

Received July 23, 2020, accepted August 8, 2020, date of publication August 12, 2020, date of current version August 21, 2020.

Digital Object Identifier 10.1109/ACCESS.2020.3015957

Adaptive Neural Network Leader-Follower Formation Control for a Class of Second-Order Nonlinear Multi-Agent Systems With Unknown Dynamics

GUOXING WEN^{1,2}, CHENYANG ZHANG³, PING HU¹, AND YANG CUI⁴

¹College of Science, Binzhou University, Binzhou 256600, China

²School of Mathematics and Statistics, Qilu University of Technology, Jinan 250353, China

³Department of Computer Science, University of Liverpool, Liverpool L69 3BX, U.K.

⁴School of Electronic and Information Engineering, University of Science and Technology Liaoning, Anshan 114000, China

Corresponding author: Guoxing Wen (wengx_sd@hotmail.com)

This work was supported in part by the Shandong Provincial Natural Science Foundation, China, under Grant ZR2018MF015, and in part by the National Natural Science Foundations of China under Grants 61903169 and 61703050.

ABSTRACT In this article, an adaptive leader-follower formation control on the basis of neural network (NN) is developed for a class of second-order nonlinear multi-agent systems with unknown dynamics. Unlike the first-order formation control that only needs to govern the position states, the second-order formation control needs to govern both the position and velocity variables. Hence the second-order formation is more challenging and interesting than the first-order case. In the control design, the adaptive NN approximator is employed to compensate the nonlinear uncertainties, so that the control design difficulty coming from the unknown dynamics is effectively overcome. Through Lyapunov stability analysis, it is demonstrated that the proposed control method can complete the control tasks. To further demonstrate the effectiveness, the formation method is implemented to a numerical simulation, and it shows the desired results.

INDEX TERMS Nonlinear multi-agent systems, formation control, double integral dynamic, neural networks.

I. INTRODUCTION

Since the multi-agent control theory had been significantly developed, and lots of research results were published in literatures. In recent decades, their applications are increasingly being implemented to various fields, such as clusters of satellites, sensor networks, robot team, coordination of unmanned air vehicles [1]–[4]. A multi-agent system is made up of multiple intelligent individuals under interaction. Because of the outstanding performances, for examples, flexibility, reliability, efficiency and new capabilities, the multi-agent can finish many difficult and arduous tasks, and it can surpass the ability of multiple single agents. Therefore, multi-agent control is meeting the requirement for developing modern military, modern industry and modern civilian.

Formation control is to find the control protocol for a multi-agent system so that the system states are driven to arrive the prescribed constraints finally. Due to wide

The associate editor coordinating the review of this manuscript and approving it for publication was Ning Sun.

applications, formation control is attracting the increasing attention coming from the various areas. In general, several basic formation control strategies are leader-follower [5], behavior-based [6], virtual structure [7] and potential function [8]. Particularly, leader-follower formation strategy is the most popular one owing to the simplicity and scalability. Recently, increasing attention is being devoted to the neighbor-based formation control protocol [9], of which the main advantage is that formation controller only needs the information of a small number of agents.

Since the first-order multi-agent formation control just needs to govern the position state, the demonstration of technical feasibility is with simpler mathematics. After several decades of development, lots of research results were reported in literatures [10]–[15]. However, unlike the first-order multi-agent formation, the second-order case needs to govern both the position and velocity variables. So the second-order multi-agent formation is more interesting and challenging than the first-order case. In past decades, the second-order multi-agent formation control received

considerable attention, many gratifying research results have been reported in the literatures [16]–[19].

It's a fact that the unknown nonlinear dynamic is ubiquitous in the real-world engineering systems. However, most of the published nonlinear multi-agent control methods either assume one of both the known dynamic function and the Lipschitz continuous condition, or have many mathematic imperfect in the theory proof, for examples [20]–[23]. Since neural networks (NNs) are proven to have universal approximating and adaptive learning abilities, they are combined with a lot of control techniques to deal with the unknown dynamic problem of nonlinear systems, such as backstepping, observer, dynamic surface, H_∞ technique [24]–[28]. Recently, several adaptive nonlinear multi-agent consensus control methods using NN are reported [29]–[32], they further demonstrate that NN can be an effective tool to solve the unknown dynamic problem for nonlinear multi-agent systems. Moreover, fuzzy logic systems (FLSs) are also demonstrated to have excellent approximation ability, and have been well applied to adaptive nonlinear control, such as [33]–[36]. Unfortunately, to the second-order multi-agent formation, NN or FLS adaptive control are rarely reported.

Motivated by the above analysis, this paper develops an adaptive formation control method for a class of nonlinear double integral unknown dynamic multi-agent systems. A numerical simulation example is performed via the proposed control method, and it also shows the desired results. The main contributions are listed in the following.

- 1) A formation control scheme for the second-order nonlinear multi-agent system is developed. Based on the Lyapunov stability theorem, it is proven that the control scheme can ensure to achieve the desired control objective.
- 2) The unknown dynamic problem is solved by employing the adaptive NN. The NN in the control is used to approximate the nonlinear dynamic function, so that the unknown dynamic is effectively compensated.

The paper is organized as follows. In section 2, the preliminaries concluded neural networks, graph theory, and supporting lemmas are introduced. In section 3, the main results involved problem formulation, control protocol design, and theory proof are presented. In section 4, a simulation example is provided. Section 5 is the conclusion.

II. PRELIMINARIES

A. NEURAL NETWORKS

Neural networks (NNs) has been demonstrated to have the universal function approximation ability. Give a continuous function $f(x) : \mathbb{R}^m \rightarrow \mathbb{R}^n$, NN can approximate the function over a compact set Ω in the following form:

$$\hat{f}(x) = W^T S(x) \quad (1)$$

where $W \in \mathbb{R}^{q \times n}$ is the weight matrix with the neuron number q , $S(x) = [s_1(x), \dots, s_q(x)]^T$ is the basis function vector with $s_{i=1, \dots, q}(x) = e^{-\frac{(x-\mu_i)^T(x-\mu_i)}{2}}$, $\mu_i = [\mu_{i1}, \dots, \mu_{im}]^T$ is the center of receptive field.

