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# Challenges and Solutions for Processing Real-Time Big Data Stream: A Systematic Literature Review

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**ABSTRACT** *Contribution:* Recently, real-time data warehousing (DWH) and big data streaming have become ubiquitous due to the fact that a number of business organizations are gearing up to gain competitive advantage. The capability of organizing big data in efficient manner to reach a business decision empowers data warehousing in terms of real-time stream processing. A systematic literature review for real-time stream processing systems is presented in this paper which rigorously look at the recent developments and challenges of real-time stream processing systems and can serve as a guide for the implementation of real-time stream processing framework for all shapes of data streams. *Background:* Published surveys and reviews either cover papers focusing on stream analysis in applications other than real-time DWH or focusing on extraction, transformation, loading (ETL) challenges for traditional DWH. This systematic review attempts to answer four specific research questions. *Research Questions:* 1) Which are the relevant publication channels for real-time stream processing research? 2) Which challenges have been faced during implementation of real-time stream processing? 3) Which approaches/tools have been reported to address challenges introduced at ETL stage while processing real-time stream for real-time DWH? 4) What evidence have been reported while addressing different challenges for processing real-time stream? *Methodology:* A systematic literature was conducted to compile studies related to publication channels targeting real-time stream processing/joins challenges and developments. Following a formal protocol, semi-automatic and manual searches were performed for work from 2011 to 2020 excluding research in traditional data warehousing. Of 679,547 papers selected for data extraction, 74 were retained after quality assessment. *Findings:* This systematic literature highlights implementation challenges along with developed approaches for real-time DWH and big data stream processing systems and provides their comparisons. This study found that there exists various algorithms for implementing real-time join processing at ETL stage for structured data whereas less work for un-structured data is found in this subject matter.

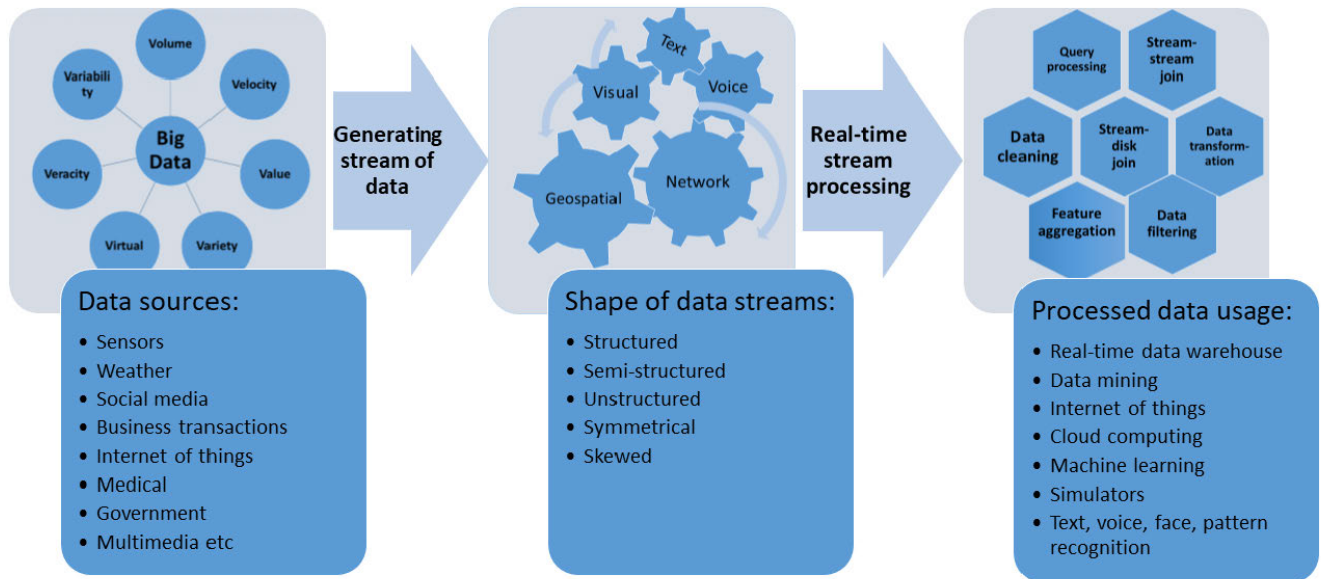
**INDEX TERMS** Real-time stream processing, big data streaming, structured/un-structured data, ETL, systematic literature review.

## I. INTRODUCTION

Real-time analytics are becoming ubiquitous for several application scenarios where well-timed business decisions are extremely important. Processing continuous and big data streams for real-time analytics is very challenging while implementing ETL stage for data warehousing (DWH) or other big data applications due to the nature of big data with respect to volume, variety, velocity, volatility, variability, veracity, and value [1], [2].

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Continuous supply of big data is referred as stream. Stream of data may be generated from single or multiple big data sources shown in figure 1. A broad category of applications participate in continuous generation of massive data. Analysis of these streams is a big challenge where gathered data is heterogeneous and can be of any shape/nature i.e, structured, semi/unstructured, symmetrical or skewed. Big portion of massive data resulted from real-time stream need real-time processing/analysis as value of data considered in its freshness. Real-time stream processing (refer as in-memory processing of massive data) can be generally required into two types of application domains: first where organising data



**FIGURE 1.** Components involved in real-time stream processing.

to reach a decision is required (real-time DWH) and second where to generate a certain reaction on real-time basis is essential particularly with low latency. Few applications of second type are also listed in figure 1. Before being loaded into these applications, streams need to be processed ensuring data quality. This necessitates real-time stream processing/analysis.

Several operations are required for stream processing which include data cleaning, query processing, stream-stream join, stream-disk join, data transformation etc. A broad category of approaches, tools and technologies have been developed so far to overcome the challenges for stream processing. These approaches possibly deal with multiple shapes and storage models of data, applying several operations on these streams.

To address real-time stream processing challenges various approaches have been developed so far: stream-stream join algorithms, stream-disk join algorithms with reduced data latency/skewed data, distributed streaming ETL, Mesa DWH, streaming processing framework, distributed join processing, sensor networks, object tracking and monitoring, and multi-join query processing in cloud DWH. In addition, processed output must be provided with low latency, limited resources, accuracy and within seconds to make real-time reactions and decisions possible. The depth of challenges targeting real-time stream processing has produced so much research that it is required to conduct a systematic analysis of proposed solutions.

The focus of this study is to present an extensive systematic literature review (SLR) to gather different approaches for real-time stream processing for all possible application domains specifically real-time DWH. We have finalized 74 studies out of 667,414 total papers for this review based

on quality assessment criteria. The novelty of our SLR is that it provides a new classification criteria, real-time stream processing research targeting channels, real-time DWH/big data streaming challenges, approaches to address these challenges after validating studies empirically.

This paper is organized as follows: Existing reviews related to stream processing are presented in section II. Research methodology followed to conduct this survey is discussed in section III including the objectives, quality assessment criteria and research questions. Assessment and discussion of research questions are demonstrated in section IV. Concluded discussion and future directions are presented in Section V.

## II. RELATED WORK

It was found that most of the existing surveys and systematic reviews do not cover publication channels approaches, challenges and solutions targeting real-time stream processing research needed in business intelligence, and focus majorly on tools used for big data analytics and DWH design approaches from social media. A recent systematic literature review of big data stream analysis is presented by [6]. Authors have reviewed key issues for big data stream analysis and tools/technologies employed to address these issues. However, this study has not focused on research and challenges in real-time stream analysis and real-time DWH domains.

Authors in [3] presented a review on fundamental use of big data analytics in various businesses/industries in terms of helping and maintaining their resources, using Scopus digital repository for searching relevant articles. Big data technologies/platforms, their services, applications, programming languages, data aggregation tools, databases and DWHs have also been reviewed in this study. This study enlisted platforms

TABLE 1. Comparison with related works.

Paper	Focus of Survey	Newest Ref.	Survey Approach	Quality Assessment Scored	Aspects of real-time stream processing:							Targeted Digital Repositories
					Challenges		Approaches		Evidences			
					Real-time DWH	Big data streaming	ETL developments	Shape and structure of data	Datasets used	Performance benchmark		
[3]	To analyze theoretical contributions and tools used for big data analytics focusing social media exploration, text mining and machine learning applications	2017	Informal	x	x	✓	✓	✓	x	x	1	
[4]	To highlight data warehouse design approaches from social media focusing sentiment analysis in DWH schema	2017	Informal	x	x	✓	✓	x	x	x	Not mentioned	
[5]	To identify and compare applications of stream analytics for different use cases based on partitioning, state management and fault tolerance criteria	2019	Informal	x	x	✓	x	x	x	x	Not mentioned	
[6]	To review big data streaming tools and technologies employed for stream analysis	2019	Systematic search	x	x	✓	x	x	✓	✓	4	
This survey	To identify and compare challenges and approaches for processing real-time big data stream by applying a strict review protocol and methodical approach	2020	Systematic search, snowballing and assessment	✓	✓	✓	✓	✓	✓	✓	10	

for examining big data sets, both un-structured and structured for big data analytics which are: **Hadoop, GridGain, MapReduce, HPCC and Apache Storm**. They also highlighted tools for database/DWH as: **Cassandra, MongoDB, CouchDB, Terrastore, Hibari, Hypertable, Hive, Infinispan, HBase, Neo4j, OrientDB**, etc. However, this study does not focus on challenges and approaches developed in the field of real-time DWH and big data streaming.

