

Generic SAO Similarity Measure via Extended Sørensen-Dice Index

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ABSTRACT As an essential component of many Natural Language Processing applications, semantic similarity measure has been studied for decades. Recent research results indicate that the Subject-Action-Object (SAO) structure in sentences is more desirable for describing the technological information, and SAO-based similarity measure outperforms classical text-based ones. The typical approach in the literature to finding the similarity between two SAO structures relies on a term matching technique, which produces the similarity score by the Sørensen-Dice index, i.e., the proportion of the total number of matching terms. However, in this paper, we observe that the entities in the SAO structures usually have a small number of terms, which makes the currently acknowledged methods have a high recurrence rate and poor accuracy. To settle this issue, we extend the Sørensen-Dice index, and present a new unified framework for the SAO similarity measure that can give a higher discrimination. The effectiveness of our measure is evaluated on the basis of patent data sets in the Nano-Fertilizer field. The results show that our measure can significantly improve the accuracy than the currently acknowledged ones. The proposed measure has an excellent flexibility and robustness, and can be easily used for patent similarity measure. In addition, the extended Sørensen-Dice index is of independent interest, and has potential applications for other similarity measures.

INDEX TERMS Similarity measurement, Sørensen-Dice index, semantic information, Subject-Action-Object, computational linguistics.

I. INTRODUCTION

Semantic similarity analysis is an indispensable module for applications in natural language processing (NLP) and related areas [1], such as text mining [2], information retrieval [3], machine learning [4], [5], and patent analysis [6], [7]. The measure of semantic similarity can be defined as a metric assessing the degree to which two texts are similar to each other in terms of meaning. According to the measuring object, we can group the semantic similarity measures into three categories, the similarity between words/terms, the similarity between sentences, and the similarity between documents/paragraphs. The typical approach to finding the similarity between two text segments is to use a simple matching method (e.g., Sørensen-Dice index [8], [9]), and produce a similarity score based on

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the number of units that occur in both input segments [10]. Although such a method has been improved by considering stop-words removal [11], part-of-speech tagging [12], syntactic (word order) information [13], [14], and as well as various weighting and normalization factors [15], measuring sentence similarity [14], [16]–[20] is still challenging due to the ambiguity and variability of linguistic expression.

In linguistic typology, Subject-Action-Object (SAO) is a triple syntactic structure extracted from sentences. The subject entity and object entity are terms or phrases, which are connected by the action entity that is usually verbs. SAO is also denoted by SPO (Subject-Predicate-Object) [21] or SVO (Subject-Verb-Object) [22] in the literature. Owing to the rapid development of the NLP techniques, SAO structure can be efficiently identified, and used to express the semantic information of sentence [23]. Recently, based on the analyses of the SAO structures, a lot of new text-mining approaches are proposed [24]–[26], and widely used in patent analysis and technological evolution analysis [27].

As the case of measuring the sentences similarity, the typical method of detecting the similarity between two SAO structures is to use the Sørensen-Dice index, and evaluate the proportion of the total number of matching terms that appear in both SAO structures. Here, two terms are said to be matching if their semantic similarity score exceeds some fixed threshold. That is, the underlying term-vs-term similarity scores are compressed into two levels. Such a method is effective, and has been used for patent infringement identification [28]–[31], technological trend identification [25], [32]–[36], strategic technology planning [6], [37], document mapping [38], and etc.

However, as shown by Wang et al. [7], the performance of this commonly used method is far from desirable due to the relatively high recurrence rate and poor discrimination. Such a situation is caused by the fact that the number of terms (words or phrases) in the SAO structures is small. In general, in order to improve the efficiency, the collected data needs to be preprocessed, e.g., stop-words removal and transformations from complex sentence to simple sentence. Sometimes, one sentence can be dismembered and recombined into several SAO structures. In our experiment, we find that the action entity is usually just one verb, and the subject entity and object entity rarely has more than five words. In order to better demonstrate the causality, we consider following extreme situation, where all the entities in the SAO structures have just one word. Thus, according to the aforementioned method, the similarity score between the corresponding entities (including subject entity, action entity, and object entity) in SAO structures is just 0 (unmatched) or 1 (matched). We note that the overall similarity score between two SAO structures is calculated by averaging the similarity scores between corresponding entities. Then, the final similarity score can only be one of four discrete values, i.e., 0, 1/3, 2/3, and 1. Apparently, for such a situation, the typical similarity measure in the literature must lead to a relatively high recurrence rate and poor discrimination.

A. OUR CONTRIBUTION

In this paper, we revisit the measure of similarity between two SAO structures.

• We observe that the currently acknowledged Sørensen-Dice index is not desirable for the case where the number of terms is small. To address this issue, we extend the Sørensen-Dice index by reducing the information loss of underlying term-vs-term similarity. In particular, the acknowledged Sørensen-Dice index can just support two-levels compression, while our extended one can support arbitrary levels compression. Based on the extended Sørensen-Dice index, we presented a unified framework for the SAO similarity measure in a modular way, which can give a higher discrimination. • The experiments are conducted based on the patent data sets in the Nano-Fertilizer field. The results show that our extended Sørensen-Dice index can dramatically reduce the recurrence rate, and our proposed SAO similarity measure can significantly improve the accuracy and F-measure compared with the acknowledged one. The application of our SAO similarity measure to the patent similarity analysis is also demonstrated.

B. ORGANIZATION

Sec. II introduce the related works. Our extended Sørensen-Dice index is shown by Sec. III. The unified framework for SAO similarity measure is given in Sec. IV. The experiment and evaluation are presented by Sec. V. In Sec. VI, we conclude our work and discuss the potential application of our proposed method.

II. RELATED WORKS

A. WORD SEMANTIC SIMILARITY

The metrics of semantic similarity between words are mainly grouped into two categories [10]. One is corpus-based measures that determine the semantic similarity using the information exclusively gained from a large corpus, a collection of written or spoken material assembled for the purpose of studying linguistic structures, frequencies, etc. Among the corpus-based measures, word relationships are derived analyzing the co-occurrence distribution in a corpus, e.g., latent semantic analysis [39] and PMI-IR algorithm [40], turning words (or terms) as high-dimensional vectors by wikipedia-based technique, e.g., Explicit Semantic Analysis [41], and using the web and search engine, e.g., Google Distance [42].

The other is knowledge-based measures, which quantify the degree of semantic similarity using information drawn from semantic network. There are several well-known measures with relatively high computational efficiency, e.g., Leacock and Chodorow [43], Wu and Palmer [44], Resnik [45], Jiang and Conrath [46] and Lin [47]. In particular, Leacock-Chodorow and Wu-Palmer are based on path and depth in the taxonomy, while Resnik, Jiang-Conrath and Lin are based on information content. A short description of these measures can be found in Sec. IV-A.

B. SENTENCE SEMANTIC SIMILARITY

Measures for detecting semantic similarity between two sentences usually utilize linguistic knowledge such as semantic relations between words and their syntactic composition. Mandreoli *et al.* [13] propose a method based on a purely syntactic approach for searching similarities within sentences. The semantic measure, given by Mihalcea *et al.* [10], combines word semantic similarity scores with word specificity scores, but the syntax structure of sentences is ignored. Li *et al.* [14] present an algorithm that takes account of semantic information and word order information. The semantic similarity of two sentences is calculated using information from a structured lexical database and from corpus statistics. Based on dynamic time warping, Liu *et al.* [48] propose a similarity measure that takes into account the semantic information, word order and the contribution of different parts of speech in a sentence. Quan *et al.* [19] combine syntactic information, semantic features, and attention weight mechanism together, and propose an efficient framework for sentence similarity.

C. SAO SEMANTIC SIMILARITY

SAO is a syntactic structure that expresses the semantic relationship between things, i.e., how the entity subject (S) of a sentence relates to the entity object (O) of a sentence through an entity action (A) [7]. Subjects can represent "solutions", actions can represent either the "effect" or the "influence" of the solution, and objects can represent the "invention problem" [49].

SAO structures can be efficiently identified and extracted using the method given by [23]. In particular, Yang *et al.* [23] introduce term clumping, and design a co-word algorithm (considering the co-occurrence with keywords) to identify SAO core components. Based on syntax-tree, they construct a hierarchical SAO extraction model, and perform the SAO cleaning and consolidation function.

Using the SAO structures to exploit the technological content of patents has significant advantages over traditional patent features [7], [50]. Hence, there is an increasing interest in studying the SAO semantic similarity metric, which has been widely used for various patent analyses, e.g., patent infringement identification [28]–[31].

Currently, the SAO-vs-SAO similarity is measured by first evaluating the entity-vs-entity similarity with the Sørensen-Dice index, and then calculating the final similarity score using weighted average. Such a method is acknowledged and widely used in [6], [25], [28]–[38]. However, as we observe in Sec. III, the entities in the SAO structures has small number of terms, which will lead to the fact that the current acknowledged measure has a high recurrence rate and poor discrimination.

D. PATENT SIMILARITY ANALYSIS

The research on analyzing patent similarity has a long history. The similarity measures can be divided into three categories, co-classification analysis, citation analysis, and keyword-based analysis. The co-classification analysis [51] relies on the patent classification codes, e.g., IPC codes, and does not involve the content information of a patent. Citation analysis relies on a patent citation network [52]. Keyword-based analysis is the most widely used method for measuring patent similarity, please refer to [53], [54]. In particular, text matching is used to measuring the technological similarity between patents [54]. SAO-based analysis is an extension of the keyword-based analysis that involves the relationships between entities. Various methodologies including co-word analysis, SAO structures, bibliographic coupling, co-citation analysis, and self-citation links are compared by [38]. The results show that the two former ones tend to describe rather semantic similarities that differ from knowledge flows as expressed by the citation-based methodologies.

