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# **Resource Allocation for Performance Enhancement in Mobile Ad Hoc Networks**

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**ABSTRACT** The low-cost and easy-deployable mobile ad hoc networks (MANETs) have great potential to serve as the underlay network architecture for implementing lots of critical applications. In order to support different demands in such applications, understanding the fundamental performance of the MANETs and exploring their performance enhancement are of great importance. To this end, this paper, for the first time, investigates the performance enhancement of the MANETs by appropriately allocating the network resource. Specifically, we consider both the transmission resource and storage resource of a network node and use a two-tuple to depict a general resource allocation configuration. First, for a MANET with any given resource allocation configuration, we establish an analytical framework to model the network dynamics as queuing processes. With the help of the network modeling, we then derive the expressions of fundamental performance metrics, including per node throughput, expected an end-to-end delay, and throughput capacity. Based on these results, we further develop optimal resource allocation algorithms to determine the optimal setting of resource allocation, for enhancing network performance. Finally, we provide extensive simulation and numerical results to verify the efficiency of our theoretical modeling and illustrate the effects of resource allocation on network performance.

**INDEX TERMS** Mobile ad hoc networks, resource allocation, performance modeling, optimization.

## I. INTRODUCTION

The rapid evolution of communication and network technologies has spawned the emergence of mobile ad hoc networks (MANETs). MANETs, composed of mobile nodes communicating with each other via wireless links without infrastructure and centralized administration, represent a kind of self-autonomous network architecture [1]. Due to the advantages of low-cost and easy-deployable, MANETs have great potential to serve as the underlay network architecture for implementing a wide range of promising applications, such as environmental monitoring, emergency rescue, smart homes, e-health, and so on [2], [3]. In order to support different demands in such applications, understanding the

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fundamental performance of MANETs (i.e., throughput, delay, capacity, etc.) is of great importance [4], [5].

Since the pioneering work of Grossglauser and Tse [6], extensive research efforts have been devoted to the modeling and performance evaluation of MANETs under various network scenarios. Specifically, Grossglauser and Tse studied the scaling law of per node throughput (i.e., the asymptotic behavior of per node throughput as the number of network nodes tends to infinity), and verified that a  $\Theta(1)$  per node throughput can be achieved in MANET with the two-hop relay (2HR) routing scheme and independent and identically distributed (i.i.d) mobility model. Later, Neely and Modiano [7] derived the exact throughput capacity of MANETs and demonstrated that the lower bound of achievable delay-to-throughput ratio is O(n) (*n* is the number of network nodes). Following this line, El Gamal *et al.* [8] and Sharma *et al.* [9] then explored the throughput-delay tradeoff in MANETs under symmetric random walk mobility model and unified mobility model, respectively. Wang *et al.* further studied the throughput of MANETs with multicast traffic in [10], [11], and conducted performance comparison between the unicast and multicast MANETs in [12]. More recently, taking into account the fact that the resource (especially the storage resource) of a node in a MANET is limited, the modeling and performance evaluation for buffer-limited MANETs was conducted in [13]–[15].

While the above works represent significant progress in the performance study of MANETs, they only focused on the performance analysis under given network scenarios, but failed to address the problem that how to enhance the network performance under these scenarios. It is worth noting that the resource of a node in a general MANET is limited, thus the way of utilizing the resource will greatly affect the network performance. Allocating appropriately the resource in a MANET is very promising for its performance enhancement, which is beneficial to implementing a wide range of practical applications more efficiently. However, the research with this consideration is largely uninvestigated.

As a first effort to fill this gap, this paper focuses on a typical 2HR MANET which is widely used in previous studies, and study how to achieve performance enhancement by resource allocation. Observe that the role of a node in a MANET is not only a source and destination, it also serves as a relay to temporarily store and forward packets for other nodes, which will consume the storage resource and transmission resource of this node. Motivated by this, we consider that the storage resource of a network node can be flexibly allocated to store its own packets or other nodes' packets, and also the transmission resource can be flexibly allocated to transmit its own packets or help other nodes forward their packets. We use a two-tuple  $(\eta, \kappa)$  to depict a general resource allocation configuration, and explore how to optimize the network performance over the setting of  $(\eta, \kappa)$ .

The main contributions of this paper are summarized as follows:

- We, for the first time, explore the performance enhancement of a MANET by allocating its storage and transmission resource. For a general resource allocation configuration ( $\eta$ ,  $\kappa$ ), we establish an analytical framework to model the network dynamics as queuing processes. With the help of the network modeling, we derive the exact expressions of fundamental performance metrics, including per node throughput, expected end-to-end (E2E) delay and throughput capacity.
- Based on the results under the general resource allocation configuration, we analyze the properties of network performance expressions, and further develop efficient algorithms to determine the optimal setting of  $(\eta, \kappa)$ , for maximizing per node throughput, throughput capacity, and minimizing expected E2E delay, respectively.

• Finally, we conduct simulations to demonstrate the validity of our theoretical modeling and performance evaluation. Also, we provide extensive numerical results to illustrate how resource allocation affects network performance, which can serve as important guidelines for the configuration and operation of practical MANETs.

The remainder of this paper is organized as follows. We present some related works in Section II. Section III introduces the preliminaries involved in this study. We establish the network modeling in Section IV and derive the performance results in Section V. Section VI proposes the resource allocation algorithms, and Section VII shows the simulation and numerical results. Finally, we conclude this paper in Section VIII.

# **II. RELATED WORKS**

A substantial amount of works have been devoted to the study of resource allocation in various types of wireless networks. For delay tolerant networks, Lee et al. [16] explored the optimal resource allocation scheme by jointly optimizing the link scheduling, routing and replication. In [17], Sun and Wang proposed a resource allocation algorithm to save power consumption in an OFDM-based heterogeneous network while satisfying all users' rate requirements. Wu et al. considered a wireless powered communication network, and investigated the energy efficiency maximization problem via joint time allocation and power control in [18]. Wang et al. presented in [19] a decentralized algorithm based on alternating direction method of multipliers, for computation offloading, resource allocation and Internet content caching optimization in heterogeneous wireless cellular networks with mobile edge computing. In [20], Liang et al. studied optimal spectrum sharing and power allocation algorithm to maximize the ergodic capacity for D2D-enabled vehicular networks. For the studies of resource allocation in cognitive radio networks, please kindly refer to the surveys in [21], [22].

For wireless sensor networks which have many similar features with ad hoc networks, there also exist several works studying the resource allocation problems. Specifically, Giannecchini et al. [23] proposed a fast online resource allocation algorithm to dynamically reconfigure a sensor network for accomplishing end-to-end sensing tasks more efficiently. Yuan and Yu [24] developed a cross-layer optimization framework to implement joint source coding, routing and power allocation in wireless sensor networks. Wang et al. [25] considered a small-scale wireless sensor network over fading TDMA channels, and developed optimal energy-efficient resource allocation schemes to minimize average users' power. More recently, resource allocation schemes for minimizing energy consumption and maximizing transmission rate in energy harvesting wireless sensor networks were explored in [26] and [27], respectively.

It is worth mentioning that the resource in the above studies usually refers to the radio spectrum, link scheduling and time, which is similar to the transmission resource involved in our paper. However, our work is distinguishable from the

source buffer

(b, packets)

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S-R operation

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traffic flow

 $n_{-}$ 

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existing ones in the sense that a different wireless environment, i.e., MANET scenario, is investigated. Moreover, the distinct feature of storage limitation in a MANET is also taken into account for resource allocation. All these differences clarify the novelty of this work.

