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# A Randomly Guided Firefly Algorithm Based on Elitist Strategy and Its Applications

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**ABSTRACT** Firefly algorithm (FA) is one of the swarm intelligence algorithms, which is proposed by Yang in 2008. The standard FA has some disadvantages, such as high computational time complexity, slow convergence speed and so on. The main reason is that FA employs a full attracted model, which makes the oscillation of each firefly during its movement. To overcome these disadvantages, based on elitist strategy, a randomly guided firefly algorithm (ERaFA) is proposed. In this algorithm, for improving the convergence speed, an elitist attraction model is developed based on random selection from elite fireflies, which can lead the firefly to a right direction. To deal with the possible failure of the elite guidance, opposite learning strategy is adopted. Meanwhile, to strengthen the local search ability of our algorithm, and help our algorithm jump out a local optimum position, a new mechanism is proposed, which is similar to the crossover operator in GA. The performance of ERaFA is evaluated by some well-known test functions and applied to solve three constrained engineering problems. The results show that ERaFA is superior to FA and some other state-ofthe-art algorithms in terms of the convergence speed and robustness.

**INDEX TERMS** Firefly algorithm, swarm intelligence, continuous optimization, elitist strategy, opposite learning.

### **I. INTRODUCTION**

Since optimization problems often arise in engineering design, management science, economics and other fields, it is of great practical significance to present methods to solve these optimization problems. The method of solving optimization problems can be divided into deterministic methods and stochastic algorithms in general. The convergence of deterministic methods can usually be obtained, but these methods require the continuity, derivative and other information of the function, and can not get the global optimal solution in finite time. In contrast, stochastic algorithms do not require that functions are differentiable or continuous, so they can be used to solve a wide range of optimization problems. Swarm intelligence algorithms are a kind of stochastic algorithms, which can use the information sharing among groups to complete complex tasks, and attract the attention of researchers. Thus, more and more researchers pay attention to swarm intelligence algorithms, and presented many effective swarm intelligence algorithms, e.g. Particle Swarm Optimization(PSO) [1]–[3], Artificial Bee Colony (ABC) [4]–[6], Ant Colony Optimization(ACO) [7], [8], Differential Evolution (DE) [9], Harmony Search(HS) [10], Cuckoo Search(CS)[11], Genetic Algorithm (GA) [12], [13], Firefly Algorithm (FA) [14]–[16].

FA algorithm was first proposed by Yang in 2008 [14], which simulates the moving behavior of fireflies. Since FA was put forward, researchers have developed many variants of FA, and have applied them to solve many problems successfully appeared in many fields, including structure design [17], stock forecasting [18], and production scheduling [19], water resource [20] and cancer diagnosis [21], and so on. These variants of FA can be divided into the following categories.

Improvement based on modified strategy

In FA, parameters play a very important role, and how to adjust them is difficult. Two main mechanisms and five different strategies were proposed to adjust the control parameters in FA [22]. In order to improve the adaptability and overcome the shortcomings of FA, an adaptive firefly algorithm (AFA) was proposed in [23]. In this method, three strategies were presented. For solving continuous optimization problems, a modified MSA-FFA is developed based on the memetic

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self-adaptive firefly algorithm (MSA-FFA) [24]. By selecting control parameters, the purpose of self-adaptation is achieved in this method. By studying the control parameters of FA, a modified FA called FA with adaptive control parameters (ApFA) was presented [25]. To jump out of the local optima and weaken the effects of the maximum iterations, a selfadaptive step firefly algorithm(SASFA) was developed [26]. The core idea of this method is to vary the step size with the number of iterations based on the information of individual and the current population. To improve the performance of FA, by dynamically adjusting the control parameters and employing an alternative search strategy, an adaptive FA with alternative search (AFAas) was proposed [27]. To stabilize the moving behavior of fireflies and increase convergence speed of FA, a new FA was proposed [28]. In this method, if there is no better fireflies in the vicinity of each firefly, a directed behavior that moves to the optimal solution of the current population is proposed. In addition, to increase convergence speed, it advise that all fireflies should move to global best in each iteration by using Gaussian distribution [28]. By using the Levy flights move strategy, a new metaheuristic FA (LFA) was developed [29]. To escape from local minima, a modified FA was presented by combining FA and chaotic map, and applied to solve reliability-redundancy optimization [30]. To increase the global searching ability of FA, 12 different chaotic maps were introduced into FA (CFAs) [31]. Based on neighborhood search and dynamic parameter adjustment mechanism, a randomly attracted FA was proposed in 2017 [32]. By using Tidal Force formula, a modified firefly algorithm was proposed, which brought a new strategy into the optimization field [33].

