

Received September 26, 2019, accepted October 14, 2019, date of publication November 6, 2019, date of current version November 18, 2019.

Digital Object Identifier 10.1109/ACCESS.2019.2951947

Estimation and Utilization of Ground Effects on Conformal Dielectric Resonator Antennas

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This work was supported by the Foundation for Innovative Research Group of the National Natural Science Foundation of China under Grant 61721001.

ABSTRACT Ground effects on arc-shaped conformal dielectric resonator antennas (DRAs) working in the $TE_{11\delta}^z$ mode are investigated and utilized to attain various radiation performances. Manipulation of directivity patterns are achieved with the help of the three-dimensional curved metal ground. The backlobe, beamwidth, broadside gain, backscattering, and cross-polarization level of the antenna are all readily controllable. The geometric theory of diffraction is applied to provide an intuitional explanation for the radiation changes. Detailed analysis is then conducted from the perspective of ground current. It is found that the current distribution is affected by configurations of both the arc DRA and ground plane. Based on this, analytical methods are developed to calculate the ground effects exclusively for conformal arc-shaped DRAs. Next, the design theory of arc DRAs with curved ground is proposed. To demonstrate it, two conformal DRAs owning directional and wide beams, respectively, are designed as paradigms. At last, a prototype is fabricated and measured for validation.

INDEX TERMS Conformal antennas, dielectric resonator antennas (DRAs), ground effects, radiation patterns.

I. INTRODUCTION

Dielectric resonator antennas (DRAs) enjoy wide bandwidth, compact size, little surface-wave or ohmic loss, and high degree of design freedom [1], [2]. Abundant theoretical and numerical methods have been developed to analyze DRAs on planar ground plane (GP), such as rectangular, cylindrical, hemisphere, triangular, annular, and sector DRAs, which boosts their application [1]–[4]. However, research on conformal DRAs on curved surface is incomplete. Though DRAs on nonplanar ground were exhibited in [5]–[8], the antennas themselves are scarcely conformal. In [9], we proposed theoretical models for convex and concave conformal DRAs, yet ignored the ground effect from the conformal metal plane.

Conformal antennas are preferable in electronic devices for vehicles, aircrafts, missiles, and wearable or implantable systems [10], [11]. These antennas are applied directly on the nonplanar surface of devices. Therefore, they enjoy low profile, compact size, and deployment flexibility. In conformal devices, the GP of antennas can be finite as an arc [9], closed as a sphere [5], or hybrid as a cylinder [6]. Traditional DRAs

The associate editor coordinating the [revi](https://orcid.org/0000-0002-0393-8251)ew of this manuscript and approving it for publication was Haiwen Liu^D.

on nonplanar and flexible GP were presented in several studies [5]–[8], [12]–[15]. However, research in this field mainly focuses on exhibiting and utilizing specific phenomena. Systematical analysis and design principles are still required. Besides, ground effects on arc-shaped conformal DRAs are not included.

For DRAs on planar GP, the finite ground mainly influences the radiation pattern because of its violation of the image theory, excitation of surface wave, and scattering or diffraction [1], [2], [16]–[23]. Numerical methods [21], [22] were used to compute the ground effect precisely. With the help of commercial software, ground effects on DRAs were presented in [16]–[20]. In [19], the author proposed that the radiation from small planar GP with quasi-uniformly distributed surface current was complementary to that of the DRA on it. DRAs with low back lobe and high foreside gain were then designed. Instead of computing precise numerical solutions, this method explains the ground effect in a more intuitional way. Nonetheless, it focuses mainly on the CoP pattern and symmetrical planar GP with compact size. In [20], effects from planar substrate on XP level were studied through experiment. The author in [23] applied the geometric theory of diffraction (GTD) to analyze the planar ground.

FIGURE 1. Slot-fed arc-shaped DRA. (a) DRA and substrate. (b) Feedline. (c) Feeding slot.

Although high-frequency asymptotic methods are more suitable for electrically large objects, they help to explain the radiation mechanism of the metal ground. However, this method is not sufficient when GP is conformal because it only considered the edge diffraction.