Likewise, [4] conducted a study that is centered on competitive analysis of social media data and transformation into knowledge and on DWH design approaches from social media. As social media considered as massive dynamic and un-structured data, making them more challenging for companies to use, analyze and store these data. This study classified DWH design approaches from social media into two heads: incorporation of sentiment analysis in DWH schema and behaviour analysis. Nevertheless, focus of this study is not on developments addressing structured/un-structured stream processing approaches and optimization of ETL stage for real-time DWH.

Another recent comparative study of data stream analytics frameworks is presented by [5]. Different data stream processing engines have been evaluated in this study based on their partitioning, state management, message delivery and fault tolerance features. This study included **Storm, Spark Streaming, Flink, Kafka Streams and IBM Streams** as data stream processing engines in their review. Focus of this

survey is not on extracting knowledge and identification of important data stream components which is basic requirement for real-time stream analytics.

Our review distinguishes itself from the above reviews by focusing on the publication channels in real-time stream processing, big data streaming, closely examining the ETL implementation challenges, and identifying the developments have been reported in join operation for real-time DWH. In addition, we employ a more rigorous approach than all of the above reviews by following strict criteria and quality assessment scoring. Table 1 gives aspect wise comparison of existing surveys with our survey. There seem to be no existing survey that cover all features related to real-time stream processing as well as not considering real-time DWH literature.

### III. RESEARCH METHODOLOGY

Guidelines for systematic reviews provided in software engineering research by [7] and [8] are followed by our survey. According to these guidelines, we have included three main phases in our research methodology: plan, conduct and report of review.

#### A. REVIEW PLAN

Figure 2 shows the research methodology, which demonstrates search process for relevant research activities, definition of a categorization scheme, and mapping of articles.

TABLE 2. Research Questions (RQs).

(RQ)	Research Question Statement	Motivation
RQ 1	Which are the relevant publication channels for real-time stream processing research?	To identify where real-time stream processing research can be found as well as the good targets for publication of future studies. To assess the quality of targeted publication channels based on their ranking. To categorize the publications for the selected time period, channel types and targeting geographical areas. Moreover, we aim to classify publications according to their research types, used approaches and empirical type.
RQ 1.1	How many articles have been published in between Jan 2011 till Jan 2020?	
RQ 1.2	Which channel types and geographical areas targeting real-time stream processing research?	
RQ 1.3	What are the research types, approaches and applications of selected studies?	
RQ 1.4	How many of these studies have validated their approaches empirically?	
RQ 2	Which challenges have been faced during implementation of real-time stream processing?	To evolve and streamline real-time stream processing with different requirements and to encourage different perspectives for future research. To identify application domains where real-time stream processing are required other than DWH. We aim to distinguish among challenges faced by applications particularly IoT and Social Media.
RQ 2.1	What tools/technologies/approaches have been developed to address these challenges?	
RQ 2.2	Which developments in the domain of IoT and Social Media have been reported while processing real-time data streams?	
RQ 3	Which approaches/tools have been reported to address challenges introduced at ETL stage while processing real-time stream for real-time DWH?	To identify the existing stream/semi-stream, structured/un-structured data join approaches reported in the existing real-time stream processing literature to address challenges identified in implementing real-time DWH. We aim to identify methodology adopted by studies along with listing of data structure used for particular shape of data to assess the effect of that methodology.
RQ 3.1	Which shape and structure of data used by these studies for implementing their approach?	
RQ 4	What evidence have been reported while addressing different challenges for processing real-time stream?	To assess the evidence provided by these studies which lead to significantly more accurate approach. To identify datasets used while experimenting proposed approach in these studies (synthetic or real-life). To identify the impact of approaches on performance and cost, and to assess the frequency for adoption of specific performance benchmark for validation.
RQ 4.1	Which datasets have been used for experiments by these approaches?	
RQ 4.2	What performance benchmarks have been adopted by these approaches for experiments using real-life datasets?	

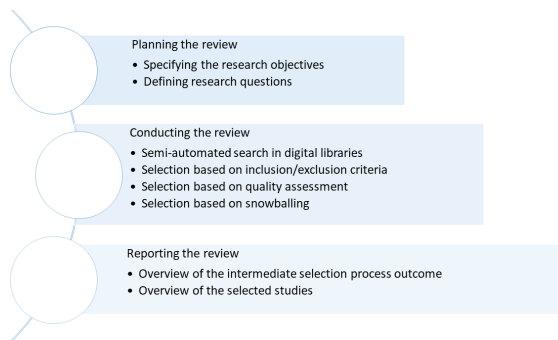


FIGURE 2. Research methodology.

A highly structured process has been followed in this review that involved:

- Research objectives
- Specifying research questions(RQs)
- Organizing searches of databases
- Studies selection
- Screening relevant studies
- Data extraction
- Results synthesising
- Finalizing the review report

1) RESEARCH OBJECTIVES

Core objectives of our research are as follows:

- To develop a library of articles related to developments of real-time stream processing during ETL

phase or others, and make this dataset available to other researchers.

- To identify more significant work that provides direction to investigate challenges for real-time stream processing, ETL and real-time DWH.
- To distinguish research gaps for ETL, real-time stream processing and DWH in recent studies.
- Character existing approaches and solutions for the challenges while implementing real-time stream processing for heterogeneous, structured/unstructured data and clarify the similarities and differences between them.

2) RESEARCH QUESTIONS

It is important to formulate the primary RQs in order to conduct this SLR. These RQs are developed to identify relevant publication channels, challenges/developments and evidences of approaches for real-time stream processing systems as mentioned in table 2.

B. REVIEW CONDUCT

To strengthen the reliability and validity for our search results two reviewers participated for the inclusion of papers. The process of conducting this review has been articulated in four steps presented below. In first step, relevant primary studies have been searched from most commonly used digital libraries. Selection of studies based on pre-defined inclusion/exclusion criteria has been performed during second

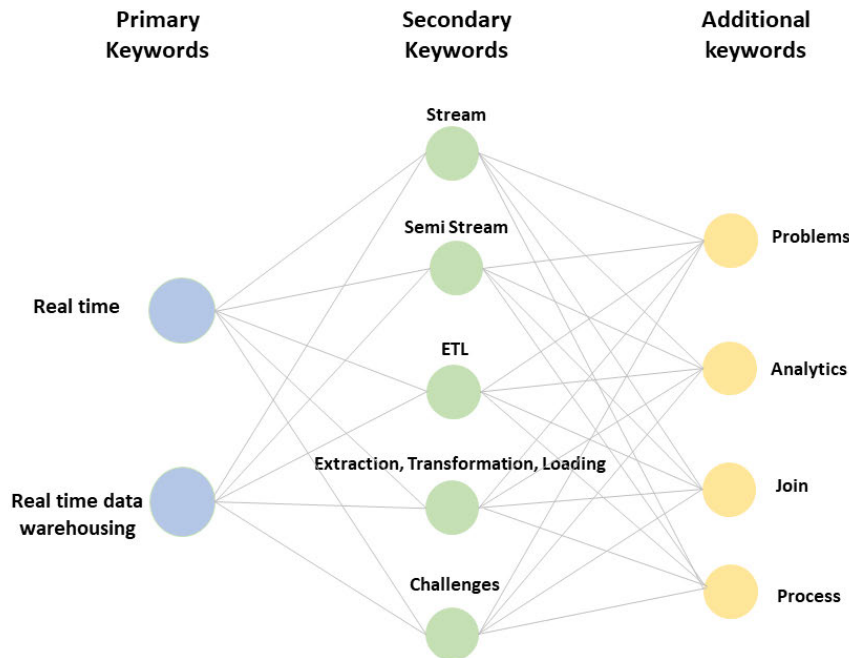


FIGURE 3. Search keywords used to identify works include in our knowledge base.

step. We have designed quality assessment criteria to further enhance quality of our review described in third step. Backward snowballing is then performed to extract important candidate papers during final fourth step.

#### 1) SEMI-AUTOMATED SEARCH IN DIGITAL LIBRARIES

A systematic research has been carried out to filter irrelevant studies and extract appropriate information. Therefore, semi-automatic and manual search techniques have been followed while exploring the search terms. Semi-automated search has been conducted in seven digital libraries mentioned below:

- ACM Digital Library [<http://dl.acm.org>]
- IEEE eXplore [<http://ieeexplore.ieee.org>]
- ScienceDirect [<https://www.sciencedirect.com>]
- SpringerLink [<https://link.springer.com/>]
- IGI Global [<https://www.igi-global.com/search/>]
- Inderscience Online [<https://www.inderscienceonline.com/>]
- Hindawi [<https://www.hindawi.com/>]
- MDPI (Multidisciplinary Digital Publishing Institute) [<https://www.mdpi.com/>]
- arXiv [<https://arxiv.org/search/cs>]
- Taylor & Francis Online [<https://www.tandfonline.com/>]

Apart from this, some more digital libraries were also explored but not included due to accessibility constraints. The objective of manual search is to collect more literature relevant to real-time stream processing and DWH. Extracted information can be more relevant for limited search terms therefore following conditions were applied to limit our search terms:

- Based on formulated RQs, determine primary keywords.
- Identification of secondary keywords and synonyms for additional keywords.
- ‘AND’ and ‘OR’ Boolean operators have been incorporated with keywords to develop a search string.