■ Improvement based on hybrid strategy

By combing the advantages of FA and DE, a hybrid population-based algorithm, called hybrid firefly algorithm (HFA), was proposed in [34]. In this algorithm, to promote information sharing among the population, FA and DE are executed in parallel. For solving constrained numerical and engineering problems, a hybrid firefly algorithm was presented based on Rosenbrocks local search and Good-point-set method [35]. To strengthen the exploration and exploitation abilities of FA, a new FA variant (HMFA) was proposed. In this method, hybrid mutation strategies are employed [36]. Based on the combination of harmony search (HS) and firefly algorithm (FA), a hybrid approach, called HS/FA, was proposed [37]. This method utilized HS and FA to explore and exploit, respectively. Through combining FA with DE, a hybrid optimization method, named HEFA, was proposed [38], which can improve the searching precision and strengthen information sharing among the fireflies.

Although the aforementioned FA variants have a better performance than the classical FA, there is still room for improvement. For example, the time complexity of FA is relatively high, the reason is that each firefly  $x_i$  needs to be compared with all the other fireflies, and move to a firefly where its brightness is higher. Moreover, this movement may cause oscillations in the iteration. In addition, in the basic FA,

it does not consider how to move  $x_i$  when  $x_i$  is better than the another firefly chosen to compare.

The aim of this paper is to propose an improved FA algorithm. The contributions of this paper are: (1) We assume that each firefly is guided by elitist firefly, which can reduce the time complexity, and improve the convergence rate. (2) To cope with the case that elitist firefly selected is worse than the firefly guided, the opposite learning strategy is adopted, which can help the corresponding firefly escape from a local position. (3) To enhance the local search ability of the proposed algorithm, a new mechanism, which is similar to the crossover operator in GA, is proposed.

The rest of the paper is organized as follows. FA algorithm is summarized in Section 2. In Section 3, the proposed algorithm ERaFA is developed. Benchmark problems and the corresponding experimental results are given in Section 4. Section 5 gives three practical problems. Finally, Section 6 concludes the paper.

### **II. BASIC FA ALGORITHM**

FA is one of swarm intelligence algorithms. In FA, each firefly represents a point in the solution space. In initialization phase, the position of each firefly is randomly generated. After that, each firefly is compared with the rest of the firefly by their fitness value, and moves toward a firefly with relatively good fitness value, which is the phenomenon of attraction in FA.

Assume that *D* is the dimension of the problem, *N* is the population size, and  $x_i$  is the *i*-th firefly in the population, where  $i = 1, 2, \dots, N$ . The attractiveness between two fireflies  $x_i$  and  $x_j$  is calculated as follows [14]:

$$
\beta_{ij} = \beta_0 e^{-\gamma r_{ij}^2},\tag{1}
$$

where  $\gamma$  is the light absorption coefficient,  $r_{ij}$  is the distance between  $x_i$  and  $x_j$ , which is computed by the following equation

$$
r_{ij} = ||\mathbf{x}_i - \mathbf{x}_j|| = \sqrt{\sum_{d=1}^{D} (x_{id} - x_{jd})^2},
$$

where  $x_{id}$  and  $x_{jd}$  are the *d*-th dimension of  $x_i$  and  $x_j$ , respectively.

In Eq. (1),  $\beta_0$  is the attractiveness at  $r = 0$ . Through comparing the fitness values of  $x_i$  and the other fireflies  $x_j$ , where  $j = 1, 2, \dots, N$  and  $j \neq i$ , the firefly  $x_i$  decides how to move. If  $x_j$  is brighter (better) than  $x_i$ , that is  $f(x_j) < f(x_i)$ , then  $x_i$  will be attracted and move toward  $x_j$  by the following formula:

$$
x_i^{t+1} = x_i^t + \beta_0 e^{-\gamma r_{ij}^2} (x_j^t - x_i^t) + \alpha \epsilon_i,
$$
 (2)

where  $\epsilon_i \in [-0.5, 0.5]$  is a random number that obeys uniformly distributed, and  $\alpha \in [0, 1]$  is a step factor.

The pseudo code description of the basic FA is given in algorithm 1, where *ItMax* is the maximum number of iterations.

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### **III. PROPOSED APPROACH**

Since there are so many attractions in the search process of FA, the phenomenon of oscillation occurs during the moving process, and the time complexity is high. To reduce attractions, a random attraction FA (RaFA) was proposed in [40]. In RaFA (see Fig. (b)), for each firefly  $x_i$ , a firefly  $x_i$ ( $j \neq i$ ) is selected randomly from the current population firstly. Then, by comparing the fitness values of  $x_i$  and  $x_j$ , the movement of  $x_i$  is determined. If  $x_j$  is brighter than  $x_i$ ,  $x_i$  will move toward  $x_j$ . Thus, the number of attractions for each firefly is not greater than 1. Although random attraction can effectively reduce the computational time complexity and accelerate the search, it may result in premature convergence. To overcome this problem, both the random and Cauchy mutation have been used in RaFA. Recently, to achieve a trade-off between full attraction and random attraction, a new FA variant called NaFA (see Fig 1. (c)) was developed [39], which employs a neighborhood attraction model inspired by the *k*-neighborhood concept [41].

