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Sensor Fault Diagnosis Based on Adaptive Arc Fuzzy DBN-Petri Net

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ABSTRACT The adjustable parameters of the traditional fuzzy Petri net (FPN) are single and mostly depend on expert experience. This approach lacks the adaptability to the complex network of sensors, which will result in insufficient accuracy of fault diagnosis. We propose a method combining the FPN with an adaptive arc and deep belief network (DBN) and improved a fast Gibbs sampling (FGS) algorithm to realize sensor fault diagnosis. First, we present the concept of adaptive arcs with label-weights based on the confidence-weights of directed arcs, which is an important component of the sensor fault model. Then, the improved FGS algorithm optimizes the model layer-by-layer, and the adjustment of the transition threshold relies on the marginal distribution of a restricted Boltzmann machine (RBM). Finally, the optimized dual-weights and dual-transition influence factors are applied to the forward and backward fuzzy reasoning of the model to achieve network adaptability. Our studies showed that this method has obvious advantages in terms of the accuracy and adaptability of complex networks compared to other FPN fault diagnosis methods. The fault reasoning confidence can provide an effective reference for maintenance personnel and improve maintenance efficiency, ensuring the reliable operation of sensors and related systems.

INDEX TERMS Adaptive arc, fuzzy Petri net, deep belief network, fast Gibbs sampling, sensor fault diagnosis.

I. INTRODUCTION

As complex detection devices containing multiple components and modules, sensors are susceptible to failure due to external environmental factors and internal factors [1]. Although it can continue working, inaccurate data will affect the control and decision-making of the system, and even bring serious hidden dangers [1], [2]. Early sensor fault diagnosis methods using deviations are usually effective, but excessive use of sensors will increase the cost and make the system more complicated [2]. In addition, although both quantitative analysis and qualitative analysis can realize sensor fault diagnosis [3]–[9], as modern control systems have become more versatile and fault types have become more complex, the previous methods could not meet the current requirements anymore. In recent years, the development of computer technology has provided a new theoretical basis for fault diagnosis technology [2]. The qualitative fault diagnosis

based on an expert system is more effective because the complex relationship between the sensor components and the fault characteristics are uncertain and nonlinear. This method does not need to establish an accurate mathematical model but rather analyzes historical data to diagnose whether the sensor is malfunctioning [10]. However, this method has low versatility and scalability [10] and is not suitable for handling inaccurate and uncertain fault information.

The Petri net is a network structure based on the known logical relationships between inputs and outputs in a system, and the place and weight of fuzzy Petri net (FPN) [11] is not limited to the number of tokens, it can express the fuzzy value and has a mechanism for representing and reasoning with uncertain knowledge. The advantage of the FPN is that it can express logical knowledge more intuitively and visually and capture the dynamic nature of fuzzy rule-based reasoning through mark evolution [12], [13]. Unlike traditional expert systems, fuzzy logic can make reasonable decisions under certain and inaccurate situations [12]. The traditional FPN fault diagnosis method [14], [15] is suitable for solving the

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problem of unavailability or unreliability of safety data but may lead to insufficient diagnosis accuracy [13]. Furthermore, some FPNs may lack the ability to adjust the parameters, and the setting of weights and transition thresholds depend largely on expert experience, leading to the subjectivity of the diagnosis results.

Endowing the FPN with a certain learning ability in order to improve the adaptability of the network represents a breakthrough in FPN fault diagnosis. To improve the learning ability of the FPN, a generalized fuzzy Petri net (GFPN) was proposed [16]. Due to their powerful nonlinear approximation and adaptive learning capabilities, neural networks have become a widely used nonstatistical data-driven fault diagnosis tool [10]. The adaptive fuzzy Petri net (AFPN) is a model of dynamic knowledge representation and reasoning, and weights are optimized according to the weighted fuzzy production rules (WFPR) [17], endowing the FPN with a learning ability similar to that of neural networks. In [18], the FPN model was established according to the fuzzy production rules in the radar fault expert system; it was combined with a neural network to learn the weights through sample data and then find the cause of the fault through fault reasoning based on the fault probability of the symptom. Li *et al.* [19] focused on the use of neural fuzzy Petri nets (NFPN) combined with an error backpropagation (BP) algorithm for fault diagnosis of corresponding variant sensors, providing confidence-level fuzzy inference formulas and accurately assessing the state of sensors. Tan *et al.* [20] studied a method of power grid fault diagnosis based on intuitionistic fuzzy inhibitor arc Petri net (IFINPN), which aims to simplify the logical relationship of complex networks. Cheng *et al.* [21] considered the learning problem of transition influence factor in FPN, and used the comprehensive learning particle swarm optimization (CLPSO) algorithm to realize fault diagnosis for complex motors.

Previous research has used many approaches to combine the FPN with learning algorithms such as the BP algorithm in parameter optimization [18], [20], [22], [23], with a few studies adopting the Elman, genetic, artificial immune, chaotic bat [24], particle swarm optimization (PSO) [25], and CLPSO algorithms, which mainly solved the problem of fault diagnosis in shallow networks. However, for these methods, the weight and the transition influence factor are singular, the network's mapping ability is limited, the weight convergence speed is slower, it is easy to fall into a local optimum, and the transition threshold generally depends on highly subjective expert experience, which is prone to give low accuracy and poor adaptability of fault diagnosis when faced with a complex fault network [21]. Therefore, to solve the above problems, we propose the DBN-AAFPN fault diagnosis method based on deep belief networks (DBN) [26]–[28]. DBN is a generated graphical model that can be regarded as a deep neural network. Restricted Boltzmann machine (RBM) is a widely used Markov random field (MRF) model, and it is also an important model that constitutes DBN [29]. This model is based on the energy function and can express

random neural networks. Therefore, it has a good approximation effect in the face of complex networks and large quantities of data [26]. The fast algorithm of contrast divergence (CD) proposed by Hinton [30] has always been the standard algorithm for training RBM models since the early days. Gibbs sampling is a well-known Markov Monte Carlo (MCMC) algorithm that can extract samples from complex high-dimensional posterior distributions and is often used in randomization algorithms for Bayesian inference [31]. The fast Gibbs sampling (FGS) [29] algorithm based on Gibbs sampling adds acceleration weights and adjustment coefficients, significantly improving the mixing speed of the sampling chain and the accuracy of RBM training. Most of the existing improved FGS algorithms achieve a faster convergence rate at the data and algorithm level to achieve better prediction results [32]–[36]. However, there are relatively few algorithm improvements for complex fault diagnosis models.

This article combines the FPN and DBN to present an adaptive arc generation mechanism that introduces the label-weight based on confidence-weight to mark the occurrence of a fault. The introduction of dual-transition influence factors does not require an artificial setting of transition thresholds, which depend on the marginal distribution of RBM. These two methods combine the improved FGS algorithm to improve the adaptability and accuracy of fault diagnosis. The main contributions of this study are as follows:

- Based on the FPN, we proposed an adaptive arc, dual-weights (label-weight and confidence-weight) and dual-transition influence factors, and obtained a new FPN called adaptive arc fuzzy Petri net (AAFPN).
- Combine the AAFPN and the DBN to form the DBN-AAFPN, which makes it more adaptable than the previous FPN, thereby improving the accuracy of fault diagnosis for complex models.
- We improved the FGS algorithm and applied it to the network optimization of DBN-AAFPN, which achieved better optimization results than other algorithms.