III. EXTENDED SØRENSEN-DICE INDEX

A. SØRENSEN-DICE INDEX

The Sørensen-Dice index that is independently proposed by Dice [8] and Sørensen [9], is a statistic used to gauge the similarity of two samples. Originally, this index was intended for discrete data. Given two sets, X and Y, the original Sørensen-Dice index is defined as

$$SD_{Original} = \frac{2|X \cap Y|}{|X| + |Y|}$$
(1)

where |X| (|Y|, resp.) is the cardinality of the set X (Y, resp.), i.e., the number of elements in the set. That is, the Sørensen-Dice index is equal to twice the ratio of the number of elements appearing in both sets to the sum of the number of elements in each set. We remark that in the context the sets will be instantiated by entities in SAO structure, and the elements will be instantiated by terms or words accordingly.

B. ACKNOWLEDGED SØRENSEN-DICE INDEX FOR SAO STRUCTURES

When measuring the semantic similarity between two SAO structures, direct adoption of the original Sørensen-Dice index as the metric will ignore the semantic relations between words, and result into universally low scores, poor discrimination and accuracy. This is due to the inherent flexibility of natural language enabling to express similar meanings using quite different sentences in terms of structure and word content. Thus, the SAO semantic similarity is usually measured by the following acknowledged Sørensen-Dice index exploiting the information of the underlying semantic similarity among elements in sets [7].

Given two sets $X = \{x_1, \ldots, x_m\}$ and $Y = \{y_1, \ldots, y_n\}$, and the similarity scores $Sim(x_i, y_i)$ between x_i and y_j ($0 \le Sim(x_i, y_i) \le 1$), where $i \in \{1, \ldots, m\}$ and $y \in \{1, \ldots, n\}$, the widely used Sørensen-Dice index for SAO structures is defined by¹

$$SD_{Acknowledged} = \frac{2\sum_{k=1}^{\min(m,n)} F(x_k, y_k)}{|X| + |Y|}$$
(2)

where the matching function $F(x_k, y_k)$ indicates two terms x_k and y_k are matching or not, and $\sum_{k=1}^{\min(m,n)} F(x_k, y_k)$ essentially counts the number of the matching terms between *X* and *Y*. In detail, $F(x_k, y_k)$ is given by

$$F(x_k, y_k) = \begin{cases} 1 & \text{if } R \le Sim(x_k, y_k) \le 1 \\ 0 & \text{if } 0 \le Sim(x_k, y_k) < R \end{cases}$$
(3)

¹In Sec. III, we assume the elements in sets are well ordered.

Remark: We note that above acknowledged index (2) is essentially the generalization of the original Sørensen-Dice index (1). In particular, $|X \cap Y|$ in (2) can also be interpreted as the number of the matching terms, i.e., $\sum_{k=1}^{\min(m,n)} F(x_k, y_k)$, where $F(x_k, y_k)$ is equal to 1 if $x_k = y_k$, and 0 otherwise.

C. OUR EXTENDED SØRENSEN-DICE INDEX FOR SAO STRUCTURES

We remark that the acknowledged Sørensen-Dice index in (2) is not desirable for the sets with small amount of elements. For example, assume the set X has just single element, i.e., |X| = 1. Then, according to (2), the similarity score between X and Y can only be either 0 or 2/(|X| + |Y|). Thus, such a semantic similarity measure has a quite lower discrimination.

The entities of SAO structure extracted from sentences, e.g., in the patent text, usually have small amount of terms. In particular, most of the "Action" entities have only single terms. This might be the key reason why the current widely used SAO similarity measure brings a relatively high recurrence rate, and poor accuracy.

We note that the acknowledged Sørensen-Dice index essentially gives a conversion from the term-vs-term (or element-vs-element) similarity to the entity-vs-entity (setvs-set) similarity. However, the information loss during the conversion is very high, which is the key reason for the lower discrimination. In (3), the domain and codomain of the matching function are [0, 1] and $\{0, 1\}$, respectively. That is, the original term-vs-term similarity is compressed into two levels, 0 (unmatched) and 1 (matched). In the view of information theory, the entropy is also decreasing heavily. For example, assume the original term-vs-term similarity with precision 0.01 obeys the uniform distribution over the discrete set $\{0.01 * [(100 * x)] : x \in [0, 1]\}$, with Shannon entropy log 101 \approx 6.66. Let the threshold value R be 0.5. Thus, the value of the matching function obeys the uniform distribution over $\{0, 1\}$, with Shannon entropy $\log(2) = 1$. That is, roughly speaking, a lot of information is compressed using the current matching function.

To solve this, we extend the Sørensen-Dice index by modifying the matching function to make support multiple-level compression and reduce the information loss. Given $R_0 = 0 < R_1 < R_2 < \ldots < R_t = 1$, the modified matching function can be defined by

$$\widetilde{F}(x_k, y_k) = \begin{cases} w_1 & \text{if } R_0 \le \operatorname{Sim}(x_k, y_k) < R_1 \\ w_2 & \text{if } R_1 \le \operatorname{Sim}(x_k, y_k) < R_2 \\ \vdots \\ w_t & \text{if } R_{t-1} \le \operatorname{Sim}(x_k, y_k) \le R_t \end{cases}$$
(4)

Then, accordingly, our extended Sørensen-Dice index will be

$$SD_{Our} = \frac{2\sum_{k=1}^{\min(m,n)} \widetilde{F}(x_k, y_k)}{|X| + |Y|}$$
(5)

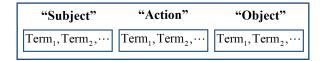


FIGURE 1. SAO structure with entities "Subject", "Action", and "Object".

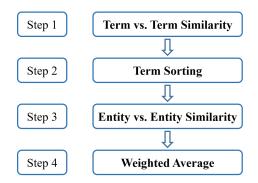


FIGURE 2. Overall procedure for measuring the similarity between two SAO structures.

1) FLEXIBILITY

Essentially, the modified matching function divides the interval [0, 1] into t subintervals and assigns fixed weights accessing the matching degree for these subintervals. We note that if we set t = 2, $w_1 = 0$ and $w_t = 1$, then our extended Sørensen-Dice index will be totally the same as the acknowledged one in Sec. III-B. For the aforementioned example with uniform distribution, the Shannon entropy will be $\log t$. If we choose $t \ge 3$, apparently, the information loss will be reduced.

2) ROBUSTNESS

One may argue that if we directly choose the termvs-term similarity score $Sim(x_k, y_k)$ as the matching function $\tilde{F}(x_k, y_k)$, there will be no information loss for the matching function. However, we note that the underlying term-vs-term semantic similarity score is usually not precise enough, due to incomplete corpus. In fact, there exists even no domain thesaurus for some frontier field, which results in that many excellent word-vs-word semantic similarity measure will not work. As we have pointed, our extended matching function is essentially a compressing function. Thus, with this function, some noises (errors) existing in the underlying term-vs-term similarity score can be eliminated (corrected). We also remark that the Sørensen-Dice index is sometimes not the final similarity score, e.g., as an intermedium for our SAO similarity measure. Thus, eliminating noises in time can avoid error accumulation. Thus, our extended Sørensen-Dice index can also help improve the robustness of similarity measure systems.

IV. A UNIFIED FRAMEWORK FOR SAO SIMILARITY MEASURE

In this section, using the extended Sørensen-Dice index presented in Sec. III, we give a unified framework for SAO similarity measure. A SAO structure consists of three entities including "Subject", "Action", and "Object", see Fig. 1. Every entity is composed of several terms, which refer to words or phrases.

Given two SAO structures, we can quantify the degree of similarity by four steps, see Fig. 2. First, we calculate the term-vs-term similarity. Next, using the term-vs-term similarity scores, we reorder the terms in the entities. Then, with the extended Sørensen-Dice index, we can calculate the entity-vs-entity similarity scores. Finally, the SAOvs-SAO similarity can be measured by a weighted average method.

A. TERM-VS-TERM SIMILARITY

The semantic similarity between terms/words has been well studied, and there are a relatively large number of metrics that have been proposed in the literature [1], [10], [55]. Below, we present five measures that have excellent performance and relatively high computational efficiency in NLP application. We remark that although we just select following five term-vs-term measures to test the effectiveness of our methods, the other term-vs-term measures can also work well with this framework.

We note that most term-vs-term similarity measures are defined for concepts,² but they can be easily turned into a word-to-word similarity metric by selecting for any given pair of words those two meanings that lead to the highest conceptvs-concept similarity [10]. In the following, we give a short description for each of these five metrics. These metrics use the WordNet [56] as a knowledge source. WordNet³ is a large lexical database for English, where Nouns, verbs, adjectives and adverbs are grouped into sets of cognitive synonyms (synsets), each expressing a distinct concept. Synsets are interlinked by means of conceptual-semantic and lexical relations. Let c_1 and c_2 be two concepts.

Leacock and Chodorow [43]: This measure of Leacock-Chodorow Similarity is in basis of the shortest path that connects the concepts and the maximum depth of the taxonomy in which the concepts occur. The similarity is quantified by

$$\operatorname{Sim}_{lch}(c_1, c_2) = -\log \frac{\operatorname{length}(c_1, c_2)}{2D} \tag{6}$$

where *length* is the length of the shortest path between two concepts using node-counting, and *D* is the maximum depth of the taxonomy.

