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# Analysis of Elliptical Waveguides With Anisotropic Dielectric Layers

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**ABSTRACT** Our main objective is to extend the discrete mode matching (DMM) method to analyze multilayered elliptical fibers or transmission lines with elliptical dielectric layers. We generate the formulation for the full-wave equivalent circuit (FWEC) and derive the hybrid matrix elements for the dielectric layers in an elliptical coordinate system and then obtain the system equation of the structure. To demonstrate the technique, we analyze elliptical waveguides and compare the results with the data obtained by other authors and by commercial software.

**INDEX TERMS** Multilayered microwave structures, anisotropic media, conformal, numerical analysis.

## I. INTRODUCTION

During the past decades, a considerable amount of attention has been paid to the analysis of optical fibers or dielectric waveguides for fast data transmission. The fibers exhibit ellipticity due to fabrication imperfections or purposely, due to manufacturing convenience. Also, the elliptical fibers have the interesting feature that their higher order modes are azimuthally stable, in contrast to circular fibers.

Several authors have analyzed elliptical waveguides in the literature, for example propagating modes are determined using ellipse transformation perturbation method (ETPM) in [1]. The cutoff wavelength is solved using the method of fundamental solutions (MFS) along with the singular value decomposition (SVD) technique in [2] and higher order mode cutoff is investigated in [3]. Reference [4] explained the analysis of elliptical fibers using the method of lines (MoL) in elliptical coordinates but the angular Mathieu functions are approximated with a Fourier expansion. Dyott collated lot of information on several approaches used to analyze elliptical fibers in his book [5]. Reference [6] also discussed in detail the fundamental theory of wave propagation in elliptical dielectric rods and several results in Chapter 6 of the book. Reference [7] developed the analytical expressions for reflection and transmission coefficients in elliptical cylinder using transfer matrix method. Reference [8] dealt with stripline structures mounted on elliptical cylinder using moment method and [9] used weakly guiding

approach to solve propagation constants by solving Mathieu's equation.

The discrete mode matching method has been proven to be an efficient spectral or spatial domain numerical method for the full-wave analysis of multilayered microwave structures such as waveguides, striplines and microstrip antennas [10]–[13]. References [12] and [13] take into account anisotropic layered media in the structures. The method has the advantage that we need to discretize the transmission line structures only in one direction while we use the analytical solution in the remaining directions. Thus, we save a lot of computational time and effort compared to other numerical schemes. The increase in the discretization lines is equivalent to the increase in the number of modes used for the field expansion due to the Nyquist-Shannon sampling theorem. References [10], [11], [13] also discuss about the convergence of the DMM computations with respect to the discretization lines on the structure. DMM provides smooth convergence of the results. In [13], we have dealt with elliptical structures using a cylindrical coordinate system. The goal of this paper is to extend the DMM method to directly deal with an elliptical coordinate system. We consider the problem of electromagnetic wave propagation along a dielectric cylinder of elliptical cross-section. We use elliptic cylinder functions, known as Mathieu functions to find the solution of the Helmholtz equation. The whole analysis is done in spatial domain.

This paper first describes the solution of the Helmholtz equation in elliptical coordinates. Then, it explains the derivation of the hybrid-matrix elements for elliptical

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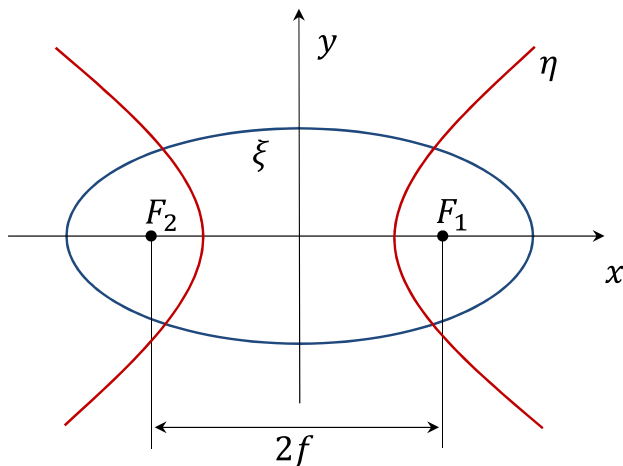


FIGURE 1. Elliptical cylindrical coordinates with semifocal length  $f$ .

dielectric layers. We demonstrate the application by computing propagation constants for elliptical dielectric waveguides with isotropic and uniaxial anisotropic layers. Finally, we validate the computed results with the open literature and/or results obtained from the commercial software ANSYS HFSS.

## II. FORMULATION IN THE ELLIPTICAL COORDINATE SYSTEM

Let us consider the elliptical coordinate system  $(\xi, \eta, z)$  as shown in Fig. 1. In the figure, we describe the elliptical cylinder by the coordinate  $\xi$  and the hyperbolic cylinder by the coordinate  $\eta$ . We locate the two foci of the elliptical cylinder at  $-f$  and  $+f$  on the  $x$ -axis. We can write the relation between the Cartesian and the elliptical coordinate system as

$$x = f \cosh \xi \cos \eta = A \cos \eta, \quad (1)$$

$$y = f \sinh \xi \sin \eta = B \sin \eta, \quad (2)$$

$$z = z, \quad (3)$$

where  $A$  and  $B$  represent the major and minor semi-axes of the elliptical cylinder. The range of the coordinates is specified as

$$\xi \geq 0, \quad 0 \leq \eta \leq 2\pi, \quad -\infty < z < \infty. \quad (4)$$

### A. SOLUTION OF MAXWELL'S EQUATIONS

We write Maxwell's equations in elliptical coordinates and for a source-free and homogeneous medium in their differential form as

$$\nabla \times \vec{E}(\xi, \eta, z) = -j\omega \vec{\mu} \cdot \vec{H}(\xi, \eta, z), \quad (5a)$$

$$\nabla \times \vec{H}(\xi, \eta, z) = j\omega \vec{\epsilon} \cdot \vec{E}(\xi, \eta, z). \quad (5b)$$

where  $\vec{E}$  and  $\vec{H}$  are electric and magnetic field vectors,  $\omega$  is the angular frequency. In elliptical coordinates, we write

$$\vec{E}(\xi, \eta, z) = E_\xi(\xi, \eta, z)\hat{\xi} + E_\eta(\xi, \eta, z)\hat{\eta} + E_z(\xi, \eta, z)\hat{z}, \quad (6)$$

with  $\hat{\xi}$ ,  $\hat{\eta}$  and  $\hat{z}$  denoting the unit vectors along  $\xi$ ,  $\eta$  and  $z$  coordinates, respectively. We suppress the time dependence  $\exp(j\omega t)$  throughout this work. We take the

permittivity ( $\vec{\epsilon} = \epsilon_0 \vec{\epsilon}_r$ ) and permeability ( $\vec{\mu} = \mu_0 \vec{\mu}_r$ ) tensors with optical axis in  $z$ -direction with

$$\vec{\epsilon}_r = \begin{pmatrix} \epsilon_t & 0 & 0 \\ 0 & \epsilon_t & 0 \\ 0 & 0 & \epsilon_z \end{pmatrix}, \quad \vec{\mu}_r = \begin{pmatrix} \mu_t & 0 & 0 \\ 0 & \mu_t & 0 \\ 0 & 0 & \mu_z \end{pmatrix}. \quad (7)$$

