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Sliding Mode Control: An Incremental Perspective

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ABSTRACT This study focuses on stabilization problem of a class of nonlinear systems. Generally, Lyapunov stability-based sliding mode technique is widely used to design controllers for nonlinear systems with uncertainties. In this paper, however, based on contraction property and sliding surfaces, the sliding mode control is suggested to provide incremental stability for nonlinear systems with uncertainties. The effectiveness of the method is illustrated by numerical simulations.

INDEX TERMS Sliding mode control, contraction theory, robust control, incremental stability.

I. INTRODUCTION

Stability theory plays an important role in system theory and engineering, including the well-known *equilibrium point stability* (EPS) and *input-output stability* (IOS), as well as the *incremental stability* (INS) that has a complicated development process. A simple explanation of the EPS is that all solutions starting near the equilibrium point close to this point [1]. IOS is a system stability property which can be examined from the external characteristics of the system [2]. INS is a stronger property comparing arbitrary trajectories with themselves, rather than with an equilibrium point or with a particular energy function. There are some evidences that EPS, IOS and INS are related [3]–[5]. Compared only on the concepts of EPS and INS stability, researchers more inclined to the stability of some particular solutions nearing the equilibrium points in the early years. However, in some cases it is more important to focus on the stability properties of all solutions independent of equilibrium points. Especially, the stability conditions of all solutions are more general when there are multiple equilibrium points in the systems, or when dealing with synchronization problems of complex networks. As another example, the construction of the energy function for some systems with physical properties might be easy, but it's hard to find a pattern to follow in the cases of the exceptions. The relationships (such as a distance) between trajectories exist objectively, in other words, incremental stability provides an analysis method for those unexpected situations.

To recall the history of incremental stability, an important concept is the Demidovich condition [6], [7], which provides sufficient conditions for the convergence of incrementally stable systems. A simple explanation of the Demidovich condition is that the system is convergent if all system trajectories converge to one trajectory on the whole time axis. In general, however, the reason for the explosive growth in the study of incremental stability is that Lohmiller and Slotine introduced the Riemannian metric into the control systems and defined the contraction properties (a generalization of the Demidovich condition) of incremental stability [8].

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The contraction properties can be simply interpreted in Riemannian geometry as: requires the decrease of a distance, defined through a Riemannian metric, along trajectories. The number of applications of incremental stability has increased in the past decade. Examples in stability analysis of nonlinear systems [9]–[11], complex networks for time-delayed communications [12], concurrent synchronization [13], [14], switched networks [15], coupled identical nonlinear oscillators [16], construction of symbolic models [17], observer design [18]–[20], nonlinear control design [21]–[26].

From previous literature of authors' knowledge, where in terms of the control scheme, it involves feedback control [17], [23], [26], matrix inequality condition [24], [25], and backstepping design [14], etc. Motivated by above discussions, a control scheme combined sliding mode technology and incremental stability has not yet been investigated and still remains a big challenging issue. Another motivation is to expand the application of incremental analysis methods on uncertain systems. A common technique for processing uncertainties by contraction is the semi-contraction technique [27], which does not require accurate estimates for uncertain parameters, but the structure of the systems must be known. A newly developed technology called robust control contraction metrics [5] can guarantee robust stability of arbitrary trajectories via small gain arguments, but its calculations are complex and even require software assistance.

It is well known that the sliding mode control has a good robust performance for uncertain systems. In this technical note, by investigating the design method of incremental sliding control, the main contributions can be stated as following two aspects.

- Developed a sliding design method for second-order systems and provided controllers enforcing an incremental asymptotic stability and not an equilibrium point stability;
- Expand the application of incremental analysis methods on uncertain systems. The advantages are uncomplicated calculations and do not require a known structure;

However, the present technology is relative to the second-order nonlinear systems. In other words, in the case of higher-order [28]–[30], new technologies need to be developed. And, research on contraction analysis in finite-time control [31] is rare, one of the challenges in the future is the finite-time control, especially with an incremental sliding technology. Another challenge is the case of time-delayed systems [32], [33], whether the incremental sliding technology can be introduced.

The organisation of this paper is structured as follows. The concept of incremental stability and contraction are discussed in Section II. The incremental sliding mode problem is described in Section III. In Section IV, firstly, a sliding surface is designed for a second-order uncertain system. Secondly, a sliding control method with incremental stability is proposed. Thirdly, the case for interference of sliding surface is discussed. Then, the problem of chattering on switching delay is discussed. The results are described to verify the effectiveness of the proposed distributed control algorithm in Section V. Finally, some characterizations are pointed out in Section VI.

II. INCREMENTAL STABILITY AND CONTRACTION

Considering a manifold $\mathcal{M}$ and a system

$$\dot{x} = f(x, t), \tag{1}$$

where f is a nonlinear vector field which maps each $(t, x) \in \mathbb{R} \times \mathcal{M}$ to a tangent vector $f(t, x) \in T_x\mathcal{M}$.

Let $\mathcal{C} \subset \mathcal{M}$ and denote by $\psi_{t_0}(\cdot, x_0)$ the solution to (1) from the initial condition $x_0 \in \mathcal{M}$ at time t_0. According to [34], we can get following definition.

Definition 1: System (1) is *incremental asymptotically stability* in a positively invariant set $\mathcal{C} \subset \mathcal{M}$, if there exists a function $\alpha \in \mathcal{KL}$ such that for any $x_1, x_2 \in C$ and $t \geq t_0$,

$$\|\psi_{t_0}(t, x_1) - \psi_{t_0}(t, x_2)\| \leq \alpha(\|x_1 - x_2\|).$$

In the case $\mathcal{C} = \mathcal{M}$ we say that (1) is globally incrementally stable, or just incrementally stable.