Possible arrangements of search string used can be noted from figure 3. Primary keywords were selected as key identifiers for research of real-time stream processing. Primary keywords were chosen along with any of secondary or additional keywords. Combination of keywords, Boolean operators and wildcard have developed a final search string mentioned as:

(real time OR real time data warehous\*) AND (stream OR semi-stream OR ETL OR challenges OR (extract\*,transform\*,load\*)) AND (join OR process\* OR analy\* OR problem\*)

Table 3 demonstrates the final search strings used to search the seven digital libraries. Semi-automatic search was limited to only titles for ACM journals, IEEEEXplore and ScienceDirect. Due to limit of five wild card characters for search in IEEEEXplore, search string needed to be slightly changed for this library. Irrelevant hits were reduced due to this setting. Other digital libraries were explored with “all fields” setting, as these do not allow a more specific search configuration. Search string being too restrictive failed to find relevant articles for IGI Global digital library, therefore final search string designed for this library contains less number of keywords shown in table 3. Final search string failed when applied for digital library Hindawi, therefore search was conducted with few keywords resulted in some relevant hits.

**TABLE 3. Search strategies for digital libraries.**

Digital Library	Search String	Applied Filters
ACM digital library	[[All: real time] OR [All: real time data warehous*]] AND [[All: stream] OR [All: semi-stream] OR [All: etl] OR [All: challenges] OR [All: extract*,transform*,load*]] AND [[All: join] OR [All: process*] OR [All: analy*] OR [All: problem*]]	[(01/01/2011 TO 01/31/2020)]
IEEEExplore	(real time OR real time data warehous*) AND (stream OR semi-stream OR ETL OR challenges OR (extract*,transform*,load*)) AND (join OR process* OR analytics OR problems)	2011- Jan2020
ScienceDirect	(real time OR real time data warehouse) AND (stream OR semi-stream OR ETL OR challenges OR (extraction,transformation,loading)) AND (join OR process OR analysis OR problems)	2011- Jan2020
SpringerLink	'(real time OR real time data warehous*) AND (stream OR semi-stream OR ETL OR challenges OR (extract*,transform*,load*)) AND (join OR process* OR analy* OR problem*)'	Computer Science, (2011- Jan2020)
IGI Global	(real time) AND (data warehousing or stream processing)	Individual Journal Articles (2011- Jan2020)
Inderscience Online	(real time OR real time data warehous*) AND (stream OR semi-stream OR ETL OR challenges OR (extract*,transform*,load*)) AND (join OR process* OR analytics OR problems)	2011- Jan2020
Hindawi	"real time stream processing OR real time data warehouse"	2011- Jan2020
MDPI	"real time stream processing"	2011- Jan2020
arXiv	"real time data warehouse OR real-time stream processing"	2011- Jan2020
Taylor & Francis Online	(real time OR real time data warehous*) AND (stream OR semi-stream OR ETL OR challenges OR (extract*,transform*,load*)) AND (join OR process* OR analy* OR problem*)	2011- Jan2020

**TABLE 4. Possible ratings for recognized and stable publication source.**

Publication Source	Scores				
	4	3	2	1	0
Journals	Q1	Q2	Q3	Q4	No JCR Ranking
Conferences, Workshops, Symposia	CORE A*	CORE A	CORE B	CORE C	Not in CORE Ranking

2) SELECTION BASED ON INCLUSION/EXCLUSION CRITERIA

1) Inclusion criteria:

- a) Papers included in review must be in the domain of real-time stream processing.
- b) Papers must target RQs.
- c) Papers published in journals, conferences or workshops are included in the review.
- d) Papers discussing developments and applications of real-time stream processing.

2) Exclusion criteria:

- a) Remove papers written in non-english.
- b) Remove papers that do not discuss real-time stream processing in DWH or big data domain.
- c) Remove the papers published before 2011.
- d) Remove papers discussing simulation domains or traditional DWH.
- e) Remove papers that were written by same research group with same data (most recent was kept in this case).

3) SELECTION BASED ON QUALITY ASSESSMENT

Selection of relevant studies on the basis of quality assessment (QA) is considered as most important step for conducting any review. As the primary studies vary in design

therefore quantitative, qualitative, and mixed-method critical appraisal tool used by [9] and [10] are followed to perform QA in our review. In order to enhance our study, we have carried out QA by designing a questionnaire to evaluate the quality of selected articles. The QA of our study was conducted by two reviewers and each study is scored based on the following criteria:

- a) The study has awarded score (1) if it contributes towards real-time stream processing or continuous data loading in DWH, otherwise scored (0).
- b) If clear solutions to the challenges for implementation of real-time stream processing or DWH have been provided by the study: "Yes (2)", "Limited (1)", and "No (0)" were the possible scores.
- c) Score (1) is awarded to studies which presents empirical results otherwise scored (0).
- d) By taking computer science conference rankings [11], and the journal and country ranking lists [12] into account, the studies were rated. Possible scores for publications from recognized and stable sources are shown in table 4.

A final score has been calculated for each study after adding scores of above questions: (an integer between 0 to 8).

**TABLE 5.** Selection phases and results.

Phase	Selection	Selection criteria	ACM digital library	IEEEExplore	ScienceDirect	SpringerLink	IGI Global	InderScience	Hindawi	MDPI	arXiv	Taylor & Francis Online	Total Pa-pers
1	Search	Keywords (figure 3)	161786	16923	345652	5204	154	1443	135827	6	305	114	667414
2	Screening	Title	5994	147	987	215	65	89	34	5	10	6	7552
3	Screening	Abstract	3042	78	98	122	45	63	16	4	3	4	3625
4	Screening	Introduction and conclusion	701	34	14	56	8	12	9	4	1	2	841
5	Inspection	Full article	8	20	5	24	3	3	4	4	1	2	74

Articles achieving scores 3 or more have been included in finalized results.

#### 4) SELECTION BASED ON SNOWBALLING

After performing quality assessment, we conducted backward snowballing [13] through reference list of each finalized study to extract papers. Only those important candidate papers are selected which passed through inclusion/exclusion criteria. Once the paper is found, inclusion/exclusion of that paper has been decided after reading its abstract and then other parts of paper. After having examined selected papers thoroughly we identified one more study [5], and totally added up to 74 primary studies.

#### C. REVIEW REPORT

Overview of selected studies is provided in this section.

#### 1) OVERVIEW OF THE INTERMEDIATE SELECTION PROCESS OUTCOME

ETL challenges, real-time stream processing and DWH are correlated and extremely active fields in business intelligence, therefore our review methodology had to empirically and systematically draw relevant studies from all related digital libraries. The next stage of our systematic review is to select the papers that will form the knowledge base for this review. About 667,414 papers are left after removing papers older than year 2011.

After building a knowledge base from seven digital publishers in computer science, authors examined title, abstract, and the corresponding full paper if required of each search result. Papers less than four pages long and irrelevant papers were eliminated in this process.

To ascertain the relevance and contribution, accepted publications have been read thoroughly during inspection phase. To achieve the core goal of this study, we build a systematic knowledge base of articles based on their contributions.

#### 2) OVERVIEW OF THE SELECTED STUDIES

Significant results of primary search, filtering and inspection phases, covering ten digital libraries, are presented in table 5. The search resulted in a very big number of papers (667414) while filtering/inspection phases helped reduce this number to 74 articles.

### IV. ASSESSMENT AND DISCUSSION OF RESEARCH QUESTIONS

This section concludes the results of our study and provides the descriptive evaluation of each study in tabular format. We analyzed 74 finalized studies based on our RQs in this section.

#### A. ASSESSMENT OF RQ1: WHICH ARE THE RELEVANT PUBLICATION CHANNELS FOR REAL-TIME STREAM PROCESSING RESEARCH?

Analysis of existing developments and challenges for real-time stream processing is a key challenge for researchers for the development of business intelligence technologies. For this purpose, identification of high quality publication venues and scientometric analysis based on meta information in the area of real-time stream processing is required. In this section, an insightful knowledge of publication sources, types, year and geographical distribution, publication channel wise distribution of selected studies for the evaluation of real-time stream processing research is presented.

