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An Improved Grey Prediction Evolution Algorithm Based on Topological Opposition-Based Learning

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ABSTRACT The grey prediction evolution algorithm based on the even grey model (GPEAe) proposed by Z.B.Hu et al. in 2019 is a competitively stochastic real-parameter optimization algorithm with characters of simple code, less parameters and strong exploration capability. To improve the algorithmic overall performance, a topological opposition-based learning strategy (TOBL) is first developed to enhance its exploitation capability in this paper. The TOBL determines offsprings by calculating the Manhattan distances between the current best individual and all the vertices of the hypercube inspired by the opposition-based learning strategy. An improved grey prediction evolutionary algorithm based on the TOBL (TOGPEAe) is then proposed. The performance of the TOGPEAe is tested on CEC2005, CEC2014 benchmark functions and a test suite composed of six engineering design problems. The experimental results of the TOGPEAe are very competitive compared with those of the original GPEAe and other state-of-the-art algorithms.

INDEX TERMS Engineering design problems, grey prediction evolution algorithm, topological opposition-based learning.

I. INTRODUCTION

The optimization methods can be divided into traditional optimization methods and meta-heuristic optimization methods [1]. In recent years, meta-heuristic algorithms have attracted more and more attention because of its simple structure, easy implementation, independent of gradient information, avoiding local optimum, and wide application in engineering problems [2], [3]. According to the difference of inspired objects, the meta-heuristic algorithms can be divided into four categories: (I) based on nature evolution phenomena: genetic algorithm (GA) [4], differential evolution (DE) [5]–[7], covariance matrix adaptation evolution strategy (CMAES) [8], and backtracking search optimization algorithm (BSA) [9], [10]; (II) inspired by biological social activities (mainly are swarm intelligence algorithms [11]): particle swarm optimization (PSO) [12], cuckoo

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search (CS) [13], artificial bee colony algorithm (ABC) [14] and ant colony optimization algorithm (ACO) [15]; (III) based on physical phenomena: simulated annealing algorithm (SA) [16], gravitational search algorithm (GSA) [17], ray optimization algorithm (RO) [18], small-world optimization algorithm (SWOA) [19] and curved space optimization (CSO) [20]; (IV) inspired by mathematical models: estimation of distribution algorithm (EDA) [21], grey prediction evolution algorithm (GPEAe) [22].

Unlike other meta-heuristic algorithms, the GPEAe [22] proposed by Z.B.Hu et al. in 2019 is inspired by the even grey model of the grey theory [23]–[25]. The novel evolutionary algorithm inspired by a mathematical model treats population series as time series, and then uses the even grey model to predict its offsprings. It has the characteristics of simple code, less parameters and strong exploration capability, and has been successfully applied to the environmental economic dispatch (EED) problem. In order to improve its overall performance by enhancing exploitation capability, an improved

grey prediction evolution algorithm based on topological opposition-based learning (TOGPEAe) is proposed in this paper.

The core innovation of the TOGPEAe comes from a topological opposition-based learning strategy (TOBL). The TOBL is proposed on basis of the opposition-based learning strategy (OBL) which was proposed by Tizhoosh in 2005 [26]. The OBL is one of the most successful strategies to enhance algorithmic exploitation capability. It has been successfully applied to various optimization algorithms [27]–[33]. Moreover, many scholars have studied [34]–[36] and proposed many improved OBL strategies [37]–[44]. However, almost all improved OBL strategies have to compute at least one additional fitness (function) value. This will increase computational overhead.

Unlike the original and improved OBL strategies, the proposed TOBL determines candidate solutions by calculating the Manhattan distances between the best individual and all the vertices of the hypercube inspired by the OBL. Compared with the calculation of fitness values for other improved OBL strategies, the Manhattan distances has much less computational overhead.

The main contributions of this paper are as followings.

- *Proposed an improved grey prediction evolution algorithm (TOGPEAe)*: The TOGPEAe is developed by adding the TOBL in front of the selection operator of the original GPEAe. The TOBL enhances the algorithmic exploitation capability by guiding the individuals to learn from the current best individual. The TOGPEAe achieves remarkable results in CEC2005 and CEC2014 benchmark function sets, and is successfully applied to solve engineering design problems.
- *Proposed a novel learning strategy (TOBL)*: Topological opposition-based learning strategy (TOBL) is an improved OBL strategy. The strategy possesses the characteristics of lower computational overhead, strong exploitation capability and larger candidate solution space.

The remainder of this paper is organized as follows. Section 2 introduces the original GPEAe. As the main contribution of this paper, a detailed explanation of the TOBL and the TOGPEAe is presented in Section 3. In Section 4, the TOGPEAe is evaluated on CEC2005, CEC2014 benchmark functions and six engineering design problems. Finally, the concluding remarks and future work are summarized in Section 5.

II. BASIC GREY PREDICTION EVOLUTION ALGORITHM

Like other meta-heuristics algorithms, the beginning of the GPEAe is the process of initializing population. The GPEAe then uses its peculiar reproduction operator to generate trial population, rather than mutation and crossover operators. Finally, greedy selection is used to obtain the most potential individuals into the next generation.

A. INITIALISATION

In the initialization of the GPEAe, it generates 3*N D*-dimension individuals in feasible region. The individuals are expressed as $\vec{x}_i^g = (x_i^g)$ $\frac{g}{i,1}, x_i^g$ $x_{i,2}^g, \ldots, x_{i,1}^g$ i _{i,D}), where $i =$ $1, 2, \cdots, N, g = 0, 1, 2, \cdots, g_{max}$ is the current generation and *gmax* is the maximum number of generation.

Like most meta-heuristics, the GPEAe uses the following formula to randomly generate the *j*th dimension of the *i*th individual within the feasible region:

$$
x_{i,j}^g = Low_j + rand \cdot (Up_j - Low_j)
$$
 (1)

Here $g = 0, 1, 2, rand$ represents a random number of the uniform distribution from 0 to 1, Low_j and Up_j are the lower and upper boundary of *j th* dimension of *i th* individual, respectively.

Noting. The GPEAe must initialize three generation populations. Each generation has *N* individuals, from top to bottom are $X^2(g = 2)$, $X^1(g = 1)$, $X^0(g = 0)$, respectively.

B. REPRODUCTION OPERATOR

Let X^{g-2} , X^{g-1} , X^g ($g \ge 2$) be a successive series of three population. Individuals \vec{x}_{r1} , \vec{x}_{r2} , \vec{x}_{r3} are randomly selected from X^{g-2} , X^{g-1} , X^g respectively, they are used as an individual series. Let $\vec{u}_i^g = (u_i^g)$ $\sum_{i=1}^{g} u_i^g$ $\sum_{i,2}^g, \ldots, \sum_{i,j}^g$ $\sum_{i,j}^g$, \cdots $\sum_{i,j}^g$ $_{i,D}^g$) be the trial vector in the trial population U^g of the population X^g . Let $d_{12} = |x_{r1,j} - x_{r2,j}|$, $d_{13} = |x_{r1,j} - x_{r3,j}|$, $d_{23} = |x_{r2,j} - x_{r3,j}|$, and $Maxd_r = max\{d_{12}, d_{23}, d_{13}\}$, and $Min d_r = min\{d_{12}, d_{23}, d_{13}\}.$ Then the reproduction operator is formulated as follows:

$$
u_{i,j}^{g} = \begin{cases} (1 - e^{\alpha}) \cdot (x_{r1,j} - \frac{\beta}{\alpha}) \cdot e^{-3\alpha}, & \text{if } \quad \text{Maxd}_r \ge \delta, \\ \frac{4x_{r3,j} + x_{r2,j} - 2x_{r1,j}}{3}, & \text{elseif } \quad \text{Mind}_r < \delta \\ x_{r3,j} + \omega \cdot \text{Maxd}_r, & \text{otherwise.} \end{cases} (2)
$$

Here the δ is a parameter for controlling forecast, it belongs to [0.001, 0.1]. α is the grey development coefficient, β is the grey control parameter, and ω is a disturbance coefficient, respectively. We obtain them by the following equation:

$$
\begin{cases}\n\alpha = \frac{2(x_{r2,j} - x_{r3,j})}{x_{r2,j} - x_{r3,j}} \\
\beta = \frac{2((x_{r2,j})^2 + x_{r1,j} \cdot x_{r2,j} - x_{r1,j} \cdot x_{r3,j})}{x_{r2,j} + x_{r3,j}}, \\
\omega = rand(-1, 1) \cdot \left(0.01 - \frac{3.99(I - M)}{M}\right)\n\end{cases}
$$
\n(3)

Here *rand*(−1, 1) is a random number with uniform distribution from -1 to 1. *M* is the maximum number of iteration and *I* is the current iteration number.

C. SELECTION

In this state, we select individuals with better fitness value from trial population U^g and target population X^g according to a greedy selection mechanism, which are used to update

FIGURE 1. Original point and its potential topological opposite points of the TOBL.

the population X^g . For the minimum problems, if the solution value $\dot{\vec{x}}_i^g$ $\frac{g}{i}$ is smaller than \vec{u}_i^g $\frac{g}{i}$, then \vec{x}_i^g $\sum_{i=1}^{g}$ is retained, whereas \vec{x}_i^g $\frac{3}{i}$ is replaced by \vec{u}_i^g i^g . This process is shown as following:

$$
\vec{x}_i^{g+1} = \begin{cases}\n\vec{u}_i^g, & \text{if } f(\vec{u}_i^g) < f(\vec{x}_i^g), \\
\vec{x}_i^g, & \text{otherwise.}\n\end{cases} \tag{4}
$$

III. IMPROVED GREY PREDICTION EVOLUTION ALGORITHM BASED ON TOPOLOGICAL OPPOSITION-BASED LEARNING

The main contents of this section are as follows:

- Proposing a topological opposition-based learning operator (TOBL)
- Proposing an improved algorithm based on the TOBL, namely grey prediction evolutionary algorithm based on the TOBL (TOGPEAe)

A. TOPOLOGICAL OPPOSITION-BASED LEARNING STRATEGY (TOBL)

The OBL has only one complete opposite point, in which each dimension is opposite to the original value. In addition, the OBL keeps good individuals into the next generation by comparing fitness values of target individuals and corresponding opposition individuals. Inspired by the OBL, a topological opposition-based learning (TOBL) strategy is proposed.