Let (1) be a differential form

$$\delta\dot{x}(t) = \frac{\partial f(x, t)}{\partial x(t)}\delta x(t),$$

where $\delta x(t)$ denotes an infinitesimal displacement at a fixed time. According to [8], there exists following definition (*a contraction property*) and lemma.

Definition 2: The metric G is a contraction metric and β is a contraction rate, if there are a Riemann metric $\delta x^T G(x)\delta x$ and a strictly positive constant $\beta \in \mathbb{R}^+$ in (1), such that

$$\frac{d}{dt}(\delta x^T G \delta x) = \delta x^T \left(\frac{\partial f}{\partial x}^T G + G\frac{\partial f}{\partial x} + \dot{G} \right)\delta x < -\delta x^T \beta G \delta x,$$

when G is independent of state, it is called a flat contraction metric, which is similar to Demidovich condition.

Lemma 1: Given the system equations (1), any trajectory, which starts in a ball of constant radius with respect to the metric $G(x, t)$, centered at a given trajectory, remains in that ball. The distance of any trajectory within the ball is gradually shortened until it is unified into the given trajectory.

Remark 1 [8]: If $\lambda_{\max}(\beta G)$ is the largest eigenvalue of the symmetric part of the Jacobian $\frac{\partial f}{\partial x}^T G + G\frac{\partial f}{\partial x} + \dot{G}$, then

$$\|\delta x\| \leq \|\delta x_0\| e^{\int_0^t \lambda_{\max}(x)dt}.$$

Remark 2: The purpose of given the definition of INS is to distinguish it from EPS. We mainly use the concept of contraction properties in this paper. A detailed explanation of a contraction system is also an incremental stability system, can refer to [35].

III. PROBLEM FORMULATION

Considering a nonlinear system of the following form

$$\begin{cases} \dot{x} = f(x,t) + g(x,t)u, & \text{(2a)} \\ s = s(x,t), & \text{(2b)} \end{cases}$$

where $x \in \mathbb{R}^n$ is the state, $u \in \mathbb{R}$ is the control input with respect to state x, and $f(x,t)$ and $g(x,t)$ are unknown smooth nonlinear functions. The sliding variables s and $\dot{s} = \frac{ds}{dt}$ are assumed to be known.

Let $\dot{s} = \frac{ds}{dt}$ be a differential form

$$\delta\dot{s} = \frac{\partial \dot{s}}{\partial x}\delta x + \frac{\partial \dot{s}}{\partial u}\delta u. \tag{3}$$

Considering a contraction metric G and further to calculate (3) with Definition 2, it yields

$$\begin{aligned} \frac{d}{dt}(\delta s^T G \delta s) &= \delta\dot{s}^T G\delta s + \delta s^T \dot{G}\delta s + \delta s^T G \delta\dot{s} \\ &= \left(\frac{\partial \dot{s}}{\partial x}\delta x + \frac{\partial \dot{s}}{\partial u}\delta u\right)^T G\delta s + \delta s^T \dot{G}\delta s \\ &\quad + \delta s^T G\left(\frac{\partial \dot{s}}{\partial x}\delta x + \frac{\partial \dot{s}}{\partial u}\delta u\right) \\ &= \left(\frac{\partial \dot{s}}{\partial x}\delta x + \frac{\partial \dot{s}}{\partial u}\delta u\right)^T G\frac{\partial s}{\partial x}\delta x + \delta s^T \dot{G}\delta s \\ &\quad + \left(\frac{\partial s}{\partial x}\delta x\right)^T G\left(\frac{\partial \dot{s}}{\partial x}\delta x + \frac{\partial \dot{s}}{\partial u}\delta u\right). \end{aligned} \tag{4}$$

If there exists a control signal $u\big(x, \text{sgn}(s), t\big)$ to cause (4) to shrink, we can get a conclusion $\delta x \to 0 \Rightarrow \delta s \to 0$, that is, system (2a) is incremental stable.

Remark 3: There are several well-known conventional Lyapunov methods that can be used to analyze reachability of sliding surface. However, it is not from an incremental perspective. The conjecture in equation (4) illustrates the possibility of incremental stability analysis for sliding mode surfaces.

IV. CONTRACTION ANALYSIS OF SLIDING SURFACE

This section will follow four sequences to illustrate the next work.

A. SLIDING SURFACE DESIGN

Considering a class of second-order systems, they can be described as

$$\begin{aligned} \dot{x}_1 &= h_1(x,t), \\ \dot{x}_2 &= h_2(x,t) + g(x,t)u + d(x,t), \end{aligned} \tag{5}$$

where $x \in \mathbb{R}^2$ is the state, $u \in \mathbb{R}$ is the control input. $h_1(x,t)$ is known smooth nonlinear function, $h_2(x,t)$ and $g(x,t)$ are unknown smooth nonlinear functions, $d(x,t)$ is a bounded interference.

