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# Protograph-Based Globally-Coupled LDPC Codes Over the Gaussian Channel With Burst Erasures

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**ABSTRACT** This paper presents two types of protograph-based globally-coupled low-density parity-check (GC-LDPC) codes formed by a new edge spreading operation. This operation is called the *global edge spreading*. The Gaussian approximation (GA) and the protograph-based extrinsic information transfer (P-EXIT) analysis are then generalized over a special type of burst-erasure channels (BuECs). Such channel incorporates both Gaussian noise and burst erasures, and is denoted by the Gaussian channel with burst erasures (BuEC-G). Furthermore, the stability condition for BuECs-G is proved and an edge spreading optimization method is proposed to design the structured GC-LDPC codes by predicting the iterative decoding thresholds of corresponding protographs. Simulation results show that the optimized GC-LDPC codes can achieve better thresholds and error performances than existing well-designed GC-LDPC codes, and provide near-capacity performances over BuECs-G.

**INDEX TERMS** Globally-coupled low-density parity-check codes, Gaussian approximation, protograph-based extrinsic information transfer, burst-erasure, Gilbert-Elliott erasure.

## I. INTRODUCTION

For the data transmission, data packets are inevitably influenced by both random noise and interference (burst-noise). Usually, the random noise is a white Gaussian process, while the burst-noise is approximated by the specific noise with deterministic characteristics, such as erasure [1], impulse noise [2], and the bursty noise [3]. In this paper, we focus on a special burst-erasure channel (BuEC) which incorporates both random noise (Gaussian noise) and erasures. Taking the magnetic (or optical) recording system as an example, we can regard its background noise as the white Gaussian noise, and designate the detected thermal asperities (or scratches) at the decoder as erasures [4], [5]. The noise in such channel is the combination of the background Gaussian noise and erasures, this is different from the classic erasure-burst channel (defined in [1]) that only considers erasures. So, we refer to this type of channels as the *Gaussian channel*

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with burst erasures (BuEC-G) [6]. J. Ha *et al.* introduced a mixed channel model for the BuEC-G in [4] and proposed a method for optimizing degree distributions of low-density parity check (LDPC) codes based on the Gaussian approximation (GA) analysis [4], [7], [8]. With respect to such channel model, outputs are randomly erased with a small erasure probability rather than dropped in a bursty fashion. K. Li *et al.* presented a new type of BuECs-G in [5]. They regarded the channel as a concatenation of a memoryless channel (or an indecomposable finite-state channel) and a burst-erasure channel, and derived the non-feedback capacity of this channel. Note that the burst-erasure in this channel is assumed as consecutive erasures with fixed length. However, in practical systems, the occurrence time and the duration of burst-noise are usually variable. Therefore, L. Song *et al.* proposed a new type of BuECs-G by changing these consecutive erasures to a single erasure process with an explicit input-output functional relationship [9]. The feedback and non-feedback capacities of such channels were discussed, respectively. At present, the existing coding techniques for the

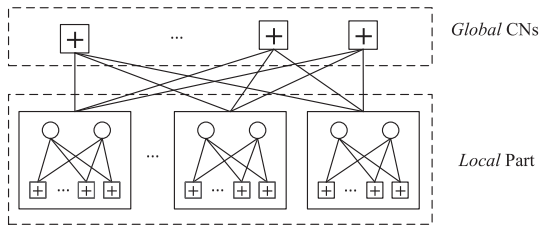


FIGURE 1. The Tanner graph of GC-LDPC code.

classic erasure-burst channel have been extensively studied in [10]–[13]. However, there are not many works addressing the construction of coding schemes over the BuEC-G with finite-state erasure process [14]–[20]. Thus, the main motivation of this paper is to study the coding techniques for such channels.

LDPC codes are a type of error-correcting codes which have shown excellent performance over binary erasure channels (BECs) [21]. There are two ways to reduce the impact of the burst-erasure noise on LDPC codes. The first way is to employ the concatenated structure (such as using the maximum distance separable (MDS) codes as the outer codes and LDPC codes as the inner codes) to mitigate the burst erasures noise. However, the concatenated structure would increase decoding complexity and delay. The other way focuses on constructing and optimizing the parity-check matrices of LDPC codes with good error-correction capabilities and large maximum resolvable erasure burst length [4], [5], [11], [12], [22]. The algebraic construction is one of the important design methods for combating the burst erasures noise. In 2016, Li *et al.* [22] introduced a class of algebraic LDPC codes which are capable of correcting erasures clustered in burst with low latency. Since such LDPC codes are designed for improving the reliability and the convergence speed of the iterative decoder by adding some additional check nodes (called the *global* check nodes, global CNs) to link a set of small disjoint Tanner graphs of LDPC codes (called the *local* part) together (as shown in Fig. 1), we refer to these type of LDPC codes as globally-coupled LDPC (GC-LDPC) codes [22]–[30]. Currently, the design of the GC-LDPC code is mainly based on finite fields, and the error performances of the existing GC-LDPC codes are closely related to the selection of parameters (such as the size of the circulant permutation matrix (CPM), the number of the *global* CNs and the sizes of the *local* parts). However, most existing works only provided a preliminary analysis of the decoding performance of GC-LDPC codes through performance simulations while approaches of how to select these parameters and decoding convergence analysis of the iterative decoders are seldom mentioned. Therefore, it is necessary to find some effective methods to design GC-LDPC codes.

The aim of this paper is to study a class of GC-LDPC codes to combat the Gaussian noise and erasures. We first introduce the BuEC-G whose erasure rate and erasure duration are determined by a random or Gilbert-Elliott erasure model. For the decoding process, the log-likelihood

ratios (LLRs) of channel outputs tend to zero when erasure occurs. Thus we can view the erasure noise as some interferences that eliminate the information from the channel, and then evaluate thresholds of the iterative decoding by the extrinsic information transfer (EXIT) analysis [10], [32]–[34]. On the other hand, a major difficulty of designing GC-LDPC codes is to determine the relationship between the structure of GC-LDPC codes and the threshold of iterative decoding over BuECs-G [35], [36]. In order to facilitate the analysis and design, we propose a new edge spreading technique to construct the protograph-based GC-LDPC codes and such technique is referred to as the “global edge spreading”. Different from the existing edge spreading technique [36], the proposed technique spreads the edges which are emanating from the local CNs to some specific global CNs. Furthermore, we generalize the GA and protograph-based EXIT (P-EXIT) analysis to the BuEC-G for calculating convergence thresholds of the iterative decoding, and present an edge spreading optimization method to minimize the gap between the capacity and the iterative decoding threshold of GC-LDPC codes over BuECs-G.

The main contributions of this paper are summarized as follows.

- We present a new type of edge spreading operation, called *global edge spreading* operation. Using such operation, we propose two types of protograph-based GC-LDPC codes.
- We discuss the GA and the stability condition over BuECs-G and generalize the P-EXIT analysis to the BuEC-G.
- Based on the GA and P-EXIT analysis, we present an edge spreading optimization method for minimizing the gap between the capacity and the iterative decoding threshold of GC-LDPC codes for a given range of code rates and code lengths over BuECs-G. Numerical results show that the proposed globally-coupled quasi-cyclic LDPC (GC-QC-LDPC) codes can achieve better thresholds and error performance compared to the existing well-designed GC-QC-LDPC codes over additive white Gaussian noise (AWGN) channels and BuECs-G.

The remainder of this paper is organized as follows. In Section II, we describe the BuEC-G. Section III gives a brief introduction of GC-LDPC codes and introduces the *global edge spreading* operation for forming the protograph-based GC-LDPC code. In Section IV, we analyze the decoding threshold of GC-LDPC codes by the GA and the P-EXIT. Section V presents the edge spreading optimization method for designing the GC-QC-LDPC codes and shows the numerical results for the proposed GC-LDPC codes. Section VI concludes this paper.

*Notation:* We use lowercase letters (e.g.  $x$ ) to denote scalars, bold lowercase letter (e.g.  $\mathbf{x}$ ) to denote vectors, bold-face capital letters (e.g.  $\mathbf{X}$ ) for matrices, and bold uppercase letter (e.g.  $X$ ) to denote the set of the nodes or edges in bipartite graph. We denote by  $b_{ij}$  the element in the  $i$ th row and  $j$ th column of a matrix  $\mathbf{B}$ . The notation  $\mathbb{E}[\cdot]$  stands for

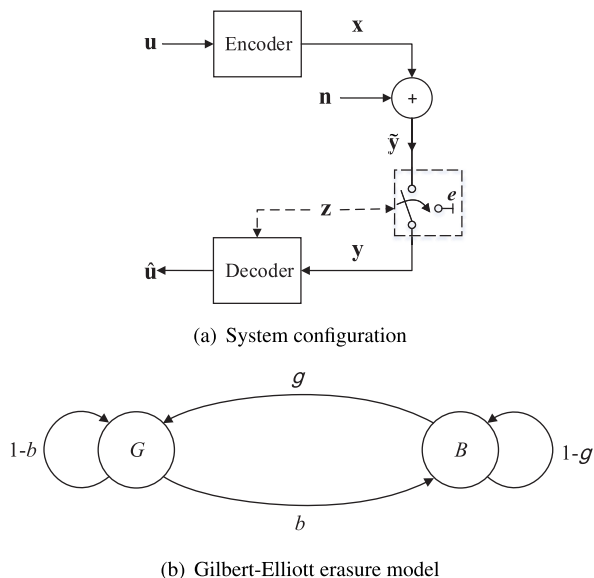


FIGURE 2. Channel model for the BuEC-G.

expectation. The superscript “(pt)” and “T” stand for protograph and transpose, respectively, and the subscripts “lp” and “gp” stand for local part and global part, respectively. We use calligraphic font  $\mathcal{C}$  to denote the GC-LDPC code and list some special notations used in this paper as follows:

- $K_{GA/P-EXIT}$ : Bit signal-to-noise ratio (SNR) threshold computed by GA/P-EXIT.
- $W_{GA/P-EXIT}$ : Metric value of the iterative decoding threshold of GC-LDPC codes over BuECs-G computed by GA/P-EXIT.