Wu and Palmer [44]: The Wu-Palmer Similarity is based on the depth of the two concepts in the taxonomy and that of their Least Common Subsumer (LCS, most specific ancestor node). The similarity score is given by

$$\operatorname{Sim}_{wup}(c_1, c_2) = \frac{2 \times depth(\operatorname{LCS})}{depth(c_1) + depth(c_2)}$$
(7)

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Resnik [45]: The Resnik similarity is based on the information content of the LCS. The similarity is identified by

$$\operatorname{Sim}_{res}(c_1, c_2) = -\log \Pr\left[\operatorname{LCS}\right]$$
(8)

where Pr[c] is the probability of encountering an instance of concept c in a large corpus.

Jiang and Conrath [46]: The Jiang-Conrath Similarity is based on the information content of the LCS and that of the two input Synsets. The similarity score is given by

$$\operatorname{Sim}_{jcn}(c_1, c_2) = \frac{1}{2 \log \Pr[\operatorname{LCS}] - \log \left(\Pr[c_1] \cdot \Pr[c_2]\right)} \quad (9)$$

Lin [47]: The Lin Similarity is based on the same elements as the Jiang-Conrath Similarity. The similarity score is given by

$$\operatorname{Sim}_{lin}(c_1, c_2) = \frac{2 \log \Pr[\operatorname{LCS}]}{\log \left(\Pr[c_1] \cdot \Pr[c_2]\right)}$$
(10)

Remark: We note that the ranges of the similarity scores for measures Leacock-Chodorow, Resnik and Jiang-Conrath are not [0, 1]. We use the following normalization method suggested by [57] to make the ranges between 0 and 1,

$$\operatorname{Sim}_{norm}(c_1, c_2) = \frac{\operatorname{Sim}(c_1, c_2)}{\operatorname{Sim}(c_1, c_1) * \operatorname{Sim}(c_2, c_2)}$$

B. TERM SORTING

Before evaluating the degree of similarity between entities, we need to adjust the order of terms in entities for the subsequent term matching. The goal of term sorting is to achieve a globally optimal term matching, i.e., the sum of the term-vs-term similarity scores between the corresponding terms with the same position in entities is maximum.

Let $E_1 = {\text{Term}_1^1, \dots, \text{Term}_m^1} (E_2 = {\text{Term}_1^2, \dots, \text{Term}_n^2}$, resp.) be an entity with m (n, resp.) terms. Without loss of generality, we assume $m \ge n$. Then, mathematically, we need to search for a permutation of $\{1, \dots, n\}$ such that the following objective function reaches the maximum value,

$$f(E_1, E_2) = \sum_{i=1}^{n} \operatorname{Sim}(\operatorname{Term}_i^1, \operatorname{Term}_i^2),$$

where $\text{Sim}(\text{Term}_i^1, \text{Term}_i^2)$ is the similarity score between Term_i^1 and Term_i^2 obtained by Sec. IV-A.

We note that this problem can be considered as a combinatorial optimization problem of finding the maximum-weight matching in the weighted bipartite graphs, for which many algorithms have been proposed, e.g., the Hungarian method [58]. In this paper, considering the number of terms in entities is not large, we will adopt an efficient greedy algorithm to solve this problem, which gives a nearly optimal solution. The algorithm is illustrated by Algorithm 1.

²Concept in this paper refers to a particular sense of a given word.

 $^{^3\}mbox{More}$ details about WordNet can be found at https://wordnet.princeton. edu/.

Algorithm 1: Greedy Algorithm for Ter	rm Sorting
Input : Entities E_1 and E_2	
Output : E_1 and E_2 with updated term	order
1 $m := length(E_1), n := length(E_2);$	
2 for $k \leftarrow 1$ to min (m, n) do	
3 $max_{temp} := -1;$	
/*Search for the <i>k</i> -th maximum ma	atching */
4 for $i \leftarrow k$ to m do	
5 for $j \leftarrow k$ to n do	2
$6 \qquad sim_{temp} = Sim(Term_i^1, Term_i^2)$	n_{j}^{2});
7 if $sim_{temp} > max_{temp}$ then	
8 $flag_i := i;$	
$ \begin{array}{c c} 8 \\ 9 \\ 10 \end{array} \qquad \begin{array}{c} flag_i := i; \\ flag_j := j; \\ max_{temp} := sim_{temp}; \end{array} $	
10 $\max_{temp} := sim_{temp};$	
/*Reorder the terms in E_1 and E_2	*/
/*Swap Term ¹ _k with Term ¹ _{flagi}	*/
11 Temp := Term_k^1 ;	
12 Term ¹ _k := Term ¹ _{flag_i} ;	
13 $\operatorname{Term}_{flag_i}^1 := \operatorname{Temp};$	
/*Swap Term ² _k with Term ² _{flag_i}	*/
14 Temp := Term_k^2 ;	
15 $\operatorname{Term}_{k}^{2} := \operatorname{Term}_{flag_{j}}^{2};$	
16 Term ² _{flag_j} := Temp;	

C. ENTITY-VS-ENTITY SIMILARITY

After sorting the terms in entities, we can quantify the degree of similarity between entities by using our extended Sørensen-Dice index in Sec. III-C.

Specifically, the similarity score between two entities E_1 and E_2 is calculated by

$$\operatorname{Sim}(E_1, E_2) = \frac{2\sum_{k=1}^{\min(m,n)} \widetilde{\mathsf{F}}(\operatorname{Term}_k^1, \operatorname{Term}_k^2)}{m+n} \qquad (11)$$

where the matching function \tilde{F} is given by (4).

D. WEIGHTED AVERAGE

Finally, starting from the similarity between entities, we can identify the degree of similarity between two SAO structures with weighted average.

Let SAO_i ($i \in \{1, 2\}$) be a SAO structure with entities S_i ("Subject"), A_i ("Action"), and O_i ("Object"). Note that subjects and objects are nouns, actions are verbs. Thus, A_1 can only match A_2 , S_1 (O_1) can match S_2 or O_2 . With weighted average, the similarity score between SAO₁ and SAO₂ can be evaluated by

$$Sim(SAO_1, SAO_2) = max(Comb_1, Comb_2)$$
 (12)

where

$$Comb_1 = \alpha_1 Sim(S_1, S_1) + \alpha_2 Sim(A_1, A_2) + \alpha_3 Sim(O_1, O_2),$$

$$Comb_2 = \alpha_1 Sim(S_1, O_1) + \alpha_2 Sim(A_1, A_2) + \alpha_3 Sim(O_1, S_2),$$

TABLE 1. The patents used in the experiments.

No.	Patent number	No.	Patent number	No.	Patent number
1	CN108046929A	16	CN108358700A	31	CN108484329A
2	CN108101666A	17	CN108358703A	32	CN108503043A
3	CN108142059A	18	CN108358710A	33	CN108503429A
4	CN108147925A	19	CN108424225A	34	CN108516884A
5	CN108191520A	20	CN108424300A	35	CN108530160A
6	CN108249989A	21	CN108440162A	36	CN108530202A
7	CN108264422A	22	CN108440206A	37	CN108530210A
8	CN108285400A	23	CN108440210A	38	CN108530212A
9	CN108314486A	24	CN108456062A	39	CN108546161A
10	CN108314540A	25	CN108456063A	40	CN108558504A
11	CN108314541A	26	CN108456083A	41	CN108558512A
12	CN108314556A	27	CN108456115A	42	CN108558515A
13	CN108329088A	28	CN108484295A	43	CN108558524A
14	CN108329151A	29	CN108484298A	44	CN108586082A
15	CN108341706A	30	CN108484309A	45	CN108623399A

and α_1 , α_2 and α_3 are non-negative weight coefficients such that $\alpha_1 + \alpha_2 + \alpha_3 = 1$.

Remark: We note that Verb (or Action) is usually a single word, Subject and Object are usually a noun-phrase. But, the most-right noun in a noun-phrase can generally represent the noun-phrase. Thus, for most cases, our method can be simplified by removing step 1 and step 2. But, in some cases (e.g., ecological fertilizer vs. composite fertilizer), the left adjective plays a more important role in evaluating the similarity between two noun-phrases. This paper focuses on a unified and generic framework for SAO similarity measure that can apply more complicated cases. Therefore, the step 1 and step 2 are necessary.

V. EVALUATION AND RESULTS

To evaluate the effectiveness of our semantic similarity measure, we perform several experiments using the computer with Intel(R) Core(TM) i5-4210U processor 4GHz and 8GHz RAM. The programming language used is Python2.7. The knowledge source WordNet used in the term-vs-term similarity measures is loaded by NLTK (Natural Language Toolkit).

A. DATA COLLECTION AND PREPROCESSING

As one of the most important and effective ways to protect technological achievements, patent documents contain a lot of new scientific and technological information. As we have showed in the introduction, the measure of semantic similarity between the SAO structures is widely used in patent analysis. Therefore, in our experiments, we choose the patent documents as data sets. In particular, we downloaded 45 patent documents in the Nano-Fertilizer field published in 2018 from the Derwent Innovation Index patent database, where Nano-Fertilizer is a new fertilizer constructed by nano material and pharmaceutical microencapsulation technology, and has a landmark application in agriculture [59]. The patent numbers are given by Table 1.