Defining a sliding surface related to the state x, it yields

$$s = ax_1 + h_1(x,t), \tag{6}$$

where a is a positive constant. Considering a sliding surface that satisfies the following equation

$$\dot{s} = a\dot{x}_1 + \dot{h}_1 = ah_1 + \dot{h}_1 = y(x_1, x_2, u, t). \tag{7}$$

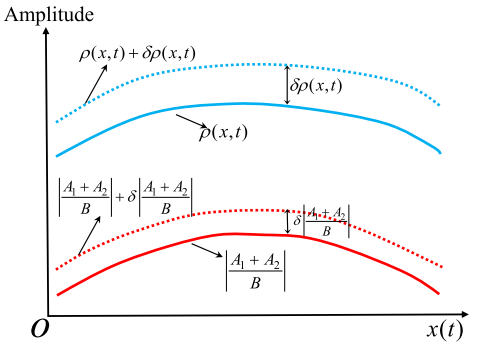

FIGURE 1. Graphical representation of Assumption 1.

Taking the differential form of system (7), it yields

$$\delta\dot{s} = A_1\delta x_1 + A_2\delta x_2 + B\delta u,$$

where $A_1 = \frac{\partial y}{\partial x_1}, A_2 = \frac{\partial y}{\partial x_2}, B = \frac{\partial y}{\partial u}$.

Remark 4: The reason of designed the sliding surface (6) for system (5) can be explained by a formula

$$\delta s = a\delta x_1 + \underbrace{\frac{\partial h_1}{\partial x_1}\delta x_1 + \frac{\partial h_1}{\partial x_2}\delta x_2}_{\delta\dot{x}_1}, \tag{8}$$

it can be see clearly that

$$\delta s = 0 \Rightarrow \delta\dot{x}_1 = -a\delta x_1.$$

In [8], equation (8) is a contraction case of linear time-varying system.

B. CONTROLLER DESIGN

Assumption 1: There exists a function $\rho(x,t)$ that satisfies the following inequalities

$$\left|\frac{A_1 + A_2}{B}\right| \le \rho(x,t), \tag{9}$$

$$\delta\left|\frac{A_1 + A_2}{B}\right| \le \delta\rho. \tag{10}$$

Remark 5: As shown in Figure 1, there are some details of sliding mode dynamics (7), it is that function $\left|\frac{A_1+A_2}{B}\right|$ with respect to the tangent line satisfies a bounded condition $\rho(x)$. And $\delta\left|\frac{A_1+A_2}{B}\right| \le \delta\rho$ can be explained as

$$\begin{aligned} \delta\left|\frac{A_1 + A_2}{B}\right| - \delta\rho &= \frac{\partial\left|\frac{A_1+A_2}{B}\right|}{\partial x}\delta x - \frac{\partial\rho}{\partial x}\delta x \\ &= \partial\left|\frac{A_1 + A_2}{B}\right| - \partial\rho \\ &\le 0. \end{aligned}$$

Theorem 1: Under Assumption 1, if there exists a function $\beta(x,t)$ greater than $\rho(x,t)$ to that satisfies the controller

$$u = \begin{cases} -\beta(x,t)\text{sgn}(s), & B > 0, \\ \beta(x,t)\text{sgn}(s), & B < 0, \end{cases}$$

$$\text{sgn}(s) = \begin{cases} 1, & s > 0, \\ 0, & s = 0, \\ -1, & s < 0. \end{cases} \tag{11}$$

Then, the sliding mode dynamics (7) is shrink to zero, the second-order system (5) is a contraction system. ■

Proof: Consider the adjacent trajectories in the sliding surface $\dot{s} = a\dot{x_1} + \dot{x_2}$. According to Definition 2, the metric between the adjacent trajectories can be defined as $\delta s^T G \delta s$. Choose a flat contraction metric $G = I$, the change rate of $\delta s^T \delta s$ between the adjacent trajectories can be defined as

$$\begin{aligned} \frac{d}{dt}(\delta s^T \delta s) &= 2\delta s^T \delta \dot{s} \\ &= 2\delta s^T \left(\frac{A_1 \delta x_1 + A_2 \delta x_2}{B} \right) B + 2\delta s^T B \delta u. \end{aligned}$$

Since the increment δx is an infinitesimal displacement at a fixed time, the following inequality is obviously

$$\left| \frac{A_1 \delta x_1 + A_2 \delta x_2}{B} \right| \leq \left| \frac{A_1 + A_2}{B} \right|.$$

According to (9) in Assumption 1, we can get an inequality

$$\begin{aligned} \frac{d}{dt}(\delta s^T \delta s) &= 2\delta s^T (A_1 \delta x_1 + A_2 \delta x_2) + 2\delta s^T B \delta u \\ &\leq \begin{cases} 2|\delta s^T| \delta \rho B + 2\delta s^T B \delta u, & B > 0, \\ -2|\delta s^T| \delta \rho B + 2\delta s^T B \delta u, & B < 0. \end{cases} \end{aligned} \tag{12}$$

To take $\beta(x, t) = \rho(x, t) + \beta_0$, $\beta_0 > 0$, it yields

$$\delta u = \begin{cases} -\delta[(\rho + \beta_0)\text{sgn}(s)], & B > 0, \\ \delta[(\rho + \beta_0)\text{sgn}(s)], & B < 0. \end{cases} \tag{13}$$

To merge (12) and (13), it yields

$$\begin{aligned} \frac{d}{dt}(\delta s^T \delta s) &\leq \begin{cases} 2|\delta s^T| \delta \rho B - 2|\delta s^T| B \delta(\rho + \beta_0), \\ -2|\delta s^T| \delta \rho B + 2|\delta s^T| B \delta(\rho + \beta_0), \end{cases} \\ &= \begin{cases} -2|\delta s| B \delta \beta_0, & B > 0, \\ 2|\delta s| B \delta \beta_0, & B < 0. \end{cases} \end{aligned} \tag{14}$$

