

Received January 8, 2020, accepted January 30, 2020, date of publication February 11, 2020, date of current version February 20, 2020.

Digital Object Identifier 10.1109/ACCESS.2020.2973180

# Estimation of Rice Factor Ratio for Doubly Selective Fading Channels

JUNFENG WANG<sup>1</sup>, YUE CUI<sup>2</sup>, HAO JIANG<sup>3,4</sup>, (Member, IEEE),  
GAOFENG PAN<sup>5</sup>, (Member, IEEE), HAIXIN SUN<sup>6</sup>, (Member, IEEE),  
JIANGHUI LI<sup>7</sup>, AND HAMADA ESMAIEL<sup>8</sup>, (Member, IEEE)

<sup>1</sup>School of Electrical and Electronic Engineering, Tianjin University of Technology, Tianjin 300384, China

<sup>2</sup>College of Computer and Information Engineering, Tianjin Normal University, Tianjin 300387, China

<sup>3</sup>College of Artificial Intelligence, Nanjing University of Information Science and Technology, Nanjing 210044, China

<sup>4</sup>National Mobile Communications Research Laboratory, Southeast University, Nanjing 210096, China

<sup>5</sup>CEMSE Division, King Abdullah University of Science and Technology (KAUST), Thuwal 23955-6900, Saudi Arabia

<sup>6</sup>School of Information Science and Engineering, Xiamen University, Xiamen 361005, China

<sup>7</sup>Institute of Sound and Vibration Research, University of Southampton, Southampton SO17 1BJ, U.K.

<sup>8</sup>Department of Electrical Engineering, Faculty of Engineering, Aswan University, Aswan 81542, Egypt

Corresponding authors: Junfeng Wang (great\_seal@163.com) and Yue Cui (cuiyue\_ena@126.com)

This work was supported in part by the National Natural Science Foundation of China under Grant 61671394 and Grant 61971362, in part by the National Key Research and Development Program of China under Grant 2018YFC0809200, in part by the Science and Technology Program of Shenzhen, China, under Grant JSGG20170414090428464, and in part by the European Union's Horizon 2020 Research and Innovation Program under Grant 654462 (STEMM-CCS).

**ABSTRACT** In wireless communication systems, Rice factor ratio (RFR) defined as  $K/(1 + K)$  is a key parameter not only to evaluate the quality of communication channel since it can reveal the severity of the small-scale fading, but also to be employed as *a priori* information for estimation of other parameters such as frequency. Consequently, its estimation is important for a variety of wireless application scenarios. In this paper, we propose an estimation algorithm on the RFR for the received signals that are disturbed by the Rician doubly selective fading channels and additive noise. During the estimation periods, we initially utilize the known signals to multiply the received signals. Second-order and fourth-order statistics are then employed to further deal with the processed signals mentioned above, which disposes of influence of some unnecessary parameters, e.g., indistinguishable multipaths, maximum Doppler shift, Doppler shift, and noise variance. Finally, a useful expression on the RFR estimation is derived for the Rician frequency selective fast fading channels by flexibly mathematical calculation. Furthermore, the presented method only uses the maximum estimation values of the second-order and fourth-order statistics defined in this paper, which can reduce the computational complexity. Importantly, the investigated scheme is robust to the signal-to-noise ratio over 0 dB and frequency offset (maximum Doppler shift and Doppler shift), and shows a slight improvement on the estimation performance with an increase of the aided data length. The performance and benefits of the proposed approach are verified and evaluated through computer simulations.

**INDEX TERMS** Rice factor ratio, Rician doubly selective fading channels, second-order and fourth-order statistics.

## I. INTRODUCTION

In the past several years, to satisfy the essential requirements of the traffic growth, the latency reduction and the energy efficiency in the wireless communications, a variety of advanced technologies have been introduced in the existing literature [1]–[5]. Further, many wireless communication environments for short-term fading can be described as a statistic model characterized by Rician distribution,

The associate editor coordinating the review of this manuscript and approving it for publication was Kezhi Wang.

which results in received signals with different magnitudes, angles of arrival and phases that comprise one specular or light-of-sight (LoS) signal and many random reflected, diffracted, or scattered versions of the transmitted signal. As the key term for the Rician fading channels, Rice factor ratio (RFR) is defined as  $K/(1 + K)$ , where  $K$  represents Rice factor, which is useful for the evaluation of communication link quality and provides *a priori* knowledge on the estimation of some parameters. Therefore, like the Rice factor, its estimation is of practical importance in all kinds of wireless scenarios, e.g., adaptive modulation,

channel characterization, localization application, optimal power loading of transmit diversity systems, mobile station velocity estimation, link budget as well as frequency estimation [6]–[10].

The Rice factor estimation is a sustained research subject; thus many methods have been addressed in the past few decades. The existing literature is mainly catalogued into the envelope-based works and the phase-based ones. In the envelope-based literature, Greenwood and Hanzo [11] estimated the Rice factor by comparing probability density function (PDF) of the received envelope data to the hypothesis Rician envelope PDFs using a suitable goodness-of-fit test. In [12], the maximum likelihood estimation (MLE) method was employed to the Rice factor, but it needs a root search procedure. To reduce the computation of the MLE scheme, in [13]–[15], the moment-based approaches were presented to estimate the Rice factor. Naimi and Azemi [16] suggested two generalized moment-based estimators for the Rice factor when shadowing was considered.

The additional phase information provided by the in-phase and quadrature components of the complex baseband samples, which the aforementioned methods fail to utilize, was exploited to estimate the Rice factor. The tractable MLE schemes of the polar signals were presented in [17] and [18]. The estimator for the Rice factor was addressed in [19], which was based on the statistics of the channel phase derivative, i.e., the first moment and zero-crossing rate of the received signal instantaneous frequency. An estimator only used phase was suggested in [20], where Doppler shift of the dominant component was known and the dominant source was not perpendicular to the mobile trajectory.

All the Rice factor estimators mentioned above assume that the channel is time-varying and narrowband. However, with requirements for high data rate and development of wireless communications, lots of current wireless communication standards and systems are time-varying and wideband. In order to estimate the Rice factor for wideband channels, Sasaoka *et al.* [21] proposed an estimator for multiple input multiple output channels, where the Rice factor is computed as the ratio of the power in the first delay bin to the power in the other delay bins. References [22] and [23] employed the moment approach to calculate the Rice factor on each delay bin or carrier frequency. However, each bin does not contain all multipath components in the channel [24]. A classical moment based Rice factor estimator was described in [24] for narrowband temporal selective fading from the single-snapshot wideband measurements.

Among the above mentioned Rice factor and RFR estimators except for [7], [9] and [10], they only considered the channel coefficients, and therefore are unable to apply for modulated signals with additive noise [7]. To deal with this problem, Chen and Beaulieu [25] considered data-aided and non-data-aided (NDA) methods and utilized autocorrelation of the received signal (namely, the second-order fading statistics) to estimate the Rice factor when *a priori* knowledge of the normalized maximum Doppler shift was assumed.

Nevertheless, the NDA estimator cannot be applied to M-ary phase shift keying (MPSK) modulated signals [7]. The fourth-order cross-moments based Rice factor estimator was investigated in [7] for the MPSK modulated signals. The suggested approaches in [9] and [10] presented the estimation of the RFR for the purpose of frequency estimation. Moreover, these estimators presented in [7], [9], [10] and [25] only consider the frequency flat fading channels. Except for previous estimators published in the literature, to the best of the authors' knowledge, the estimation of the RFR has not been investigated for Rician doubly selective fading channels which are always encountered in wireless communication systems, especially for high rate wireless communications.

Based on the aforementioned analyses, we propose an estimation algorithm on the RFR for the Rician doubly selective fading channels. In the estimation stage, we first multiply the known signals and the received signals together. Then, we employ second-order and fourth-order statistics to cope with the processed signals mentioned above, where some unnecessary parameters, such as indistinguishable multipaths, frequency offset (maximum Doppler shift and Doppler shift) and noise variance, are cancelled. We finally derive a useful expression of the RFR estimation, where the Rician frequency selective fast fading channels and additive noise are encountered. The main contributions of this paper are summarized as follows.

- 1) The proposed method can be applied to such scenarios where the transmitted signals are through the Rician doubly selective fading channels and disturbed by the additive noise.
- 2) The proposed method does not need *a priori* knowledge of the frequency offset (the maximum Doppler shift and the Doppler shift) and extra parameter estimation in the procedure of the RFR estimation, which cannot only reduce the algorithm complexity, but also avoid error propagation.
- 3) The proposed method only employs the maximum estimation values of the second-order and fourth-order statistics defined in this paper, which further reduces the computational complexity.
- 4) The proposed method is robust to signal-to-noise ratio (SNR) over 0 dB, the maximum Doppler shift and the Doppler shift, and its performance exhibits a slightly improved change with the increase of the known data length aided for estimation, which enhances its applications.

