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Acceleration for HEVC Encoder by Bimodal Segmentation of Rate-Distortion Cost and Accurate Determination of Early Termination and Early Split

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ABSTRACT The processing unit with a quad-tree structure in high efficiency video coding (HEVC/H.265) consists of a coding unit (CU), a prediction unit (PU), and a transform unit (TU). The CU and PU account for the majority of the computational complexity. This paper proposes a fast inter-prediction algorithm to overcome the high-computational demand associated with the coding complexity for an HEVC/H.265 encoder. In this paper, the CU depth prediction is proposed to reduce the number of CU executions by incorporating the depths and rate-distortion costs (RD-costs) of the adjacent CUs. Bimodal RD-cost segmentation is proposed for the elementary dichotomy of RD-cost distribution. The proposed algorithm applies the one-sided Chebyshev's inequality for the determination of accurate RD-cost thresholds by adjusting the error rates for early termination and early split. Our approach achieves 50.1% and 48.7% time savings with Bjøntegaard delta bit rate (BDBR) increases of 1.2% and 1.0% compared to the HEVC/H.265 reference software for random access and low delay configurations, respectively. The proposed method has better performance than earlier researches in terms of both coding speed and rate-distortion.

INDEX TERMS High efficiency video coding, HEVC, H.265, bimodal segmentation, RD-cost, one-sided Chebyshev's inequality, early termination, early split.

I. INTRODUCTION

Video applications have become widely popular and the resolution of video is continually increasing. Thanks to the fast transmission capability of the Internet, real-time video streaming is becoming more useful than ever. The quality and resolution requirements of multimedia applications are

rising dramatically along with bandwidth growing up [1]–[3]. Nowadays, ultra-high definition TV (UHDTV) supports 8K × 4K resolution, and 4K (3840 × 2160) smartphones have recently become available on the market. An 8K UHDTV (7680 × 4320, 4320p, 4:2:0, 30fps) video signal requires 11391 Mbps of bitrate and about 1423 MB for one second of video. When it comes to state-of-the-art quadruple-layered (BD-XL, 128 GB) Blu-ray Disks, one disk is capable of holding only 92 seconds of raw 8K UHD video data. Real-time

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transmission of the aforementioned video data is beyond the capabilities of contemporary networks. In order to handle this prohibitively high video signal bitrate, developing efficient video compression technique is necessary.

The newest international video coding standard High Efficiency Video Coding (HEVC/H.265) [4] has been improved nearly twofold compared to the previous video standard, Advanced Video Coding (H.264/AVC) [5]. Compared to H.264/AVC, which is widely applied to high definition (HD) video signals, HEVC/H.265 can efficiently encode the videos beyond HD resolution (e.g., 4K and 8K ultra-high-definition resolutions). However, the coding complexity of HEVC/H.265 is much higher than that of previous standards. Thus, devising a way to reduce the coding complexity of the HEVC/H.265 encoder, thereby making it more conducive to use in practical applications is the purpose of this paper.

II. RELATED WORKS

HEVC/H.265 achieves significantly improved compression efficiency by taking advantage of novel compression methods. Nevertheless, it brings the disadvantage of huge of computation. Researchers have proposed efficient algorithms to reduce the HEVC encoder's computational complexity [6]. CU is not split in [7] if the SKIP mode is employed. Early SKIP detection [8] checks inter $2N \times 2N$ first and ignores other PU modes if SKIP is selected. Coding Flag Mode (CFM) [9] is designed for terminating the PU mode decision when the coded block flag (CBF) is active for the current PU. However, the bitrate rises when the encoding time is reduced.

A hierarchical complexity control approach in [10] accurately reduces the coding complexity to the target bandwidth and keeps video quality simultaneously. Reference [11] proposes a rate control scheme for region of interest (ROI) based on Fourier transform and neuron network. Reference [12] proposes an early SKIP mode decision, utilizing the characteristics of the SKIP mode to reduce the complexity. If the best prediction mode in the current CU depth and those of the reference CUs are SKIP mode, the procedure of the encoding CU can be terminated. Kim *et al.* [13] propose a method to check PU of type $2N \times 2N$ first; then checks its CBF and the motion vector difference (MVD) to decide if skip early or not. If these two values are zero, the rest of the PU types in the current CU depth are ignored and the next CU depth can be checked directly. Reference [14] applies a threshold attained by reference to average SKIP mode RD-cost and checks CBF to skip PUs. Reference [15] defines that if the sum of the RD costs of the current depth CU is larger than the RD cost of its parent CU, the CU procedure is terminated. In addition, the average RD cost of previously coded SKIP modes is computed as a threshold. If the RD cost of the current CU is smaller than the threshold, there is no need to further split the CU. In [16], if the average of the RD cost of the SKIP mode coded before multiplied by a weighting factor is larger than the RD cost of the current CU's SKIP mode, then CU early termination is triggered. In addition, if the sum of the RD costs of the current depth CU is larger than the

RD cost of its parent CU, the CU procedure is terminated. The works in [17] and [18] present a CU selection algorithm according to motion homogeneity, and apply spatio-temporal correlation of CU depth to predict which depth level should be checked. In [19] and [20], a threshold is set based on the best prediction mode's RD-cost of spatio-temporal CUs to cut mode candidates. In [21], skip estimation and early termination for CU size decision are proposed to diminish HEVC/H.265 encoder's computations. In [22], the encoding procedure is accelerated both at the levels of frame and coding unit. In the frame level algorithm, the ratio of the number of CUs in the current depth in the previous frame to the number of CUs in the next depth in the previous frame is computed. If the ratio is smaller than or equal to a threshold, the current depth can be skipped. Conversely, if the ratio is greater than a threshold, all the PU types in the current CU will be performed completely. In the CU level, if the depths of the neighboring CUs are greater than the current depth, it is not necessary to further split them.