According to Lemma 1, since $\frac{d}{dt}(\delta s^T \delta s) \leq 0$, there exists a $\lambda_{\max}(s)$ that is uniformly strictly negative, it yields

$$\|\delta s\| \leq \|\delta s_0\| e^{\int_0^t \lambda_{\max}(s) dt}.$$

It is not difficult to see that the incremental sliding surface $\delta s = a\delta x_1 + \underbrace{\frac{\partial h_1}{\partial x_1}\delta x_1 + \frac{\partial h_1}{\partial x_2}\delta x_2}_{\delta \dot{x}_1} = 0$ at $t \to \infty$, that is, all trajectories of system (5) shrink to zero at $t \to \infty$. □

Remark 6: As described in the problem description in Section III, we performed a contraction analysis on the sliding surface. Note that we used the flat metric $G = I$ (independent of state), so G is implied during the derivation.

C. SLIDING SURFACE WITH UNCERTAINTIES

The Theorem 1 stabilized h_2 and g with uncertainties, the next is to stabilize h_1 with uncertainties. Considering the case that h_1 is affected by the bounded interferences $\omega(x, t)$ and the system (5) is changed to the following form

$$\begin{aligned} \dot{x}_1 &= h_1(x, t) + \omega(x, t), \\ \dot{x}_2 &= h_2(x, t) + g(x, t)u + d(x, t). \end{aligned} \tag{15}$$

As mentioned above, Theorem 1 used the standard sliding surface $s = ax_1 + h_1(x, t)$. However, the sliding surface fluctuated as the addition of $w(x, t)$, and it changed to

$$\hat{s} = ax_1 + h_1(x, t) + \omega(x, t).$$

Now, $\hat{s}$ is a disturbed sliding surface and its rate of change is

$$\dot{\hat{s}} = a\delta x_1 + \dot{x}_1 + \dot{w}(x, t). \tag{16}$$

Taking the differential form of system (16), it yields

$$\delta \dot{\hat{s}} = A_1 \delta x_1 + A_2 \delta x_2 + B\delta u + \frac{\partial \dot{w}}{\partial x_1}\delta x_1 + \frac{\partial \dot{w}}{\partial x_2}\delta x_2.$$

Theorem 2: If there exist a function $\hat{\beta}(x)$ satisfies the inequality

$$\left| \frac{A_1 + A_2}{B} \right| + \left| \frac{\frac{\partial \dot{w}}{\partial x_1} + \frac{\partial \dot{w}}{\partial x_2}}{B} \right| \leq \rho(x, t) + \rho_w(x, t) \leq \hat{\beta}(x, t), \tag{17}$$

where $\left| \frac{\frac{\partial \dot{w}}{\partial x_1} + \frac{\partial \dot{w}}{\partial x_2}}{B} \right| \leq \rho_w(x, t)$, then the sliding mode dynamics (16) is shrink to zero by a controller

$$u = \hat{u} = \begin{cases} -\hat{\beta}(x, t)\text{sgn}(s), & B > 0, \\ \hat{\beta}(x, t)\text{sgn}(s), & B < 0, \end{cases} \tag{18}$$

and the second-order system (15) is a contraction system. ■

Proof: Considering the adjacent trajectories in the sliding surface $\dot{\hat{s}} = a\dot{x}_1 + \dot{x}_2 + w(x, t)$. According to Definition 2, we also choose a flat contraction metric $G = I$, the change rate of $\delta \hat{s}^T \delta \hat{s}$ between the adjacent trajectories can be defined as

$$\begin{aligned} &\frac{d}{dt}(\delta \hat{s}^T \delta \hat{s}) \\ &= 2\delta(s + w)^T \delta(\dot{s} + \dot{w}) \\ &= 2\delta(s + w)^T \left(\frac{(A_1 + \frac{\partial \dot{w}}{\partial x_1})\delta x_1 + (A_2 + \frac{\partial \dot{w}}{\partial x_2})\delta x_2}{B} \right) B \\ &\quad + 2\delta(s + w)^T B \delta \hat{u}. \end{aligned} \tag{19}$$

Taking $\hat{\beta}(x, t) = \rho(x, t) + \rho_w(x, t) + \beta_0$ and to derive (19) to get an inequality

$$\begin{aligned} &2\delta(s + w)^T \delta(\dot{s} + \dot{w}) \\ &\leq \begin{cases} 2|\delta \hat{s}^T| \delta(\rho + \rho_w) B - 2|\delta \hat{s}^T| B \delta(\rho + \rho_w + \beta_0), \\ -2|\delta \hat{s}^T| \delta(\rho + \rho_w) B + 2|\delta \hat{s}^T| B \delta(\rho + \rho_w + \beta_0), \end{cases} \\ &= \begin{cases} -2|\delta \hat{s}| B \delta \beta_0, & B > 0, \\ 2|\delta \hat{s}| B \delta \beta_0, & B < 0. \end{cases} \end{aligned}$$

According to Lemma 1, since $\frac{d}{dt}(\delta\hat{s}^T\delta\hat{s}) \leq 0$, there exists a $\lambda_{\max}(s)$ that is uniformly strictly negative, it yields

$$\|\delta\hat{s}\| \leq \|\delta s_0\| e^{\int_0^t \lambda_{\max}(\hat{s})dt}. \tag{20}$$