The remainder of this paper is organized as follows. Section II explains the background with respect to the received signal model such as the Rician frequency selective fast fading channels, and states the problem to be solved. Section III proposes the estimation algorithm on the RFR for the wireless fading channels mentioned above in detail. The investigated method in this work is verified and evaluated by the computer simulations in Section IV, and the

conclusion part in Section V summarizes the results and discusses possible applications of the proposed approach.

### II. PRELIMINARY AND FORMULATION

In the wireless communication systems equipped with a single antenna where the transmitted signals experience the Rician fading, the received baseband signals can be denoted as

$$r(t) = s(t) \otimes h(t, \tau) + n(t), \quad (1)$$

where  $s(t)$  is the transmitted signal,  $h(t, \tau)$  is the Rician doubly selective fading channel coefficient,  $n(t)$  is the additive white Gaussian noise (AWGN), and  $\otimes$  represents the convolution operator.

For the Rician doubly selective fading channel coefficient [26], [27],  $h(t, \tau)$ , its complex baseband representation can be written as

$$h(t, \tau) = \sqrt{\frac{K}{1+K}} h_{\text{LoS}}(t) \delta(\tau - \tau_0) + \sqrt{\frac{1}{1+K}} \sum_{\ell=0}^{L-1} P_{\ell} h_{\text{NLoS},\ell}(t) \delta(\tau - \tau_{\ell}), \quad (2)$$

where  $P_{\ell}$  is the magnitude of the  $\ell$ th path,  $\tau_{\ell}$  is the propagation delay at the  $\ell$ th path,  $L$  is the number of paths,  $\delta(\cdot)$  is the Kronecker delta function, and  $h_{\text{LoS}}(t)$  and  $h_{\text{NLoS},\ell}(t)$  are the LoS and non-LoS (NLoS) components, respectively. It should be noted that, according to the definition of the Rice factor [26], [27],  $E\{|h_{\text{LoS}}(t)|^2\} = E\{|\sum_{\ell=0}^{L-1} P_{\ell} h_{\text{NLoS},\ell}(t)|^2\}$ , where  $E\{\cdot\}$  stands for the statistical average operator. It should also be mentioned that  $K/(1+K)$ , which is to be estimated, will approach 1 as  $K$  increases; on the contrary, it will be close or equal to 0 when  $K$  decreases, so one of its functions is as an indicator similar to the Rice factor for the evaluation on the quality of the wireless communication links.

The existing Rician channel models in the literature (see [7], [18], [20] and the references therein) assume: the LoS fading component,  $h_{\text{LoS}}(t) = \exp(j2\pi f_d t \cos\theta_0 + j\phi_0)$ , where  $f_d$ ,  $\theta_0$ , and  $\phi_0$  are the maximum Doppler shift, angle of arrival, and initial phase, respectively; the NLoS fading component,  $h_{\text{NLoS},\ell}(t)$ , is non-frequency selectivity with Rayleigh distribution and unit power, whose mean and autocorrelation function are zero and zero-order Bessel function, respectively, and whose real and imaginary parts are independent Gaussian random variables that can be modeled by various schemes addressed in [28].

Based on the analyses and discussions mentioned above, we have known that the initial path is the first arrival one. Thus, without loss of generality,  $\tau_0 = 0$  is assumed. Utilizing this assumption and substituting (2) into (1), we can discretize and rewrite it as

$$r(k) = \left( \sqrt{\frac{K}{1+K}} h_{\text{LoS}}(k) + \sqrt{\frac{1}{1+K}} P_0 h_{\text{NLoS},0}(k) \right) s(k) + \sqrt{\frac{1}{1+K}} \sum_{\ell=1}^{L-1} P_{\ell} h_{\text{NLoS},\ell}(k) s(k - \tau_{\ell}) + n(k). \quad (3)$$

From the above preliminary and formulation, it shows that some parameters such as the maximum Doppler shift, the angle of arrival, the initial phase, the magnitude of the multipaths, the number of multipaths, the transmitted signal, and AWGN should be cancelled to estimate the RFR, when the Rician frequency selective fast fading channels and additive noise are encountered. Furthermore, as we all know, error propagation will exist if extra parameters need to be estimated in the procedure of the estimation on the RFR. In order to suppress the redundant parameters and variables mentioned above and solve these issues encountered, we propose a novel algorithm for the RFR estimation in the succeeding section.

### III. PROPOSED ESTIMATION ALGORITHM ON RICE FACTOR RATIO FOR DOUBLY SELECTIVE FADING CHANNELS

In this section, we firstly derive a useful expression on the estimation of the RFR. In the procedure of derivation, we initially multiply the received signals and the known signals together. Then, we define the second-order and fourth-order statistics of the processed signals mentioned above. Employing these two statistics, the expression on the estimation of the RFR is proposed for the doubly selective fading channels as follows. Finally, we conclude this algorithm based on the theoretical derivation and estimation of the RFR.

#### A. DERIVED EXPRESSION ON THE ESTIMATION OF THE RICE FACTOR RATIO

From (3), we can clearly see that it is difficult to directly extract the RFR, because of the unnecessary parameters, the transmitted signals, and AWGN. Consequently, we first multiply the known signals and the received signals together by employing data-aided method. That is to say, using the property of the known signals, i.e.,  $s(k)s^*(k) = 1$ ,  $k = 1, \dots, N$ , we can obtain

$$\begin{aligned} \check{r}(k) &= r(k) \cdot s^*(k) \\ &= \sqrt{\frac{K}{1+K}} h_{\text{LoS}}(k) + \sqrt{\frac{1}{1+K}} P_0 h_{\text{NLoS},0}(k) \\ &\quad + \sqrt{\frac{1}{1+K}} \sum_{\ell=1}^{L-1} P_{\ell} h_{\text{NLoS},\ell}(k) s(k - \tau_{\ell}) s^*(k) + w(k), \end{aligned} \quad (4)$$

where  $w(\cdot)$  also maintains the same statistical property with the  $n(\cdot)$ .

To extract the RFR from (4), the second-order and fourth-order statistics are defined as

$$R_{\check{r}\check{r}}(m) \triangleq E\{\check{r}^*(k) \cdot \check{r}(k+m)\}, \quad (5)$$

$$R_{\check{r}\check{r}\check{r}\check{r}}(l, m, q) \triangleq E\{\check{r}^*(k) \cdot \check{r}(k+l) \cdot \check{r}(k+m) \cdot \check{r}^*(k+q)\}. \quad (6)$$

In the following, we provide two propositions to describe the second-order and fourth-order statistics of  $\check{r}$ , which will be used to derive the RFR via some mathematical operations.

*Proposition 1:* By substituting (4) into (5) and doing some mathematical operations, the second-order statistic of the  $\check{r}$  is

given by

$$R_{\check{r}\check{r}}(m) = \frac{K}{1+K} \exp(j2\pi f_d \check{m}) + \frac{1}{1+K} J_0(2\pi f_d m) \cdot \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m) \right) + \sigma^2 \delta(m), \quad (7)$$

where  $\check{f}_d = f_d \cos \theta_0$  is the Doppler shift,  $J_0(\cdot)$  is the zero-order Bessel function, the mean of the additive noise  $w(\cdot)$  is assumed as 0 for sake of simplicity, and  $\sigma^2$  is the variance of the  $w(\cdot)$ .

*Proof:* See the Appendix A for details. ■

*Proposition 2:* By substituting (4) into (6) and doing some other mathematical operations, the fourth-order statistic of the  $\check{r}$  is expressed as

$$\begin{aligned} R_{\check{r}\check{r}\check{r}\check{r}}(l, m, q) &= \left( \frac{K}{1+K} \right)^2 \exp(j2\pi f_d \check{m}(l+m-q)) \\ &+ \frac{K}{(1+K)^2} \exp(j2\pi f_d l) J_0(2\pi f_d(m-q)) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m-q) \right) + \frac{K}{1+K} \exp(j2\pi f_d l) \sigma^2 \delta(m-q) \\ &+ \frac{K}{(1+K)^2} \exp(j2\pi f_d m) J_0(2\pi f_d(l-q)) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l-q) \right) + \frac{K}{1+K} \exp(j2\pi f_d m) \sigma^2 \delta(l-q) \\ &+ \frac{K}{(1+K)^2} \exp(j2\pi f_d(l-q)) J_0(2\pi f_d m) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m) \right) + \frac{K}{1+K} \exp(j2\pi f_d(l-q)) \sigma^2 \delta(m) \\ &+ \frac{K}{(1+K)^2} \exp(j2\pi f_d(m-q)) J_0(2\pi f_d l) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l) \right) + \frac{K}{1+K} \exp(j2\pi f_d(m-q)) \sigma^2 \delta(l) \\ &+ \frac{1}{(1+K)^2} \cdot \left( J_0(2\pi f_d l) J_0(2\pi f_d(m-q)) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l) \right) \right. \\ &\cdot \left. \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m-q) \right) + J_0(2\pi f_d m) J_0(2\pi f_d(l-q)) \right. \\ &\cdot \left. \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m) \right) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l-q) \right) \right) \\ &+ \frac{1}{1+K} J_0(2\pi f_d l) \sigma^2 \delta(m-q) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l) \right) \\ &+ \frac{1}{1+K} J_0(2\pi f_d m) \sigma^2 \delta(l-q) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m) \right) \end{aligned}$$

$$\begin{aligned} &+ \frac{1}{1+K} J_0(2\pi f_d(l-q)) \sigma^2 \delta(m) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l-q) \right) \\ &+ \frac{1}{1+K} J_0(2\pi f_d(m-q)) \sigma^2 \delta(l) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m-q) \right) \\ &+ \sigma^4 \left( \delta(l) \delta(m-q) + \delta(m) \delta(l-q) \right). \end{aligned} \quad (8)$$