We remark that the SAO structures can be extracted from any description in textual format including title, abstract, claims, and description sections of a patent document. But, in this paper, considering the title and the abstract are precise and have been regarded as the most meaningful part in a patent document, we follow prior works, e.g., [7], and just extract SAO structures from the title and abstract. The SAO extractor is designed by following a standard procedure, as given by [7]. For the sentences in the abstract, we perform a syntactic analysis using the Stanford parser, and every entities in the SAO structure are elaborately determined. Thus, 1126 SAO structures are collected from the 45 patents in Table 1. Finally, we clean the SAO structures by removing meaningless stop words, extraneous parts of speech, etc.

B. THE SEMANTIC SIMILARITY MEASURES BETWEEN THE SAO STRUCTURES

1) TERM-VS-TERM SIMILARITY

In the experiments, the term-vs-term similarity measures presented in IV-A, including Leacock-Chodorow, Wu-Palmer, Resnik, Jiang-Conrath, and Lin, can be directly implemented using the NLTK WordNet. Note that these five measures are used to quantify the degree of simialrity from different aspects. The Leacock-Chodorow and Wu-Palmer similarity measures are based on the path and depth in the taxonomy, while the Resnik, Jiang-Conrath, and Lin similarity measures are based on an information content dictionary from the WordNet corpus. Except Wu-Palmer, the other four similarity measures require the concepts having the same part of speech (POS). The Resnik, Jiang-Conrath, and Lin similarity measures can not apply to the concepts with the *adjective* and adverb POS. The experiments in [10] show that the best performance can be achieved by combing these measures with a simple average. Therefore, in this paper, we take the average of similarity scores obtained using above five measures as the final scores indicating the similarity between terms.

2) ENTITY-VS-ENTITY SIMILARITY

To show the effectiveness of our extended Sørensen-Dice index, we perform similarity measures for 101 entities randomly selected from the 1126 SAO structures, please refer to Table 6 in the Appendix. In particular, we take the first entity as the target entity, and the remaining as the entities to be compared. That is, the similarity will be evaluated among 100 pairs of entities.

For simplicity, in our extended Sørensen-Dice index, we set $R_i - R_{i-1} = \frac{1}{t}$ $(i \in \{1, ..., t\})$, i.e., the internal [0, 1] is divided into t subintervals with equal length indicating different levels. The weight w_i corresponding to the *i*-th subintervals is set to be $\frac{R_{i-1}+R_i}{2}$. Our method for entity-vs-entity similarity is implemented with level t = 3, 4, 5, 10, 20, 30, 40, 50, 100. For a comprehensive comparison, we also conduct the acknowledged similarity measure in (2) with threshold R =0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9. The recurrence rate is calculated by

$$P_{recurr} = \frac{N - N_{diff}}{N} \tag{13}$$

TABLE 2. The recurrence rate comparison for the entity-vs-entity similarity.

(Our meth	od	Acknowledged method									
Level t	N_{diff}	P_{recurr}	Threshold R	N_{diff}	P_{recurr}							
3	21	0.79	0.1	8	0.92							
4	24	0.76	0.2	9	0.91							
5	32	0.68	0.3	11	0.89							
10	38	0.62	0.4	11	0.89							
20	43	0.57	0.5	10	0.90							
30	46	0.54	0.6	8	0.92							
40	50	0.50	0.7	7	0.93							
50	51	0.49	0.8	8	0.92							
100	60	0.40	0.9	8	0.92							

where N is the total experiment number, and N_{diff} is the number of different similarity scores.

The recurrence rate comparisons between our method and the acknowledged method for the entity-vs-entity similarity are given by Table 2. We can find that the recurrence rate is significantly reduced with our method. This is consistent with our theoretical analysis in Sec. III, which shows that our extended Sørensen-Dice index can reduce the loss of the underlying term-vs-term similarity information, and further reduce the recurrence rate. We remark that the concrete value of recurrence rate is also highly influenced by the total experiment number N. If the similarity score has a precision of two decimal figures, then the recurrence rate is at least $\frac{N-100}{N}$ when N > 100. Thus, the recurrence rate can not be very low, e.g., approximately approaching 0. From Table 2, we can see that even though we set the level to be 100, corresponding the precision 0.01, the recurrence rate is still 0.4. We also remark that the lower recurrence rates do not always increase the accuracy, please see Sec. V-B3 for details.

To further reveal the relationship between our method with different levels and the acknowledged method with different thresholds, we calculate the Pearson correlation factor among all the obtained similarity scores. As shown by Table 3, the Pearson correlation factors among different levels from t = 3 to t = 100 for our method is at least 0.964. In particular, for the levels from t = 5 to t = 100, the Pearson correlation factors can reach at least 0.991. For the levels $t \ge 20$, the Pearson correlation factors can reach maximum 1. That is, our extended Sørensen-Dice index with more levels makes no sense since they essentially give the same similarity metric. Fig. 3 shows the entity-vs-entity similarity scores using our method with levels t = 5, 20 and 100.

From Table 3, we can see the similarity scores using the acknowledged method with different thresholds have some certain positive correlation, although lower than our method with different levels. The lowest Pearson correlation factor is 0.443 between thresholds R = 0.1 and R = 0.6. While the maximum Pearson correlation factor is 1 between thresholds R = 0.8 and R = 0.9. That is, the acknowledged

TABLE 3. Pearson correlation factor among the entity-vs-entity similarity scores using our method with different levels and the acknowledged method with different thresholds.

	<i>R</i> =0.1	<i>R</i> =0.2	<i>R</i> =0.3	<i>R</i> =0.4	<i>R</i> =0.5	<i>R</i> =0.6	<i>R</i> =0.7	<i>R</i> =0.8	<i>R</i> =0.9	<i>t</i> =3	<i>t</i> = 4	<i>t</i> =5	<i>t</i> =10	<i>t</i> =20	<i>t</i> =30	<i>t</i> = 40	<i>t</i> =50	<i>t</i> =100
<i>R</i> =0.1	1.000	0.938	0.780	0.637	0.535	0.443	0.438	0.462	0.462	0.682	0.737	0.739	0.768	0.780	0.782	0.785	0.784	0.786
R=0.2	0.938	1.000	0.804	0.654	0.532	0.480	0.473	0.492	0.492	0.690	0.753	0.769	0.784	0.793	0.795	0.799	0.798	0.799
R=0.3	0.780	0.804	1.000	0.782	0.658	0.592	0.587	0.599	0.599	0.837	0.819	0.809	0.847	0.848	0.851	0.851	0.850	0.852
R=0.4	0.637	0.654	0.782	1.000	0.842	0.786	0.781	0.788	0.788	0.912	0.890	0.931	0.916	0.917	0.917	0.917	0.917	0.917
R=0.5	0.535	0.532	0.658	0.842	1.000	0.898	0.884	0.853	0.853	0.864	0.907	0.880	0.894	0.883	0.884	0.881	0.881	0.881
R=0.6	0.443	0.480	0.592	0.786	0.898	1.000	0.982	0.937	0.937	0.875	0.877	0.890	0.883	0.874	0.871	0.869	0.870	0.868
R=0.7	0.438	0.473	0.587	0.781	0.884	0.982	1.000	0.948	0.948	0.882	0.875	0.886	0.881	0.873	0.868	0.867	0.868	0.866
R=0.8	0.462	0.492	0.599	0.788	0.853	0.937	0.948	1.000	1.000		0.889	0.896	0.886	0.883	0.880	0.879	0.879	0.878
R=0.9	0.462	0.492	0.599	0.788	0.853	0.937	0.948	1.000	1.000	0.872	0.889	0.896	0.886	0.883	0.880	0.879	0.879	0.878
t=3	0.682	0.690	0.837	0.912	0.864	0.875	0.882	0.872	0.872	1.000	0.958	0.966	0.968	0.967	0.966	0.965	0.965	0.964
t=4	0.737	0.753	0.819	0.890	0.907	0.877	0.875	0.889	0.889	0.958	1.000	0.979	0.986	0.985	0.984	0.984	0.983	0.983
<i>t</i> =5	0.739	0.769	0.809	0.931	0.880	0.890	0.886	0.896	0.896	0.966	0.979	1.000	0.993	0.992	0.991	0.991	0.991	0.991
t=10	0.768	0.784	0.847	0.916	0.894	0.883	0.881	0.886	0.886	0.968	0.986	0.993	1.000	0.999	0.998	0.998	0.998	0.998
t = 20	0.780	0.793	0.848	0.917	0.883	0.874	0.873	0.883	0.883	0.967	0.985	0.992	0.999	1.000	1.000	1.000	1.000	1.000
t=30	0.782	0.795	0.851	0.917	0.884	0.871	0.868	0.880	0.880	0.966	0.984	0.991	0.998	1.000	1.000	1.000	1.000	1.000
t=40	0.785	0.799	0.851	0.917	0.881	0.869	0.867	0.879	0.879	0.965	0.984	0.991	0.998	1.000	1.000	1.000	1.000	1.000
t=50	0.784	0.798	0.850	0.917	0.881	0.870	0.868	0.879	0.879	0.965	0.983	0.991	0.998	1.000	1.000	1.000	1.000	1.000
t=100	0.786	0.799	0.852	0.917	0.881	0.868	0.866	0.878	0.878	0.964	0.983	0.991	0.998	1.000	1.000	1.000	1.000	1.000

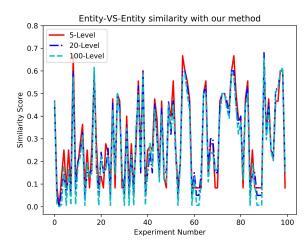


FIGURE 3. Entity-vs-entity similarity with our method.

method with thresholds R = 0.8 and R = 0.9 can essentially give the same similarity scores. Overall, the acknowledged method is more sensitive to the parameter change than our method. Thus, our method has a better robustness. Fig. 4 shows the entity-vs-entity similarity scores using the acknowledged method with thresholds R = 0.1, 0.4 and 0.9.