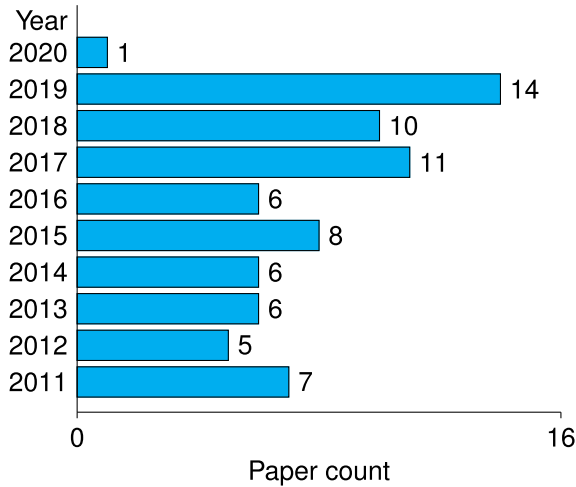


FIGURE 4. Real-time stream processing publications identified by our search.

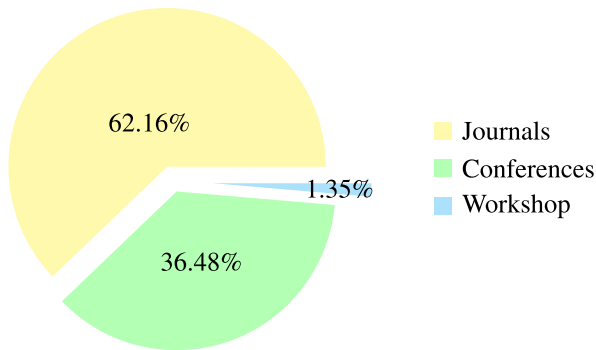


FIGURE 5. Percentage of publication type.

Selected paper count each year is shown in figure 4. Note that highest number of selected papers were published last year indicating growing need of research in the field of real-time stream processing and DWH. Figure 5 shows percentage of studies selected from journals, conferences or workshop. Journal publications are generally considered superior specially with a high impact factor, therefore, we have included 56% journal publications in our SLR, all published in Q1-Q4 quartile journals. On the other hand, conference articles are as valuable as journal publications in terms of measuring the performance of a scientific publications, therefore, 42% of selected studies are from good ranked conference articles. Figure 6 presents percentage of geographical distribution of selected research papers. Researchers from Asia and New Zealand contributed most towards developments in real-time stream processing indicating increasing need to shorten the time lag between data acquisition and decision making in these regions.

The overall quality assessment score of finalized studies with detail of overall classification result is mentioned in table 6. Selected papers were classified based on four factors: research type, empirically validated, applied approach and application of study. We have categorized types of research

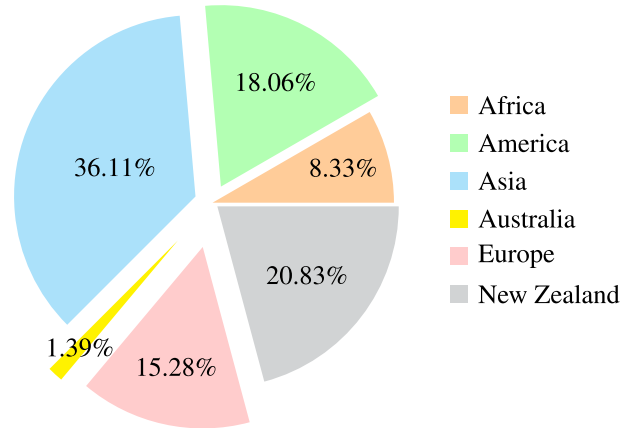


FIGURE 6. Percentage of 74 research papers across different geographical locations.

as: SLR, solution proposal, evaluation research or experience paper. It is calculated from table 6 that 97% of selected studies score more than 3 and 82% of final studies have empirically validated their approaches through experiments awarded score 1 shown in category (c) of quality assessment criteria. Studies score less than 3 have been excluded from this SLR.

Major application domains identified during the analysis of selected studies are: real-time DWH, streaming big data for social media and sensor networks, distributed join and stream processing and real-time stream processing. In addition to that, only eighteen papers out of seventy four score zero for category (d) of quality assessment criteria showing unstable/unrecognized publication sources, rest of them score higher indicating competent sources. Due to the relevancy of these eighteen studies, we have included them in our survey. These studies appeared in making important contribution to the area domain.

Table 7 highlights all the publication sources/channels, number of articles per publication source and their percentage contribution towards this study. It is noted that articles related to real-time stream processing applications and techniques have not been published in any particular sources. Along with domain specific sources, various open access sources also welcome stream processing related articles. About 5% of finalized studies have been published in Q1 ranked journal “IEEE Access” and another 5% in “International Conference on Digital Information Management” conference.

**B. ASSESSMENT OF RQ2: WHICH CHALLENGES HAVE BEEN FACED DURING IMPLEMENTATION OF REAL-TIME STREAM PROCESSING?**

In this section, an insightful knowledge of the issues while implementing real-time stream processing is presented. Two major applications domains of real-time stream processing are categorized as: ETL/real-time DWH (will be discussed during assessment of RQ3), and applications other



TABLE 6. Classification.

References	Classification						Quality Assessment				
	P.Channel	P.Year	Research Type	Empirical Type	Approach	Application	(a)	(b)	(c)	(d)	Score
[3]	Journal	2017	SLR	No	Formal	Social media	1	2	0	4	7
[4]	Journal	2017	Evaluation research	Survey	Guideline	ETL	1	1	1	4	7
[5]	Journal	2019	Review	No	Survey	Stream processing framework	1	2	0	4	7
[6]	Journal	2019	SLR	No	Formal	Big data streaming tools	1	1	0	4	6
[14]	Conference	2011	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	4	8
[15]	Conference	2017	Evaluation research	Survey	Guideline	Real time DWH	1	2	1	0	4
[16]	Conference	2015	Solution proposal	Experiment	Framework	Real-time DWH	1	2	1	1	5
[17]	Journal	2011	Solution proposal	Experiment	Framework	Sensor networks, object tracking & monitoring, etc	1	0	1	4	6
[18]	Journal	2019	Solution proposal	Experiment	Algorithm	Distributed join processing	1	2	1	4	8
[19]	Journal	2017	Review	No	Guideline	Distributed stream processing	1	2	0	4	7
[20]	Conference	2017	Solution proposal	Experiment	Algorithm	Real time DWH	1	2	1	0	4
[21]	Conference	2013	Evaluation research	No	Method	Real-time DWH	1	2	0	0	3
[22]	Conference	2012	Solution proposal	Experiment	Framework	Distributed real-time DWH	1	2	1	2	6
[23]	Conference	2015	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	0	4
[24]	Journal	2019	Solution proposal	Experiment	Module	Real-time DWH	1	2	1	4	8
[25]	Conference	2015	Review	No	Guideline	Real-time DWH	1	2	0	0	3
[26]	Conference	2013	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	0	4
[27]	Conference	2011	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	0	4
[28]	Conference	2011	Solution proposal	Experiment	Tool	Real time DWH	1	2	1	2	6
[29]	Conference	2018	Solution proposal	No	Framework	Real-time DWH	1	2	0	0	3
[30]	Conference	2017	Review	Survey	Guideline	ETL	1	2	1	0	4
[31]	Conference	2015	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	1	5
[32]	Conference	2014	Evaluation research	Experiment	Method	Mesa DWH	1	2	1	0	4
[33]	Conference	2015	Evaluation research	Experiment	Guideline	Multiple	1	2	1	0	4
[34]	Conference	2012	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	0	4
[35]	Workshop	2018	Evaluation research	Experiment	Method	Real-time ETL	1	2	1	0	4
[36]	Conference	2012	Evaluation research	Experiment	Method	Real-time DWH	1	2	1	0	4
[37]	Journal	2016	Solution proposal	Experiment	Method	Mesa DWH	1	2	1	4	8
[38]	Journal	2011	Review	No	Guideline	Multiple	1	0	0	4	5
[39]	Conference	2019	Review	Survey	Guideline	ETL	1	1	1	2	5
[40]	Conference	2016	Solution proposal	Experiment	Module	ETL	1	2	1	0	4
[41]	Journal	2013	Experience paper	Experiment	Algorithm	ETL	0	1	1	4	6
[42]	Journal	2019	Review	No	Guideline	Real-time data streams	1	1	0	4	6
[43]	Journal	2017	Solution proposal	Experiment	Algorithm	Real time DWH	1	2	1	4	8
[44]	Journal	2018	Solution proposal	Experiment	Frame work	Real-time DWH	1	2	1	3	7
[45]	Journal	2014	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	3	7
[46]	Conference	2014	Solution proposal	Experiment	Tool	Real-time DWH	1	2	1	0	4
[47]	Conference	2011	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	2	6

TABLE 6. (Continued.) Classification.