*Proof:* See the Appendix B for the derivation. ■

Obviously, the same terms are included in (7) and (8), and accordingly we can do some simple algebra operations on these two equations to derive the RFR. Through setting  $l = m = q = 0$  in (8), we obtain

$$\begin{aligned} R_{\check{r}\check{r}\check{r}\check{r}}(0, 0, 0) &= \left( \frac{K}{1+K} \right)^2 + \frac{4K}{(1+K)^2} \sum_{\ell=0}^{L-1} P_\ell^2 + \frac{4K}{1+K} \sigma^2 \\ &+ \frac{4}{1+K} \sigma^2 \sum_{\ell=0}^{L-1} P_\ell^2 + \frac{2}{(1+K)^2} \left( \sum_{\ell=0}^{L-1} P_\ell^2 \right)^2 + 2\sigma^4 \\ &= - \left( \frac{K}{1+K} \right)^2 + 2 \left( \frac{K}{1+K} + \frac{1}{1+K} \sum_{\ell=0}^{L-1} P_\ell^2 + \sigma^2 \right)^2. \end{aligned} \quad (9)$$

We set  $m = 0$  in (7) and have

$$R_{\check{r}\check{r}}(0) = \frac{K}{1+K} + \frac{1}{1+K} \sum_{\ell=0}^{L-1} P_\ell^2 + \sigma^2. \quad (10)$$

Substituting (10) into (9), we obtain

$$R_{\check{r}\check{r}\check{r}\check{r}}(0, 0, 0) = - \left( \frac{K}{1+K} \right)^2 + 2R_{\check{r}\check{r}}^2(0). \quad (11)$$

According to the property of the Rice factor, i.e.,  $K \geq 0$ , and with a simple algebra operation, the RFR can be derived as

$$\frac{K}{1+K} = \sqrt{2R_{\check{r}\check{r}}^2(0) - R_{\check{r}\check{r}\check{r}\check{r}}(0, 0, 0)}. \quad (12)$$

In (12), if we utilize  $\hat{R}_{\check{r}\check{r}}(0) = \hat{R}_{\check{r}\check{r}}(m)|_{m=0}$  and  $\hat{R}_{\check{r}\check{r}\check{r}\check{r}}(0, 0, 0) = \hat{R}_{\check{r}\check{r}\check{r}\check{r}}(l, m, q)|_{l=m=q=0}$ , where  $\hat{R}_{\check{r}\check{r}}(m) = \frac{1}{N-m} \sum_{k=1}^{N-m} \check{r}^*(k) \check{r}(k+m)$  and  $\hat{R}_{\check{r}\check{r}\check{r}\check{r}}(l, m, q) = \frac{1}{N-\max\{l, m, q\}} \sum_{k=1}^{N-\max\{l, m, q\}} \check{r}^*(k) \check{r}(k+l) \check{r}(k+m) \check{r}^*(k+q)$  respectively denote the estimations of  $R_{\check{r}\check{r}}(m)$  and  $R_{\check{r}\check{r}\check{r}\check{r}}(l, m, q)$ , the estimator of the RFR can be expressed as

$$\left( \frac{K}{1+K} \right) = \sqrt{2\hat{R}_{\check{r}\check{r}}^2(0) - \hat{R}_{\check{r}\check{r}\check{r}\check{r}}(0, 0, 0)}. \quad (13)$$

## B. CONCLUDED ALGORITHM ON THE ESTIMATION OF THE RICE FACTOR RATIO

Based on the analyses, discussions, and mathematical derivations mentioned above, we can clearly see that the estimation algorithm on the RFR proposed in this paper does not need to estimate the extra parameters such as the indistinguishable multipaths and noise variance, and to employ *a priori* knowledge of the frequency offset (the maximum Doppler shift and the Doppler shift), which also reduces the algorithm complexity and avoids error propagation. Except for



**Algorithm 1** Estimation of Rice Factor Ratio for Doubly Selective Fading Channels

**Input:**  $r(k)$

**Output:**  $\left(\frac{K}{1+K}\right)$

- 1: Get  $\check{r}(k) = r(k) \cdot s^*(k)$
- 2: Calculate  $\hat{R}_{\check{r}\check{r}}(0)$  which is the estimation of the (5) when  $m = 0$
- 3: Calculate  $\hat{R}_{\check{r}\check{r}\check{r}\check{r}}(0, 0, 0)$  that is the estimation of the (6) when  $l = m = q = 0$
- 4: **return**  $\left(\frac{K}{1+K}\right) = \sqrt{2\hat{R}_{\check{r}\check{r}}^2(0) - \hat{R}_{\check{r}\check{r}\check{r}\check{r}}(0, 0, 0)}$

the above merits, it can be noticed from the equation of the estimation on the RFR that only maximum estimation values of the second-order and fourth-order statistics defined in this paper are utilized, which further reduces the computational complexity so that the addressed scheme is more suitable for such application scenarios requiring low computational loads. Before proceeding to further discussion on performance evaluation, we conclude the proposed algorithm on the RFR estimation for the Rician doubly selective fading channels in Algorithm 1.

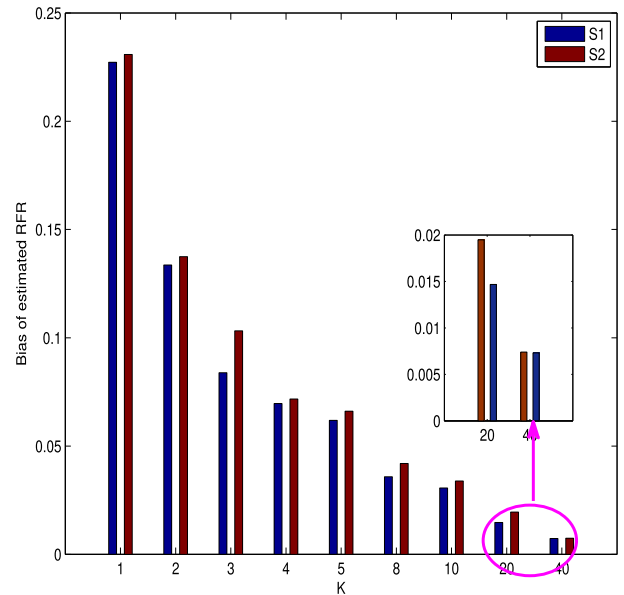
This algorithm clearly describes the mechanism of the estimation on the RFR. Generally speaking, except for the simple mathematical form of the estimated component, we further need to understand the performance of the proposed method. Thus, we will provide a detailed performance evaluation on the suggested algorithm for several conditions of interest through the computer simulation as follows.

**IV. PERFORMANCE EVALUATION**

Performance verification of the proposed RFR estimation algorithm is carried out in this section. Such scenarios are considered for the simulations and performance analyses, where the transmitted signals over the Rician doubly selective fading channels and additive noise are received. The Zadoff-Chu sequence is employed for known data, and carrier frequency is set as 2 GHz. The Rician non-frequency selective fast fading channel coefficient and Rayleigh frequency flat fast fading channel coefficients are generated by [28]. We have chosen  $L = 3$  and 4 multipaths scenarios for performance comparisons, respectively. For convenience, we denote these two scenarios as S1 and S2, and the magnitudes of useful components for the S1 and S2 are as follows, S1:  $P_0 = 0.55, P_1 = 0.72, \text{ and } P_2 = 0.42$ ; and S2:  $P_0 = 0.65, P_1 = 0.52, P_2 = 0.45, \text{ and } P_3 = 0.32$ . All simulation results are obtained by averaging over 10,000 Monte-Carlo trails and the RFR is assumed as a constant value in the estimation procedure.