In RaFA, since  $x_j$  is chosen randomly from the current population, it is not necessarily superior to  $x_i$ , that is,  $x_j$  may not be a good guide for the movement of *x<sup>i</sup>* . In NaFA, *k*neighbor concept was introduced, which can guide  $x_i$  better than RaFA, but it's not doubt that this method increased the time complexity. Moreover, in both two methods, the case is not considered that  $x_i$  how to move when  $x_i$  is brighter than



**FIGURE 1.** Different attraction models.

*xj* , that is they do not take full advantage of the information contained by *x<sup>i</sup>* .

In order to reduce the computational complexity, and well guide the movement of fireflies, an elitist attraction model (see Fig. 1(d)) is developed based on randomly selecting a firefly from elite fireflies, which lead each firefly to a better direction with greater probability. Meanwhile, if the firefly  $x_j$  selected randomly from elite fireflies is worse than  $x_i$ , the opposite learning strategy is adopted to make better use of the information *x<sup>i</sup>* .

# A. RANDOMLY GUIDED FA BASED ON ELITIST STRATEGY(ERAFA)

In ERaFA, we first give a proportional value  $\rho$ , which is used to determine the number of elite fireflies. Assume that *N* is the population size, then a firefly  $x_j$  is selected randomly from  $[\rho * N]$  elite fireflies, and compared with  $x_i$ , where [·] is a integral function. If  $x_j$  is brighter than  $x_i$ , then  $x_i$  moves to  $x_j$ , else  $x_i$  uses opposite learning strategy to move to a new position. The equation of motion is as follows:

$$
\mathbf{x}_{i}^{t+1} = \begin{cases} \mathbf{x}_{i}^{t} + \beta_{0} e^{-\gamma r_{ij}^{2}}(\mathbf{x}_{j}(t) - \mathbf{x}_{i}(t)) + \alpha \epsilon_{i}, & \text{if } f(\mathbf{x}_{j}) < f(\mathbf{x}_{i}), \\ l + \mathbf{u} - \mathbf{x}_{i}^{t}, & \text{else,} \end{cases}
$$
(3)

where *l* and *u* are the lower bound and upper bound of the search region, respectively.

# B. ENHANCED LOCAL SEARCH ABILITY

To enhance the local search ability of our algorithm near the current optimal solution  $x^*$ , a new mechanism is proposed, which is similar to the crossover operator in GA, and is used to generate new positions. This process is accomplished by crossing *x* <sup>∗</sup> with another feasible solution. In the early stage, *x* <sup>∗</sup> may have a long distance to the real optimal solution, while in the later stage, the distance between of  $x^*$  and the

real optimal solution is getting closer and closer. Therefore, we hope that, the weight of  $x^*$  is smaller in the early stage, and become greater in the later stage. The process can ensure that our algorithm searches for a wide range in the early stage, and later concentrates on the neighborhood of *x* ∗ . In addition, considering chaotic search has a stronger searching ability, chaotic search is used to generate the feasible solution for cross operation. Next, we give the details.

Let  $\mathbf{x}^*$  be the best solution of the current iteration. Firstly, utilize the following equation (4) to generate chaotic variable σ*<sup>i</sup>* :

$$
\sigma_{i+1} = 4 * \sigma_i * (1 - \sigma_i), \quad 1 \le i \le k,
$$
 (4)

where *k* is the length of chaotic sequence,  $\sigma_0 \in (0, 1)$  is a random number. Then map  $\sigma_i$  to a chaotic vector  $\bar{x}_i$  in the interval [*l*, *u*]:

$$
\overline{x}_i = l + \sigma_i * (u - l), \quad i = 1, \cdots, k,
$$
 (5)

where *l* and *u* are the lower bound and upper bound of variable *x*, respectively. Finally, a new candidate solution  $\hat{x}_i$ is obtained by the following equation:

$$
\hat{x}_i = \lambda * x^* + (1 - \lambda) * \overline{x}_i, \quad i = 1, \cdots, k,
$$
 (6)

where  $\lambda$  is a shrinking factor, which is defined as follows:

$$
\lambda = \frac{t}{\text{ItMax}},\tag{7}
$$

where *ItMax* is the maximum number of iterations, *t* is the number of iterations.

Based on the above discussion, the pseudo code of ERaFA is provided as follows:

### **Algorithm 2** Pseudo-Code of ERaFA

01: Initialize the population size  $N$ , the maximum number of iterations *ItMax*.

02: while  $t \leq I$ tMax **do** 

03: Select  $[\rho * N]$  elite fireflies from current population. 04: for  $i = 1$  to  $N$  **do** 

05: Select a firefly  $x_i$  from  $[\rho * N]$  elite fireflies **do** 

06: Move  $x_i$  according to (3).

- 07: Compute the fitness value of  $x_i$ .
- 08: end

09: Rank the fireflies and find the current best.

10: By using (4)-(7), to search near  $x^*$ , and update  $x^*$  (if necessary).