## II. THE CHANNEL MODEL FOR THE BU EC-G

In this section, we briefly introduce the BuEC-G model under consideration. The corresponding system configuration is depicted in Fig. 2(a). Let  $\mathbf{u} = [u_0, u_1, \dots, u_{K-1}]$  be the binary information sequence of length  $K$  and  $\mathbf{x} = [x_0, x_1, \dots, x_{N-1}]$  be the corresponding coded sequence of length  $N$ , where  $x_i \in \{\pm 1\}$ . Suppose that  $\mathbf{x}$  is transmitted over the AWGN channel, resulting in the output sequence  $\tilde{\mathbf{y}} = [\tilde{y}_0, \tilde{y}_1, \dots, \tilde{y}_{N-1}]$ , where  $\tilde{y}_i = x_i + n_i$  with  $n_i$  being independent Gaussian noise samples with zero mean and variance  $\sigma^2$ . At the receiver side,  $\tilde{\mathbf{y}}$  is assumed to be pre-processed, i.e., the less reliable symbols are erased. This can equivalently be thought that  $\tilde{\mathbf{y}}$  is passed through an erasure channel. If a transmission symbol is erased, we replace such symbol by “e”. Let  $\mathbf{y} = [y_0, y_1, \dots, y_{N-1}]$  be the input sequence to the decoder, and  $\mathbf{z} = [z_0, z_1, \dots, z_{N-1}]$  with  $z_i \in \{0, 1\}$  be the erasure indication sequence, where  $z_i = 1$  indicates the erasing of  $\tilde{y}_i$ . As a result, the relationship for the BuEC-G can be expressed as

$$y_i = \begin{cases} x_i + n_i, & \text{if } z_i = 0, \\ e, & \text{if } z_i = 1. \end{cases}$$

where  $0 \leq i \leq N - 1$ .

When  $\{z_i\}$  are independent and identically distributed, the mixed channel model becomes a mixture of the Gaussian channel with random erasure (called REC-G) as mentioned in [4]. If the sequence  $\mathbf{z}$  is generated by using a Gilbert-Elliott model, the mixed channel becomes a mixture of the Gaussian channel with Gilbert-Elliott erasure (called GEC-G). As shown in Fig. 2(b), the Gilbert-Elliott model is a Markov chain with two states “G” and “B”, where “G” represents the good state, and “B” represents the bad state. The erasure process is independent from the channel input and depends on a state process  $\{s_i\}_{i=0}^{\infty}$ ,  $s_i \in \{G, B\}$ . Assume that in states “G” and “B” erasures ( $z_i = 1$ ) are generated with probability  $\varepsilon_G \triangleq \Pr\{z_i = 1 | s_i = G\}$  and  $\varepsilon_B \triangleq \Pr\{z_i = 1 | s_i = B\}$ , respectively. We denote the transition probabilities by  $g \triangleq \Pr\{s_{i+1} = G | s_i = B\}$  and  $b \triangleq \Pr\{s_{i+1} = B | s_i = G\}$ , respectively. The initial distributions of the state process are assumed to be  $\pi_G = \Pr\{s_0 = G\} = g/(g + b)$ ,  $\pi_B = \Pr\{s_0 = B\} = b/(g + b)$ , which ensure its stationarity. Let  $\varepsilon_{GB}$  be the total erasure probability over the Gilbert-Elliott channel in the steady-state. Then, we have  $\varepsilon_{GB} = \Pr\{z_i = 1\} = \varepsilon_G \pi_G + \varepsilon_B \pi_B$ .

Since the erasure duration follows a geometric distribution [15], the average burst length of the state “B” is  $\Delta_B = \sum_i i(1 - g)^{i-1}g = 1/g$ . So, we have

$$\begin{cases} b = \frac{\varepsilon_{GB} - \varepsilon_G}{\Delta_B(\varepsilon_B - \varepsilon_{GB})}, & \text{for } \varepsilon_B \neq \varepsilon_{GB}, \\ g = \frac{1}{\Delta_B}. \end{cases}$$

Note that,  $b(\varepsilon_{GB}, \Delta_B)$  is monotone increasing for  $\varepsilon_{GB} < \varepsilon_B$  with a fixed  $\Delta_B$ . We have

$$0 \leq \varepsilon_{GB} \leq \frac{\Delta_B \varepsilon_B - 2\varepsilon_G}{2 + \Delta_B},$$

and

$$\Delta_B \geq \max \left\{ 2, \left\lceil \frac{\varepsilon_{GB} - \varepsilon_G}{2(\varepsilon_B - \varepsilon_{GB})} \right\rceil \right\}.$$

The probability of runs of zeros [15] is defined by (1), as shown at the bottom of the next page. In [15] a recurrence formula for  $U(j)$  is given by

$$U(j) = [(1 - b)(1 - \varepsilon_G) + (1 - g)(1 - \varepsilon_B)]U(j - 1) + (1 - \varepsilon_B)(1 - \varepsilon_G)(g + b - 1)U(j - 2),$$

for  $j \in \{2, 3, \dots\}$ . Initial values are  $U(0) = 1$ ,

$$U(1) = \frac{g\varepsilon_G(b(1 - \varepsilon_B) + (1 - b)(1 - \varepsilon_G))}{(g\varepsilon_G + b\varepsilon_B)} + \frac{b\varepsilon_B(g(1 - \varepsilon_G) + (1 - g)(1 - \varepsilon_B))}{(g\varepsilon_G + b\varepsilon_B)}.$$

The average erasure rate in the Gilbert-Elliott model is given by

$$\varepsilon_{ch} = \frac{1}{N} \sum_{i=0}^{N-1} \Pr\{z_i = 1\} = \frac{1}{N} \sum_{i=0}^{N-1} \sum_{j=0}^{i-1} \left( 1 - \frac{U(j+1)}{U(j)} \right). \quad (2)$$

For  $\varepsilon_G = 0$ , we give an estimate of the values of  $\varepsilon_{ch}$  over the Gilbert-Elliott channel as follows:

$$\begin{aligned} \varepsilon_{ch}(\varepsilon_{GB}, g, b) &\approx \frac{1}{N} \sum_{i=0}^{N-1} \Pr\{z_i = 1 | z_0 = 1\} \\ &= \frac{1}{N} \sum_{i=0}^{N-1} \frac{\Pr\{z_i = 1, z_0 = 1\}}{\varepsilon_{GB}} \\ &= \hat{\varepsilon}_{ch}(\varepsilon_{GB}, g, b), \end{aligned} \quad (3)$$

where

$$\hat{\varepsilon}_{ch}(\varepsilon_{GB}, g, b) = \begin{cases} 0, & \text{if } \varepsilon_{GB} = 0, \\ \frac{1}{N} (1 + \sum_{i=1}^{N-1} \varepsilon_{GB} [1 + \frac{g}{b} (1 - b - g)^i]), & \text{otherwise.} \end{cases}$$

**Theorem 1:** The capacity for the GEC-G described above is given by

$$C_{GEC-G} = (1 - \varepsilon_{ch}) C_{AWGN},$$

where  $C_{AWGN}$  is the capacity for the AWGN channel. We give its sketch in the Appendix.

### III. GLOBALLY-COUPLED LDPC CODES

In this section, we first briefly review the construction methods of two types of GC-LDPC codes and introduce two types of the protograph-based GC-LDPC codes.

#### A. CONSTRUCTION OF GC-LDPC CODES: CASCADED TYPE

We begin with the first type called cascaded type GC-LDPC code. For this type of GC-LDPC codes, we construct a base matrix  $\mathbf{B}_W$  over  $\text{GF}(q)$  of size  $rk \times rk$ , such as

$$\mathbf{B}_W = \begin{bmatrix} \alpha^0 - 1 & \alpha - 1 & \cdots & \alpha^{q-2} - 1 \\ \alpha^{q-2} - 1 & \alpha^0 - 1 & \cdots & \alpha^{q-3} - 1 \\ \vdots & \vdots & \ddots & \vdots \\ \alpha - 1 & \alpha^2 - 1 & \cdots & \alpha^0 - 1 \end{bmatrix}, \quad (4)$$

where  $\alpha$  is a primitive element of  $\text{GF}(q)$ ,  $r$  and  $k$  are two integers satisfying  $rk = q - 1$ . Partition  $\mathbf{B}_W$  into the following  $r \times r$  array:

$$\begin{bmatrix} \mathbf{W}_{00} & \mathbf{W}_{01} & \cdots & \mathbf{W}_{0(r-1)} \\ \mathbf{W}_{10} & \mathbf{W}_{11} & \cdots & \mathbf{W}_{1(r-1)} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{W}_{(r-1)0} & \mathbf{W}_{(r-1)1} & \cdots & \mathbf{W}_{(r-1)(r-1)} \end{bmatrix},$$

where  $\mathbf{W}_{ij}$  are  $k \times k$  matrices.

By removing the last  $k - n$  columns of  $\mathbf{W}_{ij}$ , we obtain an  $r \times r$  array  $\mathbf{B}_V$  of  $k \times n$  submatrices. Keep  $m$  rows of each

submatrix of  $\mathbf{B}_V$  and obtain the following  $r \times r$  array:

$$\tilde{\mathbf{B}}_V = \begin{bmatrix} \mathbf{B}_{00} & \mathbf{B}_{01} & \cdots & \mathbf{B}_{0(r-1)} \\ \mathbf{B}_{10} & \mathbf{B}_{11} & \cdots & \mathbf{B}_{1(r-1)} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{B}_{(r-1)0} & \mathbf{B}_{(r-1)1} & \cdots & \mathbf{B}_{(r-1)(r-1)} \end{bmatrix}.$$

Extract a  $t \times t$  array from the top left corner of  $\tilde{\mathbf{B}}_V$  to form  $\mathbf{B}_R = [\mathbf{B}_{ij}]_{0 \leq i, j \leq t-1}$ , where  $t \leq r$ . Then take  $s$  unused rows of  $\mathbf{B}_V$  to obtain an  $s \times nt$  matrix  $\mathbf{B}_X$ . Thus, we have the following base matrix of GC-QC-LDPC codes:

$$\begin{aligned} \mathbf{B}_{gc,1} &= [b_{ij}]_{0 \leq i < mt+s, 0 \leq j < nt} \\ &= \mathbf{B}_R \circ \{\mathbf{I}_t \otimes \mathbf{E}\} \oplus \mathbf{B}_X \\ &= \begin{bmatrix} \mathbf{B}_{00} & & & \\ & \mathbf{B}_{11} & & \\ & & \ddots & \\ & & & \mathbf{B}_{(t-1)(t-1)} \\ \text{---} & \text{---} & \text{---} & \text{---} \\ & & & \mathbf{B}_X \end{bmatrix}, \end{aligned}$$

where “ $\circ$ ” denotes the Hadamard product [37] (i.e., entry-wise product of two matrices), “ $\oplus$ ” denotes the direct-sum<sup>1</sup> [38, Chapter 4], “ $\otimes$ ” denotes the Kronecker product,  $\mathbf{I}_t$  is a  $t$ -dimensional identity matrix, and  $\mathbf{E}$  is an  $m \times n$  all-one matrix.

For each entry in  $\mathbf{B}_{gc,1}$ , if  $b_{ij}$  is the zero element of  $\text{GF}(q)$ , then replace  $b_{ij}$  by the  $(q - 1) \times (q - 1)$  zero matrix (ZM), and if  $b_{ij} = \alpha^k$  with  $0 \leq k < q - 1$ , then replace  $b_{ij}$  by a  $(q - 1) \times (q - 1)$  CPM whose first row has a single 1-component at the  $k$ th element. This operation is referred to as the  $(q - 1)$ -fold dispersion of  $\mathbf{B}_{gc,1}$ , which will result in an  $(mt + s) \times nt$  array  $\mathbf{H}_{gc,1}$  of  $(q - 1) \times (q - 1)$  CPMs and/or ZMs. The null space of  $\mathbf{H}_{gc,1}$  gives a GC-QC-LDPC code whose Tanner graph has a girth of at least 6, denoted by  $\mathcal{C}_{cas}$ .

