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# **On-Chip Electro-Optic Modulator With Loss Compensation Based on Polymeric Active-Integrated Waveguides**

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**ABSTRACT** In this paper, an on-chip electro-optic (EO) modulator with loss compensation based on polymeric active-integrated waveguides was demonstrated. An erbium-doped waveguide amplifier was investigated and integrated with the EO modulator to compensate for signal loss. Polymeric active-integrated waveguides were based on the Mach-Zehnder interferometer structure, which consists of an amplified waveguide formed by two symmetric Y-junction branches and an EO waveguide formed by two decoupled waveguide arms. The dimensions of the polymeric active-integrated waveguides and the modulator were carefully designed and simulated. Moreover, a six-level spectroscopic model pumped at 980 nm was presented. The rate equations and propagation equations were solved, and the gain characteristics were simulated. The internal gain of 4.65 dB was achieved when the signal power was 0.1 mW at 1550 nm, the pump power was 100 mW at 980 nm, the  $Er^{3+}$  concentration was 9.3 × 10<sup>25</sup>/m<sup>3</sup>, and the Yb<sup>3+</sup> concentration was 8.6 × 10<sup>26</sup>/m<sup>3</sup> in one Y-junction branch with a length of 1.5 cm. With the integrated waveguide amplifier, the loss of the EO modulator can be compensated at 9.3 dB in the two symmetric Y-junction branches. The light output intensity was also statistically presented. The proposed device with active-integrated waveguides could be used in polymer-based photonics integrated circuits.

**INDEX TERMS** Integrated optics, electro-optic modulator, waveguide amplifier, polymer waveguides.

### I. INTRODUCTION

Integrated optical waveguide devices are gradually becoming key components in optical telecommunication network systems [1]–[3]. The development of high- performance devices such as optical amplifiers, optical switches, optical modulators, and optical delay lines has benefited optical telecommunication systems tremendously [4]–[8]. In addition, the optical switches, and optical modulators play an indispensable role in wavelength division multiplexing (WDM) technology which serves as an important component of optical add-drop multiplexer (OADM) and optical cross-connection (OXC) applications [9], [10]. Mach-Zehnder interferometer (MZI)-type electro-optic (EO) modulators have been widely implemented in optical fiber communication systems and optical signal-processing systems [11]–[13]. An increasing number of studies have been devoted to improving the performance of MZI EO devices, such as transmission loss, driving voltage, and response speed. Combining EO materials with waveguide structures, remarkable new materials and existing structures have enhanced the performance of EO devices [14]–[16].

Nonlinear optical (NLO) polymers for EO modulators have attracted increasing attention due to their superior properties such as high EO coefficient, ultrafast frequency response, low dielectric constant, and low processing cost [17]. In recent years, many kinds of organic chromophores and NLO polymers have been investigated to fabricate EO waveguide devices [18]. EO modulators based on NLO polymers have achieved many results and shown much promise [19], [20]. However, high insertion loss still limits the performance of EO devices, especially when integrating them into photonics

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integrated circuits. High insertion losses mainly occur from the coupling loss between the optical fiber and the waveguide, the scattering losses caused by fabrication, and the absorption loss from chromophores, which affect the performance of the EO modulator and impede the development of the devices. EO modulators with low insertion loss are urgently required [21], [22]. Several techniques, such as waveguide tapering, sol-gel to polymer waveguide transitions, and single-mode to multimode waveguide transitions, have been developed to solve this problem [21], [23], [24]. The passive-to-active integrated waveguide has been proposed to reduce the large absorption loss induced by the chromophores in the EO devices [25]. While the performance loss remains undesirable, designing and fabricating high-performance EO modulators with low insertion losses remains a challenge. Erbium-doped waveguide amplifiers (EDWAs) are vital components for solving this problem because the infrared emission wavelength of the  $Er^{3+}$  ion corresponds to the low-loss telecommunication window in the wavelength range of approximately 1550 nm in optical communications [26]-[28].