II. THE PROPOSED METHOD: ADAPTIVE ARC FUZZY DBN-PETRI NET (DBN-AAFPN)

A. ADAPTIVE ARC FUZZY PETRI NET (AAFPN)

Definition 1: The AAFPN is a compound six-tuple:

$$\sum_1 = \{S, T, F, A, W(x, y), M\}, \quad (1)$$

where $\{S, T, F, M\}$ is a marked net, $S \cap T = \text{dom}(F) \cap \text{cod}(F) = \emptyset$, $S \cup T = \text{dom}(F) \cup \text{cod}(F) \neq \emptyset$, $F \subseteq (S \times T) \cup (T \times S)$, and $M : S \rightarrow \{0, 1\}$. Moreover, $A \subset (\cdot T \times S \cdot) \subset F$ is an adaptive arc set, represented by a combination of dotted lines and hollow arrows, as shown in Fig. 1, the dual-weight $W(x, y)$ is composed of the confidence-weight $W(x)$ and the label-weight $W(y)$, where $w(x) \in W(x)$, $0 \leq w(x) < 1$, $w(y) \in W(y)$, $w(y) = 0$ or 1 . For $\forall t \in T$, $\forall s \in S : (s, t) \in F \rightarrow M(s) = 1$, $\forall t \in T$, $\forall s \in S : (\cdot t \times s \cdot) \in A \rightarrow w(y) = 1$, otherwise, $w(y) = 0$. In other words, only when the transition t is enabled can the corresponding adaptive arc be activated.

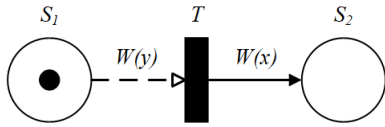


FIGURE 1. Adaptive arc fuzzy Petri net.

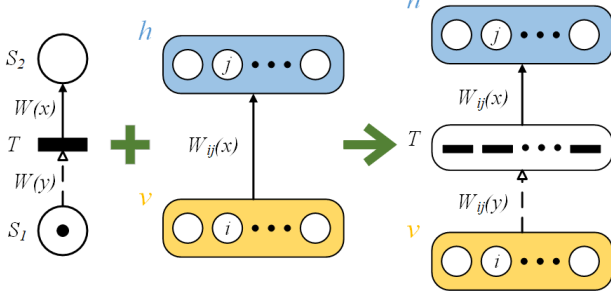


FIGURE 2. Adaptive arc fuzzy RBM-Petri net structure.

At this time, the label-weight $w(y)$ of the adaptive arc is set to one; otherwise, it is set to zero.

B. ADAPTIVE ARC FUZZY RBM-PETRI NET

AAFPN's confidence propagation algorithm is an iterative method that can solve the probabilistic reasoning problem of the complex probability graph model, and all information propagation can be run in parallel to achieve higher computational efficiency. In addition, the DBN formed by stacking RBM is a random neural network with strong self-learning ability. As shown in Fig. 2, the combined adaptive arc fuzzy RBM-Petri net can be regarded as the inference engine in the expert system, and the confidence value of all fault places can be obtained through efficient inference calculation, which can be used as the basis of fault diagnosis [19]. The definition of fuzzy RBM-Petri net is shown in Equation (2).

Definition 2: The adaptive arc fuzzy RBM-Petri net is a compound eleven-tuple:

$$\sum_2 = \{P, T, I, O, K, W(x, y), v, h, a, b, \lambda\}, \quad (2)$$

- 1) $P = (p_1, p_2, \dots, p_n)^T$ is a collection of faults, including all of the faults related to the sensor.
- 2) $T = (t_1, t_2, \dots, t_m)^T$ is a set of transitions, indicating the evolution of the fault. If the transition is enabled, then $t_j = 1$; otherwise, $t_j = 0$.
- 3) I is the input matrix of the fuzzy RBM-Petri net.
- 4) O is the output matrix of the fuzzy RBM-Petri net.
- 5) $K = (k_1, k_2, \dots, k_n)^T$ represents the label vector of the place. When the fault place occurs, $k_j = 1$; otherwise, $k_j = 0$.
- 6) $W(x, y) = \{w_{ij}(x), w_{ij}(y)\}$ is the dual-weight matrix between the place and the transition, including the confidence-weight $w(x)$ of the visible layer place and the label-weight $w(y)$ of the hidden layer place. $W(y)$ is an $m \times n$ matrix with only zero or one, $W(x) = (w(x_1), w(x_2), \dots, w(x_q))^T$, where q depends on the

number of visible layer places of the next fuzzy RBM-Petri net and the connection mode with the hidden layer places of this layer.

- 7) $v = (v_1, v_2, \dots, v_n)^T$ is the n -dimensional confidence vector of the visible layer place and indicates the credibility of the fault.
- 8) $h = (h_1, h_2, \dots, h_m)^T$ is the m -dimensional confidence vector of the hidden layer place and indicates the credibility of the fault.
- 9) $a = (a_1, a_2, \dots, a_n)^T$ is the n -dimensional confidence vector of the transition influence factors.
- 10) $b = (b_1, b_2, \dots, b_m)^T$ is the m -dimensional confidence vector of the transition influence factors.
- 11) $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n)^T$ is the threshold set of transition vectors and depends on the marginal distribution of RBM.

C. FUZZY INFERENCE OPERATOR

To clearly express the forward and backward reasoning mechanism of fuzzy RBM-Petri net, four special operators are introduced:

- 1) The comparison fuzzy operator \diamond : $C = A \diamond B$, where A, B and C are $m \times n$ matrices. When $a_{ij} \geq b_{ij}$, $c_{ij} = 1$, but when $a_{ij} < b_{ij}$, $c_{ij} = 0$. $i = 1, 2, \dots, m; j = 1, 2, \dots, n$.
- 2) The minimum fuzzy operator \wedge : $C = A \wedge B$, where A, B and C are $m \times n$ matrices. $c_{ij} = \min(a_{ij}, b_{ij})$, $i = 1, 2, \dots, m; j = 1, 2, \dots, n$.
- 3) The maximum fuzzy operator \vee : $C = A \vee B$, where A, B and C are $m \times n$ matrices. $c_{ij} = \max(a_{ij}, b_{ij})$, $i = 1, 2, \dots, m; j = 1, 2, \dots, n$.
- 4) The max-min fuzzy composition operator \circ : $C = A \circ B$, where A, B and C are $m \times n$ matrices. $\mu_{c_{ij}} = \bigvee_{i=1, j=1}^{m, n} \{\mu_{a_{ij}}(x, y) \wedge \mu_{b_{ij}}(x, y)\}$, $i = 1, 2, \dots, m; j = 1, 2, \dots, n$.

