results close to the ideal  $4 \times 4$  MIMO system. It can also be observed that the  $4 \times 4$  MIMO capacities are in average 4 times higher than the ideal  $1 \times 1$  SISO system capacities.

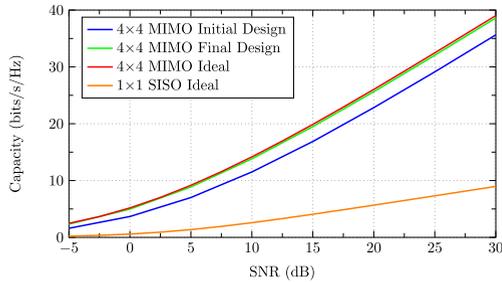


FIGURE 12. Channel capacity.

**B. ENVELOPE CORRELATION COEFFICIENT (ECC)**

The envelope correlation coefficient (ECC) has become a standard parameter for measuring the performance of an antenna in a MIMO system. This parameter takes into account both the propagation conditions and the radiation pattern of the antenna, and can be defined as (5) [30]. Generally, it is considered a low correlation level when the ECC is lower than 0.5 [13].

$$\rho = \frac{\iint_{4\pi} g_1^H(\Omega) Pa(\Omega) g_2(\Omega) d\Omega}{\sqrt{\iint_{4\pi} g_1^H(\Omega) Pa(\Omega) g_1(\Omega) d\Omega \cdot \iint_{4\pi} g_2^H(\Omega) Pa(\Omega) g_2(\Omega) d\Omega}} \quad (5)$$

Regarding equation (5),  $g_1(\Omega)$  and  $g_2(\Omega)$  describes the two analyzed radiation patterns, where the superscript  $H$

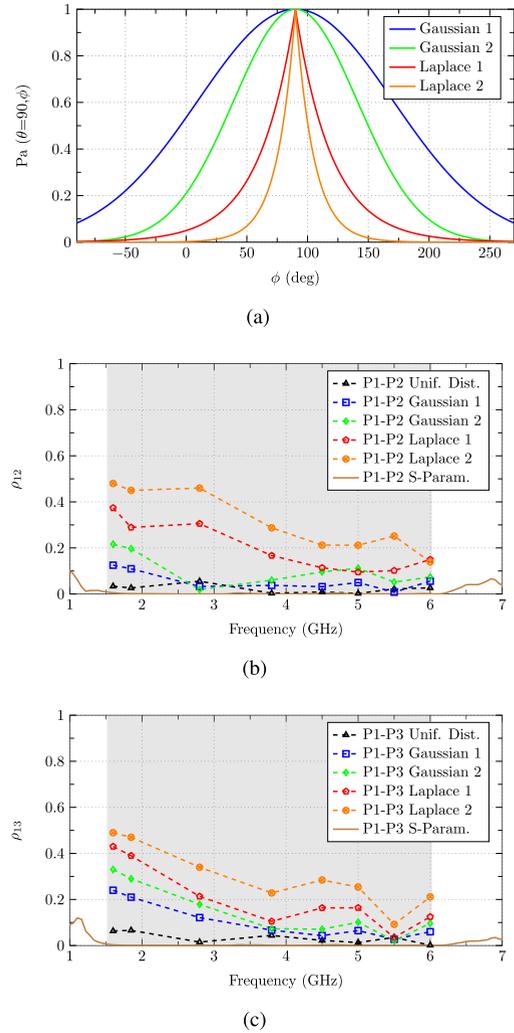


FIGURE 13. a) Analyzed angular distributions: Gaussian 1 (6), Gaussian 2 (7), Laplace 1 (8) and Laplace 2 (9). b) ECC between P1 and P2 and c) ECC between P1 and P3.

denotes Hermitian,  $\Omega = (\theta, \phi)$  is the solid angle of arrival and  $P_a(\Omega)$  denotes the dyadic power angular spectrum of the incident field which is described by different angular distributions [31]. Usually, the ECC is calculated in ideal scenarios with isotropic scattering, hence  $P_a(\theta, \phi)$  is assumed to be described by an uniform distribution ( $P_a(\theta, \phi) = 1$ ).