 $11: t = t + 1$ 

# 12: end

### C. TIME COMPLEXITY

Let  $O(f)$  be the computational time complexity of the fitness evaluation function  $f(.)$ . For the standard FA, its time complexity is  $O(ItMax * N^2 * f)$ . For RaFA, its time complexity is  $O(ItMax * N * f)$ . For NaFA, its time complexity is *O*(*ItMax*∗*k*∗*N*∗*f* ), where *k* is the number of neighbor. For our method ERaFA, its time complexity is  $O(ltMax*(N+k)*f)$ , here *k* is the number of the local search near the current

optimal solution  $x^*$ . As can be seen, the time complexity of ERaFA and RaFA is not very different. On the whole, the time complexity of ERaFA is little higher than that of RaFA. Meanwhile, we can see that, the time complexity of ERaFA is much lower than that of FA and NaFA.

### **IV. EXPERIMENTAL RESULTS AND DISCUSSION**

In this section, we have done a total of four experiments. In Experiments 1, 2 and 3, 32 benchmark functions are selected to test the performance of ERaFA. The detailed information of the test functions are displayed in Table 1. For these functions,  $f_1 - f_8$  and  $f_{20} - f_{21}$  are unimodal functions,  $f_9$ is a discontinuous step function, *f*<sup>10</sup> is noise function, *f*11−*f*<sup>19</sup> are multimodal functions,  $f_{22} - f_{23}$  are mis-scaled functions, *f*<sub>24</sub> − *f*<sub>29</sub> are rotated functions, *f*<sub>30</sub> is a shifted function, and *f*<sup>31</sup> − *f*<sup>32</sup> are highly competitive problems, which are shifted and rotated functions. In Experiment 4, the proposed algorithm ERaFA is tested on some challenging benchmark functions selected from CEC 2015 [42]. This test suite includes different types of optimization problems, where  $f_1$  and  $f_2$  are unimodal functions,  $f_3 - f_5$  are simple multimodal functions,  $f_6 - f_8$  are hybrid functions, and  $f_9 - f_{15}$  are composite functions. The detailed information about this test suit is given in Table 5. Among these four numerical experiments, the first one is to determine the parameter  $\rho$ , which has a great impact on the algorithm. The second one is to compare the performance of ERaFA with some other FAs, including FA, RaFA and NaFA. The third one is to comprehensively compare the performance of ERaFA with several state-of-theart algorithms, including ApFA [25], CFA [31], NaFA [39], WSSFA [43], VSSFA [44], HPSOFF [45], FFPSO [46] and HFPSO [47]. The fourth one is to further test the performance of ERaFA. In this experiment, ERaFA is compared with some algorithms proposed recently, including ABC [48], SaDE [9], WWO [49], FWA-EI [50] and AEFA [51].

### A. EXPERIMENT 1: THE DETERMINATION OF PARAMETER ρ

In ERaFA, the parameter  $\rho$  is used to change the proportion of the optimal solution, which is closely related to the rate of convergence of the algorithm. Thus, it is one of the key steps to select the value of parameter  $\rho$  in ERaFA. To determine the value of parameter  $\rho$ , we select 8 functions from Table 1:  $f_2$ ,  $f_4$ ,  $f_6$ ,  $f_7$ ,  $f_8$ ,  $f_{14}$ ,  $f_{16}$  and  $f_{20}$ , and run ERaFA 30 times for each function with different values of  $\rho$ . In this experiment, the population size is 40, the maximum iterations(*ItMax*) is set to 2500, the initial  $\beta_0$ ,  $\gamma$  are set to 1, the dimensions of the test functions are set to 30. The statistical results including minimum, mean and standard deviation are given in Table 2.

From Table 2, we can see that the same results were obtained for  $f_2$ ,  $f_6$ ,  $f_8$ ,  $f_{16}$  with different  $\rho$ ; for  $f_4$ , when  $\rho =$ 0.5, the result is the worst; for  $f_7$ , the worst result was obtained at  $\rho = 0.1$ ; for  $f_{14}, f_{20}$ , the best results were calculated at  $\rho = 0.3$ . Considering the above calculation results,  $\rho = 0.3$ is the best choice. Therefore, in the following experiments,  $\rho$ is set to 0.3.

**TABLE 1.** Benchmark test functions.

Functions



 $\overline{\phantom{0}}$ 

Optimal value

 $\boldsymbol{0}$  $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$  $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$  $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$  $-78.332$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$  $^{\rm -1}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$  $\boldsymbol{0}$ 