III. DBN-AAFPN CONFIDENCE REASONING

A. FORWARD REASONING

When the transition connected to the fault place is fired, the corresponding forward propagation path is opened. According to the assumed fault type, forward reasoning can realize the fault location and determine the fault propagation path. The adaptive arc fuzzy RBM-Petri net has n visible places and m hidden places, and vectors v and h represent the states of the visible places and hidden places, as well as the initial confidence and inference confidence of the assumed fault type, as shown in Fig. 2. v_i represents the state of the i th visible storage place, and h_j represents the state of the j th hidden storage place [19]. Given a set of states (v, h) , the energy definition of the adaptive arc fuzzy RBM-Petri net as a system is shown in Equation (3).

$$E = (v, h|\theta) = - \sum_{i=1}^n a_i v_i - \sum_{j=1}^m b_j h_j - \sum_{i=1}^n \sum_{j=1}^m v_i w_{ij} h_j \quad (3)$$

In the above equation, a_i , b_j , and $w_{ij}(x)$ are the parameters of adaptive arc fuzzy RBM-Petri net, where a_i represents the transition influence factor of the visible place unit i , b_j represents the transition influence factor the hidden place unit j , and $w_{ij}(x)$ represents the confidence weight between the visible layer place and the hidden layer place. When the initial parameters are determined, based on the energy function, the joint probability distribution of (v, h) and its marginal distribution can be obtained [37], as shown in Equations (4) and (5). The marginal distribution represents the distribution of the observation data v , which determines the adjustment of the transition threshold λ and the firing conditions of the transition, where $Z(\theta)$ is the normalization factor, also known as the partition function.

$$P(v, h|\theta) = \frac{e^{-E(v, h|\theta)}}{Z(\theta)}, \quad Z(\theta) = \sum_{v, h} e^{-E(v, h|\theta)} \quad (4)$$

$$P(v|\theta) = \frac{1}{Z(\theta)} \sum_h e^{-E(v, h|\theta)} \quad (5)$$

The adaptive arc fuzzy RBM-Petri net is a special structure with connections between the layers and no connections within the layers. When given the state of visible layer places, the activation states of the hidden layer places are independent of each other. At this time, the activation probability of the j th hidden layer place is shown in Equation (6).

$$P(h_j = 1|v, \theta) = \sigma(b_j + \sum_i v_i w_{ij}(x)) \quad (6)$$

The structure of the adaptive arc fuzzy RBM-Petri net is symmetric. When the state of the hidden layer place is given, the activation state of each visible layer place is also conditionally independent, and the probability of the i th visible layer place is shown in Equation (7).

$$P(v_i = 1|h, \theta) = \sigma(a_i + \sum_j w_{ij}(x) h_j) \quad (7)$$

where $\sigma(z) = (1 + \exp(-z))^{-1}$ is the *Sigmoid* activation function.

B. BACKWARD REASONING

Backward reasoning is the process of analyzing the causes of the fault and realizing fault diagnosis. The optimized DBN-AAFPN model is used to obtain the inference confidence and the actual fault type according to the confidence of the terminal fault place obtained from the confidence prediction value of forward reasoning, and the effective recovery of the sensor fault is obtained by combining the fault priority. The method of the max-min and maximum fuzzy composition operation is adopted in backward fuzzy reasoning, as shown in Equations (8) and (9).

$$v^{(l')} = (h^{(l)} \circ W^T(x)) \circ W(y) \quad (8)$$

$$h^{(l-1')} = v^{(l)T'} \vee h^{(l-1)} \quad (9)$$

where $v^{(l')}$ is the confidence value of the visible layer place of the RBM-Petri net of the l th layer of backward reasoning,

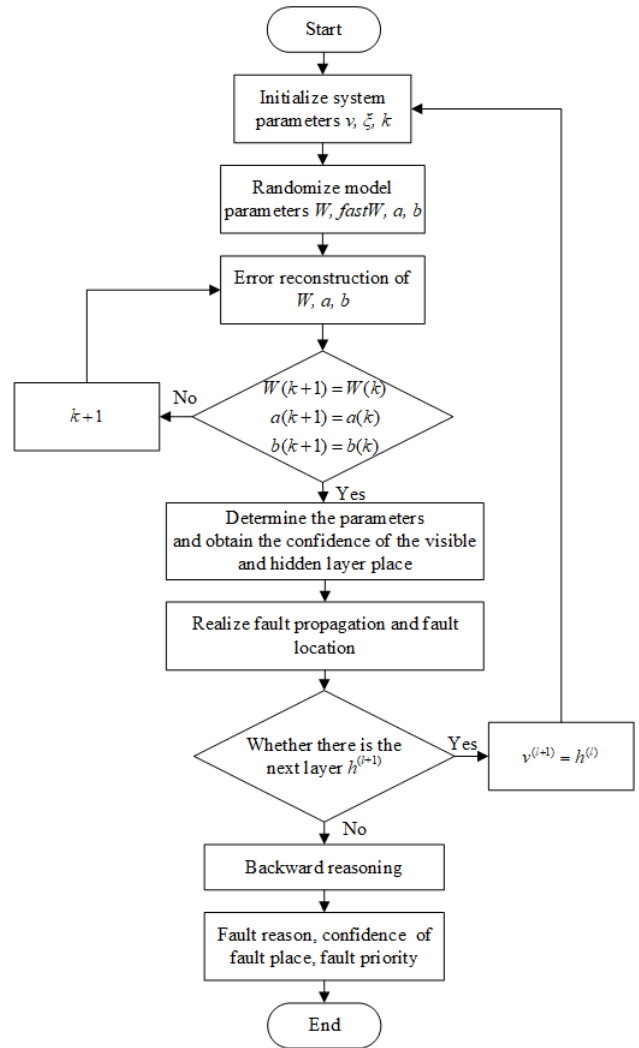


FIGURE 3. DBN-AAFPN fault diagnosis process.

and $h^{(l-1)'}$ is the confidence value of the hidden layer place of the $(l - 1)$ th layer. $h^{(l-1)}$ is the confidence value of the hidden layer place in the $(l - 1)$ th layer of forward reasoning.

IV. FAULT DIAGNOSIS

A. REASONING RULES AND DIAGNOSIS PROCESS

The reasoning rules and process of the DBN-AAFPN fault diagnosis method are shown in Fig. 3.

B. ESTABLISH FAULT MODEL

In this article, the 3irobotix Delta-2A laser radar is selected as the research object of sensor fault diagnosis, and the DBN-AAFPN fault model is established according to its structural principle and failure mode. The DBN-AAFPN model of laser radar and the corresponding semantics of the places are shown in Appendix A. The laser radar is mainly composed of “laser” and “receiver”. To facilitate the analysis, this article selects the “laser fault” local model to illustrate the learning and reasoning process of this method. As shown in Fig. 9, the DBN-AAFPN fault model consists

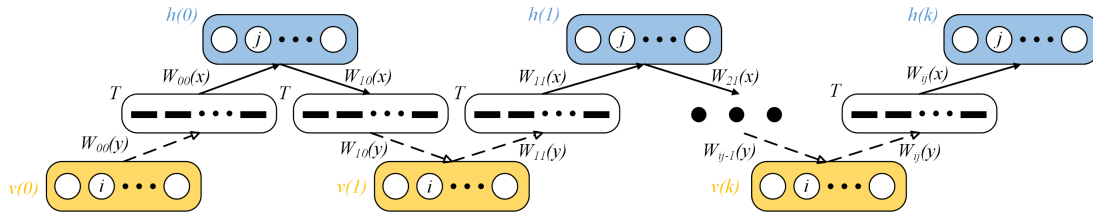


FIGURE 4. FGS algorithm learning process.

of three layers stacked by adaptive arc fuzzy RBM -Petri nets.