For the continuous function $f(x)$, there exists the ideal NN weight $W^* \in \mathbb{R}^{q \times n}$ described as

$$W^* := \arg \min_{W \in \mathbb{R}^{q \times n}} \left\{ \sup_{x \in \Omega} \|f(x) - W^T S(x)\| \right\}, \quad (2)$$

so that $f(x)$ can be rewritten as

$$f(x) = W^{*T} S(x) + \varepsilon(x), \quad (3)$$

where $\varepsilon(x) \in \mathbb{R}^n$ is the approximation error, and there exists a positive constant δ such that $\|\varepsilon(x)\| \leq \delta$.

The ideal NN weight W^* is to ensure the minimum possible deviation between $W^T S(x)$ and $f(x)$. However, it cannot be directly applied to design the control protocol because it is just an ‘‘artificial’’ quantity for analyzing. Usually, its estimation obtained via adaptive tuning is used to construct the actual control.

B. GRAPH THEORY

For the multi-agent system in this paper, the interconnected graph is assumed to be an undirected connected graph $G = (A, M, \Xi)$, where $A = [a_{ij}] \in \mathbb{R}^{m \times m}$ is the adjacency matrix whose the element $a_{ij} \geq 0$ is the communicated weight between agents i and j , $M = \{1, 2, \dots, m\}$ is the label set of all nodes, $\Xi \in M \times M$ is the edge set. If the edge ξ_{ij} holds $\xi_{ij} = (i, j) \in \Xi$, then it means exiting a communication from node j to node i , and the node j is said to be a neighbor of the node i , and $\Lambda_i = \{j | (i, j) \in \Xi\}$ denotes the neighbor label set, and the adjacency element a_{ij} associated with the edge ξ_{ij} is assigned $a_{ij} = 1$. If $\xi_{ij} \notin \Xi$, then $a_{ij} = 0$. If the adjacency elements of matrix A is with the property $a_{ij} = a_{ji}$, $i, j = 1, \dots, m$, which means $\xi_{ij} \in \Xi \iff \xi_{ji} \in \Xi$, then the graph G is called as an undirected graph. The undirected graph G is called to be connected if there is an undirected path, $(i, i_1), \dots, (i_n, j)$, for any two different nodes i and j . Associated with the graph G , the Laplacian matrix is

$$L = \text{diag} \left\{ \sum_{j=1}^m a_{1j}, \dots, \sum_{j=1}^m a_{mj} \right\} - A. \quad (4)$$

The communication weights between agents and leader are described by the matrix $D = \text{diag} \{d_1, \dots, d_m\}$. If the agent i can have the communication with the leader, then $d_i = 1$; otherwise $d_i = 0$. It is supposed that $d_1 + \dots + d_m \geq 1$, which implies that at least one of agents connected with the leader.

C. SUPPORTING LEMMAS

Lemma 1: [37] If an undirected graph G is connected, a necessary and sufficient condition is that its Laplacian matrix is irreducible.

Lemma 2: [38] Let $L = [l_{ij}] \in \mathbb{R}^{m \times m}$ with $l_{ij} = l_{ji} \leq 0$ and $l_{ii} = -\sum_{j=1}^m l_{ij}$ be an irreducible matrix. Then all the

eigenvalues of $\tilde{L} = \begin{bmatrix} l_{11} + d_1 & \dots & l_{1m} \\ \vdots & \ddots & \vdots \\ l_{m1} & \dots & l_{mm} + d_m \end{bmatrix}$ are positive,

where d_1, \dots, d_m are nonnegative constants satisfied $d_1 + \dots + d_m > 0$.

Lemma 3: [21] The matrix inequality that

$$\begin{bmatrix} A_1(x) & A_3(x) \\ A_3^T(x) & A_2(x) \end{bmatrix} > 0, \quad (5)$$

where $A_1(x) = A_1^T(x)$, $A_2(x) = A_2^T(x)$, is equivalent to any one of the following two inequalities: i)

- 1) $A_1(x) > 0, A_2(x) - A_3^T(x)A_1^{-1}(x)A_3(x) > 0$;
- 2) $A_2(x) > 0, A_1(x) - A_3(x)A_2^{-1}(x)A_3^T(x) > 0$.

Lemma 4: [31] The continuous function $V(t) \geq 0$ is with bounded initial condition. If it holds $\dot{V}(t) \leq -aV(t) + c$, where a and c are two positive constants, then the following inequality can be held

$$V(t) \leq V(0)e^{-at} + \frac{c}{a}(1 - e^{-at}). \quad (6)$$

III. MAIN RESULTS

A. PROBLEM FORMULATION

Consider the nonlinear multi-agent system that is made up of m agents modeled by the following double integral dynamics:

$$\begin{aligned} \dot{x}_i(t) &= y_i(t), \\ \dot{y}_i(t) &= u_i + f_i(x_i, y_i), \\ i &= 1, \dots, m, \end{aligned} \quad (7)$$

where $x_i(t) = [x_{i1}, \dots, x_{in}]^T \in \mathbb{R}^n$ is the position state, $y_i(t) = [y_{i1}, \dots, y_{im}]^T \in \mathbb{R}^m$ is the velocity state, $u_i \in \mathbb{R}^m$ is the control input, $f_i(\cdot) \in \mathbb{R}^m$ is the unknown nonlinear dynamic function.

The desired reference signals are described by the following dynamics, which is viewed as an independent leader agent,

$$\begin{aligned} \dot{\bar{x}}(t) &= \bar{y}(t) \\ \dot{\bar{y}}(t) &= h(t) \end{aligned} \quad (8)$$

where $\bar{x} \in \mathbb{R}^n$ is the reference position, $\bar{y} \in \mathbb{R}^m$ is the reference velocity, $h(\cdot) \in \mathbb{R}^m$ is a smooth bounded function [11], [24].

Definition 1 ([18]): The second-order leader-follower formation is achieved if the solutions of multi-agent system (7) satisfy $\lim_{t \rightarrow \infty} \|x_i(t) - \bar{x}(t) - p_i\| = 0$, $\lim_{t \rightarrow \infty} \|y_i(t) - \bar{y}(t)\| = 0$, $i = 1, \dots, m$, where $p_i = [p_{i1}, \dots, p_{in}]^T \in \mathbb{R}^n$ is a constant vector, which describes the desired relative position between agent i and the reference (8).