In this article, the effect of finite curved ground on an arcshaped DRA operating in its $TE_{11\delta}^{z}$ mode is investigated and utilized. The ground effect on radiation patterns is presented, and then analyzed through the GTD and ground current. Both the co-polarization (CoP) and cross-polarization (XP) radiation are under consideration. Next, analytical methods are developed exclusively for convex DRAs with curved ground to estimate the radiation variation. Therefore, the pattern distortion for conformal DRAs placed on actual platforms is predictable. Moreover, explicit design steps are proposed to utilize the ground effect in achieving required radiation patterns. As paradigms, a directional and a wide-beam arc DRA are designed. Finally, a prototype is fabricated and measured for validation.

II. GROUND EFFECT ANALYSIS

In this section, ground effects on the radiation performance of an arc DRA is investigated both qualitatively and quantitatively. The conformal metal ground is assumed to have an arc shape. The two basic modes of arc DRAs [9] are of interest because of their popularity. The influence from *z*directional dimensional change is found analogous to that in conventional planar DRAs [20], [23], [24]. Thus, we focus on the effect introduced by the φ -directional curved structure for brevity However, because the bending structure only exists in φ -direction, effects from the conformal ground on the TM $_{11\delta}^2$ mode [9] is similar to that on conventional flat-bottomed DRAs [19]. Thus, only the $TE_{11\delta}^z$ mode is considered in this work.

A. ANTENNA CONFIGURATION

A prototypical arc-shaped DRA on a conformal substrate is presented in Fig. 1. It operates in $TE_{11\delta}^z$ mode [9], of which electrical field (E-Field) and magnetic field (H-Field) are illustrated in Fig.2. The initial resonant frequency is calculated by the analytical model of arc DRAs in [9]. The antenna

FIGURE 2. TE^z118 mode of arc-shaped DRA. (a) E-Field. (b) H-Field.

FIGURE 3. Radiation mechanism of DRAs on finite ground. (a) With planar ground. (b) With arc ground.

is excited through a slot under it, which is coupled through a Γ -shaped microstrip line below. The feeding port is at the edge of the substrate with an offset angle. The DRA is made of ceramic material ($\varepsilon_r = 12.3$, $tan\delta = 0.00014$).

B. CURVED GROUND

Given that a DRA working in its basic modes radiates like a magnetic dipole [1], the radiation mechanisms of planar and convex arc DRA are illustrated by the GTD, as seen in Fig. 3. From the perspective of electromagnetic rays, this theory provides a clear view of the ground effect on the radiation performance. For planar ground, diffraction effect is mainly ascribed to edges [23]. However, on arc-shaped ground, besides edge diffraction, surface diffraction appears because of the smooth convexity. When the ground is annular, φ -directional edge diffraction disappears. As for concave ground [9], reflection occurs because of the concavity.

As shown in Fig. 3 (b), the creeping wave [25] propagates along curved paths as surface rays, meanwhile, emits outgoing surface diffracted rays towards the tangential direction. According to the superposition principle and GTD, the total radiated field can be expressed as [26]

$$
E_{light}(\mathbf{r}_l) + E_{shadow}(\mathbf{r}_s) = E_{total}(\mathbf{r}) = E_i(\mathbf{r}) + E_r(\mathbf{r}) + E_d(\mathbf{r})
$$

= $E_i(\mathbf{r}_l) + 0 + E_{Ed}(\mathbf{r}) + E_{Sd}(\mathbf{r})$ (1)

where *Elight* and *Eshadow* represent field in the light and shadow region. E_i , E_r , and E_d denote the incident, reflected, and diffracted field, respectively. Because the incident source is on the surface, the reflected field is null [25], and the incident field needs recalculating according to the surface dimension [26]. The diffracted field is attributed to the edges (E_{Ed}) and curved surface (E_{Sd}) . The existence of diffracted rays may guide the radiated power to the squint side, resulting in wider, tilted, or even split beams.

Typical radiation variation due to changes in ground radian is shown in Fig. 4 and Table 1. When the GP is sym-

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FIGURE 4. Radiation pattern with varying ground radian. (a) Ground configuration. (b)(c) Co-polarization in E-plane. (d) Cross-polarization in xoz plane. (e)(f) Calculated ground effects by (2).

metrical in φ -direction, its size affects the radiation pattern of co-polarization (CoP) drastically, in terms of broadside gain, back lobe, backscatter, and beamwidth, while crosspolarization (XP) level stays below −18 dB. According to Fig. 5 (a), small GP radiates like a *y*-directional electrical

FIGURE 5. Ground current. (a) Current distribution on small and (b) large ground. (c) Current distribution at 0·T. (d) Current distribution at T/4.

dipole, which is complementary to the DRA [19]. Therefore, high foreside $(+x)$ gain and a low back lobe $(-x)$ are obtained [16]–[19]. As ground radian becomes larger, the surface current shows periodical variation, as illustrated in Fig. 5 (b)-(d). The current distribution resembles a long arc-shaped current sheet, of which periodicity complies with the DRA size. According to [24], the center-excited long arcshaped ground current may have a radiation pattern with two symmetrical (to *x*-axis) squint beams in *xoy* plane, which explains the beam widening in Fig. 4 (b).