So the sliding surface $\delta\hat{s} = a\delta x_1 + \frac{\partial h_1}{\partial x_1}\delta x_1 + \frac{\partial h_1}{\partial x_2}\delta x_2 + \frac{\partial w}{\partial x_1}\delta x_1 + \frac{\partial w}{\partial x_2}\delta x_2 = 0$, that is, all trajectories of system (15) shrink to zero at $t \to \infty$. □

Remark 7: The boundary of standard sliding surface s with an interference w can be expressed as

$$\|\delta\hat{s}_1\| = \|\delta s\| + \|\delta w\|.$$

A constraint$\left(\frac{\frac{\partial \dot{w}}{\partial x_1}\delta x_1 + \frac{\partial \dot{w}}{\partial x_2}\delta x_2}{B}\right)B \leq \rho_w(x,t)$ in (17) is like to provide a bounded space to negatively determine the maximum eigenvalue $\lambda_{max}\left(\frac{\partial w}{\partial x_1}, \frac{\partial w}{\partial x_2}\right)$, such that

$$\|\delta\hat{s}\| \leq \|\delta\hat{s}_0\| e^{\int_0^t \lambda_{\max}(\hat{s})dt},$$

it eventually forms incremental stability $\|\delta\hat{s}\| \to \|\delta s\| \to 0$.

D. REDUCTION OF CHATTERING

Although the above theorems showed that a sliding mode controller with incremental stability can be designed, but the symbol switching controller has a switching delay. It is generally known that zero-delay switching is difficult to implement in practical systems, and the delay causes the chattering of input. The disadvantage of chattering is obvious, it may reduce control accuracy of system, increase energy consumption, and other unfavorable factors. The conventional saturation function *sat* is applicable in this paper, it reserves enough reaction time for the control law u to reduction chattering. The chattering reduction control law of the system (5) can be rewritten as

$$u = \begin{cases} -\beta(x,t)\text{sat}(s/\kappa), & B > 0, \\ \beta(x,t)\text{sat}(s/\kappa), & B < 0, \end{cases}$$
$$\text{sat}(s/\kappa) = \begin{cases} s/\kappa, & |s/\kappa| \leq 1, \\ \text{sgn}(s/\kappa), & |s/\kappa| \geq 1. \end{cases} \tag{21}$$

As shown in Figure 2, sat function is approximately sgn function in case of $\kappa \to 0$. To analyze the performance of the incremental sliding mode controller, we perform the following two-step analysis. The first is outside the boundary layer, that is, $|s| \leq \kappa$. According to (14), we can get an inequality

$$\frac{d}{dt}(\delta s^T \delta s) \leq \begin{cases} -2|\delta s|B\delta\beta_0, & B > 0, \\ 2|\delta s|B\delta\beta_0, & B < 0. \end{cases}$$

Therefore, as long as $|s(0)| \geq \kappa$, $\delta s(t)$ are strictly decreasing, until shrinking to set $\{|s| \leq \kappa\}$ within a limited time, and then remain in it. The second is inside the boundary layer, that is,

$$\dot{x}_1 = -ax_1 + s, |s| \leq \kappa. \tag{22}$$

utilizing a flat metric $\delta x_1^T G \delta x_1$ to check the contraction property in (22) and derive the rate of change, it yields.

$$\frac{d}{dt}(\delta x_1^T G \delta x_1) = 2\delta x_1^T(-aG)\delta x_1 + 2\delta x_1^T G \delta s. \tag{23}$$

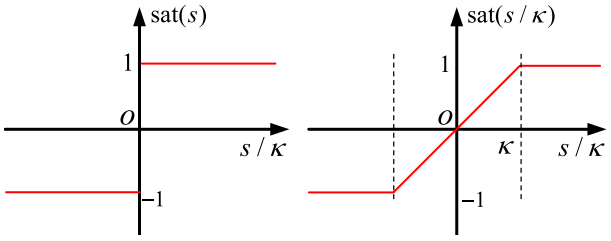

FIGURE 2. Sgn(*s*) and Sat(*s*/κ).

Since inside the boundary of sliding surface has $|s| \leq \kappa$, we can get

$$-\kappa \leq s + \delta s \leq \kappa, |s + \delta s| \leq \kappa.$$

Taking the boundary value of s, then δs should satisfy

$$-2\kappa \leq \delta s \leq 2\kappa, |\delta s| \leq 2\kappa. \tag{24}$$

This also means (23) can be change to

$$\frac{d}{dt}(\delta x_1^T G \delta x_1) \leq 2\delta x_1^T(-aG)\delta x_1 + 2|\delta x_1^T|G2\kappa. \tag{25}$$

After reaching the sliding surface, h_1 is close to a small value to ensure the stability of x_1, here, let $\Delta \approx \frac{\partial h_1}{\partial x_1}\delta x_1 + \frac{\partial h_1}{\partial x_2}\delta x_2 \approx 0$ in (8), we can get

$$-\Delta - 2\kappa \leq a\delta x_1 \leq 2\kappa + \Delta, \quad |a\delta x_1| \leq 2\kappa + \Delta.$$

This also means (25) can be change to

$$\frac{d}{dt}(\delta x_1^T G \delta x_1) \leq 2\delta x_1^T(-aG)\delta x_1 + \frac{4(2\kappa^T + \Delta^T)G\kappa}{a}. \tag{26}$$

Remark 8: Taking $\kappa = 0$, it is easy to see that x_1 will shrink to the boundary $\kappa = 0$, which is robust for $\dot{x}_2$. But $\kappa = 0$ is equivalent to a sgn function, the switching delay cause chattering to be inevitable.