The Control Objective: Design an adaptive formation control protocol for the nonlinear multi-agent system (7) so that i) all error signals are semi-globally uniformly ultimately bounded (SGUUB); ii) the second-order leader-follower formation is achieved.

B. CONTROL PROTOCOL DESIGN

Define the following coordinate transformations as

$$\begin{aligned} z_{xi}(t) &= x_i(t) - \bar{x}(t) - p_i, \\ z_{yi}(t) &= y_i(t) - \bar{y}(t), \\ i &= 1, \dots, m. \end{aligned} \quad (9)$$

According to (7) and (8), the following error dynamics are yielded as

$$\begin{aligned} \dot{z}_{xi}(t) &= z_{yi}(t), \\ \dot{z}_{yi}(t) &= u_i + f_i(x_i, y_i) - h(t), \\ i &= 1, \dots, m. \end{aligned} \quad (10)$$

Rewrite the error dynamic (10) to the compact form as

$$\dot{z}(t) = \begin{bmatrix} z_y(t) \\ u + F(z) - h(t) \otimes 1_m \end{bmatrix}, \quad (11)$$

where $z(t) = [z_x^T(t), z_y^T(t)]^T \in \mathbb{R}^{2mn}$ with $z_x(t) = [z_{x1}^T(t), \dots, z_{xm}^T(t)]^T \in \mathbb{R}^{mn}$ and $z_y(t) = [z_{y1}^T(t), \dots, z_{ym}^T(t)]^T \in \mathbb{R}^{mn}$, $u = [u_1^T, \dots, u_m^T]^T \in \mathbb{R}^{mn}$, $F(z) = [f_1^T, \dots, f_m^T]^T \in \mathbb{R}^{mn}$, $1_m = [1, \dots, 1]^T \in \mathbb{R}^m$, \otimes is Kronecker product.

Define the position and velocity formation errors as

$$\begin{aligned} e_{xi}(t) &= \sum_{j \in \Lambda_i} a_{ij}(x_i(t) - p_i - x_j(t) + p_j) \\ &\quad + d_i(x_i(t) - \bar{x}(t) - p_i), \\ e_{yi}(t) &= \sum_{j \in \Lambda_i} a_{ij}(y_i(t) - y_j(t)) + d_i(y_i(t) \\ &\quad - \bar{y}(t)), \quad i = 1, \dots, m, \end{aligned} \quad (12)$$

where a_{ij} and d_i are the elements of the matrices A and D , which are introduced in Subsection II.B, Λ_i is the neighbor label set of agent i .

Furthermore, the two formation error terms in (12) can be rewritten on the basis of (9) as

$$\begin{aligned} e_{xi}(t) &= \sum_{j \in \Lambda_i} a_{ij}(z_{xi}(t) - z_{xj}(t)) + d_i z_{xi}(t), \\ e_{yi}(t) &= \sum_{j \in \Lambda_i} a_{ij}(z_{yi}(t) - z_{yj}(t)) + d_i z_{yi}(t), \\ i &= 1, \dots, m. \end{aligned} \quad (13)$$

In (11), the nonlinear function $f_i(x_i, y_i)$ is unknown but continuous, give a compact set $\Omega_i \subset \mathbb{R}^{2n}$, for $[x_i^T, y_i^T]^T \in \Omega_i$, it can be re-described by using its NN approximation as

$$f_i(x_i, y_i) = W_i^{*T} S_i(x_i, y_i) + \varepsilon_i(x_i, y_i), \quad (14)$$

where $W_i^* \in \mathbb{R}^{q_i \times n}$ is the ideal NN weight matrix with the NN neuron number q_i , $S_i(x_i, y_i) \in \mathbb{R}^{q_i}$ is the basis function vector, $\varepsilon_i(x_i, y_i) \in \mathbb{R}^n$ is the approximation error satisfied $\|\varepsilon_i(x_i, y_i)\| \leq \delta_i$, where δ_i is a constant.

In (14), since the ideal weight matrix W_i^* is an unknown constant matrix, it is unavailable for the actual control design. By using the estimation $\hat{W}_i(t)$ of the ideal NN weight W_i^* , the formation control is constructed in the following:

$$\begin{aligned} u_i(t) &= -\gamma_x e_{xi}(t) - \gamma_y e_{yi}(t) - \hat{W}_i^T(t) \\ &\quad \times S_i(x_i, y_i), \quad i = 1, \dots, m, \end{aligned} \quad (15)$$

where $\gamma_x > 0$, $\gamma_y > 0$ are two design constants, $\hat{W}_i(t) \in \mathbb{R}^{q_i \times n}$ is the estimation of W_i^* .

The NN updating law for tuning $\hat{W}_i(t)$ is given in the following:

$$\dot{\hat{W}}_i(t) = \Gamma_i \left(S_i(x_i, y_i) (e_{xi}(t) + e_{yi}(t))^T - \sigma_i \hat{W}_i(t) \right), \quad i = 1, \dots, m, \quad (16)$$

where $\Gamma_i \in \mathbb{R}^{q_i \times q_i}$ is a positive definite matrix, $\sigma_i > 0$ is a design constant.

Remark 1: In the controller (15), both the position error term $e_{xi}(t)$ and velocity error term $e_{yi}(t)$ defined in (12) aim to control the multi-agent system arriving and keeping the formation pattern and velocity consensus, respectively. The NN term $\hat{W}_i^T(t) S_i(x_i, y_i)$ aims to compensate the unknown dynamic via on-line tuning the NN weight $\hat{W}_i(t)$ using the updating law (16). Since the proposed scheme provides a basic formation control ideal for the second-order nonlinear multi-agent systems, it can be applied and extended by combining with various control techniques, such as H_∞ robust control [28], reinforcement learning [39].

C. THEOREM WITH PROOF

Theorem 1: Consider the nonlinear second-order multi-agent system (7) with the bounded initial conditions under the undirected connected graph G . If the adaptive formation control (15) with the NN weight updating law (16) is performed for the multi-agent system, and the design constants are chosen to satisfy

$$\begin{aligned} \gamma_x > 1, \quad \gamma_y > \frac{1}{2} + \frac{1}{2(\lambda_{\min}^{\tilde{L}})^2}, \\ \gamma_x + \gamma_y > \frac{1}{\lambda_{\min}^{\tilde{L}}}, \end{aligned} \quad (17)$$

where $\lambda_{\min}^{\tilde{L}}$ is the minimal eigenvalue of matrix \tilde{L} , then the following control objectives can be achieved.