Asymmetrical GP deviates the CoP beam and causes extreme XP degradation, as presented in Fig. 4 (c) and (d). For symmetrical GP, the XP level is below −20 dB. The asymmetry may lead to an increase in XP level of more than 10 dB. The XP radiation pattern is distorted as well. This indicates that in certain direction, a XP degradation of dozens of dB could appear, which is catastrophic for applications under specific standard or interference restriction. Similar phenomena are rarely observed in conventional planar DRAs, because vertical ground current hardly exists in two-dimensional structures. The *x*-directional component of the ground current, seen in Fig 5. (b)-(d), generates vertically polarized electromagnetic wave at *z*-direction. When GP is symmetrical in φ -direction, the bilateral vertical currents have equal amplitude and opposite phase, and therefore, cancels each other. Nonetheless, with asymmetrical GP, the mutual cancellation disappears, resulting in the XP degradation in Fig. 5 (d).

C. GROUND EFFECT ESTIMATION

Two simple methods are presented to conduct a fast estimation of the ground effect on radiation performance. They are established for convex arc-shaped metal ground for its popularity, but also apply to annular and concave ones after

minor modification. The first method is purely experimentbased. Performance of arc DRAs with different ground plane is simulated by commercial software. Typical data are processed and exhibited in **Appendix**. The influence of curved GP is thus evaluable.

An analytical method for the estimation of the ground effect on radiation performance is developed based on the ground current distribution presented in Fig. 5. The ground is assumed in convex arc shape. For an enclosed annular or a concave one, the mechanism is different, yet analogous. Due to surface diffraction and edge reflection [25], the creeping current is of evanescent travelling-standing type. Driven by the arc DRA, the surface current exhibits periodicity which is dominated by the radian of the centered antenna, as illustrated in Fig. 5 (c) and (d). Similar characteristics are also observed in the prototypes in [9]. Therefore, the radiation in E-plane from the ground current is approximated by (2),

$$
E_{\varphi}(\varphi) = C(r)[E_{Surf}(\varphi) + E_{Edge}(\varphi)]
$$

\n
$$
= -j\omega \frac{\mu_0}{4\pi} \frac{e^{-jkr}}{r} \int_{-\varphi_{g1}}^{\varphi_{g2}} I_{total}(\varphi') F_{total}(\varphi - \varphi')
$$

\n
$$
\times e^{ika\cos(\varphi - \varphi')} d\varphi'
$$

\n
$$
= \frac{-j\omega\mu_0 e^{-jkr}}{4\pi r} \int_{-\varphi_{g1}}^{\varphi_{g2}} e^{ika\cos(\varphi - \varphi')}
$$

\n
$$
\times \{I_{surf}(\varphi')F_{surf}(\varphi - \varphi') + I_{edge}(\varphi')F_{edge}(\varphi - \varphi')
$$

\n
$$
\times [\delta(\varphi' + \varphi_{g1}) + \delta(\varphi' - \varphi_{g2})] d\varphi'
$$
 (2)

where

$$
I_{surf}(\varphi) = I^+ - R \cdot I^- = I_0 [e^{-\alpha|\varphi|} e^{-j\beta|\varphi|}
$$

\n
$$
- Re^{\alpha(|\varphi| - 2\varphi_{g1,2})} e^{j\beta(|\varphi| - 2\varphi_{g1,2})}];
$$

\n
$$
I_{edge}(\varphi) = D \cdot I_0 e^{-(\alpha + j\beta)|\varphi|}; \ \beta = \frac{\pi}{\varphi_{dr}}; \quad D^2 + R^2 = 1;
$$

\n
$$
F_{surf}(\varphi) = \cos \varphi \cdot u(|\varphi| - \frac{\pi}{2}); \quad F_{edge}(\varphi) = \cos \varphi
$$

where $C(r)$ is the pattern factor independent of the ground dimension, *k* is the wavenumber in free space, *a* is the radius of the arc ground, φ_{g1} and φ_{g2} represent the radian of the arc while φ_{dr} is that of the DRA, α characterizes the surface diffraction, *D* and *R* describe the diffraction and reflection at the two edges, and $\delta(x)$ and $u(x)$ are the impulse and step functions, respectively. It should be noticed that the effective phase constant β is decided by the DRA radian. The surface and edge diffraction, and first-order reflection at the ground fringe are all under consideration. They can be obtained based on [25] and [26], or simple curve fitting.