 $\boldsymbol{0}$  $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

 $\boldsymbol{0}$ 

100

300

Range

 $[-100,100]$ 

**TABLE 2.** The comparison for different value of parameter ρ.

<b>Functions</b>		$\rho = 0.1$			$\rho = 0.2$			$\rho = 0.3$			$\rho = 0.4$			$\rho = 0.5$	
	Min	Mean	<b>SD</b>	Min	Mean	<b>SD</b>	Min	Mean	<b>SD</b>	Min	Mean	<b>SD</b>	Min	Mean	$_{\rm SD}$
$J_{2}$	$\bf{0}$		0	$\Omega$	Ω.	$\Omega$	$^{\circ}$		$\Omega$	$^{(1)}$	$^{(1)}$	$\Omega$		$^{0}$	$\Omega$
$f_4$	$\overline{0}$	$\bf{0}$	$\theta$	$\mathbf{0}$	$\theta$	$\theta$	$\mathbf 0$	$\mathbf{0}$	$\theta$	$\mathbf 0$	$\theta$	$\mathbf 0$	$\mathbf 0$	4.26e-015	9.54e-015
$f_{6}$	$\overline{0}$	$\mathbf{0}$	$\overline{0}$	$\mathbf{0}$	$\overline{0}$	$\overline{0}$	$\mathbf{0}$	$\overline{0}$	$\theta$	$\mathbf{0}$	$\overline{0}$	$\mathbf{0}$	$\mathbf{0}$	$\mathbf{0}$	$\overline{0}$
$f_7$	$\Omega$	4.43e-111	$1.08e-110$	$\theta$	$\overline{0}$	$\theta$	$\theta$	$\overline{0}$	$\theta$	$\Omega$	$\theta$	$\mathbf{0}$	$\theta$	$\Omega$	$\mathbf{0}$
$f_{\rm 8}$	$\theta$	$\Omega$	$\theta$	$\mathbf{0}$	$\bf{0}$	$\theta$	$\theta$	$\theta$	$\theta$	$\Omega$	$\Omega$	$\mathbf{0}$	$\theta$	$\Omega$	$\mathbf{0}$
$f_{14}$	5.51e-017	1.925e-007	4.30e-003	5.51e-017	9.99e-017	3.04e-017	5.51e-017	6.61e-017	2.48e-017	5.51e-017	7.71e-017	3.04e-017	5.51e-017	8.81e-017	3.04e-017
$f_{16}$	$\overline{0}$	$\mathbf{0}$	$\theta$	$\mathbf{0}$	$\overline{0}$	$\theta$	$\mathbf{0}$	$\bf{0}$	$\overline{0}$	$\mathbf 0$	$\mathbf{0}$	$\mathbf{0}$	$\mathbf{0}$	$\mathbf{0}$	$\mathbf{0}$
$f_{20}$	2.75e-007	8.74e-007	7.86e-007	2.20e-007	4.76e-006	8.74e-006	4.59e-009	1.74e-008	1.36e-008	4.23e-009	2.78e-006	2.92e-006	1.92e-007	8.22e-006	1.21e-005

# B. EXPERIMENT 2: COMPARISON OF ERAFA, FA, RAFA AND NAFA

In this subsection, to test the performance of ERaFA, it is compared with FA, RaFA, NaFA. The parameters are the same as that in the experiment 1. All algorithms run 30 times. The comparison results are given in Table 3, and the best solutions obtained by algorithms are marked in boldface. The *t* test values were used to determine whether the results of ERaFA are statistically different from the results of other algorithms, where significant level is set to 0.05. In this test, "+" indicates that the performance of ERaFA is statistically significantly better than of its competitor,  $"="$  means that the performance of the competitor is statistically comparable to that of ERaFA, ''−'' implies that the performance of the competitor is statistically significantly better than that of ERaFA.

From Table 3, we can see that, for functions*f*1−*f*8, *f*10−*f*12,  $f_{14} - f_{17}$ ,  $f_9 - f_{26}$  and  $f_{28} - f_{32}$ , the accuracy of the results obtained by ERaFA is better than that of the other algorithms. For function  $f_9$ , all the algorithms have the same accuracy, and can find the global optimal values. For function *f*13, both FA and ERaFA found the optimal results, which are better than that of RaFA and NaFA. For function  $f_{27}$ , ERaFA and NaFA have the best results with the same accuracy, which is superior to that of the other two algorithms. For function *f*18, the accuracy of the result obtained by ERaFA is lower than that of FA and NaFA. From these results, we can see that ERaFA is only defeated by other algorithms on function *f*18. Further analysis, we find that, ERaFA almost can the optimal values of all the unimodal functions, which means that it has a strong search ability on unimodal functions. For multimodal functions, ERaFA beat the other algorithms on almost all these functions, except for function *f*18. This implies that ERaFA is not easy to fall into local optimum. In addition, for mis-scaled, rotated, shifted and rotated functions  $f_{22}$  − *f*32, the results show that ERaFA has superior search ability, because ERaFA can obtain the results with better accuracy than that of the other algorithms, except for  $f_{27}$ . In summary, the accuracy of the results obtained by ERaFA are better than that of the other algorithms for over 90% of all test functions. The main reason is that ERaFA has a better balance between global and local search ability.

By *t* test results, we can see that the performance of ERaFA is superior or equal to the other algorithms on all the

functions, except for the functions  $f_9$ ,  $f_{18}$ , and  $f_{27}$ , which is consistent with the above analysis.

In order to compare the convergence speed of these algorithms, the convergence curves of all algorithms for functions *f*<sub>1</sub> − *f*<sub>30</sub> are given in Figure 2. From Figure 2, it can be seen that, for most test functions, the convergence rate of ERaFA is very fast. For function *f*17, although ERaFA and NaFA have the same solution accuracy, the convergence rate of ERaFA slightly better than that of NaFA.