In this paper, an on-chip electro-optic modulator with loss compensation was demonstrated. Combined with the excellent properties of polymer such as simple processing, flexible design of optical properties and compactness, EDWA was investigated and integrated with an EO modulator to compensate for signal loss. The multi-functional device with novel structure was presented based on polymeric active-integrated waveguides. A new method for solving the bottleneck problem that high insertion loss limits the performance of the EO devices was provided, especially for integrating them into photonics integrated circuits. Polymeric active-integrated waveguides were based on an MZI structure that consisted of an amplified waveguide formed by two symmetric Y-junction branches and an EO waveguide formed by two decoupled waveguide arms. The waveguide is based on SU-8 material, which has been widely used in electro-optic modulator and optical waveguide amplifier [25], [29], [30]. Here SU-8 doped with NaYF<sub>4</sub>: $Er^{3+}$ , Yb<sup>3+</sup> nanoparticles was chosen as the gain medium, because the nanoparticles with diminutive size show the advantages of adjustable luminescence, narrow bandwidth of the emission spectrum, low phonon energy, resistance to photobleaching, long fluorescent lifetime and low noise compared with the traditional dye material [31], [32]. SU-8 doped with chromophore DR19 was selected as the EO material. The dimensions of the polymeric active-integrated waveguides and the modulator were carefully designed and simulated. A formulized iteration method was presented for solving the rate equations, and the propagation equations of the  $Er^{3+}$ -Yb<sup>3+</sup> codoped waveguide amplifier (EYCDWA) and the gain were numerically simulated. The internal gain of 4.65 dB was achieved when the input signal power was 0.1 mW at 1550 nm for a waveguide length of 1.5 cm, the pump power was 100 mW, the  $Er^{3+}$ concentration was  $9.3 \times 10^{25}$ /m<sup>3</sup> and the Yb<sup>3+</sup>concentration was  $8.6 \times 10^{26}$ /m<sup>3</sup>. The insertion loss of the EO modulator



**FIGURE 1.** (a) Schematic diagram of a  $1 \times 1$  polymeric EO modulator with loss compensation. (b) Cross-section of the active-integrated waveguide structure for the signal amplification region. (c) Cross-section of the active-integrated waveguide structure for the EO modulation region.

was compensated at 9.3 dB in two symmetric Y-junction branches pumped at 980 nm. The light output intensity was also numerically simulated.

### **II. DEVICE DESIGN AND SIMULATION**

The EO modulator with loss compensation was designed based on the polymeric active-integrated waveguides. Fig. 1(a) shows the schematic diagram of the device based on MZI that is composed of the amplified waveguide formed by two symmetric Y-junction branches and the EO waveguide formed by two decoupled waveguide arms. The length of the interference arm was 2 cm. The distance between the two interference arms was 100  $\mu$ m, and the angle of the Y-junction was 0.8°. A microstrip line (MSL) electrode was introduced to form the modulating electrode. The modulating voltage is supplied to the MSL electrode, and the optical path length is changed due to the change in refractive index of the polymer waveguide caused by the EO effect. The relative phase shift of the modulation arm will excite the phase interference. Given the phase shift induced by the external electrical field, the modulated signals can be achieved in the output combiner. The signal light at 1550 nm and the pump light at 980 nm are simultaneously injected into the input waveguide. The pump light interacts with the amplified material in Y-junction branches; therefore, the signal light can be amplified, and the insertion loss will be compensated. As a consequence, the performance of the EO modulator based on the active-integrated waveguides can be significantly enhanced. Figs. 1(b) and (c) show cross-sectional views of the amplified waveguide and EO waveguide, respectively. Norland Optical Adhesive 73 (NOA73) was used as the cladding material. The commercially available material SU-8 2005 (commercially from Microchem Corp.) was used as the host material of the core layer.  $\alpha$ -NaYF<sub>4</sub>: Er<sup>3+</sup>,