Definition 1 (Topological opposite point): Let \vec{x}_i $(x_{i,1}, x_{i,2}, \cdots, x_{i,j}, \cdots, x_{i,D})$ be a point in *D*-dimensional search space, its topological opposite point $T\vec{x}_i$ = $(Tx_{i,1}, Tx_{i,2}, \cdots, Tx_{i,i}, \cdots, Tx_{i,D})$ can be defined as follows:

$$
Tx_{i,j} = \begin{cases} Ox_{i,j}, & \text{if } |x_{best,j} - x_{i,j}| > |x_{best,j} - Ox_{i,j}|, \\ x_{i,j}, & \text{otherwise.} \end{cases}
$$
(5)

Here, $Ox_{i,j}$ is the j^{th} dimension of the opposite point $O\vec{x}$ ^{*i*}, and $x_{best,j}$ is the *j*th dimension of the current best individual \vec{x}_{best} .

$$
Ox_{ij} = Low_j + Up_j - x_{ij} \quad j = 1, 2, \cdots, D
$$
 (6)

In fact, the $T\vec{x}_i$ is the point with the smallest Manhattan distance between the current best individual and all the vertices of the hypercube inspired by the opposition-based learning strategy. For example, take the 3-Dimension case of Fig[.1,](#page-2-0) the black dot $(x_{1,1}, x_{1,2}, x_{1,3})$ is an original point, the rest seven vertices of the cube are a set of alternative points for the topological opposite point. Each vertex (vector) of those has at least one dimension which is changed according to the opposite formula [\(6\)](#page-2-1). When the best current individual locates the position marked by a red pentacle in the figure, the blue point $(x_{1,1}, 0x_{1,2}, x_{1,3})$ has the smallest Manhattan distance from the best current individual. So the point $(x_{1,1}, 0x_{1,2}, x_{1,3})$ is the topological point of the original point. The other two subgraphs (1-Dimension and 2-Dimension) are similar.

Comparing with the original OBL strategy and some improved OBL strategies, the TOBL strategy has the following two advantages.

- More alternative points. The TOBL has 2^D potential opposite points for each original point, while OBL has just only one. In fact, each vertex of the hypercube is a potential TOBL point.
- **Less computational overhead.** The least Manhattan distance for the TOBL is implemented by the formula [\(5\)](#page-2-2). There is no computation for fitness function, but which is inevitable for the OBL or improved OBL strategies.

B. FLOW AND PSEUDO CODE OF IMPROVED ALGORITHM As mentioned above, the basic GPEAe has the strong capability of global search. The proposed TOGPEAe uses the TOBL to enhance its local search capability, and therefore achieves the relative balance between exploration and exploitation capability.

As with the basic GPEAe, after three population initialization (formula [\(1\)](#page-1-0)), the TOGPEAe realizes the function-optimized process by looping the reproduction (formula [\(2\)](#page-1-1)) operator and the selection operator (formula [\(4\)](#page-2-3)) for updating the population. What makes TOGPEAe different is that it adds the above TOBL before the selection operator on every individual of the current population. The flow chart of the TOGPEAe is shown in the Fig[.2.](#page-3-0) and pseudo code is shown in Alg.1.

FIGURE 2. Flow chart of the TOGPEAe.

IV. NUMERICAL EXPERIMENTS

In order to verify the performance of the proposed TOG-PEAe, two numerical experiments have been performed in this section. The first experiment is investigated based on CEC2005 [45] (including 10-dimension and 30-dimension) and CEC2014 (30-dimension) [46] benchmark test functions. The details of CEC2005 and CEC2014 are shown in Tab[.1](#page-4-0) and Tab[.2,](#page-5-0) respectively. The second experiment is carried out in six engineering design problems. All experiments are executed in MATLAB R2012a with an Intel(R) Core(TM) i5-4590 CPU @ 3.30GHz with 4 GB RAM.

A. EXPERIMENTS FOR BENCHMARK FUNCTIONS

To prove the effectiveness of the TOGPEAe, in this experiment, LBSA, BSA, SaDE [47], CLPSO [48], PSOFIPS [49], TLBO, ETLBO [50], PSOFDR [51], OBSA [52], GPEAe and some other state-of-the-art algorithms are compared with the TOGPEAe on CEC2005 and CEC2014 benchmark functions. To ensure fairness, each comparison algorithm independently runs 30 times. The size of population (*N*) is set to 50, and the dimension (*D*) is 10 and 30 respectively. In addition, the termination condition depends on the maximum number of iterations (M) , which is set to $100 * D$.

1) RESULTS IN CEC2005 ON 10-DIMENSIONAL (10*D*) DATA The CEC2005 test functions include unimodal functions, multimodal functions and hybrid composition functions. They are used to evaluate the different performance of **Algorithm 1** The pseudo code for the TOGPEAe **Input**: *N*, *D*, *M*, δ, *Low*, *Up* **Output**: Optimal solution $f(x)$ **Initialization** Initialize X^2 , X^1 , X^0 according to the formula [\(1\)](#page-1-0); **for** $g = 3 : M$ **do Reproduction for** $i = 1 : N, j = 1 : D$ **do** Three individuals \vec{x}_{r1} , \vec{x}_{r1} , \vec{x}_{r1} are randomly selected from X^{g-2} , X^{g-1} and X^g , respectively; Let $d_{12} = |x_{r1,j} - x_{r2,j}|$, $d_{13} = |x_{r1,j} - x_{r3,j}|$, $d_{23} = |x_{r2,j} - x_{r3,j}|$, and $Maxd_r = max{d₁₂, d₂₃, d₁₃}, and$ $Min d_r = min{d₁₂, d₂₃, d₁₃};$ **if** $Maxd_r \geq \delta$ **then** $u_{i,j}^g = (1 - e^{\alpha}) \cdot (x_{r1,j} - \frac{\beta}{\alpha})$ $\frac{\beta}{\alpha}$) · $e^{-3\alpha}$ **else if** *Mind^r* < δ **then** $u_{i,j}^g = \frac{4x_{r3,j}+x_{r2,j}-2x_{r1,j}}{3}$ $\frac{1}{3}$; **else** $u_{i,j}^g = x_{r3,j} + \omega \cdot Maxd_r;$ **end** $u_{i,j}^g = x_{r3,j} + \omega \cdot Md_r$; **end Boundary processing method Topological opposition-based Learning for** $i = 1 : N, j = 1 : D$ **do** $\omega_{i,j}^g = L \omega_{i,j} + U p_j - u_{i,j}^g$ *i*,*j* ; **if** $\left| x_{best,j}^g - u_{i,j}^g \right|$ $\begin{vmatrix} g \\ i,j \end{vmatrix} > \left| x_{best,j}^g - O u_{i,j}^g \right|$ then $Tu_{i,j}^g = Ou_{i,j}^g;$ **else** $Tu_{i,j}^g = u_{i,j}^g$ *i*,*j* ; **end end Selection for** $i = 1 : N$ **do if** $fitness(T\vec{u}_i^g)$ $\binom{g}{i}$ < fitness (\vec{x}_i^g) $i^{\mathcal{B}}$) then $\vec{x}_i^{g+1} = \vec{T} \vec{u}_i^g$ *i* ; **else** $\vec{x}_i^{g+1} = \vec{x}_i^g$ *i* ; **end end end**

Note. M is the maximum number.

the algorithm. From Tab[.1,](#page-4-0) among the CEC2005 test functions, F1-F5 are unimodal functions, F6-F14 are multimodal functions and F15-F25 are hybrid composition functions.

In this part, the statistical results are summarized in Tab[.3,](#page-6-0) including the best value (Best), mean value (Mean), and standard deviation (Std) obtained using the TOGPEAe, LBSA, BSA, CLPSO, PSOFIPS, TLBO, ETLBO, PSOFDR, OBSA, and GPEAe algorithms. With respect to the Best

TABLE 1. CEC2005 benchmark functions.

value, the TOGPEAe ranks first for functions F1, F2, F4-F6, F11, F18-F20, and F24 and ranks last only one function F14. So, it should be noted that the TOGPEAe performs significantly better than its competitors on unimodal functions and hybrid composition functions. The GPEAe is superior to other nine algorithms in functions F3 and F8. LBSA performs best on functions F1, F2, F4, F5, F9 and F15, F18-F20, F22-F24. Comparing the Mean value and the Std value of the ten algorithms, although the performance of the TOGPEAe is not the best among all functions, it outperforms some competitors. As can be observed from Tab[.3,](#page-6-0) the average rank of the TOGPEAe (2.88) in terms of the Best is only worse than that of LBSA (2.76), and it is better than those of other eight algorithms. That is to say, the TOGPEAe is competitive in the solution accuracy of the algorithm, but the robustness of the algorithm is poor.

2) RESULTS IN CEC2005 ON 30-DIMENSIONAL (30D) DATA This part mainly discusses the experimental results in CEC2005 on 30D. The Best, Mean, and Std of the error values over 30 runs for all comparison algorithms are reported in Tab[.4.](#page-7-0) Moreover, the ranking and the average ranking of all algorithms for 25 functions are also given in Tab[.4,](#page-7-0) and the ranking is based on the Best error value. It can be observed from the table that the TOGPEAe ranks first on functions F3, F8, F10, F21, F23, and F24 when only the Best value are considered. The GPEAe outperforms the other nine comparison algorithms on functions F5, F11 and F12. LBSA is better than other nine algorithms on functions F6, F9, F13, F16 and F17. The average ranking in terms of the Best obtained by the TOGPEAe is 3.04, which is the smallest among all comparison algorithms. From these results, we can see that the TOGPEAe is competitive with the other nine algorithms in terms of algorithmic solution accuracy.

TABLE 2. CEC2014 benchmark functions.

