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PPM: Privacy Protection Method for Outsourcing Data Entry

FENGQI LI^{ID}, CHUNLI SHANG, KEMENG LIU, AIDI PANG, AND SIKAI HUANG

School of Software, Dalian University of Technology, Dalian 116600, China Corresponding author: Fengqi Li (lifengqi@dlut.edu.cn)

ABSTRACT In China, manual approaches are still widely used in outsourcing data entry because of the poor recognition of OCR for Chinese. However, manual entries from images may cause leakage of users' privacy. In this paper, we propose a privacy protection method to reduce privacy disclosure. First, we use the EAST algorithm to segment whole images into sub-images. Second, we propose a privacy association separation algorithm to protect users' complete information which could be derived from privacy associations between attributes. Finally, we propose a sub-image allocation algorithm that varies for different relations between attributes, sub-sets, and data-entry clerks. We use AHP to model and optimize sub-contractor selection as well. The experimental results on OutsourcingData and U.S. Census Adult dataset show the advantages of our proposed method in terms of both the attribute privacy protection rate and the member privacy protection rate.

INDEX TERMS Image segmentation, outsourcing data entry, privacy protection, privacy association separation, sub-image allocation.

I. INTRODUCTION

As a type of the outsourcing service [1], Data entry, especially image data entry is commonly used in multiple industries such as finance [2], medical industry [3], publishing, etc. OCR [4] is a technology which is widely used in the image data entry. However, this approach is seldom utilized in images containing Chinese information because of its poor recognition for languages with a large number of complex glyphs like Chinese and Japanese. Thus, the OCR is not suitable for Chinese and Japanese. So manual method is still indispensable in China [5] and the process is shown in Figure 1.

Based on the process in Figure 1, we know that data-entry clerks have a high probability of obtaining complete images which may raise the risk of leaking users' privacy. Moreover, sub-images containing users' privacy associations could still contribute to privacy leakage.

Existing privacy protection technologies include encryption [6], data distortion and limited release [7]. Encryption generates a large number of public and private keys, which greatly increases the time cost and the computational cost. Data distortion cannot guarantee the exact entry of the

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original data. Limited release affects the accuracy and causes data missing as well. Unfortunately, as outsourcing data entry requires accurate and original data, exiting privacy protection technologies cannot be applied to the outsourcing data entry.

In cloud outsourcing, Elmehdwi *et al.* [8] focused on the query of the encrypted databases and Cheng [9] studied the security scheme for large-scale matrix computing. In addition, for the outsourcing encryption, Lee *et al.* [10] focused on the data encryption when outsourcing mobile sensitive data. And Jun [11] studied the cipher-text access control of outsourcing storage systems and the cryptography of outsourcing computing. However, they did not pay attention to the leakage of users' privacy caused by complete images and sub-images containing privacy associations.

This paper focuses on solving the two problem mentioned above. The main contributions of this paper are as follows:

- This paper proposes PPM which could increase the security of users' privacy. The algorithm consists of three steps: images segmentation, privacy association separation and sub-image allocation.
- This paper makes use of EAST [12] which utilizes a fully convolutional network (FCN) to segment images.
- This paper evaluates PPM on outsourcing dataset, OutsourcingData and the US Census Adult Dataset.

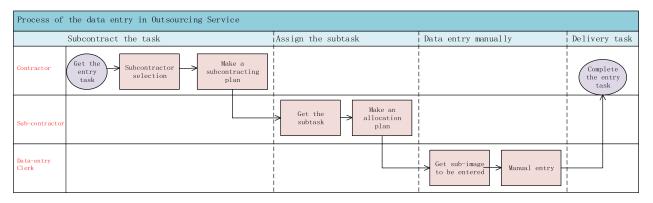


FIGURE 1. Process of the data entry in outsourcing service.

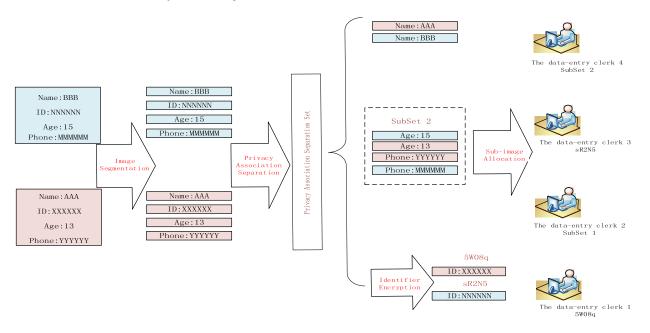


FIGURE 2. Process of privacy protection method in outsourcing data entry.