- 1) All errors are SGUUB.
- 2) The multi-agent formation can be achieved for sufficiently smooth movement trajectory.

Proof: The Lyapunov function candidate is chosen as

$$\begin{aligned} V(t) = \frac{1}{2} z^T(t) \left(\begin{bmatrix} (\gamma_x + \gamma_y) \tilde{L} \tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{bmatrix} \otimes I_m \right) \\ \times z(t) + \frac{1}{2} \sum_{i=1}^m Tr \left\{ \tilde{W}_i^T(t) \Gamma_i^{-1} \tilde{W}_i(t) \right\}, \end{aligned} \quad (18)$$

where $\tilde{L} = L + D$, and $\tilde{W}_i(t) = \hat{W}_i(t) - W_i^*$.

According to Lemma 2, it can be directly concluded that the symmetric matrix \tilde{L} is a positive definite matrix. If the design parameters satisfy the condition (17), there is the fact $(\gamma_x + \gamma_y) \tilde{L} \tilde{L} - \tilde{L} > 0$. Hence, $\begin{bmatrix} (\gamma_x + \gamma_y) \tilde{L} \tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{bmatrix}$ is a positive definite matrix in accordance with Lemma 3. And thus the function $V(t)$ can be considered as a Lyapunov function candidate.

The time derivative of $V(t)$ along (11) and (16) is

$$\begin{aligned} \dot{V}(t) = z^T(t) \left(\begin{bmatrix} (\gamma_x + \gamma_y) \tilde{L} \tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{bmatrix} \otimes I_m \right) \\ \times \begin{bmatrix} z_y(t) \\ u + F(z) - h(t) \otimes 1_m \end{bmatrix} \\ + \sum_{i=1}^m Tr \left\{ \tilde{W}_i^T(t) \left(S_i(x_i, y_i) \right. \right. \\ \left. \left. \times (e_{xi}(t) + e_{yi}(t))^T - \sigma_i \hat{W}_i(t) \right) \right\}. \end{aligned} \quad (19)$$

Using the facts $e_x(t) = \tilde{L} z_x(t)$ and $e_y(t) = \tilde{L} z_y(t)$, where $e_x(t) = [e_{x1}^T(t), \dots, e_{xm}^T(t)]^T \in \mathbb{R}^{mn}$ and $e_y(t) = [e_{y1}^T(t), \dots, e_{ym}^T(t)]^T \in \mathbb{R}^{mn}$, the equation (19) can be rewritten as

$$\begin{aligned} \dot{V}(t) = \left[(\gamma_x + \gamma_y) e_x^T(t) \tilde{L} + e_y^T(t), e_x^T(t) \right. \\ \left. + e_y^T(t) \right] \begin{bmatrix} z_y(t) \\ u + F(z) - h(t) \otimes 1_m \end{bmatrix} \\ + \sum_{i=1}^m Tr \left\{ \tilde{W}_i^T(t) \left(S_i(x_i, y_i) (e_{xi}(t) \right. \right. \\ \left. \left. + e_{yi}(t))^T - \sigma_i \hat{W}_i(t) \right) \right\}. \end{aligned} \quad (20)$$

After several simple operations, the following one can be derived from (20),

$$\begin{aligned} \dot{V}(t) = \sum_{i=1}^m \left((\gamma_x + \gamma_y) e_{xi}^T(t) e_{yi}(t) + e_{yi}^T(t) \right. \\ \times z_{yi}(t) \left. \right) + \sum_{i=1}^m \left(e_{xi}^T(t) + e_{yi}^T(t) \right) \\ \times \left(u_i + f_i(x_i, y_i) - h(t) \right) \\ + \sum_{i=1}^m Tr \left\{ \tilde{W}_i^T(t) \left(S_i(x_i, y_i) (e_{xi}(t) \right. \right. \\ \left. \left. + e_{yi}(t))^T - \sigma_i \hat{W}_i(t) \right) \right\}. \end{aligned} \quad (21)$$

Inserting the NN approximation (14) and the controller (15) into (21) yields

$$\begin{aligned} \dot{V}(t) = \sum_{i=1}^m \left((\gamma_x + \gamma_y) e_{xi}^T(t) e_{yi}(t) \right. \\ \left. + e_{yi}^T(t) z_{yi}(t) \right) + \sum_{i=1}^m \left(e_{xi}^T(t) \right. \\ \left. + e_{yi}^T(t) \right) \left(-\gamma_x e_{xi}(t) - \gamma_y e_{yi}(t) \right. \\ \left. - \hat{W}_i^T(t) S_i(x_i, y_i) + W_i^{*T} S_i(x_i, y_i) \right. \\ \left. + \varepsilon_i(x_i, y_i) - h(t) \right) \\ + \sum_{i=1}^m Tr \left\{ \tilde{W}_i^T(t) S_i(x_i, y_i) (e_{xi}(t) \right. \\ \left. + e_{yi}(t))^T - \sigma_i \tilde{W}_i^T(t) \hat{W}_i(t) \right\}. \end{aligned} \quad (22)$$

In the light of the equation $\tilde{W}_i(t) = \hat{W}_i(t) - W_i^*$, the equation (22) can be rewritten as

$$\begin{aligned} \dot{V}(t) = & - \sum_{i=1}^m \gamma_x e_{xi}^T(t) e_{xi}(t) - \sum_{i=1}^m \gamma_y \\ & \times e_{yi}^T(t) e_{yi}(t) + \sum_{i=1}^m e_{yi}^T(t) z_{yi}(t) \\ & - \sum_{i=1}^m (e_{xi}^T(t) + e_{yi}^T(t)) \tilde{W}_i^T(t) \\ & \times S_i(x_i, y_i) + \sum_{i=1}^m (e_{xi}^T(t) + e_{yi}^T(t)) \\ & \times \varepsilon_i(x_i, y_i) - \sum_{i=1}^m (e_{xi}^T(t) + e_{yi}^T(t)) \\ & \times h(t) + \sum_{i=1}^m Tr \left\{ \tilde{W}_i^T(t) S_i(x_i, y_i) \right. \\ & \times (e_{xi}^T(t) + e_{yi}^T(t)) \left. \right\} - \sum_{i=1}^m Tr \left\{ \sigma_i \right. \\ & \times \tilde{W}_i^T(t) \hat{W}_i(t) \left. \right\}. \end{aligned} \quad (23)$$