**A. BIAS OF THE ESTIMATED RICE FACTOR RATIO VERSUS  $K$**

In this subsection, the bias of the estimated RFR against  $K$  from 1 to 40, i.e.,  $K/(1 + K) \in [1/2, 40/41]$  is shown in Fig. 1 for the S1 and S2, where  $N = 1024$ , the mobile



**FIGURE 1.** Comparisons of the bias on the estimated RFR versus the  $K$  for different wireless scenarios.

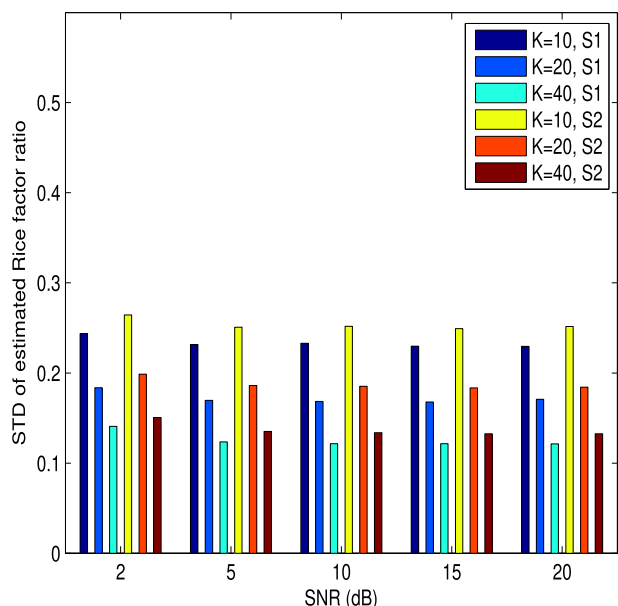
travels at a speed of 200 km/h, angle of arrival  $\theta_0 = \pi/4$ , and SNR is set as 20 dB. From this simulation experiment, it can be seen that the bias becomes smaller and smaller with the increments of the  $K$ . Furthermore, it is also shown that the bias of the estimated RFR for the S1 is less than that for the S2. As predicted, it verifies the effect of the scenarios with rich multipaths on the estimation performance of the RFR.

**B. STD OF THE ESTIMATED RICE FACTOR RATIO VERSUS SNR**

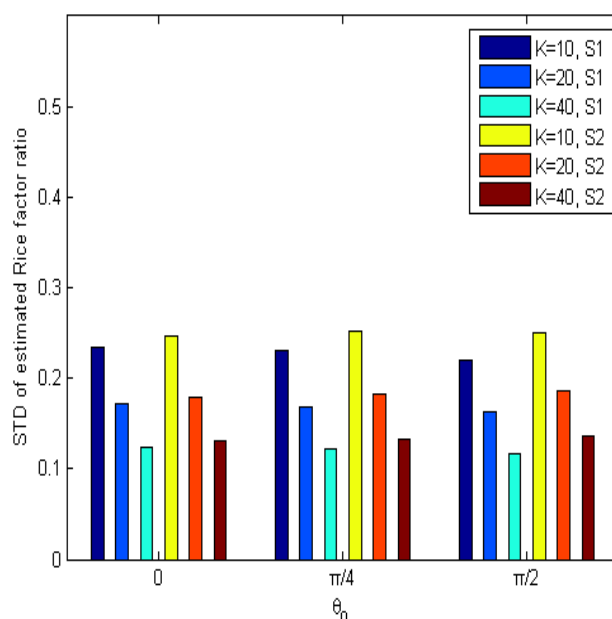
In the following subsections, the standard deviation (STD) of the estimated RFR is chosen as the performance index to further evaluate our proposed algorithm. The STD of the estimated RFR versus SNR is illustrated in Fig. 2, where most of parameters are the same as the ones considered in the previous section except for the  $K$  and SNR. In this section, the Rice factor is set as 10, 20 and 40, i.e., its corresponding the RFR is 10/11, 20/21 and 40/41, respectively, and the SNR varies from 2 to 20 dB. As seen from the Fig. 2, the STD of the estimation on the RFR is approximately from 0.22 to 0.26 for  $K = 10$ , from 0.16 to 0.19 for  $K = 20$  and from 0.12 to 0.15 for  $K = 40$  at the different wireless scenarios. Furthermore, we clearly see that the STD of the RFR estimation is somewhat unchanged when the SNR is higher than 0 dB in all scenarios considered in this paper. Further speaking, the investigated algorithm is robust at the SNR of over 0 dB for various scenarios we consider.

**C. STD OF THE ESTIMATED RICE FACTOR RATIO VERSUS FREQUENCY OFFSET (MAXIMUM DOPPLER SHIFT AND DOPPLER SHIFT)**

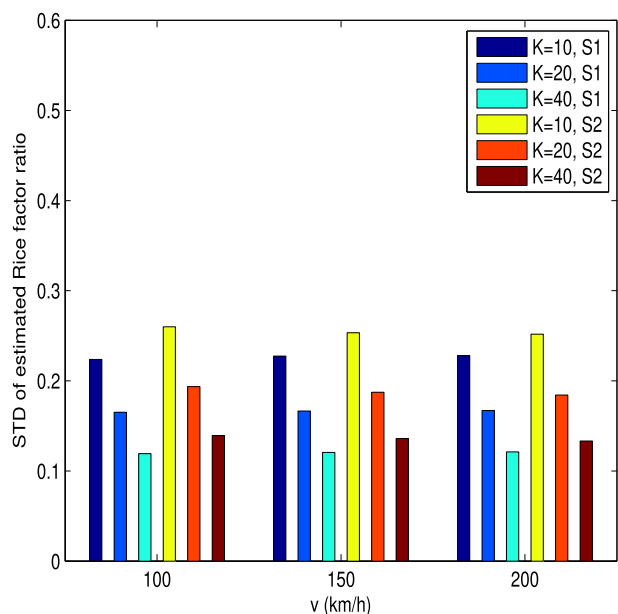
In this subsection, the influence of the maximum Doppler shift and the Doppler shift on the estimation performance of the proposed scheme is separately investigated



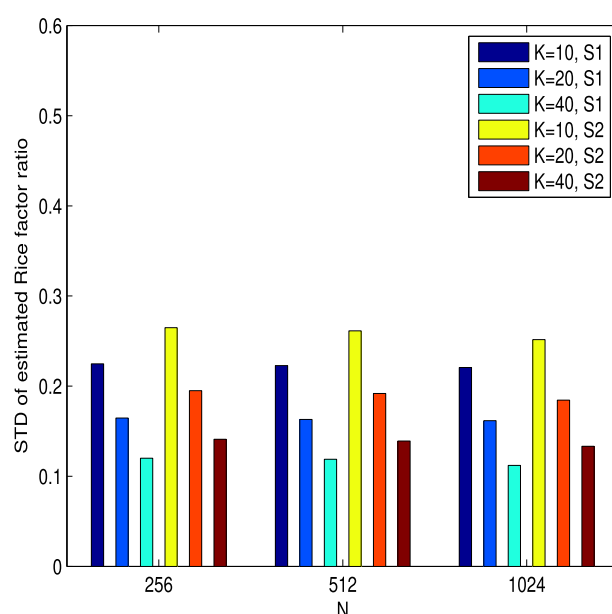
**FIGURE 2.** Comparisons of the STD on the estimated RFR versus the SNR for different wireless scenarios at  $K = 10$ ,  $K = 20$  and  $K = 40$ , respectively.



**FIGURE 4.** Comparisons of the STD on the estimated RFR versus the angle of arrival for different wireless scenarios at  $K = 10$ ,  $K = 20$  and  $K = 40$ , respectively.



**FIGURE 3.** Comparisons of the STD on the estimated RFR versus the mobile speed for different wireless scenarios at  $K = 10$ ,  $K = 20$  and  $K = 40$ , respectively.



**FIGURE 5.** Comparisons of the STD on the estimated RFR versus the  $N$  for different wireless scenarios at  $K = 10$ ,  $K = 20$  and  $K = 40$ , respectively.

in Fig. 3 and Fig. 4. Some parameters such as  $N$ , SNR and  $K$  are set as the ones in the first and second scenario, respectively. In the first simulation, we employ the relation between the mobile speed and the maximum Doppler shift, i.e.,  $f_d = f_c v/c$ , where  $f_c$  is the carrier frequency,  $c$  is the light speed and  $v$  is the mobile speed, and assume that the angle of arrival  $\theta_0 = \pi/4$  and the mobile travels at different speeds from 100 km/h to 200 km/h. Namely, in Fig. 3, the effect of the maximum Doppler shift on the performance of the estimated RFR is evaluated. It can be seen from this

figure that the estimated STD of the RFR is almost invariant when the speeds vary from the range mentioned above. In another simulation, we assume that the mobile speed is set as 200 km/h, and the angle of arrival  $\theta_0$  varies from 0 to  $\pi/2$ . That is to say, in this simulation, we investigate the influence of the Doppler shift on the estimation performance. It can be noticed from the Fig. 4 that the STD of the estimated RFR is almost unchanged for various angles of arrival. From the above results, we can conclude that the estimated RFR is robust to the maximum Doppler shift and the Doppler shift, which can enhance application scenarios.