II. PRIVACY PROTECTION METHOD BASED ON OUTSOURCING DATA ENTRY

A. PROGRAMING DESIGN

The process of this method is shown in Figure 2. PPM consists of three parts: image segmentation, privacy association separation and sub-image allocation.

1) IMAGE SEGMENTATION

The image segmentation is mostly completed by the EAST algorithm. The EAST, which is based on the fully convolutional network, is used to detect the text region of complete images. EAST can allow the image to be in any directions, abbreviating the intermediate process. After the detection, the text region is segmented from the whole image to get the sub-image set.

2) PRIVACY ASSOCIATION SEPARATION

A privacy association separation algorithm should be utilized on sub-images which contain privacy associations. The algorithm has three steps. First, perform the attribute-marked to

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the sub-images. Second, separate the defined privacy associations by traversal to obtain the privacy association separation set. Third, after the separation, encrypt the identifier attribute that uniquely identifies the user.

3) SUB-IMAGE ALLOCATION

According to different relationships between the number of attributes, sub-sets and clerks, we choose different algorithms for sub-image allocation.

B. IMAGE SEGMENTATION ALGORITHM BASED ON THE EAST

Image segmentation is to segment the image ij $(j = \{1, 2, ..., k\})$ in the image set I to a set S of m sub-images according to the page character feature, wherein each sub-image is $sj(j = \{1, 2, ..., m\})$. Then you need to satisfy $\bigcup_{j=1}^{k} ij = I$, satisfy $ip \cap iq = \phi$ for $1 \le p \ne q \le k$; and satisfy $\bigcup_{j=1}^{m} sj = S$, and satisfy $sp \cap sq = \phi$ for $1 \le p \ne q \le m$.

Image types are basic images, tables, vertical images, irregular images, and hyperspectral images. The processing of hyperspectral images is in the literature [12], as well as the dimensionality reduction [13] and feature learning [14], [15] of hyperspectral images. But this article focuses on the first four categories.

Definition 1: Basic image: Refers to an image with a blank area separating adjacent text.

Definition 2: Table: Refers to an image with horizontal lines separating adjacent text.

Definition 3: Vertical image: Refers to an image in which the text is written in a portrait orientation.

Definition 4: Irregular image: Refers to an image with a special text distribution and multiple distinct separate text regions.

This paper cites the EAST algorithm proposed in the literature [16] for the image segmentation of the first four categories. EAST proposed End-to-End full-convolution network (FCN) [17]–[20] to solve the problem of text detection. It can generate geometric annotations in two formats: quadrilateral or rotated box according to specific applications. The article draws on the idea of inception and adopts convolution of various sizes to find the target of different sizes in the target image. Use u-net's non-pool and concat when doing the split. EAST is not only concise but also can detect the text region from the entire image intelligently.

In view of the advantages of the EAST, the main steps of the image segmentation based on the EAST are as follows:

Step 1: Feed whole images into PVANet [21] to extract features in images [22].

Step 2: The output of previous PVANet passes through three blocks containing one non-pooling layer, one 1*1 convolution layer [23] and one 3*3 convolution layer to generate a feature map which would be sent to output layer then.

Step 3: Output the prediction information. If the predicted shape is RBOX (rotated rectangle), then output a score, four regression boxes and an angle information. If the predicted shape is QUAD (quadrilateral), output a score and eight coordinate information.

Step 4: Perform the non-maximum suppression (NMS) operation on the prediction obtained in the previous step to get the final segmentation results.

The network was implemented on Tensorflow framework. We tested performance of EAST on an image from a Japanese outsourcing company. The segmentation results are shown in Figure 3.

One can see from Figure 3 that EAST automatically generates green borders on the image to circle the Japanese text. We split the complete image into sub-images based on the green borders. In general, the text of the image is basically positioned by green borders. Results suggest that, as a part of our PPM algorithm, EAST has a good performance on text detection and image segmentation.