Yb<sup>3+</sup> nanoparticles (NPs) was synthesized by using a mild hydrothermal method [31]. The size of NPs has a significant effect on the waveguide amplifiers. NPs with uniform and small size can be uniformly dispersed in the polymer, which can increase the doping concentration of NPs in the polymer, but the small size NPs have a large specific surface area. A large number of surface defects and surfactant molecules can easily lead to the loss of radiative transitions in the fluorescence center and fluorescence quenching. On the other hand, though the luminescence performance of the NPs with large size can be improved, the large size NPs will cause the light scattering in the device. The NPs with the average size of 13 nm was used as the guest of the material for light amplification, which can be uniformly dispersed in the polymer with high concentration of dopant and strong fluorescence emission intensity [31]. NaYF4 NPs were doped in SU-8 by a physical doping method. The Y-junction amplifiers based on NaYF<sub>4</sub>/ SU-8 pumped at 980 nm can amplify the signal light and compensate for the insertion loss. The chromophore DR19 (commercially available from TCI Corp.) was used as the guest material of the EO polymer, which can be fully dissolved in SU-8 by a physical doping process. The refractive indices of the core and cladding were measured by using an ellipsometry method (J. A. Woollam., Co.M2000). The measured values of the DR19/SU-8 were 1.5846 and 1.5914 at 1550 nm and 980 nm, respectively. The measured values of the NaYF<sub>4</sub>/ SU-8 were 1.5763 and 1.5822 at 1550 nm and 980 nm, respectively. The refractive indices of NOA 73 were 1.553 and 1.5611 at 1550 nm and 980 nm, respectively.

The dimensions of the polymer waveguide were carefully designed to realize the single-mode propagation. The mode field simulations at the pump and signal wavelengths were performed under the guidance of the finite difference method (FDM). The dependencies of the effective refractive indices  $N_{\rm eff}$  of the invert-rib waveguide on the core thickness b were simulated based on the eigenvalue equations shown in Fig. 2, where the waveguide width a = b and the rib height h = 0.5b. Fig. 2(a) shows the  $N_{\text{eff}}$  of the NaYF<sub>4</sub>/SU-8 waveguide, and Fig. 2(b) shows the Neff of the DR19/SU-8 waveguide. It can be seen that both the NaYF<sub>4</sub>/ SU-8 waveguide and the DR19/SU-8 waveguide can realize single-mode propagation with  $b = 3 \ \mu \text{m}$  ( $a = 3 \ \mu \text{m}$  and  $h = 1.5 \ \mu \text{m}$ ). Moreover, the difference in the  $N_{\rm eff}$  between the two waveguides with the same size is very small and nearly identical.

The optical field distributions of the polymeric activeintegrated waveguides with this size were simulated by using the beam propagation method (BPM). Figs. 3(a) and (b) show the optical field distribution of the amplified waveguide and the EO waveguide section at 1550 nm, respectively. The optical fields are well confined in the core layer, and the mode-field diameter in the amplified section is larger than that in the modulated region, which is beneficial for decreasing the coupling loss between the optical fiber and the waveguide. The 3D optical mode field transmission of a



**FIGURE 2.** The dependencies of effective refractive  $N_{eff}$  indices on the core thickness b with a= b and h=0.5b for (a) the DR19/SU-8 waveguide and (b) the NaYF<sub>4</sub>/ SU-8 waveguide.

straight waveguide was simulated trough the BPM to examine the optical transmission from the amplified waveguide to the EO waveguide. The length of the RI taper was 650  $\mu$ m. Apparently, the optical signal is well transmitted from the amplified section to the modulated section with no distortion in the interconnection. The introduced RI tapers make this contribution, which can be created on the basis of a discrete step mask-shifting scheme.

Both the signal light and pump light can transmit throughout the device, which is the key to realize loss compensation. The optical field transmission for the device with an activeintegrated waveguide structure was simulated by using Rsoft Beam PROP (Synopsys, Inc.). Figs. 4(a) and (b) show the light field transmission simulation results of the device at 1550 nm and 980 nm, respectively. The pump and signal light transmit well throughout the device. The input Y-junction branch served as the 3-dB power splitter at 1550 nm and 980 nm, and the symmetric Y-junction coupler acted as the output combiner.