## **III. PRELIMINARIES**

# A. SYSTEM MODELS

## 1) NETWORK MODEL

We consider a time-slotted cell-partitioned network model which is widely used in previous studies [7]-[10], [12]-[15], where a torus network area is evenly partitioned into c nonoverlapping cells and  $n (n \ge 3)$  mobile nodes randomly move in the network area following a "uniform type" mobility model [6]. Let  $X_i(t)$  denote the location of *i*-th node at time slot t, then with the "uniform type" mobility model, the stochastic process  $\{X_i(\cdot)\}$  is stationary and ergodic with stationary distribution uniform on the network area, and the trajectories of different nodes are independent and identically distributed. It is worth noting that the "uniform type" mobility model is very general since it covers many typical mobility models as special cases, such as the i.i.d. mobility model [7], the random walk model [8], the random way-point model [28], and so on.

In a time slot, one cell supports only one transmission between a transmitter-receiver pair within it, the amount of data to be transmitted is fixed and normalized to one packet. Concurrent transmissions in different cells will not interfere with each other. As indicated in [7], wireless interference can be mitigated by requiring users in neighboring cells to transmit over orthogonal frequency bands. It is well known that for rectilinear cell partitioning, four separate frequency bands are sufficient for guaranteeing no neighboring cells use the same frequency, and this number can be reduced to three if cells are arranged in a hexagonal pattern. Moreover, additional frequency bands can be adopted to increase the frequency reuse distance.

We adopt the unicast traffic pattern with m traffic flows existing in the network. In this study, we consider the case of m = n, i.e., the permutation traffic model which is widely used in other works [6]-[8]. With the permutation traffic model, each node is the source of one traffic flow and meanwhile the destination of another traffic flow. More formally, let  $\mathcal{D}(i)$  denote the destination node of the traffic flow originated from node *i*, then the source-destination pairs are matched in a way that the sequence  $\{\mathcal{D}(1), \mathcal{D}(2), \cdots, \mathcal{D}(n)\}$ is just a derangement of the set of nodes  $\{1, 2, \dots, n\}$ . Two typical examples are  $\mathcal{D}(1) = 2, \mathcal{D}(2) = 3, \dots, \mathcal{D}(n) = 1$ , and  $\mathcal{D}(1) = 2, \mathcal{D}(2) = 1, \mathcal{D}(3) = 4, \mathcal{D}(4) = 3, \cdots, \mathcal{D}(n-1) = n,$  $\mathcal{D}(n) = n - 1$  (here *n* should be even). We assume that the packet generating process at each node is a Bernoulli process with mean rate  $\lambda_g$ , i.e., a new packet is generated by a node at the beginning of a time slot with probability  $\lambda_g$ . The storage space of a network node is limited and of size b packets, for storing its self-generated packets and packets from other nodes.



self-

generated

nackets

dropped

To support the efficient operation of a MANET, we consider the popular two-hop relay routing (2HR) scheme [6], [7], [13]–[15]. Without loss of generality, we focus on a cell containing at least two nodes in a time slot, and the 2HR scheme is executed as follow.

- 1) If there exist source-destination pairs within the cell, equally likely choose such a pair to execute the sourceto-destination (S-D) operation.
  - S-D operation: If the source contains packets intended for that destination, it transmits the earliest generated packet; else, it remains idle.
- 2) If there is no source-destination pair in the cell, equally likely designate a node within the cell as the transmitter, independently choose another node as the receiver uniformly over the remaining nodes. This transmitterreceiver pair executes the Source-to-Relay (S-R) or Relayto-Destination (R-D) operation.
  - S-R operation: If the transmitter has self-generated packets, it relays the earliest generated packet to the designated receiver; else it remains idle.
  - R-D operation: If the transmitter has packets destined for the designated receiver, it forwards the oldest packet to the receiver; else it remains idle.

It is worth noting that with the help of node mobility, the 2HR relay scheme can guarantee the end-to-end packet delivery from a source to its destination.

# **B. RESOURCE ALLOCATION**

## 1) STORAGE RESOURCE

As illustrated in Fig. 1, we consider that the storage resource of a node is allocated to its source buffer and relay buffer, separately. The source buffer is for storing the packets of its own flow (self-generated packets) and works as a FIFO (first-in-first-out) [29] source queue, while the relay buffer is for storing packets from all other n - 2 flows and works as n - 2 FIFO virtual relay queues (one queue per flow). With the FIFO rule, the early-arrival packet will be served early. When the buffer is fully occupied, the new arrival packet at the buffer will be dropped directly.

We use a parameter  $\kappa$   $(\frac{1}{b} \leq \kappa < 1)$  to denote the ratio of storage resource allocated to the source buffer, and let  $b_s$ and  $b_r$  denote the source buffer size and relay buffer size, respectively, then we have

$$b_s = \lfloor \kappa \cdot b \rfloor, \tag{1}$$

$$b_r = \lceil (1-\kappa) \cdot b \rceil. \tag{2}$$

It can be seen that  $\kappa$  reflects the degree of selfishness of a node for the storage resource, i.e.,  $\kappa$  is larger, then the node is more selfish.

#### 2) TRANSMISSION RESOURCE

According to the routing scheme, in a time slot a node can transmit a packet only when it is designated as a transmitter, indicating the transmission resource of a node is also limited. When a node gains a precious transmission opportunity and its destination is not within the same cell, this transmission opportunity can be used to execute an S-R or R-D operation. As illustrated in Fig. 1, we use a parameter  $\eta$  (0 <  $\eta$  < 1) to denote the ratio of transmission resource allocated to the S-R operation. As in [7], let *p* and *q* denote the probabilities of finding at least two nodes in a cell, and finding at least one source-destination pair in a cell, respectively, then we have

$$p = 1 - \left(1 - \frac{1}{c}\right)^n - \frac{n}{c} \left(1 - \frac{1}{c}\right)^{n-1}$$
(3)

$$q = 1 - \left(1 - \frac{1}{c^2}\right)^{n/2}.$$
 (4)

Let  $p_{sd}$ ,  $p_{sr}$  and  $p_{rd}$  represents the probabilities that a node is scheduled to conduct an S-D, S-R and R-D operation, respectively, then we have the following system of linear equations:

$$\begin{cases} n \cdot (p_{sd} + p_{sr} + p_{rd}) = c \cdot p, \\ n \cdot p_{sd} = c \cdot q \\ \frac{p_{sr}}{p_{rd}} = \frac{\eta}{1 - \eta} \triangleq \chi. \end{cases}$$
(5)

Solving this system of linear equations we have

$$p_{sd} = \frac{q}{d},\tag{6}$$

$$p_{sr} = \frac{\eta(p-q)}{d},\tag{7}$$

$$p_{rd} = \frac{(1-\eta)(p-q)}{d},$$
 (8)

where  $d = \frac{n}{c}$  represents the density of the MANET. It can be seen that  $\eta$  reflects the degree of selfishness of a node for the transmission resource, i.e.,  $\eta$  is larger, then the node is more selfish.

Therefore, the resource allocation configuration can be represented as a two-tuple  $(\eta, \kappa)$ . In the following sections, we first conduct the network modeling and analyze the performance under a general  $(\eta, \kappa)$ , and then find the optimal configuration for performance enhancement. The main notations in this paper is presented in Table 1.

#### TABLE 1. Main notations.

Symbol	Definition
n	Number of network nodes
c	Number of network cells
d	Density of the network
$\lambda_g$	Packet generating rate
b	Storage space of a node
$\kappa$	Storage resource allocation ratio
η	Transmission resource allocation ratio
$\chi$	$\chi \triangleq \frac{\eta}{1-\eta}$
p	Probability of finding at least two nodes in a cell
q	Probability of finding at least one source-
	destination pair in a cell
$p_d, p_{sr}, p_{rd}$	Probabilities that a node is scheduled to conduct
	a S-D, S-R and R-D operation, respectively
$\mu_s$	Service rate of the source queue
П	Stationary occupancy state distribution of the
	source buffer
$\Pi_e$	Probability that the source buffer is empty
$\Phi$	Stationary occupancy state distribution of the
	relay buffer
$\Phi_f$	probability that the relay buffer is full
T	Per node throughput
$\overline{D}_{e2e}$	Expected end-to-end delay
$T_c$	Throughput capacity

### **IV. MODELING OF BUFFER OCCUPANCY PROCESSES**

In this section, for a general resource allocation configuration  $(\eta, \kappa)$ , we establish an analytical framework based on the queuing theory and birth-death chain model, to fully characterize the source/relay buffer occupancy process. Due to the symmetry of network nodes and traffic flows, we only focus on a general node S in the following analysis.