According to the property of trace operation, $a^T b = Tr\{ab^T\} = Tr\{ba^T\}$ for $\forall a, b \in R^n$, there is the following fact

$$\begin{aligned} (e_{xi}(t) + e_{yi}(t))^T \tilde{W}_i^T(t) S_i(x_i, y_i) \\ = Tr \left\{ \tilde{W}_i^T(t) S_i(x_i, y_i) (e_{xi}(t) + e_{yi}(t))^T \right\}. \end{aligned} \quad (24)$$

Using the above equation (24), the equation (22) can become the following one

$$\begin{aligned} \dot{V}(t) = & - \sum_{i=1}^m \gamma_x e_{xi}^T(t) e_{xi}(t) - \sum_{i=1}^m \gamma_y \\ & \times e_{yi}^T(t) e_{yi}(t) + \sum_{i=1}^m e_{yi}^T(t) z_{yi}(t) \\ & + \sum_{i=1}^m (e_{xi}^T(t) + e_{yi}^T(t)) \varepsilon_i(x_i, y_i) \\ & - \sum_{i=1}^m (e_{xi}^T(t) + e_{yi}^T(t)) h(t) \\ & - \sum_{i=1}^m Tr \left\{ \sigma_i \tilde{W}_i^T(t) \hat{W}_i(t) \right\}. \end{aligned} \quad (25)$$

Applying Cauchy-Buniakowsky-Schwarz inequality and Young's inequality, the following results can be get

$$\begin{aligned} & e_{yi}^T(t) z_{yi}(t) \\ & \leq \frac{1}{2} e_{yi}^T(t) e_{yi}(t) + \frac{1}{2} z_{yi}^T(t) z_{yi}(t), (e_{xi}(t) + e_{yi}(t))^T \varepsilon_i(x_i, y_i) \\ & \leq \frac{1}{2} e_{xi}^T(t) e_{xi}(t) + \frac{1}{2} e_{yi}^T(t) e_{yi}(t) \\ & \quad + \|\varepsilon_i(x_i, y_i)\|^2, (e_{xi}^T(t) + e_{yi}^T(t)) h(t) \\ & \leq \frac{1}{2} e_{xi}^T(t) e_{xi}(t) + \frac{1}{2} \times e_{yi}^T(t) e_{yi}(t) + \|h(t)\|^2. \end{aligned} \quad (26)$$

Substituting the inequalities (26) into (25) yields

$$\begin{aligned} \dot{V}(t) \leq & -z^T(t) \left(\begin{bmatrix} (\gamma_x - 1) \tilde{L} \tilde{L} & 0 \\ 0 & (\gamma_y - 1 \frac{1}{2}) \tilde{L} \tilde{L} - \frac{1}{2} I_n \end{bmatrix} \otimes I_m \right) z(t) \\ & - \sum_{i=1}^m Tr \left\{ \sigma_i \tilde{W}_i^T(t) \hat{W}_i(t) \right\} \\ & + \sum_{i=1}^m \|\varepsilon_i(x_i, y_i)\|^2 + n \|h(t)\|^2. \end{aligned} \quad (27)$$

Using $\tilde{W}_i(t) = \hat{W}_i(t) - W_i^*$, the following equation can be obtained

$$\begin{aligned} Tr \left\{ \sigma_i \tilde{W}_i^T(t) \hat{W}_i(t) \right\} = \frac{\sigma_i}{2} Tr \left\{ \tilde{W}_i^T(t) \tilde{W}_i(t) \right\} \\ + \frac{\sigma_i}{2} Tr \left\{ \hat{W}_i^T(t) \hat{W}_i(t) \right\} - \frac{\sigma_i}{2} Tr \left\{ W_i^{*T} W_i^* \right\}. \end{aligned} \quad (28)$$

Substituting (28) into (27) has

$$\begin{aligned} \dot{V}(t) \leq & -z^T(t) \left(\begin{bmatrix} (\gamma_x - 1) \tilde{L} \tilde{L} & 0 \\ 0 & (\gamma_y - 1 \frac{1}{2}) \tilde{L} \tilde{L} - \frac{1}{2} I_n \end{bmatrix} \otimes I_m \right) z(t) \\ & - \sum_{i=1}^m \frac{\sigma_i}{2} Tr \left\{ \tilde{W}_i^T(t) \tilde{W}_i(t) \right\} + \Delta(t). \end{aligned} \quad (29)$$

where $\Delta(t) = \sum_{i=1}^m \frac{\sigma_i}{2} Tr \left\{ W_i^{*T} W_i^* \right\} + \sum_{i=1}^m \|\varepsilon_i(x_i, y_i)\|^2 + n \|h(t)\|^2$. Because all terms of $\Delta(t)$ are bounded, it satisfies $\|\Delta(t)\| \leq c$, where c is a constant.

Let λ_{min}^a denote the minimal eigenvalue of $\begin{bmatrix} (\gamma_x - 1) \tilde{L} \tilde{L} & 0 \\ 0 & (\gamma_y - 1 \frac{1}{2}) \tilde{L} \tilde{L} - \frac{1}{2} I_n \end{bmatrix}$, and λ_{max}^b denote the maximal eigenvalue of $\begin{bmatrix} (\gamma_x + \gamma_y) \tilde{L}^T \tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{bmatrix}$, and $\lambda_{max}^{\Gamma_i^{-1}}$ denote the maximal eigenvalue of Γ_i^{-1} , then the following one can be yielded from (26)

$$\begin{aligned} \dot{V}(t) \leq & -\frac{\lambda_{min}^a}{\lambda_{max}^b} z^T(t) \left(\begin{bmatrix} (\gamma_x + \gamma_y) \tilde{L}^T \tilde{L} & \tilde{L} \\ \tilde{L} & \tilde{L} \end{bmatrix} \otimes I_m \right) z(t) \\ & - \frac{1}{2} \sum_{i=1}^m \frac{\sigma_i}{\lambda_{max}^{\Gamma_i^{-1}}} Tr \left\{ \tilde{W}_i^T(t) \Gamma_i^{-1} \tilde{W}_i(t) \right\} + c. \end{aligned} \quad (30)$$