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**FIGURE 3.** The optical field distribution of the invert-rib waveguide at 1550 nm for (a) the amplified region and (b) the modulated region. (c) The optical modal profile transmission in the active-integrated waveguide.

### **III. GAIN CHARACTERISTICS**

It is of great importance to optimize the parameters of the waveguide combined with the gain characteristics. NaYF<sub>4</sub>:  $Er^{3+}$ ,  $Yb^{3+}$  NPs synthesized by the mild hydrothermal method were doped in SU-8 by the physical doping method with 0.65 wt%. The  $Er^{3+}$ -Yb<sup>3+</sup> codoped six-level system was taken into consideration to obtain a more precise simulation, as shown in Fig. 5. The cooperative upconversion (Cup) between  $Er^{3+}$  ions and the cross-relaxed coefficient (*Ktr*) between erbium-ytterbium ions were involved. The operational principle of the amplifier pumped at 980 nm is as follows: the Yb<sup>3+</sup> ions in the ground state absorb the pump energy and transition from the  ${}^2F_{7/2}$  level to the excitation level  ${}^{2}F_{5/2}$ , followed by the transfer wenergy to the nearby ground state  $Er^{3+}$  ions  ${}^{4}I_{15/2}$ . Next, these ions are excited to the excitation level  ${}^{4}I_{11/2}$ . Owing to thermal relaxation,  $\text{Er}^{3+}$  ions rapidly decay to the lower metastable level  ${}^{4}I_{13/2}$ and remain there longer. When the pump power exceeds the threshold power, population inversion occurs between  ${}^{4}I_{15/2}$  and  ${}^{4}I_{13/2}$ . Then, the Er<sup>3+</sup> ions in the  ${}^{4}I_{13/2}$  level transition down to the ground level  ${}^{4}I_{15/2}$  and emit the photons with the same frequency as that of the signal realizing the amplification function for the signal. Here, the amplified spontaneous emission (ASE) was neglected [26], [27].

Therefore, the simplified versions of the rate equations are obtained using the overlap integral method, where  $N_1$ ,  $N_2$ ,  $N_3$  and  $N_4$  are defined as the concentrations of  $\text{Er}^{3+}$  in the  ${}^4I_{15/2}$ ,  ${}^4I_{13/2}$ ,  ${}^4I_{11/2}$  and  ${}^4I_{9/2}$  energy states, and  $N_5$  and  $N_6$  are defined as the concentrations of Yb<sup>3+</sup> in the  ${}^2F_{5/2}$  and  ${}^2F_{7/2}$  energy states, respectively.  $N_{Er}$  is the total  $\text{Er}^{3+}$  ion concentration.



FIGURE 4. Single-mode optical field transmission of the EO device simulated by Rsoft: (a) at a wavelength of 1550 nm; (b) at a wavelength of 980 nm.



**FIGURE 5.** Energy level transitions for  $Er^{3+}-Yb^{3+}$  codoped six-level system (980 nm pump).

Under steady-state conditions, the population densities maintain the dynamic balances considered in this system. The set of rate equations under the boundary conditions were formulated and solved. Incorporating the signal and pump propagation equations, the gain characteristics were investigated using the Runge-Kutta method under uniform doping conditions. The gain G was defined as follows [33]:

$$G(dB) = 10lg \frac{P_S(z)}{P_S(0)}$$
(1)

| TABLE 1.  | Parameter va | lues of Na | ۲F4: Er <sup>3+</sup> , | YP2+ | NPs-dop | oed SU | -8 |
|-----------|--------------|------------|-------------------------|------|---------|--------|----|
| material. |              |            |                         |      |         |        |    |