Reference [23] adaptively selects the CU depth range for effective splitting. Reference [24] reduces the coding complexity by dynamically adjusting CU depth and compares the results under various constrained complexity reduction ratios. In [25], a complexity allocation scheme is proposed by linear programming to maximize the RD-performance. In addition, a flexible mode selection is designed according to allocated complexity factor. Reference [26] analyzes the RD-complexity of different inter modes and the execution of symmetric motion partition (SMP) and asymmetric motion partition (AMP) is determined to accelerate the prediction. Reference [27] analyzes the relation of motion compensation cost and the sum of absolute differences (SAD) cost for fast deciding CU. Reference [28] trains the decision trees by using data mining tool and the computation complexities of CU, PU, and RQT(residual quadtree) are decreased. In [29], the distributions of distortion and residual are utilized to decide whether to skip PU modes and motion estimation (ME). In [30], the inter modes and spatio-temporal correlation are analyzed by Transparent Composite Model (TCM) and a fast inter mode decision method is proposed. Reference [31] proposes a complexity control method combined with the early termination conditions, which the thresholds of the early termination conditions are dynamically attuned.

Reference [32] proposes a data driven algorithm based on data training and classification to effectively decide the CU sizes for intra coding. Reference [33] incorporates the correlations of inter-level and spatio-temporal to speedup the encoder process. In [34], Edge Offset of Sample Adaptive Offset (SAO) of the neighboring CTUs is used to early decide SKIP mode. Reference [35] formulates the time-consuming CU splitting process as a cascaded classification task and reduces the coding time by based on fuzzy support vector machine (SVM). In [36], the multiple reference frames (MRF) during inter prediction are early selected and optimized based on content similarity to reduce the computational complexity. Reference [37] proposes an early skip

detection by identifying the motionless and homogeneous regions. Reference [38] acquires edge information within a CTU and conceives a fast mode decision method according to the edge diversities.

This paper proposes an inter-prediction algorithm by early termination and early split of the procedures of coding unit and prediction unit. Our approach investigates the trade-off between the RD performance and coding speed and provides better performance than previous works.

III. PROPOSED ALGORITHM

Inter-prediction in HEVC/H.265 encoder performs mode decision and ME for all the PU types for every CU depth, and thus also incurs a massive computational burden. In the proposed algorithm, adaptive CU depth estimation is designed to eliminate irrelative depths. Bimodal RD-cost segmentation is implemented by Otsu's automation segmentation for elementary dichotomy of RD-cost. One-sided Chebyshev's theorem is applied to control the error rate to ensure accurate early termination and early split. Adaptive search range is also adopted in our scheme.

A. ADAPTIVE CU DEPTH ESTIMATION ALGORITHM

In order to accelerate the CU depth estimation procedure, we obtain the minimum depth ($Depth_{LBound}$) and maximum depth ($Depth_{UBound}$) values of reference CTUs of the current CTU as shown in (1) and (2). Fig. 1 visualizes the neighboring CTUs, which are used to obtain $Depth_{LBound}$ and $Depth_{UBound}$.

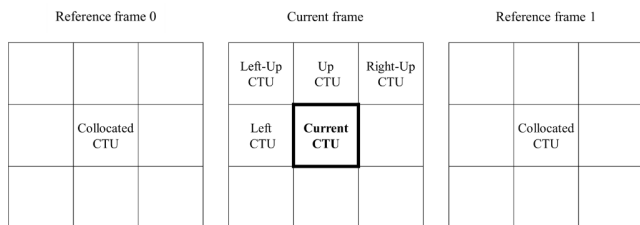


FIGURE 1. Illustration of neighbor CTUs in proposed inter-prediction algorithm.

Moreover, the predicted depth ($Depth_{pre}$) is determined by the information of the depths incorporated with the RD-costs in all neighboring CUs. The predicted depth for the current CU is the weighted sum, which is calculated by the inverse of RD-costs and the depth of neighboring CUs plus one, as indicated in (3) as our previous work [39] for intra-prediction. The total weighted sum, calculated by the inverse of RD-costs, is shown in (4). The i or j means the index of neighboring CUs. The n indicates the number of CUs within neighboring CTUs. $Depth_i$ and $RDcost_i$ indicate the depth and the corresponding RD-cost of the i -th neighboring CUs, respectively. The smaller the RD-cost of neighboring CUs, the more significant this is for the corresponding depth. In order to avoid a zero value for depth 0, one is added to

$Depth_i$ to get the $Depth_{pre}$.

$$Depth_{LBound} = \min Depth_{CU}, \quad CU \in CTU_{Neighbor} \quad (1)$$

$$Depth_{UBound} = \max Depth_{CU}, \quad CU \in CTU_{Neighbor} \quad (2)$$

$$Depth_{pre} = truncate\left(\frac{1}{Weight_{Total}} \sum_{i=0}^{n-1} \left(\frac{1}{RDcost_i \times 4^{Depth_i}} \times (Depth_i + 1)\right)\right) \quad (3)$$

$$Weight_{Total} = \sum_{j=0}^{n-1} \frac{1}{RDcost_j \times 4^{Depth_j}} \quad (4)$$

$$Depth_{LBound} = Depth_{LBound} - 1, \quad \text{if } Depth_{pre} == Depth_{LBound} \quad (5)$$

$$Depth_{UBound} = Depth_{UBound} + 1, \quad \text{if } Depth_{pre} == Depth_{UBound} \quad (6)$$

$$Depth_{LBound} = \max\{Depth_{LBound}, 0\} \quad (7)$$

$$Depth_{UBound} = \min\{Depth_{UBound}, 3\} \quad (8)$$

Although early splitting and early terminating CU methods speed up the CU and bypass the PU and TU processes, this improvement is accompanied by RD performance degradation. To mitigate this drawback, we jointly consider the $Depth_{pre}$ with $Depth_{LBound}$ and $Depth_{UBound}$. When $Depth_{pre}$ is equal to $Depth_{LBound}$, it means the predicted depth is close to the predicted lower bounded depth. The $Depth_{LBound}$ has one subtracted from it to maintain the coding performance, as specified in (5). On the other hand, one is added to $Depth_{UBound}$ when $Depth_{pre}$ equals $Depth_{UBound}$, as shown in (6). Equations (7) and (8) constrain $Depth_{LBound}$ and $Depth_{UBound}$ within CU depth 0 to 3.