## A. MODELING OF SOURCE BUFFER OCCUPANCY PROCESS

Regarding the source buffer of node S, in every time slot a new packet is generated with probability  $\lambda_g$ , and a service opportunity arises with probability  $\mu_s$  which can be determined as

$$\mu_{s} = p_{sd} + p_{sr} = \frac{\eta p + (1 - \eta)q}{d},$$
(9)

thus the occupancy process of source buffer can be modeled by a Bernoulli/Bernoulli/ $1/b_s$  queue as illustrated in Fig. 2.

Let  $\Pi_i$  denote the probability that there are *i* packets occupying the source buffer in the stationary state, then the stationary occupancy state distribution (OSD) of the source



FIGURE 2. Bernoulli/Bernoulli/1/bs queuing model for source buffer.



FIGURE 3. Illustration of packet occupancy process of the relay buffer.

buffer 
$$\Pi = [\Pi_0, \Pi_1, \cdots, \Pi_{\lfloor \kappa b \rfloor}]$$
 is given by [30]

$$\Pi_{i} = \begin{cases} \frac{\mu_{s} - \lambda_{g}}{\mu_{s} - \lambda_{g} \cdot \tau^{\lfloor \kappa b \rfloor}}, & i = 0\\ \frac{\mu_{s} - \lambda_{g}}{\mu_{s} - \lambda_{g} \cdot \tau^{\lfloor \kappa b \rfloor}} \frac{1}{1 - \mu_{s}} \tau^{i}, & 1 \le i \le \lfloor \kappa b \rfloor \end{cases}$$
(10)  
$$\lambda_{s} (1 - \mu_{s})$$

where  $\tau = \frac{\kappa_g(1 - \mu_s)}{\mu_s(1 - \lambda_g)}$ . Let  $\Pi_e$  denote the probability that the source buffer is empty, then we have

$$\Pi_e = \Pi_0 = \frac{\mu_s - \lambda_g}{\mu_s - \lambda_g \cdot \tau^{\lfloor \kappa b \rfloor}}.$$
 (11)

Let  $\lambda_d$  denote the packet departure rate of the source buffer,  $\lambda_d$  can be determined as

$$\lambda_d = \mu_s \cdot (1 - \Pi_e). \tag{12}$$

#### **B. MODELING OF RELAY BUFFER OCCUPANCY PROCESS**

Now we analyze the occupancy process of the relay buffer of node S, as illustrated in Fig. 3. Let  $X_t$  denote the number of packets in the relay buffer at time slot t, then the occupancy process of the relay buffer can be regarded as a stochastic process  $\{X_t, t = 0, 1, 2, \dots\}$  on the state space  $\{0, 1, \dots, \lceil (1 - \kappa)b \rceil\}$ . Notice that S cannot conduct an R-D operation and receive a packet from another node in the same time slot. Therefore, suppose that the relay buffer is at state *j* in the current time slot, only one of the following transition scenarios may happen in the next time slot:

- j to j + 1 ( $0 \le j < \lfloor (1 \kappa)b \rfloor$ ): the relay buffer is not full, and a packet arrives at the relay buffer.
- *j* to j 1 ( $0 < j < \lceil (1 \kappa)b \rceil$ ): the relay buffer is not empty, and a packet departs from the relay buffer.
- j to j ( $0 \le j \le \lceil (1 \kappa)b \rceil$ ): no packet arrives at and departs from the relay buffer.

Let  $p_{i,k}$  denote the one-step transition probability from state j to state k, then the occupancy process  $\{X_t, t = X_t\}$  $0, 1, 2, \dots$  can be modeled by a birth-death chain as illustrated in Fig. 4. Let  $\Phi_i$  denote the probability that there



FIGURE 4. State machine of the birth-death chain.

are *j* packets occupying the relay buffer in the stationary state, the stationary OSD of the relay buffer  $\Phi$  =  $[\Phi_0, \Phi_1, \cdots, \Phi_{\lceil (1-\kappa)b\rceil}]$  can be determined as

$$\Phi \cdot \mathbf{P} = \Phi, \tag{13}$$

$$\Phi \cdot \mathbf{1} = 1, \tag{14}$$

where **P** is the one-step transition matrix of the birth-death chain and **1** is a column vector of size  $([(1 - \kappa)b] + 1) \times 1$ with all elements being 1.

From Fig. 4 and expressions (13) and (14), it can be seen the key to deriving  $\Phi$  is the one-step transition probabilities  $p_{i,i+1}$  and  $p_{i,i-1}$ . We provide the following lemma to determine  $p_{j,j+1}$  and  $p_{j,j-1}$ , the proof can be found in our previous work [14] and thus is omitted here.

Lemma 1: For the birth-death chain in Fig. 4, its one-step transition probabilities  $p_{j,j+1}$  and  $p_{j,j-1}$  are determined as

$$p_{j,j+1} = p_{sr} \cdot (1 - \prod_e), \quad 0 \le j < \lceil (1 - \kappa)b \rceil, \quad (15)$$

$$p_{j,j-1} = p_{rd} \cdot \frac{j}{n-3+j}, \quad 0 < j \le \lceil (1-\kappa)b \rceil.$$
(16)

Substituting (15) and (16) into (13) and (14), the stationary OSD of the relay buffer is given by

$$\Phi_j = \frac{C_j((1 - \Pi_e)\chi)^j}{\sum\limits_{k=0}^{\lceil (1-\kappa)b\rceil} C_k((1 - \Pi_e)\chi)^k}, \quad 0 \le j \le \lceil (1-\kappa)b\rceil \quad (17)$$

where  $C_j = \binom{n-3+j}{j}$ . Let  $\Phi_f$  denote the probability that the relay buffer is full, then we have

$$\Phi_f = \Phi_{\lceil (1-\kappa)b\rceil} = \frac{C_{\lceil (1-\kappa)b\rceil}((1-\Pi_e)\chi)^{\lceil (1-\kappa)b\rceil}}{\sum\limits_{k=0}^{\lceil (1-\kappa)b\rceil} C_k((1-\Pi_e)\chi)^k}.$$
 (18)

#### **V. PERFORMANCE EVALUATION**

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With the help of network modeling, in this section we derive the exact expressions of fundamental performance metrics, including per node throughput, expected E2E delay and throughput capacity.

#### A. PER NODE THROUGHPUT

We use T to denote the per node throughput, which is defined as the time-average number of packets that can be delivered from a source to its destination.

Notice that the packets destined for a destination node can be delivered to this destination through either one-hop

transmission (S-D) or two-hop transmission (S-R and R-D). Thus, we can decompose the per node throughput T into the packet delivery rates through these two ways of transmission. Let  $T_{sd}$  and  $T_{rd}$  denote the packet delivery rates at the destination node through its source node and relay nodes, respectively, then we have

$$T_{sd} = \lambda_d \cdot \frac{p_{sd}}{\mu_s},\tag{19}$$

$$T_{rd} = \lambda_d \cdot \frac{p_{sr}}{\mu_s} \cdot \left(1 - \Phi_f\right). \tag{20}$$

Therefore, the per node throughput T is given by

$$T = T_{sd} + T_{rd} = \frac{q(1 - \Pi_e) + \eta(p - q)(1 - \Pi_e)(1 - \Phi_f)}{d}, \quad (21)$$

where p, q,  $\Pi_e$  and  $\Phi_f$  are given by the expressions (3), (4), (11) and (18), respectively.

## **B. EXPECTED E2E DELAY**

We use  $D_{e2e}$  to denote the expected E2E delay, which is defined as the expected time it takes a packet to reach its destination after it is generated by its source. It should be mentioned that for the calculation of  $\overline{D}_{e2e}$ , we only focus on the packets that have been successfully delivered to their destinations.