References	Classification						Quality Assessment				
	P.Channel	P.Year	Research Type	Empirical Type	Approach	Application	(a)	(b)	(c)	(d)	Score
[48]	Conference	2012	Evaluation research	Experiment	Method	Real-time DWH	1	2	1	0	4
[49]	Conference	2013	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	0	4
[50]	Journal	2018	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	2	6
[51]	Journal	2019	SLR	No	Formal	Big data analytics	1	1	0	4	6
[52]	Journal	2019	Solution proposal	Experiment	Algorithm	Real-time ETL	1	2	1	1	5
[53]	Journal	2016	Solution proposal	Experiment	Algorithm	Stream processing framework	1	2	1	2	6
[54]	Journal	2019	Solution proposal	Experiment	Model	Multiple	1	2	1	4	8
[55]	Journal	2017	Solution proposal	Experiment	Algorithm	Multiple	1	2	1	3	7
[56]	Journal	2014	Solution proposal	Experiment	Algorithm	Real-time data streams	1	1	1	4	7
[57]	Journal	2018	Solution proposal	Experiment	Architecture	Real-time data streams	1	2	1	3	7
[58]	Journal	2019	Solution Proposal	Experiment	Algorithm	Real-time data streams	1	2	1	3	7
[59]	Journal	2018	Solution proposal	Experiment	Method	OLAP workloads	1	1	1	2	5
[60]	Journal	2017	Solution proposal	Experiment	Algorithm	Real-time data streams	1	2	1	2	6
[61]	Journal	2017	Solution proposal	Experiment	Framework	Real-time data streams	1	2	1	3	7
[62]	Journal	2015	Review	No	Guideline	Multiple	1	1	0	3	5
[63]	Conference	2014	Experience paper	Experiment	Method	Real-time DWH	1	2	1	2	6
[64]	Conference	2013	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	2	6
[65]	Journal	2019	Solution proposal	Experiment	Architecture	Real-time DWH	1	2	1	1	5
[66]	Journal	2018	Solution proposal	Experiment	Architecture	Real-time DWH	1	2	1	3	7
[67]	Journal	2019	Solution proposal	Experiment	Method	Real-time DWH	1	2	1	2	6
[68]	Journal	2013	Solution proposal	Experiment	Method	Real-time DWH	1	2	1	2	6
[69]	Journal	2020	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	2	6
[70]	Journal	2016	Solution proposal	Experiment	Algorithm	Real-time DWH	1	2	1	2	6
[71]	Conference	2017	Solution proposal	Experiment	Method	Real-time data streams	1	2	1	0	4
[72]	Journal	2015	Solution proposal	Experiment	Framework	IoT cloud	1	2	1	3	7
[73]	Journal	2016	Solution proposal	Experiment	Architecture	IoT stream	1	1	1	3	6
[74]	Journal	2017	Solution proposal	Experiment	Framework	IoT stream	1	2	1	2	6
[75]	Journal	2014	Solution proposal	Experiment	Algorithm	IoT stream	1	2	1	3	7
[76]	Journal	2018	Solution proposal	Experiment	Algorithm	Real-time stream	1	2	1	3	7
[77]	Journal	2015	Solution proposal	Experiment	Method	Real-time stream	1	2	1	2	6
[78]	Journal	2019	Solution proposal	Experiment	Framework	Real-time ETL	1	2	1	3	7
[79]	Journal	2019	Solution proposal	Experiment	Framework	Real-time stream	1	2	1	2	6
[80]	Journal	2018	Evaluation research	Survey	Guideline	IoT stream	1	1	0	3	5
[81]	Journal	2018	Solution proposal	Experiment	Architecture	IoT stream	1	2	1	3	7
[82]	Journal	2014	Solution proposal	Experiment	Method	Real-time stream	1	2	1	0	4

than DWH. Discussion on requirements/challenges and developments for real-time stream processing applications other than real-time ETL are presented separately in subsequent subsections:

#### 1) REQUIREMENTS/CHALLENGES FOR STREAMING BIG DATA IN APPLICATIONS OTHER THAN DWH

Various studies have highlighted and addressed many requirements and challenges in the field of streaming big data and

TABLE 7. Publication sources.

Publication source	Channel	References	No.	%age
IEEE Transactions on Knowledge and Data Engineering	Journal	[17]	1	2
IEEE Access	Journal	[18], [24], [5]	3	5
IEEE Transactions on Big Data	Journal	[19]	1	2
Communications of the ACM	Journal	[37], [38]	2	3
International Journal of Data Warehousing and Mining	Journal	[70]	1	2
Information Systems	Journal	[41], [43]	2	3
Future Generation Computer Systems	Journal	[42]	1	2
The Journal of Supercomputing	Journal	[44], [62]	2	3
Knowledge and Information Systems	Journal	[45]	1	2
Distributed and Parallel Databases	Journal	[50], [59]	2	3
Journal of Big Data	Journal	[6], [51]	2	3
Innovations in Systems and Software Engineering	Journal	[52]	1	2
Journal of Signal Processing	Journal	[53]	1	2
Science China Information Sciences	Journal	[54]	1	2
Global Journal of Flexible Systems Management	Journal	[3]	1	2
Real-time Systems	Journal	[55]	1	2
VLDB Journal	Journal	[56]	1	2
Cluster Computing	Journal	[83]	1	2
Journal of Ambient Intelligence and Humanized Computing	Journal	[57]	1	2
Journal of Internet Services and Applications	Journal	[58], [78]	2	3
Social Network Analysis and Mining	Journal	[4]	1	2
International Journal of Parallel Programming	Journal	[60]	1	2
Multimedia Systems	Journal	[61]	1	2
International Journal of Intelligent Information and Database Systems	Journal	[65]	1	2
International Journal of Information and Decision Sciences	Journal	[66]	1	2
International Journal of Data Analysis Techniques and Strategies	Journal	[67]	1	2
International Journal of Grid and High Performance Computing	Journal	[68]	1	2
Journal of Database Management	Journal	[69]	1	2
Journal of Sensors	Journal	[72], [73]	2	3
Wireless Communications and Mobile Computing	Journal	[74]	1	2
The Scientific World Journal	Journal	[75]	1	2
Future Internet	Journal	[79]	1	2
International Journal of Geo-Information	Journal	[80]	1	2
Cogent Engineering	Journal	[76]	1	2
Procedia Environmental Sciences	Conference	[40]	1	2
IEEE International Conference on Data Engineering	Conference	[14]	1	2
International Conference on Research and Innovation in Information Systems (ICRIIS)	Conference	[15]	1	2
IEEE International Conference on Information and Automation	Conference	[16]	1	2
IEEE International Conference on Cloud Computing and Big Data Analysis (ICCCBDA)	Conference	[20]	1	2
International Conference on Digital Information Management (ICDIM)	Conference	[21], [23], [26]	3	5
IEEE Annual Computer Software and Applications Conference	Conference	[22], [28]	2	3
International Seminar on Intelligent Technology and Its Applications (ISITIA)	Conference	[25]	1	2
International Conference on Emerging Technologies	Conference	[27]	1	2
IEEE International Conference on Big Data Analysis (ICBDA)	Conference	[29]	1	2

TABLE 7. (Continued.) Publication sources.

Publication source	Channel	References	No.	%age
IEEE International Advance Computing Conference (IACC)	Conferecne	[30]	1	2
International Conference on Computer and Information Science	Conference	[31]	1	2
Proceedings of the VLDB Endowment	Conference	[32], [33]	2	3
Australasian Database Conference	Conference	[34]	1	2
International Conference on Advances in Computing, Communications and Informatics	Conference	[36]	1	2
International Conference on Knowledge-Based and Intelligent Information & Engineering Systems	Conference	[39]	1	2
International Conference: Beyond Databases, Architectures and Structures	Conference	[46]	1	2
British National Conference on Databases	Conference	[47]	1	2
Emerging Trends and Applications in Information Communication Technologies	Conference	[48]	1	2
Asia-Pacific Web Conference: Web Technologies and Applications	Conference	[49]	1	2
Data Warehousing and Knowledge Discovery	Conference	[63], [64]	2	3
International Conference on Future Generation Communication Technologies (FGCT)	Conference	[71]	1	2
International Workshop on Real-Time Business Intelligence and Analytics	Workshop	[35]	1	2

real-time stream processing. Key challenges identified in this paper include:

- in-memory computing
- support to semi-structured data streams
- distributed computing
- low latency
- implementation of machine learning algorithms on un-structured big data
- effective resource allocation
- fast disk I/O operation
- distribution of stream engines
- platform independence
- scalability
- real-time processing of spatiotemporal data streams
- fault tolerance
- DBMS migration from SQL to NoSQL
- lock-free concurrent update for moving objects

## 2) DEVELOPMENTS ADDRESSING IDENTIFIED CHALLENGES PARTICULARLY FOR PROCESSING IoT AND SOCIAL MEDIA DATA STREAMS

Following research activities highlights development of tools/technologies/architectures/frameworks addressing mentioned gaps.

In-memory computing can significantly reduces execution time when input totally fits into memory or multiple iterations over that input required. Experimental analysis of recent real-time processing system (Apache Spark) with Hadoop is presented in [82]. Spark outperforms Hadoop in two experiments when input is on disk and when input is totally cached in RAM due to in-memory processing feature of Spark.

Due to inherent dynamic characteristics of big data its difficult to apply existing data mining tools/technologies.