To verify the capability of the proposed depth estimation algorithm, we investigate the accuracies of the individual neighboring CU depth and the proposed algorithm ($Depth_{LBound}$, $Depth_{UBound}$, and $Depth_{pre}$). As listed in TABLE 1, the simulation includes 25 testing sequences (Class A-F) for random access configuration with quantization parameters (QP) of 22, 27, 32 and 37. The depth of the reference CUs (Left, Up, Left-Up, Right-Up and Collocated) are predicted correctly if the depth of reference CU is equal to the actual depth of current CU. $Depth_{LBound}$ is predicted correctly if the actual depth of current CU is larger than or equal to $Depth_{LBound}$. $Depth_{UBound}$ is predicted correctly if the actual depth of current CU is smaller than or equal to $Depth_{UBound}$. The $Depth_{pre}$ is predicted correctly if $Depth_{pre}$ is equal to the actual depth of current CU. TABLE 2 shows the average probabilities of the accuracies for individual neighboring CU depth and the proposed algorithm. As can be seen from TABLE 2, the collocated CUs obtain the highest probability among the reference CUs, followed, in descending order, by Left CU, Up CU, Right-Up CU and Left-Up CU. In terms of the $Depth_{LBound}$ and $Depth_{UBound}$, the probabilities are more than 99%. The probability of $Depth_{pre}$ is

TABLE 1. Testing sequences for simulation.

Class		Name	Resolution	Frames	Fps
A	S01	Traffic	2560 × 1600	150	30
A	S02	PeopleOnStreet	2560 × 1600	150	30
B	S03	Kimono	1920 × 1080	240	24
B	S04	ParkScene	1920 × 1080	240	24
B	S05	Cactus	1920 × 1080	500	50
B	S06	BasketballDrive	1920 × 1080	500	50
B	S07	BQTerrace	1920 × 1080	600	60
C	S08	BasketballDrill	832 × 480	500	50
C	S09	BQMall	832 × 480	600	60
C	S10	PartyScene	832 × 480	500	50
C	S11	RaceHorses	832 × 480	300	30
D	S12	BasketballPass	416 × 240	500	50
D	S13	BQSquare	416 × 240	600	60
D	S14	BlowingBubbles	416 × 240	500	50
D	S15	RaceHorses	416 × 240	300	30
E	S16	Vidyo1	1280 x 720	600	60
E	S17	Vidyo3	1280 x 720	600	60
E	S18	Vidyo4	1280 x 720	600	60
E		FourPeople	1280 × 720	600	60
E		Johnny	1280 × 720	600	60
E		KristenAndSara	1280 × 720	600	60
F	S23	BasketballDrillText	832 x 480	500	50
F	S24	ChinaSpeed	1024 x 768	500	30
F	S25	SlideEditing	1280 x 720	300	30
F	S26	SlideShow	1280 x 720	500	20

TABLE 2. Accuracy(%) of CU depth estimation.

Sequence	Left	Left-Up	Up	Right-Up	Collocated	Depth _l Bound	Depth _u Bound	Depth _{pre}	
A	S01	82.78	76.55	80.58	78.75	89.10	99.88	99.55	92.40
A	S02	87.38	82.83	86.00	82.98	92.20	99.90	99.50	92.40
B	S03	62.85	57.50	60.85	56.33	64.30	100.00	98.05	68.80
B	S04	78.95	70.55	76.75	69.60	87.00	99.90	99.35	91.50
B	S05	82.15	75.83	80.55	76.10	88.30	99.88	99.33	95.20
B	S06	75.10	63.90	68.78	64.18	75.10	100.00	99.00	89.90
B	S07	83.60	70.40	75.25	73.50	83.00	99.88	98.68	87.40
C	S08	87.55	75.70	82.23	75.88	98.30	99.98	100.00	99.90
C	S09	81.48	68.85	79.63	71.38	91.60	99.60	98.73	95.10
C	S10	91.73	80.20	87.18	80.30	99.40	99.95	100.00	99.50
C	S11	80.58	69.55	78.73	69.50	90.70	99.80	99.68	96.90
D	S12	74.30	49.35	65.55	45.75	82.40	99.93	99.35	97.80
D	S13	86.13	63.90	73.85	52.60	99.40	99.73	100.00	99.70
D	S14	87.00	64.15	75.80	53.98	99.30	99.80	99.98	99.80
D	S15	84.38	62.18	74.68	54.58	96.70	99.93	99.95	98.80
E	S16	76.20	66.88	73.60	67.83	81.60	99.88	98.83	94.00
E	S17	74.50	63.78	71.70	65.48	77.20	99.65	98.25	86.20
E	S18	70.45	63.00	69.13	64.48	75.50	99.43	98.20	85.40
E	Four	81.35	73.10	79.58	73.00	88.40	100.00	99.08	92.70
E	Johnny	72.35	65.65	74.40	65.98	70.20	99.98	98.48	77.60
E	Kristen	70.60	64.58	73.20	64.45	73.60	99.93	99.00	84.10
F	S23	88.10	76.05	83.08	76.93	98.50	99.98	100.00	99.90
F	S24	76.68	56.33	62.35	55.90	72.80	99.38	98.50	89.30
F	S25	79.68	64.23	73.80	64.60	83.20	99.90	99.30	92.20
F	S26	80.73	63.35	67.08	62.00	70.80	99.95	97.15	81.40
Average		79.86	67.54	74.97	66.64	85.14	99.85	99.12	91.52

91.52%. TABLE 2 indicates that the proposed depth estimation algorithm is effective.

B. BIMODAL RD-COST SEGMENTATION BY OTSU'S ALGORITHM

By analyzing the RD-costs of the final best CUs (Non-split CU) and the RD-costs of CUs to be further split (Split CU), we intend to explore a suitable method of early termination and early split. Fig. 2, Fig. 3, and Fig. 4 indicate the

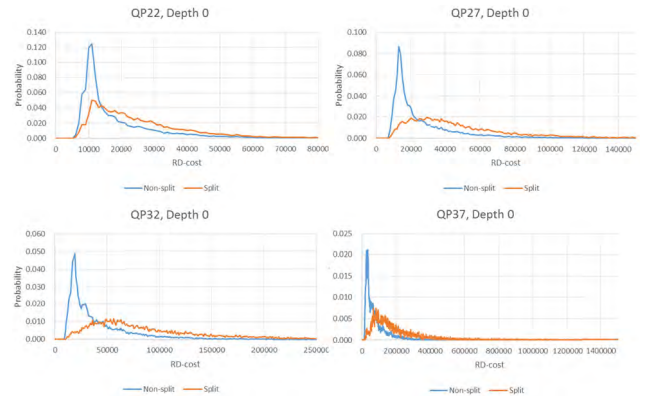


FIGURE 2. Probability distributions of RD-costs at depth 0 with QP 22, 27, 32, and 37 for split and non-split CUs for the S14 sequence.