C. PRIVACY ASSOCIATION SEPARATION ALGORITHM

Image segmentation could decrease the risk of leaking users' privacy by separating users' complete information in image. However, clerk could still derive users' complete

| 幼稚園名 呆育園名 | しまかり、幼稚園 | |
|---------------------------------|----------------------------|-----|
| 所在地 | 東部 金町 1丁目 | |
| 担当者 | 東山 奈奈 | |
| 対象学年 | 24 | |
| 参加人数 | 児童:【10】名・保護者】 名 | |
| 電話番号 | 03-6265-7856 | |
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FIGURE 3. Image segmentation result based on the EAST.

information from privacy associations in sub-images. For example, the combination of two attributes, age and gender, can constitute a privacy association. Therefore, it is necessary to perform a privacy association separation algorithm to protect identifier from deriving by privacy association.

The basic idea of the privacy association separation algorithm is to separate attributes in the privacy association by tagging attributes and traversing privacy associations. As a result we can get the privacy association separation set. It is necessary to ensure that attributes in each subset of the privacy association separate set do not constitute a privacy association.

In order to implement the privacy association separation algorithm, some basic concepts are defined below.

Definition 1: Identifier (*ID*). The attribute of an individual can be uniquely determined in a data set.

Definition 2: Quasi-Identifier (QI). The attributes can be linked to the external information in a data set, resulting in the leakage of users' information.

Definition 3: Sensitive Attribute (*SA*), the attributes that involve the user's sensitive information in a data set. Sensitive attributes are usually the user's private information and need to be protected.

Definition 4: General Attribute (GA), in a data set, refers to the attributes other than the identifiers, the quasi-identifiers, and the sensitive attributes. The general attributes are usually not directly or potentially linked to the user's private information.

Definition 5: Privacy Association Set (*SC*), it is a set of all privacy associations existing in the sub-image set *S*, and *S* is obtained by the image segmentation. Each subset of the *SC* may be represented by $SC_j(j = \{1, 2, ..., k\})$. SC_j , a set of privacy associations between the sub-images segmented from the original image ij.

Definition 6: Privacy Association Separation Set (*RE*), for the element SC_j (in which $j = \{1, 2, ..., k\}$) in the privacy association set *SC*, the privacy association separation algorithm is operated to get the privacy association separation set *RE*. Each subset in the *RE* can be represented by RE_j (in which $j = \{1, 2, ..., k\}$). And the internal attributes of each subset in *RE_i* do not constitute a privacy association.

Combined definitions above, the privacy association separation algorithm in this paper is described as follows:

Step 1: Label the information of the sub-image, which contains the identifier *ID*, the quasi-identifier *QI*, the sensitive attribute *SA*, and the regular attribute *GA*.

Step 2: Combine the privacy associations between the ID, QI and SA in the data anonymous model. And then traverse all the privacy associations in the SC_j . For the attribute determined as the identifier, we put it into the privacy association separation set RE_j .

Step 3: First, traverse all attributes except the identifier. Second, determine the correct position of the current attribute in the subset of the RE_j . Third, remove the attributes that have been placed in RE_j from all attributes. Finally, continue the loop until all attributes in the SC_j find the correct position in the RE_j . Need to satisfy, $\bigcup_{j=1}^k RE_j = RE$ and for $1 \le p \ne q \le k$ need to satisfy that $RE_p \cap RE_q = \phi$.

The pseudo-code of privacy association separation algorithm is given in Algorithm 1.

Algorithm 1

Input: *SC*_j

Output: REj

- (1) Attribute tagging the elements in a sub-image set
- (2) for Traverse each subset in SC_{i} do
- (3) **if** The number of attributes in current subset is 1. **then**

then

(4) The current attribute is *ID*, and put it into a new subset of the set RE_j .

- (5) end if
- (6) **end**

(7) Remove the attributes that have been placed in the set RE_i from SC_i

(8) for Traverse each attribute in SC_j (the attributes haven't been placed in the set RE_j) do

(9) for Traverse each subset in SC_i do

(10) **if** The current subset in the RE_j coincides with the subset in the SC_i **then**

- (11) Move to the next subset in the set RE_i
- (12) break

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(13) else flag=flag+1
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- (14) end if
- (15) end
- (16) **if** flag=the number of the subsets in the set SC_1
- (17) Put current attribute to the current subset in RE_i
- (18) end if
- (19) end

To increase the safety of privacy, sub-images containing identifier information need to be encrypted after the separation of privacy associations. Encryption algorithm consists of two parts. First, generate a random sequence based on the

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number of vertical pixels and horizontal pixels in sub-images. Second, according to the random sequence, scramble the rows and the columns of the sub-images respectively.