| Symbol        | Full name  | Quantity  |  |
|---------------|--|---|--|
| $\sigma_{l2}$ | Absorption cross-section of Er <sup>3+</sup><br>at 1550 nm               | 9.47×10 <sup>-25</sup> m <sup>2</sup>           |  |
| $\sigma_{21}$ | Emission cross-section of Er <sup>3+</sup><br>at 1550 nm                 | 1.65×10 <sup>-25</sup> m <sup>2</sup>           |  |
| $\sigma_{I3}$ | Absorption cross-section of Er <sup>3+</sup> at 980 nm                   | $2.32 \times 10^{-25} \text{ m}^2$              |  |
| $\sigma_{56}$ | Absorption cross-section of Yb <sup>3+</sup><br>at 980 nm                | $1 \times 10^{-24} \text{ m}^2$                 |  |
| $\sigma_{65}$ | Emission cross-section of Yb <sup>3+</sup> at 980 nm                     | $1 \times 10^{-24} \text{ m}^2$                 |  |
| $	au_{2I}$    | Er <sup>3+</sup> emission lifetime of <sup>4</sup> I <sub>13/2</sub>     | 2.02 ms   |  |
| $	au_{32}$    | Er <sup>3+</sup> nonradiative lifetime of <sup>4</sup> I <sub>11/2</sub> | 38.49 ms  |  |
| $\tau_{65}$   | Yb <sup>3+</sup> emission lifetime of <sup>2</sup> F <sub>7/2</sub>      | 1.3 ms  |  |
| A             | Cross-section of the active region                                       | 24.85 μm <sup>2</sup>                           |  |
| $C_{up}$      | Up-conversion coefficient  | $8.42 \times 10^{-23} \text{m}^2 \text{s}^{-1}$ |  |
| $K_{tr}$      | Cross-relaxation coefficient   | $4.4 \times 10^{-22} \text{m}^2 \text{s}^{-1}$  |  |
| $N_{Er}$      | Erbium ion density   | 9.3×10 <sup>25</sup> /m <sup>3</sup>            |  |
| $N_{Yb}$      | Ytterbium ion density  | 8.6×10 <sup>26</sup> /m <sup>3</sup>            |  |
| Гs            | Overlapping factor of the signal laser                                   | 0.74  |  |
| Гр            | Overlapping factor of the pump laser                                     | 0.88  |  |

It is difficult to test all parameters of the gain medium implemented in the simulation accurately. Incorporating the Judd-Ofelt theory, the absorption and emission spectra are characterized, and the parameter values of NaYF<sub>4</sub>/ SU-8 are calculated as shown in Table 1. The transmission loss of the waveguide amplifier measured by the cutback method is approximately 1.5 dB/cm, and the gain curves were simulated. Fig. 6(a) shows the gain as a function of pump power at 980 nm for different overlapping factors. The overlap integral factor plays a decisive role in the gain. The formula is defined as follows [34]:

$$\Gamma_{S,P} = \iint \Psi(x,y) \, dx \, dy = \iint_A \Psi(x,y) \, dx \, dy \qquad (2)$$

where  $\Gamma_P$  and  $\Gamma_S$  are the overlap factors of the pump and the signal, respectively. *A* is the area of the cross-section of the waveguide core.

The internal gain increases gradually with increasing pump power. When the pump power exceeds the threshold power, the gain demonstrates its tendency to be saturated. As the overlapping factors increase, the gain value increases, as does the threshold power. The overlapping factors of the NaYF<sub>4</sub>/ SU-8 embedded waveguide are 0.74 at 1550 nm and 0.88 at 980 nm, the threshold of which is 100 mW. From the formula above, the overlap factors are altered according to the various waveguide cross-section dimensions. As illustrated in the simulation results, the overlapping factors relevant to the dimensions of the waveguide exceedingly impact the gain. It is of great necessity to have the dimension designed accurately and legitimately. As stated above, a pump power of 100 mW is chosen. Then, the waveguide length is optimized in accordance. Fig. 6(b) indicates the internal gain as a function of waveguide length for different pump powers. As the waveguide length increases, the gain increases gradually to a maximum and then decreases continually. The waveguide length corresponding to the maximum gain is called the optimal waveguide length. When the pump power increases, the gain corresponding to the optimal length performs in the same way, which is the result of the pump power constantly consumed in the transmission along the waveguide. After reaching the optimal waveguide length, the pump power is smaller than the threshold power, and the amplification shuts off, with transmission loss causing negative gain when the length is too long. Upon increasing the input pump power, the pump power will reach the threshold power in the farther transmission direction. During this period, the device keeps amplifying the signal light so that the maximum gain is improved. Therefore, the optimal waveguide length of 1.5 cm is chosen with the pump power of 100 mW.