In conclusion, the performance of ERaFA is better than FA, RaFA and NaFA, and ERaFA can obtain the best results for most functions.

# C. EXPERIMENT 3: COMPARISON OF ERAFA WITH OTHER FA VARIANTS

In this subsection, 14 functions are selected from Table 1 to further test the performance of ERaFA. We compared the performance of ERaFA with eight other recently proposed FA variants, which include CFA, WSSFA, VSSFA, RaFA ApFA, HPSOFF, FFPSO and HFPSO. The detailed information of these algorithms are presented as follows.

−CFA(FA with chaos), Gandomi et al.(2013)

−WSSFA(Wise step strategy FA), Yu et al.(2014)

−VSSFA(Variable step size FA), Yu et al.(2015)

−RaFA(FA with random attraction), Wang et al.(2016)

−ApFA(FA with adaptive control parameters), Wang et al.(2017)

−HPSOFF(Hybrid PSO and FA), Arunachalam et al.(2015)

−FFPSO(Hybrid FA and PSO), Kora et al.(2016)

−HFPSO(Hybrid FA and PSO), Aydilek (2018)

−ERaFA, Our approach.

In this experiment, for functions  $f_1 - f_4$ ,  $f_9 - f_{13}$  and *f*<sub>18</sub> − *f*<sub>20</sub>, ERaFA is compared with VSSFA, WSSFA, CFA, RaFA, ApFA. The population size is set to 20. The maximum value of the function value is the termination condition, which is set to 5*e*5 and is consistent with the comparison literature. For functions *f*<sup>31</sup> and *f*32, ERaFA is compared with FFPSO, HPSOFF and HFPSO, the population size is set to 30. The maximum value of the function value is the termination condition, which is set to 1.5*e*3 and is consistent with the comparison literature. The other parameters are the same as that in the experiment 1. The results are taken from [39] and

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# **TABLE 3.** Computational results of FA, RaFA, NaFA, and ERaFA.



#### **TABLE 3.** (Continued.) Computational results of FA, RaFA, NaFA, and ERaFA.



[46] directly, except for that of our algorithm ERaFA. The comparison results are shown in Table 4.

The results in Table 4 show that, the mean values obtained by VSSFA and WVSSFA are worse than those of the rest of algorithms for all the test functions. The results obtained by ERaFA are better than those of the other algorithms except for function *f*18. For function *f*18, as seen from Table 4, the result obtained by ApFA is the best, followed by CFA, and ERaFA in third palce. In addition, for functions *f*<sup>31</sup> and *f*32, the accuracy of the results obtained by ERaFA is better than that of the other algorithms. Based on the above analysis, it is clear that the overall performance of ERaFA is the best.

## D. EXPERIMENT 4: COMPARISON OF ERAFA WITH SOME STATE-OF-THE-ART ALGORITHMS

In this experiment, we compare ERaFA with the following state-of-art algorithm on CEC 2015 benchmark set:

- −Artificial bee colony (ABC), Karaboga et al.(2007)
- −The self-adaptive DE (SaDE), Qin et al.(2009)
- −The Water Wave Optimization (WWO), Zheng et al.(2015)
- − The FWA-EI, Zhang et al.(2017)
- −AEFA, Sajwan et al.(2019)
- −ERaFA, Our approach.

30-dimensional test functions were used and all results were obtained from 25 independent runs. In each run, the pop-

# **TABLE 4.** Computational results of ERaFA and five other FA variants.









**FIGURE 2.** *(Continued.)* Convergence curves of  $f_1$ - $f_{30}$ .

ulation size and the maximum number of function evaluations (MaxFES) are 20 and  $10000 \times 30$  respectively, which is consistent with the comparison literature. These results are taken from [50] directly, except for that of the proposed algorithm ERaFA. The comparison results are given in Table 4, including the best, worst and standard deviation. In Table 4, the best fitness and median value among the algorithms are marked in bold.

From Table 6, it can be seen that ERaFA, except for *F*<sup>7</sup> and *F*13, ERaFA outperformed or equally performed in comparison with all the other existing algorithms. The detailed comparison results are as follows:

(1) Unimodal functions  $F_1 - F_2$ . Compared with the five other algorithms, ERaFA can achieve the best performance on *F*1. Regarding *F*2, ERaFA, SaDE and FWA-EF have the same minimum and mean, which are superior to the results of the other algorithms. However, the deviation of SaDE is too large.

(2) Simple multimodal functions  $F_3 - F_5$ . ERaFA obtains better results than the other algorithms on  $F_3$  and  $F_4$  in terms of the minimum and mean. For *F*5, the results of ERaFA are slightly better than that of ABC, but much better than that of the rest algorithms.

(3) Hybrid functions  $F_6 - F_8$ . Except for function  $F_7$ , ERaFA performs better than the other approaches according to the minimum and mean. For *F*7, the results of ERaFA are slightly worse than that of SaDE and AEFA, but better than that of WWO and FWA-EF.