#### B. CONSTRUCTION OF GC-LDPC CODES: INTERLEAVED TYPE

Based on the base matrix  $\mathbf{B}_W$  in (4), we can form another type of GC-LDPC codes. Let  $l$ ,  $t$ , and  $f$  be three positive integers satisfying  $2lft < rk$ . First, we obtain an  $rk \times 2lft$  matrix  $\mathbf{B}_V$  by removing the last  $rk - 2lft$  columns from  $\mathbf{B}_W$ . Take the first  $tf$  rows from  $\mathbf{B}_V$  to obtain a  $tf \times 2lft$  matrix  $\tilde{\mathbf{B}}_V$  and divide each row into  $2lt$  sections, each consisting of  $f$  components. Thus, there are  $t$  sectionalized rows. Denote the  $i$ th sectionalized row of  $\tilde{\mathbf{B}}_V$  as  $\tilde{\mathbf{B}}_i$ , where  $0 \leq i \leq t - 1$ .

<sup>1</sup>Here, we use the definition of *direct-sum* in [38], i.e.,  $\mathbf{M}_1 \oplus \mathbf{M}_2 = [\mathbf{M}_1 \mathbf{M}_2]^T$ , where  $\mathbf{M}_1$  and  $\mathbf{M}_2$  are two matrices with the same number of columns.

$$\begin{aligned} U(j) &= \Pr\{z_{i+1} = \cdots = z_{i+j} = 0 | z_i = 1\} \\ &= \Pr\{s_i = B | z_i = 1\} \cdot \Pr\{z_{i+1} = \cdots = z_{i+j} = 0 | s_i = B\} \\ &\quad + \Pr\{s_i = G | z_i = 1\} \cdot \Pr\{z_{i+1} = \cdots = z_{i+j} = 0 | s_i = G\}, \quad \text{for } i \in \{0, 1, \dots, N - 1\} \end{aligned} \quad (1)$$



Subsequently, we form  $t$  masking matrices of size  $f \times 2ltf$ , denoted by  $\mathbf{M}_i$ ,  $0 \leq i \leq t-1$ . Divide each row of  $\mathbf{M}_i$  into  $2tl$  sections, each containing  $f$  components. For  $0 \leq j \leq l-1$ , the  $(i+2tj)$ th section of  $\mathbf{M}_i$  is an  $f \times f$  lower triangular matrix  $\mathbf{M}_{low}$  all of whose entries on and below the main diagonal are unit elements. The  $(i+2tj+t)$ th section of  $\mathbf{M}_i$  is an  $f \times f$  upper triangular matrix  $\mathbf{M}_{up}$  all of whose entries on and above the main diagonal are unit elements, and the rest sections of  $\mathbf{M}_i$  are composed of some all-zero matrices. Thus, we have

$$\mathbf{M}_i = \left[ \overbrace{\mathbf{M}_i^* \cdots \mathbf{M}_i^*}^l \right],$$

where  $\mathbf{M}_i^* = \left[ \overbrace{\mathbf{O} \cdots \mathbf{O}}^i \overbrace{\mathbf{M}_{low}}^{t-i-1} \overbrace{\mathbf{O} \cdots \mathbf{O}}^{t-i-1} \overbrace{\mathbf{M}_{up}}^{t-1} \overbrace{\mathbf{O} \cdots \mathbf{O}}^{t-1} \right]$  and  $\mathbf{O}$  is an  $f \times f$  all-zero matrix. For  $0 \leq i \leq t-1$ , we can construct an  $f \times 2ltf$  matrix  $\mathbf{B}_i$  by masking  $\tilde{\mathbf{B}}_i$  with  $\mathbf{M}_i$ , i.e.,  $\mathbf{B}_i = \tilde{\mathbf{B}}_i \circ \mathbf{M}_i$ .

Take  $s$  unused rows from the rest  $(rk - tf)$  rows from  $\mathbf{B}_V$  to form an  $s \times nt$  matrix  $\mathbf{B}_X$ . Then, we have the following base matrix of GC-QC-LDPC codes:

$$\begin{aligned} \mathbf{B}_{gc,2} &= \mathbf{B}_0 \oplus \mathbf{B}_1 \oplus \cdots \oplus \mathbf{B}_{t-1} \oplus \mathbf{B}_X \\ &= [\mathbf{B}_0 \ \mathbf{B}_1 \ \cdots \ \mathbf{B}_{t-1} \ \mathbf{B}_X]^T. \end{aligned}$$

The  $(q-1)$ -fold dispersion of  $\mathbf{B}_{gc,2}$  results in an  $(ft+s) \times 2ltf$  array  $\mathbf{H}_{gc,2}$  of  $(q-1) \times (q-1)$  CPMs and/or ZMs. The null space of  $\mathbf{H}_{gc,2}$  gives a GC-QC-LDPC code whose Tanner graph has a girth of at least 6, denoted by  $C_{inter}$ .

### C. DEFINITION OF GLOBAL EDGE SPREADING

In this subsection, we introduce a definition of the operation on protograph which allows us to obtain a globally-coupled protograph from a block protograph.

A protograph  $(V, C, E)$  can be viewed as a small bipartite graph which consists of a set of  $n_v$  VNs  $V = \{v_0, \dots, v_{n_v-1}\}$ , a set of  $n_c$  CNs  $C = \{c_0, \dots, c_{n_c-1}\}$ , and a set of edges  $E$ , respectively. Let  $F$  be a positive integer. By taking an  $F$ -fold graph cover (see [35], [36]) or “ $F$ -(lifting)” of  $(V, C, E)$ , we obtain a Tanner graph of a protograph-based LDPC code of block length  $N = Fn_v$ .

The protograph can be represented by its  $n_c \times n_v$  base biadjacency matrix  $\mathbf{B}^{(pt)} = [b_{ij}^{(pt)}]$ , where  $0 \leq i < n_c$  and  $0 \leq j < n_v$ . We refer to this base biadjacency matrix as the *protomatrix* [44]. The entry  $b_{ij}^{(pt)}$  denotes the number of edges connecting VN  $v_j$  to CN  $c_i$ . By replacing each non-zero entry in  $\mathbf{B}^{(pt)}$  with a sum of  $b_{ij}^{(pt)}$  permutation matrices of size  $F \times F$  and each zero entry with the  $F \times F$  all-zero matrix, we obtain the parity-check matrix  $\mathbf{H}$  of size  $Fn_c \times Fn_v$  for a protograph-based LDPC code.

*Definition 1 (Global Edge Spreading):* Consider replicating a block protograph with  $b_v$  VNs and  $b_c$  CNs as a sequence of disjoint graphs. Suppose the VN  $v_j$  is connected to the CN  $c_i$  by  $b_{ij}^{(pt)}$  edges in each protograph, where  $0 \leq i \leq b_c-1$ , and  $0 \leq j \leq b_v-1$ . Then, the VN  $v_j$  spreads (connects) the  $b_{gp,kj}^{(pt)}$  edges from the CN  $c_i$  to the new CN  $c_{gp,k}$  (global CNs), where  $0 \leq k \leq b_g-1$  and  $b_g$  is the number of global CNs. It means

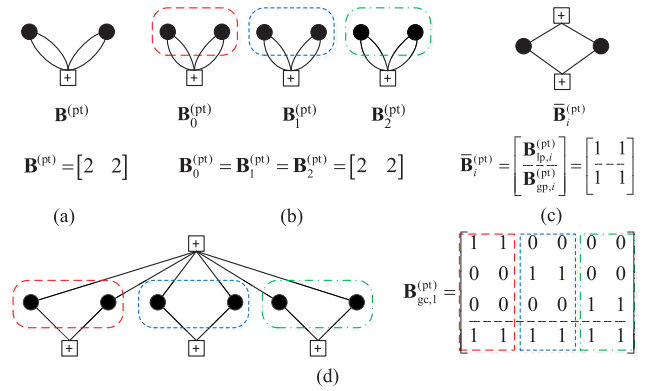


FIGURE 3. (a) Protograph representing a (2, 4)-regular LDPC-BC ensemble, (b) replicated (2, 4)-regular LDPC-BC protographs, (c) illustration of global edge spreading operation for one segment of the graph, and (d) protograph representing a (globally-coupled) GC-LDPC ensemble.

that there are  $\sum_{i=0}^{b_c-1} b_{lp,ij}^{(pt)} = \sum_{i=0}^{b_c-1} b_{ij}^{(pt)} - \sum_{k=0}^{b_g-1} b_{gp,kj}^{(pt)}$  edges remaining to connect to local CNs from  $v_j$ , where  $b_{gp,kj}^{(pt)}$  denotes the number of edges connecting the VN  $v_j$  to the local CN  $c_{lp,i}$ . By repeating this operation for every  $b_v$  VNs, we obtain a globally-coupled protograph.

For the global edge spreading operation, if all edges emanating from each VN are connected to new (global) CNs, we have  $\sum_{i=0}^{b_c-1} b_{ij}^{(pt)} = \sum_{k=0}^{b_g-1} b_{gp,kj}^{(pt)}$  for  $0 \leq j \leq b_v-1$ . We refer to such operation as the *all-edge spreading*. Furthermore, for the *all-edge spreading* operation, if all the edges emanating from each VN are connected to new (global) CNs with the same connection pattern of the original edges, we have  $b_{ij}^{(pt)} = b_{gp,ij}^{(pt)}$ , where  $0 \leq i \leq b_c-1$  and  $0 \leq j \leq b_v-1$ . Such operation is referred to as the *all-edge uniform spreading*.

### D. CONSTRUCTION OF PROTOGRAPH-BASED GC-LDPC CODES

In this subsection, we introduce two types of the protograph-based GC-LDPC codes. These protographs are obtained by connecting or *global coupling* a sequence of disjoint protographs together.

As shown in Fig. 3(a), we have a  $(d_v = 2, d_c = 4)$ -regular (block) protograph with the design rate  $R_d = 1 - d_v/d_c = 1/2$ , where  $d_v$  and  $d_c$  denote the degrees of VNs and CNs, respectively. Let  $\mathbf{B}^{(pt)}$  be an  $m \times n$  protomatrix of such protograph. Here,  $m = 1, n = 2$ , and  $\mathbf{B}^{(pt)} = [2 \ 2]$ .