The concentration of  $Er^{3+}$  is a significant parameter that directly impacts the gain. Thus, for a pump power of 100 mW, the internal gain versus coordinate  $Er^{3+}$  concentration of the NaYF<sub>4</sub>/ SU-8 for different signal powers is shown in Fig. 6(c). The gain increases with decreasing signal power. When the signal power is 0.01 mW, the gain curve almost overlaps with the gain curve that the input signal power is 0.1 mW, and the increase in the gain tends to be saturated. A small signal power is desirable, which shows the dependence given by (1). Fig. 6(c) indicates that as the  $Er^{3+}$ concentration increases, the gain increases to the maximum value with the optimal  $Er^{3+}$  concentration and then decreases. Theoretically, when the pump power is sufficient, the higher the  $Er^{3+}$  concentration is, the greater the gain achieved and the higher the threshold power required. This is because the threshold pumping power represents the minimum pump power for the occurrence of the population inversion between the metastable energy level and the ground state energy level. For a constant pump power,  $Er^{3+}$  can be fully motivated with the optimal concentration, and the maximum gain is achieved. As the  $Er^{3+}$  concentration continues to increase, more pump power is desired, the existing pump power can't meet the demand of the threshold power, population inversion is not adequate, and the gain declines gradually. Excessive Er<sup>3+</sup> ion doping can lead to concentration quenching caused by agglomeration in the experiment [29], [31]. Consequently, the  $Er^{3+}$  concentration can't be excessively high. The optimal concentration is  $9.3 \times 10^{25}$ /m<sup>3</sup> on behalf of achieving a high gain. Ytterbium ions staggered around erbium ions codoped as a sensitizer can increase the effective concentration of  $\mathrm{Er}^{3+}$ and effectively prevent quenching. The Yb<sup>3+</sup> concentration has a substantial impact on the gain, and then the Yb<sup>3+</sup> concentration is optimized. The internal gain curves as a function of Yb<sup>3+</sup> concentration for different Er<sup>3+</sup> concentrations are shown in Fig. 6(d). From Fig. 6(d), as the  $Yb^{3+}$  concentration increases, the gain increases to a maximum and then decreases, the reason for which is similar to  $Er^{3+}$ . As the  $Er^{3+}$ concentration increases, the optimal concentration decreases. The optimal Yb<sup>3+</sup> concentration is  $8.6 \times 10^{26}$ /m<sup>3</sup>, when the pump power is 100 mW, the signal power is 0.1 mW, and



**FIGURE 6.** (a) Gain versus coordinate pump power at 980 nm for different overlapping factors. (b) Gain versus coordinate waveguide length for different pump power. (c) Gain as a function of  $Er^{3+}$  concentration for different signal power. (d) Gain as a function of  $Yb^{3+}$  concentration for different  $Er^{3+}$  concentration.

the  $Er^{3+}$  concentration is  $9.3 \times 10^{25}$ /m<sup>3</sup>. A maximum gain of 4.65 dB is demonstrated with an optimal waveguide length of 1.5 cm. Thus, a relative gain of 9.3 dB in two symmetric Y-junction branches can be achieved.

### **IV. ANALYSIS OF EO MODULATION PERFORMANCE**

With the integrated waveguide amplifier, the loss of the EO modulator can be compensated. For the EO waveguide, the core layer is formed with a guest-host EO material DR19/SU-8 synthesized by the means of a simple physical doping process. The chromophore DR19, as the guest material, was incorporated with the SU-8 material at 4.5% by weight. The SU-8 network is heavily cross-linked through thermal UV-curing, and then the guest chromophore DR19 is compromised, which provides high stability. Moreover, the selected host material SU-8 2005 is the same as the host material of the amplified material, which helps to avoid phase separation in the interconnection of the active-integrated waveguide. The EO coefficient *r* of DR19/SU-8 was approximately 19.6 pm V<sup>-1</sup>.