As the optical axis is in  $z$ -direction, we assume  $E_z$  and  $H_z$  as the two independent field components. After expanding (5b) and doing some analytical work, we can calculate the other field components using the relations

$$\left( \frac{\partial^2}{\partial z^2} + \omega^2 \epsilon_0 \mu_0 \epsilon_t \mu_t \right) E_\xi = \frac{1}{h} \frac{\partial}{\partial z} \frac{\partial}{\partial \xi} E_z - \frac{j\omega \mu_0 \mu_t}{h} \frac{\partial}{\partial \eta} H_z \quad (8a)$$

$$\left( \frac{\partial^2}{\partial z^2} + \omega^2 \epsilon_0 \mu_0 \epsilon_t \mu_t \right) H_\xi = \frac{j\omega \epsilon_0 \epsilon_t}{h} \frac{\partial}{\partial \eta} E_z + \frac{1}{h} \frac{\partial}{\partial z} \frac{\partial}{\partial \xi} H_z \quad (8b)$$

$$\left( \frac{\partial^2}{\partial z^2} + \omega^2 \epsilon_0 \mu_0 \epsilon_t \mu_t \right) E_\eta = \frac{1}{h} \frac{\partial}{\partial z} \frac{\partial}{\partial \eta} E_z + \frac{j\omega \mu_0 \mu_t}{h} \frac{\partial}{\partial \xi} H_z \quad (8c)$$

$$\left( \frac{\partial^2}{\partial z^2} + \omega^2 \epsilon_0 \mu_0 \epsilon_t \mu_t \right) H_\eta = \frac{-j\omega \epsilon_0 \epsilon_t}{h} \frac{\partial}{\partial \xi} E_z + \frac{1}{h} \frac{\partial}{\partial z} \frac{\partial}{\partial \eta} H_z. \quad (8d)$$

Here the scale factor  $h = f \sqrt{\cosh^2 \xi - \cos^2 \eta}$  and  $f = \sqrt{A^2 - B^2}$  represents the semifocal length of the ellipse.

We write the source free differential equation for the electric field  $E_z$  in elliptical coordinates as

$$\left( \frac{\partial^2}{\partial \xi^2} + \frac{\partial^2}{\partial \eta^2} + h^2 \frac{\epsilon_z}{\epsilon_t} \frac{\partial^2}{\partial z^2} + h^2 \omega^2 \epsilon_0 \mu_0 \mu_t \epsilon_z \right) E_z = 0, \quad (9)$$

and for the magnetic field  $H_z$  as

$$\left( \frac{\partial^2}{\partial \xi^2} + \frac{\partial^2}{\partial \eta^2} + h^2 \frac{\mu_z}{\mu_t} \frac{\partial^2}{\partial z^2} + h^2 \omega^2 \epsilon_0 \mu_0 \epsilon_t \mu_z \right) H_z = 0. \quad (10)$$

On assuming that the propagation is in  $z$ -direction then the equations lead to

$$\left( \frac{\partial^2}{\partial \xi^2} + \frac{\partial^2}{\partial \eta^2} + h^2 \epsilon_{d(e,h)} \right) \psi = 0, \quad (11)$$

where  $\psi$  represents each of the independent electromagnetic field components, i.e.,  $E_z(\xi, \eta)$  and  $H_z(\xi, \eta)$  and  $\epsilon_{de} = \omega^2 \epsilon_0 \mu_0 \mu_t \epsilon_z - k_z^2 \epsilon_z / \epsilon_t$  and  $\epsilon_{dh} = \omega^2 \epsilon_0 \mu_0 \epsilon_t \mu_z - k_z^2 \mu_z / \mu_t$  for  $E_z$  and  $H_z$ , respectively. Here,  $k_z$  is the propagation constant in  $z$ -direction. Similar to the procedure explained in [6], we apply next the rule of separation of variables on the field components and set

$$\psi = R(\xi)S(\eta). \quad (12)$$

Then we obtain two ordinary differential equations

$$\frac{\partial^2 S(\eta)}{\partial \eta^2} + (a - 2q \cos 2\eta)S(\eta) = 0, \quad (13)$$

$$\frac{\partial^2 R(\xi)}{\partial \xi^2} - (a - 2q \cosh 2\xi)R(\xi) = 0. \quad (14)$$

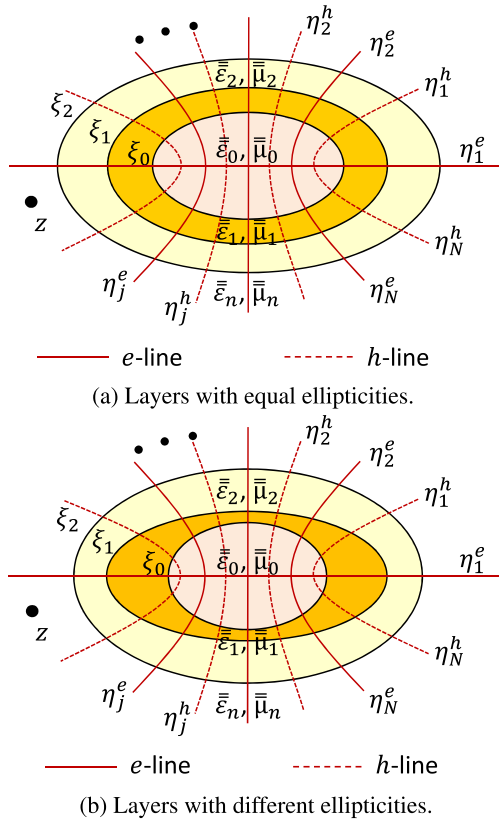


FIGURE 2. The elliptical transmission lines with discretization scheme.

Here,  $a$  represents the separation constant and  $q$  equals  $\epsilon_{def}^2/4$  (or  $q_e$ ) and  $\epsilon_{dhf}^2/4$  (or  $q_h$ ) for  $E_z$  and  $H_z$ , respectively. These equations are known as Mathieu differential equation and modified Mathieu differential equation, respectively.