Let  $\widetilde{\Pi}_i$   $(0 \le i \le \lfloor \kappa b \rfloor - 1)$  denote the probability that there are *i* packets in the source buffer conditioned on that the source buffer is not full. From [30] we have

$$\widetilde{\Pi}_i = \frac{1 - \tau}{1 - \tau^{\lfloor \kappa b \rfloor}} \tau^i.$$
(22)

Then, the conditional expectation of source queue length  $\widetilde{L}_s$  can be determined as

$$\widetilde{L}_{s} = \sum_{i=0}^{\lfloor \kappa b \rfloor - 1} i \widetilde{\Pi}_{i} = \frac{\tau - \lfloor \kappa b \rfloor \tau^{\lfloor \kappa b \rfloor} + (\lfloor \kappa b \rfloor - 1) \tau^{\lfloor \kappa b \rfloor + 1}}{(1 - \tau)(1 - \tau^{\lfloor \kappa b \rfloor})}.$$
 (23)

Thus, the expected queuing delay  $\overline{Q}_s$  and total delay  $\overline{D}_s$  in the source queue are given by

$$\overline{Q}_s = \frac{\widetilde{L}_s}{\mu_s},\tag{24}$$

$$\overline{D}_s = \overline{Q}_s + \frac{1}{\mu_s}.$$
(25)

Let  $\widetilde{\Phi}_j$   $(0 \le j \le \lceil (1 - \kappa)b \rceil - 1)$  denote the probability that there are *j* packets in the relay buffer conditioned on that the relay buffer is not full. We have  $\widetilde{\Phi}_j = \frac{\Phi_j}{1 - \Phi_f}$  and the conditional expectation of the number of packets in relay buffer  $\widetilde{L}_r$  is calculated as

$$\widetilde{L}_{r} = \sum_{j=0}^{\lceil (1-\kappa)b\rceil - 1} j\widetilde{\Phi}_{j} = \frac{\sum_{k=0}^{\lceil (1-\kappa)b\rceil - 1} k C_{k} ((1 - \Pi_{e})\chi)^{k}}{\sum_{k=0}^{\lceil (1-\kappa)b\rceil - 1} C_{k} ((1 - \Pi_{e})\chi)^{k}}.$$
 (26)

Then, the expected queuing delay  $\overline{Q}_r$  and total delay  $\overline{D}_r$  in the relay queue can be determined as

$$\overline{Q}_r = \frac{\widetilde{L}_r}{n-2} \cdot \left(\frac{p_{rd}}{n-2}\right)^{-1},\tag{27}$$

$$\overline{D}_r = \overline{Q}_r + \left(\frac{p_{rd}}{n-2}\right)^{-1}.$$
(28)

Therefore, the expected E2E delay  $\overline{D}_{e2e}$  is given by

$$\overline{D}_{e2e} = \overline{D}_s + \overline{D}_r \cdot \frac{T_{rd}}{T_{sd} + T_{rd}}$$

$$= d \left\{ \frac{1 + \widetilde{L}_s}{\eta p + (1 - \eta)q} + \frac{\chi(n - 2 + \widetilde{L}_r)(1 - \Phi_f)}{q + \eta(p - q)(1 - \Phi_f)} \right\}.$$
(30)

In addition, we can approximate  $\tilde{L}_r$  as

$$\widetilde{L}_{r} = (n-2) \frac{(1-\Pi_{e})\chi - ((1-\Pi_{e})\chi)^{\lceil (1-\kappa)b\rceil}}{1-(1-\Pi_{e})\chi} + \mathcal{O}\left\{((1-\Pi_{e})\chi)^{\lceil (1-\kappa)b\rceil}\right\}$$
(31)  

$$\approx \widehat{L}_{r} = (n-2) \frac{(1-\Pi_{e})\chi - ((1-\Pi_{e})\chi)^{\lceil (1-\kappa)b\rceil}}{1-(1-\Pi_{e})\chi}.$$
(32)

Substituting (32) into (30) we can obtain an approximation of the expected E2E delay.

## C. THROUGHPUT CAPACITY

We use  $T_c$  to denote the throughput capacity. For the homogeneous network scenario considered in this paper, the network level throughput capacity can be defined by the maximal achievable per node throughput over any packet generating rate  $\lambda_g$ , i.e.,  $T_c = \max_{\lambda_g \in (0,1]} T$ .

For deriving the expression of  $T_c$ , we first need the following lemma.

*Lemma 2:* T monotonically increases with  $\lambda_g$ .

*Proof:* According to formula (11), the derivative of  $\Pi_e$  with respect to  $\lambda_g$  is

$$\frac{\partial \Pi_e}{\partial \lambda_g} = \frac{-\mu_s + \mu_s \tau^{\lfloor \kappa b \rfloor} + \lfloor \kappa b \rfloor \frac{\mu_s - \lambda_g}{1 - \lambda_g} \tau^{\lfloor \kappa b \rfloor}}{(\mu_s - \lambda_g \tau^{\lfloor \kappa b \rfloor})^2} = \frac{-(\lambda_g - \mu_s)^2}{(\mu_s - \lambda_g \tau^{\lfloor \kappa b \rfloor})^2 \cdot (1 - \lambda_g)^2 \cdot \mu_s} \cdot \sum_{i=1}^{\lfloor \kappa b \rfloor} i \tau^{i-1} < 0.$$
(33)

Thus, as  $\lambda_g$  increases,  $\Pi_e$  decreases.

Substituting (18) into (21), T can be expressed as

$$T = \frac{1 - \Pi_e}{d} \left\{ q + \eta (p - q) \frac{\sum_{j=0}^{\lceil (1 - \kappa)b\rceil - 1} C_j ((1 - \Pi_e)\chi)^j}{\sum_{j=0}^{\lceil (1 - \kappa)b\rceil} C_j ((1 - \Pi_e)\chi)^j} \right\}.$$
(34)

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Let 
$$\omega = \frac{1}{1-\Pi_e}, \delta = \frac{1}{\chi}, h(\omega) = \sum_{j=0}^{\lceil (1-\kappa)b\rceil - 1} C_j(\omega\delta)^{\lceil (1-\kappa)b\rceil - j}$$

and  $g(\omega) = \omega \cdot \left(1 + \frac{\zeta \left[(1-\kappa)b\right]}{h(\omega)}\right)$ , then *T* can be re-expressed as

$$T = \frac{1}{d} \left\{ \frac{q}{\omega} + \frac{\eta(p-q)}{g(\omega)} \right\}.$$
 (35)

Regarding the derivative of  $g(\omega)$ , we have

$$g'(\omega) = \frac{1}{h(\omega)^2} \cdot \underbrace{\left\{ \frac{h(\omega)(h(\omega) + C_{\lceil (1-\kappa)b\rceil}) - \omega C_{\lceil (1-\kappa)b\rceil}h'(\omega) \right\}}{(a)}}_{(a)},$$
(36)

and we derive expression (a) > 0, as shown at the bottom of the next page, where (37) holds because  $C_{\lceil (1-\kappa)b\rceil - i}$  $C_{\lceil (1-\kappa)b\rceil-k+j} > C_{\lceil (1-\kappa)b\rceil}C_{\lceil (1-\kappa)b\rceil-k} \text{ for } 0 < j < k.$ In summary, as  $\lambda_g$  increases,  $\Pi_e$  decreases, then

 $\omega$  decreases, then  $g(\omega)$  decreases, finally we have T increases.