Remark 9: Taking $\kappa > 0$ and a sufficiently large a, then (26) can be negative definite inside the boundary. Theoretically a larger gain a will have better convergence, it is shown as Figure 3 (noted that this figure comes from Example 2). Since a sat function inside the boundary no longer frequently switches, the contraction inside the boundary reduces the chattering.

Remark 10: Any trajectory within the boundary of the sliding surface can be think that to be restrict by κ, so the increment of any trajectories is limited from (24).

V. NUMERICAL SIMULATION

In this Section, two examples are performed to illustrate the advantages. Firstly, compared with sliding mode control based on Lyapunov stability theory, the advantages of sliding mode based on contraction are explained. Then, compared with the control contraction metrics technology, which illustrates the advantages of the sliding mode based contraction technology.

Example 1: (The variable-single pendulum [36]) A variable-single pendulum can be shown in Figure 4, R is the

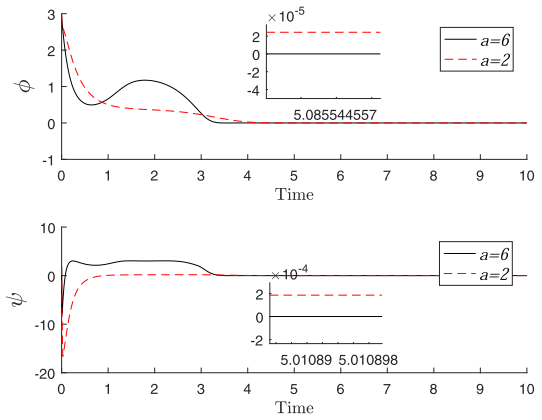

FIGURE 3. The effect under the changing of a.

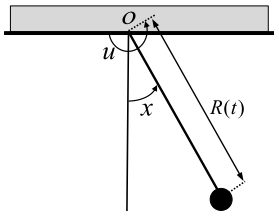

FIGURE 4. Sketch of pendulum in Example 1.

distance from O to the mass m. x is the oscillation angle. The pendulum is driven by an engine installed on the top side, which is called control torque u. The mathematical model of the pendulum can be described by

$$\ddot{x} = -2\frac{\dot{R}}{R}\dot{x} - g\frac{1}{R}\sin(x) + \frac{1}{mR^2}u, \tag{27}$$

where $m = 1Kg$, $g = 9.8m/s^2$ is the gravitational constant, and $R = 1 - 0.2\sin(t)$. The task here is to design the control law u such that the oscillation angle x will track a given signal $x_c = \frac{\pi}{3}$.

Let $s = a(x - x_c) + \dot{x}$, to take the derivative of s yields

$$y = \dot{s} = a(x - x_c) - g\frac{1}{R}\sin(x) - 2\frac{\dot{R}}{R}\dot{x} + \frac{1}{mR^2}u, \tag{28}$$

to take the differential form of system (28) yields

$$\delta\dot{s} = A_1\delta x_1 + A_2\delta x_2 + B\delta u, \tag{29}$$

with

$$A_1 = \frac{\partial y}{\partial x} = -g\frac{1}{R}\cos(x) + a,$$
$$A_2 = \frac{\partial y}{\partial \dot{x}} = -2\frac{\dot{R}}{R},$$
$$B = \frac{\partial y}{\partial u} = \frac{1}{mR^2}.$$

Choosing $u = -\left(\left|\frac{(A_1+A_2)}{B}\right| + 20\right)\mathrm{sgn}(s)$ and considering a bounded interference $d(x,t) = 2\sin(x)$ into (27) yields

$$\ddot{x} = -2\frac{\dot{R}}{R}\dot{x} - g\frac{1}{R}\sin(x) + \frac{1}{mR^2}u + 2\sin(x),$$

and simulating with $a = 5$.

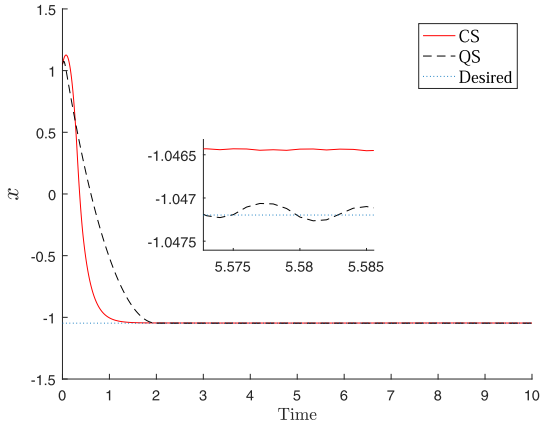

FIGURE 5. Pendulum: the state for θ.

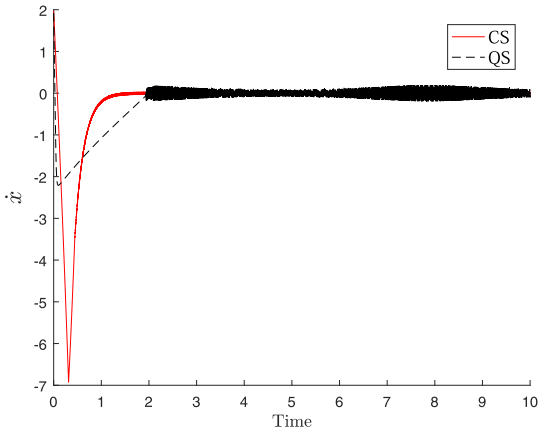

FIGURE 6. Pendulum: the state for $\dot{\theta}$.