Table 3 also shows the Person correlation factors between our method with differ levels and the acknowledged method with different thresholds, please see the bottom left or top right of the table. We can see that the minimum is 0.682 and the maximum is 0.917. Thus, generally, our method is positively correlated with the acknowledged method. This is because that our extended Sørensen-Dice index is essentially the generalization of the acknowledged one, which can be seen as the our method with two levels, i.e., t = 2.

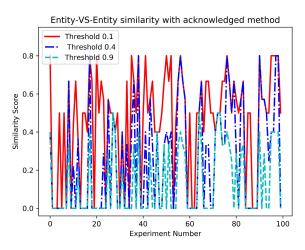


FIGURE 4. Entity-vs-entity similarity with acknowledged method.

3) SAO-VS-SAO SIMILARITY

For the 1126 SAO structures extracted in Sec. V-A, we choose the first SAO structure as a target SAO structure, and take the other 1125 ones as the SAO structures to be compared. Thus, 1125 pairs of SAO structures are prepared. First, these pairs are manually labelled by three human annotators who are familiar with expertise in the field of Nano-Fertilizer, and together determine if the two SAO structures in a pair are semantically equivalent ("1") or not ("0"). We take these manual classification as the actual class of these pairs of SAO structures. Then, using the method presented in Sec. IV, we calculate the similarity scores among the 1125 pairs of SAO structures, and then identify them by "1" ("0", resp.) when the similarity score exceeds (does not exceed, resp.) a threshold of 0.5. In addition, we also label these pairs with the acknowledged method, which is the same as our method except using the acknowledged Sørensen-Dice index

	С	ur method												
Level Accuracy Precision Recall F-measure t=3 0.878 0.860 0.926 0.892 t=4 0.876 0.875 0.898 0.887 t=5 0.900 0.890 0.931 0.910 t=10 0.887 0.889 0.905 0.897 t=20 0.889 0.894 0.902 0.898 t=30 0.890 0.893 0.905 0.899 t=40 0.885 0.891 0.898 0.895														
t=3	0.878	0.860	0.926	0.892										
t=4	0.876	0.875	0.898	0.887										
<i>t</i> =5	0.900	0.890	0.931	0.910										
t=10	0.887	0.889	0.905	0.897										
t=20	0.889	0.894	0.902	0.898										
t=30	0.890	0.893	0.905	0.899										
t = 40	0.885	0.891	0.898	0.895										
t=50	0.887	0.891	0.902	0.896										
t=100	0.887	0.891	0.902	0.896										
	Acknow	wledged me	ethod											
Threshold	Accurate	Precision	Recall	F-measure										
R=0.1	0.550	0.547	0.997	0.706										
R=0.2	0.553	0.549	0.984	0.705										
R=0.3	0.572	0.563	0.946	0.706										
<i>R</i> =0.4	0.810	0.789	0.887	0.835										
R=0.5	0.588	0.858	0.287	0.430										
R=0.6	0.535	0.922	0.156	0.266										
R=0.7	0.531	0.927	0.146	0.252										
R=0.8	0.511	0.905	0.110	0.196										
R=0.9	0.511	0.905	0.110	0.196										

 TABLE 4. Performance comparisons between similarity measures for the SAO structures.

(see Sec. III-B) to quantify the degree of the entity-vs-entity similarity. Table 7 shows the concrete similarity scores derived by human annotators, our method with t = 5, and the acknowledged method with R = 0.4. The complete similarity scores by our method and the acknowledged method with other parameters are posted at https://github.com/l-x-m/SAO-similarity-measure, where the data sets and python script are also provided.

We evaluate the results in terms of accuracy, representing the percentage of correctly identified true or false classifications. We also measure precision, recall and F-measure, calculated with respect to the true values in the classifications. The F-measure is the weighted average of precision and recall, and can be calculated by

$$F\text{-measure} = \frac{2 \times \text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}}$$
(14)

As shown by Table 4, the maximum accuracy and F-measure using our method can reach 90% and 91%, respectively, with level t = 5. While the highest accuracy and F-measure of the currently acknowledged method the can only attain 81% and 83.5%, respectively, with threshold R = 0.4. That is, using our extended Sørensen-Dice index for SAO similarity measure can significantly improve the accuracy and F-measure than the currently acknowledged one. We also remark that our method also has an excellent robustness, and the accuracy and F-measure vary little with the change of the level t. But the accuracy and F-measure of the currently acknowledged method is sensitive to the threshold R, as shown by Table 4.

We note that the lowest recurrence rate for our method is achieved with highest level t = 100 (see Table 2), but the

TABLE 5.	. Similarity scores between the first patent and the ren	maining
patents w	with Nos. from 2 to 45.	•

No.	$\mathrm{Sim}(1,\cdot)$	No.	$\mathrm{Sim}(1,\cdot)$	No.	$\mathrm{Sim}(1,\cdot)$	No.	$\mathrm{Sim}(1,\cdot)$
2	0.610	13	0.705	24	0.451	35	0.544
3	0.416	14	0.595	25	0.624	36	0.692
4	0.726	15	0.651	26	0.447	37	0.630
5	0.633	16	0.570	27	0.591	38	0.615
6	0.546	17	0.407	28	0.350	39	0.447
7	0.569	18	0.659	29	0.600	40	0.648
8	0.507	19	0.488	30	0.526	41	0.515
9	0.617	20	0.675	31	0.714	42	0.549
10	0.400	21	0.481	32	0.655	43	0.531
11	0.589	22	0.608	33	0.439	44	0.378
12	0.576	23	0.529	34	0.660	45	0.539

highest accuracy is obtained with level t = 5. That is, lower loss of the underlying term-vs-term similarity information does not always bring into higher accuracy. This is due to the fact that the underlying term-vs-term similarity is not always accurate enough, i.e., there are some noises, especially when the data comes from some specific field. We also note that lower level t can reduce the influences of noises in the underlying term-vs-term similarity. Therefore, there is a balance between reductions of the information loss and noise influences. In our experiments, optimal balance can be achieved by setting t = 5.

C. APPLICATION TO MEASURING PATENT SIMILARITY

Patent has been proved to be one of the most important and effective ways to protect technological inventions. The rapid increase of the patent number has called for the development of sophisticated patent analysis tools, of which many are based on patent similarity identification techniques. In particular, patent similarity analysis has been used for infringement identification [28]–[31], technological trend identification [25], [32]–[36], strategic technology planning [6], [37], document mapping [38], and etc.

With our SAO-vs-SAO similarity measure, we can easily evaluate the similarity between two patents. We view the SAO structure as a term, and the patent as a new entity composed of several SAO structures. Then, using the same method in the entity-vs-entity similarity measure, we first sort the SAO structures in the patents, and then utilize our extended Sørensen-Dice index to calculate the similarity score of two patents. Setting the level to be 5, i.e., t = 5, we calculate the similarity scores between the first patent and the remaining patents. The results are given by Table 5.

VI. CONCLUSION AND DISCUSSION

In this paper, we observe that the currently acknowledged SAO similarity measure has a relatively high recurrence rate and poor discrimination, which is caused by the fact that the entities in the SAO structure always have a small amount of terms. To settle such issues, we extend the Sørensen-Dice index by reducing the information loss of

TABLE 6. The entities selected from the SAO structures.

No.	Entities	No.	Entities
1	Water soluble fertilizer	2	composite fertilizer
3	recycles	4	Trichoderma reesei
5	is not easy to	6	potassium fulvate
7	accurate	8	acetylacetone tin(IV) dichloride
9	degrades	10	nano slow release fertilizer
11	can effectively absorb	12	deactivated silicon containing molecular sieve pretreatment agent
13	zinc ion porphyrin nanocomposites	14	Nano material modified waste oil coated controlled release fertiliz
15	is useful for	16	forms
17	first masterbatch	18	be sprayed onto
19	complex fertilizer	20	impact resistant chitosan coating
21	sprayed	22	bauxite tailings
23	has no	24	composite microbial inoculum
25	High efficiency modifying agent	26	can alleviate
27	Fertilizer anti-caking agent	$\frac{-3}{28}$	colloid
29	foliar fertilizer	30	nanocarbon synergistic fertilizer
31	remains	32	enhances
33	Impact resistant peach chitosan coated slow release fertilizer	34	reduce
35	Anti caking agent	36	accelerate
37	compost material	38	Bio organic composite fertilizer
39	fermenting	40	Rice fertilizer
41	satisfies	40	membrane
43	The preparation method	44	be
45 45	Selenium enriched bio-organic fertilizer	44	raw material mixture
43 47		40 48	
	concentrated biogas slurry		Synergistic fertilizer
49	does not	50	detecting
51	fruit tree organicic fertilizer	52	soil conditioner
53	Nano modified calcium fertilizer	54	coating material
55	removing	56	inactive silicon containing molecular sieve preprocessing agent
57	Sustained release fertilizer	58	Potato fertilizer
59	decomposed organic fertilizer	60	Selenium enriched organic fertilizer
61	modifying	62	packaging
63	apply	64	ensures
65	additive	66	Bio organicic fertilizer
67	organic ecological fertilizer	68	mixed biogas slurry A
69	mixed biogas slurry B	70	mixed biogas slurry C
71	add	72	cooling
73	Odorless organicic fertilizer	74	Fertilizer
75	Multifunctional foliar fertilizer	76	Selenium enriched pitaya organicic fertilizer
77	Environmentally friendly fertilizer	78	organic fertilizer
79	trace element fertilizer	80	Selenium germanium enriched element fertilizer
81	Soil remediation agent	82	Selenium enriched agriculture fertilizer
83	stirring	84	nitrogen phosphate potassium fertilizer
85	solid organic fertilizer	86	regulate
87	Composition	88	monitoring
89	separating	90	drying
91	preventing	92	compound fertilizer
93	calcium chloride nano raw material	94	Selenium enriched pitaya organic fertilizer
95	synergbetic agent	96	natural high selenium nutritional powder
97	fertilizer core	98	silicon fertilizer
99	Nanocarbon organic fertilizer	100	Nano complex synergbetic fertilizer
101	second masterbatch	100	rano complex synergoode formizer

underlying term-vs-term similarity. Based on that, we present a unified framework for the SAO similarity measure, which can give a higher discrimination. The effectiveness of our measure is evaluated on the basis of data sets from the Derwent Innovation Index patent database. The experiment results show that our measure can significantly improve the accuracy and F-meaure than the currently acknowledged ones.