**D. STD OF THE ESTIMATED RICE FACTOR RATIO VERSUS N**

In this subsection, we evaluate the effect of the length of the known data  $N$  on the estimation performance in Fig. 5. Except for  $N$  from 256 to 1024, most of the parameters are the ones adopted in the above subsections, i.e., the SNR, the mobile speed and the angle of arrival are set as 20 dB, 200 km/h, and  $\pi/4$ , respectively. The RFR is set as 10/11, 20/21 and 40/41, viz.,  $K = 10, 20$  and  $40$ . It can be seen from simulation results that the STD of the estimated RFR shows a little change when  $N \geq 256$ , and slightly reduces as the  $N$  increases. Thus, as we expected, the proposed method is more suitable for such applications with the low computational complexity.

**V. CONCLUSION**

In this paper, the corresponding background of the parameter that is to be estimated and its formulation are introduced, and an estimation algorithm on the RFR is proposed for doubly selective fading channels. The addressed method first processes the received signals by employing the property of the known data, which is to be further handled by utilizing two propositions with the second-order and fourth-order statistics. Based on the above analyses and mathematical operations, a useful expression on the estimated RFR is presented for the scenarios mentioned in the preliminary. The investigated approach does not need extra parameter estimation and *a priori* information on the maximum Doppler shift or the Doppler shift, which not only avoids error propagation, but also reduces the algorithm complexity. Meanwhile, the suggested method only utilizes the maximum estimation values of the second-order and fourth-order statistics, which can further reduce the computational complexity. Furthermore, the proposed scheme is robust with respect to the SNR over 0 dB, the maximum Doppler shift and the Doppler shift, and has a small change on the STD with the increasing length of the known data, which is proven to be the potential applications where detailed scenarios were not considered and the proposed scheme is more suitable for the scenarios with the low computational complexity. Finally, these performance evaluations are carried out by computer simulation experiments, and their simulation results demonstrate the superior benefits of the presented algorithm.

**APPENDIXES**

**APPENDIX A**

**PROOF OF THE PROPOSITION 1**

This appendix presents the proof on the second-order statistic of the  $\check{r}$ . During the computation of the (7),  $E\{w(k)\} \equiv 0$ ,  $E\{w^*(k)\} \equiv 0$ ,  $E\{w^*(k)w(k+m)\} \equiv \sigma^2\delta(m)$ ,  $E\{h_{\text{NLoS}}(k)\} \equiv 0$ ,  $E\{h_{\text{NLoS}}^*(k)\} \equiv 0$ ,  $E\{h_{\text{NLoS},\ell}^*(k)h_{\text{NLoS},\ell'}(k+m)\} \equiv J_0(2\pi f_d m)\delta(\ell-\ell')$ , and  $E\{s^*(k-\iota_\ell)s(k)s(k+m-\iota_\ell)s^*(k+m)\} \equiv \delta(m)$  are utilized.

Based on the above identities, we obtain

$$\begin{aligned} R_{\check{r}\check{r}}(m) &= E\{\check{r}^*(k) \cdot \check{r}(k+m)\} \\ &= E\left\{\left(\sqrt{\frac{K}{1+K}} \exp(-j2\pi\check{f}_d k - j\phi_0) \right. \right. \end{aligned}$$

$$\begin{aligned} &+ \sqrt{\frac{1}{1+K}} P_0 h_{\text{NLoS},0}^*(k) \\ &+ \sqrt{\frac{1}{1+K}} \sum_{\ell=1}^{L-1} P_\ell h_{\text{NLoS},\ell}^*(k) s^*(k-\iota_\ell) s(k) + w^*(k) \Big) \\ &\cdot \left( \sqrt{\frac{K}{1+K}} \exp(j2\pi\check{f}_d(k+m) + j\phi_0) \right. \\ &+ \sqrt{\frac{1}{1+K}} P_0 h_{\text{NLoS},0}(k+m) \\ &+ \left. \left. \sqrt{\frac{1}{1+K}} \sum_{\ell=1}^{L-1} P_\ell h_{\text{NLoS},\ell}(k+m) s(k+m-\iota_\ell) s^*(k+m) \right. \right. \\ &\left. \left. + w(k+m) \right) \right\} \\ &= \frac{K}{1+K} \exp(j2\pi\check{f}_d m) + \frac{1}{1+K} J_0(2\pi\check{f}_d m) \\ &\cdot \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m) \right) + \sigma^2 \delta(m). \end{aligned} \tag{14}$$

**APPENDIX B**

**PROOF OF THE PROPOSITION 2**

In this appendix, we will derive the fourth-order statistic of the  $\check{r}$ . Besides the identities employed in (7), in the process of calculating (8),  $E\{(h_{\text{NLoS},\cdot}(k+l)h_{\text{NLoS},\cdot}(k+m))^*\} \equiv 0$ ,  $E\{h_{\text{NLoS},\cdot}(k+m)h_{\text{NLoS},\cdot}(k+l)\} \equiv 0$ ,  $E\{h_{\text{NLoS},\cdot}^*(k)h_{\text{NLoS},\cdot}(k+m)h_{\text{NLoS},\cdot}(k+l)\} \equiv 0$ ,  $E\{h_{\text{NLoS},\cdot}(k+l)h_{\text{NLoS},\cdot}(k+m)h_{\text{NLoS},\cdot}^*(k+q)\} \equiv 0$ ,  $E\{h_{\text{NLoS},\cdot}^*(k)h_{\text{NLoS},\cdot}(k+m)h_{\text{NLoS},\cdot}^*(k+q)\} \equiv 0$ ,  $E\{h_{\text{NLoS},\cdot}^*(k)h_{\text{NLoS},\cdot}(k+l)h_{\text{NLoS},\cdot}^*(k+q)\} \equiv 0$ ,  $E\{h_{\text{NLoS},\ell}^*(k)h_{\text{NLoS},\ell'}(k+l)h_{\text{NLoS},\ell''}(k+m)h_{\text{NLoS},\ell'''}(k+q)\} \equiv E\{h_{\text{NLoS},\ell}^*(k)h_{\text{NLoS},\ell'}(k+l)\}E\{h_{\text{NLoS},\ell''}(k+m)h_{\text{NLoS},\ell'''}(k+q)\} + E\{h_{\text{NLoS},\ell}^*(k)h_{\text{NLoS},\ell''}(k+m)\}E\{h_{\text{NLoS},\ell'}(k+l)h_{\text{NLoS},\ell'''}(k+q)\}$ ,  $E\{w(k+m)w(k+l)\}^* \equiv 0$ ,  $E\{w(k+m)w(k+l)\} \equiv 0$ ,  $E\{w^*(k)w(k+m)w(k+l)\} \equiv 0$ ,  $E\{w(k+l)w(k+m)w^*(k+q)\} \equiv 0$ ,  $E\{w^*(k)w(k+m)w^*(k+q)\} \equiv 0$ ,  $E\{w^*(k)w(k+l)w^*(k+q)\} \equiv 0$ ,  $E\{w^*(k)w(k+l)w(k+m)w^*(k+q)\} \equiv E\{w^*(k)w(k+l)\}E\{w(k+m)w^*(k+q)\} + E\{w^*(k)w(k+m)\}E\{w(k+l)w^*(k+q)\}$ ,  $E\{s(k+m-\iota_\ell)s^*(k+m)s^*(k+q-\iota_\ell)s(k+q)\} \equiv \delta(m-q)$ , and  $E\{s(k+l-\iota_\ell)s^*(k+l)s^*(k+q-\iota_\ell)s(k+q)\} \equiv \delta(l-q)$  are employed.