Fig. 2 shows elliptical transmission lines with layered dielectric media with same and different ellipticities. Ellipticity of the interface can be defined by  $e = f/A$ . We need the field components for inner layer 0 extending from  $\xi = 0$  to  $\xi_0$ , for arbitrary layer  $k$  extending from  $\xi = \xi_{k-1}$  to  $\xi_k$  and for outer layer  $n$  extending from  $\xi = \xi_{n-1}$  to  $\infty$  as shown in the figure. We express the solution of the differential equation (9) as linear combination of products of angular and radial Mathieu functions:

$$E_{z_0} = \sum_{i=0}^{\infty} A_{0i} \text{Je}_i(q_{e_{0,0}}, \xi) \text{Ce}_i(q_{e_{0,0}}, \eta) + \sum_{i=1}^{\infty} B_{0i} \text{Jo}_i(q_{e_{0,0}}, \xi) \text{So}_i(q_{e_{0,0}}, \eta) \quad (0 \leq \xi \leq \xi_0), \quad (15)$$

$$E_{z_k} = \sum_{i=0}^{\infty} [A_{k1i} \text{Je}_i(q_{e_{k,int}}, \xi) + A_{k2i} \text{Ne}_i(q_{e_{k,int}}, \xi)] \text{Ce}_i(q_{e_{k,int}}, \eta) + \sum_{i=1}^{\infty} [B_{k1i} \text{Jo}_i(q_{e_{k,int}}, \xi) + B_{k2i} \text{No}_i(q_{e_{k,int}}, \xi)] \text{So}_i(q_{e_{k,int}}, \eta) \quad (\xi_{k-1} \leq \xi \leq \xi_k), \quad (16)$$

$$E_{z_n} = \sum_{i=0}^{\infty} A_{ni} \text{He}_i^{(2)}(q_{e_{n,n-1}}, \xi) \text{Ce}_i(q_{e_{n,n-1}}, \eta) + \sum_{i=1}^{\infty} B_{ni} \text{Ho}_i^{(2)}(q_{e_{n,n-1}}, \xi) \text{So}_i(q_{e_{n,n-1}}, \eta) \quad (\xi_{n-1} \leq \xi < \infty). \quad (17)$$

Similarly, we write the solution of the differential equation (10) as

$$H_{z_0} = \sum_{i=0}^{\infty} C_{0i} \text{Je}_i(q_{h_{0,0}}, \xi) \text{Ce}_i(q_{h_{0,0}}, \eta) + \sum_{i=1}^{\infty} D_{0i} \text{Jo}_i(q_{h_{0,0}}, \xi) \text{So}_i(q_{h_{0,0}}, \eta) \quad (0 \leq \xi \leq \xi_0), \quad (18)$$

$$H_{z_k} = \sum_{i=0}^{\infty} [C_{k1i} \text{Je}_i(q_{h_{k,int}}, \xi) + C_{k2i} \text{Ne}_i(q_{h_{k,int}}, \xi)] \text{Ce}_i(q_{h_{k,int}}, \eta) + \sum_{i=1}^{\infty} [D_{k1i} \text{Jo}_i(q_{h_{k,int}}, \xi) + D_{k2i} \text{No}_i(q_{h_{k,int}}, \xi)] \text{So}_i(q_{h_{k,int}}, \eta) \quad (\xi_{k-1} \leq \xi \leq \xi_k), \quad (19)$$

$$H_{z_n} = \sum_{i=0}^{\infty} C_{ni} \text{He}_i^{(2)}(q_{h_{n,n-1}}, \xi) \text{Ce}_i(q_{h_{n,n-1}}, \eta) + \sum_{i=1}^{\infty} D_{ni} \text{Ho}_i^{(2)}(q_{h_{n,n-1}}, \xi) \text{So}_i(q_{h_{n,n-1}}, \eta) \quad (\xi_{n-1} \leq \xi < \infty). \quad (20)$$

The even and odd angular Mathieu functions, represented with Ce and So, respectively, are solutions of the Mathieu differential equation (13). The functions Je, Jo and Ne, No are radial Mathieu functions of the first and second kind which come from the solution of the modified Mathieu differential equation (14). They play a similar role as Bessel functions in the circular coordinate system. Eqs. (17) and (20) contain  $\text{He}^{(2)}$  and  $\text{Ho}^{(2)}$ , which represent even and odd Mathieu-Hankel functions of the second kind, respectively, which are analogous to the Hankel functions. Mathieu-Hankel functions come from the solution of the modified Mathieu differential equation (14) in an unbounded domain. The angular Mathieu functions are expressed with Fourier series and the radial Mathieu functions as series of Bessel functions. Here the terms  $q_{e_{k,int}}$  and  $q_{h_{k,int}}$  are dependent on medium parameters with  $k$  denoting the dielectric layers and  $int$  denoting the interfaces between the layers. For example, layer 0 has only top interface 0 with  $\xi = \xi_0$ , layer  $k$  has both bottom and top interfaces represented by  $k - 1$  with  $\xi = \xi_{k-1}$  and  $k$  with  $\xi = \xi_k$ , respectively, and layer  $n$  has bottom interface  $n - 1$  with  $\xi = \xi_{n-1}$ . Therefore, the Mathieu functions are not only functions of  $\eta$  or  $\xi$  coordinates, but also depend on the medium parameters, i.e.,  $\bar{\epsilon}$ ,  $\bar{\mu}$ . The definitions of these Mathieu functions are given in [14]. The comparison between the notations are given in Table 1. The coefficients

**TABLE 1. Comparative notations of the Mathieu functions.**

This paper	Ce	So	Je	Jo	Ne	No	He	Ho
[14]	ce	se	Ce	Se	Fey	Gey	Me	Ne

are represented by  $A_{k1_i}, A_{k2_i}, A_{0_i}, A_{n_i}, B_{k1_i}, B_{k2_i}, B_{0_i}, B_{n_i}, C_{k1_i}, C_{k2_i}, C_{0_i}, C_{n_i}, D_{k1_i}, D_{k2_i}, D_{0_i}$  and  $D_{n_i}$  for mode  $i$ .

**B. MODE CLASSIFICATION**

We know that the coexistence of TE and TM modes happens when the fields are dependent on the angular coordinate. They give rise to the hybrid modes which are also the case in the cylindrical coordinate system. The modes are known as HE if the cross-sectional field pattern is similar to the TE mode or H mode, and EH if the cross-sectional field pattern is similar to the TM mode or E mode. However, there exists an asymmetry in the elliptical cylinder, which generates two types of field configurations. Thus, we have even or odd types of hybrid modes which are denoted with prescript e or o, i.e., e,oHE or e,oEH. The characteristic equations for odd and even hybrid modes for isotropic material are also derived in [15].

1) EVEN MODES

The expressions for the independent electric and magnetic field components for even modes eHE or eEH are

$$E_{z_0} = \sum_{i=1}^{\infty} B_{0_i} \text{Jo}_i(q_{e_{0,0}}, \xi) \text{So}_i(q_{e_{0,0}}, \eta) \quad (0 \leq \xi \leq \xi_0), \tag{21}$$

$$E_{z_k} = \sum_{i=1}^{\infty} [B_{k1_i} \text{Jo}_i(q_{e_{k,int}}, \xi) + B_{k2_i} \text{No}_i(q_{e_{k,int}}, \xi)] \text{So}_i(q_{e_{k,int}}, \eta) \quad (\xi_{k-1} \leq \xi \leq \xi_k), \tag{22}$$

$$E_{z_n} = \sum_{i=1}^{\infty} B_{n_i} \text{Ho}_i^{(2)}(q_{e_{n,n-1}}, \xi) \text{So}_i(q_{e_{n,n-1}}, \eta) \quad (\xi_{n-1} \leq \xi < \infty), \tag{23}$$

$$H_{z_0} = \sum_{i=0}^{\infty} C_{0_i} \text{Je}_i(q_{h_{0,0}}, \xi) \text{Ce}_i(q_{h_{0,0}}, \eta) \quad (0 \leq \xi \leq \xi_0), \tag{24}$$

$$H_{z_k} = \sum_{i=0}^{\infty} [C_{k1_i} \text{Je}_i(q_{h_{k,int}}, \xi) + C_{k2_i} \text{Ne}_i(q_{h_{k,int}}, \xi)] \text{Ce}_i(q_{h_{k,int}}, \eta) \quad (\xi_{k-1} \leq \xi \leq \xi_k), \tag{25}$$

$$H_{z_n} = \sum_{i=0}^{\infty} C_{n_i} \text{He}_i^{(2)}(q_{h_{n,n-1}}, \xi) \text{Ce}_i(q_{h_{n,n-1}}, \eta) \quad (\xi_{n-1} \leq \xi < \infty). \tag{26}$$