Put  $t$  copies ( $t = 3$ ) of such  $(d_v, d_c)$ -regular protograph together to obtain a sequence of non-interacting graphs whose corresponding protomatrix is a  $3 \times 3$  array  $\tilde{\mathbf{B}}^{(pt)}$  as follows:

$$\tilde{\mathbf{B}}^{(pt)} = \begin{bmatrix} \mathbf{B}_0^{(pt)} & & \\ & \mathbf{B}_1^{(pt)} & \\ & & \mathbf{B}_2^{(pt)} \end{bmatrix},$$

where  $\mathbf{B}_0^{(pt)} = \mathbf{B}_1^{(pt)} = \mathbf{B}_2^{(pt)} = \mathbf{B}^{(pt)} = [2 \ 2]$ , cf. Fig. 3(b). A global edge spreading operation applied to a VN is shown in Fig. 3(c). The edges of each VN are spread from the





Let  $m_{CN}^{(k)}$  be the mean value of the outgoing message from a CN at the  $k$ th iteration. The pdf of a variable message at the  $k$ th iteration can be factorized into three terms in (9), as shown at the bottom of this page.

Define

$$\phi(x) = \begin{cases} 1 - \frac{1}{\sqrt{4\pi x}} \int_{\mathbb{R}} \tanh \frac{u}{2} e^{-\frac{(u-x)^2}{4x}} du, & \text{if } x > 0, \\ 1, & \text{if } x = 0. \end{cases}$$

Let  $\mathcal{B}(m, n, \varepsilon_{CN}^{(k)})$  be the probability mass function of binomial distribution as follows

$$\mathcal{B}(m, n, \varepsilon_{CN}^{(k)}) = \binom{n}{m} (\varepsilon_{CN}^{(k)})^{n-m} (1 - \varepsilon_{CN}^{(k)})^m,$$

and  $\binom{n}{m}$  is the binomial coefficient. The updated mean of the outgoing message from a CN can be given in (10), as shown at the bottom of this page. Let  $Q(\cdot)$  be the  $Q$ -function of the standard normal distribution [4]. The bit-error probability at the  $k$ th iteration is given in (11), as shown at the bottom of this page.

For general binary-input memoryless output-symmetric channels, the general stability condition plays an important role in analyzing the upper bound on the threshold [41], and the stability condition is given in (12), as shown at the bottom of the next page. Based on this condition, we demonstrate some conclusions about the upper bound on thresholds in the following.

*Theorem 2:* For  $\lambda'(0)\rho'(1) > 1$  and a given  $\varepsilon_{ch}$ , we have

$$\sigma_{mix}^2(\lambda, \rho) \leq \left[ 2 \ln \left( \frac{\lambda'(0)\rho'(1)(1 - \varepsilon_{ch})}{1 - \lambda'(0)\rho'(1)\varepsilon_{ch}} \right) \right]^{-1} = \tilde{\sigma}_{mix}^2,$$

where  $\tilde{\sigma}_{mix}^2$  is the upper bound on the threshold of  $\sigma_n^2$  over the BuEC-G. Since,

$$\ln \frac{1 - \varepsilon_{ch}}{1 - \lambda'(0)\rho'(1)\varepsilon_{ch}} \geq 0,$$

we have

$$\begin{aligned} \tilde{\sigma}_{mix}^2 &= \left[ 2 \ln \left( \lambda'(0)\rho'(1) \right) + 2 \ln \left( \frac{1 - \varepsilon_{ch}}{1 - \lambda'(0)\rho'(1)\varepsilon_{ch}} \right) \right]^{-1} \\ &\leq \frac{1}{2 \ln \lambda'(0)\rho'(1)}, \end{aligned}$$

where the equality holds only for  $\varepsilon_{ch} = 0$ .

*Theorem 3:* For  $\lambda'(0)\rho'(1) > 1$  and a given  $\sigma_n^2$ , we have

$$\varepsilon_{ch,mix}(\lambda, \rho) = \frac{1 - \lambda'(0)\rho'(1)e^{-\frac{1}{2\sigma_n^2}}}{\lambda'(0)\rho'(1)(1 - e^{-\frac{1}{2\sigma_n^2}})} = \tilde{\varepsilon}_{ch,mix},$$

where  $\tilde{\varepsilon}_{ch,mix}$  is the upper bound on the threshold of  $\varepsilon_{ch}$  over the BuEC-G. We have

$$\begin{aligned} \tilde{\varepsilon}_{ch,mix} &= \frac{1}{\lambda'(0)\rho'(1)} + \frac{1 - \lambda'(0)\rho'(1)}{\lambda'(0)\rho'(1)(1 - e^{-\frac{1}{2\sigma_n^2}})} e^{-\frac{1}{2\sigma_n^2}} \\ &\leq \frac{1}{\lambda'(0)\rho'(1)}, \end{aligned}$$

where the equality holds only for  $\sigma_n^2 = 0$ .

### B. PROTOGRAPH-BASED EXIT ANALYSIS OF LDPC CODES OVER BUECS-G

The P-EXIT technique is a precise and effective tool for estimating the decoding thresholds of protograph-based LDPC code ensembles, and applicable for both the AWGN channel

$$\begin{aligned} f_{mix}^{(k)}(L) &= \varepsilon_{VN}^{(k)} \delta(L) + (1 - \varepsilon_{VN}^{(k)}) h^{(k)}(L) = \varepsilon_{VN}^{(k)} \delta(L) + \varepsilon_{VN}^{(0)} \left\{ \sum_{j=2}^{d_l} \lambda_j \sum_{i=1}^{j-1} \mathcal{B}(i, j-1, \varepsilon_{CN}^{(k-1)}) \mathcal{N}(im_{CN}^{(k-1)}, 2im_{CN}^{(k-1)}) \right\} \\ &\quad + (1 - \varepsilon_{VN}^{(0)}) \left\{ \sum_{j=2}^{d_l} \lambda_j \sum_{i=0}^{j-1} \mathcal{B}(i, j-1, \varepsilon_{CN}^{(k-1)}) \mathcal{N}(im_{CN}^{(k-1)} + m_{ch}, 2im_{CN}^{(k-1)} + 2m_{ch}) \right\} \end{aligned} \quad (9)$$

$$\begin{aligned} m_{CN}^{(k)} &= \sum_{s=2}^{d_r} \rho_s m_{CN|d_c=s}^{(k)} = \sum_{s=2}^{d_r} \rho_s \phi^{-1} \left( 1 - \frac{1}{(1 - \varepsilon_{VN}^{(k)})^{s-1}} \left[ \varepsilon_{VN}^{(0)} \sum_{j=2}^{d_l} \lambda_j \sum_{i=0}^{j-1} \mathcal{B}(i, j-1, \varepsilon_{CN}^{(k-1)}) (1 - \phi(im_{CN}^{(k-1)})) \right. \right. \\ &\quad \left. \left. + (1 - \varepsilon_{VN}^{(0)}) \sum_{j=2}^{d_l} \lambda_j \sum_{i=0}^{j-1} \mathcal{B}(i, j-1, \varepsilon_{CN}^{(k-1)}) (1 - \phi(im_{CN}^{(k-1)} + m_{ch})) \right]^{s-1} \right) \end{aligned} \quad (10)$$

$$\begin{aligned} P_e^{(k)} &= \varepsilon_{VN}^{(0)} \sum_{s=2}^{d_l} \Lambda_s \sum_{i=0}^s \mathcal{B}(i, s, \varepsilon_{CN}^{(k)}) Q\left(\sqrt{im_{CN}^{(k)}/2}\right) + (1 - \varepsilon_{VN}^{(0)}) \sum_{s=2}^{d_l} \Lambda_s \sum_{i=0}^s \mathcal{B}(i, s, \varepsilon_{CN}^{(k)}) Q\left(\sqrt{(im_{CN}^{(k)} + m_{ch})/2}\right) \\ &= \frac{\varepsilon_{VN}^{(0)}}{2} \sum_{s=2}^{d_l} \left( \Lambda_s (\varepsilon_{CN}^{(k)})^s \right) + \varepsilon_{VN}^{(0)} \sum_{s=2}^{d_l} \Lambda_s \sum_{i=1}^s \mathcal{B}(i, s, \varepsilon_{CN}^{(k)}) Q\left(\sqrt{im_{CN}^{(k)}/2}\right) \\ &\quad + (1 - \varepsilon_{VN}^{(0)}) \sum_{s=2}^{d_l} \Lambda_s \sum_{i=0}^s \mathcal{B}(i, s, \varepsilon_{CN}^{(k)}) Q\left(\sqrt{(im_{CN}^{(k)} + m_{ch})/2}\right) \end{aligned} \quad (11)$$

and BEC [34]. In the following, we generalize the P-EXIT analysis to the BuEC-G.

For the VN of code bit  $x$ , let  $I_{EV}$  be the extrinsic mutual information (MI) between  $x$  and the outgoing message, and  $I_{AV}$  be the (*a priori*) MI between  $x$  and incoming message.  $J(\sigma)$  represents the extrinsic MI of the binary-input AWGN channel and is given in [39]:

$$J(\sigma) = 1 - \int_{-\infty}^{+\infty} \mathcal{N}\left(\frac{\sigma^2}{2}, \sigma^2\right)(1 + e^{-x})dx. \quad (13)$$

We define a key parameter  $J_{GB}(\sigma)$  for approximating the extrinsic MI of the BuEC-G as follows

$$J_{GB}(\sigma, \varepsilon_{ch}) = (1 - \varepsilon_{ch}) \sum_{j=2}^{d_l} \lambda_j J\left(\sqrt{(j-1)\sigma^2 + \sigma_{ch}^2}\right) + \varepsilon_{ch} \sum_{j=2}^{d_l} \lambda_j J\left(\sqrt{(j-1)\sigma^2}\right). \quad (14)$$

From (9), we adopt the consistent-Gaussian assumption [42] for the extrinsic-information input/output of VN processors. Let  $p_L(L|x)$  be the pdf of the LLR for the variable message. Then, the extrinsic MI between  $x$  and the outgoing message is given in (15), as shown at the bottom of this page. In (15), equality (a) follows by the consistency condition, i.e.,  $p_L(L|x = -1) = p_L(-L|x = +1)$ . Expressing  $I_{EV}$  as a function of  $I_{AV}$  yields

$$\begin{aligned} I_{EV} &= (1 - \varepsilon_{ch}) \sum_{j=2}^{d_l} \lambda_j \sum_{i=0}^{j-1} \mathcal{B}(i, j-1, \varepsilon_{CN}) J\left(\sqrt{i\sigma^2 + \sigma_{ch}^2}\right) \\ &\quad + \varepsilon_{ch} \sum_{j=2}^{d_l} \lambda_j \sum_{i=1}^{j-1} \mathcal{B}(i, j-1, \varepsilon_{CN}) J\left(\sqrt{i\sigma^2}\right) + \varepsilon_{VN}^{(k)} \\ &\approx J_{GB}(\sigma, \varepsilon_{ch}) \\ &= (1 - \varepsilon_{ch}) \sum_{j=2}^{d_l} \lambda_j J\left(\sqrt{(j-1)[J^{-1}(I_{AV})]^2 + \sigma_{ch}^2}\right) \\ &\quad + \varepsilon_{ch} \sum_{j=2}^{d_l} \lambda_j J\left(\sqrt{(j-1)[J^{-1}(I_{AV})]^2}\right). \end{aligned} \quad (16)$$