C. PROCESSING OF RAW DATA

The original data of the fault source in this article is taken from the *GJB/Z299C – 2006* and *GB/T37963 – 2019 Reliability Prediction Handbook for Electronic Equipment*. Related calculation formulas and tables are shown in Appendix B. Finally, as shown in Equation (10), the initial confidence value of the fault source is obtained by normalizing the Gaussian function.

$$f(x_0) = e^{-5000 \cdot (x_0 - 0.017)^2} \quad (10)$$

D. SIMULATION

1) IMPROVED FAST GIBBS SAMPLING (FGS) ALGORITHM

Based on the symmetrical structure of the RBM model and the conditional independence of the neuron states, the Gibbs sampling method can be used to obtain random samples that follow the distribution defined by the RBM. The specific algorithm of *k*step Gibbs sampling is to use a training sample or any randomized state of the visible layer place for alternating sampling, as shown in Fig. 4. The FGS algorithm introduces the accelerated confidence-weight *fastW* and the adjustment coefficient ξ , and the confidence-weights of the entire network are the sum of the acceleration confidence-weights *fastW* and the traditional confidence-weights, effectively ensuring that the confidence-weights updates are updated rapidly in the early stages of training. The added adjustment coefficient can effectively change the update rate of the accelerated confidence-weights, and the adjustment coefficient changes within the range of zero to one, effectively reducing the trend of accelerated confidence-weights update in the middle and later stages of training [29].

The input data used to obtain the data of the hidden layer places, $v^+ = v^-$, both represent the input data, as shown in Equation (11).

$$\begin{cases} h^- = P(h|v^-, W) \\ h^+ = P(h|v^-, W + fastW) \end{cases} \quad (11)$$

The data of the hidden layer places are obtained through calculation, and the positive and negative gradients of the

weights are updated as shown in Equation (12).

$$\begin{cases} W^+ = v^{+T} h^+ \\ W^- = v^{-T} h^- \end{cases} \quad (12)$$

The data of the visible layer places can be calculated by the hidden layer places, as shown in Equation (13). The confidence-weights are the sum of traditional confidence-weights and accelerated confidence-weights.

$$\begin{cases} v^- = P(v|h^-, W) \\ v^+ = P(v|h^-, W + fastW) \end{cases} \quad (13)$$

To adapt the fault model to multiple fault types and complex topological structures, this article improves the original FGS algorithm, and the weight update gradient is shown in Equation (14). We multiply the update gradient of the weights by the initial confidence of the visible layer places. On the one hand, unnecessary calculations are avoided and optimization efficiency is improved. On the other hand, the confidence-weights corresponding to the non-faulty places quickly converge to values close to zero, and the corresponding label-weights have also been identified and set, ensuring the accuracy in the analysis of the failure causes in backward reasoning. The initial update gradient of the weights consists of the traditional confidence-weight update and the accelerated confidence-weight update. Moreover, the accelerated update of the accelerated confidence-weights depends on the difference between the expected value of the data and the expected value of the model. The reconstruction gradient of the confidence-weights is described by Equations (15) and (16).

$$W = (W + \Delta W_{ij}) * v_i(0) \quad (14)$$

where

$$\begin{aligned} W &= W^+ + W^- \quad (15) \\ \Delta W_{ij} &= \langle v_i h_j \rangle_{data} - \langle v_i h_j \rangle_{recon} \\ &= P(h_j = 1 | v_i(0)) * v_i(0) - P(h_j = 1 | v_i(k)) * v_i(k) \end{aligned} \quad (16)$$

The updating of traditional confidence-weights and accelerated confidence-weights is shown in Equation (17). The update of the accelerated confidence-weights is determined by the adjustment coefficient ξ and the update gradient ΔW

of the confidence-weights.

$$\begin{cases} W = W + \Delta W \\ fastW = \xi * fastW + W \end{cases} \quad (17)$$

In addition, the introduction of the dual-transition influence factors can further improve the adaptability of a complex fault model to multiple fault types compared to the single transition influence factor. Similarly, the FGS algorithm is improved, and the update gradient of the transition impact factor a is multiplied by the initial confidence of the visible layer places. The training process of the dual-transition influence factors a and b is shown in Equations (18) and (19). The reconstruction gradient of the dual-transition influence factors is described by Equations (20) and (21).

$$a_i = (a_i + \Delta a_i) * v_i(0) \quad (18)$$

$$b_j = b_j + \Delta b_j, \quad (19)$$

where

$$\begin{aligned} \Delta a_i &= \langle v_i \rangle_{data} - \langle v_i \rangle_{recon} \\ &= v_i(0) - v_i(k) \end{aligned} \quad (20)$$

$$\begin{aligned} \Delta b_j &= \langle h_j \rangle_{data} - \langle h_j \rangle_{recon} \\ &= P(h_j = 1|v(0)) - P(h_j = 1|v(k)) \end{aligned} \quad (21)$$

2) MODEL OPTIMIZATION AND TRAINING

In this study, the initial confidence vector of the fault source places is selected by Equation (10) and is $v^{(1)}(0) = (0.3297, 0, 0.5646, 0.9445, 0, 0.2516, 0, 0.2852)^T$. The confidence-weights and dual-transition influence factors are randomly initialized and are $w_{11}(x), w_{21}(x), w_{32}(x), w_{33}(x), w_{44}(x), w_{54}(x), w_{64}(x), w_{65}(x), w_{75}(x), w_{85}(x), a_1, a_2, a_3, a_4, a_5, a_6, a_7, a_8, b_1, b_2, b_3, b_4,$ and b_5 . The adjustment coefficient ξ is 0.4, the initial acceleration weight $fastW$ is zero, the transition threshold λ is set according to the partition function of the fuzzy RBM-Petri net, and the number of learning iterations k is set to 200.

Figs. 5 and 6 show the change process output by the fault places of the visible and hidden layers, respectively, of the first layer of fuzzy RBM-Petri net. The fault places of the remaining hidden layers are generated iteratively layer by layer. After training, the corresponding converged confidence-weight $w(x)$ is obtained and stored in the vector $W(x)$, and the corresponding label-weight $w(y)$ is stored in the vector $W(y)$. The change process of the confidence-weights of the first-layer fuzzy RBM-Petri net is shown in Fig. 7, and the confidence-weights matrix after training is shown in $W^{(1)}(x, y)$, as shown at the bottom of the next page. The confidence-weights corresponding to the fault places converged rapidly, while the confidence-weights corresponding to the non-faulty places quickly converged to ε , where $\varepsilon \rightarrow 0^+$. Similarly, the change process of the transition influence factors of the first-layer fuzzy RBM-Petri net is shown in Figs. 8 and 9. In short, for faulty and non-faulty

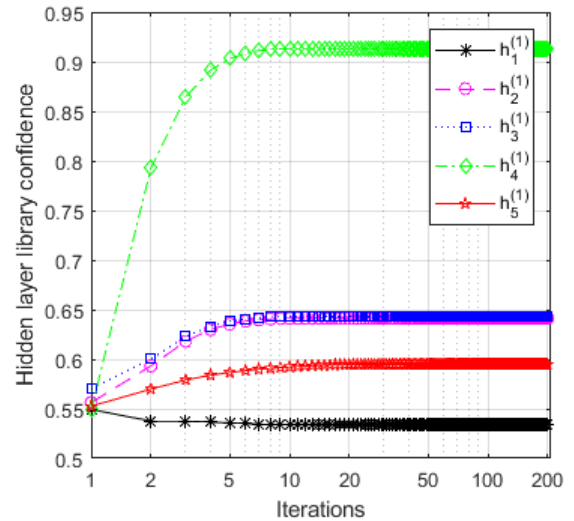


FIGURE 5. The change process of the hidden places h_j of the first-layer fuzzy RBM-Petri net.