D. SUB-IMAGE ALLOCATION ALGORITHM

1) SUB-IMAGE ALLOCATION

Each clerk may be allocated more than one sub-image when the number of clerks is inadequate. So a reasonable allocation strategy is necessary here to hold the security of privacy. This paper proposes a sub-image allocation algorithm. This method considers the allocation strategy to ensure the rationality of the distribution and the security of the privacy.

The basic idea of the sub-image allocation algorithm is to consider the relationships among the number of attributes h and sub-sets f in the privacy association separation set, and the number of entries n. Different relationships determine different allocation strategies, such as the average allocation, the random allocation and the group allocation. The algorithm is described as follows:

Step 1: The encrypted sub-images are equally distributed to the data-entry clerks and the random sequence corresponding to the encrypted sub-image is sent to the data-entry clerks simultaneously.

Step 2: When the number of data-entry clerks n is greater than the number of attributes h in the set, each attribute can be equally distributed to n / h data-entry clerks; When the number of subsets f is less than or equal to the number of the data-entry clerks n, and n is smaller than the number of attributes h, the attributes of the subsets in the privacy association separation set may be respectively grouped. We assign the different groups to different data-entry clerks when the number of attributes of each group is less than or equal to h/n + 1; When the number of data-entry clerks n is smaller than the number of subsets f, a random allocation algorithm is selected. The process is shown in Figure 4.

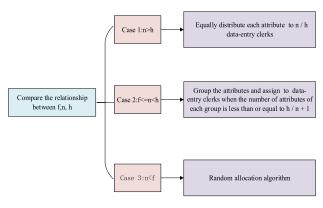


FIGURE 4. The process of step 2 in the sub-image allocation algorithm.

Step 3: Calculate the ratio between the number of sub-images to be allocated and the number of data-entry clerks to be assigned, expressed by avg. If the number of sub-images allocated to each clerk is greater than avg, the algorithm would subtract it from total amount and

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recalculate the new avg. Repeat the above process until the number of sub-images is less than avg, then the loop ends.

The pseudo-code of the sub-image allocation algorithm is given in Algorithm 2.

Algorithm 2

Input: *RE_j* Output: *Fj* (Sub-image allocation set)

- (1) **for** j = 1 to **n**
- (2) do Average allocation of encrypted sub-images end

(3) **if** $n \ge h$ **then**

(4) **for** k = 1 to (n - n % h) **do**

- (5) Average allocation of the sub-images containing only one attribute to the n / h clerks
- (6) end

(7) else if $f \le n \&\& n \le h$ then

- (8) g = h / n + 1
- (9) for k=1 to f do
- (10) if The number of attributes in current subset $\leq =g$
- (11) **then** Assign attributes from current subset to a clerk and move to the next clerk
- (12) **else** Assign the g attributes in current subset to a clerk and move to the next clerk
- (13) end if
- (14) end
- (15) else if n < f then Random allocation end if
- (16) Remove the sub-images that have been assigned from the sub-images to be assigned
- (17) while The number of elements in the Fj >= avg do

(18) avg \leftarrow number of sub-images to be allocated / number of people to be assigned

(19) **if** The number of elements in the Fj > avg

(20) **then** The number of sub-images to be allocated $\leftarrow f$ - the number of elements in the *Fj*

(21) Decrease one from the total number of data-entry clerks to be assigned

- (22) end if
- (23) end

(24) Get the data entry clerks who can continue to allocate the sub-images

(25) Image the clerk to be assigned in the previous step is at least avg.

2) SUB-CONTRACTOR SELECTION

The premise of the sub-image allocation algorithm is assigning tasks to existing data-entry clerks, but it does not involve the selection of the sub-contractors. The selection of the sub-contractors can make the allocation of the sub-images more reasonable and reduce the leakage of users' privacy. Moreover, it is conducive to maximize corporate interests.