Let  $I_{EC}$  be the extrinsic MI between the code bit  $x$  and the message passing from the CN to  $x$ , and  $I_{AC}$  be the (*a priori*) MI between the code bit  $x$  and the corresponding incoming message of the CN. We have

$$I_{EC} = \sum_{j=2}^{d_r} \rho_j \theta(I_{AC}), \quad (17)$$

where  $\theta(\cdot)$  denotes the MI function of the *a priori* information for the input of the CN processor. However, determining the mean and variance of the outgoing message from a CN processor is not straightforward [32], [33]. A simple approximation of the CN EXIT function is given by

$$\begin{aligned} I_{EC} &\approx 1 - \sum_{j=2}^{d_r} \rho_j I_{EV}(\sigma_{ch} = 0, I_{AV} \leftarrow 1 - I_{AC}) \\ &= 1 - \sum_{j=2}^{d_r} \rho_j \sum_{i=2}^{d_l} \lambda_i J\left(\sqrt{(j-1)[J^{-1}(1 - I_{AC})]^2}\right). \end{aligned} \quad (18)$$

In the following, we propose an improved P-EXIT technique for the BuEC-G. Considering protograph, let  $I_{EV}^{(k)}(i, j)$  be the extrinsic MI between the outgoing message from the  $j$ th VN ( $v_j$ ) to the  $i$ th CN ( $c_i$ ) at the  $k$ th iteration and the code bit  $x_j$  (associated with  $v_j$ ), where  $0 \leq i \leq n_c$  and  $0 \leq j \leq n_v$ . Similarly, let  $I_{EC}^{(k)}(i, j)$  be the extrinsic MI between the message outgoing message from  $c_i$  to  $v_j$  at the  $k$ th iteration and  $x_j$ ,  $I_{ch}(j)$  be the channel MI at the input of the  $j$ th VN ( $v_j$ ), and  $I_{CMI}^{(k)}(j)$  be the cumulative MI of  $v_j$  at the  $k$ th iteration.

Let  $\varepsilon_{ch,j}$  be the erasure probability of  $v_j$  over the BuEC-G for  $0 \leq j \leq n_v$ , and

$$\delta_{ij} = \begin{cases} 1, & \text{if } i = j, \\ 0, & \text{otherwise.} \end{cases}$$

Since,  $I_{EC}^{(k-1)}(i, j)$  ( $I_{EV}^{(k)}(i, j)$ ) acts as *a priori* MI in calculation of  $I_{EV}^{(k)}(i, j)$  ( $I_{EC}^{(k-1)}(i, j)$ ), following (16) and (18),  $I_{EV}^{(k)}(i, j)$ ,  $I_{CMI}^{(k)}(j)$ , and  $I_{EC}^{(k)}(i, j)$  can be computed in (19), (20), and (21), as shown at the bottom of the next page, respectively.

$$\lambda'(0)\rho'(1) < \left(\int_{\mathbb{R}} f(x)e^{-x/2}dx\right)^{-1} = \left(\int_{\mathbb{R}} \left[\varepsilon_{ch}\delta(x) + (1-\varepsilon_{ch})\sqrt{\frac{\sigma_n^2}{8\pi}} e^{-\frac{(x-\frac{\sigma_n^2}{8})\sigma_n^2}{8}}\right] e^{-x/2}dx\right)^{-1} = \left(\varepsilon_{ch} + (1-\varepsilon_{ch})e^{-\frac{1}{2\sigma^2}}\right)^{-1} \quad (12)$$

$$\begin{aligned} I_{EV}(\sigma) &= 1 - \int_{-\infty}^{+\infty} p_L(L|x = +1) \log_2\left(1 + \frac{p_L(L|x = -1)}{p_L(L|x = +1)}\right) dL \stackrel{(a)}{=} 1 - \int_{-\infty}^{+\infty} f_{mix}^{(k)}(L) \log_2(1 + e^{-L}) dL \\ &= 1 - \varepsilon_{VN}^{(k)} - \varepsilon_{ch} \int_{-\infty}^{+\infty} \sum_{j=2}^{d_l} \lambda_j \sum_{i=1}^{j-1} \mathcal{B}(i, j-1, \varepsilon_{CN}) \mathcal{N}\left(i\frac{\sigma^2}{2}, i\sigma^2\right) \log_2(1 + e^{-L}) dL \\ &\quad - (1 - \varepsilon_{ch}) \int_{-\infty}^{+\infty} \sum_{j=2}^{d_l} \lambda_j \sum_{i=0}^{j-1} \mathcal{B}(i, j-1, \varepsilon_{CN}) \mathcal{N}\left(i\frac{\sigma^2}{2} + \frac{\sigma_{ch}^2}{2}, i\sigma^2 + \sigma_{ch}^2\right) \log_2(1 + e^{-L}) dL \end{aligned} \quad (15)$$



V. DESIGN OF GC-LDPC CODES FOR BUECS-G

We now present an edge spreading optimization method for minimizing the gap between the capacity and the iterative decoding threshold of GC-LDPC codes over BuECs-G. The proposed method is based on predicting the iterative decoding threshold of GC-QC-LDPC codes as mentioned in Section III within a given range of code rates and code lengths.

For GC-QC-LDPC codes, suppose that the range of code rate  $R$ , the range of the code length  $N$ , and the field  $\text{GF}(q)$  are given. Denote  $R_{\max}$  ( $R_{\min}$ ),  $N_{\max}$  ( $N_{\min}$ ), and  $W_{\text{GA/P-EXIT}}$  as the maximum (minimum) value of  $R$ , the maximum (minimum) value of  $N$ , and the weighted mean value of the gap between the capacity and the iterative decoding threshold for GC-LDPC codes over BuECs-G with different parameters based on GA/P-EXIT, respectively. The optimization of the edge spreading for minimizing  $W_{\text{GA/P-EXIT}}$  of GC-LDPC codes over BuECs-G can be divided into the following steps:

- 1) **Initialization.** Set the initial metric  $W_{\text{GA/P-EXIT}} \gg 0$ .
- 2) **Global Edge Spreading Operation.** By applying the *global edge spreading* operation mentioned in Section III, we form the protograph-based GC-LDPC codes with all sets of parameters for given  $R_{\max}$  ( $R_{\min}$ ),  $N_{\max}$  ( $N_{\min}$ ), and  $q$ . Enumerate all sets of parameters for GC-QC-LDPC codes. For type-1 GC-QC-LDPC codes, the parameters  $(m, n, t, s)$  satisfy:

$$\begin{cases} t \in \{2, 3, \dots, q-1\}, \\ n \in \{2, 3, \dots, (q-1)/t\}, \\ m \in \{1, 2, \dots, n\}, \\ s \in \{1, 2, \dots, q-nt-1\}, \\ nt(q-1) \in \{N_{\min}, N_{\min}+1, \dots, N_{\max}\}, \\ R_{\min} \leq 1-m/n-s/nt \leq R_{\max}, \end{cases} \quad (22)$$

where  $p$  is a prime factor of  $q$  satisfying  $q^d - 1 = pa$  with  $d, a \in \mathbb{N}$ . For type-2 GC-QC-LDPC codes, the parameters  $(l, f, t, s)$  satisfy:

$$\begin{cases} t \in \{2, 3, 4\}, \\ f \in \{2, 3, \dots, \frac{p}{4t}\}, \\ l \in \{2, 3, \dots, \frac{p}{2tf}\}, \\ s \in \{1, 2, \dots, p-tf\}, \\ 2lfp \in \{N_{\min}, N_{\min}+1, \dots, N_{\max}\}, \\ R_{\min} \leq 1-1/2l-s/2lft \leq R_{\max}. \end{cases} \quad (23)$$

Notice that these optimized parameters are obtained by the construction methods mentioned in Section III. The code length  $N$  and the design rate  $R_d$  of type-1 GC-QC-LDPC codes are equal to  $nt(q-1)$  and  $1-m/n-s/t$ , respectively. Since the base matrix of such GC-LDPC code is formed over  $\text{GF}(q)$ , we have  $2 \leq t \leq q$ ,  $nt \leq q-1$ ,  $1 \leq m \leq n$ , and  $1 \leq s \leq q-1-mt$ . Similarly, the code length  $N$  and the design rate  $R_d$  of type-2 GC-QC-LDPC codes are equal to  $2lfp$  and  $1-1/2l-s/2lft$ , respectively. Here, the parameters of type-2 GC-QC-LDPC codes satisfy  $2 \leq t \leq 4$ ,  $l \geq 2$ ,  $2lft \leq p$ , and  $1 \leq s \leq p-tf$ .

- 3) **Compute Metric.** Compute the metric  $W_{\text{GA/P-EXIT}}$  as follows:

$$\begin{aligned} & \min_{\arg\{\mathbf{B}^{(pt)}\}} W_{\text{GA/P-EXIT}} \\ & = \sum_{d=0}^{D-1} w_d \left\{ K_{\text{GA/P-EXIT}}(\mathbf{B}^{(pt)}, \varepsilon_{ch}) - K(\varepsilon_{ch}, R) \right\}, \\ & \text{s.t. } R_d = \begin{cases} 1-m/n-s/nt, & \text{for type-1,} \\ 1-1/2l-s/2lft, & \text{for type-2,} \end{cases} \end{aligned} \quad (24)$$

where  $\{w_d\}$  are positive real weighting factors,  $K_{\text{GA/P-EXIT}}(\cdot)$  is the bit SNR threshold computed by GA/P-EXIT for the corresponding protomatrix  $\mathbf{B}^{(pt)}$  of  $(m, n, t, s)$  or  $(l, f, t, s)$ ,  $K(\cdot)$  is the bit SNR corresponding to the capacity of the BuEC-G for a coding rate of  $R$ .

- 4) **Termination.** Search for the optimal global edge spreading form (as mentioned earlier, the optimal global edge spreading forms are determined by the corresponding set of parameters  $(m, n, t, s)/(l, f, t, s)$  which leads to minimize the  $W_{\text{GA/P-EXIT}}$ .

Next, we present two approaches to design the GC-QC-LDPC codes with a given range of code rates and code lengths for the GA and the P-EXIT, respectively.