Substituting (4) into (6), we obtain

$$\begin{aligned} R_{\check{r}\check{r}\check{r}\check{r}}(l, m, q) &= E\{\check{r}^*(k) \cdot \check{r}(k+l) \cdot \check{r}(k+m) \cdot \check{r}^*(k+q)\} \\ &= E\left\{\underbrace{\left(\sqrt{\frac{K}{1+K}} \exp(-j2\pi\check{f}_d k - j\phi_0) \right.}_{A1} \right. \\ &\quad \left. \left. + \sqrt{\frac{1}{1+K}} \left( P_0 h_{\text{NLoS},0}^*(k) + \sum_{\ell=1}^{L-1} P_\ell h_{\text{NLoS},\ell}^*(k) s^*(k-\iota_\ell) s(k) \right) \right.}_{A2} \right\} \end{aligned}$$

$$\begin{aligned}
 & + \underbrace{\frac{w^*(k)}{A3}}_{B1} \left( \underbrace{\sqrt{\frac{K}{1+K}} \exp(j2\pi f_d \check{d}(k+l) + j\phi_0)}_{B1} \right) \\
 & + \underbrace{\sqrt{\frac{1}{1+K}} P_0 h_{\text{NLoS},0}(k+l)}_{B2} \\
 & + \underbrace{\sqrt{\frac{1}{1+K}} \sum_{\ell=1}^{L-1} P_\ell h_{\text{NLoS},\ell}(k+l) s(k+l-\iota_\ell) s^*(k+l)}_{B2} \\
 & + \underbrace{\frac{w(n+l)}{B3}}_{C1} \left( \underbrace{\sqrt{\frac{K}{1+K}} \exp(j2\pi f_d \check{d}(k+m) + j\phi_0)}_{C1} \right) \\
 & + \underbrace{\sqrt{\frac{1}{1+K}} P_0 h_{\text{NLoS},0}(k+m)}_{C2} \\
 & + \underbrace{\sqrt{\frac{1}{1+K}} \sum_{\ell=1}^{L-1} P_\ell h_{\text{NLoS},\ell}(k+m) s(k+m-\iota_\ell) s^*(k+m)}_{C2} \\
 & + \underbrace{\frac{w(n+m)}{C3}}_{D1} \left( \underbrace{\sqrt{\frac{K}{1+K}} \exp(-j2\pi f_d \check{d}(k+q) - j\phi_0)}_{D1} \right) \\
 & + \underbrace{\sqrt{\frac{1}{1+K}} P_0 h_{\text{NLoS},0}^*(k+q)}_{D2} \\
 & + \underbrace{\sqrt{\frac{1}{1+K}} \sum_{\ell=1}^{L-1} P_\ell h_{\text{NLoS},\ell}^*(k+q) s^*(k+q-\iota_\ell) s(k+q)}_{D2} \\
 & + \underbrace{\frac{w^*(n+q)}{D3}}_{D3} \left. \right\}. \tag{15}
 \end{aligned}$$

By employing the above identities, (15) is composed by

$$\begin{aligned}
 & E\{A1 \cdot B1 \cdot C1 \cdot D1\} \\
 & = \left( \frac{K}{1+K} \right)^2 \exp(j2\pi f_d \check{d}(l+m-q)), \tag{16}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A1 \cdot B1 \cdot C1 \cdot D2\} \\
 & = E\{A1 \cdot B1 \cdot C1 \cdot D3\} \\
 & = E\{A1 \cdot B1 \cdot C2 \cdot D1\} \\
 & = E\{A1 \cdot B1 \cdot C2 \cdot D3\} \\
 & = E\{A1 \cdot B1 \cdot C3 \cdot D1\} \\
 & = E\{A1 \cdot B1 \cdot C3 \cdot D2\} \\
 & = 0, \tag{17}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A1 \cdot B1 \cdot C2 \cdot D2\} \\
 & = \frac{K}{(1+K)^2} \exp(j2\pi f_d \check{d}l) \\
 & \cdot J_0(2\pi f_d(m-q)) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m-q) \right), \tag{18}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A1 \cdot B1 \cdot C3 \cdot D3\} \\
 & = \frac{K}{1+K} \exp(j2\pi f_d \check{d}l) \sigma^2 \delta(m-q), \tag{19}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A1 \cdot B2 \cdot C1 \cdot D1\} \\
 & = E\{A1 \cdot B2 \cdot C1 \cdot D3\} \\
 & = E\{A1 \cdot B2 \cdot C2 \cdot D1\} \\
 & = E\{A1 \cdot B2 \cdot C2 \cdot D2\} \\
 & = E\{A1 \cdot B2 \cdot C2 \cdot D3\} \\
 & = E\{A1 \cdot B2 \cdot C3 \cdot D1\} \\
 & = E\{A1 \cdot B2 \cdot C3 \cdot D2\} \\
 & = E\{A1 \cdot B2 \cdot C3 \cdot D3\} \\
 & = 0, \tag{20}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A1 \cdot B2 \cdot C1 \cdot D2\} \\
 & = \frac{K}{(1+K)^2} \exp(j2\pi f_d \check{d}m) \\
 & \cdot J_0(2\pi f_d(l-q)) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l-q) \right), \tag{21}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A1 \cdot B3 \cdot C1 \cdot D1\} \\
 & = E\{A1 \cdot B3 \cdot C1 \cdot D2\} \\
 & = E\{A1 \cdot B3 \cdot C2 \cdot D1\} \\
 & = E\{A1 \cdot B3 \cdot C2 \cdot D2\} \\
 & = E\{A1 \cdot B3 \cdot C2 \cdot D3\} \\
 & = E\{A1 \cdot B3 \cdot C3 \cdot D1\} \\
 & = E\{A1 \cdot B3 \cdot C3 \cdot D2\} \\
 & = E\{A1 \cdot B3 \cdot C3 \cdot D3\} \\
 & = 0, \tag{22}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A1 \cdot B3 \cdot C1 \cdot D3\} \\
 & = \frac{K}{1+K} \exp(j2\pi f_d \check{d}m) \sigma^2 \delta(l-q), \tag{23}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A2 \cdot B1 \cdot C1 \cdot D1\} \\
 & = E\{A2 \cdot B1 \cdot C1 \cdot D2\} \\
 & = E\{A2 \cdot B1 \cdot C1 \cdot D3\} \\
 & = E\{A2 \cdot B1 \cdot C2 \cdot D2\} \\
 & = E\{A2 \cdot B1 \cdot C2 \cdot D3\} \\
 & = E\{A2 \cdot B1 \cdot C3 \cdot D1\} \\
 & = E\{A2 \cdot B1 \cdot C3 \cdot D2\} \\
 & = E\{A2 \cdot B1 \cdot C3 \cdot D3\} \\
 & = 0, \tag{24}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A2 \cdot B1 \cdot C2 \cdot D1\} \\
 & = \frac{K}{(1+K)^2} \exp(j2\pi f_d \check{d}(l-q)) \\
 & \cdot J_0(2\pi f_d m) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m) \right), \tag{25}
 \end{aligned}$$

$$\begin{aligned}
 & E\{A2 \cdot B2 \cdot C1 \cdot D1\} \\
 & = \frac{K}{(1+K)^2} \exp(j2\pi f_d \check{d}(m-q)) \\
 & \cdot J_0(2\pi f_d l) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l) \right), \tag{26}
 \end{aligned}$$



$$\begin{aligned}
 & E\{A2 \cdot B2 \cdot C1 \cdot D2\} \\
 &= E\{A2 \cdot B2 \cdot C1 \cdot D3\} \\
 &= E\{A2 \cdot B2 \cdot C2 \cdot D1\} \\
 &= E\{A2 \cdot B2 \cdot C2 \cdot D3\} \\
 &= E\{A2 \cdot B2 \cdot C3 \cdot D1\} \\
 &= E\{A2 \cdot B2 \cdot C3 \cdot D2\} \\
 &= 0,
 \end{aligned} \tag{27}$$

$$\begin{aligned}
 & E\{A2 \cdot B2 \cdot C2 \cdot D2\} \\
 &= \frac{1}{(1+K)^2} \left( J_0(2\pi f_d l) J_0(2\pi f_d(m-q)) \right. \\
 &\quad \cdot \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l) \right) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m-q) \right) \\
 &\quad + J_0(2\pi f_d m) J_0(2\pi f_d(l-q)) \\
 &\quad \cdot \left. \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m) \right) \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l-q) \right) \right),
 \end{aligned} \tag{28}$$

$$\begin{aligned}
 & E\{A2 \cdot B2 \cdot C3 \cdot D3\} \\
 &= \frac{1}{1+K} J_0(2\pi f_d l) \sigma^2 \delta(m-q) \\
 &\quad \cdot \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l) \right),
 \end{aligned} \tag{29}$$

$$\begin{aligned}
 & E\{A2 \cdot B3 \cdot C1 \cdot D1\} \\
 &= E\{A2 \cdot B3 \cdot C1 \cdot D2\} \\
 &= E\{A2 \cdot B3 \cdot C1 \cdot D3\} \\
 &= E\{A2 \cdot B3 \cdot C2 \cdot D1\} \\
 &= E\{A2 \cdot B3 \cdot C2 \cdot D2\} \\
 &= E\{A2 \cdot B3 \cdot C3 \cdot D1\} \\
 &= E\{A2 \cdot B3 \cdot C3 \cdot D2\} \\
 &= E\{A2 \cdot B3 \cdot C3 \cdot D3\} \\
 &= 0,
 \end{aligned} \tag{30}$$