2) ODD MODES

Similarly, the expressions for the independent electric and magnetic field components for odd modes oHE or oEH are

$$E_{z_0} = \sum_{i=0}^{\infty} A_{0_i} \text{Je}_i(q_{e_{0,0}}, \xi) \text{Ce}_i(q_{e_{0,0}}, \eta) \quad (0 \leq \xi \leq \xi_0), \tag{27}$$

$$E_{z_k} = \sum_{i=0}^{\infty} [A_{k1_i} \text{Je}_i(q_{e_{k,int}}, \xi) + A_{k2_i} \text{Ne}_i(q_{e_{k,int}}, \xi)] \text{Ce}_i(q_{e_{k,int}}, \eta) \quad (\xi_{k-1} \leq \xi \leq \xi_k), \tag{28}$$

$$E_{z_n} = \sum_{i=0}^{\infty} A_{n_i} \text{He}_i^{(2)}(q_{e_{n,n-1}}, \xi) \text{Ce}_i(q_{e_{n,n-1}}, \eta) \quad (\xi_{n-1} \leq \xi < \infty), \tag{29}$$

$$H_{z_0} = \sum_{i=1}^{\infty} D_{0_i} \text{Jo}_i(q_{h_{0,0}}, \xi) \text{So}_i(q_{h_{0,0}}, \eta) \quad (0 \leq \xi \leq \xi_0), \tag{30}$$

$$H_{z_k} = \sum_{i=1}^{\infty} [D_{k1_i} \text{Jo}_i(q_{h_{k,int}}, \xi) + D_{k2_i} \text{No}_i(q_{h_{k,int}}, \xi)] \text{So}_i(q_{h_{k,int}}, \eta) \quad (\xi_{k-1} \leq \xi \leq \xi_k), \tag{31}$$

$$H_{z_n} = \sum_{i=1}^{\infty} D_{n_i} \text{Ho}_i^{(2)}(q_{h_{n,n-1}}, \xi) \text{So}_i(q_{h_{n,n-1}}, \eta) \quad (\xi_{n-1} \leq \xi < \infty). \tag{32}$$

**C. THE DISCRETE MODE MATCHING METHOD**

We assume that the structure is infinite in the propagation direction, i.e., in  $z$ -direction. From (21)-(26) and (27)-(32), it is clear that the wave solution for every elliptical cylinder  $\xi$  is dependent on each and every point on the  $\eta$ -axis. Therefore we do 1D discretization in the  $\eta$ -direction (see Fig. 2). For every value of  $q$ , there exists an infinite sequence of eigenvalues  $a$  and for each value of  $a$  exists a corresponding infinite sequence of eigenvectors (expansion coefficients). The important step in the algorithm is to compute the eigenvalues and the corresponding eigenvectors. Here, we consider a number of 25 expansion coefficients by default for all categories of Mathieu functions. In our code, we calculate exact expansion coefficients without any approximations.

We take  $E_z$  and  $H_z$  to be the two independent field components. We assume that  $E_z$  is sampled on  $e$ -lines and  $H_z$  on  $h$ -lines. We discretize the structure with  $N_\eta^e$   $e$ -lines and  $N_\eta^h$   $h$ -lines in the  $\eta$ -direction and include the same number of modes in the field expansion. Therefore, we write (21)-(26) in discretized form as

$$E_{z_0}(\xi, \eta_j^e) = \sum_{i=1}^{N_\eta^e} B_{0_i} \text{Jo}_i(q_{e_{0,0}}, \xi) \text{So}_i(q_{e_{0,0}}, \eta_j^e) \quad (0 \leq \xi \leq \xi_0), \tag{33}$$

$$E_{z_k}(\xi, \eta_j^e) = \sum_{i=1}^{N_\eta^e} [B_{k1_i} \text{Jo}_i(q_{e_{k,int}}, \xi) + B_{k2_i} \text{No}_i(q_{e_{k,int}}, \xi)] \text{So}_i(q_{e_{k,int}}, \eta_j^e) \quad (\xi_{k-1} \leq \xi \leq \xi_k), \tag{34}$$

$$E_{z_n}(\xi, \eta_j^e) = \sum_{i=1}^{N_\eta^e} B_{n_i} \text{Ho}_i^{(2)}(q_{e_{n,n-1}}, \xi) \text{So}_i(q_{e_{n,n-1}}, \eta_j^e) \quad (\xi_{n-1} \leq \xi < \infty), \tag{35}$$

$$H_{z_0}(\xi, \eta_j^h) = \sum_{i=0}^{N_\eta^h} C_{0_i} \text{Je}_i(q_{h_{0,0}}, \xi) \text{Ce}_i(q_{h_{0,0}}, \eta_j^h) \quad (0 \leq \xi \leq \xi_0), \tag{36}$$

$$H_{z_k}(\xi, \eta_j^h) = \sum_{i=0}^{N_\eta^h} [C_{k1i} J e_i(q_{h_{k,int}}, \xi) + C_{k2i} N e_i(q_{h_{k,int}}, \xi)] C e_i(q_{h_{k,int}}, \eta_j^h) \quad (\xi_{k-1} \leq \xi \leq \xi_k), \quad (37)$$

$$H_{z_n}(\xi, \eta_j^h) = \sum_{i=0}^{N_\eta^h} C_{ni} H e_i^{(2)}(q_{h_{n,n-1}}, \xi) C e_i(q_{h_{n,n-1}}, \eta_j^h) \quad (\xi_{n-1} \leq \xi < \infty). \quad (38)$$

Similarly we can also write (27)-(32) in discretized form.