Ground effects are calculated as seen in Fig. 4 (e) and (f). The results explain the beam characteristics and variation tendency in the simulated radiation patterns shown in Fig. 4(b), (c), and Appendix.

As to the ground effect on XP in Fig. 4 (c), an analytical estimating method associated with the ground width, *bg*¹ and

 b_{g2} is obtained, as seen in (3).

$$
E_{\theta_x}(\theta_x)
$$

= $C(r, \theta_x)[E_{EdgeZ} + E_{EdgeY}]$
= $(e^{-jk_0b_{g1}\sin\theta_x} + e^{jk_0b_{g2}\sin\theta_x})j\omega \sin\theta_x \frac{\mu_0}{4\pi} \frac{e^{-jkr}}{r}$

$$
\times \int_{-\varphi_{g1}}^{\varphi_{g2}} I_{\varphi_{total}}(\varphi') \sin(|\varphi'|) F_{total}(\theta_x) e^{jka\cos\theta_x \cos\varphi'} d\varphi'
$$

= $(e^{-jk_0b_{g1}\sin\theta_x} + e^{jk_0b_{g2}\sin\theta_x})j\omega \sin\theta_x \frac{\mu_0}{4\pi} \frac{e^{-jkr}}{r}$

$$
\times \int_{-\varphi_{g1}}^{\varphi_{g2}} \sin(|\varphi'|) e^{jka\cos\theta_x \cos\varphi'} \{I_{EdgeZ}(\varphi') F_{EdgeZ}(\theta_x)
$$

+ $I_{EdgeY}(\varphi') F_{EdgeY}(\theta_x)[\delta(\varphi' + \varphi_{g1}) + \delta(\varphi' - \varphi_{g2})]\} d\varphi'$ (3)

where

$$
I_{EdgeZ}(\varphi) = I^+ - R \cdot I^- = I_0[e^{-\alpha|\varphi|}e^{-j\beta|\varphi|}
$$

\n
$$
- Re^{\alpha(|\varphi|-2\varphi_{g1,2})}e^{j\beta(|\varphi|-2\varphi_{g1,2})}];
$$

\n
$$
I_{EdgeY}(\varphi) = D \cdot I_0e^{-(\alpha+j\beta)|\varphi|}; \ \beta = \frac{\pi}{\varphi_{dr}}; D^2 + R^2 = 1;
$$

\n
$$
F_{EdgeZ}(\theta_x) = \sin \theta_x; \ F_{EdgeY}(\theta_x) = \sin \theta_x;
$$

Symbols in (3) have the same meaning as those in (2). The subscript of θ indicates that the equation is formulized based on *x-*axis.

III. DESIGN THEORY EMPLOYING GROUND EFFECTS A. DESIGN GUIDE

Based on the above analysis, concise design steps are obtained for a slot-fed convex arc-shaped DRA on conformal GP. It can attain various radiation requirements.

The DRA works in its $TE_{11\delta}^z$ mode [9], and the GP is assumed symmetrical. If asymmetrical GP is preferred or inevitable, XP radiation pattern should be estimated through (3) in advance, and be checked repeatedly during simulation. The design procedure is shown below.

- a) Compute the antenna size based on its operating frequency and available material by the equations in [9].
- b) Verify and modify the theoretical design with the help of eigenmode or characteristic mode solvers.
- c) Decide substrate thickness based on demand, cost, and availability, and then calculate the size of feeding structure based on [1] and [2].
- d) Determine the initial radian of the GP through (2) according to the required radiation pattern in E-plane. The radiation from the GP is estimated by (2) or the data in Appendix. Small GP ($\varphi_{g1} + \varphi_{g2} = 1$ to 1.5 φ_{dr}) contributes to high broadside gain and low back lope, while large one $(\varphi_{g1} + \varphi_{g2} > 2\varphi_{dr})$ guides the beam to its extension direction.
- e) Regard the two edges in *z*-direction and DRA with a leaky slot below as two three-element linear arrays [23]–[26] towards upper and lower sides, respectively. Then, determine the GP size depending on radiation requirements.