With Lemma 2 we have

$$T_c = \max_{\lambda_g \in (0,1]} T = \lim_{\lambda_g \to 1} T.$$
(38)

From (11) and (18) we have

$$\lim_{\lambda_g \to 1} \tau = \lim_{\lambda_g \to 1} \frac{\lambda_g (1 - \mu_s)}{\mu_s (1 - \lambda_g)} \to \infty,$$
$$\lim_{\lambda_g \to 1} \Pi_e = \lim_{\lambda_g \to 1} \frac{\mu_s - \lambda_g}{\mu_s - \lambda_g \cdot \tau^{\lfloor \kappa b \rfloor}} = 0,$$
(39)

$$\lim_{\lambda_g \to 1} \Phi_f = \frac{C_{\lceil (1-\kappa)b \rceil} \chi^{\lceil (1-\kappa)b \rceil}}{\sum\limits_{k=0}^{\lceil (1-\kappa)b \rceil} C_k \chi^k}.$$
 (40)

Therefore, substituting (39) and (40) into (21), the throughput capacity  $T_c$  is given by

$$T_{c} = \frac{1}{d} \left\{ q + \eta(p-q) \cdot \frac{\sum_{k=0}^{\lceil (1-\kappa)b\rceil - 1} C_{k} \chi^{k}}{\sum_{k=0}^{\lceil (1-\kappa)b\rceil} C_{k} \chi^{k}} \right\}.$$
 (41)

## **VI. OPTIMAL RESOURCE ALLOCATION**

Based on the performance modeling results, in this section we explore the problems of optimal resource allocation. By allocating the network node resource (transmission resource and storage resource) appropriately, i.e., determining the optimal setting of  $(\eta, \kappa)$ , we can optimize the desired performance metrics to satisfy various requirements in different practical applications.

## A. THROUGHPUT MAXIMIZATION

We first consider the optimal resource allocation for throughput maximization, which can be mathematically formulated as the following optimization problem.

P1 (Throughput Maximization):

$$\max_{(n,\kappa)} T \tag{42a}$$

s.t. 
$$0 < \eta < 1$$
, (42b)

$$\frac{1}{b} \le \kappa < 1. \tag{42c}$$

In order to solve problem (42), we exploit the technique of alternating optimization, which is a well-established methodology to optimize the objective function by first optimizing over some of the variables and then optimizing over the remain ones [31]. Therefore, we optimize the transmission resource allocation and storage source allocation in an alternating manner. Specifically, for a fixed  $\kappa$ , problem (42) can be re-formulated as

$$\max_{0 \le \eta \le 1} T = \frac{q(1 - \Pi_e) + \eta(p - q)(1 - \Pi_e)(1 - \Phi_f)}{d}.$$
 (43)

We can see from expressions (11) and (18) that it is hard to obtain the closed form expression of the optimal solution by calculating the derivative of T with respect to  $\eta$ . However, we can apply some efficient one-dimensional search methods. From (9) we know that  $\mu_s$  increases as  $\eta$  increases, and from (11) we have

$$\frac{\partial \Pi_e}{\partial \mu_s} \\ \mu_s - \lambda_s$$

∂Π

$$= \frac{\mu_{s} - \lambda_{g}\tau^{\lfloor \kappa b \rfloor} - \left(1 - \lambda_{g}\lfloor \kappa b \rfloor\tau^{\lfloor \kappa b \rfloor - 1}\frac{\partial\tau}{\partial\mu_{s}}\right)(\mu_{s} - \lambda_{g})}{(\mu_{s} - \lambda_{g}\tau^{\lfloor \kappa b \rfloor})^{2}}$$

$$= \frac{\lambda_{g} - \lambda_{g}\tau^{\lfloor \kappa b \rfloor} - \lfloor \kappa b \rfloor\frac{\lambda_{g}(\mu_{s} - \lambda_{g})}{\mu_{s}(1 - \mu_{s})}\tau^{\lfloor \kappa b \rfloor}}{(\mu_{s} - \lambda_{g}\tau^{\lfloor \kappa b \rfloor})^{2}}$$

$$= \frac{\lambda_{g}(\mu_{s} - \lambda_{g})^{2}}{\mu_{s}^{2}(\mu_{s} - \lambda_{g}\tau^{\lfloor \kappa b \rfloor})^{2}(1 - \lambda_{g})}\sum_{i=0}^{\lfloor \kappa b \rfloor - 1} \left(1 + \frac{i}{1 - \mu_{s}}\right)\tau^{i}$$

$$> 0, \qquad (44)$$

which indicates  $\Pi_e$  also increases as  $\eta$  increases. Similarly, we can verify that  $\frac{\partial \Phi_f}{\partial \eta} > 0$ . According to the structure of objective function (43),

we can conclude that T is either a unimodal function or monotonic function of  $\eta$ , inspiring us to adopt the golden-section search method to find the optimal solution  $\eta^*$ . Therefore, the optimal transmission resource allocation algorithm for maximizing the per node throughput can be summarized in Algorithm 1. Note that with Algorithm 1, the search interval will be reduced to its 0.618 times after each iteration. The initial search interval is 1 and the convergence tolerance is  $\varepsilon$ . Thus, we need  $\lceil \log_{0.618} \varepsilon + 1 \rceil$  iterations to reduce the search interval to being less than  $\varepsilon$  (i.e., the algorithm stops). We also set the maximum number of iterations to be  $v_{max}$ . Therefore, the number of iterations can be estimated as min{ $\lceil \log_{0.618} \varepsilon + 1 \rceil$ ,  $v_{max}$ }.

Next, we consider that for a fixed  $\eta$ , how to maximize the throughput by allocating the storage resource. From (1)

Algorithm 1 Optimal Transmission Resource Allocation Algorithm for Maximizing Throughput

**Input**: Network parameters  $(n, c, b, \kappa, \lambda_g)$ ; **Output**: Optimal transmission resource allocation ratio  $\eta^*$ ;

1 Initialization: set the convergence tolerance  $\varepsilon > 0$ , the maximum number of iterations  $v_{max}$ , the iteration index v = 0,  $Z_l = 0$ ,  $Z_u = 1$ ,  $x_1(v) = 0.382$  and  $x_2(v) = 0.618$ ;

2 repeat

 $y_1 = T|_{\eta = x_1(v)};$ 3  $y_2 = T|_{\eta = x_2(\nu)};$ 4 if  $y_1 < y_2$  then 5  $Z_l = x_1(v), Z_u = Z_u;$ 6  $x_1(v+1) = x_2(v);$ 7  $x_2(v+1) = Z_l + 0.618(Z_u - Z_l);$ 8 9 else  $Z_l = Z_l, Z_u = x_2(v);$ 10  $x_2(v+1) = x_1(v);$ 11  $x_1(v+1) = Z_l + 0.382(Z_u - Z_l);$ 12 v = v + 1;13 14 **until**  $|x_1(v) - x_2(v)| < \varepsilon \text{ or } v > v_{max};$ 15  $\eta^* = x_1(v);$ 16 return  $\eta^*$ ;

and (2), problem (42) can be re-formulated as

$$\max_{b_s \in \{1,2,\cdots,b-1\}} T = \frac{q(1-\Pi_e) + \eta(p-q)(1-\Pi_e)(1-\Phi_f)}{d},$$
(45)

which is an integer programming.