Let $a = \min \left\{ 2 \frac{\lambda_{min}^a}{\lambda_{max}^b}, \frac{\sigma_1}{\lambda_{max}^{\Gamma_1^{-1}}}, \dots, \frac{\sigma_m}{\lambda_{max}^{\Gamma_m^{-1}}} \right\}$, then the inequality (30) becomes

$$\dot{V}(t) \leq -aV(t) + c. \quad (31)$$

Applying Lemma 4 to (31), there is the following inequality

$$V(t) \leq e^{-at} V(0) + \frac{c}{a} (1 - e^{-at}). \quad (32)$$

From the above inequality, it can be proven that i) these errors $z_{xi}(t), z_{yi}(t), \tilde{W}_i(t), i = 1, \dots, n$, are SGUUB; ii) the tracking errors $z_{xi}(t), z_{yi}(t), i = 1, \dots, n$, can obtain the desired accuracy by choosing the design parameters large enough, and it means that the multi-agent formation can be achieved. \square

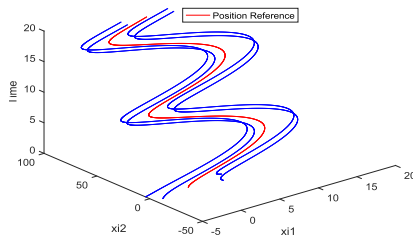


FIGURE 1. The multi-agent formation performance.

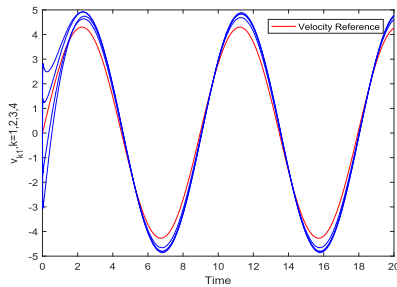


FIGURE 2. The velocity tracking performance for the first coordinate $\|y_{i1}(t)\|, i = 1, 2, 3, 4$.

IV. SIMULATION

In this simulation example, the multi-agent system moving on the 2-D plane is composed of 4 agents, and it is described in the following:

$$\begin{aligned} \dot{x}_i(t) &= y_i(t), \\ y_i(t) &= u_i + \begin{bmatrix} x_{i1} + \alpha_i \cos^2(x_{i1}y_{i1}) \\ y_{i2} + \beta_i \sin^2(x_{i2}y_{i2}) \end{bmatrix}, \\ i &= 1, 2, 3, 4, \end{aligned} \tag{33}$$

where $x_i(t) = [x_{i1}, x_{i2}]^T, y_i(t) = [y_{i1}, y_{i2}]^T, \alpha_{i=1,2,3,4} = -0.5, 0.7, -0.4, 0.3$ and $\beta_{i=1,2,3,4} = 0.6, 0.3, -1.6, -1.2$, respectively. The initial values are $x_{i=1,2,3,4}(0) = [5.2, 5.1]^T, [4.3, -4.2]^T, [-5.1, 4.3]^T, [-4.2, -5.3]^T$ respectively.

The desired formation movement trajectory can be depicted by the following dynamic function with the zero initial values $\bar{x}(0) = [0, 0]^T$

$$\begin{aligned} \dot{\bar{x}}(t) &= \bar{y}(t), \\ \dot{\bar{y}}(t) &= [3 \cos(0.7t), 3 \sin(0.7t)]^T. \end{aligned} \tag{34}$$

The desired relative positions between agents and the reference signal are $p_{i=1,2,3,4} = [3, 3]^T, [3, -3]^T, [-3, 3]^T, [-3, -3]^T$.

The communication among agents are described by

the adjacency matrix $A = \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$. The communication

between agents and leader are described by $D = \text{diag}\{0, 1, 0, 0\}$.

In accordance with the control conditions (17), the formation control with respect to (15) chooses the design parameters $\gamma_x = 60$ and $\gamma_y = 40$. The NN is designed to have

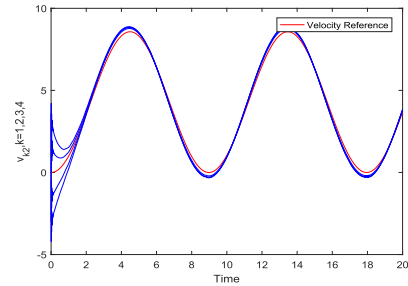


FIGURE 3. The velocity tracking performance for the second coordinate $\|y_{i2}(t)\|, i = 1, 2, 3, 4$.

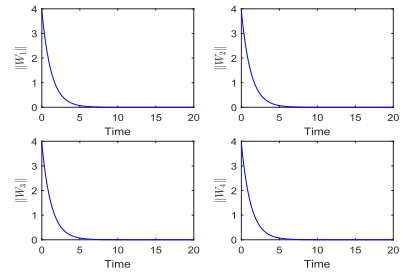


FIGURE 4. The norm of NN weight $\|W_i\|, i = 1, 2, 3, 4$.

12 neurons, and the centers μ_i are also evenly spaced from -6 to 6 . The updating law with respect to (16) chooses the design parameters $\Gamma_{i=1,2,3,4} = 1.6I_{12}$ and $\sigma_{i=1,2,3,4} = 0.5$, and the initial values are $\hat{W}_{i=1,2,3,4}(0) = [0.8]_{12 \times 2}$.

The simulation results are shown in Figs.1-4. Fig.1 shows that the desired multi-agent formation is achieved, and Figs.2-3 show the velocity tracking performance. Fig. 4 shows the NN weights to be bounded. These simulation figures show that the desired results are obtained, it can be concluded that the proposed formation method can achieve the desired control tasks and objectives.

V. CONCLUSION

In this paper, a leader-follower adaptive NN formation control is developed for a class of second-order multi-agent systems under unknown nonlinear dynamics. In the proposed control scheme, NN is used to approximate the unknown dynamic functions, then, based on the NN approximation, the proposed control scheme is constructed to solve the unknown dynamic problem. According to Lyapunov stability analysis, it is proven that the proposed adaptive NN formation method can realize the control objectives. Simulation results also show the desired control performance.

To the best of authors' knowledge, most of multi-agent optimal control is focused on the first-order case. Therefore, our future works will consider optimal control of second-order nonlinear multi-agent systems. Based on the proposed control scheme, by employing reinforcement learn, we will develop optimal control for nonlinear second-order nonlinear multi-agent systems.