The proposed SAO measure is generic and modular, and has an excellent flexibility and robustness. With this unified SAO measure, patent similarity metric can be easily established, which can be further used for various patent analyses, including patent infringement identification, technological trend identification, strategic technology planning, and etc. In addition, the extended Sørensen-Dice index is of independent interest, and has potential applications for other similarity measures, e.g., Jaccard index, Szymkiewicz-Simpson index, and etc.

APPENDIX

See tables 6 and 7.

TABLE 7. Similarity scores between the first SAO structure and the remaining structures with Nos. from 2 to 1126. The Hum. column shows the scores derived by human annotators. The Ours column shows the scores calculated by our method with t = 5. The Ack. column shows the scores evaluated by the acknowledged method with R = 0: 4.

No.	Hum.	Ours t=5	Ack. R=0.4	No.	Hum.	Ours t=5	Ack. R=0.4	No.	Hum.	Ours t=5	Ack. R=0.4	No.	Hum.	Ours t=5	Ack. R=0.4	No.	Hum.	Ours t=5	Ack. R=0.4
2	1	0.738	0.708	227	0	0.288	0.233	452	0	0.381	0.650	677	1	0.592	0.533	902	1	0.600	0.675
3	1		0.708	228	0		0.408	453	0	0.522		678	1		0.708	903	1		0.640
4	1		0.767	229	0		0.233	454	0	0.522		679	1		0.673	904	1		0.517
5 6	1 1		0.533 0.533	230 231	0 0		0.533 0.233	455 456	0 1	0.494 0.530		680 681	0		0.580 0.300	905 906	1 1		$0.500 \\ 0.617$
7	1		0.580	232	Ő	0.335		457	1	0.565		682	0		0.300	907	1		0.533
8	1		0.767	233	0	0.382	0.408	458	1	0.565	0.697	683	0	0.423	0.475	908	1		0.557
9	1		0.883	234	0		0.408	459	1	0.530		684	0		0.440	909	0		0.257
10 11	1 0		0.233 0.408	235 236	0 1		0.233 0.813	460 461	1 1	0.577 0.565		685 686	0 0		0.533 0.515	910 911	0 0		0.433 0.433
12	0		0.533	230	1	0.592		462	1	0.565		687	0		0.280	912	1		0.435
13	1		0.883	238	1	0.615		463	1	0.553		688	0		0.233	913	1		0.640
14	1		0.708	239	1	0.592		464	1	0.483		689	0		0.467	914	1		0.517
15	0		0.533	240	1	0.580		465	1	0.572		690	0		0.350	915	1		0.500
16 17	1		0.708 0.767	241 242	1	0.592 0.545		466 467	$1 \\ 0$	0.577 0.330		691 692	0		0.533 0.233	916 917	1 1		0.617 0.533
18	1		0.533	243	1	0.592		468	0	0.273		693	0		0.533	918	1		0.557
19	1	0.629	0.533	244	1	0.545	0.533	469	0	0.355		694	0	0.510	0.533	919	1	0.601	0.533
20	1		0.580	245	1	0.592		470	0	0.367		695	1		0.533	920	1		0.720
21 22	1 1		$0.767 \\ 0.883$	246 247	$1 \\ 0$	0.580 0.395		471 472	0 1	0.285 0.565		696 697	1		0.533 0.533	921 922	1 1		0.533 0.533
22	1		0.885	247	1		0.708	472	1	0.505		698	1		0.535	922	1		0.535
24	1		0.553	249	1		0.813	474	1	0.530		699	1		0.720	924	1		0.533
25	1		0.615	250	1	0.475		475	1	0.483		700	1		0.615	925	1		0.708
26	1		0.615	251	1	0.545		476	1	0.577		701	1		0.720	926	1		0.708
27 28	0		0.165 0.165	252 253	1		0.615 0.533	477 478	1 1	0.553 0.565		702 703	1		0.708 0.615	927 928	1 1		0.533 0.708
29	ŏ		0.311	254	1		0.533	479	1	0.565		704	1		0.557	929	1		0.533
30	0	0.360	0.553	255	1		0.633	480	1	0.565	0.697	705	1		0.673	930	0	0.500	0.580
31	0		0.563	256	1		0.673	481	1	0.577		706	1		0.883	931	0		0.373
32 33	$\begin{array}{c} 0\\ 0\end{array}$		0.563 0.368	257 258	1 1	0.475 0.587		482 483	1 1	0.650 0.580		707 708	1 0		0.533 0.813	932 933	0		0.280 0.233
33	0		0.308	258	1	0.587		485	1	0.580		708	0		0.813	933 934	0		0.255
35	Ő		0.175	260	1	0.615		485	1	0.594		710	Õ		0.455	935	Õ		0.408
36	0		0.315	261	1	0.580		486	1	0.622		711	0		0.513	936	0		0.533
37	0		0.175	262	1		0.650	487	1	0.622		712	0		0.455	937	0		0.533
38 39	1 1		0.475 0.553	263 264	1	0.568	0.633	488 489	1 1	0.650	0.708	713 714	0		0.455 0.315	938 939	0 0		0.233 0.580
40	1		0.615	265	0	0.372		490	1	0.615		715	0		0.350	940	1		0.533
41	1	0.587	0.615	266	0	0.395	0.673	491	1	0.580	0.580	716	0	0.370	0.280	941	1	0.685	0.720
42	0		0.292	267	0	0.300		492	1	0.622		717	0		0.280	942	1		0.533
43 44	0		0.333 0.408	268 269	0 0		0.533 0.533	493 494	0 0	0.465 0.370		718 719	0		$0.280 \\ 0.455$	943 944	1 1		0.533 0.533
45	0		0.408	209	0		0.233	495	0	0.370		720	0		0.455	945	1		0.533
46	Ő		0.233	271	1		0.533	496	Ő	0.370	0.280	721	Ő		0.455	946	1		0.708
47	0		0.373	272	1	0.545		497	0	0.370		722	0		0.455	947	1		0.708
48	0		0.233	273	1		0.533	498	0	0.335		723	0		0.513	948	1		0.533
49 50	0		0.233 0.408	274 275	1 1		0.533 0.533	499 500	0	0.440 0.444		724 725	0		0.315 0.513	949 950	1 1		0.708 0.533
51	0		0.292	276	1		0.673	501	0	0.450		726	0		0.280	950 951	1		0.720
52	0		0.233	277	0		0.533	502	0		0.597	727	0		0.420	952	1		0.592
53	0		0.292	278	1		0.813	503	0	0.370		728	0		0.280	953	1		0.615
54 55	1		0.633 0.708	279	1	0.475 0.615	0.533	504 505	0	0.440 0.393		729 730	0		$0.280 \\ 0.280$	954 955	1		$0.440 \\ 0.580$
55 56	1 1		0.708	280 281	1		0.673	505 506	0 0	0.393		730 731	0 0		0.280	955 956	1 1		0.580
57	1		0.673	282	1		0.575	507	0	0.417		732	Ő		0.813	957	1		0.440
58	1		0.533	283	1		0.650	508	0	0.370		733	0		0.455	958	1		0.557
59 60	1		0.533	284	1		0.417	509	1	0.622		734	0		0.513	959	1		0.592
60 61	1 1		0.673 0.533	285 286	1 1		0.633 0.650	510 511	1 1	0.580 0.615		735 736	0 0		0.455 0.455	960 961	1 0		$0.592 \\ 0.440$
62	1		0.650	280	1		0.517	512	1	0.622		737	0		0.455	962	0		0.257
63	1	0.587	0.767	288	1	0.557	0.633	513	1	0.580	0.580	738	1	0.557	0.533	963	0	0.545	0.592
64	1		0.533	289	1		0.617	514	1	0.594		739	1		0.720	964	0		0.140
65 66	1		$0.708 \\ 0.708$	290 291	1		0.633 0.417	515 516	1 1	0.650 0.622	0.708	740 741	1 1		0.580 0.533	965 966	0		0.350 0.350
-00	1	0.392	0.708	291	1	0.510	0.417	510	1	0.022	0.097	/41	1	0.337	0.333	900	U	0.347	0.550

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TABLE 7. Continued.