3) RESULTS IN CEC2014 ON 30-DIMENSIONAL (30D) DATA The above experiments mainly discuss the experimental results of CEC2005. This part of the experiment focuses mainly on CEC2014 and the details of the 30 functions in CEC2014 are shown in Tab[.2.](#page-5-0) From the table, it can be observed that the functions of CEC2014 are divided into four types according to different properties. F1-F3 are unimodal functions, F4-F16 are multimodal functions, F17-F22 are hybrid functions, and F23-F30 are composition functions. Different types of test functions are helpful to verify the different performance of an algorithm. Specifically speaking, unimodal functions are effective in evaluating the exploitation capability of an algorithm, while multimodal functions are useful to verify the exploration capability of an algorithm.

Same as above, Tab[.5](#page-8-0) and Tab[.6](#page-9-0) report the statistical results in terms of the Best, Mean, and Std of the error value obtained using the TOGPEAe, PSOFIPS, SaDE, CLPSO, CBSA, CLBSA, TLBO, DGSTLBO and GPEAe. Moreover, the tables also report the ranked results of the nine algorithms on the Best error value of each function. The results for the Best obtained by the TOGPEAe on functions F11, F12, F14, F17 are better than those of other eight competitors. When considering the Mean value and the Std value, although the results are less than satisfactory, there is still some competitiveness in some functions. In addition, the average ranking of the Best value for the 30 functions shows that the TOGPEAe ranks better than other seven comparison algorithms, and only worse than SaDE. From these results, we can see that the TOGPEAe is competitive with the other eight algorithms in terms of algorithmic solution accuracy but not good algorithmic robustness.

B. THE SIGN TEST

The sign test [53] is a common method to determine whether there is significant difference between two algorithms. In this paper, the Best value is used as the target of the sign test. The signs "+", " \approx ", and "−" represent that the TOGPEAe performs better, almost the same, and worse than other comparison algorithms, respectively, and '' Total'' represents total number of test functions. The results are reported in Tab[.7](#page-9-1) and thirteen pairs of algorithms are compared. For LBSA, the TOGPEAe performs better than it on eighteen

TABLE 3. Comparative results of ten algorithms for 10D problems of CEC2005.

TABLE 4. Comparative results of ten algorithms for 30D problems of CEC2005.