$$\begin{aligned}
 & E\{A2 \cdot B3 \cdot C2 \cdot D3\} \\
 &= \frac{1}{1+K} J_0(2\pi f_d m) \sigma^2 \delta(l-q) \\
 &\quad \cdot \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m) \right),
 \end{aligned} \tag{31}$$

$$\begin{aligned}
 & E\{A3 \cdot B1 \cdot C1 \cdot D1\} \\
 &= E\{A3 \cdot B1 \cdot C1 \cdot D2\} \\
 &= E\{A3 \cdot B1 \cdot C1 \cdot D3\} \\
 &= E\{A3 \cdot B1 \cdot C2 \cdot D1\} \\
 &= E\{A3 \cdot B1 \cdot C2 \cdot D2\} \\
 &= E\{A3 \cdot B1 \cdot C2 \cdot D3\} \\
 &= E\{A3 \cdot B1 \cdot C3 \cdot D2\} \\
 &= E\{A3 \cdot B1 \cdot C3 \cdot D3\} \\
 &= 0,
 \end{aligned} \tag{32}$$

$$\begin{aligned}
 & E\{A3 \cdot B1 \cdot C3 \cdot D1\} \\
 &= \frac{K}{1+K} \exp(j2\pi f_d(l-q)) \sigma^2 \delta(m),
 \end{aligned} \tag{33}$$

$$\begin{aligned}
 & E\{A3 \cdot B2 \cdot C1 \cdot D1\} \\
 &= E\{A3 \cdot B2 \cdot C1 \cdot D2\} \\
 &= E\{A3 \cdot B2 \cdot C1 \cdot D3\} \\
 &= E\{A3 \cdot B2 \cdot C2 \cdot D1\} \\
 &= E\{A3 \cdot B2 \cdot C2 \cdot D2\} \\
 &= E\{A3 \cdot B2 \cdot C2 \cdot D3\} \\
 &= E\{A3 \cdot B2 \cdot C3 \cdot D1\} \\
 &= E\{A3 \cdot B2 \cdot C3 \cdot D3\} \\
 &= 0,
 \end{aligned} \tag{34}$$

$$\begin{aligned}
 & E\{A3 \cdot B2 \cdot C3 \cdot D2\} \\
 &= \frac{1}{1+K} J_0(2\pi f_d(l-q)) \sigma^2 \delta(m) \\
 &\quad \cdot \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(l-q) \right),
 \end{aligned} \tag{35}$$

$$\begin{aligned}
 & E\{A3 \cdot B3 \cdot C1 \cdot D1\} \\
 &= \frac{K}{1+K} \exp(j2\pi f_d(m-q)) \sigma^2 \delta(l),
 \end{aligned} \tag{36}$$

$$\begin{aligned}
 & E\{A3 \cdot B3 \cdot C1 \cdot D2\} \\
 &= E\{A3 \cdot B3 \cdot C1 \cdot D3\} \\
 &= E\{A3 \cdot B3 \cdot C2 \cdot D1\} \\
 &= E\{A3 \cdot B3 \cdot C2 \cdot D3\} \\
 &= E\{A3 \cdot B3 \cdot C3 \cdot D1\} \\
 &= E\{A3 \cdot B3 \cdot C3 \cdot D2\} \\
 &= 0,
 \end{aligned} \tag{37}$$

$$\begin{aligned}
 & E\{A3 \cdot B3 \cdot C2 \cdot D2\} \\
 &= \frac{1}{1+K} J_0(2\pi f_d(m-q)) \sigma^2 \delta(l) \\
 &\quad \cdot \left( P_0^2 + \sum_{\ell=1}^{L-1} P_\ell^2 \delta(m-q) \right),
 \end{aligned} \tag{38}$$

$$\begin{aligned}
 & E\{A3 \cdot B3 \cdot C3 \cdot D3\} \\
 &= \sigma^4 \left( \delta(l) \delta(m-q) + \delta(m) \delta(l-q) \right).
 \end{aligned} \tag{39}$$

Based on the above detailed derivations, we conclude the proposition 2.

**ACKNOWLEDGMENT**

The authors would like to thank the anonymous referees for their valuable comments and helpful suggestions to improve the quality and readability of the early version of the paper.

**REFERENCES**

- [1] G. Gui, H. Sari, and E. Biglieri, "A new definition of fairness for non-orthogonal multiple access," *IEEE Commun. Lett.*, vol. 23, no. 7, pp. 1267–1271, Jul. 2019.
- [2] L. Wang, F. Jiang, Z. Yuan, J. Yang, G. Gui, and H. Sari, "Mode division multiple access: A new scheme based on orbital angular momentum in millimetre wave communications for fifth generation," *IET Commun.*, vol. 12, no. 12, pp. 1416–1421, Jul. 2018.
- [3] G. Gui, H. Huang, Y. Song, and H. Sari, "Deep learning for an effective nonorthogonal multiple access scheme," *IEEE Trans. Veh. Technol.*, vol. 67, no. 9, pp. 8440–8450, Sep. 2018.

- [4] F. Zhou, N. C. Beaulieu, Z. Li, J. Si, and P. Qi, "Energy-efficient optimal power allocation for fading cognitive radio channels: Ergodic capacity, outage capacity, and minimum-rate capacity," *IEEE Trans. Wireless Commun.*, vol. 15, no. 4, pp. 2741–2755, Apr. 2016.
- [5] K. Wang, P.-Q. Huang, K. Yang, C. Pan, and J. Wang, "Unified offloading decision making and resource allocation in ME-RAN," *IEEE Trans. Veh. Technol.*, vol. 68, no. 8, pp. 8159–8172, Aug. 2019.
- [6] A. Stephenne, F. Bellili, and S. Affes, "Moment-based SNR estimation over linearly-modulated wireless SIMO channels," *IEEE Trans. Wireless Commun.*, vol. 9, no. 2, pp. 714–722, Feb. 2010.
- [7] I. Bousnina, M. B. B. Salah, A. Samet, and I. Dayoub, "Ricean K-factor and SNR estimation for M-PSK modulated signals using the fourth-order cross-moments matrix," *IEEE Commun. Lett.*, vol. 16, no. 8, pp. 1236–1239, Aug. 2012.
- [8] S. Zhu, T. S. Ghazaaany, S. M. R. Jones, R. A. Abd-Alhameed, J. M. Noras, T. Van Buren, J. Wilson, T. Suggett, and S. Marker, "Probability distribution of Rician K-factor in urban, suburban and rural areas using real-world captured data," *IEEE Trans. Antennas Propag.*, vol. 62, no. 7, pp. 3835–3839, Jul. 2014.
- [9] J. Wang, Y. Cui, H. Sun, J. Li, M. Zhou, Z. A. H. Qasem, H. Esmaili, and L. Liu, "Doppler shift estimation for space-based AIS signals over satellite-to-ship links," *IEEE Access*, vol. 7, pp. 76250–76262, 2019.
- [10] J. Wang, Y. Cui, L. Liu, S. Ma, and G. Pan, "Frequency offset estimation for index modulation-based cognitive underwater acoustic communications," in *Proc. IEEE Int. Conf. Signal Process., Commun. Comput. (ICSPCC)*, Oct. 2017, pp. 1–5.
- [11] D. Greenwood and L. Hanzo, "Characterization of mobile radio channels," in *Mobile Radio Communications*, R. Steele, Ed. Mountain View, CA, USA: Pentech, 1992, pp. 163–180.
- [12] K. K. Talukdar and W. D. Lawing, "Estimation of the parameters of the Rice distribution," *J. Acoust. Soc. Amer.*, vol. 89, no. 3, pp. 1193–1197, 1991.
- [13] L. J. Greenstein, D. G. Michelson, and V. Erceg, "Moment-method estimation of the Ricean K-factor," *IEEE Commun. Lett.*, vol. 3, no. 6, pp. 175–176, Jun. 1999.
- [14] C. Tepedelenioglu, A. Abdi, and G. Giannakis, "The Ricean K factor: Estimation and performance analysis," *IEEE Trans. Wireless Commun.*, vol. 2, no. 4, pp. 799–810, Jul. 2003.
- [15] A. Abdi, C. Tepedelenioglu, M. Kaveh, and G. Giannakis, "On the estimation of the K parameter for the Rice fading distribution," *IEEE Commun. Lett.*, vol. 5, no. 3, pp. 92–94, Mar. 2001.
- [16] A. Naimi and G. Azemi, "K-factor estimation in shadowed Ricean mobile communication channels," *Wireless Commun. Mob. Comput.*, vol. 9, no. 10, pp. 1379–1386, Oct. 2009.
- [17] Y. Chen and N. C. Beaulieu, "Maximum likelihood estimation of the K factor in Ricean fading channels," *IEEE Commun. Lett.*, vol. 9, no. 12, pp. 1040–1042, Dec. 2005.
- [18] K. Baddour and T. Willink, "Improved estimation of the ricean K-factor from I/Q fading channel samples," *IEEE Trans. Wireless Commun.*, vol. 7, no. 12, pp. 5051–5057, Dec. 2008.
- [19] G. Azemi, B. Senadji, and B. Boashash, "Ricean K-factor estimation in mobile communication systems," *IEEE Commun. Lett.*, vol. 8, no. 10, pp. 617–619, Oct. 2004.
- [20] J. Ren and R. G. Vaughan, "Rice factor estimation from the channel phase," *IEEE Trans. Wireless Commun.*, vol. 11, no. 6, pp. 1976–1980, Jun. 2012.
- [21] N. Sasaoka, Y. Adachi, and Y. Itoh, "K factor estimation for MIMO multipath channels," in *Proc. IEEE Int. Conf. Digit. Signal Process. (DSP)*, Jul. 2015, pp. 1081–1084.
- [22] H. Omote, Y. Ohta, and T. Fujii, "Predicting the K-factor of divided paths in wideband mobile propagation," in *Proc. VTC Spring IEEE 69th Veh. Technol. Conf.*, Apr. 2009, pp. 1–5.
- [23] T. Zhou, C. Tao, L. Liu, and Z. Tan, "Ricean K-factor measurements and analysis for wideband radio channels in high-speed railway U-shape cutting scenarios," in *Proc. IEEE 79th Veh. Technol. Conf. (VTC Spring)*, May 2014, pp. 1–5.
- [24] P. Tang, J. Zhang, A. F. Molisch, P. J. Smith, M. Shafi, and L. Tian, "Estimation of the K-factor for temporal fading from single-snapshot wideband measurements," *IEEE Trans. Veh. Technol.*, vol. 68, no. 1, pp. 49–63, Jan. 2019.
- [25] Y. Chen and N. Beaulieu, "Estimation of Ricean K parameter and local average SNR from noisy correlated channel samples," *IEEE Trans. Wireless Commun.*, vol. 6, no. 2, pp. 640–648, Feb. 2007.
- [26] Z. He, S. Shao, Y. Shen, C. Qing, and Y. Tang, "Performance analysis of RF self-interference cancellation in full-duplex wireless communications," *IEEE Wireless Commun. Lett.*, vol. 3, no. 4, pp. 405–408, Aug. 2014.
- [27] J. Lu, T. Thiang Tjhung, F. Adachi, and C. Li Huang, "BER performance of OFDM-MDPSK system in frequency-selective Rician fading with diversity reception," *IEEE Trans. Veh. Technol.*, vol. 49, no. 4, pp. 1216–1225, Jul. 2000.
- [28] J. Wang, X. Ma, J. Teng, and Y. Cui, "Efficient and accurate simulator for Rayleigh and Rician fading," *Trans. Tianjin Univ.*, vol. 18, no. 4, pp. 243–247, Aug. 2012.