Pre-processing of big data streams, effective resource allocation strategies and parallelization are the issues identified by [6], [38]. Open source tools/technologies for big data analytics such as: Spark streaming, Apache Storm, Splunk stream, Yahoo!S4, NoSQL, Apache Samza etc are highlighted in former study. Big data analysis platforms and tools have been reviewed in a study [3] along with their applications, such as: Hadoop, GridGain, MapReduce, HPCC and Apache Storm. Whereas difficulties in selecting the right stream processing framework were identified and addressed for different use cases while developing a streaming analytics infrastructure by [5]. This study presents critical review of key features of some stream processing engines including Storm, Spark Streaming, Flink, Kafka Streams, IBM Steams. They concluded Kafka Streams and IBM Streams are good options for time-critical application.

A competitive real-time intelligent data processing system name Stream Cube has been implemented in a recent study [54] to handle real-time big data and to bring powerful AI tools into data processing field. Two studies [32], [37] have proposed method and solution for distributed, replicated, and highly available data processing, storage and query system for structured data named: Mesa. Mesa is built using common Google infrastructure and services, including BigTable and Colossus.

To enhance the column store indexes and in-memory tables, [33] proposed solution to significantly improve performance on hybrid workloads. Efficient look ups and column store scan operator also have been addressed in this study. Furthermore, need of approximate computing techniques related to real-time data streams, like: energy-aware approximation, approximation with heterogeneous resources, intelligent data processing and pricing model approximation have been reviewed in another recent study [42].

Moreover, information extraction (IE) techniques required with the rapid growth of multifaceted also called as multi-dimensional unstructured data which are explored in a survey by [51]. Task-dependent and task-independent are the limitations of IE covering all data types. Another study [53] proposed a stream processing framework along with Column Access-aware Instream Data Cache (CAIDC) supporting low response time while maintaining data consistency to migrate RDBMS to NoSQL. Low latency is required while supporting log based trigger in the presence of updates to maintain data consistency and to ensure heavy hitter queries in stream processing framework.

Better resource utilization and real-time scheduling are the key challenges identified and addressed in study [55] for real-time processing of streaming big data. They proposed a hybrid clustering multiprocessor real-time scheduling algorithm and designed real time streaming big data (RT-SBD) processing engine to address these challenges. Their experimental results conclude that proposed solution outperforms the Storm engine in terms of tuple latency, proportional deadline miss ratio, and system throughput.

No dynamic memory allocation, lock free concurrent updates and online pattern detection are the key features required for optimized real-time processing in the applications of large sets of moving objects. These challenges are addressed by the development of multi-layered grid join (MLG-join) algorithm by [56] and a parallel algorithm for timely detection of spatial clusters developed by [58].

#### *a: IoT*

To provide real-time services to users in internet of things (IoT) based smart transportation environment, an architecture has been proposed and implemented by [57]. This framework is implemented based on Spark with Hadoop and MapReduce technique that process and handle huge amount of data in real-time. In addition, another IoT based framework developed in a study [60] to analyze students' performance on real-time basis based on sensor and screen activity data. They applied visual attention techniques for their analysis including: Top-down visual attention, Visual saliency/bottom-up attention, Saliency using natural statistics and A boolean map based saliency. Likewise understanding sensor data and distributed stream engines are the constraints highlighted by [62] and [71].

Processing of geographically distributed data has been surveyed in a study [19], without shifting whole datasets to a single location. In order to address the challenge of scalable processing and low latency for IoT cloud, a robotic application is developed by [72]. [73] in another study setup a real time system for processing heterogeneous sensor streams from multiple sources with low latency where Apache Storm is responsible for distributed real time sensor data processing. Authors in [74] proposed a framework to address challenge of continuous growth of massive data streams in a smart city network. This framework consists of three layers, where 2nd layer is responsible for real-time stream processing and

data filtering making real-time decisions possible. Proposed framework is then tested with the help of authentic datasets on Hadoop ecosystem proving proposed framework as an improved smart city architecture. Additionally, density based clustering in real-time challenge is addressed by [75] by developing an algorithm which obtains high quality results with low computation time.

A real-time stream processing pipeline and current research activities in real-time spatiotemporal data domain are highlighted and compared by [81] and [80] respectively. Apache Storm, Apache Kafka and GeoMQTT broker are utilized as core tools for the development of pipeline architecture in former study that is capable for real-time processing of spatiotemporal data streams. Whereas, the challenge of event processing capabilities in the area of IoT geospatial architectures is highlighted in latter study. Inconsistency among traditional data access methods and event-driven approaches, and heterogeneous approaches for defining event patterns are few key issues identified by this study which need to be tackled to take full advantage of eventing in GI Science. Esper, Apache Storm, Apache Kafka, ESRI GeoEvent Server and Public Cloud Platforms(Cloud Pub/Sub, AWS IoT Core) are the relevant IoT event processing tools identified in this study.

#### *b: SOCIAL MEDIA*

Authors in [76] proposed a method to analyze and process data stream fetched from Twitter data using Hadoop. After analyzing processing time with the use of Hive and Pig on Twitter data, this study conclude Pig appeared more efficient than Hive in terms of execution time and support to semi-structured data. Real-time processing on geolocated data from social media apps using hadoop has been performed in a case study by [77] and implement k-NN model to investigate the power of machine learning algorithms on un-structured big data. Possibility of real-time analysis of huge multimedia stream from online social networks is highlighted in studies [61], [79]. To overcome the difficulty of details consideration of distributed computing and low latency, a framework has been introduced in this study that hides platform details and provide simple interface to programmer. This study provided technical experimental comparison among three big data stream processing applications: Spark Streaming, Storm and Flink. Storm appeared to be slightly faster than Flink whereas Spark performed worst among all during experiments for automatic license plate recognition datasets.

Many researchers have looked into challenges for relational/structured data stream processing and proposed various solutions. Tools and technologies developed for real-time stream processing solutions can be broadly categorized as: **Hadoop, Apache Spark, Apache Storm, Splunk Stream, Yahoo!S4, Apache Samza, GridGain, MapReduce, HPCC, Flink, Kafka Streams, IBM Streams, Mesa, Stream Cube, CAIDC, RT-SBD, MLG-join etc.** After assessment of selected studies it is found that not much attention has been directed towards unstructured real-time

stream processing. There is a need to put more attention to the identification of challenges faced during implementation of unstructured data stream processing for all application domains. These challenges create opportunities for application of new processing technology, which are more suited to unstructured big data streams.

### **C. ASSESSMENT OF RQ3: WHICH APPROACHES/TOOLS HAVE BEEN REPORTED TO ADDRESS CHALLENGES INTRODUCED AT ETL STAGE WHILE PROCESSING REAL-TIME STREAM FOR REAL-TIME DWH?**

Due to complex and dynamic nature of streaming data, the analysis of stream processing approaches has become difficult and challenging. Rigorous studies have been performed for comparative analysis of forty two (42) selected studies that score in between 3-8 during quality assessment evaluation addressing real-time stream processing for DWH. Discussion on requirements/challenges and developments of approaches addressing identified problems are presented separately in subsequent subsections:

#### **1) REQUIREMENTS/CHALLENGES FOR REAL-TIME STREAM PROCESSING FOR REAL-TIME DWH**

Following requirements and challenges for implementation of real-time stream processing for real-time DWH were identified after exploring various studies [4], [14]–[18], [20]–[31], [34]–[36], [39]–[41], [43]–[50], [52], [59], [63]–[70], [78]:

- to maintain OLAP availability, recapture consistency and accuracy, maintain database performance with changing data sources
- to join distributed stream processing engines and an external DBMS in order to achieve high performance.
- efficient loading of data streams consisting of complex events (concatenation of simple events)
- dealing with repeated data streams
- maintaining low memory budget for growing streams
- processing varying attributes of the stream such as data distribution and arrival rate.
- loading strategy of disk-based relational data blocks
- managing different access rates while joining of growing streams with disk-based relations
- maintaining regular wait for the join of each stream
- heterogeneous data source integration, data source overload, master data overload, schema-less data bases
- continuous availability of databases in case of distributed DWH
- GUI-based and code-based real-time ETL tools

#### **2) DEVELOPMENTS FOR THE IMPLEMENTATION OF REAL-TIME DWH**

Methods and techniques that have been employed in analysing real-time streams are outlined in table 8 oldest to newest order. This comparative analysis has been carried out based on five main factors: 1) methodology adopted by each study, 2) challenges identified and addressed in each study,

3) specific tool/data structure used or developed in the design of each study, 4) supporting shape of data and 5) evidence of proposed approach.