A. CODE DESIGN BASED ON GAUSSIAN APPROXIMATION

The GA procedure for predicting the iterative decoding threshold  $K_{\text{GA}}(\mathbf{B}^{(pt)}, \varepsilon_{ch})$  on the BuEC-G can be summarized as follows:

- 1) **Initialization.** Select the bit SNR associated to the channel input which is denoted by  $E_b/N_0$ . Set

$$\varepsilon_{VN}^{(0)} = \varepsilon_{ch}, \quad \varepsilon_{CN}^{(0)} = 1 - \rho(1 - \varepsilon_{VN}^{(0)}),$$

$$I_{EV}^{(k)}(i, j) = (1 - \varepsilon_{ch,j})J \left( \sqrt{\sum_{d=1}^M (b_{dj}^{(pt)} - \delta_{id}) [J^{-1}(I_{EC}^{(k-1)}(d, j))]^2 + I_{ch}^2(j)} \right) + \varepsilon_{ch,j}J \left( \sqrt{\sum_{d=1}^M (b_{dj}^{(pt)} - \delta_{id}) [J^{-1}(I_{EC}^{(k-1)}(d, j))]^2} \right) \quad (19)$$

$$I_{CMI}^{(k)}(j) = (1 - \varepsilon_{ch,j})J \left( \sqrt{\sum_{d=1}^M (b_{dj}^{(pt)}) [J^{-1}(I_{EC}^{(k-1)}(d, j))]^2 + I_{ch}^2(j)} \right) + \varepsilon_{ch,j}J \left( \sqrt{\sum_{d=1}^M (b_{dj}^{(pt)}) [J^{-1}(I_{EC}^{(k-1)}(d, j))]^2} \right) \quad (20)$$

$$I_{EC}^{(k)}(i, j) = 1 - J \left( \sqrt{\sum_{d=1}^N (b_{id}^{(pt)} - \delta_{dj}) [J^{-1}(1 - I_{EV}^{(k-1)}(i, d))]^2} \right) \quad (21)$$

$$\begin{aligned}
 m_{ch} &= 4(RE_b/N_0), \\
 P_e^{(0)} &= \varepsilon_{VN}^{(0)}/2 + (1 - \varepsilon_{VN}^{(0)})Q(\sqrt{m_{ch}/2}), \\
 m_{CN}^{(0)} &= 0, \quad k = 0, \quad T_{max} \gg 1, \quad \text{and } 0 < \xi_1 \ll 1,
 \end{aligned}$$

where  $T_{max}$  is the maximum iteration number of decoder.

- 2) **Update operation.**  $k = k + 1$ ; Update  $\varepsilon_{CN}^{(k)}$ ,  $\varepsilon_{VN}^{(k)}$ ,  $m_{CN}^{(k)}$  and  $P_e^{(k)}$  based on (7), (8), (10), and (11), respectively;
- 3) **Stopping criterion.** If  $P_e^{(k)} \leq \xi_1$  or  $k > T_{max}$ , then stop; otherwise, go to step 2).

This algorithm converges only when  $E_b/N_0$  is above the threshold which is the minimum value of  $E_b/N_0$  for  $P_e \leq \xi_1$ . We define the  $E_b/N_0$  threshold computed with GA as

$$K_{GA} = \inf \left\{ \frac{E_b}{N_0} \in \mathbb{R} \mid P_e \leq \xi_1, \text{ for } k \rightarrow \infty \text{ and } 0 < \xi_1 \ll 1 \right\}. \quad (25)$$

### B. CODE DESIGN BASED ON P-EXIT

Based on the P-EXIT analysis defined in Section IV-B, we present an improved P-EXIT algorithm to calculate the decoding threshold for GC-QC-LDPC codes over the BuEC-G. The detailed P-EXIT algorithm is as follows:

- 1) **Initialization.** Select the bit SNR associated to the channel input of the  $j$ th VN which is denoted by  $(E_b/N_0)_j$ . Initialize a vector  $\sigma_{ch} = [\sigma_{ch}(0), \dots, \sigma_{ch}(N-1)]$ , where  $\sigma_{ch,j}^2$  is the variance of the consistent-Gaussian input from the channel to the  $j$ th VN. Set  $k = 1$ ,  $I_{ch}(j) = J_{GB}(\sigma_{ch,j})$ ,  $\forall j = 0, \dots, N-1$ , with

$$\begin{aligned}
 \sigma_{ch,j}^2 &= 8R(E_b/N_0)_j, \\
 (E_b/N_0)_j &= \begin{cases} 0, & \text{if the } j\text{-th VN is punctured,} \\ E_b/N_0, & \text{otherwise.} \end{cases}
 \end{aligned}$$

Set  $T_{max} \gg 1$  and  $\varepsilon_{ch,j} = F_j/F$ , where  $F$  is the size of the CPM and  $F_j$  is the number of erasure bits in the  $j$ th CPM.

- 2) **VN to CN update.** For  $j \in [0, N-1]$  and  $i \in [0, M-1]$ , update  $I_{EV}^{(k)}(i, j)$  in (19).
- 3) **CN to VN update.** For  $j \in [0, N-1]$  and  $i \in [0, M-1]$ , update  $I_{EC}^{(k)}(i, j)$  in (21).
- 4) **Cumulative MI evaluation.** For  $j \in [0, N-1]$ , update  $I_{CMI}^{(k)}(j)$  in (20).
- 5) **Stopping criterion.** If  $k > T_{max}$  or  $I_{CMI}^{(k)}(j) \leq 1 - \xi_2$  for all  $j$ , then stop; otherwise,  $k = k + 1$  and go to step 2).

This algorithm converges only when  $E_b/N_0$  is above the threshold which is the minimum value of  $E_b/N_0$  for all  $I_{CMI}^{(k)}(j) \leq 1 - \xi_2$ . Define the  $E_b/N_0$  threshold computed with P-EXIT by

$$K_{P-EXIT} = \inf \left\{ \frac{E_b}{N_0} \in \mathbb{R} \mid I_{CMI}^{(k)}(j) \leq 1 - \xi_2, \text{ for } k \rightarrow \infty, \right. \\
 \left. 0 < \xi_2 \ll 1 \text{ and } j \in [0, N-1] \right\}. \quad (26)$$

For the REC-G model,  $\varepsilon_{ch,j}$  is equal to the random erasure probability. For the GEC-G model, we can obtain  $F_j$  by

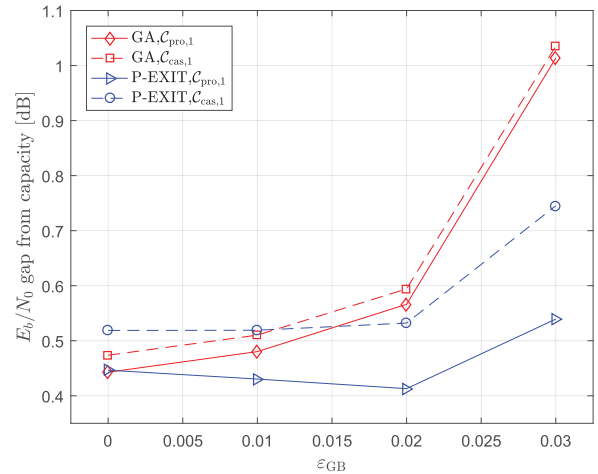


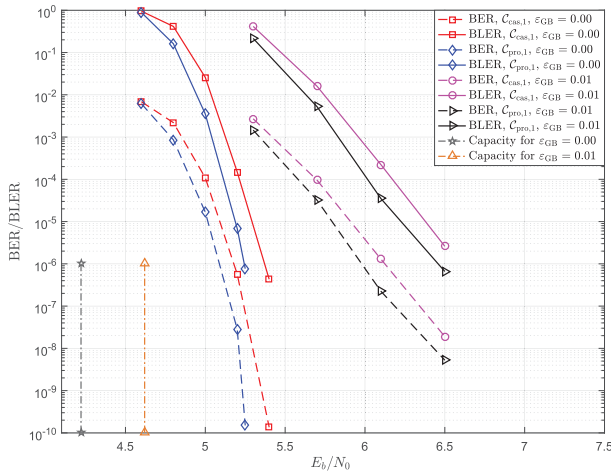
FIGURE 5. The gaps between the GA/P-EXIT thresholds and the capacity of the cascaded type of GC-QC-LDPC codes. ( $R_d = 20/21$ ,  $N = 15876$  bits,  $\varepsilon_G = 0.0$ ,  $\varepsilon_B = 0.5$ ,  $\Delta_B = 10$ , and  $\varepsilon_{GB} = 0.00, 0.01, 0.02, 0.03$ ).

two methods. For the first method,  $\varepsilon_{ch,j}$  is approximated by the average erasure probability over the GEC-G. Thus,  $F_j = \varepsilon_{ch}F$  for all  $j$ . For the second method,  $F_j$  can be obtained via Monte Carlo simulations. Based on the Gilbert-Elliott erasures model, we generate many sequences of binary noise digits with the length  $FN$ . The value of  $F_j$  is approximated by the average number of the erasure bits in the  $j$ th  $F$  elements of these sequences.

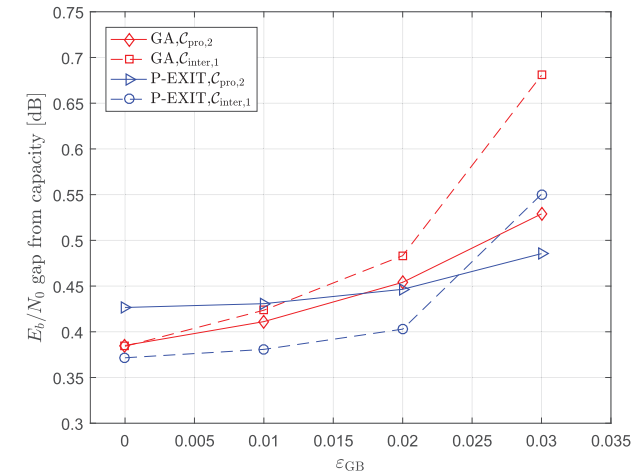
### C. SIMULATION PERFORMANCE EVALUATION

In this subsection, we use several examples to illustrate the proposed methods for designing two types (i.e., cascaded and interleaved) of proposed GC-QC-LDPC codes. We will show that the proposed GC-QC-LDPC codes perform well for both the AWGN channel and the GEC-G.

*Example 1:* Consider a cascaded type of GC-QC-LDPC code which has a code rate of 20/21, code length of 15876 bits and the CPM size of 126. As shown in Fig. 5, we evaluate GA and P-EXIT thresholds between a well-designed cascaded type of GC-QC-LDPC code  $C_{cas,1}$  for the AWGN channel in [22] and a proposed type-1 protograph-based (cascaded type) GC-QC-LDPC code  $C_{pro,1}$  which is designed for the GEC-G by the mentioned above method. It is assumed that the erasure probabilities on the GEC-G in the “G” state and “B” state are  $\varepsilon_G = 0.0$  and  $\varepsilon_B = 0.5$ , respectively, if not specified. The metric  $W_{GA}$  and  $W_{P-EXIT}$  in (24) are evaluated by the GA and P-EXIT at every erasure probability  $\varepsilon_{GB}$  between 0 and 0.03 with a 0.01 step and  $\Delta_B = 10$ , respectively. The size of base matrices and CPMs for  $C_{cas,1}$  and  $C_{pro,1}$  are  $6 \times 126$  and  $126 \times 126$ , respectively. The sets of parameters  $(m, n, t, s)$  for  $C_{cas,1}$  and  $C_{pro,1}$  are (1, 42, 3, 3) and (2, 63, 2, 2), respectively. The GA/P-EXIT thresholds of  $C_{cas,1}$  and  $C_{pro,1}$  are shown in Table 1. We see that the designed QC-LDPC code  $C_{pro,1}$  shows better thresholds in both GA and P-EXIT. For  $C_{cas,1}$ , the gaps between the P-EXIT thresholds and the capacity are less than the gaps between the GA thresholds and the capacity ( $\varepsilon_{GB} > 0.02$ ).