From (8), we can identify the location of the other field components. Therefore, we say that for 1D discretization  $E_z$ ,  $E_\xi$  and  $H_\eta$  (or  $H_z$ ,  $H_\xi$  and  $E_\eta$ ) components are sampled at the same locations. Using (34), (37) and the field relations from Maxwell's equations, we write the discretized tangential field components for even modes in layer  $k$  in matrix form as

$$\begin{bmatrix} E_{\eta_k} \\ H_{\eta_k} \\ E_{z_k} \\ H_{z_k} \end{bmatrix} = \begin{bmatrix} Q_{\eta_k}^{B1} & Q_{\eta_k}^{B2} & Q_{\eta_k}^{C1} & Q_{\eta_k}^{C2} \\ G_{\eta_k}^{B1} & G_{\eta_k}^{B2} & G_{\eta_k}^{C1} & G_{\eta_k}^{C2} \\ Q_{z_k}^{B1} & Q_{z_k}^{B2} & 0 & 0 \\ 0 & 0 & G_{z_k}^{C1} & G_{z_k}^{C2} \end{bmatrix} \begin{bmatrix} B_{k1} \\ B_{k2} \\ C_{k1} \\ C_{k2} \end{bmatrix}. \quad (39)$$

Similarly, for odd modes

$$\begin{bmatrix} E_{\eta_k} \\ H_{\eta_k} \\ E_{z_k} \\ H_{z_k} \end{bmatrix} = \begin{bmatrix} Q_{\eta_k}^{A1} & Q_{\eta_k}^{A2} & Q_{\eta_k}^{D1} & Q_{\eta_k}^{D2} \\ G_{\eta_k}^{A1} & G_{\eta_k}^{A2} & G_{\eta_k}^{D1} & G_{\eta_k}^{D2} \\ Q_{z_k}^{A1} & Q_{z_k}^{A2} & 0 & 0 \\ 0 & 0 & G_{z_k}^{D1} & G_{z_k}^{D2} \end{bmatrix} \begin{bmatrix} A_{k1} \\ A_{k2} \\ D_{k1} \\ D_{k2} \end{bmatrix}. \quad (40)$$

Therefore, we write the relations for layer  $k$  in the form

$$\begin{bmatrix} E_{k-1} \\ H_{k-1} \end{bmatrix} = M_{k-1} F, \quad \begin{bmatrix} E_k \\ H_k \end{bmatrix} = M_k F, \quad (41)$$

where

$$M_k = \begin{bmatrix} Q_{\eta_k}^{B1} & Q_{\eta_k}^{B2} & Q_{\eta_k}^{C1} & Q_{\eta_k}^{C2} \\ Q_{z_k}^{B1} & Q_{z_k}^{B2} & 0 & 0 \\ 0 & 0 & G_{z_k}^{C1} & G_{z_k}^{C2} \\ G_{\eta_k}^{B1} & G_{\eta_k}^{B2} & G_{\eta_k}^{C1} & G_{\eta_k}^{C2} \end{bmatrix} \quad (42)$$

for even modes and

$$M_k = \begin{bmatrix} Q_{\eta_k}^{A1} & Q_{\eta_k}^{A2} & Q_{\eta_k}^{D1} & Q_{\eta_k}^{D2} \\ Q_{z_k}^{A1} & Q_{z_k}^{A2} & 0 & 0 \\ 0 & 0 & G_{z_k}^{D1} & G_{z_k}^{D2} \\ G_{\eta_k}^{A1} & G_{\eta_k}^{A2} & G_{\eta_k}^{D1} & G_{\eta_k}^{D2} \end{bmatrix} \quad (43)$$

for odd modes. We take the notations of the fields and coefficients in the matrix form as

$$E_k = \begin{bmatrix} E_{\eta_k} \\ E_{z_k} \end{bmatrix}, \quad H_k = \begin{bmatrix} H_{z_k} \\ H_{\eta_k} \end{bmatrix}, \quad (44)$$

$$F = \begin{bmatrix} B_{k1} \\ B_{k2} \\ C_{k1} \\ C_{k2} \end{bmatrix} \quad \text{or} \quad \begin{bmatrix} A_{k1} \\ A_{k2} \\ D_{k1} \\ D_{k2} \end{bmatrix}. \quad (45)$$

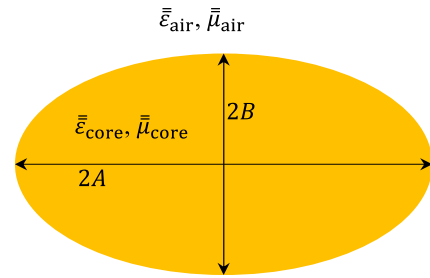


FIGURE 3. Schematic of elliptical waveguide cross-section.

After eliminating the unknown coefficient column matrix  $F$ , it results in

$$\begin{bmatrix} E_{k-1} \\ H_{k-1} \end{bmatrix} = K_k \begin{bmatrix} E_k \\ H_k \end{bmatrix}. \quad (46)$$

Therefore, we represent the hybrid matrix  $K_k$  for layer  $k$  as

$$K_k = M_{k-1} M_k^{-1}. \quad (47)$$

Next on using (33), (36), (35) and (38), we write the discretized tangential field components present in the inner layer extending from  $\xi = 0$  to  $\xi = \xi_0$  or outer unbounded layer for even modes as

$$\begin{bmatrix} E_{\eta_\chi} \\ E_{z_\chi} \end{bmatrix} = \begin{bmatrix} Q_{\eta_\chi}^B & Q_{\eta_\chi}^C \\ Q_{z_\chi}^B & 0 \end{bmatrix} \begin{bmatrix} B_\chi \\ C_\chi \end{bmatrix}, \quad (48)$$

$$\begin{bmatrix} H_{z_\chi} \\ H_{\eta_\chi} \end{bmatrix} = \begin{bmatrix} 0 & G_{z_\chi}^C \\ G_{\eta_\chi}^B & G_{\eta_\chi}^C \end{bmatrix} \begin{bmatrix} B_\chi \\ C_\chi \end{bmatrix}. \quad (49)$$

For odd modes, we write

$$\begin{bmatrix} E_{\eta_\chi} \\ E_{z_\chi} \end{bmatrix} = \begin{bmatrix} Q_{\eta_\chi}^A & Q_{\eta_\chi}^D \\ Q_{z_\chi}^A & 0 \end{bmatrix} \begin{bmatrix} A_\chi \\ D_\chi \end{bmatrix}, \quad (50)$$

$$\begin{bmatrix} H_{z_\chi} \\ H_{\eta_\chi} \end{bmatrix} = \begin{bmatrix} 0 & G_{z_\chi}^D \\ G_{\eta_\chi}^A & G_{\eta_\chi}^D \end{bmatrix} \begin{bmatrix} A_\chi \\ D_\chi \end{bmatrix}, \quad (51)$$

where  $\chi = 0$  for inner layer and  $\chi = n$  for the outer unbounded medium. We calculate the admittance by

$$Y_\chi = M_{H_\chi} M_{E_\chi}^{-1}, \quad (52)$$

where

$$M_{E_\chi} = \begin{bmatrix} Q_{\eta_\chi}^B & Q_{\eta_\chi}^C \\ Q_{z_\chi}^B & 0 \end{bmatrix} \quad \text{and} \quad M_{H_\chi} = \begin{bmatrix} 0 & G_{z_\chi}^C \\ G_{\eta_\chi}^B & G_{\eta_\chi}^C \end{bmatrix} \quad (53)$$

for even modes and

$$M_{E_\chi} = \begin{bmatrix} Q_{\eta_\chi}^A & Q_{\eta_\chi}^D \\ Q_{z_\chi}^A & 0 \end{bmatrix} \quad \text{and} \quad M_{H_\chi} = \begin{bmatrix} 0 & G_{z_\chi}^D \\ G_{\eta_\chi}^A & G_{\eta_\chi}^D \end{bmatrix} \quad (54)$$

for odd modes.

