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# Millimeter-Wave Broadband Tunable Band-Pass Filter Based on Liquid Crystal Materials

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**ABSTRACT** In this paper, a wideband band-pass frequency tunable filter using liquid crystal is proposed for millimeter-wave applications, which achieves the band-pass characteristics by introducing four transmission zeros. The main filter structure is the ring resonator loaded with two quarter-wavelength open stubs using inverted microstrip structure. The ring resonator contacts directly with the liquid crystal layer to control the bias voltage to adjust the dielectric constant of the liquid crystal material. Experimental results show that the central frequency reconstruction range varies from 27.7GHz to 24.6GHz, and the corresponding relative bandwidth changes from 48.17% to 49.82%. The group delay within the pass-band is less than 0.45ns before and after the filter reconstruction, which indicates its applicability in K-band filter.

**INDEX TERMS** Wideband bandpass filter, tunable, liquid crystal, ring resonator.

## **I. INTRODUCTION**

To handle the requirement of intelligent frequency reconfiguration for devices, it is important to adopt reconfigurable filters. Recently, several papers have discussed the response frequency reconfiguration of low-pass, high-pass, band-pass and band-stop filters. The purpose is to make the filter's response frequency to change in accordance with the user's needs.

Currently the main ways to realize reconfigurable radio frequency (RF) passive devices include: PIN diode-based switching devices [1]–[4], varactor tuning [5]–[7], MEMS system [8]–[10] and tunable media. Tunable medium mainly consists of liquid crystal, ferrite [11] and graphene [12]. Compared with diodes, adjustable media is suitable for high frequency band due to no parasitic effects. However, diode has shorter tuning time than the tunable medium. Although RF MEMS has good tuning performance, it is difficult and expensive to design and implement. On the other hand, compared with ferrite, because liquid crystal is an electronic control medium, it has the advantages of saved space, easy

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integration and low processing cost. Liquid crystal reconfigurable technology can solve high frequency nonlinearity, high loss and difficulty in designing traditional reconfigurable filters.

In this paper, we study reconfigurable RF front-end passive bandpass filter and a novel wideband bandpass center frequency tunable filter using liquid crystal for K-band applications.

## **II. THEORY OF LIQUID CRYSTAL**

## A. THEORY OF LIQUID CRYSTAL MICROWAVE TRANSMISSION LINE

Liquid crystal is mainly used in microwave circuit to build liquid crystal circuit. New liquid crystal transmission lines such as substrate integrated waveguide [13] and CRLH-transmission line [14] have also been proposed.

Traditional liquid crystal transmission line can be implemented by inverted microstrip, as shown in Fig. 1, which is divided into upper, middle and lower layers: The upper circuit includes RF circuit and microstrip-inverted microstrip conversion circuit. The middle layer is a liquid crystal cavity, and the required liquid crystal layer area is obtained by the

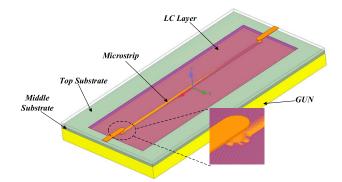


FIGURE 1. Schematic diagram of liquid crystal inverted microstrip circuit.

milling process. The thickness is the same as that of the middle layer, and the bottom is a metal ground.

When the thickness of the upper dielectric substrate is less than the strip line width, the dielectric constant of the top dielectric substrate is not considered. Similar to traditional microstrip lines, the liquid crystal inverted microstrip transmission lines propagate quasi-TEM waves. When the liquid crystal material is filled between the microstrip line and the ground, and the thickness of the inverted microstrip line is much smaller than that of the dielectric substrate, its characteristic impedance can be expressed as [15]:

$$Z_0 \propto \frac{1}{\sqrt{\varepsilon_{re}}} \tag{1}$$

Therefore, the transmission line impedance will vary with the dielectric constant of liquid crystals.

#### B. TUNING THEORY OF LIQUID CRYSTAL MICROSTRIP

Since liquid crystal inverted microstrip propagates quasi-TEM wave, the liquid crystal molecule distribution on the inverted microstrip lines is shown in Fig.2. As liquid crystal molecule is sensitive to low frequency signals, the influence of RF signals on liquid crystal molecules can be neglected. To deflect the liquid crystal molecule, it is necessary to introduce an additional low frequency bias signal and it is common in engineering to use 1 kHz square wave signal as the bias signal.

To ensure that the bias signal reaches the whole circuit, the liquid crystal passive devices have to be directly connected to constitute low-frequency path, and the upper signal and the bottom metal ground cannot be connected. Otherwise, it will cause a short circuit to make the upper and lower layers cannot form an electric field.