**JUNFENG WANG** received the Ph.D. degree in information and communication engineering from the School of Electronic Information Engineering, Tianjin University, China, in 2012.

He was a Research Scholar with the Missouri University of Science and Technology, USA. In 2012, he joined the Tianjin University of Technology, where he is currently an Assistant Professor with the Department of Information and Communication Engineering, School of Electrical and Electronic Engineering. His current research interests include wireless communications and signal processing.

Dr. Wang was a recipient of the 2008 Excellent Master Thesis Award and the 2012 Outstanding Graduate Research Achievement Award. He received the Best Paper Award from the Fifth International Conference on Communications, Signal Processing, and Systems. He is or has served as a Technical Program Committee Member and the Session Chair for several IEEE/IET conferences, and as a Reviewer of numerous IEEE/IET journals and conferences.



**YUE CUI** received the Ph.D. degree in circuit and system from the School of Electronic Information Engineering, Tianjin University, China, in 2012.

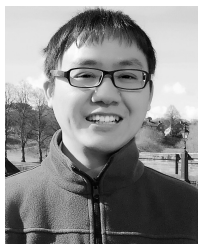
In 2012, she joined the Tianjin Normal University, where she is currently an Assistant Professor with the Department of Information Engineering, School of Computer and Information Engineering. From 2018 to 2019, she was a Research Scholar with the Missouri University of Science and Technology, USA. Her current research interests include array signal processing and unstationary signal processing.

Dr. Cui was a recipient of the Best Paper Award, in 2016 and the Faculty Excellence Award, in 2015. She is also a reviewer for many IEEE/IET top journals and conferences.



**HAO JIANG** (Member, IEEE) received the B.S. and M.S. degrees in electrical and information engineering from the Nanjing University of Information Science and Technology, Nanjing, China, in 2012 and 2015, respectively, and the Ph.D. degree from the National Mobile Communications Research Laboratory, Southeast University, Nanjing, in 2019.

From 2017 to 2018, he was a Visiting Student with the Department of Electrical Engineering, Columbia University, New York, NY, USA. Since April 2019, he has been a Professor with the School of Information Science and Engineering, Nanjing University of Information Science and Technology. His current research interests are in the general areas of vehicle-to-vehicle communications, massive multiple-input and multiple-output channel modeling, signal processing, machine learning, and AI-driven technologies.



**GAO FENG PAN** (Member, IEEE) received the B.Sc. degree in communication engineering from Zhengzhou University, Zhengzhou, China, in 2005, and the Ph.D. degree in communication and information systems from Southwest Jiaotong University, Chengdu, China, in 2011.

From 2009 to 2011, he was with The Ohio State University, Columbus, OH, USA, as a joint-trained Ph.D. student under the supervision of Prof. E. Ekici. From 2012 to 2019, he was with Southwest University, Chongqing, China. From 2016 to 2018, he was with the School of Computing and Communications, Lancaster University, Lancaster, U.K., where he was a Postdoctoral Fellow under the supervision of Prof. Z. Ding. Since August 2019, he has been a Visiting Researcher with the Communication Theory Lab, King Abdullah University of Science and Technology (KAUST), Thuwal, Saudi Arabia. His research interests span special topics in communications theory, signal processing, and protocol design, including satellite communication, visible light communications, secure communications, CR/cooperative communications, UAV communications, and MAC protocols.

Dr. Pan was a recipient of the Exemplary Reviewer Award by the IEEE TRANSACTIONS ON COMMUNICATIONS, in 2017.



**HAIXIN SUN** (Member, IEEE) received the B.S. and M.S. degrees in electronic engineering from the Shandong University of Science and Technology, Shandong, China, in 1999 and 2003, respectively, and the Ph.D. degree in communication engineering from the Institute of Acoustic, Chinese Academy of Sciences, Shanghai, China, in 2006.

From March 2012 to April 2013, he visited the Department of Electrical and Computer Engineering, University of Connecticut, Storrs, CT, USA. He is currently a Professor and a Doctorial Advisor with the School of Information Science and Engineering, Xiamen University. His current research interests include underwater acoustic communication, networks, and signal processing.

Dr. Sun is a member of IEICE. He was awarded the Huawei Fellowship of Xiamen University, in 2010, the Faculty of Engineering Excellence Award of Xiamen University, and the Prize of Chinese Army Scientific and Technological, in 2017. He has served as a reviewer for journals and conferences.



**JIANGHUI LI** received the B.S. degree in communications engineering from the Huazhong University of Science and Technology, Wuhan, China, in 2011, and the M.S. degree in communications engineering and the Ph.D. degree in electronics engineering from the University of York, U.K., in 2013 and 2017, respectively. From 2011 to 2012, he was a Research Assistant with the Chinese Academy of Sciences, Beijing, China. Since 2017, he has been a Research Fellow with

the University of Southampton, U.K. His current research interests include adaptive signal processing, wireless communications, underwater acoustics, and ocean engineering. He has been the first researcher receiving the IEEE OES Scholarship in U.K. He received the K. M. Stott Prize for Excellent Research for his Ph.D. degree.



**HAMADA ESMAIL** (Member, IEEE) received the B.E. degree (Hons.) in electrical engineering and the M.S. degree in wireless communications from South Valley University, Egypt, in 2005 and 2010, respectively, and the Ph.D. degree in communication engineering from the University of Tasmania, Australia, in 2015.

In 2011, he was a Research Assistant with the Wireless Communication Laboratory, Wonkwang University, Iksan, South Korea. Since 2015, he has been an Assistant Professor with Aswan University, Egypt. Since 2018, he has been a Visiting Researcher with the University of the Ryukyus, Nishihara, Japan. His research interests are in the areas of 5G cellular networks, Li-Fi technology, millimeter-wave transmissions, underwater communication, and MIMO systems.

Dr. Esmail is a Technical Committee Member for many international conferences. He is also the General Co-Chair of the IEEE ISWC 2018. He is also a reviewer of many international conferences, journals, and transactions.

• • •