REFERENCES

[1] O. Mori and S. Matunaga, "Formation and attitude control for rotational tethered satellite clusters," *J. Spacecraft Rockets*, vol. 44, no. 1, pp. 211–220, Jan. 2007.

- [2] W. Yu, G. Chen, Z. Wang, and W. Yang, "Distributed consensus filtering in sensor networks," *IEEE Trans. Syst., Man, Cybern. B, Cybern.*, vol. 39, no. 6, pp. 1568–1577, Dec. 2009.
- [3] H. C.-H. Hsu and A. Liu, "Multiagent-based multi-team formation control for mobile robots," *J. Intell. Robot. Syst.*, vol. 42, no. 4, pp. 337–360, Apr. 2005.
- [4] L. R. Buonocore, V. Lippiello, S. Manfredi, F. Ruggiero, and B. Siciliano, "Effects of packet losses on formation control of unmanned aerial vehicles," *IFAC Proc. Volumes*, vol. 47, no. 3, pp. 1234–1240, 2014.
- [5] J.-L. Wang and H.-N. Wu, "Leader-following formation control of multi-agent systems under fixed and switching topologies," *Int. J. Control*, vol. 85, no. 6, pp. 695–705, Jun. 2012.
- [6] T. Balch and R. C. Arkin, "Behavior-based formation control for multi-robot teams," *IEEE Trans. Robot. Autom.*, vol. 14, no. 6, pp. 926–939, Dec. 1998.
- [7] M. A. Lewis and K.-H. Tan, "High precision formation control of mobile robots using virtual structures," *Auto. Robots*, vol. 4, no. 4, pp. 387–403, 1997.
- [8] R. Olfati-Saber and R. M. Murray, "Distributed cooperative control of multiple vehicle formations using structural potential functions," *IFAC Proc. Volumes*, vol. 35, no. 1, pp. 495–500, 2002.
- [9] W. Ren, "Consensus strategies for cooperative control of vehicle formations," *IET Control Theory Appl.*, vol. 1, no. 2, pp. 505–512, Mar. 2007.
- [10] F. Xiao, L. Wang, J. Chen, and Y. Gao, "Finite-time formation control for multi-agent systems," *Automatica*, vol. 45, no. 11, pp. 2605–2611, Nov. 2009.
- [11] G. Wen, C. L. P. Chen, J. Feng, and N. Zhou, "Optimized multi-agent formation control based on an Identifier–actor–critic reinforcement learning algorithm," *IEEE Trans. Fuzzy Syst.*, vol. 26, no. 5, pp. 2719–2731, Oct. 2018.
- [12] D. Meng, Y. Jia, J. Du, and J. Zhang, "On iterative learning algorithms for the formation control of nonlinear multi-agent systems," *Automatica*, vol. 50, no. 1, pp. 291–295, Jan. 2014.
- [13] D. Xue, J. Yao, G. Chen, and Y. L. Yu, "Formation control of networked multi-agent systems [brief paper]," *IET Control Theory Appl.*, vol. 4, no. 10, pp. 2168–2176, 2010.
- [14] G. Wen, C. L. P. Chen, and B. Li, "Optimized formation control using simplified reinforcement learning for a class of multiagent systems with unknown dynamics," *IEEE Trans. Ind. Electron.*, vol. 67, no. 9, pp. 7879–7888, Sep. 2020.
- [15] G. Wen, C. L. P. Chen, Y.-J. Liu, and Z. Liu, "Neural network-based adaptive leader-following consensus control for a class of nonlinear multiagent state-delay systems," *IEEE Trans. Cybern.*, vol. 47, no. 8, pp. 2151–2160, Aug. 2017.
- [16] G. Wen, C. L. P. Chen, and Y.-J. Liu, "Formation control with obstacle avoidance for a class of stochastic multiagent systems," *IEEE Trans. Ind. Electron.*, vol. 65, no. 7, pp. 5847–5855, Jul. 2018.
- [17] X. Dong, J. Xiang, L. Han, Q. Li, and Z. Ren, "Distributed time-varying formation tracking analysis and design for second-order multi-agent systems," *J. Intell. Robot. Syst.*, vol. 86, no. 2, pp. 277–289, May 2017.
- [18] G. Wen, C. L. P. Chen, H. Dou, H. Yang, and C. Liu, "Formation control with obstacle avoidance of second-order multi-agent systems under directed communication topology," *Sci. China Inf. Sci.*, vol. 62, no. 9, pp. 192205:1–192205:14, Sep. 2019.
- [19] Q. Shi, T. Li, J. Li, C. L. P. Chen, Y. Xiao, and Q. Shan, "Adaptive leader-following formation control with collision avoidance for a class of second-order nonlinear multi-agent systems," *Neurocomputing*, vol. 350, pp. 282–290, Jul. 2019.
- [20] W. Yu, G. Chen, M. Cao, and J. Kurths, "Second-order consensus for multiagent systems with directed topologies and nonlinear dynamics," *IEEE Trans. Syst., Man, Cybern. B, Cybern.*, vol. 40, no. 3, pp. 881–891, Jun. 2010.
- [21] Q. Song, J. Cao, and W. Yu, "Second-order leader-following consensus of nonlinear multi-agent systems via pinning control," *Syst. Control Lett.*, vol. 59, no. 9, pp. 553–562, Sep. 2010.
- [22] S. Khoo, L. Xie, and Z. Man, "Robust finite-time consensus tracking algorithm for multirobot systems," *IEEE/ASME Trans. Mechatronics*, vol. 14, no. 2, pp. 219–228, Apr. 2009.
- [23] S. Khoo, L. Xie, S. Zhao, and Z. Man, "Multi-surface sliding control for fast finite-time leader-follower consensus with high order SISO uncertain nonlinear agents," *Int. J. Robust Nonlinear Control*, vol. 24, no. 16, pp. 2388–2404, Nov. 2014, doi: [10.1002/rnc.2997](https://doi.org/10.1002/rnc.2997).
- [24] G. Wen, S. Sam Ge, and F. Tu, "Optimized backstepping for tracking control of strict-feedback systems," *IEEE Trans. Neural Netw. Learn. Syst.*, vol. 29, no. 8, pp. 3850–3862, Aug. 2018.
- [25] C. L. P. Chen, G.-X. Wen, Y.-J. Liu, and Z. Liu, "Observer-based adaptive backstepping consensus tracking control for high-order nonlinear semi-strict-feedback multiagent systems," *IEEE Trans. Cybern.*, vol. 46, no. 7, pp. 1591–1601, Jul. 2016.
- [26] L. Ma, X. Huo, X. Zhao, and G. D. Zong, "Observer-based adaptive neural tracking control for output-constrained switched MIMO nonstrict-feedback nonlinear systems with unknown dead zone," *Nonlinear Dyn.*, vol. 99, no. 2, pp. 1019–1036, Jan. 2020.
- [27] W. S. Chen, "Adaptive backstepping dynamic surface control for systems with periodic disturbances using neural networks," *IET Control Theory Appl.*, vol. 3, no. 10, pp. 1383–1394, Oct. 2009.
- [28] G. Wen, S. S. Ge, F. Tu, and Y. S. Choo, "Artificial potential-based adaptive H_∞ synchronized tracking control for accommodation vessel," *IEEE Trans. Ind. Electron.*, vol. 64, no. 7, pp. 5640–5647, Jul. 2017.
- [29] G.-X. Wen, Y.-J. Liu, C. L. P. Chen, and Z. Liu, "Neural-network-based adaptive leader-following consensus control for second-order nonlinear multi-agent systems," *IET Control Theory Appl.*, vol. 9, no. 13, pp. 1927–1934, Aug. 2015.
- [30] A.-M. Zou and K. D. Kumar, "Neural network-based adaptive output feedback formation control for multi-agent systems," *Nonlinear Dyn.*, vol. 70, no. 2, pp. 1283–1296, Oct. 2012.
- [31] Z.-G. Hou, L. Cheng, and M. Tan, "Decentralized robust adaptive control for the multiagent system consensus problem using neural networks," *IEEE Trans. Syst., Man, Cybern. B, Cybern.*, vol. 39, no. 3, pp. 636–647, Jun. 2009.
- [32] C. L. P. Chen, G.-X. Wen, Y.-J. Liu, and F.-Y. Wang, "Adaptive consensus control for a class of nonlinear multiagent time-delay systems using neural networks," *IEEE Trans. Neural Netw. Learn. Syst.*, vol. 25, no. 6, pp. 1217–1226, Jun. 2014.
- [33] L. Ma, X. Huo, X. Zhao, and G. Zong, "Adaptive fuzzy tracking control for a class of uncertain switched nonlinear systems with multiple constraints: A small-gain approach," *Int. J. Fuzzy Syst.*, vol. 21, no. 8, pp. 2609–2624, Nov. 2019.
- [34] Y. Chang, Y. Wang, F. E. Alsaadi, and G. Zong, "Adaptive fuzzy output-feedback tracking control for switched stochastic pure-feedback nonlinear systems," *Int. J. Adapt. Control Signal Process.*, vol. 33, no. 10, pp. 1567–1582, 2019.
- [35] Z.-M. Li and J. H. Park, "Dissipative fuzzy tracking control for nonlinear networked systems with quantization," *IEEE Trans. Syst., Man, Cybern. Syst.*, early access, Sep. 12, 2018, doi: [10.1109/TSMC.2018.2866996](https://doi.org/10.1109/TSMC.2018.2866996).
- [36] Y. Wang, Y. Chang, A. F. Alkhateeb, and N. D. Alotaibi, "Adaptive fuzzy output-feedback tracking control for switched nonstrict-feedback nonlinear systems with prescribed performance," *Circuits, Syst., Signal Process.*, Jun. 2020, doi: [10.1007/s00034-020-01466-y](https://doi.org/10.1007/s00034-020-01466-y).
- [37] C. W. Wu, *Synchronization in Complex Networks of Nonlinear Dynamical Systems*, vol. 76. Singapore: World Scientific, 2007.
- [38] W. Guo, F. Austin, S. Chen, and W. Sun, "Pinning synchronization of the complex networks with non-delayed and delayed coupling," *Phys. Lett. A*, vol. 373, no. 17, pp. 1565–1572, Apr. 2009.
- [39] G. Wen, C. L. Philip Chen, and W. N. Li, "Simplified optimized control using reinforcement learning algorithm for a class of stochastic nonlinear systems," *Inf. Sci.*, vol. 517, pp. 230–243, May 2020.