No.	Hum.	Ours t=5	Ack. R=0.4	No.	Hum.		Ack. R=0.4	No.	Hum.		Ack. R=0.4	No.	Hum.	Ours t=5	Ack. R=0.4	No.	Hum.	Ours t=5	Ack. R=0.4
67	1		0.673	292	1		0.633	517	0		0.580	742	1		0.767	967	0		0.140
68	1		0.533	293	1		0.557	518 510	1		0.720 0.767	743	1		0.650	968 060	0		0.140
69 70	0 0		0.350 0.673	294 295	0 0		0.175 0.175	519 520	0 0		0.767	744 745	1 1		$0.580 \\ 0.883$	969 970	0 0		0.140
71	0		0.350	296	1	0.285		520	0		0.673	746	1		0.557	971	1		0.720
72	Ő		0.533	297	1		0.650	522	Ő		0.767	747	1		0.708	972	1		0.592
73	1		0.533	298	1	0.510		523	0		0.533	748	1		0.883	973	1		0.615
74	1	0.557	0.673	299	1	0.557	0.633	524	0	0.545	0.767	749	1		0.708	974	1	0.440	0.440
75	1		0.533	300	1		0.650	525	0		0.673	750	0		0.373	975	1		0.580
76	1		0.650	301	1		0.517	526	0		0.673	751	0		0.408	976	1		0.440
77 78	1 1		0.767 0.533	302 303	1 1		0.475 0.673	527 528	0 0		0.533 0.708	752 753	0 0		0.420 0.373	977 978	1 1		0.440
79	1		0.708	304	1		0.790	529	0		0.533	754	0		0.233	979	1		0.592
80	1		0.708	305	1		0.615	530	ŏ		0.533	755	ŏ		0.233	980	1		0.592
81	1		0.673	306	1		0.615	531	0		0.513	756	0		0.233	981	1		0.440
82	1	0.594	0.615	307	1	0.804	0.790	532	0	0.358	0.408	757	0	0.453	0.650	982	1	0.580	0.650
83	1		0.475	308	1	0.559		533	0		0.408	758	1		0.533	983	0		0.233
84	1		0.475	309	1	0.454		534	0		0.233	759	1		0.720	984	0		0.175
85	1		0.615	310	1	0.524		535	0		0.233	760	1		0.533	985	0		0.000
86 87	1 1		0.615 0.615	311 312	1 1		0.615 0.615	536 537	0		0.233 0.233	761 762	1 1		$0.767 \\ 0.650$	986 987	0		0.233
87 88	1		0.615	312	1		0.615	537 538	0		0.233	762	1		0.650	987 988	0	0.370	
89	1		0.650	314	1		0.673	539	ŏ		0.533	764	1		0.883	989	ŏ		0.292
90	1		0.615	315	1		0.175	540	0		0.767	765	1		0.755	990	0		0.140
91	1	0.594	0.615	316	1	0.279	0.175	541	0	0.533	0.708	766	1	0.615	0.615	991	0	0.393	0.292
92	0		0.175	317	1		0.615	542	0		0.673	767	1		0.615	992	0		0.350
93	0		0.175	318	1		0.790	543	0		0.767	768	1		0.580	993	0		0.292
94	0		0.292	319	1		0.615	544	0		0.533	769	1		0.580	994	0	0.370	
95	0		0.175	320	1		0.615	545 546	1		0.720	770	1		0.580	995	0		0.350
96 97	0 0		0.315 0.475	321 322	1 1		0.673 0.475	546 547	1 0		0.755 0.455	771 772	1 1		$0.755 \\ 0.580$	996 997	0 1		0.257
98	1		0.475	323	1		0.790	548	0		0.455	773	1		0.813	998	1		0.592
<u>99</u>	1		0.475	324	1		0.475	549	ŏ		0.292	774	1		0.755	999	1		0.755
100	1	0.524	0.475	325	1	0.571	0.673	550	0	0.314	0.280	775	1		0.580	1000	1	0.622	0.720
101	1	0.594	0.615	326	1	0.524	0.475	551	0	0.265	0.140	776	1	0.566	0.580	1001	1		0.813
102	1		0.615	327	1		0.673	552	0		0.350	777	1		0.580	1002	1		0.580
103	1		0.615	328	1		0.673	553	0		0.490	778	1		0.580	1003	1		0.580
104	1 1		0.475	329	1 1		0.673	554	0 0		0.373	779 780	1 1		0.860	1004	1		0.580
105 106	1		0.650 0.615	330 331	1		0.475 0.475	555 556	0		0.373 0.315	780	0		0.580 0.455	1005 1006	1 1		0.580
107	1		0.615	332	1		0.673	557	0		0.373	782	0		0.435	1000	0		0.280
108	1		0.388	333	0		0.315	558	ŏ		0.175	783	ŏ		0.397	1008	ŏ		0.280
109	1	0.482	0.440	334	0	0.335	0.315	559	0	0.223	0.175	784	0	0.393	0.397	1009	0	0.405	0.455
110	1	0.493	0.475	335	0	0.384		560	0		0.580	785	0	0.323	0.280	1010	0	0.335	0.280
111	1		0.300	336	0	0.349		561	0		0.420	786	0		0.513	1011	1		0.813
$\frac{112}{112}$	0		0.365	337	0	0.405		562	0		0.455	787	1		0.755	1012	1		0.592
113 114	0 0	0.277	0.311 0.218	338 339	1	0.524 0.524	0.475	563 564	0 0		0.630 0.455	788 789	1		0.615 0.615	1013 1014	1		0.755
$114 \\ 115$	0		0.218	339 340	1		0.473	565	0		0.455	789	1		0.580	1014	1		0.720
116	0		0.368	341	0		0.175	566	0		0.350	791	1		0.580	1015	1		0.580
117	Ő		0.175	342	1	0.571		567	0		0.280	792	1		0.580	1017	0		0.233
118	0	0.402	0.650	343	1	0.524	0.475	568	1	0.524	0.475	793	1	0.615	0.755	1018	0	0.347	0.233
119	0		0.475	344	1	0.594		569	1		0.673	794	1		0.580	1019	0	0.361	
120	0		0.575	345	1	0.568		570	1		0.755	795	1		0.813	1020	0		0.373
121	0		0.175	346	1		0.720	571	1		0.615	796	1		0.755	1021	0	0.417	
122 123	0 0		0.475 0.388	347 348	1 1		$0.580 \\ 0.580$	572 573	1 1		0.615 0.592	797 798	1 1		$0.580 \\ 0.580$	1022 1023	0 0	0.126 0.216	
123 124	0		0.388	348 349	1		0.380	575 574	1		0.392	798	1		0.580	1023	0	0.216	
125	0		0.475	350	1		0.720	575	1		0.475	800	1		0.580	1024	0	0.342	
126	Ő		0.300	351	1		0.860	576	1		0.673	801	1		0.697	1026	Ő	0.216	
127	0	0.560	0.615	352	1		0.720	577	1		0.615	802	1	0.650	0.755	1027	0	0.300	
128	0		0.580	353	1		0.767	578	1		0.580	803	1		0.580	1028	0	0.265	
129	0		0.755	354	1		0.860	579	1		0.813	804	1		0.580	1029	0	0.265	
130			0.755	355	1		0.720	580	1		0.580	805	1		0.580	1030	0	0.307	
131 132	0		0.615	356 357	1		0.720	581 582	1		0.720	806 807	1		0.720	1031	0	0.286	
132 133	0 0		0.673 0.673	357 358	$\frac{1}{1}$		0.720 0.720	582 583	0 0		0.200 0.200	807 808	$1 \\ 0$		0.755 0.513	1032 1033	0	0.220 0.255	
100	U		0.790	359	1		0.720	585	0		0.200	808	0		0.515	1033	0		0.720

TABLE 7. Continued.