From (11) we have

$$\Pi_e|_{b_s=t+1} - \Pi_e|_{b_s=t} = \frac{\lambda_g \tau^t (\mu_s - \lambda_g)(\tau - 1)}{(\mu_s - \lambda_g \tau^{t+1})(\mu_s - \lambda_g \tau^t)} < 0.$$

$$(46)$$

From (18) we have  $\frac{\partial \Phi_f}{\partial \Pi_e} < 0$ . Moreover, we denote  $\Xi \triangleq (1 - \Pi_e)\chi$  and substitute it into (18), there is

$$\Phi_{f}|_{b_{r}=l+1} - \Phi_{f}|_{b_{r}=l}$$

$$= \frac{C_{l+1}\Xi^{l+1}}{\sum\limits_{i=0}^{l+1}C_{i}\Xi^{i}} - \frac{C_{l}\Xi^{l}}{\sum\limits_{i=0}^{l}C_{i}\Xi^{i}}$$

$$= \underbrace{\frac{C_{l+1}\Xi^{l+1}\sum\limits_{i=0}^{l}C_{i}\Xi^{i} - C_{l}\Xi^{l}\sum\limits_{i=0}^{l+1}C_{i}\Xi^{i}}{\sum\limits_{i=0}^{(b)}C_{i}\Xi^{i}}$$

and

$$\begin{split} (b) &= \mathbf{C}_{l+1} \Xi^{l+1} \sum_{i=0}^{l} \mathbf{C}_{i} \Xi^{i} - \mathbf{C}_{l} \Xi^{l} \sum_{i=1}^{l+1} \mathbf{C}_{i} \Xi^{i} - \mathbf{C}_{l} \Xi^{l} \\ &< \sum_{i=0}^{l} \left( \mathbf{C}_{l+1} \mathbf{C}_{i} - \mathbf{C}_{l} \mathbf{C}_{i+1} \right) \Xi^{l+i+1} < 0. \end{split}$$

Then we have

$$\Phi_f|_{b_s=t+1} - \Phi_f|_{b_s=t} > 0.$$
(47)

Therefore, we can conclude that *T* is also either a unimodal function or monotonic function of  $b_s$ . We propose the following search method to determine the optimal storage resource allocation, as summarized in Algorithm 2. The number of iterations in Algorithm 2 can be calculated as  $\lceil \log_2 b + 1 \rceil$ .

Based on Algorithm 1 and Algorithm 2, we further propose the alternative optimization algorithm for determining the optimal resource allocation configuration  $(\eta^*, b_s^*)$  and the corresponding optimal throughput  $T^*$ , as shown in Algorithm 3. It is worth mentioning that Algorithm 3 executes Algorithm 1 and Algorithm 2 alternatively, and it always converges because the value of the objective function is finite and non-decreasing at each iteration.

$$(a) = \sum_{j=0}^{\lceil (1-\kappa)b\rceil - 1} C_{j}(\omega\delta)^{\lceil (1-\kappa)b\rceil - j} \cdot \sum_{j=0}^{\lceil (1-\kappa)b\rceil} C_{j}(\omega\delta)^{\lceil (1-\kappa)b\rceil - j} - C_{\lceil (1-\kappa)b\rceil} \sum_{j=0}^{\lceil (1-\kappa)b\rceil - 1} C_{j}(\lceil (1-\kappa)b\rceil - j)(\omega\delta)^{\lceil (1-\kappa)b\rceil - j}$$

$$= \sum_{k=1}^{\lceil (1-\kappa)b\rceil} \left\{ \sum_{j=0}^{k-1} \left( C_{\lceil (1-\kappa)b\rceil - j}(\omega\delta)^{j}C_{\lceil (1-\kappa)b\rceil - k+j}(\omega\delta)^{k-j} \right) - kC_{\lceil (1-\kappa)b\rceil}C_{\lceil (1-\kappa)b\rceil - k}(\omega\delta)^{k} \right\}$$

$$+ \sum_{k=\lceil (1-\kappa)b\rceil + 1}^{2\lceil (1-\kappa)b\rceil} \sum_{j=k-\lceil (1-\kappa)b\rceil}^{\lceil (1-\kappa)b\rceil} C_{B-j}(\omega\delta)^{j}C_{B-k+j}(\omega\delta)^{k-j}$$

$$> \sum_{k=1}^{\lceil (1-\kappa)b\rceil} \left\{ \left\{ \sum_{j=0}^{k-1} \left( C_{\lceil (1-\kappa)b\rceil - j}C_{\lceil (1-\kappa)b\rceil - k+j} \right) - kC_{\lceil (1-\kappa)b\rceil - k} \right\} \omega^{k}\delta^{k} \right\} > 0, \qquad (37)$$

Algorithm 2 Optimal Storage Resource Allocation Algorithm for Maximizing Throughput

**Input**: Network parameters  $(n, c, b, \eta, \lambda_g)$ ; **Output**: Optimal source buffer size  $b_s^*$ ;

1 Initialization: set  $Z_l = 1$ ,  $Z_u = b - 1$ ,  $t = Z_l + \lfloor \frac{Z_u - Z_l}{2} \rfloor$ ; 2 repeat 3  $y_1 = T|_{b_s=t};$  $y_2 = T|_{b_s = t+1};$ 4 if  $y_1 < y_2$  then 5  $Z_l = t, Z_u = Z_u;$ 6 7 else  $| Z_l = Z_l, Z_u = t + 1;$ 8  $t = Z_l + \lfloor \frac{Z_u - Z_l}{2} \rfloor;$ 9 10 until  $Z_u - Z_l \leq \overline{2}$ ; 11  $U(i) = T|_{b_s=t+i}, i = 0, 1, 2;$ 12  $b_s^* = t + \arg \max_i \{U(i)\};$ 13 return  $b_s^*$ ;

Algorithm 3 Optimal Resource Allocation Algorithm for Throughput Maximization

**Input**: Network parameters  $(n, c, b, \lambda_g)$ ;

**Output**: Optimal resource allocation configuration  $(\eta^*, b_s^*)$  and optimal throughput  $T^*$ ;

- 1 Initialization: set the convergence tolerance  $\xi > 0$ , the maximum number of iterations  $w_{max}$ , the iteration index w = 0, and initialize the resource allocation configuration  $(\eta(w), b_s(w))$ , ;
- 2 repeat
- 3 Given  $b_s(w)$ , update  $\eta(w + 1)$  according to Algorithm 1 (**Optimal Transmission Resource Allocation Algorithm**);
- 4 Given  $\eta(w + 1)$ , update  $b_s(w + 1)$  according to Algorithm 2 (**Optimal Storage Resource Allocation Algorithm**);
- 5 w = w + 1;
- 6 Update T(w) according to formula (21);
- 7 **until**  $T(w) T(w 1) < \xi$  or  $w > w_{max}$ ;
- 8  $\eta^* = \eta(w), b_s^* = b_s(w), T^* = T(w);$
- **9 return**  $(\eta^*, b_s^*)$  and  $T^*$ ;

# B. EXPECTED E2E DELAY MINIMIZATION

We then consider the optimal resource allocation for expected E2E delay minimization, which can be mathematically formulated as the following optimization problem.

P2 (Expected E2E Delay Minimization):

$$\min_{(n,\kappa)} \overline{D}_{e2e} \tag{48a}$$

s.t. 
$$0 < \eta < 1$$
, (48b)

$$\frac{1}{h} \le \kappa < 1. \tag{48c}$$

Similar to the procedures of solving problem P1, we also exploit the technique of alternating optimization to convert P2 into two one-dimensional searching problems, and then apply searching algorithms to obtain the optimal resource allocation for minimizing the expected E2E delay. The details are omitted here.

## C. THROUGHPUT CAPACITY MAXIMIZATION

Finally, we consider the optimal resource allocation for throughput capacity maximization, which can be mathematically formulated as the following optimization problem.