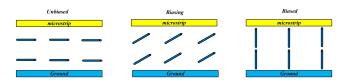
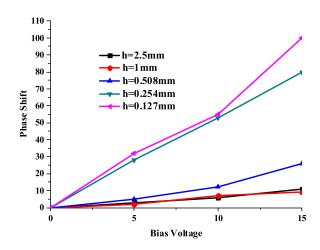


FIGURE 2. The deflection of liquid crystal molecules in an inverted microstrip.

The liquid crystal layer should be as thin as possible to ensure effective tuning for the liquid crystal. Generally, when the strip thickness is less than 0.08mm, the traditional PCB technique cannot guarantee the required accuracy, it is necessary to consider the synthesis in circuit design. Fig.3 shows the effect of different liquid crystal layer thickness on the phase shift of 100 mm inverted microstrip transmission line at 2.2GHz. When the liquid crystal layer thickness h is greater than 0.508mm, the phase shifter basically has no phase shift change. When the thickness of the liquid crystal layer is less than 0.254mm, the bias voltage can drive the liquid crystal molecule to deflect normally.



**FIGURE 3.** Phase shift curve of transmission line with thickness of liquid crystal layer.

### **III. FILTER DESIGN**

AS filter is a frequency selective circuit module, by loading liquid crystal material, the filter's frequency response can be tuned, that is, the dielectric cavity perturbation in the resonator can be realized. The design difficulty of liquid crystal reconfigurable filter lies in the bias network. The traditional filter with multi-order coupling structure needs to load external bias network, which increases greatly the design difficulty. On the contrary, the filter with linear structure can only achieve relatively simple band-stop characteristics. Designing reconfigurable bandpass filters with liquid crystal materials is relatively mature. Moreover, compared with traditional PIN diode switching devices and micro-electromechanical systems, liquid crystal reconfigurable filter owns better linear tuning and simple circuit design.

#### A. RING RESONANCE THEORY

The ring resonator is a traditional form of filter implementation with two paths between the input port and the output port, which has dual-mode characteristics. As shown in Fig.4, the frequency response is a set of degenerate modes. When the input and output ports are located at 1, 3 or 2, 4, the resonant frequencies of the degenerate modes are the same due to symmetrical electrical length of the resonant modes and only one resonant peak, as depicted in Fig.5.

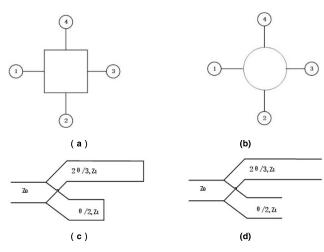


FIGURE 4. Ring resonant structure(a) square ring(b) ring shape(c) Odd-mode equivalent circuit diagram(d) Dual-mode equivalent circuit diagram.

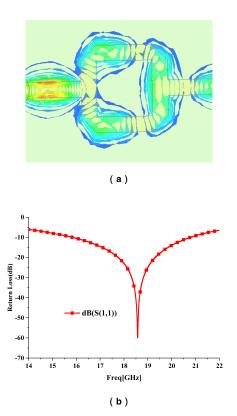
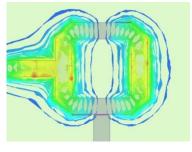


FIGURE 5. Resonant characteristics of symmetrical ports(a) Electric field distribution(b) Resonance characteristics.

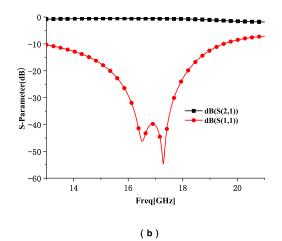
Compared with the S parameter of Fig.5, the two-mode resonance is realized by separating the degenerate modes through the asymmetric distribution of two feeding ports  $(0^{\circ}, 270^{\circ})$  in Fig.6 (the certain frequency is 17GHz).

# B. RESEARCH ON CONSTRUCTION TECHNOLOGY OF TRANSMISSION ZERO POINT

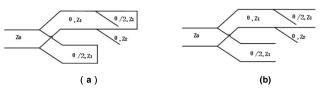
By loading open-circuit resonant stubs, the number of resonant modes is increased, thereby the transmission zero is







**FIGURE 6.** Resonance characteristics of asymmetric ports (a) Electric field distribution (b) Resonance characteristics.



**FIGURE 7.** Loaded open stub equivalent circuit diagram (a) Odd Mode (b) Dual Mode.

also increased. The equivalent circuit diagram of the squarering resonator under the odd-mode excitation is illustrated in Fig.7.