**FIGURE 6.** The BER/BLER performances of the cascaded type of GC-QC-LDPC codes over the GEC-G and BPSK signaling (MSA decoder, maximum iteration number= 50, rate= 20/21, code length= 15876 bits,  $\epsilon_G = 0.0$ ,  $\epsilon_B = 0.5$ ,  $\Delta_B = 10$ , and  $\epsilon_{GB} = 0.00, 0.01$ ).



**FIGURE 7.** The gaps between the GA/P-EXIT thresholds and the capacity of the interleaved type of GC-QC-LDPC codes. ( $R_d = 0.9$  and  $0.8958$ ,  $N = 15240$  and  $14976$  bits,  $\epsilon_G = 0.0$ ,  $\epsilon_B = 0.5$ ,  $\Delta_B = 10$ , and  $\epsilon_{GB} = 0.00, 0.01, 0.02, 0.03$ ).

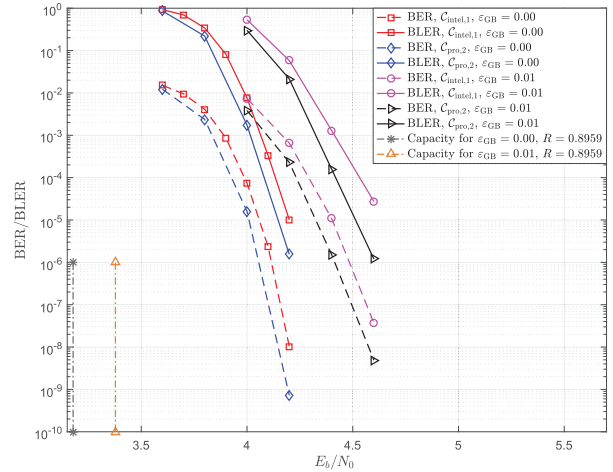
**TABLE 1.** The GA/P-EXIT Thresholds for the Cascaded Type of GC-QC-LDPC Codes over the GEC-G ( $\epsilon_G = 0.0$ ,  $\epsilon_B = 0.5$ ,  $\Delta_B = 10$ ,  $\xi_1 = 10^{-4}$ , and  $\xi_2 = 10^{-3}$ ).

Code	Method	$\epsilon_{GB}$			
		0.00	0.01	0.02	0.03
$C_{cas,1}$	GA	4.6856	5.1221	5.7061	6.7474
$C_{pro,1}$		4.6548	5.0922	5.6781	6.7255
$C_{cas,1}$	P-EXIT	4.7306	5.1310	5.6440	6.4572
$C_{pro,1}$		4.6584	5.0423	5.5249	6.2513

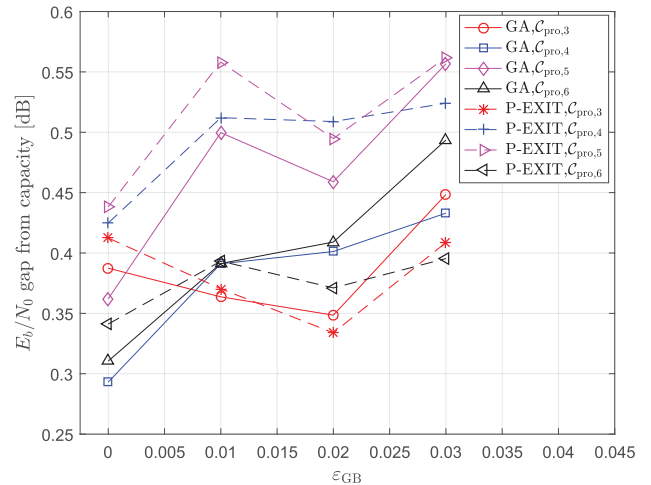
Fig. 6 depicts the BER and BLER performance for  $C_{cas,1}$  and  $C_{pro,1}$  over the GEC-G with BPSK signaling. It is assumed that all the simulations are performed using the min-sum algorithm (MSA) [43] with the maximum iteration number 50, if not specified. The proposed GC-QC-LDPC code performs better than the existing well-designed GC-QC-LDPC code over the AWGN channel and GEC-G.

**TABLE 2.** The GA/P-EXIT Thresholds for the interleaved Type of GC-QC-LDPC Codes over the GEC-G ( $\epsilon_G = 0.0$ ,  $\epsilon_B = 0.5$ ,  $\Delta_B = 10$ ,  $\xi_1 = 10^{-4}$ , and  $\xi_2 = 10^{-3}$ ).

Code	Method	$\epsilon_{GB}$			
		0.00	0.01	0.02	0.03
$C_{inter,1}$	GA	3.6417	3.8812	4.1408	4.4382
$C_{pro,2}$		3.5628	3.7888	4.0318	4.3066
$C_{inter,1}$	P-EXIT	3.6292	3.8384	4.0605	4.3080
$C_{pro,2}$		3.6043	3.8085	4.0242	4.2632

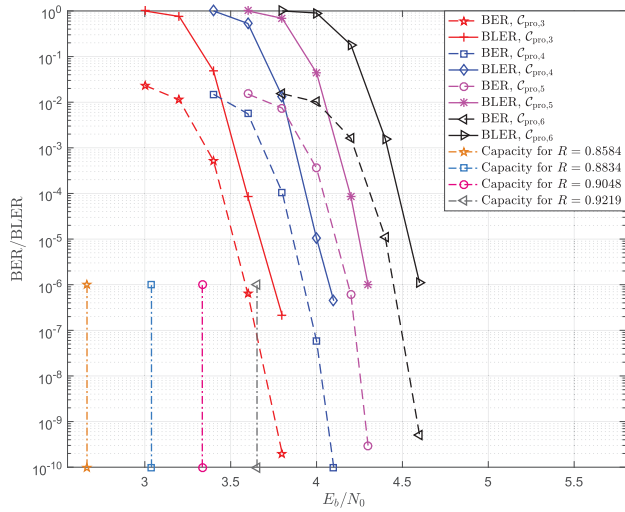


**FIGURE 8.** The BER/BLER performances of the interleaved type of GC-QC-LDPC codes over the GEC-G with BPSK signaling (MSA decoder, maximum iteration number= 50, rate= 0.9001 and 0.8959, code length= 15240 and 14976 bits,  $\epsilon_G = 0.0$ ,  $\epsilon_B = 0.5$ ,  $\Delta_B = 10$ , and  $\epsilon_{GB} = 0.00, 0.01$ ).

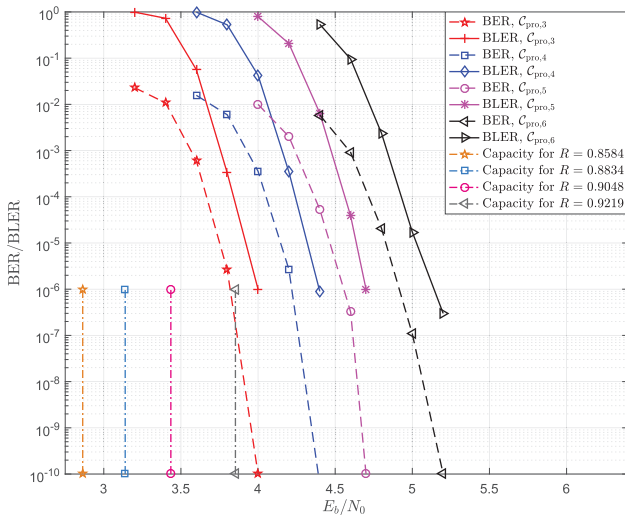


**FIGURE 9.** The gaps between the GA/P-EXIT thresholds and the capacity of  $C_{pro,\{3,4,5,6\}}$ . ( $\epsilon_G = 0.0$ ,  $\epsilon_B = 0.5$ ,  $\Delta_B = 10$ , and  $\epsilon_{GB} = 0.00, 0.01, 0.02, 0.03$ ).

*Example 2:* We now consider the interleaved type of GC-QC-LDPC codes. After optimizing the GC-QC-LDPC code which has a code rate of 9/10 and code length of 15240 bits, we get the same set of parameters of the well-designed interleaved type of GC-QC-LDPC codes  $C_{inter,1}$  in [22]. Thus, we select another type-2 protograph-based (interleaved type) GC-QC-LDPC code  $C_{pro,2}$  which has



(a)  $\epsilon_{GB} = 0.00$



(b)  $\epsilon_{GB} = 0.01$  and  $\Delta_B = 10$

FIGURE 10. The BER/BLER performances for  $C_{pro,\{3,4,5,6\}}$  over the GEC-G with BPSK signaling.

coding rate of around 9/10 (i.e., 0.8959) and coding length of nearly 15240 (i.e., 14976) bits for further comparison. As shown in Fig. 7, we evaluate the GA and P-EXIT thresholds between  $C_{inter,1}$  and  $C_{pro,2}$ . The metric  $W_{P-EXIT}$  and  $W_{GA}$  in (24) are evaluated by the GA and P-EXIT at every erasure probability  $\epsilon_{GB}$  between 0 and 0.03 with a 0.01 step and  $\Delta_B = 10$ , respectively. The size of the base matrices for  $C_{inter,1}$  and  $C_{pro,2}$  are  $12 \times 120$  and  $10 \times 96$ , respectively. The size of CPMs for  $C_{inter,1}$  and  $C_{pro,2}$  are  $127 \times 127$  and  $156 \times 156$ , respectively. The sets of parameters  $(l, f, t, s)$  for  $C_{inter,1}$  and  $C_{pro,2}$  are  $(6, 5, 2, 2)$  and  $(6, 4, 2, 2)$ , respectively. Note that the design rates of  $C_{inter,1}$  and  $C_{pro,2}$  are 0.9 and 0.8958 respectively, which are slightly lower than the actual code rate (0.9001 and 0.8959). The GA/P-EXIT thresholds of  $C_{inter,1}$  and  $C_{pro,2}$  are shown in Table 2. Since the code rate of  $C_{pro,2}$  is slightly lower than that of  $C_{inter,1}$ ,  $C_{pro,2}$  shows better thresholds in both GA and P-EXIT.