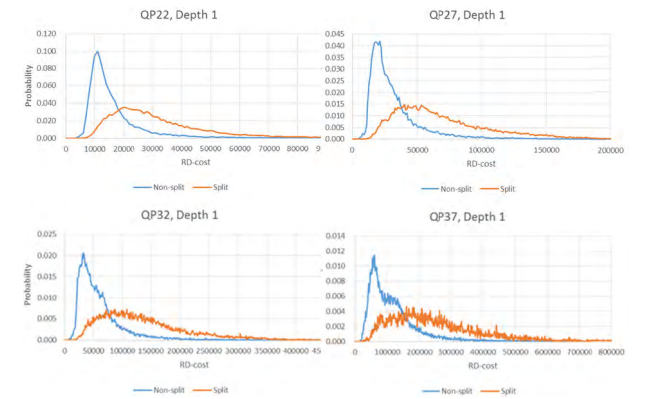


FIGURE 3. Probability distributions of RD-costs at depth 1 with QP 22, 27, 32, and 37 for split and non-split CUs for the S14 sequence.

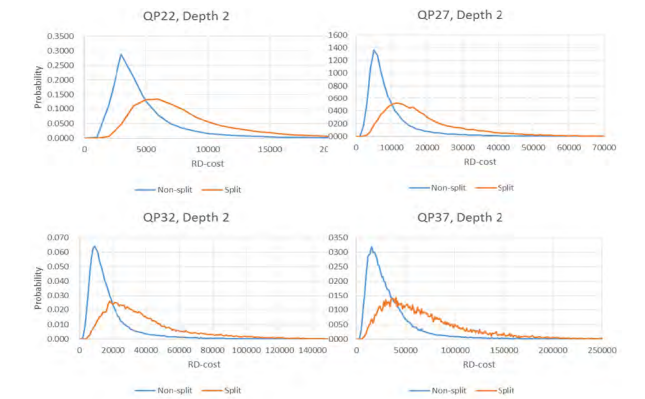


FIGURE 4. Probability distributions of RD-costs at depth 2 with QP 22, 27, 32, and 37 for split and non-split CUs for the S14 sequence.

probability distributions of rate distortion costs at depth 0, 1, 2 with QP 22, 27, 32, and 37 for Non-split and Split CUs for the S14 sequence. In these figures, the vertical-axis represents the probability of Non-split and Split RD-costs, while the horizontal-axis represents the RD-cost for Non-split CUs and Split CUs, which are collected in each CU depth from the pruning process of the original HM. The blue curve represents the distribution of the RD-costs of Non-split

CUs, and these come from the final CU. On the other hand, the orange curve shows the distributions of the RD-costs of Split CUs, and these come from the CU to be further split. The RD-costs of Non-split CUs tend to be small, and the distribution is concentrated. In contrast, the RD-costs of Split CUs tend to be large, and the distribution is divergent. The curves corresponding to various QPs with the same depth are quite similar, and the curves corresponding to the same QP with various depths are also similar.

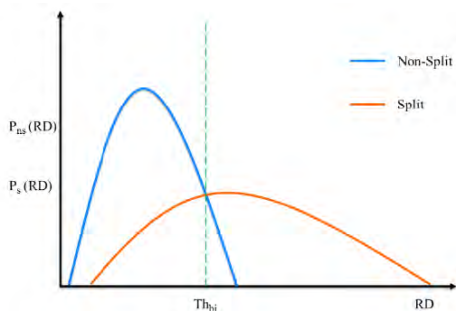


FIGURE 5. Illustration of the Th_{bi} threshold.

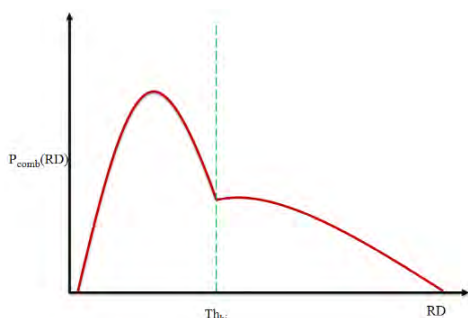


FIGURE 6. Illustration of combined probability for selecting the Th_{bi} threshold.

According to this trend, we try to figure out a suitable threshold (Th_{bi}) for early termination and early split to speed up the encoder as shown in Fig. 5. In this paper, we apply Otsu’s automatic thresholding [40] to find the threshold Th_{bi} for the combined probability of Non-split CU and Split CU as indicated in Fig. 6. The combined probability is obtained by picking the maximum value for each RD-cost from the probabilities of Non-split CU and Split CU in Fig. 5.

Otsu’s automatic thresholding is a threshold selection mechanism and is not only suitable for applications relating to image binarization. As long as the threshold selection is automatic, it can be applied to various practical problems. In this paper, we explore a suitable threshold to separate two classes of RD-costs in Fig. 6 for early termination and early split by applying Otsu’s thresholding algorithm for the combined probability. The algorithm calculates through all the possible threshold values, so that the threshold value separates the two classes of RD-costs, such that the variance within classes is minimal and the variance between classes is maximal.

C. ACCURATE RD-COST THRESHOLDS OF EARLY TERMINATION AND EARLY SPLIT BY ERROR RATE ADJUSTMENT

In the above subsection, we propose a bimodal RD-cost segmentation method to obtain a threshold in order to accelerate the encoding process. However, applying the dichotomy threshold to early terminate or early split CU coding process is too rough and might cause significant RD performance degradation.

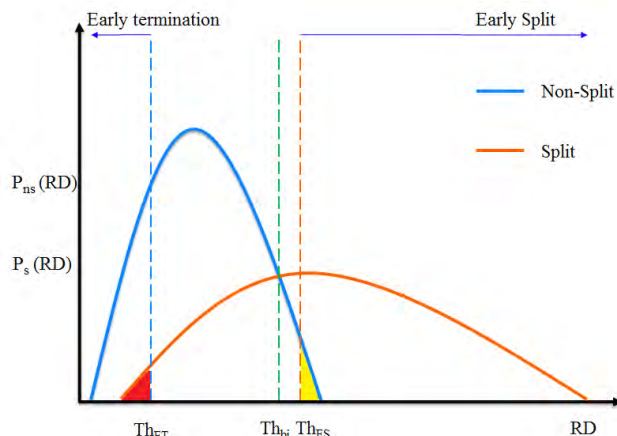


FIGURE 7. Illustration of the Th_{ET} , Th_{bi} and Th_{ES} thresholds.