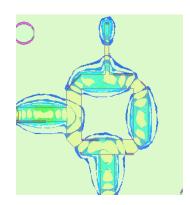
To ensure high channel isolation frequency, an additional open-circuit stub is added at the position of Fig.8(a). Meanwhile, the resonator has four transmission zeros, four transmission zeros are located at both sides of the passband, which response frequency is

$$\tan \theta_p / 2 = \pm \sqrt{\frac{Z_2}{Z_1} + 1 \pm \sqrt{\frac{Z_2^2}{Z_1} + 2\frac{Z_1}{Z_2}}}$$
(2)

where  $\theta_p$  is electrical length of the open stub,  $Z_1$  is the square ring characteristic impedance, and  $Z_2$  is the open stub characteristic impedance. The ratio of characteristic impedance of square ring open stub is derived reversibly from Equation (2) [16]. When  $Z_1 = 50\Omega$ , the resonant frequency of transmission zeros is determined by  $Z_2$ . Therefore,

TABLE 1. Compared with other frequency reconfigurable filters.

	center frequency	size	number of transmissions zero	insertion loss	bandwidth	tuning range	Type of Material	publication years
[17]	20GHz	9mm×4mm	3	6dB	1GHz	2GHz		2010
[18]	33GHz		3	4.5dB	3.3GHz	2GHz	E7	2012
[13]	22GHz	6.92mm×6mm	3	6dB	600MHz	610MHz	GT5-series	2015
[19]	18.52 GHz - 20.92 GHz	12.8 mm × 6.4 mm	1	2.8 dB		2.9 GHz	liquid metal	2016
[20]	5.7 GHz	29.4 mm × 29.4 mm	2	1.4 dB	1.98 GHz -3.2 GHz	21.7%		2017
[21]	30GHz	38.3mm×48mm	3	2 -4dB	11%	700 MHz	GT3-23001	2018
[22]	60GHz		3	4.9 -6.2dB	1%	2.5%	GT3-23001	2019
This work	25GHz	20mm ×20mm	4	5dB	13GHz	3GHz	AY71-007	





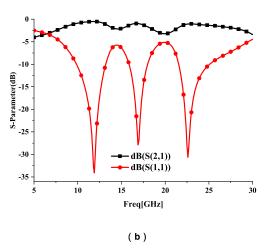


FIGURE 8. Resonance characteristics of loaded open-circuit stubs(a) Electric field distribution(b) Resonance characteristics.

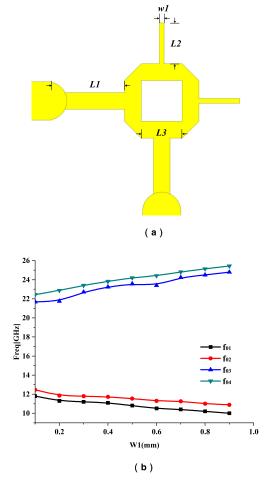


FIGURE 9. Resonance characteristics of loaded double stubs(a) Resonator layout(b) Frequency response of resonance point.

the transmission zero can be changed by controlling the open stub impedance, i.e., the stub width. Fig. 9 shows the resonant frequency variation of four transmission zeros with the open sub width " $W_1$ ".

The wideband filter is formed by adjusting the transmission zeros. When they are 11GHz, 12GHz, 21.8GHz and 23GHz,  $Z_2/Z_1 = 1.66$ , that is,  $Z_1 = 50\Omega$ ,  $Z_2 = 83\Omega$ , and the line width is 0.2mm. Finally, the frequency response of the ring resonator simulated by CST is plotted in Fig.10.

# C. DEVELOPMENT OF CENTER FREQUENCY RECONFIGURABLE BANDPASS FILTER

The inverted microstrip is divided into three parts: The upper circuit substrate as the cover plate, the middle liquid crystal layer and the bottom metal ground.

To weld joints conveniently, the upper circuit part is introduced into the lower surface of the substrate through metallized with vias. The square ring resonant structure is

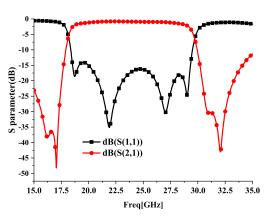
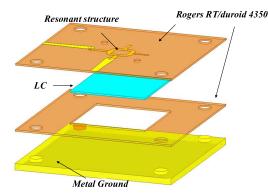


FIGURE 10. Frequency response simulation curve of square resonant loop filter loaded with open-circuit stubs.





located on the lower surface of the substrate and contacts with the middle liquid crystal to realize frequency adjustability. To ensure liquid crystal injection, a pair of through holes are processed in the upper liquid crystal substrate to inject liquid crystal. The middle liquid crystal layer uses 0.254mm thick substrate and mill out rectangular slots to accommodate the liquid crystal. The liquid crystal model used in this paper is AY71-007, which effective dielectric constant varies from 2.4 to 3.6 with the tangent loss angle being 0.01.

The designed filter structure is given in Fig. 11. To prevent the circuit from deforming, the whole circuit substrate uses Rogers 4350 substrate with high hardness. The frequency response and group delay are displayed in Fig. 12(c).