In order to illustrate the advantages of the proposed control method, we compared with the controller proposed in [37]. The so-called quasi-continuous 2-sliding mode algorithm in [37] is

$$u = -\left(4 + 5\bar{a}(x)\right)\frac{\lfloor\dot{\bar{s}}\rceil^4 + \beta_1^4\lfloor\bar{s}\rceil^2}{|\dot{\bar{s}}|^4 + \beta_1^4|\bar{s}|^2}, \tag{30}$$

where $\bar{s} = x - x_c$, $\bar{a}(x) = \frac{1}{2}|\dot{x}| + \frac{5}{4}g$, $\beta_1 = 1.5$ and $\lfloor x\rceil^v = |x|^v\mathrm{sgn}(x), \forall v > 0$.

Numerical simulation for the variable-single pendulum as shown in Figure 5 to Figure 8, where CS denotes proposed method in this paper, QS denotes proposed method (30) in [37]. From Figure 5, it is clear that the reference signal can be tracked with a good dynamical performance by CS and QS. However, the convergence time of CS is $0.5s$ faster than QS, the tracking error only has 0.005 and it can be irrelevant almost. From Figure 6, it is clear that QS is about 0.05 higher than CS on the fluctuation value of state $\dot{x}$. From Figure 7, it is clear that the controllers have the property of sliding mode control, namely the chattering. However, the input overshoot of CS is 30 lower than QS. From Figure 8, it is clear that finite-time reachability of sliding mode dynamics, and the reach time of CS is $1.5s$ faster than QS.

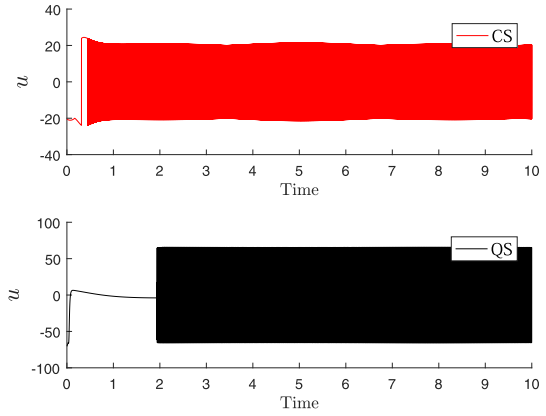

FIGURE 7. Pendulum: the input u.

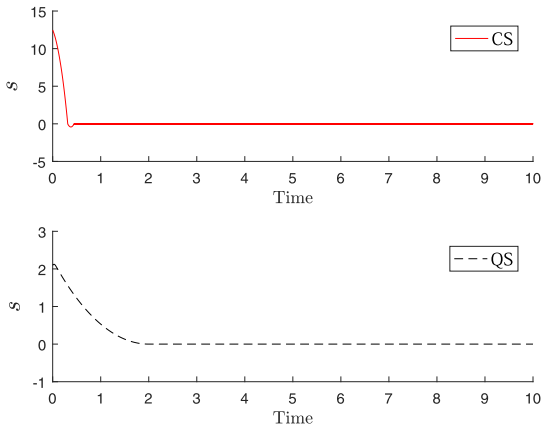

FIGURE 8. Pendulum: the sliding surface s.

Example 2 (The Moore-Greitzer Model [38]): A model of Moore-Greitzer was a simplified model of surge-stall dynamics based on a Galerkin projection of the partial differential equations on to a Fourier basis. The following reduced model of the surge dynamics was described as

$$\begin{aligned}\dot{\phi} &= -\varphi - \frac{3}{2}\phi^2 - \frac{1}{2}\phi^3,\\ \dot{\varphi} &= \phi + u,\end{aligned} \tag{31}$$

where u is the input as a sensor on φ. φ and ϕ are a measure of the mass flow and pressure rise in the compressor, under a change of coordinates. The source of difficulty is the nonlinearity $-\frac{3}{2}\phi^2 - \frac{1}{2}\phi^3$ which does not satisfy any global Lipschitz bound, and affects the dynamics of the variable ϕ, which is not directly controlled or measured.

To take $h_1 = -\varphi - \frac{3}{2}\phi^2 - \frac{1}{2}\phi^3$, $h_2 = \phi$, $g = 1$ and let $s = a\phi + h_1$, referring to the design steps in Example 1, it yields

$$\begin{aligned}A_1 &= -\frac{3}{2}a - \frac{3}{2}a\phi^2 + 3\varphi + 9\phi - 6\phi^3 + 3\phi\varphi\\ &\quad + \frac{27}{4}\phi^2 + \frac{15}{4}\phi^4 - 1,\\ A_2 &= -a + 3\phi + \frac{3}{2}\phi^2,\\ B &= -1.\end{aligned}$$

TABLE 1. CS compared to QS.

Term	CS	QS
Average Errors $x_{t>5}$	0.005	0.0002
Convergence time x	0.38s	1.8s
Average of absolute input (Pendulum)	20.7934	51.3449

TABLE 2. CS compared to CCM.