F	AL	LBSA	BSA	CLPSO	PSOFIPS	TLBO	ETLBO	PSOFDR	OBSA	GPEAe	TOGPEAe
	Best	$0.000E + 00$	1.173E-16	3.244E-10	7.480E-07	2.640E-26	1.379E-27	$0.000E + 00$	5.684E-14	9.965E-16	4.390E-16
F1	Mean	$0.000E + 00$	3.995E-16	4.839E-10	1.057E-06	5.836E-26	3.138E-27	9.330E+02	6.253E-14	2.400E-03	4.323E-14
	Std	$0.000E + 00$	2.267E-16	1.409E-10	3.274E-07	2.769E-26	1.661E-27	$1.632E + 03$	1.734E-14	9.000E-03	2.063E-13
	Rank	1 1.714E-02	$\overline{4}$ 2.450E+03	8 5.676E+03	9 3.818E+02	$\overline{\mathbf{3}}$ 3.527E-05	2 2.493E-06	1 8.972E-03	7 4.243E+02	6 7.090E+00	5 6.109E-01
F2	Best Mean	4.995E-02	2.644E+03	6.355E+03	4.560E+02	7.480E-05	6.632E-05	9.374E+01	2.005E+03	1.094E+02	2.836E+01
	Std	4.852E-02	2.038E+02	7.050E+02	6.497E+01	3.424E-05	7.342E-05	8.638E+01	1.270E+03	8.214E+01	2.994E+01
	Rank	$\overline{4}$	9	10	7	\overline{c}	-1	3	8	6	5
	Best	7.127E+05	2.784E+06	4.296E+07	1.138E+07	6.838E+05	6.838E+05	$1.084E + 06$	1.313E+06	2.453E+05	2.382E+05
F3	Mean Std	9.240E+05 3.486E+05	3.069E+06 2.791E+05	4.814E+07 5.129E+06	1.265E+07 1.170E+06	1.344E+06 6.881E+05	1.331E+06 6.881E+05	1.757E+06 6.131E+05	7.732E+06 3.513E+06	$1.085E+10$ 6.797E+05	8.466E+05 4.270E+05
	Rank	$\overline{4}$	7	9	8	3	3	-5	6	$\overline{\mathbf{c}}$	-1
	Best	2.659E+02	8.510E+03	1.505E+04	2.016E+03	1.736E+02	4.067E+02	5.912E+02	8.304E+03	1.145E+04	5.487E+03
F ₄	Mean	7.097E+02	9.404E+03	1.613E+04	3.019E+03	2.128E+03	8.611E+02	7.308E+02	1.759E+04	1.993E+04	1.073E+04
	Std Rank	4.298E+02 $\overline{2}$	7.848E+02 8	1.800E+03 $10\,$	8.707E+02 5	2.915E+03 $\overline{1}$	7.453E+02 -3	2.258E+02 $\overline{4}$	5.206E+03 7	5.708E+03 9	4.126E+03 6
	Best	2.501E+03	3.130E+03	3.523E+03	3.009E+03	4.197E+03	3.678E+03	5.077E+03	3.310E+03	1.880E+03	2.594E+03
F5	Mean	3.029E+03	3.334E+03	4.315E+03	$3.104E + 03$	4.546E+03	4.161E+03	6.156E+03	5.079E+03	3.230E+03	3.619E+03
	Std	7.939E+02	1.767E+02	$9.405E + 02$	1.055E+02	3.140E+02	4.601E+02	1.750E+03	9.557E+02	8.465E+02	6.076E+02
	Rank Best	\overline{c} 3.069E+00	- 5 4.494E+01	7 1.529E+01	$\overline{4}$ 2.714E+01	9 2.109E+01	8 2.207E+01	10 2.290E+02	6 2.495E+01	$\mathbf{1}$ 5.794E+01	3 4.261E+01
F ₆	Mean	2.958E+01	7.803E+01	3.974E+01	2.891E+01	2.297E+01	3.895E+01	1.638E+07	9.279E+01	1.443E+03	1.323E+03
	Std	4.229E+01	3.027E+01	2.123E+01	2.546E+00	2.780E+00	3.169E+01	2.646E+07	2.766E+01	3.176E+03	2.265E+03
	Rank	-1	8	2	6	3	$\overline{4}$	10	5	9	7
F7	Best Mean	4.696E+03 4.696E+03	4.696E+03 4.696E+03	4.696E+03 4.696E+03	2.859E-01 3.846E-01	4.696E+03 4.696E+03	4.696E+03 4.696E+03	4.696E+03 4.918E+03	1.760E-01 5.078E-01	4.696E+03 4.696E+03	4.696E+03 4.696E+03
	Std	1.575E-12	1.720E-08	$0.000E + 00$	9.646E-02	1.575E-12	9.905E-13	3.844E+02	1.931E-01	5.380E-02	7.100E-03
	Rank	3	3	3	$\overline{\mathbf{c}}$	3	3	3	$\mathbf{1}$	3	3
	Best	2.091E+01	2.093E+01	2.085E+01	2.092E+01	2.097E+01	2.084E+01	2.089E+01	2.082E+02	2.013E+01	2.012E+01
F8	Mean Std	2.096E+01 5.689E-02	2.298E+01 4.595E-02	2.093E+01 8.812E-02	2.093E+01 1.807E-02	2.098E+01 1.689E-02	2.089E+01 6.181E-02	2.092E+01 2.802E-02	2.095E+01 5.909E-02	2.070E+01 3.684E-01	2.083E+01 3.083E-01
	Rank	6	8	$\overline{4}$	7	9	3	5	10	$\overline{2}$	$\mathbf{1}$
	Best	$0.000E + 00$	1.134E+00	2.719E-04	4.420E+01	8.258E+01	4.179E+01	4.754E+01	1.294E-01	5.671E+01	2.189E+01
F9	Mean	1.184E-15	2.227E+00	5.082E-04	5.904E+01	9.618E+01	6.567E+01	5.164E+01	4.961E+00	8.388E+01	4.815E+01
	Std Rank	2.051E-15 $\overline{1}$	1.295E+00 $\overline{4}$	2.078E-04 \overline{c}	1.441E+01 7	2.185E+01 10	3.011E+01 6	5.605E+00 8	4.199E+00 3	2.043E+01 9	2.125E+01 5
	Best	7.131E+01	$6.963E+01$	1.608E+02	1.788E+02	5.771E+01	8.743E+01	9.451E+01	8.846E+01	6.666E+01	2.686E+01
F10	Mean	7.969E+01	8.281E+01	1.647E+02	1.822E+02	9.228E+01	9.913E+01	1.141E+02	1.575E+02	1.268E+02	6.888E+01
	Std	7.575E+00	1.541E+01	5.872E+00	5.261E+00	4.150E+01	1.259E+01	1.892E+01	5.376E+01	2.555E+01	3.715E+01
	Rank Best	5 2.661E+01	$\overline{4}$ 2.843E+01	9 2.685E+01	10 2.625E+01	$\overline{2}$ 2.930E+01	6 3.526E+01	8 1.551E+01	7 1.913E+01	3 5.866E+00	$\mathbf{1}$ 8.506E+00
F11	Mean	2.833E+01	3.091E+01	2.798E+01	2.879E+01	3.467E+01	3.714E+01	1.803E+01	2.586E+01	2.606E+01	2.871E+01
	Std	1.680E+00	2.377E+00	1.205E+00	2.252E+00	4.680E+00	1.629E+00	2.803E+00	2.798E+00	1.410E+01	1.351E+01
	Rank	6	8	7	5	9	10	3	$\overline{4}$	1	$\overline{2}$
F12	Best Mean	1.698E+03 2.976E+03	1.447E+04 2.801E+04	4.022E+04 4.411E+04	1.962E+04 2.555E+04	6.257E+03 9.523E+03	3.727E+03 1.550E+04	3.259E+03 2.882E+04	3.634E+03 1.536E+04	1.559E+03 1.282E+05	4.311E+03 9.979E+00
	Std	1.281E+03	1.173E+04	3.443E+03	9.809E+03	3.881E+03	1.420E+04	4.351E+04	9.177E+03	2.832E+05	1.826E+05
	Rank	$\overline{2}$	8	10	$\overline{9}$	7	5	3	$\overline{4}$	1	6
	Best	1.771E+00	2.408E+00	3.162E+00	1.347E+01	3.912E+00	2.682E+00	2.293E+00	2.314E+00	2.737E+00	2.063E+00
F13	Mean Std	1.883E+00 1.203E-01	2.524E+00 1.192E-01	3.409E+00 2.156E-01	1.358E+01 1.025E-01	4.248E+00 5.438E-01	4.063E+00 1.202E+00	2.870E+00 7.919E-01	3.382E+00 4.748E-01	6.534E+00 4.297E+00	5.587E+00 3.926E+00
	Rank	1	5	8	10	9	6	3	$\overline{4}$	7	$\overline{2}$
	Best	1.276E+01	1.266E+01	1.298E+01	1.266E+01	1.278E+01	1.303E+01	$1.112E + 01$	1.229E+01	1.270E+01	1.210E+01
F14	Mean	1.286E+01	1.309E+01	1.312E+01	1.280E+01	1.289E+01	1.309E+01	1.185E+01	1.291E+01	1.270E+01	1.210E+01
	Std Rank	1.284E-01 6	3.739E-01 $\overline{4}$	1.258E-01 8	1.223E-01 $\overline{4}$	1.513E-01 7	7.225E-02 $\mathbf Q$	7.922E-01 1	2.685E-01 3	3.063E-01 5	4.167E-01 \mathfrak{D}
	Best	3.000E+02	6.421E+01	9.610E+01	3.007E+02	3.977E+02	4.268E+02	4.831E+02	2.000E+02	5.000E+02	2.000E+02
F15	Mean	4.015E+02	7.111E+01	1.214E+02	3.544E+02	4.713E+02	4.746E+02	5.258E+02	2.367E+02	5.000E+02	2.000E+02
	Std	1.013E+02	1.159E+01	2.545E+01	6.430E+01	9.623E+01	4.142E+01	5.548E+01	4.901E+01	2.051E+00	$1.041E + 02$
	Rank Best	$\overline{4}$ 7.797E+01	1 1.240E+02	$\overline{2}$ 1.775E+02	5 2.087E+02	6 1.078E+02	7 1.912E+02	8 1.245E+02	3 1.075E+02	9 1.592E+02	3. 8.071E+01
F16	Mean	8.450E+01	1.484E+02	2.018E+02	2.507E+02	2.400E+02	2.652E+02	2.413E+02	1.892E+02	1.592E+02	8.071E+01
	Std	5.658E+00	3.611E+01	2.174E+01	5.874E+01	2.269E+02	1.175E+02	1.107E+02	9.878E+01	1.421E+02	1.607E+02
	Rank	\blacksquare	$5 -$	8	10	4	9	6	3	7	$\overline{2}$
F17	Best Mean	$1.198E+02$ 1.511E+02	1.964E+02 2.117E+02	2.574E+02 3.001E+02	2.388E+02 2.812E+02	1.399E+02 2.639E+02	1.323E+02 2.404E+02	$1.267E + 02$ 1.932E+02	1.840E+02 3.262E+02	1.949E+02 1.949E+02	1.253E+02 1.253E+02
	Std	4.484E+01	1.541E+01	4.652E+01	6.842E+01	1.708E+02	1.690E+02	1.022E+02	1.391E+02	1.681E+02	1.985E+02
	Rank	$\overline{1}$	8	10	\ddot{q}	5	$\overline{4}$	3	6	7	$\mathbf{2}$
F18	Best	9.108E+02 9.186E+02	9.119E+02 9.153E+02	9.090E+02 9.098E+02	8.310E+02 8.323E+02	9.105E+02 9.148E+02	8.000E+02 8.771E+02	9.187E+02 9.388E+02	2.000E+02 9.148E+02	8.000E+02 9.298E+02	9.084E+02 9.183E+02
	Mean Std	6.806E+00	3.761E+00	7.681E-01	1.686E+00	4.459E+00	6.679E+01	2.396E+01	2.253E+01	9.298E+02	9.183E+02
	Rank	$\overline{7}$	8	5	3	- 6	\mathcal{L}	Q	$\overline{1}$	\mathcal{L}	$\overline{4}$
	Best	9.136E+02	9.147E+02	9.096E+02	8.318E+02	9.201E+02	9.903E+02	9.172E+02	$2.000E + 02$	8.000E+02	9.073E+02
F19	Mean Std	9.137E+02 1.897E-01	9.161E+02 2.038E+00	9.100E+02 4.197E-01	8.323E+02 4.396E-01	9.594E+02 5.067E+01	9.289E+02 2.414E+01	9.449E+02 2.678E+01	8.632E+02 6.018E+01	8.000E+02 3.823E+01	9.073E+02 7.831E+00
	Rank	6	7	5.	3	$\overline{9}$	10	-8	$\mathbf{1}$	$\overline{2}$	$\overline{4}$
	Best	8.000E+02	9.128E+02	9.078E+02	8.310E+02	9.141E+02	9.136E+02	9.327E+02	9.098E+02	8.000E+02	9.096E+02
F ₂₀	Mean	8.779E+02	9.149E+02	9.088E+02	8.321E+02	9.475E+02	9.216E+02	9.501E+02	9.020E+06	8.000E+02	9.096E+02
	Std Rank	6.752E+01 \blacksquare	2.841E+00 6	9.501E-01 3	9.107E-01 2°	3.759E+01 8 ⁸	8.330E+00 7	2.351E+01 -9	9.503E+00 5.	4.974E+01 \blacksquare	$6.463E + 00$ -4
	Best	5.000E+02	5.000E+02	5.427E+02	5.000E+02	5.000E+02	5.000E+02	8.549E+02	5.000E+02	5.000E+02	5.000E+02
F21	Mean	5.000E+02	5.000E+02	6.508E+02	5.000E+02	9.551E+02	5.000E+02	9.728E+02	5.973E+02	5.000E+02	5.000E+02
	Std	1.969E-13	1.842E-13	1.376E+02	3.922E-06	3.941E+02	8.247E-13	1.750E+02	2.291E+02	2.764E+02	8.150E-13
	Rank Best	\blacksquare 9.266E+02	\blacksquare 9.617E+02	$\overline{2}$ 8.984E+02	\blacksquare 5.287E+02	\sim 1 8.831E+02	$\mathbf{1}$ 8.747E+02	$\overline{3}$ 8.648E+02	\blacksquare 9.733E+02	\blacksquare 9.038E+02	\blacksquare 8.660E+02
F ₂₂	Mean	9.372E+02	9.709E+02	9.189E+02	5.290E+02	9.220E+02	9.904E+02	8.860E+02	1.039E+03	9.038E+02	8.660E+02
	Std	1.765E+01	8.997E+00	2.153E+01	2.608E-01	3.630E+01	3.054E+01	2.439E+01	4.147E+01	4.965E+01	3.693E+01
	Rank	8	9	6	\blacksquare	5 ⁵	4	$\overline{2}$	10	7	$\overline{}$
	Best	5.342E+02 5.342E+02	5.342E+02 5.342E+02	6.255E+02 6.655E+02	5.342E+02 5.342E+02	8.094E+02 $1.052E + 03$	$1.184E + 03$ 1.226E+02	8.767E+02 1.030E+03	5.342E+02 7.727E+02	5.342E+02 5.342E+02	5.342E+02 5.342E+02
F ₂ 3	Mean Std	4.661E-04	1.987E-05	5.669E+01	2.583E-04	2.102E+02	3.965E+01	1.329E+02	2.544E+02	4.974E+01	6.463E+00
	Rank	$\mathbf{1}$	\sim 1	2°	$\mathbf{1}$	$3 -$	5	4	$\frac{1}{2}$	$\mathbf{1}$	$\overline{1}$
	Best	2.000E+02	2.000E+02	9.471E+02	2.176E+02	2.000E+02	$2.000E + 02$	9.399E+02	2.000E+02	$2.000E + 02$	2.000E+02
F ₂₄	Mean Std	2.000E+02 $0.000E + 00$	2.000E+02 1.897E-12	9.489E+02 1.994E+00	2.183E+02 9.720E-01	2.000E+02 4.702E-11	2.000E+02	9.579E+02 2.510E+01	2.676E+02 2.527E+02	2.000E+02 3.104E+02	2.000E+02 1.310E+02
	Rank	$\mathbf{1}$	$\mathbf{1}$	4	$\overline{2}$	\perp	3.212E-12 $\mathbf{1}$	$\overline{\mathbf{3}}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$
	Best	9.922E+02	1.007E+03	1.000E+03	2.000E+02	9.817E+02	1.009E+03	1.036E+03	2.000E+02	2.150E+02	2.149E+02
F ₂₅	Mean	9.938E+02	1.016E+03	1.008E+03	2.070E+02	$1.006E + 03$	1.052E+03	$1.064E + 03$	3.418E+02	2.150E+02	2.149E+02
	Std Rank	1.593E+00 5	8.040E+00 7	1.268E+01 6	1.213E+01 $\mathbf{1}$	2.774E+01 $\overline{4}$	4.316E+01 -8	2.582E+01 9	3.618E+02 $\mathbf{1}$	2.656E+02 3	5.477E+01 $\overline{2}$
Average	rank	3.2	5.56	6.0	5.24	5.16	5.08	5.24	4.32	4.2	3.04

TABLE 5. Comparative results of ten algorithms for 30D problems of CEC2014.

FIGURE 3. Three-bar truss design problem.

functions, almost the same on thirteen functions, and worse than on nineteen functions. Considering SaDE, the performance of the TOGPEAe is a little unsatisfactory since the TOGPEAe only performs better than it on seven functions.

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However, the results in Tab[.7](#page-9-1) show that the TOGPEAe performs much better than eleven comparison algorithms (including BSA, CLPSO, PSOFIPS, TLBO, ETLBO, PSOFDR, OBSA, CBSA, CLBSA, DGTLBO and GPEAe). From what has been discussed above, the TOGPEAe is very competitive in solving benchmark functions problems.