In order to specifically overcome the aforementioned disadvantage, we adjust the RD-cost thresholds by accurately controlling the error rate. As shown in Fig. 7, the error rate means that the CU coding process is determined to be early terminated yet it should be split (red area) continuously, On the other hand, the CU coding process is determined to be early split yet it should be terminated (yellow area) continuously. The RD-cost of the Non-Split CU means that the value of the RD-cost comes from each CU depth and it belongs to the best CU depth finally. On the other hand, the RD-cost of the Split CU means that the value of the RD-cost comes from each CU depth, and it does not belong to the best CU depth in the end. We use these two classes of RD-costs to obtain a threshold for early termination (Th_{ET}) and a threshold for early split (Th_{ES}) by applying one-sided Chebyshev’s inequality [41] to speedup the CU coding process, as shown in Fig. 7.

In probability theory, Chebyshev’s inequality indicates that almost all random variables are close to the average, and is applied to any probability distribution. From the one-sided Chebyshev’s inequality [42], as shown in Fig. 8, if RD is a random variable with expectation μ_s or μ_{ns} and variance σ_s^2 or σ_{ns}^2 , then for any positive k_{ns} or $k_s > 0$, we have the error rates of early termination and early split by

$$P_s(RD \leq \mu_s - k_s \sigma_s) \leq \frac{1}{1 + k_s^2} \tag{9}$$

$$P_{ns}(RD \geq \mu_{ns} + k_{ns} \sigma_{ns}) \leq \frac{1}{1 + k_{ns}^2} \tag{10}$$

TABLE 3. The probability for $P_s(RD \leq \mu_s - k_s \sigma_s)$ or $P_{ns}(RD \geq \mu_{ns} + k_{ns} \sigma_{ns})$ vs. k_s or k_{ns} .

k_s or k_{ns}	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6
$P_s(RD \leq \mu_s - k_s \sigma_s)$ or $P_{ns}(RD \geq \mu_{ns} + k_{ns} \sigma_{ns})$ (%)	30.77	20.00	13.79	10.00	7.55	5.88	4.71	3.85	3.20	2.70

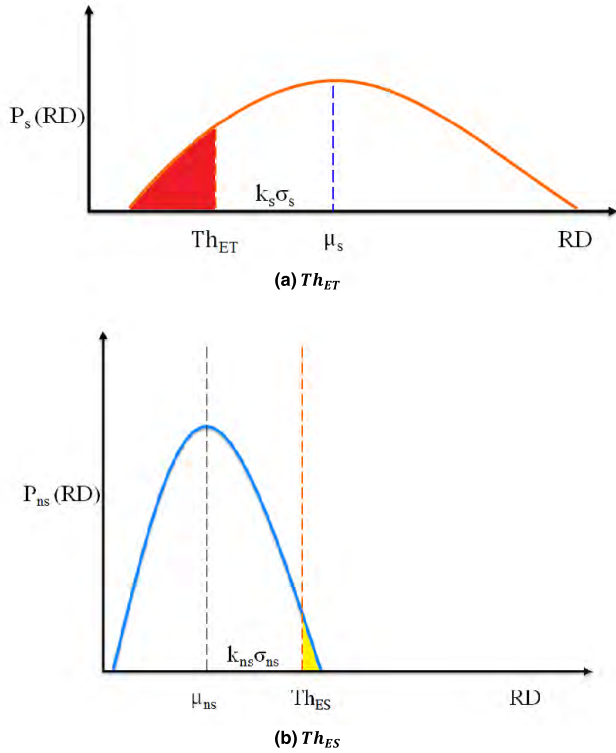


FIGURE 8. Illustration of the Th_{ET} and Th_{ES} thresholds by one-sided Chebyshev's inequality. (a) Th_{ET} . (b) Th_{ES} .

In this paper, we apply a one-sided Chebyshev's inequality to obtain the threshold for early termination (Th_{ET}) and the threshold for early split (Th_{ES}) as shown in Fig. 8. They are respectively given by

$$Th_{ET} = \mu_s - k_s \sigma_s \quad (11)$$

$$Th_{ES} = \mu_{ns} + k_{ns} \sigma_{ns} \quad (12)$$

TABLE 3 shows the probability of $P_s(RD \leq \mu_s - k_s \sigma_s)$ or $P_{ns}(RD \geq \mu_{ns} + k_{ns} \sigma_{ns})$ with different values of k_s or k_{ns} . When the value of k_s or k_{ns} is larger, the probability of P_s or P_{ns} is lower. In contrast, the probability of $P_s(RD \leq \mu_s - k_s \sigma_s)$ or $P_{ns}(RD \geq \mu_{ns} + k_{ns} \sigma_{ns})$ is higher when the value of k_s or k_{ns} is smaller. How to select accurate thresholds for Th_{ET} and Th_{ES} is an essential issue in the proposed algorithm. Applying precise thresholds for the encoder will not only improve the coding speed, but also will maintain the RD performance.

Error rate is the trade-off, and this affects the performance of the encoder. As shown in Fig. 8, the shaded area indicates the error rates of early termination or early split. The larger the value of k_s , the harder it is for early termination by Th_{ET} to be triggered. On the contrary, when the value of k_s is smaller,

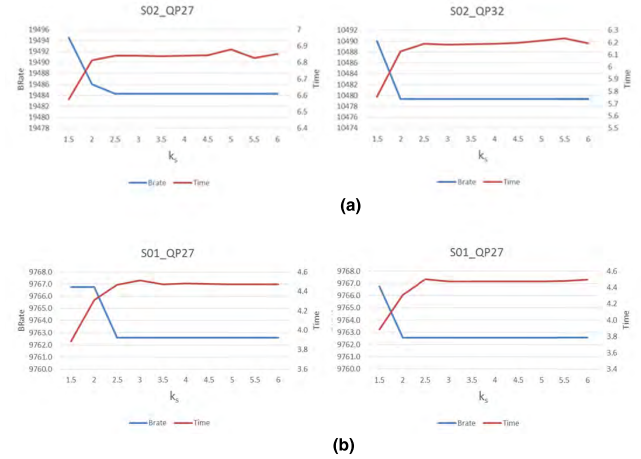


FIGURE 9. The correlation of coding time and bitrate with various k_s values. (a) Results of Sequence S02 at depth 1 with QP 27 and 32. (b) Results of Sequence S01 at depth 1 and 2 with QP 27.