It is clearly depicted from table 8 that various join algorithms and ETL tools have been developed till today for improving the efficiency of stream processing(join/queries) for relational databases/streams addressing challenges identified during assessment of RQ3. We have classified these algorithms/approaches into following categories:

- stream-disk join for structured data
- stream-stream join
- sql query decomposition
- multi-join query processing in cloud DWHs
- survey of design approaches from distributed systems, social media and real-time ETL tools
- architecture/framework for supporting distributed streaming ETL and data integration in real-time DWH
- development of stream ETL engine
- distributed on demand ETL framework
- code-based real-time ETL tools

Other emerging concept related to near real-time ETL has been addressed recently in [78]. They identified and proposed a solution for distributed on demand ETL, and developed a stream processing framework based on Kafka, Beam and Spark Streaming. This tool is able to execute workloads 10 times faster when compared to other stream processing frameworks for near real-time ETL by maintaining horizontal scalability and fault tolerance. Many researchers have implemented algorithms based on hash tables/maps as core data structure and database implementations using MySQL in their studies as shown in table 8. Identification of technical implementation details; like methodology and data structure, will help researchers in further optimization of existing approaches. However, little attention has been directed towards implementation of real-time ETL/DWH models/tools/architectures for structured/semi-structured/unstructured data streams.

### **D. ASSESSMENT OF RQ4: WHAT EVIDENCE HAVE BEEN REPORTED WHILE ADDRESSING DIFFERENT CHALLENGES FOR PROCESSING REAL-TIME STREAM?**

This survey has found out that 51 out of 74 selected studies contained empirical results. It has been observed that there has not been any publicly accepted performance benchmark for real-time stream data processing systems so far, however we have identified few performance benchmark adopted by selected studies. Table 8 shows that most of the selected studies have verified proposed approaches through experimental evaluation either by making use of synthetic dataset or real-life dataset or both. Standard benchmark dataset for real-time streaming analytics has not been widely adopted. However, few of the researchers that used standardized benchmarking are briefly discussed below.

Authors in [16], [22], [28] validated their approaches by making use of TPC-H benchmark whereas, new TPC-DS benchmark has been used in experiments by [31]. The data

**TABLE 8. (RQ3) algorithms/approaches reported for real-time ETL/ DWH.**

Algorithm/Approach	Methodology	Challenges Addressed	Ref.	Tool/Data Structure	Shape of Data	Evidence
Similarity Join Processing on Uncertain Data Streams(USJ) & adaptive superset pre-join (ASP)	framework using sliding window concept	effective pruning methods on both object and sample levels to filter out false alarms, efficient query procedure that can incrementally maintain the USJ answers and to maintain a superset of USJ candidate pairs	[17]	USJ framework	Objects	Performance of USJ is tested using two real data sets and complexities are analyzed using proposed cost model
Solution for 24/7 real-time data loading into DWH (RTDW)	every DWH database schema replicated and updated simultaneously, but only one is available for OLAP applications	a simple, fast and efficient solution enabling continuous data loading and OLAP availability on a 24/7 schedule	[28]	24/7 RTDW architecture, MySQL	Relational	Experimental evaluations using real world DW and the TPC-H decision support benchmark
Semi-Streaming Index Join (SSIJ) framework	index-based stream-disk join algorithm for joining a relational stream with a disk resident relation	amortization of expensive disk seeks for blocks of the stored relation among a large number of stream tuples	[14]	B-tree ,hash tables, bitmapped indexes	Relational	Experimented on synthetic and real-life data sets using cost model
A burst resolution technique for data streams management	operational data store is used as staging area in proposed framework to store extracted files. This framework process incoming continuous data that can not be handled by ETL tools due to their special characteristics	to minimize drop of data streams, synchronize processing technology with them, resolve streaming bursts and load balancing	[27]	token bucket technique	Relational	Experimentally validated based on synthetic dataset
Extended Hybrid Join (X-HYBRIDJOIN)	stream-disk join algorithm that uses two-level hash table for the joining of fast incoming stream tuples by using a partition based waiting area to store other stream tuples	can adapt to data skew and stores parts of the master data in memory permanently, reducing the disk access overhead	[45], [47]	hash table, linked list, MySQL	Relational	Experimented on synthetic data sets using proposed cost model
Tuned“X-HYBRIDJOIN”	stream-disk join tuning module for existing X-HYBRIDJOIN	optimization of memory distribution to the swappable parts of disk buffer	[36], [48], [49]	hash table, MySQL	Relational	Experimented on synthetic data sets using revised cost model
24/7 availability and performance of distributed real-time DWH	standard DW-Stripping (DW-S) round-robin technique is used to distribute portions of each fact table among pairs of slave nodes, which is an exact replica of its partner, allows in balancing query execution and replacing any defective node	Solution for continuous availability for distributed DWH databases with frequent data loading requirements	[22]	DW-S technique, MySQL	Relational	experiments using the TPC-H decision support benchmark to evaluate the scalability of the proposed solution
Optimised HYBRIDJOIN	stream-disk join algorithm with two phases: one dealing with frequently accessed disk-relation data while other dealing with other part	improving performance by treating frequently accessed data differently	[34]	hash table, linked list, MySQL	Relational	Validation of cost model using synthetic data sets
Scheduling strategies for efficient ETL execution	four scheduling policies on different flow structures and configurations are investigated experimentally. Three generic algorithms were explored in this study: ROUND ROBIN, MINIMUM COST PREDICTION, and MINIMUM MEMORY PREDICTION and an extensible solution for implementing an ETL scheduler is proposed	ETL optimization in terms memory consumption and execution time	[41]	ETL structural workflow patterns, MySQL	Relational	Experimentally evaluated using synthetic data sets

TABLE 8. (Continued.) (RQ3) algorithms/approaches reported for real-time ETL/ DWH.

Algorithm/Approach	Methodology	Challenges Addressed	Ref.	Tool/Data Structure	Shape of Data	Evidence
Semi-Stream Join (SSJ), Semi-Stream Balanced Join (SSBJ) algorithms	stream-disk join algorithm with a cache module, that alternates between stream-probing and disk-probing phases, and many to many semi-stream join	Reducing I/O cost by storing the frequently occurring items of stream in memory	[21], [26], [50]	hash linked list, MySQL	Relational	validated using synthetic and real-life data sets
SSCI: semi-stream cache join algorithm	A front staged cache module is used which takes stream as input and other module uses disk relation as input	optimize performance regarding memory and processing cost	[64]	linked list queue, hash table, MySQL	Relational	validated using synthetic and real-life data sets
Optimizing queue-based semi-stream joins	a strategy is proposed which takes turn between last and first element of queue for lookup, along with basic modules designed by same authors	for high probability partitions, this methodology reduces the saturation effect and frequently hitting high-probability	[63]	queue and hash table	Relational	Experimentally validated using real-life and synthetic data sets
Development of stream ETL engine (StrETL)	stream data sets stored in a Stream Materialized Aggregate List (StrDW[MAL])	creation of an interface between StrETL-RT and StrMAL engines and load balancing	[46]	queue, MySQL, StrETL-RT engine	Flat and spatial data, sensor data	Experimentally validated
Two-Level data Partitioning Approach for Real-Time Data Warehouse (2LPA-RTDW)	this approach finds the right number of clusters and divides DWH into partitions equally using the horizontal partitioning (G-means based fragmentation approach), in the second-level data partitioning, 2LPA-RTDW try to keep the balance of data amount in each partition by merging and dividing the existing ones	high refreshing frequency	[31]	Matrix, 2LPA-RTDW	Relational	proposed approach is evaluated using the new TPC-DS benchmark
Cached-based stream-disk join algorithm (CSDJ) along with optimization	two complementary hash join phases: disk-probing phase and stream-probing phase	exploits skew characteristic in stream data more appropriately	[20], [23], [69], [70]	hash table, linked list, MySQL	Relational	Experimentally evaluated using synthetic and real-life data sets
Real-Time Data ETL Framework for Big Real-Time Data Analysis	stream-disk join: a dynamic mirror replication technology was proposed to avoid the contention between OLAP queries and OLTP update, using external dynamic storage area(DSA)	query contention and data skew	[16]	linked list, CDC, DSA	Relational	Benchmark TPC-H is adopted to evaluate the pre-processing performance
ETL modeling with the simplification, adjustment, and design of ETL scenarios	a model that aims to design ETL scenarios, customize and simplify the mapping between the attributes in the data source with the attributes of the data warehouse tables	automatic data preprocessing that regulates the insertion of new data and update data without generating a lot of queries	[40]	vector geometry	Relational	Tested with real-life data sets
Skewed Distributions in Semi-Stream Joins	two optimization techniques for frequently used master data and for selective load shedding for stream tuples	to improve service rate for typical data with skewed distribution	[43]	hash linked lists	Relational	Experimentally evaluated using synthetic and real life data sets



TABLE 8. (Continued.) (RQ3) algorithms/approaches reported for real-time ETL/ DWH.