C. EXPERIMENTS FOR CONSTRAINED ENGINEERING DESIGN PROBLEMS

The second experiment is tested on six constrained engineering design problems, including the three-bar truss design problem, pressure vessel design problem, tension/compression spring design problem, welded beam design problem, speed reducer design problem and gear train problem. The formulation of these engineering design problems are given in Appendix.A. These problems have been

TABLE 6. Continued Tab.5: Comparative results of ten algorithms for 30D problems of CEC2014.

F	AL	PSOFIPS	SaDE	CLPSO	CBSA	CLBSA	TLBO	DGSTLBO	GPEAe	TOGPEAe
	Best	4.78E+02	3.09E+01	$3.44E + 02$	1.54E+06	2.80E+02	1.35E+02	$6.17E + 01$	9.35E+01	7.22E+01
F18	Mean	$1.59E + 03$	$6.89E + 01$	4.92E+02	4.54E+06	2.46E+04	2.93E+03	8.71E+02	$2.99E + 03$	$3.07E + 03$
	Std	8.50E+02	3.43E+01	9.30E+01	1.89E+06	1.06E+05	2.35E+03	$1.02E + 03$	$2.77E + 03$	3.05E+03
	Rank	$\bf 8$	$\overline{1}$	7	9	6	5	$\overline{2}$	$\overline{4}$	3
	Best	$1.01E + 01$	4.74E+00	8.20E+00	$1.33E + 01$	$2.24E + 01$	5.77E+00	9.65E+00	6.75E+00	$6.41E + 00$
F19	Mean	1.19E+01	5.55E+00	$1.01E + 01$	$2.04E + 01$	8.25E+01	$2.12E + 01$	2.71E+01	$1.61E + 01$	$1.60E + 01$
	Std	8.29E-01	6.59E-01	$1.02E + 00$	5.81E+00	3.96E+01	2.55E+01	2.86E+01	$1.61E + 01$	$1.80E + 01$
	Rank	7	$\mathbf{1}$	5	$8\,$	9	$\overline{2}$	6	$\overline{4}$	3
	Best	2.36E+03	$1.91E + 01$	2.48E+03	$1.05E + 03$	3.45E+02	6.68E+02	$2.03E + 02$	$2.51E + 02$	$1.42E + 02$
F ₂₀	Mean	5.81E+03	4.31E+01	5.95E+03	6.95E+03	7.38E+03	$1.54E + 03$	4.28E+02	$2.16E + 03$	3.31E+03
	Std	2.71E+03	2.96E+01	3.35E+03	3.35E+03	7.07E+03	9.69E+02	1.77E+02	2.59E+03	$3.67E + 03$
	Rank	$\bf 8$	\blacksquare	9	7	5	6	3	$\overline{4}$	$\,2$
	Best	8.47E+04	2.21E+02	$1.25E + 05$	1.26E+05	2.10E+03	1.89E+04	4.09E+03	$1.65E + 03$	1.48E+03
F21	Mean	1.49E+05	2.49E+03	3.39E+05	$6.64E + 05$	4.18E+04	9.72E+04	2.20E+04	1.57E+04	$1.73E + 04$
	Std	6.40E+04	2.80E+03	$1.22E + 05$	2.63E+05	5.34E+04	9.01E+04	2.22E+04	$1.18E + 04$	1.79E+04
	Rank	7	$\mathbf{1}$	$\,$ 8 $\,$	9	$\overline{4}$	$\sqrt{6}$	5 ₁	$\mathbf{3}$	$\,2$
	Best	$1.67E + 02$	$2.51E+02$	1.59E+02	1.84E+02	$1.61E + 02$	$1.64E + 02$	1.49E+02	4.26E+02	3.03E+02
F ₂₂	Mean	2.25E+02	1.36E+02	2.70E+02	4.19E+02	3.98E+02	2.81E+02	3.14E+02	6.55E+02	6.87E+02
	Std	7.27E+01	7.92E+01	5.98E+01	$1.04E + 02$	$1.63E + 02$	1.08E+02	$1.41E + 02$	1.83E+02	$1.65E + 02$
	Rank	6	\blacksquare	3	7	$\overline{4}$	5 ¹	$\overline{2}$	9	8
	Best	$3.14E + 02$	3.15E+02	3.15E+02	$2.00E + 02$	3.34E+02	3.15E+02	3.15E+02	3.15E+02	3.16E+02
F ₂ 3	Mean	$3.14E + 02$	3.15E+02	$3.15E + 02$	2.26E+02	3.87E+02	3.15E+02	3.15E+02	$3.17E + 02$	$3.17E + 02$
	Std	1.57E-04	$0.00E + 00$	2.29E-01	4.77E+01	2.07E+01	1.23E-11	4.43E-01	1.35E+00	$1.93E + 00$
	Rank	\overline{c}	$\mathbf{3}$	3	\blacksquare	5	$\sqrt{3}$	3	$\sqrt{3}$	$\overline{4}$
	Best	2.23E+02	$2.24E + 02$	$2.25E + 02$	$2.00E + 02$	2.60E+02	$2.00E + 02$	$2.00E + 02$	2.30E+02	$2.26E + 02$
F ₂₄	Mean	2.24E+02	2.25E+02	$2.27E + 02$	2.00E+02	2.79E+02	2.00E+02	2.00E+02	2.44E+02	2.48E+02
	Std	5.46E-01	5.21E-01	$1.01E + 00$	5.57E-04	9.88E+00	2.20E-03	9.68E-04	8.52E+00	8.19E+00
	Rank	\overline{c}	3	$\overline{4}$	$\mathbf{1}$	7	$\mathbf{1}$	$\mathbf{1}$	6	5
	Best	$2.04E + 02$	2.03E+02	2.08E+02	$2.00E + 02$	2.16E+02	$2.00E + 02$	$2.00E + 02$	$2.01E + 02$	$2.01E + 02$
F25	Mean	2.07E+02	2.06E+02	2.10E+02	2.00E+02	$2.24E + 02$	2.01E+02	$2.02E + 02$	$2.11E+02$	2.09E+02
	Std	2.45E+00	2.49E+00	$1.62E + 00$	8.04E-09	4.39E+00	2.39E+00	3.62E+00	8.67E+00	7.21E+00
	Rank	4	$3 -$	5	$\mathbf{1}$	- 6	$\mathbf{1}$	\blacksquare	$\overline{2}$	$\overline{2}$
	Best	$1.00E + 02$	$1.00E + 02$	$1.00E + 02$	$1.00E + 02$	1.01E+02	$1.00E + 02$	$1.00E + 02$	$1.00E + 02$	$1.00E + 02$
F ₂₆	Mean	1.70E+02	$1.00E + 02$	1.00E+02	$1.00E + 02$	1.43E+02	$1.10E + 02$	1.10E+02	$1.01E + 02$	$1.04E + 02$
	Std	4.83E+01	7.09E-02	3.00E-02	4.88E-02	4.93E+01	3.15E+01	3.15E+01	1.24E-01	$1.83E + 01$
	Rank	$\mathbf{1}$	$\overline{1}$	$\mathbf{1}$	\blacksquare	$\,2\,$	$\mathbf{1}$	$\overline{1}$	$\mathbf{1}$	$\mathbf{1}$
	Best	3.76E+02	$3.00E + 02$	4.17E+02	$2.00E + 02$	4.44E+02	$4.02E + 02$	4.06E+02	7.47E+02	$4.04E + 02$
F ₂₇	Mean	4.34E+02	$3.62E + 02$	4.38E+02	$3.62E + 02$	8.61E+02	5.47E+02	7.94E+02	9.23E+02	$9.26E + 02$
	Std	3.50E+01	$5.04E + 01$	$1.33E + 01$	9.59E+01	2.83E+02	$1.66E + 02$	2.15E+02	$1.63E + 02$	8.92E+01
	Rank	$\overline{3}$	$\,2\,$	τ	\blacksquare	$\bf8$	$\overline{4}$	6	$\overline{9}$	5
	Best	3.89E+02	8.06E+02	$8.64E + 02$	$2.00E + 02$	$1.99E + 03$	$9.20E + 02$	9.96E+02	$1.12E + 03$	$1.25E + 03$
F ₂₈	Mean	3.98E+02	8.51E+02	9.21E+02	5.74E+02	$2.66E + 03$	$1.24E + 03$	$1.43E + 03$	$1.66E + 03$	$1.58E + 03$
	Std	1.16E+01	2.76E+01	3.47E+01	4.65E+02	4.48E+02	3.51E+02	4.37E+02	$3.06E + 02$	$2.22E + 02$
	Rank	$\overline{2}$	$\overline{\mathbf{3}}$	$\overline{4}$	$\mathbf{1}$	$\boldsymbol{9}$	5 ₁	6	τ	8
	Best	$2.12E + 02$	$6.69E + 02$	7.11E+03	$1.12E + 04$	1.45E+03	$1.25E + 03$	9.91E+02	$1.43E + 03$	$1.24E + 03$
F29	Mean	$2.14E + 02$	$9.67E + 02$	$3.11E + 04$	3.37E+04	7.77E+06	3.31E+06	3.08E+06	$6.28E + 05$	$2.09E + 03$
	Std	$1.02E + 00$	$1.47E + 02$	$1.52E + 04$	$1.09E + 04$	1.58E+07	5.39E+06	4.99E+06	2.39E+06	5.15E+02
	Rank	$\mathbf{1}$	$\overline{2}$	8	9	7	5	3	6	4
	Best	5.98E+02	5.90E+02	5.62E+03	7.35E+03	3.87E+03	$1.62E + 03$	3.37E+03	4.09E+03	3.25E+03
F30	Mean	7.00E+02	1.15E+03	7.94E+03	1.38E+04	7.38E+04	3.35E+03	6.47E+03	$1.13E + 04$	$1.09E + 04$
	Std	8.54E+01	5.64E+02	2.72E+03	$3.52E + 03$	4.24E+04	1.35E+03	3.43E+03	1.38E+04	$6.80E + 03$
	Rank	$\overline{2}$	$\mathbf{1}$	8	9	- 6	3 ⁷	5°	7	$\overline{4}$
Average	rank	4.9	2.2	4.9	5.2	7.1	4.2	4.27	4.47	4.1

TABLE 7. Comparisons between TOGPEAe and other algorithms in Sign Tests.