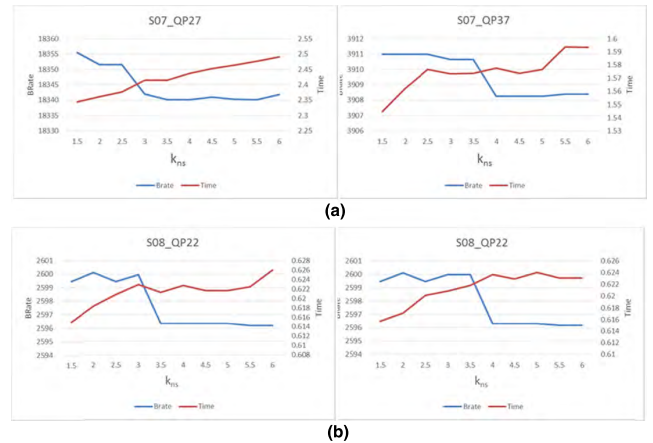


FIGURE 10. The correlation of coding time and bitrate with various k_{ns} values. (a) Results of Sequence S07 at depth 1 with QP 27 and 37. (b) Results of Sequence S08 at depth 0 and 1 with QP 22.

the error rate is larger and the early termination by Th_{ET} is activated more easily. The threshold Th_{ES} has the same tendency about how it is affected by k_{ns} .

We have analyzed the coding time and bitrate for various values of k_s and k_{ns} to find out accurate values for each CU depth with different QPs as shown in Fig. 9 and Fig. 10, respectively. In Fig. 9 and Fig. 10, the left vertical-axis represents the average bitrate of encoded frames, the right vertical-axis indicates average coding time per frame, and the horizontal-axis shows the values of k_s or k_{ns} .

To select proper k_s and k_{ns} , we set the k_s and k_{ns} values from 1.5 to 6 with an interval of 0.5. We have analyzed the correlation between coding time and bitrate and tried to

TABLE 4. The k_s for various CU depths and various QPs.

QP	Depth=0	Depth=1	Depth=2
22	2.501	1.849	1.689
27	2.286	1.791	1.571
32	2.072	1.732	1.453
37	1.857	1.674	1.335

TABLE 5. The k_{ns} for various CU depths and various QPs.

QP	Depth=0	Depth=1	Depth=2
22	2.380	3.193	3.620
27	2.862	3.679	4.107
32	3.344	4.165	4.595
37	3.825	4.650	5.082

choose suitable k_s and k_{ns} values for the training sequences. The training sequences include S01-S08 with QPs of 22, 27, 37 and 37.

From Fig. 9 and Fig. 10, we try to find out the saturation points of k_s and k_{ns} for various CU depths and various QPs. The saturation point is picked when k_s and k_{ns} increase and the bitrate is no longer declining and coding time is no longer increasing. In the right-hand part of Fig. 9(a), since the bitrate of the k_s with a value of 2.5 shows a tiny advantage in terms of increased coding time over that of the k_s with a value of 2 for QP 32, we select k_s with a value of 2 as a saturation point. The saturation points of k_s value are chosen as 2.5 and 2 for sequence S02 at depth 1 with QP 27 and 32, respectively. For the right-hand part of Fig. 9(b), since the bitrate of the k_s with a value of 2.5 indicates an insignificant gain in terms of increased coding time over that of the k_s with a value of 2, the k_s with a value of 2 is treated as a saturation point. The saturation points of k_s values are chosen as 2.5 and 2 for sequence S01 at depth 1 and 2 with QP 27, respectively.

From the left-hand part of Fig. 10(a), since the bitrate of the k_{ns} with a value of 3.5 indicates a minor advantage in terms of increased coding time over that of the k_{ns} with a value of 3 for QP 27, we select k_{ns} with a value of 3 as a saturation point. The saturation points of k_{ns} are chosen to 3 and 4 for sequence S07 at depth 1 with QP 27 and 37, respectively. For Fig. 10(b), the saturation points of k_{ns} are chosen as 3.5 and 4 for sequence S08 at depth 0 and 1 with QP 22, respectively.

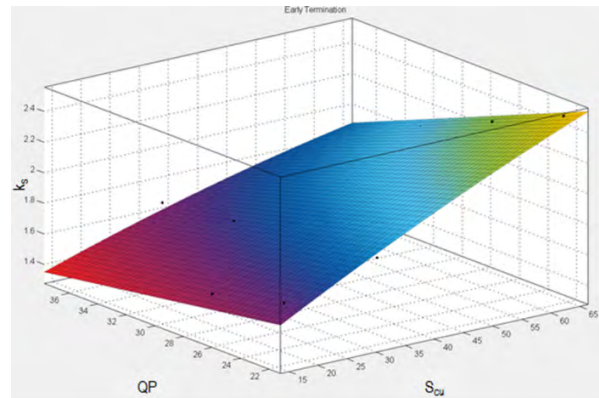
$$k_s(S_{CU}, QP) = 2.767 - 0.04121S_{CU} - 0.03467QP + 0.000376S_{CU}^2 + 0.0006527S_{CU}QP - 0.0004333QP^2 \quad (13)$$

$$k_{ns}(S_{CU}, QP) = 1.9053 - 0.02734S_{CU} - 0.0979QP + 0.00002555S_{CU}^2 - 0.00002411S_{CU}QP - 0.00002564QP^2 \quad (14)$$

The final k_s and k_{ns} values have been summarized for 8 sequences according to the CU depths and the different QPs, which are listed in TABLE 4 and TABLE 5, respectively. In TABLE 4, when the QP is getting smaller, the value of k_s is getting larger and the error rate is getting smaller and it is harder for the early termination to be triggered.

We intend to ensure that the value of Th_{ET} becomes stricter when the encoding CU has a smaller quantization parameter because a video sequence encoded with a smaller quantization parameter maintains better RD performance compared to that delivered with a larger quantization parameter. Accordingly, the threshold of early termination is not allowed to be loose. This reflects the fact that the encoding CU with a smaller quantization parameter makes early termination by smaller Th_{ET} more difficult, which means that Th_{ET} is set strictly to keep RD efficiency. In addition, when the CU depth is smaller, k_s is also larger. In our opinion, Th_{ET} needs to be set strictly to early terminate the encoding process with large size CUs, so as to avoid RD degradation. Therefore, when encoding large CU or encoding with a small quantization parameter, the value of k_s can be set to be larger and Th_{ET} is set to be strict for early termination in to maintain RD performance.