Let us consider a two-layer dielectric waveguide as shown in Fig. 3. We need to analyze only half of the structure due to symmetry. We get even modes by placing electric walls (E-wall) on the bounding domain while we get odd modes by placing magnetic walls (H-wall) on the boundary, as represented in Fig. 4. We can also analyze only a quarter



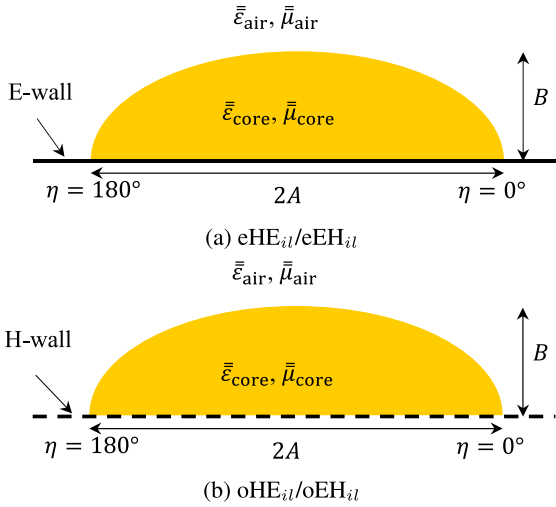


FIGURE 4. Half of the elliptical waveguide cross-section.

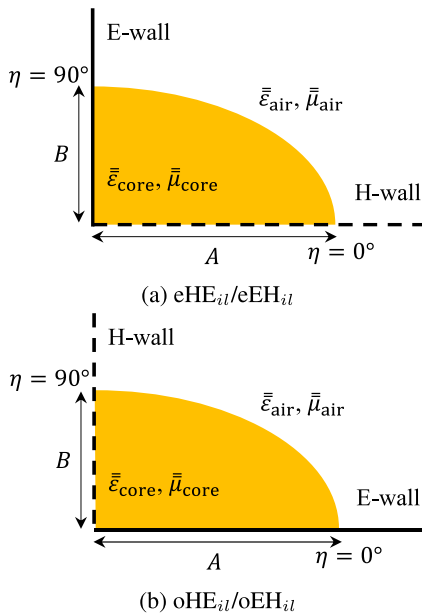


FIGURE 5. One quarter of the elliptical waveguide cross-section ( $i$  as odd index).

of the structure to get odd or even modes with odd subscript, e.g.,  $eHE_{il}$  with  $i$  as odd index. Fig 5 gives the position of E- and H-walls to get suitable modes. Here the coordinate  $\eta$  discretization starts from the horizontal line, as given in Fig. 2. Table 2 defines the suitable values for mode  $i$  in the modal expansion for various boundary conditions.

After calculating the hybrid or admittance matrices for each dielectric layer, we calculate the system equation using a full-wave equivalent circuit [16] in the form

$$GJ = E. \quad (55)$$

Here the square matrix  $G$  represents the Green's function and the column matrices  $J$  and  $E$  represent surface current density and electric field in the interfaces, respectively. For waveguides  $J = 0$ , therefore system equation reduces to

$$LE = 0, \quad (56)$$

TABLE 2. Value of modes for different boundary combinations.

Boundary combination (left/right)	$i$ (integers)
E/E	all( $i$ )
M/M	all( $i$ )
M/E	odd( $i$ )

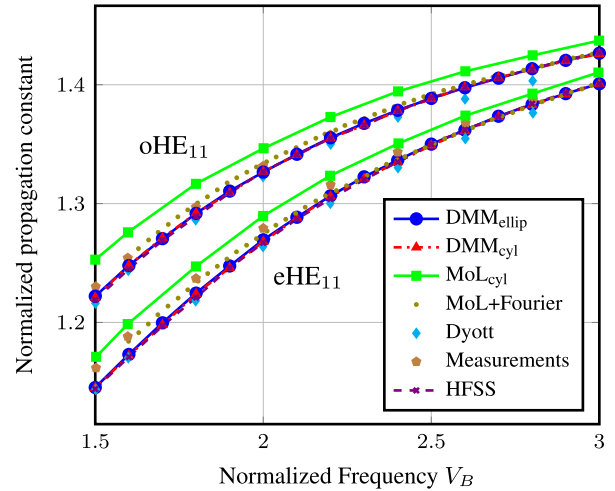


FIGURE 6. Dispersion curve of elliptical waveguide with isotropic material. (DMM<sub>cyl</sub>: [13], MoL<sub>cyl</sub>: [17], MoL+Fourier: [4], Dyott, Measurements: [5]).

where  $L = G^{-1}$ . Then, we find the propagation constant by solving the indirect eigenvalue problem (56). It can be done by varying the eigenvalue (propagation constant) until the determinant of the system matrix vanishes, i.e.,

$$\det L = 0. \quad (57)$$

### III. NUMERICAL RESULTS

#### A. ELLIPTICAL WAVEGUIDE

To validate the DMM formulations in elliptical coordinates, we have analyzed an elliptical dielectric waveguide. The waveguide comprises two layers, one is the elliptical core and the other is air surrounding the core as given in Fig. 3. The analysis is performed with an axial ratio  $B/A = 0.5$ , where  $B$  is the minor axis and  $A$  is the major axis. The material of the elliptical core is taken as  $\epsilon_{r,core} = (1.539)^2$  for the isotropic and  $\bar{\epsilon}_{r,core} = ((1.539)^2, (1.539)^2, (1.25)^2)$  for the anisotropic case. The dispersion curves, as shown in Fig. 6 and 7, are plotted against the normalized frequency  $V_B = Bk_0\sqrt{\epsilon_{r,core} - \epsilon_{r,air}}$ . Here  $V_B$  is calculated using isotropic values for both the isotropic and the anisotropic case. The computed propagation constants are normalized with the free-space wave number  $k_0$ . Fig. 8 gives the numerical solution of the determinantal equation (57) at  $V_B = 1.5$  for anisotropic case. We get two separate curves when only half of the waveguide is analyzed with even or odd case separately as specified in the theory section.

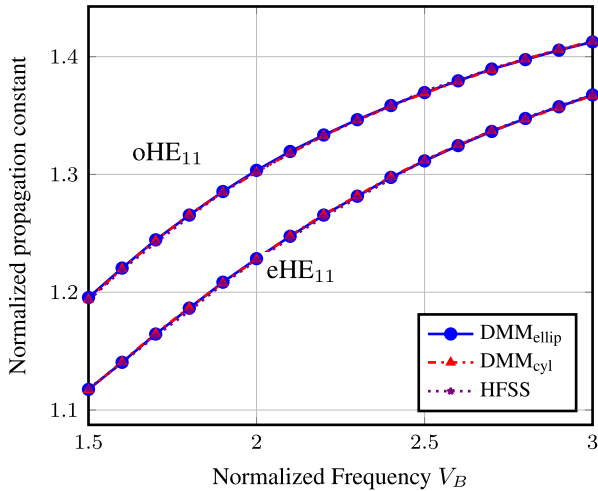


FIGURE 7. Dispersion curve of elliptical waveguide with anisotropic material.