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used to test the performance of different algorithms. In addition, this paper adopts Deb's heuristic constraint handling method from numerous types of constraint handling strategies to handle the constraint. Each problem independently runs 30 times, and the best function value (Best), the mean function value (Mean), the worst function value (Worst), the standard function deviation (Std), and the function evaluation times (FEs) are reported. The following provides the

parameter settings for different engineering design problems. Here, $'N'$ is the population size, $'T'$ represents the maximum number of iterations and '*D*' is the dimension of the problem.

- Three-bar truss problem: $N = 20$, $T = 500$, $D = 2$.
- Pressure vessel problem: $N = 20$, $T = 2000$, $D = 4$.
- Tension/compression spring problem: $N = 20$, $T =$ 1000, $D = 3$.
- Welded beam problem: $N = 20$, $T = 2000$, $D = 4$.
- Speed reducer problem: $N = 20$, $T = 1000$, $D = 7$.
- Gear train problem: $N = 20$, $T = 500$, $D = 4$.

1) THREE-BAR TRUSS DESIGN PROBLEM

The three-bar truss design problem is to minimize the volume of the structural optimization problem. Fig[.3](#page-8-1) shows the different components of this problem and the formulation is shown in Appendix A.1. This problem is solved by differential evolution with dynamic stochastic selection (DEDS) [54], hybrid evolution algorithm (HEAA) [55], hybrid particle swarm optimization with differential evolution (PSO-DE) [56], differential evolution with level comparison (DELC) [57], mine blast algorithm (MBA) [3] and crow search algorithm (CSA) [58]. The statistical results obtained by all algorithms are reported in Tab[.8](#page-10-0) and Tab[.9.](#page-10-1)

TABLE 8. Comparison of best solutions for the three-bar truss design problem.

Method	DEDS	HEAA	PSO-DE	DEL C	MBA	CSA	TOGPEAe
X_1	0.788675	0.788680	0.788675	0.788675	0.788675	0.788675	0.788697
X_2	0.408248	0.408234	0.408248	0.408248	0.408560	0.408248	0.408185
$g_1(X)$	1.77E-08	NA	$-5.29E-11$	NA	$-5.29E-11$	$-3.23E-12$	1.00E-06
$g_2(X)$	-1.464102	NA	-1.463748	NА	-1.463748	-1.464102	-1.4642
$g_3(X)$	-0.535898	NA	-0.536252	NA	-0.536252	-0.535898	-0.5358
f(X)	263.895843	263.895843	263.895843	263.895843	263.895852	263.895843	263.895712

TABLE 9. Comparison of statistical results for the three-bar truss design problem.

Method	Worst	Mean	Best	Std	FEs
DEDS	263.895849	263.895843	263.895843	9.7E-07	15000
HEAA	263.896099	263.895865	263.895843	4.9E-05	15000
PSO-DE	263.895843	263.895843	263.895843	$4.5E-10$	17600
DEL C	263.895843	263.895843	263.895843	$4.3F-14$	10000
MBA	263.915983	263.897996	263.895852	3.93E-03	13280
CS A	263.895845	263.895843	263.895843	2.64E-07	13720
TOGPEAe	263.903833	263.896840	263.895712	1.5E-03	9980

TABLE 10. Comparison of best solutions for the pressure vessel design problem.

The Tab[.8](#page-10-0) compares the best solution obtained by the TOGPEAe with those obtained by other algorithms. From the table, the TOGPEAe obtains the best solution at $x =$ (0.788697, 0.408185) in the current literature, and the corresponding function value of the best solution equals to 263.895712. On the other hand, Tab[.9](#page-10-1) reports the Best value, the Mean value, the Worst value, the Std value and the FEs obtained by all comparison algorithms on this problem. It can be observed from the table that the FEs value obtained by the TOGPEAe (equals 9980) is the smallest among all comparison algorithms. This indicates that the TOGPEAe not only finds out the current best solution to this problem, but also holds the smallest FEs among all algorithms. That is, the TOGPEAe has a faster convergence rate than other algorithms to this optimization problem.

2) PRESSURE VESSEL DESIGN PROBLEM

Fig[.4](#page-10-2) shows the different components of the pressure vessel design problem. The pressure vessel design problem, which was firstly proposed by Kannan and Kramer [59], can be seen as a nonlinear objective function consisting of three linear inequality constraints and one nonlinear inequality constraint. There are four design variables in this problem, including the thickness of the shell (x_1) , the thickness of

FIGURE 4. Pressure vessel design problem.

the head (x_2) , the inner radius (x_3) and the length of the cylindrical section (x_4) , where x_1 and x_2 are the integer multiples of 0.0625. The formulation of this problem is shown in Appendix A.2.

The TOGPEAe is used to solve this problem and compared with other eight famous algorithms, such as genetic algorithm based on dominance tournament selection (GA-DT) [60], modified differential evolution (MDE) [61], coevolutionary particle swarm optimization (CPSO) [62], hybrid particle swarm optimization (HPSO) [63], DELC, artificial bee colony algorithm (ABC) [64], BSA-SA ε [65]

TABLE 11. Comparison of best solutions for the pressure vessel design problem.

Method	Worst	Mean	Best	Std	FEs
GA-DT	6469.322000	6177.253300	6059.964300	130.929700	80000
MDE	6059.701700	6059.701700	6059.701700	1.0E-12	24000
CPSO	6363.804100	6147.133200	6061.077700	86.45	30000
HPSO	6288.677000	6099.932300	6059.714300	86.20	81000
DEL C	6059.714300	6059.714300	6059.714300	$2.1E-11$	30000
ABC.	NA	6245.308100	6059.714700	$2.05E + 02$	30000
$BSA-SA\varepsilon$	6116.780400	6074.368200	6059.7143.00	$1.71E + 01$	80000
BSA	6771.596900	6221.286100	6059.715000	$2.03E + 02$	60000
TOGPEAe	6771.592860	6127.051094	6059.708015	$1.32E + 02$	20620

TABLE 12. Comparison of best solutions for the tension compression spring design problem.

Method	X_1	X_2	X_3	$g_1(X)$	$g_2(X)$	$g_3(X)$	$g_4(X)$	f(X)
GA-DT	0.051989	0.363965	10.890522	$-1.30E-0.5$	$-2.10E-0.5$	-4.061338	-0.722698	0.012681
MDE	0.051688	0.356692	11.290483	-0.000000	-0.000000	-4.053734	-0.727090	0.012665
CPSO	0.051728	0.357644	11.244543	$-8.45E-04$	$-1.26E-05$	-4.051300	-0.727090	0.012675
HPSO	0.051706	0.357126	11.265083	NA.	NA	NA.	NA	0.012665
DEDS	0.051689	0.356718	11.288965	NA.	NA	NA	NA.	0.012665
HEAA	0.051690	0.356729	11.288294	NА	NА	NА	NA.	0.012665
DELC	0.051689	0.356718	11.288966	NА	NA	NA.	NA.	0.012665
ABC	0.051749	0.358179	11.203763	-0.000000	-0.000000	-4.0566663	-0.726713	0.012665
MBA	0.051656	0.35594	11.344665	Ω	Ω	-4.052248	-0.728268	0.012665
SSOC	0.051689	0.356718	11.288965	NA	NA	NA	NA.	0.012665
$BSA-SA\varepsilon$	0.051989	0.356727	11.288425	$-7.70E-09$	$-3.30E-09$	-4.054	-0.728	0.012665
BSA	0.516940	0.356845	11.281488	$-1.05E-07$	$-1.77E-08$	-4.054037	-0.727640	0.012665
TOGPEAe	0.051640	0.355540	11.358313	9.89E-07	9.97E-07	-4.051500	-0.728500	0.012665

TABLE 13. Comparison of statistical results for the tension compression spring design problem.

and backtracking search optimization algorithm (BSA). The statistical results of all comparison algorithms are listed in Tab[.10](#page-10-3) and Tab[.11.](#page-11-0) As shown in Tab[.10,](#page-10-3) MDE obtains the best solution at $x = (0.8125, 0.4375, 42.0984, 176.6360)$ with the objective function value $f(x) = 6059.7071$. The TOG-PEAe is better than other seven algorithms (GA-DT,CPSO, HPSO, DELC, ABC, BSA-SA ε and BSA), and is only worse than MDE. In addition, in Tab[.11,](#page-11-0) the Mean value and the Std value are worse than some comparison algorithms, but the FEs of the TOGPEAe (equals 20620) is lower than other eight algorithms. That is to say, the TOGPEAe determines a better best solution by using the smallest computational overhead. All in all, the above results show that the TOGPEAe has a certain competitiveness on this optimization problem.

FIGURE 5. Tension/compression spring design problem.

3) TENSION/COMPRESSION SPRING DESIGN PROBLEM

The tension/compression spring design problem consists of three continuous variables (wire diameter (x_1) , coil diameter (x_2) and the number of active coil (x_3) and four nonlinear inequality constrains. The schematic diagram of the structure of the tesion/compression spring design problem is shown in Fig[.5.](#page-11-1) The formulation of this problem is shown in Appendix A.3. For this problem, the results of the TOGPEAe is compared with GA-DT, MDE, CPSO, HPSO, DEDS, HEAA, DELC, ABC, MBA, social spider optimization (SSOC) [66], BSA-SA ε and BSA. The statistical results are reported in Tab[.12](#page-11-2) and Tab[.13.](#page-11-3)

As shown in Tab[.12,](#page-11-2) except for GA-DT and CPSO, other eleven algorithms obtain the best solution which is equal to $f(x) = 0.012665$ (including the TOGPEAe, MDE, HPSO, DEDS, HEAA, DELC, ABC, MBA, SSOC, BSA-SAε and BSA). Moreover, Tab[.13](#page-11-3) shows that the Std of the TOGPEAe

TABLE 14. Comparison of best solutions for the welded beam design problem.