AHP [24] is an effective method to select sub-contractors. It consists of four steps: building the hierarchical model, constructing the judgment matrix at each level, hierarchical

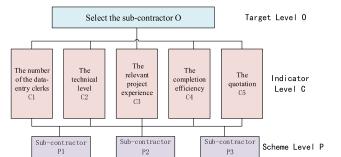


FIGURE 5. The hierarchical model for selecting the sub-contractor.

TABLE 1. The meanings of the scale of 1-9.

| 1-9 scale | Meaning | | | |
|-----------|---|--|--|--|
| 1 | C_i and C_j are the same | | | |
| 3 | $C_{ m i}$ is slightly stronger than $C_{ m j}$ | | | |
| 5 | $C_{ m i}$ is stronger than $C_{ m j}$ | | | |
| 7 | $C_{ m i}$ is significantly stronger than $C_{ m j}$ | | | |
| 9 | $C_{\rm i}$ is definitely stronger than $C_{\rm j}$ | | | |
| 2,4,6,8 | The influence ratio of $C_{\rm i}$ to $C_{\rm j}$ is between | | | |
| 1,1/2,1/9 | the above two adjacent levels The influence ratio of C_i to C_j is the reciprocal of the above values | | | |

single ranking and consistency checking, hierarchical total ranking and consistency checking.

(1) Building the hierarchical model

We select five indicators, the number of data-entry clerks, the technical level, the relevant project experience, the completion efficiency and the quotation, which are five key factors during choosing the sub-contractor. And the hierarchical model is established in Figure 5.

(2) Constructing the judgment matrix at each level Calculate C_i to represent the importance of the ith indicator at target level *O*. a_{ij} represents the ratio of C_i to C_j . And the formula of judgement matrix *A* is shown below.

$$A = (a_{ij}) n \times n, \quad a_{ij} > 0, \quad a_{ji} = \frac{1}{a_{ij}}$$
(1)

The values in the matrix can be expressed in the scale of 1-9, and their meanings are shown in Table 1.

Obviously, in the judgment matrix A, a_{ij} and a_{ji} are reciprocal. After the C_5^2 operation, the judgment matrix A becomes

$$A = \begin{bmatrix} 1 & 4 & 4 & 3 & 3\\ 1/4 & 1 & 1/3 & 1/2 & 1/3\\ 1/4 & 3 & 1 & 1/2 & 1/3\\ 1/3 & 2 & 2 & 1 & 1/2\\ 1/3 & 3 & 3 & 2 & 1 \end{bmatrix}$$
(2)

Calculate the importance of each indicator at layer *C* in the same way. The final results are as follows.

$$C1 = \begin{bmatrix} 1 & 3 & 5 \\ 1/3 & 1 & 2 \\ 1/5 & 1/2 & 1 \end{bmatrix} \quad C2 = \begin{bmatrix} 1 & 1/3 & 1/2 \\ 3 & 1 & 2 \\ 2 & 1/2 & 1 \end{bmatrix}$$
$$C3 = \begin{bmatrix} 1 & 2 & 3 \\ 1/2 & 1 & 2 \\ 1/3 & 1/2 & 1 \end{bmatrix} \quad C4 = \begin{bmatrix} 1 & 1/2 & 3 \\ 2 & 1 & 5 \\ 1/3 & 1/5 & 1 \end{bmatrix}$$
$$C5 = \begin{bmatrix} 1 & 1/3 & 1/5 \\ 3 & 1 & 1/3 \\ 5 & 3 & 1 \end{bmatrix} \quad (3)$$

(3) Hierarchical single ranking and consistency checking In hierarchical single ranking, we compute the largest eigenvalue λ_{max} and its respective eigenvector W of matrix A. We normalize the W as the relative ranking weight of the importance of the element in the level compared to the corresponding element in the previous level [25]. This method could reflect difference of relative influence on one indicator. However, it is easy to cause inconsistency between relative influences if all indicators are combined. So consistency checking is required which could refine the judgement matrix A. Furthermore, the relative ranking weight obtained by the judgment matrix A can be accepted and the inconsistency of the judgment matrix A can be limited to a certain range. Saaty defines CI as the consistency indicator and introduces the random consistency indicator RI to determine the allowable range of inconsistency of the judgment matrix A.

$$CI = \frac{\lambda - n}{n - 1} \tag{4}$$

The value of the random consistency indicator RI is related to the order n of the judgment matrix A, as shown in Table 2.