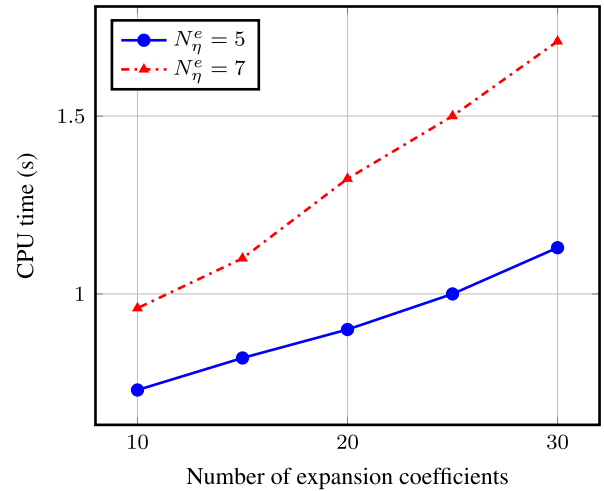


FIGURE 9. Time elapsed with varying expansion coefficients.

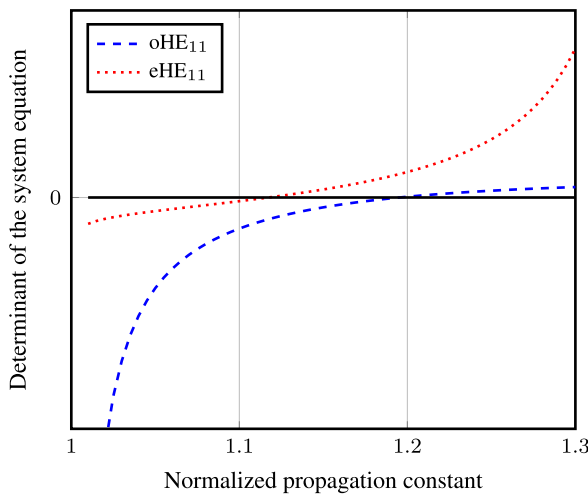


FIGURE 8. Numerical solution of the determinantal equation.

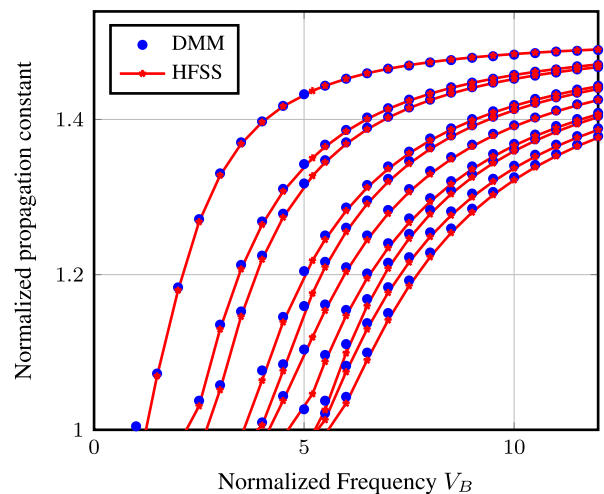


FIGURE 10. Higher order even modes of a dielectric rod.

The computed results agree very well with the open literature [4], [5], [17] and predicted results from ANSYS HFSS. We have used port mode calculation in HFSS and the computation time varied between 10 s to 38 s for  $V_B$  between 1.5 to 3. The results from [17] (MoL<sub>cyl</sub>) are based on a cylindrical coordinate system while the results from [4] (MoL+Fourier) are calculated in an elliptical coordinate system but with Fourier approximation. We have also compared the results with those using a cylindrical coordinate system [13].

The programming of the codes has been done in MATLAB with Intel i7-6600U CPU @2.6 GHz processor. Fig. 9 shows the time required to compute even mode normalized propagation constant for isotropic case at  $V_B = 1.5$ . Only quarter of the structure has been analyzed for this computation. The computed propagation constants for all number of expansion coefficients are the same up to 8 decimals. For the cylindrical coordinate system, the computation time increases with the number of lines used for discretization. The time was from 0.6 s to 4.5 s for 7 to 25  $e$ -lines on quarter of the structure.

### B. INVESTIGATION OF HIGHER ORDER MODES

Further to investigate higher order modes, we have analyzed a polythene rod as elliptical core with  $\epsilon_r = 2.26$  and surrounded by air. We have done the computation with an axial ratio  $B/A = 0.9$ . Fig. 10 and 11 show the results for the even and odd fundamental modes, respectively, and the first nine higher order modes. We have again plotted the dispersion curves against the normalized frequency  $V_B$ . They show very good agreement with the results computed from ANSYS HFSS. They are also in order with the results shown in [5].

### C. MULTILAYERED ELLIPTICAL WAVEGUIDE

We have then investigated a 4 layered elliptical waveguide consisting of 3 elliptical cylinders with same and different ellipticities as shown in Fig. 12. Outer layer of the structure is left open. The structure has been analyzed for both isotropic and anisotropic materials. The major axis of the inner layer of structure is with  $A_0 = 4$  mm and minor axis is with  $B_0 = 2$  mm. The width of the first layer in major axis direction is 0.5 mm and second layer is 0.6 mm.

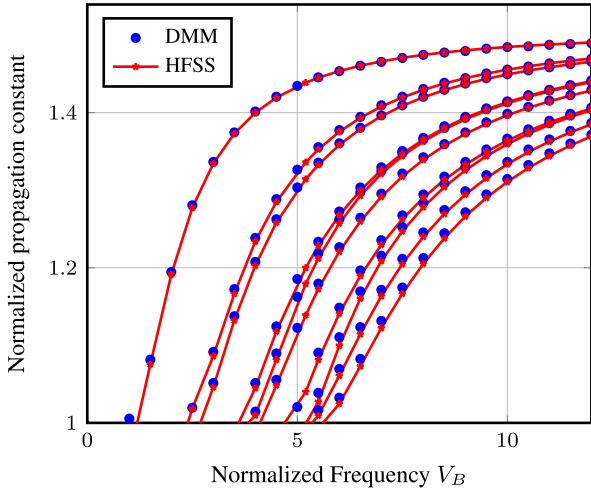


FIGURE 11. Higher order odd modes of a dielectric rod.

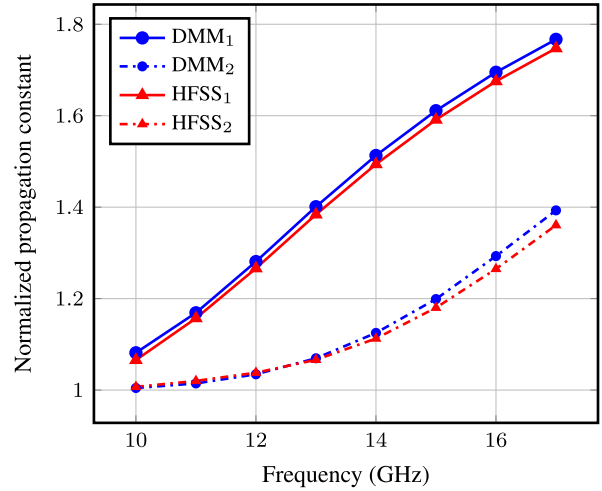


FIGURE 13. Dispersion curve of multilayered elliptical waveguide (same ellipticities) with isotropic material.