As there are no low frequency short circuit and open circuit in the whole filter structure, the liquid crystal bias voltage can be added through the RF port. In the test system shown in Fig. 14, we use the PNA-X vector network analyzer of Keysight Technologies, which has built-in Bias-T module and provides the bias loading port for the vector network. The function waveform signal generator provides 1KHz low frequency square wave signal modulation voltage (0V-20V), which is loaded onto the device under test through the radio frequency input port. Considering the influence of liquid crystal dielectric constant on the characteristic impedance, the final circuit size is determined by numerical optimization as follows:  $L_1 = 3.4$ mm,  $L_2 = 2.5$ mm,  $L_3 = 2.45$ mm,  $W_1 = 0.2$ mm.

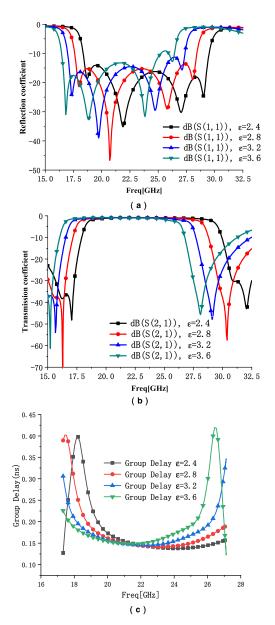
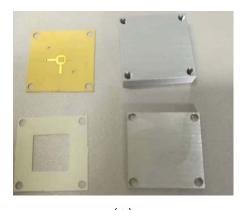
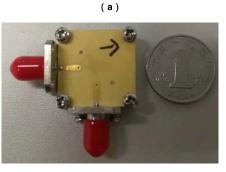


FIGURE 12. The filter simulation results (a) Return loss (b) Insertion loss (c) Group delay.

The part in contact with the liquid crystal layer is suspended by polyimide with parallel orientation, so the dielectric constant of the liquid crystal increases with the increase of bias voltage. The electric size of the filter increases with the increase of bias voltage, and the resonance frequency of the inverted center will move to low frequency.

From Fig.15, we can see that when the bias voltage is greater than 10V, the maximum tuning value of liquid crystal is reached, and the liquid crystal molecule perpendicular to the Poynting vector becomes parallel to the Poynting vector. Since bubbles are introduced in the process of liquid crystal injection and packaging, the Q value of the circuit is reduced, and the other two transmission zeros are invisible. The frequency response reconstruction range of the filter is 12%, the relative bandwidth before and after tuning is 48.17%





( b ) FIGURE 13. Physical diagram of filter (a) Pre-assembly (b) Post-assembly.

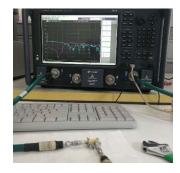


FIGURE 14. Filter test platform.

to 49.82%, respectively. The insertion loss in passband is less than 5dB. The frequency response is well preserved, which verifies the feasibility of realizing frequency reconstruction of liquid crystal in K and Ka bands. Fig.15(c) is the group delay result of the test. The in-band group delay is always less than 0.45ns before and after the filter reconstruction, indicating that the filter has good transmission characteristics.

Comparing the simulation results with the test results, we found that the tested return loss is worse than the simulation results due to the existence of bubbles in the liquid crystal layer, which make the liquid crystal distributed unevenly in the cavity and cause unnecessary influences.

Table 1 compares the parameters of our designed reconfigurable filters with the frequency reconfigurable filters in literature.

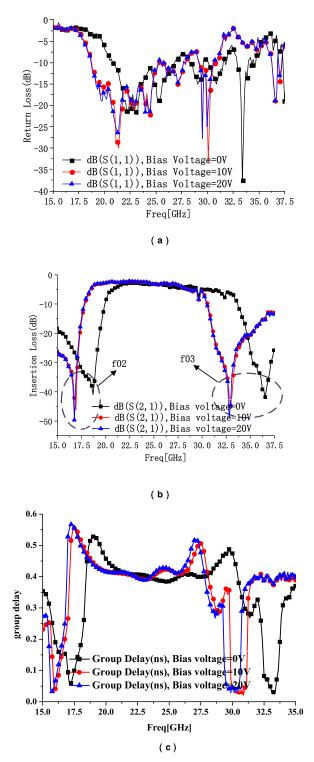


FIGURE 15. Test results (a) Return loss (b) Insertion loss (c)Group delay.

# **IV. CONCLUSION**

In this paper, a wideband band-pass frequency tunable filter was designed with liquid crystal for K-band applications. Experimental results show that the reconfigurable central frequency varies from 27.7GHz to 24.6GHz, and the reconfigurable frequency range is 12%.

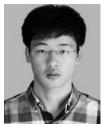
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