The performance of the EO modulator is closely related to the insertion loss of the device. The proposed polymeric active-integrated waveguide with loss compensation ameliorating the loss characteristics will affect the signal output intensity and thus impact the EO modulation performance of the device, which must be analyzed. The output field intensity  $I_{out}$  of the MZI-type EO modulator depends on the phase difference of the beams in the two MZI arms. The  $I_{out}$  can be defined as:

$$I_{out} = I_0(1 + \cos(\varphi_0 + \Delta \varphi)) \tag{3}$$

where  $I_0$  is the light intensity in each arm of the MZI and  $\varphi_0$  is the phase deviation arising from the optical path difference between the two MZI arms.  $\Delta \varphi$  is the phase difference due to the EO effect, which can be approximated by:

$$\Delta \varphi = -\frac{k \cdot r \cdot V \cdot L \cdot \Gamma \cdot n^3}{2\omega} \tag{4}$$

where k is the wavenumber, r is the EO coefficient, V is the applied modulating voltage, w is the electrode distance, L is the interaction length, and  $\Gamma$  is the overlap integral between the applied electric field and the optical mode. The interelectrode distance is 7.5  $\mu$ m. The overlap integral between the applied electric field and the optical mode is approximately 0.75.

Based on the above theoretical basis, a sinusoidal signal with 100 kHz is applied to the electrode, and the peak-to-peak value  $V_{p-p}$  is 5 V. The normalized output light intensity  $I_{out}$  of the active-integrated waveguide with and without loss compensation is analyzed, as shown in Fig. 7. When the core of the EO modulator is completely formed with the EO polymer (i.e., the EO modulator without loss compensation),  $I_{out,1}$  is calculated in Fig. 7 and shown as the black curve. When the input Y-junction, as the amplified waveguide, is integrated with the EO modulator, the normalized output light intensity



**FIGURE 7.** The normalized output light intensity of the device with and without loss compensation.

 $I_{out,2}$  is simulated, as shown in Fig. 7, as the blue curve. It can be seen that with the integrated amplified waveguide, the output response amplitude is increased. An optical gain of 4.65 dB can be obtained. Furthermore, when input and output Y-junctions, as the amplified waveguides, are both integrated with the EO modulator, the normalized output light intensity  $I_{out,3}$  is simulated, as shown in Fig. 7, as the red curve. The entire compensation process can be explained as follows. First, the input optical signal is compensated through the input Y-junction amplified waveguide. After modulating by the applied modulating voltage, the signal light continues to transmit along the waveguide, and the output signal proceeds with amplification as crossing over the output Y-junction amplified waveguide. The output light intensity is enhanced compared with Iout,2. Obviously, the output light intensity and the response amplitude of the EO modulator with the active-integrated waveguide are dramatically promoted due to the loss compensation on the basis of the simulated results. This is beneficial for improving the performance of the EO device. Moreover, the performance of the device can be further improved by introducing some gain materials with better performance and EO materials with larger EO coefficients [26], [32], [35], [36].

### **V. CONCLUSIONS**

In summary, an on-chip electro-optic modulator with loss compensation based on polymeric active-integrated waveguides is demonstrated. An integrated waveguide composed of an amplified waveguide formed by two symmetric Y-junction branches and an EO waveguide made of two decoupled waveguide arms is presented. The erbium-doped waveguide amplifier is integrated with the EO modulator to compensate for signal loss. The dimensions of the active-integrated waveguide are designed. The gain and EO modulation characteristics are numerically simulated. The simulation results show that the output light intensity and the response amplitude of the EO modulator with the active-integrated waveguide are dramatically promoted due to loss compensation. This is beneficial for improving the performance of the EO device. The proposed polymeric active-integrated waveguide structure with the simple processing is a good candidate for integrated optical waveguide devices in optical telecommunication network systems.

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