No.	Hum.		Ack. R=0.4	No.	Hum.		Ack. R=0.4	No.	Hum.	Ours t=5	Ack. R=0.4	No.	Hum.		Ack. R=0.4	No.	Hum.	Ours t=5	Ack. R=0.4
135	0		0.755	360	1		0.720	585	0	0.356		810	0		0.580	1035	0		0.373
136	0		0.790	361	1		0.580	586	0	0.267		811	0		0.580	1036	0		0.233
137 138	0 0	0.552 0.622		362 363	0 0	0.500 0.533		587 588	0 0	0.356 0.267		812 813	0 0	0.370 0.417		1037 1038	0 0		0.233
139	0	0.022		364	0	0.383		589	0	0.267		813	1	0.417		1038	0	0.237	
140	Ő	0.552		365	Ő	0.430		590	Ő	0.328		815	1	0.510		1040	0	0.417	
141	0	0.412	0.440	366	1		0.580	591	0	0.314	0.315	816	1	0.622		1041	0	0.417	0.408
142	0		0.440	367	1		0.860	592	0	0.300		817	1	0.622		1042	0	0.499	
143	0	0.496		368	1	0.533		593	0	0.314		818	1	0.510		1043	0	0.520	
144	0		0.440	369	1	0.638		594	0	0.361		819	1	0.650		1044	0	0.415	
145 146	0 0	0.475 0.500		370 371	1 1	0.568 0.638		595 596	0 0	0.314 0.384		820 821	1 1	0.615	0.755	1045 1046	0	0.417 0.205	
147	0		0.440	372	1	0.568		597	0	0.412		822	0		0.400	1040	0	0.203	
148	Ő		0.440	373	1	0.493		598	ŏ	0.417		823	1	0.460		1048	ŏ	0.358	
149	0	0.552	0.720	374	1	0.568		599	0	0.440	0.455	824	1		0.540	1049	0	0.377	0.673
150	0	0.290	0.331	375	1	0.568	0.720	600	0	0.393		825	1	0.530	0.575	1050	0	0.438	0.440
151	0		0.156	376	1	0.568		601	0	0.370		826	1	0.507		1051	0	0.499	
152	0	0.333		377	1	0.603		602	0	0.370		827	1	0.502		1052	0	0.520	
153 154	0 0	0.496 0.412		378 379	1 1		0.533 0.675	603 604	0 0	0.383 0.477		828 829	1 1	0.460 0.502		1053 1054	0 0	0.415 0.527	
154	0		0.440	380	1	0.530		604 605	0	0.477		830	1		0.340	1054	1	0.527	
156	0	0.496		381	1	0.565		606	0	0.356		831	1	0.460		1055	1	0.592	
157	1	0.580		382	1		0.500	607	Õ	0.267		832	0		0.400	1057	1	0.530	
158	1	0.580		383	1	0.635	0.850	608	0	0.356	0.280	833	0	0.250	0.140	1058	1	0.600	0.81
159	1	0.545		384	1	0.530		609	0	0.267		834	0	0.277		1059	1	0.627	
160	1		0.592	385	1	0.530		610	1	0.524		835	0	0.320		1060	1	0.703	
161	1 1		0.440	386	1 1	0.565		611	1 1	0.571		836	0	0.250 0.502		1061	1	0.773	
162 163	0	0.552 0.395		387 388	1	0.600 0.565		612 613	1	0.615 0.559		837 838	$1 \\ 0$	0.302		1062 1063	1 1	0.860 0.475	
164	0	0.370		389	1	0.577		614	1	0.538		839	1	0.205		1065	1	0.545	
165	Õ		0.140	390	1	0.530		615	1	0.717		840	1	0.460		1065	0	0.430	
166	0	0.347	0.350	391	0	0.320	0.257	616	1	0.580	0.580	841	1	0.460	0.517	1066	0	0.288	0.233
167	0	0.277		392	0	0.355		617	1	0.627		842	1	0.502		1067	0	0.300	
168	0		0.140	393	0		0.200	618	1	0.580		843	1	0.507		1068	0	0.382	
169 170	0 1	0.335	0.292	394 395	0 0	0.285	0.200	619 620	1 1	0.773 0.580		844 845	0 0	$0.440 \\ 0.440$		1069 1070	0	0.335 0.405	
171	1	0.440		395 396	0	0.354		620	1	0.580		845 846	0	0.440		1070	0	0.405	
172	1		0.580	397	1	0.568		622	1	0.615		847	ŏ	0.415		1071	ŏ	0.288	
173	0		0.140	398	1		0.650	623	1	0.650		848	0		0.280	1073	0	0.382	
174	0	0.347		399	1	0.533		624	1	0.510	0.580	849	0	0.412	0.397	1074	0	0.335	0.233
175	0	0.347		400	1		0.440	625	1	0.650		850	0		0.280	1075	1	0.580	
176	0	0.580		401	1	0.568		626	1	0.615		851	0	0.450		1076	1	0.592	
177 178	0 0	0.668 0.395		402 403	1 1	0.533 0.533		627 628	0 0	0.430 0.405		852 853	0 0	0.412 0.440		1077 1078	1 1	0.530 0.600	
179	1	0.595		403	1		0.540	629	0	0.405		855	0	0.440		1078	1	0.600	
180	1	0.514		405	1		0.720	630	0	0.290		855	0	0.370		1080	1	0.703	
181	1	0.570		406	1		0.592	631	Ő	0.255		856	Ő	0.380	0.480	1081	1	0.773	0.883
182	1	0.574		407	1	0.568		632	0	0.405		857	0		0.480	1082	1	0.860	
183	1	0.528		408	1		0.440	633	0	0.465		858	0		0.513	1083	1	0.475	
184	1	0.528		409	0	0.522		634	0	0.440		859	0	0.440		1084	1	0.545	
185 186	1 1	0.563	0.650	$\begin{array}{c} 410\\ 411 \end{array}$	0 0	0.452	0.475	635 636	0 0	0.440 0.417		860 861	0 0	0.310 0.415		1085 1086	0 0	0.266 0.239	
180	1	0.720		411	0		0.337	630 637	0	0.417		862	0		0.385	1086	0	0.239	
188	1	0.528		413	0		0.117	638	0	0.580		863	1		0.280	1087	0	0.223	
189	1	0.808		414	Õ		0.592	639	Õ	0.430		864	1		0.720	1089	Õ	0.298	
190	1	0.598	0.650	415	0	0.522	0.592	640	1	0.773		865	1	0.510	0.580	1090	0	0.282	0.24
191	1		0.540	416	0		0.557	641	1	0.580		866	0		0.280	1091	0	0.239	
192	1	0.650		417	1	0.524		642	1	0.615		867	0		0.280	1092	0	0.243	
193 194	1	0.720 0.640		418 419	1 1	0.524	0.475	643 644	1	0.603 0.528		868 869	0 0		$0.000 \\ 0.000$	1093 1094	0 0	0.358 0.402	
194 195	1 1	0.640		419	1	0.524		644 645	0 0	0.328		809 870	0		0.000	1094	0	0.402	
195	0		0.350	420	1		0.475	646	0	0.650		870	0		0.000	1095	0	0.223	
197	Ő		0.650	422	1	0.454		647	0	0.430		872	0	0.384		1097	0	0.414	
198	1	0.528		423	1	0.538		648	0	0.283		873	0	0.487	0.300	1098	0	0.239	
199	1	0.720		424	1		0.673	649	0	0.342		874	0	0.458		1099	0	0.266	
200	1	0.808		425	1		0.475	650	0	0.358		875	0		0.300	1100	1	0.568	
201	0	0.401		426	1	0.559		651	0	0.230		876	0	0.493		1101	1	0.592	
202	1	0.650	0.650	427	1	0.366	0.580	652	0	0.440	0.455	877	0	0.504	0.408	1102	1	0.545	0.53

TABLE 7. Continued.

No.	Hum.	Ours t=5	Ack. R=0.4	No.	Hum.	Ours t=5	Ack. R=0.4												
203	1	0.551	0.592	428	1	0.524	0.475	653	0	0.370	0.280	878	0	0.382	0.408	1103	1	0.592	0.708
204	1	0.574	0.708	429	0	0.374	0.475	654	0	0.323	0.280	879	0	0.417	0.513	1104	1	0.545	0.533
205	1	0.580	0.673	430	0	0.361	0.373	655	0	0.370	0.315	880	0	0.370	0.280	1105	1	0.592	0.708
206	1	0.615	0.813	431	1	0.566	0.592	656	0	0.370	0.315	881	0	0.580	0.580	1106	1	0.587	0.650
207	1	0.592	0.708	432	0	0.314	0.175	657	0	0.528	0.455	882	0	0.580	0.580	1107	1	0.580	0.673
208	1	0.592	0.708	433	1	0.524	0.475	658	0	0.370	0.315	883	0	0.545	0.580	1108	1	0.545	0.533
209	1	0.580	0.673	434	1	0.524	0.475	659	0	0.356	0.280	884	0	0.370	0.397	1109	1	0.592	0.708
210	1	0.592	0.708	435	1	0.524	0.475	660	0	0.384	0.420	885	1	0.615	0.580	1110	0	0.348	0.533
211	1	0.615	0.813	436	1	0.559	0.615	661	0	0.417	0.513	886	1	0.627	0.720	1111	0	0.349	0.350
212	1	0.615	0.673	437	1	0.477	0.475	662	0	0.405	0.455	887	1	0.510	0.580	1112	0	0.335	0.408
213	1	0.592	0.708	438	1	0.454	0.475	663	0	0.528	0.455	888	1	0.565	0.675	1113	0	0.335	0.233
214	1	0.545	0.533	439	1	0.538	0.475	664	0	0.370	0.315	889	1	0.516	0.533	1114	0	0.370	0.373
215	1	0.592	0.708	440	1	0.571	0.673	665	1	0.557	0.533	890	1	0.600	0.675	1115	0	0.335	0.373
216	1	0.531	0.650	441	1	0.559	0.475	666	1	0.510	0.533	891	1	0.572	0.640	1116	0	0.348	0.533
217	1	0.545	0.533	442	0	0.374	0.475	667	1	0.557	0.533	892	0	0.380	0.500	1117	1	0.568	0.633
218	1	0.603	0.767	443	1	0.559	0.615	668	1	0.557	0.533	893	0	0.335	0.233	1118	1	0.592	0.708
219	1	0.568	0.733	444	1	0.566	0.580	669	1	0.627	0.720	894	0	0.288	0.233	1119	1	0.545	0.533
220	1	0.545	0.533	445	1	0.524	0.475	670	1	0.557	0.615	895	0	0.335	0.233	1120	1	0.592	0.708
221	1	0.545	0.533	446	1	0.522	0.592	671	1	0.662	0.720	896	0	0.335	0.233	1121	1	0.545	0.533
222	1	0.498	0.533	447	1	0.522	0.592	672	1	0.592	0.708	897	0	0.300	0.233	1122	1	0.592	0.708
223	1	0.545	0.708	448	1	0.494	0.557	673	1	0.557	0.615	898	0	0.565	0.675	1123	1	0.587	0.650
224	1	0.545	0.533	449	0	0.381	0.650	674	1	0.571	0.557	899	0	0.516	0.533	1124	1	0.580	0.673
225	0	0.395	0.708	450	0	0.242	0.117	675	1	0.599	0.673	900	0	0.600	0.675	1125	1	0.545	0.533
226	0	0.288	0.233	451	0	0.242	0.117	676	1	0.662	0.883	901	0	0.572	0.640	1126	1	0.592	0.708

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