(4) Composition functions  $F_9 - F_{15}$ . Except for function *F*13, ERaFA has better or equal performance among these algorithms. For function  $F_{13}$ , the performance of ERaFA is slightly worse than SaDE, WWO, FWA-EF and AEFA, but better than ABC. For function *F*9, ERaFA is slightly better than ABC, but much better than the other algorithms. For function  $F_{12}$ , ERaFA, SaDE, WWO and AEFA have the same

# TABLE 5. IEEE CEC15 learning based benchmark test suite [42], with search range = [−100, 100] D and f<sub>min</sub> is minimum fitness value.



### **TABLE 6.** Comparative results of objective function values for CEC15 30D.



performance, which is better than that of ABC and FWA-EF. For  $F_{15}$ , the performance of ERaFA is the same as that of SaDE, WWO, FWA-EF and AEFA, but is much better than that of ABC.

In a word, the comparison results indicate that ERaFA is superior or comparable to the other algorithms for most of the problems.

# **V. APPLICATION OF ERAFA**

In this section, ERaFA is used to solve three practical problems: Three-bar truss design, I-beam design problem and Welded beam design problem. In order to further prove the performance of ERaFA, we compare the results obtained by ERaFA with other algorithms's. In this paper, Deb's rules is utilized to solve constraint conditions for practical problems. The detailed description of Deb's rules is given as follows [52]:

(1) Between a feasible solution and a infeasible solution, The feasible solution is preferred.

(2) The infeasible solution is regarded as a feasible solution, when the infeasible solution violates the constraints very rarely.

(3) For two feasible solutions, the solution with better objective function value is better.

(4) For two infeasible solutions, the solution violating constraints very little is better.

### A. THREE-BAR TRUSS DESIGN

Three-bar truss design(see Fig. 3) is a structual optimization problem. To minimize the weight subject to stress, deflection, and buckling constraints, the two parameters  $A_1(x_1)$  and  $A_2(x_2)$  should be optimized. Up to now, it has been studied by many scholars. Likewise, in order to solve this problem, Chen and Xu [53] proposed the balanced variant of WOA, that is BWOA. Gandomi *et al.* [11] applied CS to solve it. Zhang *et al.* [54] proposed an improved DE(DEDS). Sadollah *et al.* [55] utilized Mine blast algorithm(MBA) to solve it.

The optimization problem can be written as follows:

$$
\min f(x) = (2\sqrt{2}x_1 + x_2) \times l
$$

subject to

$$
g_1(x) = P(\sqrt{2}x_1 + x_2)/(\sqrt{2}x_1^2 + 2x_1x_2) - \sigma \le 0,
$$
  
\n
$$
g_2(x) = Px_2/(\sqrt{2}x_1^2 + 2x_1x_2) - \sigma \le 0,
$$
  
\n
$$
g_3(x) = P/(\sqrt{2}x_2 + x_1) - \sigma \le 0,
$$

where

$$
0 \le x_1 \le 1,
$$
  
\n
$$
0 \le x_2 \le 1,
$$
  
\n
$$
l = 100cm, \quad P = 2kN/cm^2, \quad \sigma = 2kN/cm^2.
$$

The results obtained by the above-mentioned algorithms and ERaFA are shown in Table 7. Observing the Table 7, the best result obtained by these algorithm is 263.8958433 when *x*<sup>1</sup> and *x*<sup>2</sup> are set as 0.788675594564431,



**FIGURE 3.** Three-bar truss design.

0.408246989474874, respectively, which is obtained by ERaFA. And the results obtained by other algorithms are all worse than ERaFA's.

### B. I-BEAM DESIGN PROBLEM

For I-beam design problem(see Fig. 4), its aim is to minimize the vertical deflection of an I-beam. Meanwhile, The crosssectional area and stress constraints should be satisfied. there are 4 variables: length(b), height(h), and two thick-nesses of this problem( $t_w$ ,  $t_f$ ). For convenience, we set [b, h,  $t_w$ ,  $t_f$ ] =  $[x_1, x_2, x_3, x_4]$ .

The optimization problem can be written as follows:

$$
\min f(x) = \frac{5000}{(x_3(x_2 - 2x_4)/12 + x_1x_4^3/6} + 2x_1x_4((x_2 - x_4)/2)^2)}
$$

subject to

$$
g_1(x) = 2x_1x_3 + x_3(x_2 - 2x_4 - 300 \le 0,
$$
  
\n
$$
g_2(x) = 18x_2 \times 10^4 / (x_3(x_2 - 2x_4)^3 + 2x_1x_3(4x_4^2 + 3x_2(x_2 - 2x_4))) + 15x_1 \times 10^3 / ((x_2 - 2x_4)x_3^3 + 2x_3x_1^3) - 56 \le 0,
$$

where

$$
10 \le x_1 \le 50,
$$
  
\n
$$
10 \le x_2 \le 80,
$$
  
\n
$$
0.9 \le x_3 \le 5,
$$
  
\n
$$
0.9 \le x_4 \le 5.
$$



**FIGURE 4.** I-beam design problem.

The results obtained by CS [11], MFO [56], WOA [57], BWOA [53] and ERaFA are shown in Table 8, analysing the statistical data shown in Table 8, the optimal value obtained