P3 (Throughput Capacity Maximization):

$$\max_{(n,\kappa)} T_c \tag{49a}$$

s.t. 
$$0 < \eta < 1$$
, (49b)

$$\frac{1}{b} \le \kappa < 1. \tag{49c}$$

According to the expression of  $T_c$  in formula (41), we can easily use the similar derivation of (47) to verify that  $T_c$ always increases as  $b_r$  increases. Therefore, the optimal storage resource allocation for maximizing throughput capacity can be determined as  $b_s^* = 1, b_r^* = b - 1$ , i.e.,  $\kappa^* \in [\frac{1}{b}, \frac{2}{b})$ . As a result, problem P3 reduces to the following onedimensional optimization issue over  $\eta$ .

$$\max_{0 < \eta < 1} T_c = \frac{1}{d} \left\{ q + \eta (p - q) \cdot \frac{\sum_{k=0}^{b-2} C_k \chi^k}{\sum_{k=0}^{b-1} C_k \chi^k} \right\}.$$
 (50)

In the following, we develop an efficient algorithm based on the novel fixed-point iteration technique [32] to determine the optimal transmission resource allocation ratio  $\eta^*$ . We let  $\delta = \frac{1}{\chi} = \frac{1-\eta}{\eta}$  and construct two functions as  $H(\delta) = \sum_{k=0}^{b-2} C_k \delta^{b-1-k}$  and  $G(\delta) = (1 + \delta) \left(1 + \frac{C_{b-1}}{H(\delta)}\right)$ , then  $T_c$  can be expressed as

$$T_c = \frac{1}{d} \left( q + \frac{p-q}{G(\delta)} \right).$$

Thus, maximizing  $T_c$  is equivalent to minimizing  $G(\delta)$ . Regarding  $G(\delta)$ , we have the following observations: i)  $G(\delta)$  is a differentiable function; ii)  $\lim_{\delta \to 0} G(\delta) \to \infty$ and  $\lim_{\delta \to \infty} G(\delta) \to \infty$ . According to the Extreme Value Theorem [33], we can determine  $\delta^*$  which enables  $G(\delta)$  to achieve its minimum value as  $G'(\delta^*) = 0$ . Therefore,  $\delta^*$  is the solution of the following equation.

$$H(\delta)[H(\delta) + \mathcal{C}_{b-1}] = \mathcal{C}_{b-1}(1+\delta)H'(\delta).$$

It is hard to obtain the closed-form solution of this equation. To address this issue, we construct a fixed-point function as follow:

$$F_P(\delta) = \frac{C_{b-1}(1+\delta)H'(\delta)}{H(\delta)[H(\delta) + C_{b-1}]} \cdot \delta.$$
 (51)

 $F_P(\delta)$  is well-designed since it has the good property of contraction mapping. Therefore, we can efficiently find  $\delta^*$  by executing the fixed-point function iteratively. Moreover, it

Algorithm 4 Optimal Resource Allocation Algorithm for<br/>Maximizing Throughput CapacityInput: Network parameters (n, c, b);Output: Optimal resource allocation configuration<br/> $(\eta^*, b_s^*)$  and optimal throughput capacity  $T_c^*$ ;1 Initialization: set the convergence tolerance  $\varepsilon > 0$ ,<br/>the maximum number of iterations  $u_{max}$ , the iteration<br/>index u = 0, and initialize  $\delta_u > 1$ ;2 repeat3  $| \delta_{u+1} = F_P(\delta_u);$ <br/>4 | u = u + 1;5 until  $\delta_u - \delta_{u-1} < \varepsilon$  or  $u > u_{max}$ ;

6  $\eta^* = \frac{1}{1+\delta_u}, b_s^* = 1, T_c^* = T_c|_{(\eta^*, b_s^*)};$ 7 return  $(\eta^*, b_s^*, T_c^*);$ 

can be verified that  $G'(\delta) < 0$  for  $\delta \in (0, 1)$ . Thus, we can set the initial iteration point  $\delta_0 > 1$ . Note that  $\eta = \frac{1}{1+\delta}$ , it implies that the optimal transmission resource allocation ratio always satisfies  $\eta^* \leq 0.5$ , i.e., a network node needs to allocate more transmission opportunities to forwarding packets of other nodes. The detailed algorithm for maximizing throughput capacity can be summarized in Algorithm 4.

#### **VII. SIMULATION**

In this section, we first conduct simulations to verify the efficiency of our analytical framework and performance evaluation. Then we provide numerical results to illustrate the effects of resource allocation on network performance.

#### A. SIMULATION SETTINGS

To verify the efficiency of our analytical framework and performance evaluation, we developed a dedicated C++ network simulator to simulate the packet generating, queuing and delivering processes in a concerned MANET [34]. All the basic network parameters  $(n, c, b, \eta, \kappa, \lambda_g)$  can be set flexibly. Without loss of generality, we set two network configurations for the performance validation, Case 1:  $(n = 30, c = 15, b = 10, \kappa = 0.35, \eta = 0.3)$ , and Case 2:  $(n = 50, c = 20, b = 15, \kappa = 0.5, \eta = 0.6)$ . Each simulation task runs over a period of  $2 \times 10^8$  time slots, and we only collect data from the last 80% of time slots to ensure the system is in the steady state. In each simulation task, we count the number of packets delivered to a tagged node and the delay of each packet (denoted by N and D(i), respectively), and calculate the simulation results as

$$T|_{\text{simulation}} = \frac{N}{2 \cdot 10^8},$$
(52)
$$\overline{D}_{e2e}|_{\text{simulation}} = \frac{\sum_{i=1}^{N} D(i)}{N}.$$
(53)

In the simulator, three typical specific mobility models which belong to the "uniform type" mobility model, i.e., i.i.d.,



**FIGURE 5.** Validation for the per node throughput modeling. Case 1:  $(n = 30, c = 15, b = 10, \kappa = 0.35, \eta = 0.3)$ . Case 2:  $(n = 50, c = 20, b = 15, \kappa = 0.5, \eta = 0.6)$ .



**FIGURE 6.** Validation for the expected E2E delay modeling. Case 1:  $(n = 30, c = 15, b = 10, \kappa = 0.35, \eta = 0.3)$ . Case 2:  $(n = 50, c = 20, b = 15, \kappa = 0.5, \eta = 0.6)$ .

random walk and random way-point, have been implemented. We only show the simulation results under the i.i.d. mobility model.

#### **B. VALIDATION**

First, we summarize in Fig. 5 the theoretical and simulation results of per node throughput *T* versus packet generating rate  $\lambda_g$  under the two cases. We can see from Fig. 5 that both simulation results can match the corresponding theoretical curves very nicely, indicating that our analytical framework is highly efficient for modeling the throughput performance of MANETs under a general resource allocation configuration. In addition, as indicated in Lemma 2, we can observe that the per node throughput *T* increases monotonically as the packet generating rate  $\lambda_g$  increases, and finally tends to a constant which is the throughput capacity  $T_c$ .

We then plot Fig. 6 to show the theoretical and simulation results of expected E2E delay  $\overline{D}_{e2e}$  versus  $\lambda_g$  under the two cases. Fig. 6 shows clearly that the simulation results match well with the corresponding theoretical curves for both the



**FIGURE 7.** Effects of transmission resource allocation on network performance. Case 1:  $(n = 30, c = 15, b = 10, \kappa = 0.35, \lambda_g = 0.1)$ . Case 2:  $(n = 50, c = 20, b = 15, \kappa = 0.5, \lambda_g = 0.1)$ . (a) Per node throughput *T* vs.  $\eta$ . (b) Expected E2E delay  $\overline{D}_{e2e}$  vs.  $\eta$ . (c) Throughput capacity  $T_c$  vs  $\eta$ .



**FIGURE 8.** Effects of storage resource allocation on network performance. Case 1:  $(n = 30, c = 15, b = 10, \eta = 0.3, \lambda_g = 0.1)$ . Case 2:  $(n = 50, c = 20, b = 15, \eta = 0.6, \lambda_g = 0.1)$ . (a) Per node throughput 7 vs.  $\kappa$ . (b) Expected E2E delay  $\overline{D}_{e2e}$  vs.  $\kappa$ . (c) Throughput capacity  $T_c$  vs  $\kappa$ .

cases considered here, indicating that our analytical framework is also highly efficient to evaluate the delay performance of a MANET. We can observe from Fig. 6 that as  $\lambda_g$ increases,  $\overline{D}_{e2e}$  first increases somewhat and then decreases monotonically. Such behavior is due to the reason that the effects of  $\lambda_g$  on  $\overline{D}_{e2e}$  are two folds. On one hand, a larger  $\lambda_g$ leads to a more congested MANET with a larger source delay  $\overline{D}_s$  and a larger relay delay  $\overline{D}_r$ ; on the other hand, a more congested MANET indicates that a packet is more likely to be delivered through a direct S-D operation, thus a smaller ratio of  $\overline{D}_r$  will compose  $\overline{D}_{e2e}$  (can be seen in (29)). As  $\lambda_g$ increases, these two effects become dominant alternatively, causing the increase-decrease phenomena of  $\overline{D}_{e2e}$ .