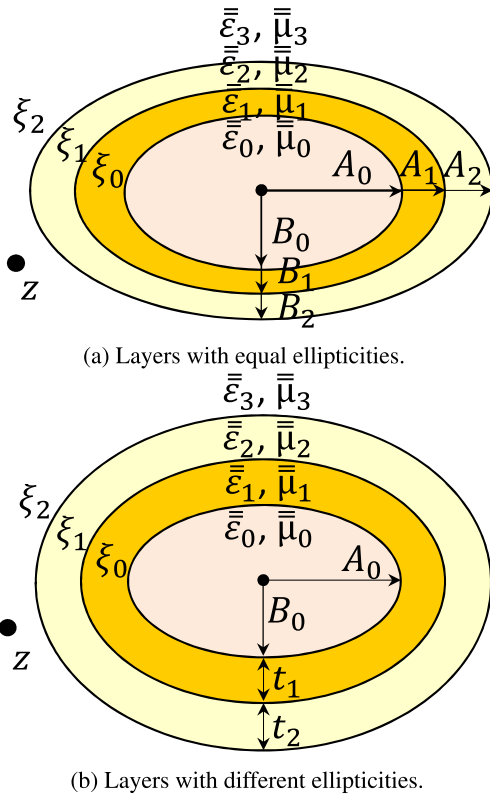


FIGURE 12. Analyzed multilayered waveguide cross-section.

The structure consisting of dielectric layers with same ellipticities (Fig. 12a) has axial ratio of 0.5, therefore,  $A_1 = 4.5$  mm,  $B_1 = 0.5A_1$ ,  $A_2 = 5.1$  mm and  $B_2 = 0.5A_2$ . For the structure with different ellipticities (Fig. 12b), we have taken thickness of the layers 1 and 2, i.e.,  $t_1$  and  $t_2$ , constant throughout  $\eta$  coordinate, therefore,  $A_1 = 4.5$  mm,  $B_1 = 2.5$  mm,  $A_2 = 5.1$  mm and  $B_2 = 3.1$  mm. The  $\xi$ -coordinate of the different layers can be calculated using  $A = f \cosh \xi$  or  $B = f \sinh \xi$ . The material properties of the structure with isotropic dielectric layers are  $\epsilon_{r0} = 6$ ,  $\epsilon_{r1} = 3.5$ ,  $\epsilon_{r2} = 2$ ,  $\epsilon_{r3} = 1$  and  $\mu_{r0} = \mu_{r1} = \mu_{r2} = \mu_{r3} = 1$ . Whereas, the material properties of

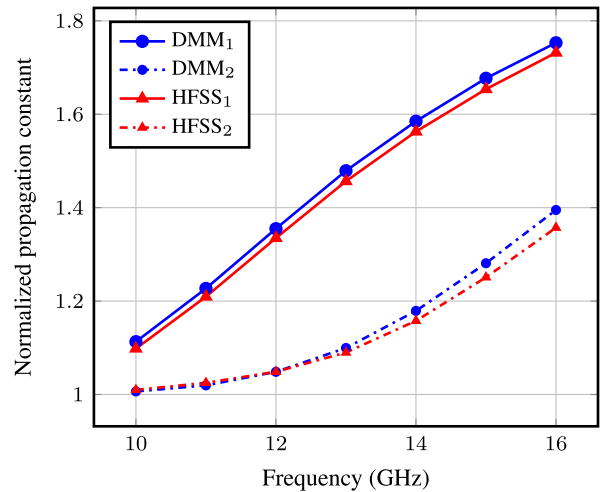


FIGURE 14. Dispersion curve of multilayered elliptical waveguide (same ellipticities) with anisotropic material.

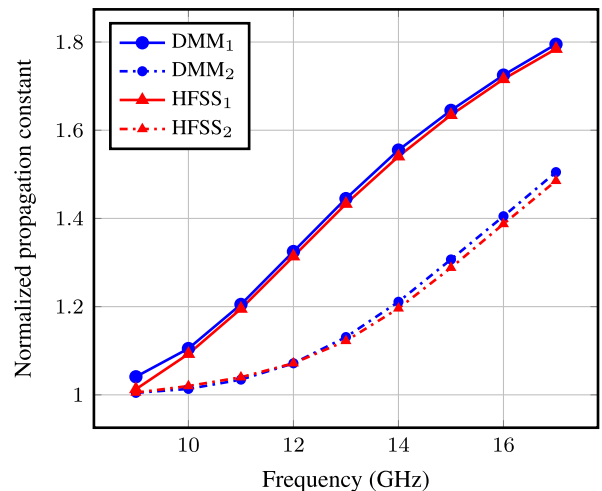
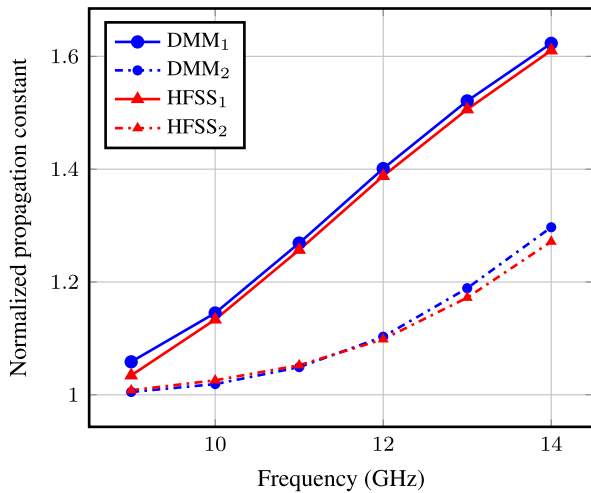


FIGURE 15. Dispersion curve of multilayered elliptical waveguide (different ellipticities) with isotropic material.

the structure with anisotropic dielectric layers are  $\bar{\epsilon}_{r0} = (6, 6, 7)$ ,  $\bar{\epsilon}_{r1} = (3.5, 3.5, 4)$ ,  $\bar{\epsilon}_{r2} = (2, 2, 3)$ ,  $\epsilon_{r3} = 1$  and





**FIGURE 16.** Dispersion curve of multilayered elliptical waveguide (different ellipticities) with anisotropic material.

$\mu_{r0} = \mu_{r1} = \mu_{r2} = \mu_{r3} = 1$ . The dispersion curves of the both structures with isotropic and anisotropic materials are shown in Figs. 13-16. Both DMM and HFSS results are calculated for 2 top modes.

#### IV. CONCLUSION

Motivated with the conformal structures, we have discussed the DMM method with the elliptical coordinate system. The derivation of the hybrid matrix elements for anisotropic elliptical dielectric layers has been done. The formulation has been validated well with the quasi-cylindrical approach. The elliptical fibers have been analyzed with good agreement with the commercial software and open literature.

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