GUOXING WEN received the M.S. degree in applied mathematics from the Liaoning University of Technology, Jinzhou, China, in 2011, and the Ph.D. degree in computer and information science from the University of Macau, Macau, China, in 2014.

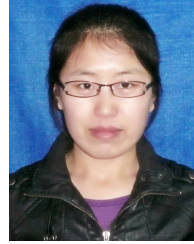
He was a Research Fellow with the Department of Electrical and Computer Engineering, Faculty of Engineering, National University of Singapore, Singapore, from September 2015 to September 2016. He is currently an Associate Professor with the College of Science, Binzhou University, Shandong, China. His research interests include adaptive control, optimal control, multiagent control, nonlinear systems, reinforcement learning, neural networks, and fuzzy logic systems.



CHENYANG ZHANG received the B.Eng. degree from the College of Computer Science and Engineering, Shandong University of Science and Technology, Qingdao, China, in 2018. He is currently pursuing the master's degree with the Department of Computer Science, University of Liverpool, Liverpool, U.K. His research interests include lifelong machine learning, multitask learning, and transfer learning.



PING HU received the M.S. degree in mathematics from the Liaocheng University of Technology, Liaocheng, China, in 1987, and the M.S. degree in basic mathematics from Shandong University, Jinan, China, in 2008. He is currently an Associate Professor with the College of Science, Binzhou University, Shandong, China. His research interests include differential equation, linear algebra, adaptive control, and nonlinear control.



YANG CUI received the B.S. degree in information and computing science and the M.S. degree in applied mathematics from the Liaoning University of Technology, Jinzhou, China, in 2009 and 2012, respectively, and the Ph.D. degree in control theory and control engineering from Northeastern University, Shenyang, China, in 2018. She is currently a Lecturer with the School of Electronic and Information Engineering, University of Science and Technology Liaoning, Anshan, China.

Her research interests include dynamic surface control, adaptive control, nonlinear control, and multiagent control.

• • •