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FIGURE 6. Design examples. (a) Antenna Configuration. (b) Directional antenna. (c) Wide-beam antenna.

Small GP ($b_{g1} + b_{g2} = 1$ to 1.5 b_{dr}) helps in backlobe reduction, whereas larger one $(b_{g1} + b_{g2} > 2b_{dr})$ may bring higher gain at both foreside and backside.

f) Simulation and optimization.

B. DESIGN EXAMPLES

To demonstrate the design strategy, two conformal DRAs with different radiation patterns are proposed, as seen in Fig. 6. The two DRAs are supposed to operate in the $TE_{11\delta}^z$ mode shown in Fig. 2 around 2.45 GHz. As seen in Fig. 6(a), their size is calculated by the method in [9]. Then, a commercial eigenmode solver is used to check their internal field. According to the field distribution in Fig. 2, the feeding structure shown in Fig. 1 (b) is applied so that strong coupling exists between the slot and DRA [2]. We assumed that the first arc DRA needed a directional radiation pattern for communication devices, whereas the other one requires an extremely wide beam for applications like navigational systems. Therefore, the ground radian is determined by (2), and then optimized through simulation. As seen in Fig. 6(b) and (c), two distinct patterns are obtained with exactly the same DRA size. The directional antenna has a gain of 2.7 dB higher than that of the other one. Whereas the wide-beam DRA has a 3dB-beamwidth of 244 degree, which is more than two times larger than that of the directional antenna.

IV. FABRICATION AND MEASUREMENT

A slot-fed arc-shaped DRA on conformal ground is fabricated and measured for validation, as presented in Fig. 7. The DRA and substrate were truncated from annular ceramic blocks. The feedline, GP, and slot were printed onto the substrate by silk-screen printing with molecular silver paste. Copper wings at the margins of GP were added to adjust its

$R_{dr \ out}$	◡ mm	ls	,,,,, mm	ι_{ms}	----- mm	φ_{gl}	ັ deg
$R_{dr,in}$	47	$w_{\rm s}$	3.08	w_{ms}	2.83		35
	mm		mm		mm	φ_{g2}	deg
b_{dr}	30	R_{sub} in	45		12.88		12.3
	mm		mm	t_{stab}	mm	ε_r dr	
	60		80		16.1		5.8
φ_{dr}	deg	b_g	mm	φ_{ms}	deg	ε_{r_sub}	

FIGURE 7. Antenna prototype. (a) Designed DRA. (b) Feedline. (c) Feeding slot.

FIGURE 8. Measurement and results. (a) S parameter. (b) Feeding structure. (c) Antenna under test. (d) E-plane and (e) H-plane pattern of co- and cross-polarization.

dimension. The DRA and substrate were bonded together by epoxy glue ($\varepsilon_r \sim 3.6$). A SMA connector was welded at the edge for measurement. The antenna was then measured with a vector network analyzer and a far-field microwave anechoic chamber. The results are presented in Fig. 8. The DRA resonates around 2.45 GHz, with a simulated and measured bandwidth of 11.11% and 14.2%, respectively. Its simulated and measured gain is 5.6 dB and 5 dB. The XP level is below −25 dB in E-plane and −28 dB in H-plane.

V. CONCLUSION

Ground effects on arc-shaped conformal DRAs have been investigated and utilized to manipulate radiation patterns. The curved GP has been proved to have significant influence on the broadside gain, backscatter, beamwidth, backlobe, and XP level of an arc DRA. Symmetrical GP mainly affects the radiation pattern of CoP, while asymmetrical one can cause beam deviation and severe XP-level degradation. These phenomena have been explained theoretically based on the

TABLE 2. Typical Ground Effects on Radiation Performance I (corresponding to Fig. 4 (a)).

TABLE 3. Typical Ground Effects on Radiation Performance II (corresponding to Fig. 4 (a)).

GTD and ground current. Analytical equations have also been derived to estimate the ground effect based on both the DRA and ground configurations. Radiation variation of conformal DRAs applied on practical platforms is thus predictable. Furthermore, an explicit design method for conformal DRAs with arc ground has been proposed. Through this method, diverse radiation characteristics are attainable, such as a beamwidth varying from 117 to 244 degree. Further research may include ground effects on concave DRAs, or convex ones working in other modes.

APPENDIX

See Table 2 and Table 3.

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