Method	GA-DT	MDE	CPSO	HPSO	ABC	MBA	$BSA-SA\varepsilon$	BSA	BSAISA	TOGPEAe
$X_1(h)$	0.205986	0.205730	0.202369	0.205730	0.205730	0.205729	0.205730	0.205730	0.205730	0.205730
$X_2(l)$	3.471328	3.470489	3.544214	3.470489	3.470489	3.470493	3.470489	3.470489	3.470489	3.470467
$X_3(t)$	9.020224	9.036624	9.048210	9.036624	9.036624	9.036626	9.036624	9.036624	9.036624	9.036624
$X_4(b)$	0.206480	0.205730	0.205723	0.205730	0.205730	0.205729	0.205730	0.205730	0.205730	0.205730
$g_1(X)$	-0.074092	-0.000335	-12.839980	NА	0.000000	-0.001614	$-1.55E-10$	$-5.32E-07$	θ	1.00E-06
$g_2(X)$	-0.266227	-0.000753	-1.247467	NA	-0.000002	-0.016911	$-4.30E-09$	$-9.02E-06$	θ	1.00E-06
$g_3(X)$	$-4.95E-04$	-0.000000	$-1.49E-03$	NA	0.000000	$-2.40E-07$	$-1.55E-15$	$-7.86E-12$	$-5.55E-17$	1.00E-06
$g_4(X)$	-3.430044	-3.432984	-3.429347	NA.	-3.432984	-3.432982	-3.4330	-3.432984	-3.43294	-3.433000
$g_5(X)$	-0.080986	-0.080730	-0.079381	NA	-0.080730	-0.080729	$-8.07E-02$	-0.080730	-0.080730	-0.080700
$g_6(X)$	-0.235514	-0.235540	-0.235536	NA	-0.235540	-0.235540	-0.2355	-0.235540	-0.235540	-0.235500
$g_7(X)$	-58.666440	-0.000882	-11.681355	NA	0.000000	-0.001464	$-1.85E-10$	$-1.13E-07$	$-5.46E-12$	1.00E-06
f(X)	1.728226	1.724852	1.728024	1.724852	1.724852	1.724853	1.724852	1.724852	1.724852	1.724851

FIGURE 6. Welded beam design problem.

is worse than some other algorithms, but the FEs of the TOGPEAe is second only to MBA with 7650 FEs. Based on the above results, it can be concluded that the TOGPEAe is suitable for this problem.

4) WELDED BEAM DESIGN PROBLEM

The aim of the welded beam design problem is to minimize the manufacturing cost of welded beam. As shown in Fig[.6](#page-12-0) and Appendix A.4. There are four design variables and seven constrains, two of which are linear inequality constraints and five of which are non-linear inequality constraints. The TOGPEAe is used to solve the problem and the comparison algorithm is as follows: GA-DT, MDE, CPSO, HPSO, ABC, MBA, BSA-SAε, BSA and BSAISA. The comparison results are shown in Tab[.14](#page-12-1) and Tab[.15.](#page-12-2)

As shown in Tab[.14,](#page-12-1) the best solution obtained by the TOGPEAe at *x* = (0.205730, 3.470467, 9.036624, 0.20730) with the objective function value $f(x) = 1.724850$ is the smallest among all comparison algorithms. From Tab[.15,](#page-12-2) the smallest FEs is 24000 obtained by MDE and CPSO, while the FEs of the TOGPEAe on this problem is 36180. This indicates that the TOGPEAe can obtain a better solution by sacrificing a little of computational overhead. Combining Tab[.14](#page-12-1) and Tab[.15,](#page-12-2) we can see that the TOGPEAe is very competitive in all comparison algorithms.

5) SPEED REDUCER DESIGN PROBLEM

Fig[.7](#page-12-3) shows the sketch of the speed reducer design problem. The speed reducer design problem can be described as a **TABLE 15.** Comparison of statistical results for the welded beam design problem.

FIGURE 7. Speed reducer design problem.

single objective optimization problem with eleven constraints and seven continuous design variables. The variables are represented by x_1 , x_2 , x_3 , x_4 , x_5 , x_6 , x_7 , where x_3 is an integer variable. From x_1 to x_7 , they represent the face width, the module of teeth, the number of teeth on pinon, the face width, the length of the first shaft between bearing, the length of the second shaft between bearing, the diameter of first shaft, and the diameter of the first shaft, respectively. The formulation of this problem is shown in A.5.

Tab[.16](#page-13-0) compares the best solutions of the TOGPEAe, MDE, DEDS, DELC, HEAA, PSO-DE, MBA, BSA and BSAISA. The best solution obtained by the TOGPEAe is $x =$ (3.500000, 0.700000, 17.000000, 7.300002, 7.715310, 3.350 214, 5.286653), and the corresponding function value is $f(x) = 2994.468269$. From the table, the TOGPEAe is better than all other algorithms. As shown in Tab[.17,](#page-13-1) the FEs of the TOGPEAe, which equal 19,680, ranks third among all

Method	MDE	DEDS	DELC.	HEAA	PSO-DE	MBA	BSA	BSAISA	TOGPEAe
X_1	3.500010	3.500000	3.500000	3.500000	3.500000	3.500000	3.500000	3.500000	3.500000
X_2	0.700000	0.700000	0.700000	0.700000	0.700000	0.700000	0.700000	0.700000	0.700000
X_3	17.000000	17.000000	17.000000	17.000013	17.000000	17.000000	17.000000	17.000000	17.000000
X_4	7.300156	7.300000	7.300000	7.300428	7.300000	7.300033	7.300000	7.300000	7.300002
X_5	7.800027	7.715320	7.715320	7.715377	7.800000	7.715772	7.715320	7.715320	7.715310
X_6	3.350221	3.350215	3.350215	3.350231	3.350215	3.350218	3.350215	3.350215	3.350214
X_7	5.286685	5.286654	5.286654	5.286664	5.286683	5.286654	5.286654	5.286654	5.286653
f(X)	2996.356689	2994.471066	2994.471066	2994.488107	2996.348167	2994.482453	2994.471066	2994.471066	2994.468269

TABLE 17. Comparison of statistical results for the speed reducer design problem.

Method	Worst	Mean	Best	Std	FEs
MDE. DEDS DEL C HEAA PSO-DE MBA BSA	2996.390137 2994.471066 2994.752311 2994.471066 2996.348204 2999.652444 2994.471066	2996.367220 2994.471066 2994.613368 2994.471066 2996.348174 2996.769019 2994.471066	2996.356689 2994.471066 2994.471066 2994.488107 2996.348167 2994.482453 2994.471066	8.2E-03 3.58E-12 7.0E-02 1.9E-12 $6.4E-06$ 1.56 9.87E-11	24000 30000 40000 30000 54350 6300 25640
BSAISA	2994.471095	2994.471067	2994.471066 2994.468269	5.40E-06	15860
TOGPEAe	2994.471205	2994.469132		$6.6E-04$	19680

TABLE 18. Comparison of best solutions for the gear train design problem.

Method	ABC.	MBA	CSA	TOGPEAe
X_1	49	43	49	43
X_2	16	16	19	16
X_3	19	19	16	19
X_4	43	49	43	49
	$2.7E-12$	$2.7E-12$	2.7E-12	$2.7E-12$

TABLE 19. Comparison of statistical results for the gear train design problem.

algorithms except for MBA (6300) and BSAISA (15860). We can conclude that the TOGPEAe can obtain a better solution than MBA and BSAISA by sacrificing a small amount of computational overhead on this problem.

6) GEAR TRAIN DESIGN PROBLEM

The gear train design problem aims to minimize the gear ratio of the gear train and Fig[.8](#page-13-2) is a schematic diagram of this problem. The gear train design problem has no function constraints, and only four design variables (x_1, x_2, x_3, x_4) . Each variable is an integer from 12 to 60 and means the number of teeth on corresponding gear. The formulation of this problem is shown in A.6.

FIGURE 8. Gear train design problem.

Four state-of-the-art algorithms are used to solve this problem, including ABC, MBA, CSA and the TOGPEAe. The comparison results are reported in Tab[.18](#page-13-3) and Tab[.19.](#page-13-4) Tab[.18](#page-13-3) compares the best solutions for the gear train design problem. It can be observed from the table that all algorithms reach the same optimal value and the function value is $f(x) =$ $2.7E - 12$. On the other hand, from Tab[.19,](#page-13-4) the EFs value of the TOGPEAe (equals to 1400) is only better than CSA, and is worse than other two algorithms (ABC, MBA). Therefore, the TOGPEAe is competitive on the gear train design problem.

D. NUMERICAL ANALYSIS AND DISCUSSION

In order to further analyze the comprehensive performance of the TOGPEAe, this section conducts an in-depth analysis of the TOGPEAe from the following two aspects: 1)For benchmark functions experiments, we list the functions that the TOGPEAe ranks first in CEC2005 and CEC2014, and analyze the proposed algorithm based on the properties of these functions. 2)For constrained engineering design problems, we list the optimal results and the minimum FEs based

TABLE 20. Comparison for the best solution and FEs.

on the table of the above 6 engineering problems and compare them with that of the proposed TOGPEAe. The statistical results are shown in Tab[.20.](#page-14-0)

1) COMPREHENSIVE DISCUSSIONS BASED ON THE BENCHMARK FUNCTIONS EXPERIMENTS

The effectiveness of the TOGPEAe is tested on CEC2005 and CEC2014. CEC2005 and CEC2014 contain different types of functions, such as unimodal functions, multimodal functions and hybrid, composition functions. According to the results of Tab[.3](#page-6-0) the TOGPEAe performs best in functions F1, F2, F4, F5, F6, F11, F18-F20, F24, where F1-F6 are unimodal functions and the remaining five functions are multimodal functions. It indicates that when solving 10-dimensional functions, the TOGPEAe not only performs well in dealing with unimodal functions, but also is competitive in solving multimodal functions. As shown in Tab[.4,](#page-7-0) the TOGPEAe ranks first among F3, F8, F10, F21, F23, and F24. In the 30-dimensional function test, where only F3 is unimodal function. It shows that the TOGPEAe is more suitable for dealing with multimodal functions. Whether in 10-D or 30-D of CEC2005, the average rank of the TOGPEAe is very competitive in terms of the 'Best' value. In the experiment of CEC2014 (showed in Tab[.5](#page-8-0) and Tab[.6\)](#page-9-0), the TOGPEAe ranks first for functions F5, F11, F12, F14, F17, F20, F21, F26. The average rank of the TOGPEAe is 4.1, it is only worse than SaDE (2.2). In summary, the TOGPEAe is more effective in obtaining better quality solutions.