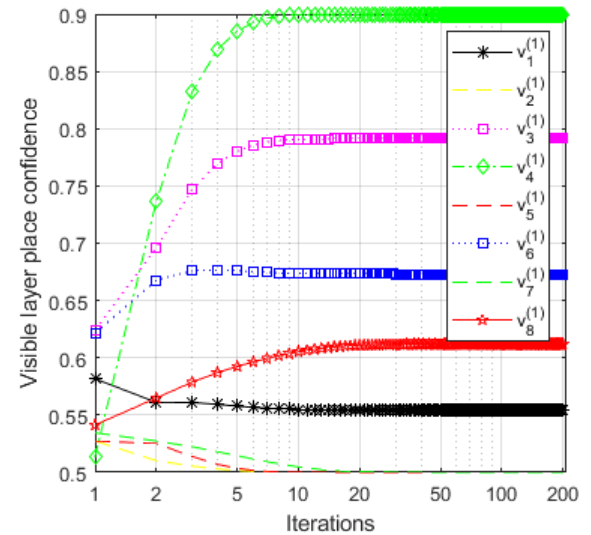


FIGURE 6. The change process of the visible places v_j of the first-layer fuzzy RBM-Petri net.

places, various parameters have different convergence properties, which avoids unnecessary calculations and reflects the adaptability of the network.

3) FAULT PROPAGATION AND LOCATION

Forward reasoning represents the evolution process from the source of the fault to the end of the fault, from which the location of the fault can be determined, and the location of the fault is represented by the label K . The initial confidence v_0 is known from the previous text. As shown in Fig. 10, the initial label of the fault source places are $K_1 = (1, 0, 1, 1, 0, 1, 0, 1)^T$, and the corresponding fault modes are “no laser output”, “spectral degradation”, “drive current increase”, “clogged condenser”, “crack”, “pollution”, “unable to adjust”, and “electron tube overvoltage”.

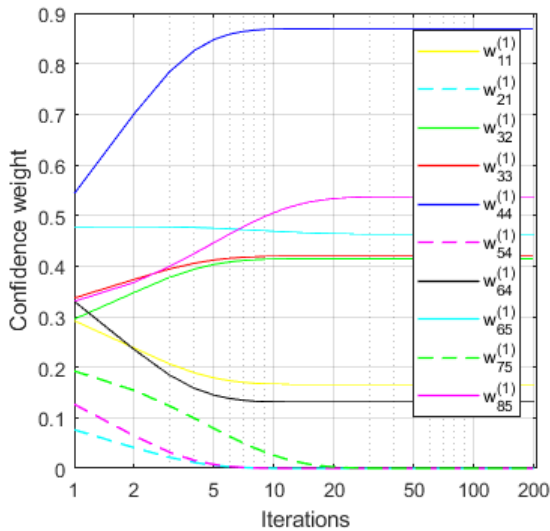


FIGURE 7. The change process of the confidence weights w_{ij} of the first-layer fuzzy RBM-Petri net.

Moreover, the hollow token marks the fault place to be identified.

The improved FGS algorithm is used to obtain the model optimized results as the basis of forward reasoning. The first hidden layer label used as the second visible layer label is $K_2 = (1, 1, 1, 1)^T$, the corresponding failure type is “laser emission failure”, “exothermic loss”, “overcurrent stress”, “reduced reflectivity”, “performance failure”, and the place confidence value is $h^{(1)}(k) = v^{(2)}(0) = (0.5340, 0.6415, 0.6432, 0.9135, 0.5948)^T$; the second hidden layer label used as the third visible layer label is $K_3 = (1, 1)^T$, the corresponding failure type is “optoelectronic semiconductor failure”, “optical resonant cavity failure”, and the place confidence value is $h^{(2)}(k) = v^{(3)}(0) = (0.6695, 0.6263)^T$; and the third hidden layer label used as the terminal fault place is $K_4 = (1)^T$, the corresponding failure type is “laser fault”, and the confidence value of the place is $h^{(3)}(k) = (0.6288)^T$.

4) FAULT CAUSE ANALYSIS AND DIAGNOSIS

The backward reasoning from the end of the fault to the cause of the fault adopts the inference method of fuzzy composition in turn, as shown in Equations (8) and (9). According to the observed confidence value of the fault end, the corresponding confidence weight $w(x)$ and label weight $w(y)$ are

$$W^{(1)}(x, y) = \begin{bmatrix} (0.1659, 1) & (0, 0) & (0, 0) & (0, 0) & (0, 0) \\ (\varepsilon, 0) & (0, 0) & (0, 0) & (0, 0) & (0, 0) \\ (0, 0) & (0.4144, 1) & (0.4196, 1) & (0, 0) & (0, 0) \\ (0, 0) & (0, 0) & (0, 0) & (0.8690, 1) & (0, 0) \\ (0, 0) & (0, 0) & (0, 0) & (\varepsilon, 0) & (0, 0) \\ (0, 0) & (0, 0) & (0, 0) & (0.1310, 1) & (0.4629, 1) \\ (0, 0) & (0, 0) & (0, 0) & (0, 0) & (\varepsilon, 0) \\ (0, 0) & (0, 0) & (0, 0) & (0, 0) & (0.5371, 1) \end{bmatrix}$$

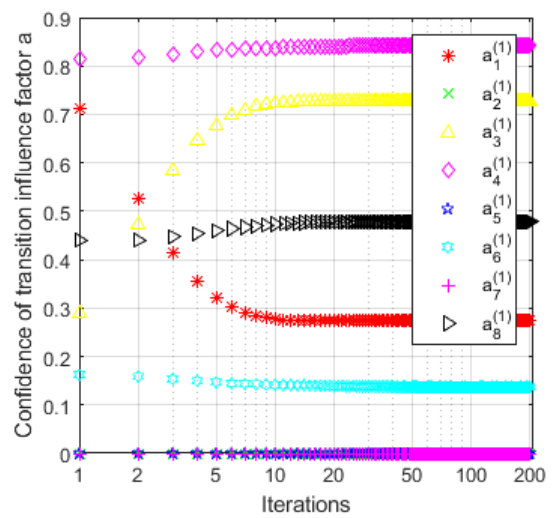


FIGURE 8. The change process of the transition influence factors a_i of the first-layer fuzzy RBM-Petri net.