According to the statistical results from TABLE 5, the value of k_{ns} is smaller when the encoding CU depth or the quantization parameter is smaller. In general, when the RD-cost is relatively large, the value of k_{ns} is set to be smaller for easy early split of the CU coding process when the depth of the current CU is smaller, to speed up the CU coding process.

**FIGURE 11.** The 2-D hyperplane depicting the distribution of Th_{ET} .

To predict Th_{ET} , the sizes of the CU, the QP values and the value of RD-cost are used to build a model according to TABLE 4. A 2-D hyperplane is used to describe the correlation between QP, the size of CU (S_{CU}), and k_s as indicated in Fig. 11. This 2D hyperplane can be represented by an equation in (13). The value of k_s is derived from (13), as shown in TABLE 6. Accordingly, the Th_{ES} follows the same rule to build 2D hyperplane according to TABLE 5 shown in Fig. 12. The hyperplane can be represented by an equation in (14). The value of k_{ns} is derived from (14) as shown in TABLE 7.

In a summary, in this sub-section, we apply a one-sided Chebyshev's inequality to obtain the threshold for early termination (Th_{ET}) and the threshold for early split (Th_{ES}) as shown in (11) and (12). According to the statistical data, the 2-D hyperplanes are fitted by considering QP variations and CU sizes for acquiring proper parameters (k_s and k_{ns}) of Th_{ES}

TABLE 6. The distribution of k_s for Th_{ET} .

QP	Depth=0	Depth=1	Depth=2
22	2.5007	1.8487	1.6894
23	2.4578	1.8371	1.6657
24	2.4149	1.8255	1.6421
25	2.3720	1.8138	1.6185
26	2.3291	1.8022	1.5948
27	2.2862	1.7905	1.5712
28	2.2433	1.7789	1.5475
29	2.2004	1.7673	1.5239
30	2.1575	1.7556	1.5002
31	2.1146	1.7440	1.4766
32	2.0717	1.7323	1.4529
33	2.0288	1.7207	1.4293
34	1.9859	1.7091	1.4057
35	1.9431	1.6974	1.3820
36	1.9002	1.6858	1.3584
37	1.8573	1.6741	1.3347
38	1.8144	1.6625	1.3111
39	1.7715	1.6509	1.2874
40	1.7286	1.6392	1.2638
41	1.6857	1.6276	1.2401

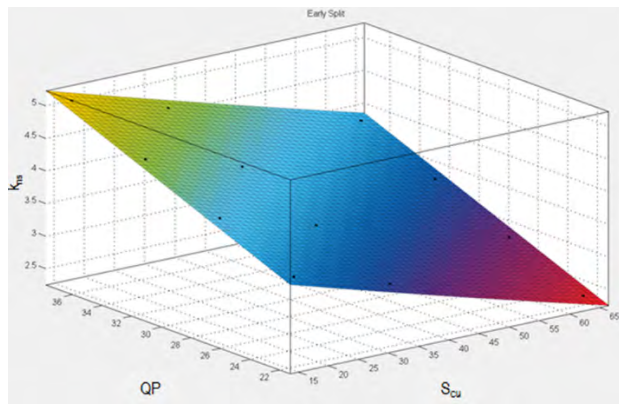


FIGURE 12. The 2-D hyperplane depicting the distribution of Th_{ES} .

TABLE 7. The distribution of k_{ns} for Th_{ES} .

QP	Depth=0	Depth=1	Depth=2
22	2.3800	3.1934	3.6197
23	2.4764	3.2905	3.7172
24	2.5728	3.3877	3.8147
25	2.6691	3.4848	3.9123
26	2.7655	3.5819	4.0098
27	2.8618	3.6791	4.1073
28	2.9582	3.7762	4.2048
29	3.0545	3.8733	4.3023
30	3.1509	3.9704	4.3998
31	3.2473	4.0676	4.4973
32	3.3436	4.1647	4.5949
33	3.4400	4.2618	4.6924
34	3.5363	4.3590	4.7899
35	3.6327	4.4561	4.8874
36	3.7290	4.5532	4.9849
37	3.8254	4.6503	5.0824
38	3.9218	4.7475	5.1799
39	4.0181	4.8446	5.2775
40	4.1145	4.9417	5.3750
41	4.2108	5.0389	5.4725

and Th_{ET} , respectively. The practical employments of Th_{bi} , Th_{ET} , and Th_{ES} in the proposed approach will be introduced in the following sub-sections.

D. ANALYSIS OF PU SIZES

We also intend to explore the correlation between CU depth and PU size in an attempt to reduce the number of prediction modes. We have analyzed the distribution of CU depth and PU size, as shown in TABLE 8 and TABLE 9. In TABLE 8, the SKIP, Merge and Inter $2N \times 2N$ modes occupy 42.0%, 18.0%, and 9.8% of overall PU types for QP 22, respectively. Moreover, according to TABLE 9, the SKIP, Merge, and Inter $2N \times 2N$ modes occupy 61.1%, 8.2%, and 8.9% of overall PU types for QP 37, respectively.

TABLE 8. Distribution of the depth versus PU size for QP 22.

QP=22	Depth=0	Depth=1	Depth=2	Depth=3	Average
Inter SKIP	72.3%	40.6%	31.8%	23.2%	42.0%
Inter Merge	8.0%	18.5%	20.0%	25.6%	18.0%
Inter $2N \times 2N$	6.7%	9.2%	10.5%	13.0%	9.8%
Inter $2N \times N$	4.2%	6.4%	6.7%	12.3%	7.4%
Inter $N \times 2N$	5.0%	7.3%	7.8%	13.9%	8.5%
Inter $2N \times nU$	0.7%	3.8%	4.6%	0.0%	2.3%
Inter $2N \times nD$	0.6%	3.3%	3.9%	0.0%	2.0%
Inter $nL \times 2N$	1.0%	4.2%	5.2%	0.0%	2.6%
Inter $nR \times 2N$	0.8%	3.6%	4.3%	0.0%	2.2%
Intra $2N \times 2N$	0.6%	3.1%	5.3%	8.0%	4.3%
Intra $N \times N$	0.0%	0.0%	0.0%	4.1%	1.0%
Total	100%	100%	100%	100%	100%

TABLE 9. The distribution of the depth versus PU size for QP 37.