2) COMPREHENSIVE DISCUSSIONS BASED ON THE ENGINEERING DESIGN PROBLEMS

Several following conclusions can be drawn about the TOG-PEAe according to Tab[.20.](#page-14-0)

> *Accuracy:* The Best value in the above tables are the reflection of the accuracy for each algorithm in solving engineering optimization problems. The smaller the Best value, the better the solution accuracy. As shown in Tab[.20,](#page-14-0) the Best value obtained by the TOGPEAe is the smallest on all six engineering design problems. Therefore, the solution accuracy of the TOGPEAe is obviously superior to other comparison algorithms.

> *Convergence speed:* The FEs value reflects the computational overhead when achieving the current optimal function value. The smaller the FEs value

is, the faster the convergence rate. From Tab[.20,](#page-14-0) the FEs value of the TOGPEAe ranks first in terms of the three-bar truss design problem and pressure vessel design problem. In the other four engineering optimization problems, the FEs value of the TOG-PEAe is not the smallest, but the TOGPEAe obtains better feasible solutions than other algorithms by sacrificing computational overhead. That is to say, the TOGPEAe is also very competitive compared with other algorithms when considering the FEs value.

Robustness: The Mean value and the Std value of all the previous tables are the reflection of the robustness for each algorithm in solving engineering optimization problems. The smaller the value is, the higher the robustness. Compared with other algorithms, the Mean value and the Std value of the TOGPEAe are somewhat unsatisfactory. All in all, the robustness of the TOGPEAe is not competitive enough.

According to the above analyses, two characteristics of the TOGPEAe can be observed. On the one hand, the TOG-PEAe is very competitive improved algorithm in solving global optimization problem. On the other hand, although the TOGPEAe's robustness needs to be improved, the overall performance of the TOGPEAe is excellent.

V. CONCLUSION

The GPEAe as a new and competitive evolutionary algorithm with simple code, few parameters and strong exploration capability, its overall performance can still be further improved. In this paper, a new strategy called topological opposition-based learning (TOBL) is first developed. It is then planted in front the selection operator of the basic GPEAe to form a improved algorithm: grey prediction evolution algorithm based on topological opposition-based learning (TOGPEAe). The TOBL determines offsprings by calculating the Manhattan distances between the current best individual and all the vertices of the hypercube inspired on the original OBL strategy. It guides individuals of the TOGPEAe to learn from the best individual of the current generation to enhance the local exploitation capability without increasing the computation overhead.

In order to demonstrate the performance of the TOGPEAe, we tested the TOGPEAe on CEC2005, CEC2014 benchmark functions and a test suite composed of six engineering design

problems, and compared the experimental results of the TOG-PEAe with many state-of-the-art algorithms. The numerical results on both the benchmark functions and engineering design problems indicate that the proposed TOGPEAe is effective and promising for global optimization. Although the TOGPEAe obtains a good performance in our numerical experiments, we can still find that the standard deviation(Std) of the TOGPEAe is somewhat unsatisfactory on some complex benchmark functions. Our future works are to further research on the robustness of the TOGPEAe under the premise of ensuring the convergence and high precision.

VI. ENGINEERING DESIGN PROBLEMS

A.1. Three-bar truss design problem

$$
\min f(x) = (2\sqrt{2}x_1 + x_2) \times l
$$

subject to : $g_1(x) = \frac{\sqrt{2}x_1 + x_2}{\sqrt{2}x_1^2 + 2x_1x_2}P - \sigma \le 0$
 $g_2(x) = \frac{x_2}{\sqrt{2}x_1^2 + 2x_1x_2}P - \sigma \le 0$
 $g_3(x) = \frac{1}{\sqrt{2}x_2 + x_1}P - \sigma \le 0$
 $0 \le x_i \le 1, \quad i = 1, 2$
 $l = 100 \text{ cm}, \quad P = 2 \text{ kN/cm}^2, \sigma = 2 \text{ kN/cm}^2$

A.2. Pressure vessel design problem

$$
\min f(x) = 0.6224x_1x_3x_4 + 1.7781x_2x_3^2 + 3.1661x_1^2x_4
$$

+ 19.84x_1^2x_3
subject to : $g_1(x) = -x_1 + 0.0193x_3 \le 0$
 $g_2(x) = -x_2 + 0.00954x_3 \le 0$
 $g_3(x) = -\pi x_3^2x_4 - (4/3)\pi x_3^3 + 1296000 \le 0$
 $g_4(x) = x_4 - 240 \le 0$
 $0 \le x_i \le 100, \quad i = 1, 2$
 $10 \le x_i \le 200, \quad i = 3, 4$

A.3. Tension/compression spring design problem

$$
\min f(x) = (x_3 + 2)x_2x_1^2
$$

subject to : $g_1(x) = -x_2^3x_3/(71785x_1^4) + 1 \le 0$
 $g_2(x) = (4x_2^2 - x_1x_2)/(12566(x_2x_1^3 - x_1^4))$
 $+ 1/(5108x_1^2) - 1 \le 0$
 $g_3(x) = -140.45x_1/(x_2^2x_3) + 1 \le 0$
 $g_4(x) = (x_1 + x_2)/1.5 - 1 \le 0$
 $0.05 \le x_1 \le 2.00$
 $0.25 \le x_2 \le 1.30$
 $2.00 \le x_3 \le 15.00$

A.4. Welded beam design problem

$$
\min f(x) = 1.10471x_1^2x_2 + 0.04811x_3x_4(14 + x_2)
$$

subject to: $g_1(x) = \tau(x) - \tau_{max} \le 0$

$$
g_2(x) = \sigma(x) - \sigma_{max} \le 0
$$

\n
$$
g_3(x) = x_1 - x_4 \le 0
$$

\n
$$
g_4(x) = 0.10471x_1^2 + 0.04811x_3x_4(14 + x_2)
$$

\n
$$
-5 \le 0
$$

\n
$$
g_5(x) = 0.125 - x_1 \le 0
$$

\n
$$
g_6(x) = \delta(x) - \delta_{max} \le 0
$$

\n
$$
g_7(x) = P - P_c(x) \le 0
$$

\n
$$
0.1 \le x_i \le 2, \quad i = 1, 4
$$

\n
$$
0.1 \le x_i \le 10, \quad i = 2, 3
$$

where

$$
\tau(x) = \sqrt{(\tau')^2 + 2\tau' \tau'' \frac{x_2}{2R} + (\tau'')^2}, \ \tau' = \frac{P}{\sqrt{2}x_1x_2}, \ \tau'' = \frac{MR}{J}
$$

\n
$$
M = P(L + \frac{x_2}{2}), \quad R = \sqrt{\frac{x_2^2}{4} + (\frac{x_1 + x_3}{2})^2},
$$

\n
$$
J = 2\{\sqrt{2}x_1x_2[\frac{x_2^2}{12} + (\frac{x_1 + x_3}{2})^2]\}
$$

\n
$$
\sigma(x) = \frac{6PL}{x_4x_3^2}, \delta(x) = \frac{4PL^3}{Ex_3^3x_4},
$$

\n
$$
P_c(x) = \frac{4.013E\sqrt{(x_3^2x_4^6/36)}}{L^2} \times (1 - \frac{x_3}{2L}\sqrt{\frac{E}{4G}})
$$

\n
$$
P = 6000 \text{ lb}, \quad L = 14 \text{ in}, \quad E = 30 \times 10^6 \text{ psi},
$$

\n
$$
G = 12 \times 10^6 \text{ psi}, \quad \sigma_{max} = 30000 \text{ psi}, \quad \delta_{max} = 0.25 \text{ in}
$$

A.5. Speed reducer design problem

$$
\min f(x) = 0.7854x_1x_2^2(3.3333x_3^2 + 14.9334x_3 - 43.0934)
$$

\n
$$
- 1.508x_1(x_6^2 + x_7^2) + 7.4777(x_6^3 + x_7^3)
$$

\n
$$
+ 0.7854(x_4x_6^2 + x_5x_7^2)
$$

\nsubject to : $g_1(x) = \frac{27}{x_1x_2^2x_3} - 1 \le 0$
\n
$$
g_2(x) = \frac{397.5}{x_1x_2^2x_3^2} - 1 \le 0
$$

\n
$$
g_3(x) = \frac{1.93x_4^3}{x_2x_6^4x_3} - 1 \le 0
$$

\n
$$
g_4(x) = \frac{1.93x_3^3}{x_2x_7^4x_3} - 1 \le 0
$$

\n
$$
g_5(x) = \frac{[(745x_4/(x_2x_3))^2 + 16.9 \times 10^6]^{1/2}}{110x_6^3}
$$

\n
$$
-1 \le 0
$$

\n
$$
g_6(x) = \frac{[(745x_5/(x_2x_3))^2 + 157.5 \times 10^6]^{1/2}}{85x_7^3}
$$

\n
$$
-1 \le 0
$$

\n
$$
g_7(x) = \frac{x_2x_3}{40} - 1 \le 0
$$

\n
$$
g_8(x) = \frac{5x_3}{x_1} - 1 \le 0
$$

$$
g_9(x) = \frac{x_1}{12x_2} - 1 \le 0
$$

\n
$$
g_{10}(x) = \frac{1.5x_6 + 1.9}{x_4} - 1 \le 0
$$

\n
$$
g_{11}(x) = \frac{1.1x_7 + 1.9}{x_5} - 1 \le 0
$$

where

$$
2.6 \le x_1 \le 3.6,
$$

\n
$$
0.7 \le x_2 \le 0.8,
$$

\n
$$
17 \le x_3 \le 28,
$$

\n
$$
7.3 \le x_4, x_5 \le 8.3,
$$

\n
$$
2.9 \le x_6 \le 3.9,
$$

\n
$$
5.0 \le x_7 \le 5.5
$$

A.6. Gear train design problem

$$
\min f(x) = \left(\frac{1}{6.391} - \frac{x_3 x_2}{x_1 x_4}\right)^2
$$
\n
$$
\text{subject to: } 12 \le x_1, x_2, x_3, x_4 \le 60
$$

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