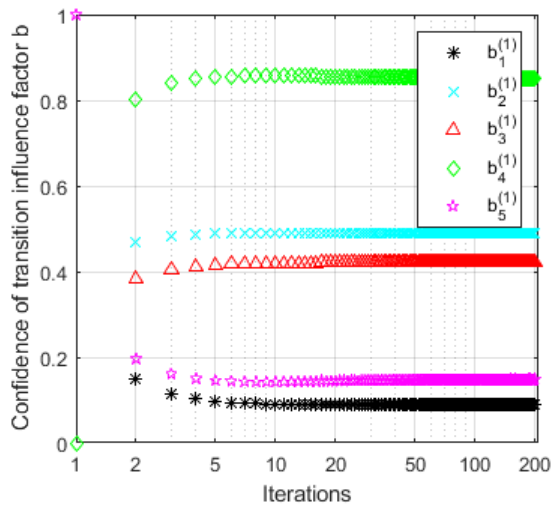


FIGURE 9. The change process of the transition influence factors b_j of the first-layer fuzzy RBM-Petri net.

extracted from the pretrained confidence weight vector $W(x)$ and label weight vector $W(y)$. The label of the fault source is obtained through backward reasoning. As shown in Fig. 11, the backward reasoning accurately locates the cause of the fault and obtains the confidence of the relevant fault locations, which can be obtained by the place of the fault marked by

TABLE 1. Fault related statistics and inference results.

Fault Source Place	Initial Confidence	Inference Confidence			Number of Faults
		Fault Type 1	Fault Type 2	Fault Type 3	
P_1	0.3297	0.1659	-	0.1659	11
P_2	0.3297	ϵ	-	ϵ	7
P_3	0.5646	0.4196	-	0.4196	30
P_4	0.9445	-	ϵ	0.8690	71
P_5	0.3801	-	0.6264	ϵ	47
P_6	0.2516	-	0.4499	0.4629	18
P_7	0.3029	-	0.5501	ϵ	36
P_8	0.2852	-	ϵ	0.5371	20

TABLE 2. Comparison of different fault diagnosis methods.

Method	AFPN [17]	NFPN [19]	CLPSO-FPN [21]	DBN-AAFPN	DBN-AAFPN
Parameter optimization	WFPR	BP	CLPSO	FGS [29]	Improved FGS
Weight	Weight	Confidence-weight	Confidence-weight	Confidence-weight, Label-weight	Confidence-weight, Label-weight
Transition Influence factor	-	-	Single transition influence factor	Dual-transition influence factors	Dual-transition influence factors
Setting of transition threshold	Expert experience	Sigmoid function	Gaussian function	Marginal distribution	Marginal distribution
Fault diagnosis	Fault Type 1	$P_{34}, P_{35}, P_{36}, P_{46}$	$P_{34}, P_{35}, P_{36}, P_{46}$	$P_{34}, P_{35}, P_{36}, P_{46}$	$P_{34}, P_{35}, P_{36}, P_{46}$
	Fault Type 2	P_{37}, P_{38}, P_{47}	P_{37}, P_{38}, P_{47}	P_{37}, P_{38}, P_{47}	P_{37}, P_{38}, P_{47}
	Fault Type 3	$P_{34}, P_{35}, P_{36}, P_{37}, P_{38}, P_{46}, P_{47}$	$P_{34}, P_{35}, P_{36}, P_{37}, P_{38}, P_{46}, P_{47}$	$P_{34}, P_{35}, P_{36}, P_{37}, P_{38}, P_{46}, P_{47}$	$P_{34}, P_{35}, P_{36}, P_{37}, P_{38}, P_{46}, P_{47}$
Fault cause	Fault Type 1	-	P_1, P_3	P_1, P_3	P_1, P_3
	Fault Type 2	-	P_5, P_6, P_7	P_5, P_6, P_7	P_5, P_6, P_7
	Fault Type 3	-	P_1, P_3, P_4, P_6, P_8	P_1, P_3, P_4, P_6, P_8	P_1, P_3, P_4, P_6, P_8
Correlation coefficient	Fault Type 1	-	0.7946	0.8677	0.9274
	Fault Type 2	-	0.7523	0.8269	0.9049
	Fault Type 3	-	0.5962	0.6938	0.8827

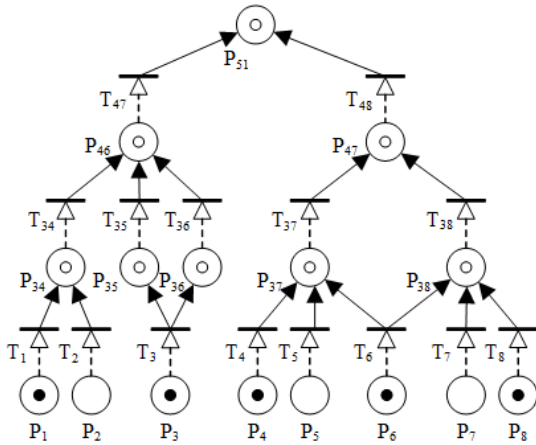


FIGURE 10. Forward reasoning fault occurrence model.

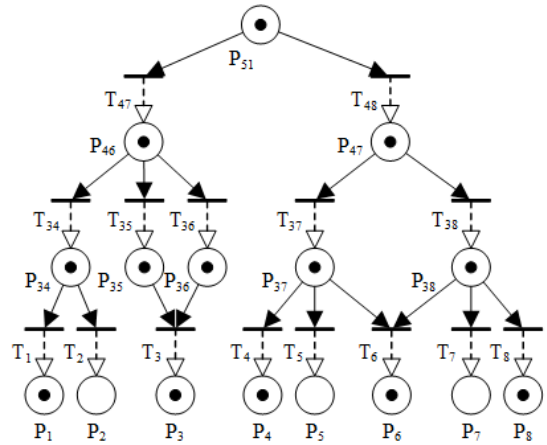


FIGURE 11. Backward reasoning fault diagnosis model.

the solid token. This approach provides an effective reference for maintenance personnel and prioritizes maintenance of components with high fault confidence, improving the maintenance efficiency and ensuring the normal operation of the sensor.

After backward reasoning, the confidence value of each layer of the visible layer places and the corresponding fault cause are:

1)

$$K'_3 = (1, 1)^T \rightarrow P_{46}, P_{47}$$

$$v^{(3)'} = (0.5187, 0.4813)^T;$$

2)

$$K'_2 = (1, 1, 1, 1)^T \rightarrow P_{34}, P_{35}, P_{36}, P_{37}, P_{38}$$

$$v^{(2)'} = (0.2583, 0.3723, 0.3693, 0.6876, 0.3124)^T;$$

3)

$$K'_1 = (1, 0, 1, 1, 0, 1, 0, 1)^T \rightarrow P_1, P_3, P_4, P_6, P_8$$

$$v^{(1)'} = (0.1659, \epsilon, 0.4196, 0.8690, \epsilon, 0.4629, \epsilon, 0.5371)^T.$$

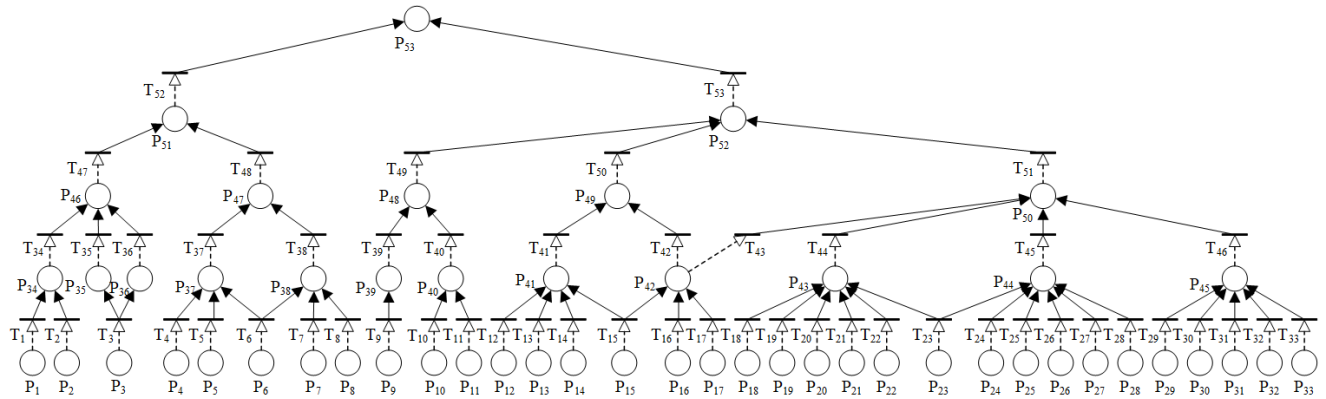


FIGURE 12. The DBN-AAFPN fault model of laser radar.