#### C. PERFORMANCE DISCUSSIONS

Based on our theoretical performance evaluation, we present numerical results to illustrate the impacts of resource allocation on network performance.

In order to highlight the effects of transmission resource allocation on network performance, we draw Fig. 7 to show how the per node throughput, expected E2E delay and throughput capacity varies with the transmission resource allocation ratio  $\eta$ , where the storage resource allocation ratio  $\kappa$  is fixed, and two cases, i.e., Case 1 (n = 30, c = 15,  $b = 10, \kappa = 0.35, \lambda_g = 0.1$ ) and Case 2 (n = 50,  $c = 20, b = 15, \kappa = 0.5, \lambda_g = 0.1$ ), are considered.

Fig. 7(a) shows that the curve of throughput varying with  $\eta$  is unimodal under both the two cases. As  $\eta$  increases, T first increases to its maximum and then decreases. Fig. 7(b) shows that the behaviors of  $\overline{D}_{e2e}$  varying with  $\eta$  can be different under different network settings. As  $\eta$  increases,  $\overline{D}_{e2e}$  under Case 1 monotonically increases, while  $\overline{D}_{e2e}$  under Case 2 decreases first and then increases. Fig. 7(c) shows that the behavior of  $T_c$  is similar to that of T in Fig. 7(a). We can observe from Fig. 7 that the transmission resource allocation does impose great effects on network performance.

We then summarize in Fig. 8 that how network performance varies with the storage resource allocation ratio  $\kappa$ , where the transmission resource allocation ratio  $\eta$  is fixed and two cases, i.e., Case 1 (n = 30, c = 15, b = 10,  $\eta = 0.3, \lambda_g = 0.1$ ) and Case 2 (n = 50, c = 20,  $b = 15, \eta = 0.6, \lambda_g = 0.1$ ), are considered. As  $\kappa$  increases, Fig. 8(a) shows that T first increases and then decreases, while Fig. 8(b) and Fig. 8(c) show that  $\overline{D}_{e2e}$  and  $T_c$  decrease monotonically. We can observe from Fig. 8 that the storage resource allocation also imposes great effects on network performance.

We further plot Fig. 9 to illustrate how the network performance varies with both the storage resource allocation and transmission resource allocation, under the network setting of  $(n = 30, c = 15, b = 10, \lambda_g = 0.1)$ . Fig. 9(a) shows the curve of T varying with  $\eta$  (resp.  $b_s$ ) is unimodal



**FIGURE 9.** Effects of resource allocation on network performance. Network setting:  $(n = 30, c = 15, b = 10, \lambda_g = 0.1)$ . (a) Per node throughput *T*. (b) Expected E2E delay  $\overline{D}_{e2e}$ . (c) Throughput capacity  $T_c$ .



**FIGURE 10.** Optimal resource allocation for maximizing per node throughput. Case 1: (n = 30, c = 15, b = 10); Case 2: (n = 50, c = 20, b = 15). (a) Optimal transmission resource allocation ratio  $\eta^*$ . (b) Optimal source buffer size  $b_s^*$ . (c) Optimal per node throughput  $T^*$ .

under different settings of  $b_s$  (resp.  $\eta$ ). The behavior of  $\overline{D}_{e2e}$ in Fig. 9(b) is complicated. For example, with a small  $\eta$ ,  $\overline{D}_{e2e}$  increases as  $b_s$  increases, while with a large  $\eta$ ,  $\overline{D}_{e2e}$  decreases as  $b_s$  increases. Fig. 9(c) shows that  $T_c$ always decreases as  $b_s$  increases, which agrees with the analysis in Section VI-C. From Fig. 9, we have the following important observations: i) appropriate resource allocation can bring the benefit of performance enhancement for MANETs; ii) the optimal resource allocation configuration is different for different performance objectives (i.e., per node throughput, expected E2E delay and throughput capacity); iii) usually, in order to achieve good network performance, we need a small  $\eta$  and a small  $\kappa$ , i.e., a network node needs to be selfless to allocate more storage resource and more transmission resource for storing and forwarding packets of other nodes. These observations can serve as useful guidelines for the practical configurations and operations of MANETs.

Next, we summarize in Fig. 10 how the optimal resource allocation configuration  $(\eta^*, \kappa^*)$  for maximizing the per node throughput varying with the packet generating rate  $\lambda_g$ , where Case 1 (n = 30, c = 15, b = 10) and Case 2 (n = 50, c = 20, b = 15) are considered. We can see from Fig. 10 that as  $\lambda_g$  increases, the general trend of  $\eta^*$  is rising, while that of  $b_s^*$  is falling. It indicates that for achieving the maximal per node throughput, as the network traffic becomes heavy we need to increase the ratio of S-R operation while decreasing the ratio of storage space allocated to the



**FIGURE 11.** Optimal resource allocation for maximizing throughput capacity. Case 1: (d = 2, b = 10). Case 2: (d = 2, b = 20). (a)  $\eta^*$  vs. *n*. (b)  $T_c^*$  vs. *n*.

source buffer. Comparing Fig. 10(c) with Fig. 5, we can see again that the optimal resource allocation can lead to distinct performance enhancement of MANETs, especially for Case 2. As mentioned before, the procedures of determining optimal resource allocation for minimizing expected E2E delay is similar to that for maximizing per node throughput, we skip over presenting the figure here, and readers can apply our simulator to explore the issues of interest freely.

Finally, we summarize in Fig. 11 how the optimal transmission resource allocation ratio  $\eta^*$  varies with the number of network nodes *n* for the throughput capacity maximization (here the optimal storage resource allocation is always  $b_s^* = b - 1$ ). Two cases, i.e., Case 1 (d = 2, b = 10) and Case 2 (d = 2, b = 20), are considered. Fig. 11(a) shows that  $\eta^*$  monotonically decreases as  $n^*$  increases, and it never

exceeds 0.5 which is in accordance with the analysis in Section VI-C. It indicates that as the network size becomes large, each network node needs to be more selfless, i.e., allocating more transmission resource for forwarding packets of other nodes. We can also see that  $\eta^*$  with a larger storage resource is always higher than that with a smaller one. Fig. 11(b) shows that  $T_c^*$  monotonically decreases as *n* increases, and a larger storage resource can achieve a higher  $T_c^*$ .

## **VIII. CONCLUSION AND FUTURE WORKS**

The resource allocation for performance enhancement of MANETs was investigated in this paper. For a general resource allocation configuration, we first established an efficient analytical framework for modeling the network dynamical behaviors and deriving the exact expressions of per node throughput, expected E2E delay and throughput capacity. With the help of the performance evaluation results, we further proposed efficient algorithms to determine the optimal resource allocation configuration.

Some interesting conclusions can be drawn from this study: i) Appropriate resource allocation can bring the benefit of performance enhancement for MANETs; ii) The optimal resource allocation configuration is different for different performance objectives; iii) Usually, in order to achieve good network performance, a network node needs to be selfless to allocate more storage resource and more transmission resource for storing and forwarding packets of other nodes; iv) As the network size becomes large, in order to gain performance enhancement, each network node needs to allocate more resource for forwarding packets of other nodes. These findings can serve as useful guidelines for the practical configurations and operations of MANETs.

Notice that the analysis framework and resource allocation methods developed in this paper is based on the "uniform type" mobility model, so one of our future research directions is to explore the performance modeling and enhancement under "non-uniform type" mobility model and in static ad hoc networks. Moreover, in this study, we consider the unicast traffic pattern and the number of traffic flows *m* is the same as the number of nodes *n*. For the case of m < n, there will be a part of network nodes serving as pure relay nodes, i.e., there is no traffic originated from these nodes. Therefore, the resource allocation issue in MANETs under other traffic patterns (i.e., unicast with m < n, multicast) is another appealing direction to be investigated.

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