In this article, different  $P_a(\theta, \phi)$  are considered for the simulation of different propagation conditions. The analyzed distributions are depicted in Fig. 13(a) including uniform ( $P_a(\theta, \phi) = 1$ ), Gaussian (6)(7) and Laplace (8)(9) distributions. For their comparison and for simplicity, all the distributions exhibit their maximum at  $\phi^\circ = 90^\circ$  and  $\theta^\circ = 90^\circ$ .

$$P_a(\theta, \phi) = e^{-(\theta-\pi/2)/(\pi/10)-(\phi-\pi/2)/(\pi/5))/10} \quad (6)$$

$$P_a(\theta, \phi) = e^{-(\theta-\pi/2)/(\pi/10)-(\phi-\pi/2)/(\pi/5))/4} \quad (7)$$

$$P_a(\theta, \phi) = e^{-|\theta-\pi/2|/(\pi/8)-|\phi-\pi/2|/(\pi/4)} \quad (8)$$

$$P_a(\theta, \phi) = e^{-|\theta-\pi/2|/(\pi/16)-|\phi-\pi/2|/(\pi/8)} \quad (9)$$

In addition, the ECC is also calculated with an approximation using the S-parameters of the antenna (10) [32] under the assumption of isotropic scattering scenario.

$$\rho = \frac{|S_{11}^* S_{12} + S_{21}^* S_{22}|^2}{(1 - (|S_{11}|^2 + |S_{21}|^2))(1 - (|S_{22}|^2 + |S_{12}|^2))} \quad (10)$$

Fig. 13(b) depicts the results of the ECC between P1 and P2 calculated with (5) using the 3D measured radiation patterns and the distributions represented in Fig. 13(a). Furthermore, the ECC is calculated with the measured S-parameters (10) and also added in Fig. 13(b). Fig. 13(c) represents the same analysis for P1 and P3. All the obtained correlation coefficients show an  $ECC < 0.5$ . The best performance is obtained with the uniform distribution, followed by the Gaussian and the most complex scenario is the one modeled with the Laplace distribution. It can be observed that the narrower the distribution is, the higher the higher the correlation coefficient is.

Due to the symmetry of the antenna, the analysis of the ECC between P1-P2 and P1-P3 is enough for confirming uncorrelated radiation patterns and a good performance of the proposed 4-port antenna in different simulated propagation conditions.

## VIII. CONCLUSION

In this paper, a four-port wide-band antenna with unidirectional and independent radiation patterns is presented for MIMO indoor base station applications. Measurements and simulations show impedance bandwidth ( $S_{11} < -10$  dB) of 118 % (1.55-6 GHz), total efficiency higher than 84%, isolation between ports higher than 16 dB, and ECC lower than 0.5 in different propagation conditions, providing compatibility with a  $4 \times 4$  MIMO system. The antenna has dimensions of  $129.5 \times 129.5 \times 28.2$  mm<sup>3</sup> and provides four independent ports in such a limited space due to the use of an isolating block that increases from 10.5 dB to 16 dB the isolation between adjacent ports. Furthermore, the design does not precise differential feeding which needs the use of hybrid couplers or baluns for obtaining independent patterns and limits the number of independent ports. This feature turns this antenna into an easy manufacturing and reasonable low-cost solution.

For the design of the isolating block, a novel methodology based on CMA has been used for identifying the nature of the coupling between ports. Decomposing the total currents and fields into modal currents and modal fields has provided valuable information to identify the mode ( $J_4$ ) contributing to the coupling.

The antenna has been fabricated milling the cavity in an aluminum block and placing a Rogers PCB on top of the cavity, exhibiting good agreement between simulated and measured results. The proposed design is a proper candidate to be installed as a sub-6 GHz 5G indoor base station antenna because of its simple structure, compact size, and wide impedance bandwidth. Moreover, MIMO operation is also

possible with the proposed design as it includes 4 well isolated ports with independent radiation patterns and low ECC.

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