TABLE 3. Place semantics of the DBN-AAFPN fault model of laser radar.

Place	Semantic	Place	Semantic	Place	Semantic
P ₁	No laser output	P ₁₉	Electrical over stress	P ₃₇	Reduced reflectivity
P ₂	Spectral degradation	P ₂₀	Function failure	P ₃₈	Performance failure
P ₃	Drive current increase	P ₂₁	Mechanical failure	P ₃₉	Coupling failure
P ₄	Clogged condenser	P ₂₂	Parameter out of tolerance	P ₄₀	Line fault
P ₅	Crack	P ₂₃	Measuring open circuit	P ₄₁	Performance loss
P ₆	Pollution	P ₂₄	Improper rise time	P ₄₂	Connection failure
P ₇	Unable to adjust	P ₂₅	Leakage	P ₄₃	Operational amplifier failure
P ₈	Electron tube overvoltage	P ₂₆	No current output	P ₄₄	Electronic filter failure
P ₉	Reduced coupling rate	P ₂₇	Electronic filter short circuit	P ₄₅	Welding failure
P ₁₀	Diode short circuit	P ₂₈	Switching time failure	P ₄₆	Optoelectronic semiconductor failure
P ₁₁	Diode open circuit	P ₂₉	Soldering iron	P ₄₇	Optical resonant cavity failure
P ₁₂	Noise	P ₃₀	Fusion welding	P ₄₈	Photo-diode failure
P ₁₃	Functional degradation	P ₃₁	Winding	P ₄₉	Delay line failure
P ₁₄	Low radio frequency output	P ₃₂	Crimping	P ₅₀	Measuring circuit failure
P ₁₅	Overall degradation	P ₃₃	Pinch joint	P ₅₁	Laser fault
P ₁₆	Welding failure	P ₃₄	Laser emission failure	P ₅₂	Receiver fault
P ₁₇	Wire fault	P ₃₅	Exothermic loss	P ₅₃	Laser radar fault
P ₁₈	Electrical performance failure	P ₃₆	Overcurrent stress		

TABLE 4. Basic failure rate λ_0 and temperature influence coefficient π_t .

Faulty Component	Material	Window μm	Module Type	$\lambda_0(10^{-9}/h)$	π_t
Laser diode module	GaAlAs/ GaAs	0.8	Basic launch module	15000	$\pi_t = e^{4060(\frac{1}{318} - \frac{1}{t_j + 273})}$ (t_j is junction temperature, the same below)
Laser diode module	InGaAs/ InP	1.2-1.6	Basic transmitter module without electronic components	200	$\pi_t = e^{4060(\frac{1}{303} - \frac{1}{t_j + 273})}$
	InGaAs/ InP	1.2-1.6	Transmitting module with electronic components	300	
	InGaAs/ InP	1.2-1.6	Transmitting/receiving module with laser PIN and electronic components, with or without clock recovery function (no crystal components)	400	
	InGaAs/ InP	1.2-1.6	Laser module with integrated modulator	500	
	InGaAs/ InP	1.48	Pump laser module, power \leq 250mW	1000	
	InGaAs/ InP	1.48	Pump laser module, power $>$ 250mW	1750	
Photodiode and receiving module for communication	InGaAs/ GaAs	0.98	Pump laser module	1500	$\pi_t = e^{4060(\frac{1}{343} - \frac{1}{t_j + 273})}$ (Activation energy: 0.35eV)
	Silicon	0.7-1.1	PIN diode	96	
	InGaAs	0.7-1.1	PIN diode	192	
	Silicon	-	APD diode (Avalanche)	384	
	Germanium	-	APD diode (Avalanche)	768	
	InGaAs	-	APD diode (Avalanche)	1536	
			PIN module + electronic components (With or without clock recovery)	576	
		APD module + electronic components (With or without clock recovery)	1920		

E. STATISTICS AND VERIFICATION

In this study, the number of faults is taken from the recent five years of statistical data for the laser radar of the intelligent dust removal robot underground in the Huibaoling Iron Mine

of Shandong Energy Linyi Mineral Group Co., Ltd. Through backward reasoning, taking a series of specific fault types as an example, we obtain the corresponding confidence of the occurrence of Fault Type 1, Fault Type 2, and Fault

TABLE 5. Basic failure rate λ_b .

Faulty Component		$\lambda_b(10^{-6}/h)$
Resonator		0.14
Magnetic devices	High-power magnetic devices, isolators, amplifiers, gyrators, modulators, circulators, phase shifters, couplers	0.50
	Low-power magnetic devices (for receivers)	0.06
Electronic filter	Discrete inductance capacitor	0.26
	Discrete inductors, capacitors and crystals	0.58
Welding point	Soldering iron soldering of printed boards	0.000092
	Welding	0.0002
	Wrap around	0.000025
	Crimp	0.0007
	Clamp	0.0005

TABLE 6. Environmental coefficient of the resonator π_E .

Environment	G_B	G_{MS}	G_{F1}	G_{F2}	G_{M1}	G_{M2}	M_P	N_{SB}	N_{S1}	N_{S2}
π_E	1.0	1.2	1.5	3.5	4.0	12	8.0	5.4	3.0	7.0
Environment	N_U	A_{IF}	A_{UF}	A_{IC}	A_{UC}	A_{RW}	S_F	M_L	M_F	-
π_E	14	15	24	10	18	22	1.0	32	15	-

TABLE 7. Environmental coefficient of the magnetic devices π_E .

Environment	G_B	G_{MS}	G_{F1}	G_{F2}	G_{M1}	G_{M2}	M_P	N_{SB}	N_{S1}	N_{S2}
π_E	1.0	1.2	1.5	3.5	4.0	8.0	5.0	4.5	3.0	6.0
Environment	N_U	A_{IF}	A_{UF}	A_{IC}	A_{UC}	A_{RW}	S_F	M_L	M_F	-
π_E	12	6.5	10	3.5	5.5	15	1.0	22	12	-

TABLE 8. Environmental coefficient of the electronic filter π_E .

Environment	G_B	G_{MS}	G_{F1}	G_{F2}	G_{M1}	G_{M2}	M_P	N_{SB}	N_{S1}	N_{S2}
π_E	1.0	1.2	1.5	3.5	4.0	8.0	6.0	4.0	3.0	6.0
Environment	N_U	A_{IF}	A_{UF}	A_{IC}	A_{UC}	A_{RW}	S_F	M_L	M_F	-
π_E	10	9.0	14	7.0	11	13	0.8	25	15	-

TABLE 9. Environmental coefficient of the welding point π_E .