Algorithm/Approach	Methodology	Challenges Addressed	Ref.	Tool/Data Structure	Shape of Data	Evidence
Comparative review of DWH ETL tools	comparative review based on near real-time ETL approaches like: Change Data Capture (CDC), Trickle and Flip approach, real time data cache (RTDC) approach	market value and relevance of ETL tools in data science industry, and growing need of real time data analysis from structured and unstructured data sources	[30]	ETL tools (Informatica, Datastage, Ab Initio, Oracle Data Integrator, SSIS)	Relational, PDF, XML etc	Industry insights for the relevance of tools
Survey of design approaches for DWH from social media	literature review	exploitation of data from the web	[4]	Multidimensional model for DWH (Conceptual, Logical)	heterogeneous social media data	No evidence provided in this literature review
Identification of challenges of ETL implementation for near real-time environment	literature review to find challenges and solution approaches for ETL implementation	high availability, low latency and horizontal scalability features for functionality	[15], [25]	Change data capture (CDC), CDC log-based, real-time data cache (RTDC), Trickle and Flip	Relational	No evidence provided in this literature review
Optimized foreign-key join algorithm for OLAP workloads	foreign-key join algorithm instead of general-purpose hash joins	to enable surrogate key index to be efficient for foreign key joins in DWH workloads for both hardware accelerators and CPU	[59]	array-store oriented foreign key, Xeon Phi and NVIDIAK80 GPU platforms	Relational	proposed approach is evaluated using synthetic and real-life datasets
Performance Analysis of Not Only SQL Semi-Stream Join Using MongoDB	join module of stream with disk based data	efficient stream processing for NoSQL data for real-time DWH	[24]	MongoDB	NoSQL, Relational	Experimentally evaluated using synthetic and real-life data sets
Framework for big DWH dealing in both real-time and offline modes	first component: real-time data ingestion of both streaming and offline data generated by communication service providers (CSP) and coding, second component: big data ETL module	to effectively organize raw data, and implement complex and more intelligent use-cases that help in improving core networks and other areas of CSP	[29]	NiFi, Kafka, Spark Streaming, Hadoop	Relational	No experimental evidence provided
Architecture of Strim's streaming ETL engine, a distributed streaming ETL and intelligence platform	to handle the demands of modern data pipelines, transformation engine has been designed. Open Processor component of this engine enables users to develop join functionality or run machine learning models on the input data streams	to run low-latency transformation logic on input data streams using modern approaches of query optimization and execution. To enable declarative data filtering and updation on streaming real-time data	[35]	Strim transformation engine, SQL, CDC, Kafka, open source streaming ETL engine KSQL	Relational	Experimentally evaluated based on synthetic and real-life datasets
Overview of the existing data quality approaches in the ETL process	comparative review of some commercial ETL tools considering highlighted data quality characteristics (three quality dimensions considered for comparison: performance, reliability, deduplication). ETL tools: Talend Data Quality (TDQ), Talend Data Integration (TDI), Pentaho Data Integration (PDI), Informatica Data Integration (IDI) and Microsoft SQL Server Integration Services (SSIS)	management of data from internal and external sources: data with heterogeneous content and diverse quality problems	[39]	ETL tools: TDQ, TDI, PDI, IDI, SSIS	Relational	Comparative evaluation based on TDQ and TDI tools

TABLE 8. (Continued.) (RQ3) algorithms/approaches reported for real-time ETL/ DWH.

Algorithm/Approach	Methodology	Challenges Addressed	Ref.	Tool/Data Structure	Shape of Data	Evidence
Comparative evaluation of code-based ETL tools and modeling of a near real-time ETL process with incremental loading	three parts of model: snapshot-based CDC, distributed processing algorithm and finally an algorithm for fact processing	to reduce data flow and latency using code-based real-time ETL tools	[52]	Code-based ETL tools: Pygramed, Petl, Scriptella, R-etl	Relational, CSV	Experimentally evaluated based on synthetic dataset
A control architecture for real-time DWH (FCSA-RTDW) and two-level data partitioning approach (2LPA-RTDW)	an architecture called DETL-(m, k)-firm-real-time DWH architecture (decentralised extract-transform-load approach based on (m, k)-Firm constraints for real-time DWH)	increase in query processing speed and reducing those transactions which don't meet their deadline	[65], [66]	FCSA-RTDW architecture, 2LPA-RTDW, MySQL	Relational	Experimentally evaluated based on simulation results
The conceptual modelling, the architecture and loading methodology of the real-time DWH (RTDWH)	three parts: - loading data into caches level - loading data into real-time storage area - loading data into the static DWH	to handle complex/multiple event streams such as: spatial, semantic, temporal and real-time	[67]	RTDWH architecture, XML data format	Various source DB systems	Experimentally evaluated based on real-life dataset
Optimizing Communication for Multi-Join Query Processing in Cloud DWHs	PK-map and Tuple-index-map are the proposed data structures	to allocate resource dynamically on demand for growing data size in cloud environment during frequent data integration into DWH	[68]	PK-map, tuple-index map as storage structures	Unstructured dataset	Experimentally evaluated
Extension of Spark Streaming, DS-join for distributed processing	implementation of DS-join, stream-disk join operator to process hit and missed data in parallel	load balancing among multiple databases, parallelizing the join processing, reducing number of database accesses, and managing cache and data shuffling	[18]	Apache Spark	Relational, NoSQL	Experimentally evaluated based on synthetic and real-life datasets
Optimization of near real-time ETL based Kafka, Beam and Spark Streaming	it uses in-memory master data cache to optimize performance, a buffer for join operations on data with different arrival rate to synchronize consistency, and a unified programming model to allow this tool to be used on top of a number of stream processing frameworks	fulfills limitations of high availability, low latency and horizontal scalability by on-demand data stream, steps performed in distributed and parallel manner, in-memory cache, un-synchronized consistency, unified programming model	[78]	Kafka, H2, Apache Spark	Relational	Experimentally evaluated based on synthetic and real-life data sets
A framework for supporting real-time data warehousing via lightweight data integration	a novel DWH framework separating static phase from dynamic phase to obtain real-time processing features	fulfills limitations of actual DWH architectures, which are not appropriate to execute classical operations under real-time constraints such as: loading, indexing, aggregation, OLAP query answering etc.	[44]	B-tree, Bimap indexes, CDC, MySQL	Relational	Experimentally evaluated based on synthetic data

was collected using a single Intel Haswell CPU with 12 cores, 2.30 GHz and hyper-threading disabled to analyse query performance by [33]. Whereas Yahoo! Cloud Serving Benchmark has been used to test the performance of work in study [53]. Some real-world trajectory datasets have been adopted by [56] and [58]: a fleet of trucks, a city buses for experimental evaluation of proposed methodologies whereas, synthetic datasets generated using the benchmark data generator were also used during evaluation by [56]. Semi-stream join algorithms developed by [20], [23], [43], [69], [70] were tested by using both synthetic and real-life datasets. They also analyzed memory and time requirements. In addition, a modified well-known Star Schema Benchmark (SSB), called SSB-RT, is used during experimental evaluation of rewrite/merge framework by [44], which embeds real-time features, as well as TPC-H benchmark.

While validating optimized algorithms addressing common challenges for IoT stream processing, one of the selected studies [75] use KDD CUP99 Network Intrusion Detection dataset that comes from the 1998 DARPA Intrusion Detection as real-life data set along with 3 synthetic datasets.

In addition, to strengthen the evidence of their approaches, various studies have been identified in this literature which derived cost models to compute data latency and resources utilization: [14], [17], [20], [23], [36], [43], [45], [47], [55], [69], [70]. Moreover, research efforts should be directed towards advancing appropriate benchmarks for evaluating different real-time stream processing applications. This would go a long way to minimize data latency and resources utilization.

## V. CONCLUDED DISCUSSION

The objective of this survey is to provide guidance for researchers in the subject of real-time stream analysis for DWH and big data applications. For this purpose, we have investigated applications, developments and challenges in terms of methodology, data structure used and shape of data. Our exploration highlights main target publication channels for real-time stream processing research in real-time DWH domain and in other big data applications such as: (IoT, Social media, Google etc). Our literature further highlights implementation challenges along with developed approaches/tools and evaluation evidences for real-time stream processing in all mentioned application domains.

## A. GENERAL OBSERVATIONS

Observations from the literature reveal that there exists various algorithms for implementing real-time join processing at ETL stage for structured data and there seem to be less tools and technologies that offer real-time join processing for unstructured data. It is further observed that little attention of researchers is found in existing studies to discuss the data structures used by every approach for the development of their algorithms which if exists might help researchers to address research gaps in this subject domain. Research efforts should be geared towards advancing processing approaches

that are suitable for all existing/important type of streaming data. In addition, it is rare to find specific algorithm/approach that collectively addressed all identified challenges. It is further observed that many researchers have looked into different features and suitability of existing stream processing engines, however there is still need for the development of stream engines flexible for modification according to business needs.

## B. FUTURE DIRECTIONS

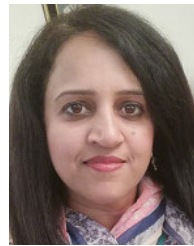
Fitting DWH in cloud architecture is of tremendous business need as cloud storage is economical and scalable. If DWH is integrated with cloud computing it can handle relational and non-relational data and can be offered as a service. More tools and technologies could be developed for implementing cloud DWH concept. Moreover, purpose build ETL tools that are ready to connect open data sources or which can delay transformation phase until it is needed are becoming more effective from industry's viewpoint.

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