TABLE 2. The value of the random consistency indicator RI.

| п | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|----|---|---|------|-----|------|------|------|------|------|
| RI | 0 | 0 | 0.58 | 0.9 | 1.12 | 1.24 | 1.32 | 1.41 | 1.45 |

The consistency ratio CR is defined as the ratio of the consistency indicator CI of judgment matrix A to the random consistency indicator RI of the same order. When the consistency ratio is less than 0.1, the judgment matrix is considered to pass the consistency checking. Feature vector is then could be used as the weight vector. Otherwise, the judgment matrix needs to be readjusted until it passes the consistency checking. In the example, CR = 0.054 which is less than 0.1 matches the requirement of consistency.

(4) Hierarchical total ranking and consistency checking The results of the hierarchical total ranking and consistency checking are shown in Table 3.

Results in Table 3 imply that the total order of P_1 , P_2 , and P_3 is 0.4254, 0.3179, and 0.2567, respectively. So the priority

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 TABLE 3. The results of the hierarchical total ranking and consistency checking.

| C_{1} 0.440 | | | C 4 0.150 | C 5 0.233 | TOTAL ORDER | CR |
|-----------------------------|-------|-------|--------------|--------------|----------------|---|
| P_{1} 0.648 | 0.163 | 0.540 | 0.309 | 0.105 | 0.425 | |
| P_{2} 0.230 | 0.540 | 0.297 | 0.582 | 0.254 | 0.318 | $\begin{array}{c} 0.0 \\ 1 \end{array}$ |
| <i>P</i> ³ 0.122 | 0.297 | 0.163 | 0.110 | 0.637 | 0.257 | |

order of selecting the sub-contractors is: P_1 , P_2 , P_3 . We tentatively put forward that the AHP is suitable for the subcontractors selection. The five factors such as the number of the data-entry clerks, the technical level, the relevant project experience, the completion efficiency and the quotation may not be comprehensive enough, but it is quite useful.

III. PRIVACY PROTECTION EXPERIMENT

The experiment was performed on data from an outsourcing dataset, OutsourcingData and a 1994 US Census Adult Dataset [26]. This dataset covers a wider range of attributes which could make our results more convincing. Moreover, data from this dataset contains many sensitive attributes like salary which could test our algorithm's performance of privacy protection.

There are three types of the privacy leakage during the process of data entry in the outsourcing service, attribute leakage, the member leakage, and the identity leakage respectively [27]. We execute specific tests on the attribute privacy protection rate and the member privacy protection rate.

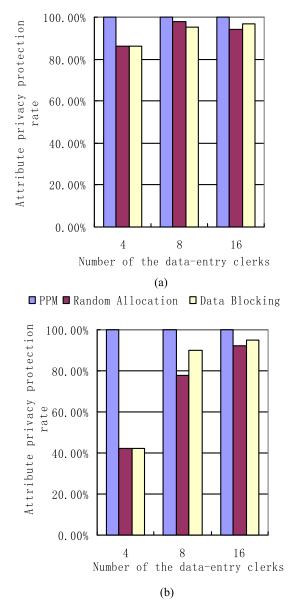
A. ATTRIBUTE PRIVACY PROTECTION RATE

The attribute privacy protection rate refers to the ratio of the number of sensitive attributes that cannot identify an individual to the total number of sensitive attributes.

The experimental results about the attribute privacy protection rate on OutsourcingData and Adult are shown in Figure 6(a) and Figure 6(b). It can be seen that the attribute privacy protection rate is 100%, no matter with the dataset and the number of entries. This is because the attribute privacy protection rate reflects the impact of sensitive attributes on users' privacy. However, PPM has no sensitive attributes after image segmentation and privacy association separation.

In addition, in Figure 6, the method proposed in this paper (referred to as PPM) is compared with the random allocation method and the data blocking method [28] on OutsourcingData and Adult. It can be seen that as the increase of the data-entry clerks, the attribute privacy protection rate of the random allocation method and the data blocking method improves as well. The reason is that the more clerks, the harder it is for individuals to get sensitive information. However, PPM is obviously superior to the other two methods. Even with a small number of the data-entry clerks,

□ PPM ■ Random Allocation □ Data Blocking





this method can also ensure the attribute privacy protection rate reaches 100%.