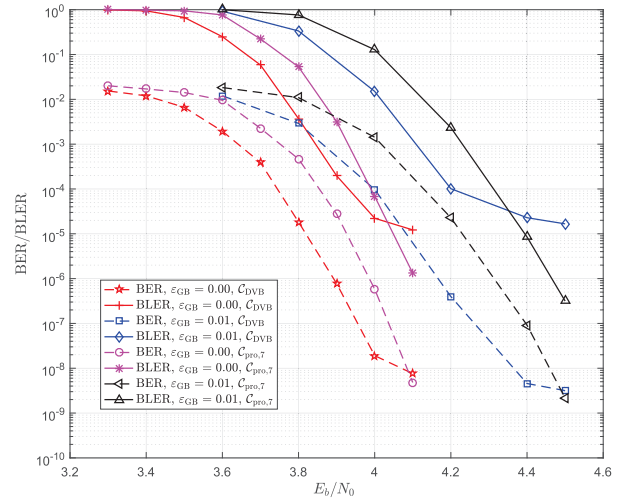


FIGURE 11. The BER/BLER performances of  $C_{pro,7}$  and  $C_{DVB}$  over the GEC-G with BPSK signaling (MSA decoder, maximum iteration number = 50, rate = 0.88895 and 0.88889, code length = 16272 and 16200 bits,  $\epsilon_G = 0.0$ ,  $\epsilon_B = 0.5$ ,  $\Delta_B = 10$ , and  $\epsilon_{GB} = 0.00, 0.01$ ).

TABLE 3. The Parameters for  $C_{pro,\{3,4,5,6\}}$ .

Code	$(l, f, t, s)$	Field	CPM	Base Matrix	Code Length	Info. Length	Design Rate
$C_{pro,3}$	(4,5,3,2)	157	156	$17 \times 120$	18720	16069	0.8583
$C_{pro,4}$	(5,4,3,2)	151	150	$14 \times 120$	18000	15901	0.8833
$C_{pro,5}$	(7,3,2,2)	211	210	$8 \times 84$	17640	15961	0.9048
$C_{pro,6}$	(8,4,2,2)	137	136	$10 \times 128$	17408	16049	0.9219

Fig. 8 depicts the BER and BLER performances for  $C_{inter,1}$  and  $C_{pro,2}$  over the GEC-G with BPSK signaling. The proposed GC-QC-LDPC code performs well over the AWGN channel and GEC-G. At a BER of  $10^{-7}$ ,  $C_{inter,1}$  and  $C_{pro,2}$  perform 1.0 dB away from their corresponding capacity limits over the GEC-G.

Example 3: The proposed methods can also be used to construct GC-QC-LDPC codes with different rates. Here, we form four type-2 protograph-based (interleaved type) GC-QC-LDPC codes  $C_{pro,\{3,4,5,6\}}$  which have code rates of around 6/7, 8/9, 10/11, 12/13, and information lengths of nearly 16000 bits (see details in Table 3). We provide the GA and P-EXIT thresholds evaluations for  $C_{pro,\{3,4,5,6\}}$ , as illustrated in Fig. 9. More specifically, for  $C_{pro,3}$ , we find the thresholds from GA and P-EXIT are less than 0.45 dB away from the capacity over the GEC-G for  $0.0 \leq \epsilon_{GB} \leq 0.03$ . Fig. 10 depicts the BER and BLER performances for  $C_{pro,\{3,4,5,6\}}$  over the GEC-G with BPSK signaling. We see that the proposed GC-QC-LDPC codes have no visible error-floor all the way down to the BER of  $10^{-7}$  and perform with 1.2 dB away from their corresponding capacity limits over GECs-G.

Example 4: In order to compare the error performance of the proposed GC-QC-LDPC code, the well-designed LDPC code considered in the 2nd generation (2G) digital video broadcasting satellite (DVB-S2) standard [45] is adopted in this example, denoted by  $C_{DVB}$ . The code rate and the



code length of  $C_{DVB}$  are 8/9 and 16200 bits, respectively. Here, we form a type-2 protograph-based GC-QC-LDPC code  $C_{pro,7}$  which has a code rate of 0.88895, code length of 16272 bits and the CPM size of 226. The base matrix of  $C_{pro,7}$  is an  $8 \times 72$  matrix over GF(227). The set of parameter  $(l, f, t, s)$  for  $C_{pro,7}$  is (6, 3, 2, 2). Fig. 11 gives BER/BLER performances of  $C_{pro,7}$  and  $C_{DVB}$  over the GEC-G with BPSK signaling. We see that the advantage of the proposed GC-QC-LDPC code is illustrated at the error-floor region.

**VI. CONCLUSION**

In this paper, we presented a new edge spreading operation to form the protograph-based GC-LDPC code, called the *global edge spreading*. We then proposed two types of protograph-based GC-LDPC codes. The first one was obtained by applying the *global edge spreading* operation to link the sequence of disjoint block LDPC codes together. The second one was formed by exploiting the *global edge spreading* and interleaved operations (inter-column permutation for the protomatrices) to some irregular (block) protograph-based LDPC codes. Moreover, we generalized the GA and P-EXIT analysis to the BuEC-G and gave the discussion on the stability condition. Based on the GA and the P-EXIT analysis, we presented two approaches to design the GC-QC-LDPC codes with given range of code rates and code lengths. Finally, we compared the decoding performances of proposed GC-LDPC codes with the existing well-designed GC-LDPC codes over the GEC-G. Numerical results have shown that the proposed GC-LDPC codes have better thresholds and performances than the existing well-designed GC-LDPC codes with similar parameters (code rates and code lengths), and the codes constructed by the proposed methods are effective against the erasures clustered in bursts with different rates. It should be noted that in some scenarios the decoder is difficult to accurately detect the duration and the occurrence locations of burst-noise, and we can also designate the burst-noise as the Gaussian noise with large variance (such as deep fading for wireless systems) or some specific impulsive noise (such as non-Gaussian pulses). These two cases were not discussed in this paper. Thus, future work includes applying the GA and the P-EXIT tools to design optimal protograph-based GC-LDPC codes with near-capacity thresholds over different types of channels.

**ACKNOWLEDGMENT**

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**APPENDIX**

**SKETCH OF THE PROOF OF THEOREM 1**

A sketch of the proof proceeds as follows. We here write  $X_i, Y_i, \tilde{Y}_i$  and  $Z_i$  for the r.v. corresponding to the realizations of the symbols  $x_i, y_i, \tilde{y}_i$ , and  $z_i$ , respectively, where  $i \in \{0, 1, \dots, N - 1\}$ . Let  $X^N, Y^N, \tilde{Y}^N$ , and  $Z^N$  denote the

$N$ -fold sequences of  $X_i, Y_i, \tilde{Y}_i$ , and  $Z_i$ , respectively, where  $X^N = [X_0, X_1, \dots, X_{N-1}]$ ,  $Y^N = [Y_0, Y_1, \dots, Y_{N-1}]$ ,  $\tilde{Y}^N = [\tilde{Y}_0, \tilde{Y}_1, \dots, \tilde{Y}_{N-1}]$ , and  $Z^N = [Z_0, Z_1, \dots, Z_{N-1}]$ . First we will show that  $\frac{1}{N}I(X^N, Y^N, Z^N) \leq (1 - \epsilon_{ch})C_{AWGN}$ . Since  $X^N$  and  $Z^N$  are independent, we have

$$\begin{aligned} I(X^N, Y^N, Z^N) &= H(Y^N, Z^N) - H(Y^N, Z^N|X^N) \\ &= H(Y^N|Z^N) - H(Y^N|X^N, Z^N). \end{aligned} \quad (27)$$

For the first term in (27), we have

$$\begin{aligned} H(Y^N|Z^N) &\leq \sum_{i=0}^{N-1} H(Y_i|Z^N) \\ &\stackrel{(a)}{=} \sum_{i=0}^{N-1} H(Y_i|Z_i) \\ &= \sum_{i=0}^{N-1} \sum_{j=0}^1 \Pr(Z_i = j) \cdot H(Y_i|Z_i = j) \\ &\stackrel{(b)}{=} \sum_{i=0}^{N-1} \Pr(Z_i = 0) \cdot H(\tilde{Y}_i), \end{aligned} \quad (28)$$

where equality (a) follows from the fact that  $Y_i$  is only related to  $Z_i$  in  $Z^N$ ; equality (b) uses

$$H(Y_i|Z_i) = \begin{cases} 0, & Z_i = 1, \\ H(\tilde{Y}_i), & Z_i = 0. \end{cases}$$

The second term in (27) can be expanded as follows:

$$\begin{aligned} H(Y^N|X^N, Z^N) &= \sum_{i=0}^{N-1} H(Y_i|X^N, Z^N, Y^{i-1}), \\ &= \sum_{i=0}^{N-1} H(Y_i|X^N, Z_i, Y^{i-1}). \end{aligned} \quad (29)$$

Notice  $\Pr(Z_i, Y_{i-1} = \tilde{Y}_{i-1}) = \Pr(Z_i, Z_{i-1} = 0)$  and  $\Pr(Z_i, Y_{i-1} \neq \tilde{Y}_{i-1}) = \Pr(Z_i, Z_{i-1} = 1)$ . We further manipulate (29) as

$$\begin{aligned} H(Y^N|X^N, Z^N) &= \sum_{i=0}^{N-1} H(Y_i|X^N, Z_i, Y^{i-1}) \\ &= \sum_{i=0}^{N-1} \sum_{j=0}^1 \sum_{k=0}^1 \Pr(Z_i = k, Z^{i-1} = j) \\ &\quad \times H(Y_i|X^N, Z_i = k, Z^{i-1} = j) \\ &\stackrel{(c)}{=} \sum_{i=0}^{N-1} \Pr(Z_i = 0) \cdot H(\tilde{Y}_i|X_i), \end{aligned} \quad (30)$$

where equality (c) uses

$$H(Y_i|X^N, Z_i) = \begin{cases} 0, & Z_i = 1, \\ H(\tilde{Y}_i|X_i), & Z_i = 0. \end{cases}$$



Combine equations (27), (28), and (30) to get

$$\begin{aligned}
 \frac{1}{N} I(X^N, Y^N, Z^N) &\leq \frac{1}{N} \sum_{i=0}^{N-1} \Pr(Z_i = 0) \cdot I(X_i; \tilde{Y}_i) \\
 &\leq \frac{1}{N} \max_{p(x^N)} \sum_{i=0}^{N-1} \Pr(Z_i = 0) \cdot I(X_i; \tilde{Y}_i) \\
 &= \frac{1}{N} \sum_{i=0}^{N-1} \Pr(Z_i = 0) \cdot \left[ \max_{p(x^n)} I(X_i; \tilde{Y}_i) \right] \\
 &= \frac{1}{N} \sum_{i=0}^{N-1} \Pr(Z_i = 0) \cdot C_{AWGN} \\
 &\stackrel{(d)}{=} (1 - \varepsilon_{ch}) \cdot C_{AWGN}, \tag{31}
 \end{aligned}$$

where equality (d) follows from (2).

So, we only need to prove that there exists a distribution for which  $\frac{1}{N} I(X^N, Y^N, Z^N)$  meets (31) with equality. Since it is similar to the proof of the capacity of a memoryless channel with burst erasure mentioned in [5], we omit it here for the sake of simplicity.

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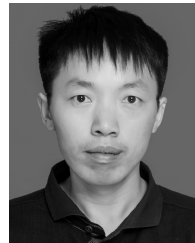
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