#### **TABLE 7.** Comparison the best solution obtained by different algorithms for three-bar truss design.



**TABLE 8.** Comparison the best solution obtained by different algorithms for I-beam design problem.

Jptimum variables	$\tilde{}$	MFO	WOA	<b>BWOA</b>	ERaFA
u		v	49.99799	50	50
$x_2$	80	80	80	80	80
$x_3$		.7647	.7647477	1.76470588	1.76470588
$\sim$ IJА	2.321675				
$\sim$	0.0130747	0.0066259	0.00662619	0.00625958	0.00625958

**TABLE 9.** Comparison the best solution obtained by different algorithms for welded beam design problem.



by ERaFA is 0.00625958, which is the same as that of BWOA, but is much better than others'.

### C. WELDED BEAM DESIGN PROBLEM

Welded beam design problem was firstly proposed by Coello [58], and it aims at minimizing manufacturing cost of the welded beam, which is constrained on shear stress( $\tau$ ), end deflection of the beam( $\delta$ ), buckling load on the bar ( $P_c$ ), and bending stress( $\sigma$ ). Moreover, there are four design parameters:  $h(x_1)$ ,  $l(x_2)$ ,  $t(x_3)$ ,  $b(x_4)$ .

The optimization problem can be written as follows:

$$
\min f(x) = 1.10471x_1^2 x_2 + 0.04811 x_3 x_4 (14.0 + x_2)
$$

subject to

 $g_1(x) = \tau(x) - \tau_{max} \leq 0$ ,  $g_2(x) = \sigma(x) - \sigma_{max} \leq 0$ ,  $g_3(x) = x_1 - x_4 \leq 0$ ,  $g_4(x) = 0.10471x_1^2 + 0.04811x_3x_4(14.0 + x_2) - 5.0 \le 0,$  $g_5(x) = 0.125 - x_1 \leq 0$ ,  $g_6(x) = \delta(x) - \delta_{max} \leq 0$ ,  $g_7(x) = P - P_c \le 0$ ,

where

$$
\tau(x) = \sqrt{(\tau')^2 + 2\tau' \tau'' \frac{x_2}{2R} + (\tau'')^2},
$$
  
\n
$$
\tau' = \frac{P}{\sqrt{2}x_1x_2},
$$
  
\n
$$
\tau'' = \frac{MR}{J},
$$
  
\n
$$
M = P(L + \frac{x_2}{2}),
$$
  
\n
$$
R = \sqrt{\frac{x_2^2}{4} + (\frac{x_1 + x_3}{2})^2},
$$

$$
J = 2[\sqrt{2}x_1x_2(\frac{x_2^2}{12} + (\frac{x_1 + x_3}{2})^2)],
$$
  
\n
$$
\sigma(x) = \frac{6PL}{x_4x_3^2},
$$
  
\n
$$
\delta(x) = \frac{4PL^3}{Ex_3^3x_4},
$$
  
\n
$$
P_c = \frac{4.013E\sqrt{\frac{x_3^2x_4^6}{36}}}{L^2}(1 - \frac{x_3}{2L}\sqrt{\frac{E}{4G}}),
$$
  
\n
$$
P = 6000lb, \quad L = 14in, \quad E = 30e6psi,
$$
  
\n
$$
G = 12e6psi, \quad \tau_{max} = 13600psi,
$$
  
\n
$$
\sigma_{max} = 3000psi, \quad \delta_{max} = 0.25in,
$$
  
\n
$$
0.1 \le x_1 \le 2.0, \quad 0.1 \le x_2 \le 10.0,
$$
  
\n
$$
0.1 \le x_3 \le 10.0, \quad 0.1 \le x_4 \le 2.0.
$$



**FIGURE 5.** Structure design of welded beam design problem.

For this problem, ERaFA is compared with BWOA [53], CPSO [59], RO [60] and HGA [61], and their results are shown in Table 9. Observing the statistical data in Table 9, we know that the best solution is 1.695247, and its corresponding four variables are 0.205729, 3.253120, 9.036623 and 0.205729. which are obtained by ERaFA.

### **VI. CONCLUSION**

In this paper, in order to enhance the optimization accuracy of FA, speed up the convergence, reduce computational time complexity and avoid oscillation in the iteration, an improved firefly algorithm (ERaFA) was presented. It mainly used an elitist strategy, an opposite leaning strategy, and a local search ability. Comparison with the standard FA and some other FA variants show that the performance of ERaFA is superior to the others on most benchmark test functions. Besides, ERaFA is applied to three practical problem: Three-bar truss design, I-beam design problem and Welded beam design problem. And the results show that the ERaFA is efficient.

With the time going by, multi-objective optimization problems become more popular. In the future, ERaFA can be used to deal with them. And from above simulation results, we can see that, for some functions, ERaFA can not find their optimal values. And ERaFA lacks knowledge of mathematical theory. Thus, there are many works that we will do.

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