B. MEMBER PRIVACY PROTECTION RATE

The member privacy protection rate refers to the ratio of the number of individuals that cannot be identified to the total number of individuals. The member privacy protection rate on Outsourcing and Adult is same and shown in Figure 7. It can be seen that the member privacy protection rate has no change as the number of original images but increases gradually as the increase of the number of data-entry clerks. This is because we perform image segmentation on the original image to get a sub-images set. The number of sub-images

□ clerks=4 □ clerks=8 □ clerks=16

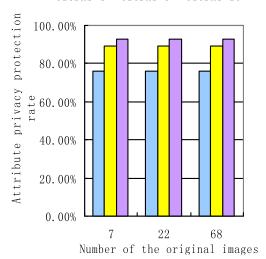


FIGURE 7. Change of the member privacy protection rate.

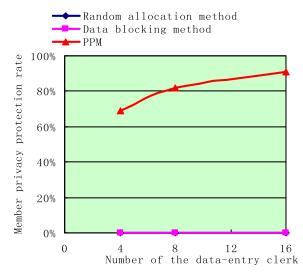


FIGURE 8. Changes in the member privacy protection rate of the three methods.

is unpredictable and is generated intelligently by the EAST. Therefore, the number of original images has no effect on the member privacy protection rate.

We compare PPM with the random allocation method and the data blocking method. The changes of the member privacy protection rate in different methods are shown in Figure 8.

PPM has a better performance on privacy protection. Furthermore, it can increase the member privacy protection rate to more than 70%. This is because member privacy protection rate is concerned with the possibility of identifying individuals. PPM performs identifier encryption and privacy association separation to ensure the member privacy protection rate. However, both the random allocation method and the data blocking method do not take into account the individual identification. Therefore, there is no member privacy protection for these two methods. The experimental results show that the proposed method has a good performance on the protection of attribute privacy and member privacy, which can reduce the probability of the leakage of users' privacy effectively. PPM has a big advantage over the random allocation method and the data blocking method even with inadequate clerks. Therefore, the method proposed in this paper is safe and practical.

IV. CONCLUSION

The purpose of this paper is to solve the problem of the leakage of user's privacy during the process of manual image entry when privacy associations and images with complete user's information are accessible to clerks. This method embeds the image segmentation, the privacy association separation and the sub-image allocation into the process of the image entry. We consider each essential during the process of image entry and propose methods to prevent privacy leakage accordingly. Results indicate that the method is practical and safe. It does increase the security and the availability of image data entry. However, we have to point out that the privacy association separation is not intelligent and efficient. Thus, in the following work, we are going to utilize deep leaning to improve the privacy association separation.

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FENGQI LI received the Ph.D. degree from the Dalian University of Technology, in 2014. He is currently a Professorate Senior Engineer. He is also the Vice-President of the School of Software, Dalian University of Technology, and the Vice-President of the Dalian Software Industry Association. His main interests include blockchain, 3-D printing, big data, and enterprise information. More than 30 of his academic papers have been included in SCI or EI.



AIDI PANG received the B.S. degree in medical information engineering from the Liaoning University of Traditional Chinese Medicine, in 2016, and the master's degree in software engineering from the Dalian University of Technology, in 2018. Her main interest includes intelligent medical treatment.



CHUNLI SHANG received the B.S. degree in information security from the Civil Aviation University of China, in 2017. She is currently pursuing the M.S. degree in software engineering with the School of Dalian University of Technology. Her main interests include block chain and deep learning.



KEMENG LIU received the B.S. degree in software engineering from the Dalian University of Technology, Dalian, China, in 2018, respectively, where he is currently pursuing the M.S. degree in software engineering. He is currently involved in improving the PBFT algorithm to solve the centralization problem in the alliance chain. His main interest is blockchain technology, especially the consensus algorithm in the alliance chain.



SIKAI HUANG received the B.S. degree in software engineering from the Dalian University of Technology, China, in 2014, and the M.Sci. degree in computer science from Columbia University, USA, in 2017. He is currently pursuing the Ph.D. degree with the National University of Singapore, Singapore.

From 2017 to 2018, he was an Assistant Research Scientist with the Research Foundation for Mental Hygiene. From 2018 to 2019, he was

a Researcher with iFlytek's AI Research. His research interest includes machine learning, specifically probabilistic graphical model, Gaussian processes, deep learning for computer vision, and medical imaging.