Term	CS	CCM
Average Errors $\phi_{t>5}$	0	0.05
Convergence time ϕ	3.2s	4s
Average of absolute input (Greitzer)	4.6494	0.4551

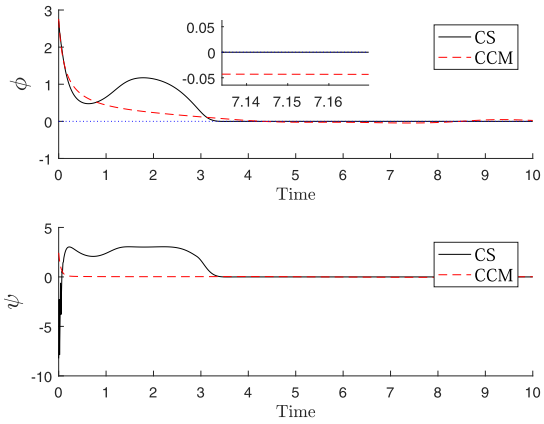

FIGURE 9. Greitzer: the state ϕ, φ.

Considering a bounded interference $w(x,t) = 2\sin(t)\varphi$ into (27), it is clear that $\left|\frac{\partial \dot{w}}{\partial \varphi}/B\right| \le 2$. Then (31) is changed to

$$\begin{aligned}\dot{\phi} &= -\varphi - \frac{3}{2}\phi^2 - \frac{1}{2}\phi^3 + 2\sin(t)\varphi,\\ \dot{\varphi} &= \phi + u.\end{aligned}$$

and simulating with $u = \left(\left|\frac{A_1+A_2}{B}\right| + 8\right) sat(s)$.

In order to illustrate the advantages over other contraction methods, we compared with the contraction-based method in [25]. The so-called control contraction metrics (CCM) algorithm in [25] is

$$u = u^\star - \frac{1}{2}\rho(\phi,\varphi)W(\phi,\varphi)^{-1}B'(\phi,\varphi)\begin{bmatrix}\phi-\phi^\star\\ \varphi-\varphi^\star\end{bmatrix}, \tag{32}$$

where, $u^\star$, $\phi^\star$ and $\varphi^\star$ are target trajectories. To use Matlab sum-of-squares tools [39] to set up a two decoupled convex feasibility problems, that is, ρ and W.

Numerical simulation for the Moore-Greitzer model as shown in Figure 9 to Figure 11, where CS denotes proposed method in this paper (21), CCM denotes proposed method (32) in [25]. As shown in Figure 10, the tracking task can be completed by CS and CCM, but the CCM has a large error, about 0.04. As shown in Figure 9, it is clear that CS has a higher input overshoot, but it is stable in 0.2 seconds. As

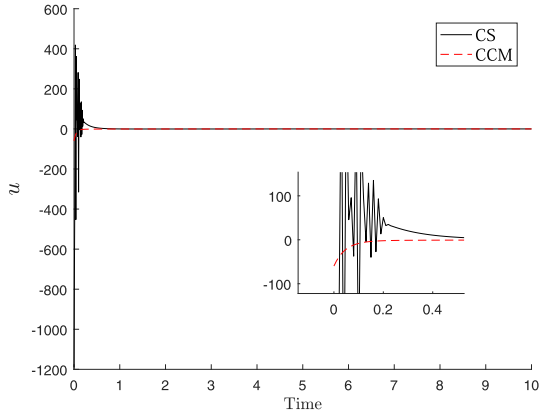

FIGURE 10. Greitzer: the input *u*.

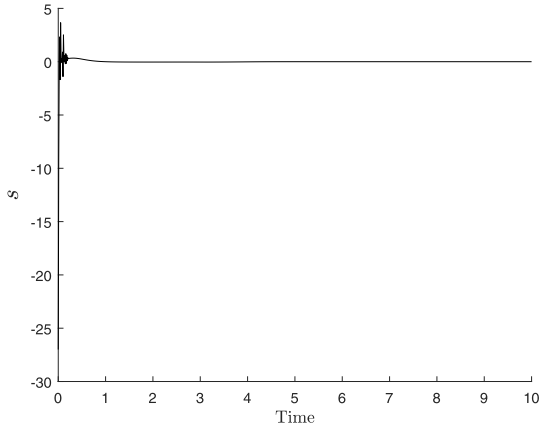

FIGURE 11. Greitzer: the sliding surface *s*.

shown in Figure 11, it is clear that finite-time reachability of sliding mode dynamics, and the reaching time is about 0.6*s*.

In summary, the proposed method (11) has two advantages, the first is a smaller control signal, the second are a faster reach time and a fast convergence time. The proposed method (21) has a extremely small error, although the initial input is large, it can be quickly stabilized. The performance index of above examples are shown in Table 1 and Table 2. It also illustrates the advantages of the method proposed in this paper.

VI. CONCLUSION

A methodology for incremental sliding mode controller with a simple structure for a class of second-order nonlinear uncertain systems is proposed. The controller is able to steer the initial trajectory of dynamic system with uncertainties to the given trajectory at a short time, the initial trajectory is generated in the contraction domain of the manifold *s*. There are several perspective generalisations of interest to be addressed in next researches among which dealing with high-order systems, time-delayed systems. New chattering reduction mechanism based on our method is also worth considering.

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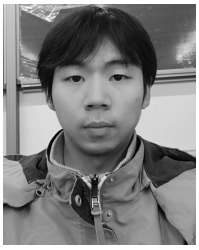

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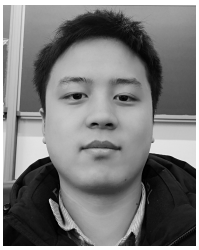

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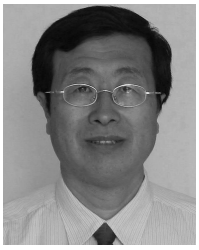

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