Environment	G_B	G_{MS}	G_{F1}	G_{F2}	G_{M1}	G_{M2}	M_P	N_{SB}	N_{S1}	N_{S2}
π_E	1.0	1.1	2.0	4.0	4.0	7.0	5.5	4.5	4.0	5.0
Environment	N_U	A_{IF}	A_{UF}	A_{IC}	A_{UC}	A_{RW}	S_F	M_L	M_F	-
π_E	10	6.0	11	4.0	6.0	16	1.0	25	12	-

TABLE 10. Quality factor π_Q . (From A to C, the quality is getting lower and lower).

Quality Level	A	A_1	A_2	B	B_1	B_2	C
π_Q (Resonator)	-	0.2	0.35	1.0	-	-	5.0
π_Q (Magnetic devices)	0.35	-	-	-	0.65	1.0	4.5
π_Q (Electronic filter)	0.4	-	-	1.0	-	-	5.0
π_Q (Welding point)	0.25	-	-	1.0	-	-	5.0

Type 3. The statistical data and inference results are shown in Table 1.

- 1) Fault Type 1:
Two of the eight fault sources failed, with P_1 and P_3 faults;
- 2) Fault Type 2:
Three of the eight fault sources failed, with P_5 , P_6 , and P_7 faults;
- 3) Fault Type 3 (Example in this article):
Five of the eight fault sources failed, with P_1 , P_3 , P_4 , P_6 , and P_8 faults.

The Pearson correlation coefficient is a statistical index that measures the actual number of faults and the confidence of inference. The fault correlation calculation can be used as an indicator of fault diagnosis accuracy, and the calculation method is shown in Equation (22). The correlations of the actual faults of the three fault types 1, 2, and 3 are 0.9667, 0.9540, and 0.9266, respectively. Moreover, we have performed comparative experiments for multiple methods under the same defined conditions, including fault model, fault type, network initial parameters, and the number of training iterations. As shown in Table 2, our proposed method has a stronger ability to adjust parameters and a higher adaptability and accuracy of fault diagnosis, and it is consistent with the expected goal.

$$r = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2 \sum_{i=1}^n (y_i - \bar{y})^2}} \tag{22}$$

TABLE 11. Component failure mode and frequency ratio.

Faulty Component		Failure Mode		Frequency Ratio
Laser		Clogged condenser		42.3%
		Crack		9.6%
		Pollution		1.2%
		Electronic tube overvoltage failure		3.6%
		Helium loss		3.6%
		Unable to adjust		4.8%
		Cadmium loss		34.9%
Receiver/ transmitter		Lead failure		17.1%
		Function failure		10.0%
		Leakage		2.9%
		Microcrack		5.7%
		Parameters out of tolerance		5.7%
		Short circuit		58.6%
Laser diode	1.3 μm/ 1.55 μm module (Single mode fiber 9/125)	Diode failure	(1) Spectral degradation (2) Current increase	10%
		Coupling failure	Significant degradation of output power	90%
	Pump laser module (0.98 μm, 1.48 μm)	Diode failure	Significant increase in current	90%
		Coupling failure	Significant degradation of output power	10%
	0.85 μm module (Single mode fiber 9/125)	Diode failure	(1) No laser output (2) Spectral degradation (3) Current increase	Module launch: 80%
		Coupling failure	Significant degradation of output power	Module launch: 10%
Broken light		No output power	Module launch: 10%	
Photodiode and receiving module for communication		Short circuit		80% (With window) 40% (With optical fiber)
		Open circuit		20% (With window) 10% (With optical fiber)
		Coupling failure		0% (With window)
				50% (With optical fiber)
Operational amplifier		Electrical performance failure		60.0%
		Overvoltage stress		9.1%
		Function failure		1.5%
		Mechanical failure		19.2%
		Open circuit		2.1%
		Parameters out of tolerance		8.1%
Delay line		Overall degradation		28.0%
		Functional degradation		22.8%
		Low radio frequency output		10.5%
		Noise		21.1%
		Welding failure		8.8%
		Wire failure		8.8%
Electronic filter		Improper rise time		31.7%
		Leakage		9.3%
		No current out		11.0%
		Open circuit		15.9%
		Short circuit		17.9%
		Switching time failure		14.2%

V. CONCLUSION

The sensor fault model has a complicated topology and contains a high amount of uncertain information. The adaptability and accuracy of the traditional FPN fault diagnosis method are insufficient. In this research, we presented the concept of adaptive arc and endowed the FPN with the topology and generation mechanism of DBN. The transition threshold does not rely on expert experience but rather on the marginal distribution of RBM, and the dual-weights and dual-transition influence factors are optimized by the improved FGS algorithm, solving the problem of poor diagnostic adaptability caused

by the manual setting of parameters and single adjustable parameters. However, a large number of fault source nodes in a complex network will still give rise to high fault information dimensions and node explosion. Therefore, the optimization of fault source nodes is the focus of our next study.

APPENDIX A

In this article, the 3irobotix Delta-2A laser radar is selected as the research object of sensor fault diagnosis, and the DBN-AAFPN fault model is established according to its structural principle and failure mode, as shown in Fig. 12.

The semantic description corresponding to the DBN-AAFPN fault model places is shown in Table 3.

APPENDIX B

In this study, we use the standard failure rate and related calculation formulas in the Reliability Prediction Handbook for Electronic Equipment to obtain the original data. The calculation methods of the related failure types and the original data list are shown below. Among them, there are two calculation methods for different types of faults, as shown in Equations (23) and (24). The relevant variables in the formulas, such as basic failure rate λ_0 , temperature influence coefficient π_t , can be obtained in Table 4 to Table 10, according to the characteristics of the components and actual need. Table 11 shows the proportion of specific failure modes under different failure components, and finally solves the failure rate of specific failure modes through it. In addition, it should be noted that the incomplete failure modes and data in the table can be appropriately adjusted according to work experience.

- 1) Calculation method of failure rate of laser diode module;
- 2) Calculating method of failure rate of photodiode and receiving module for communication.

The 1) and 2) failure rate prediction model is shown in Equation (23).

$$\lambda_a = \lambda_0 \times \pi_t \quad (23)$$

where λ_a is the failure rate, the unit is $10^{-9}/h$, λ_0 is the basic failure rate of the module, the unit is $10^{-9}/h$, and π_t is temperature influence coefficient. The values of λ_0 and π_t are shown in Table 4.

- 3) Calculation method of failure rate of resonator;
- 4) Calculation method of failure rate of magnetic devices;
- 5) Calculation method of failure rate of electronic filter;
- 6) Calculation method of failure rate of welding point.

The 3) to 6) failure rate prediction model is shown in Equation (24).

$$\lambda_p = \lambda_b \times \pi_E \times \pi_Q \quad (24)$$

where λ_p is the failure rate, the unit is $10^{-6}/h$, λ_b is the basic failure rate, the unit is $10^{-6}/h$, and π_E is the environmental factor, π_Q is the quality factor. The values of λ_b , π_E and π_Q are listed in Table 5 to Table 10.

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