QP=37	Depth=0	Depth=1	Depth=2	Depth=3	Average
Inter SKIP	79.8%	59.8%	55.2%	49.6%	61.1%
Inter Merge	2.9%	7.7%	9.2%	13.0%	8.2%
Inter $2N \times 2N$	8.3%	9.3%	8.8%	9.3%	8.9%
Inter $2N \times N$	2.7%	3.9%	3.7%	5.1%	3.8%
Inter $N \times 2N$	4.0%	5.8%	5.3%	6.5%	5.4%
Inter $2N \times nU$	0.4%	2.1%	2.0%	0.0%	1.1%
Inter $2N \times nD$	0.3%	2.0%	1.9%	0.0%	1.1%
Inter $nL \times 2N$	0.7%	3.2%	2.8%	0.0%	1.7%
Inter $nR \times 2N$	0.6%	2.7%	2.4%	0.0%	1.4%
Intra $2N \times 2N$	0.4%	3.6%	8.6%	12.7%	6.3%
Intra $N \times N$	0.0%	0.0%	0.0%	3.9%	1.0%
Total	100%	100%	100%	100%	100%

TABLE 10 tabulates the average distribution of the depth versus PU size for non-split and split CUs for QP 22, 27, 32, 37. The statistical results confirm that the SKIP, Merge, and Inter $2N \times 2N$ prediction modes have higher probabilities among the prediction modes, whereas the other modes are less likely to occur. In addition, several works [26], [27], [29], [30] propose fast algorithms according to this observation conclusion. Moreover, the non-split CUs tend to select the prediction modes of Skip and Merge as the best mode. In the contrast, the split CUs are more likely to select complex-partitioned prediction modes as the best mode. However, in our opinion, as shown in TABLE 8, the Inter $2N \times N$ and Inter $N \times 2N$ prediction modes account for more than 15.9%, on average, of the overall PU types for QP 22, which would seem not to be ignorable. Therefore, we utilize the observed probabilities in this case when the RD-cost of the current encoding CU is less than Th_{bi} and greater than or equal to Th_{ET} .

TABLE 10. Average distribution of the depth versus PU size for non-split and split CU for QP 22, 27, 32, 37.

PU Mode	Non-Split			Split		
	Depth=0	Depth=1	Depth=2	Depth=0	Depth=1	Depth=2
Inter Skip	73.5%	82.8%	88.2%	27.3%	25.7%	36.5%
Inter Merge	3.4%	3.8%	3.7%	4.4%	7.3%	8.2%
Inter 2N×2N	6.0%	3.5%	2.5%	6.3%	4.9%	6.0%
Inter 2N×N	5.6%	2.0%	0.7%	13.1%	9.1%	4.7%
Inter N×2N	6.1%	2.0%	0.6%	13.2%	9.3%	4.6%
Inter N×N	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Inter 2N×nU	1.0%	1.0%	0.3%	2.2%	4.1%	2.1%
Inter 2N×nD	0.8%	0.8%	0.3%	1.8%	3.5%	1.8%
Inter nL×2N	1.2%	0.9%	0.3%	2.4%	4.2%	2.1%
Inter nR×2N	1.0%	0.8%	0.3%	2.3%	3.7%	1.9%
Intra 2N×2N	1.5%	2.5%	3.2%	27.1%	28.2%	32.1%

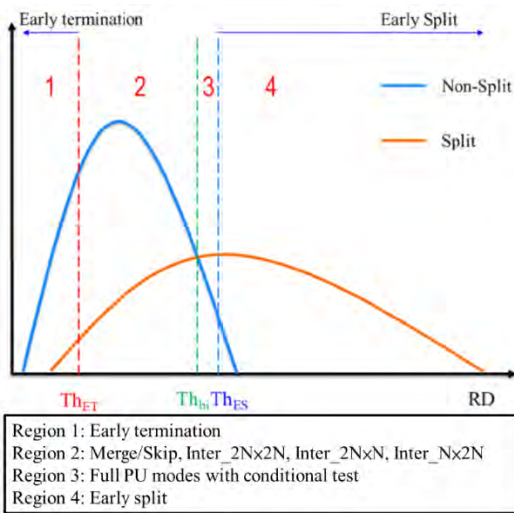


FIGURE 13. The fast PU process for various RD-cost ranges.

The illustration is also shown in Fig. 13. In summary, after Merge/Skip and Inter $2N \times 2N$, if the RD-cost of the current CU is less than Th_{ET} , the current CU is early terminated and it proceeds to encode the next CTU; if the RD-cost of the current CU is less than Th_{bi} and greater than or equal to Th_{ET} , the CU coding process further predicts the PU sizes of Inter $2N \times N$ and Inter $N \times 2N$; if the RD-cost of the current CU is greater than or equal to Th_{bi} and smaller than Th_{ES} , we predict all of the following PU sizes after conditional test; if the RD-cost of the current CU is greater than or equal to Th_{ES} , the current CU is early split and it proceeds to encode the next CU depth.

E. ANALYSIS OF SEARCH RANGE

In the procedure for encoding a CU, ME occupies most computational power. In HM, the default size of search range is 64.; however, 64 is too large for most cases causing unnecessary computation.

Fig. 14 shows the relevance of search range (SR), coding time and RD performance when SKIP mode is enabled for some test sequences. We conclude that the SR can be reduced with the slight degradation of RD performance.

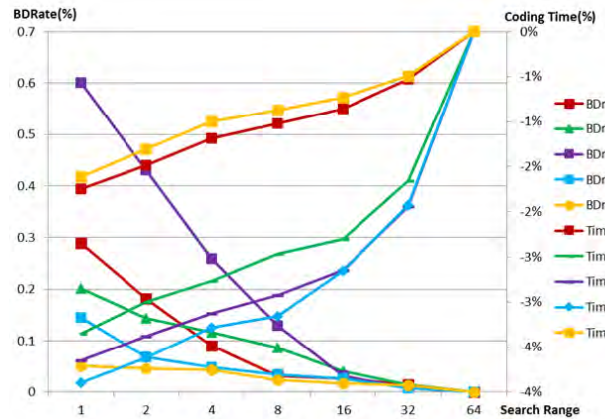


FIGURE 14. Illustration of relevance of search range, coding time and RD performance when SKIP mode is enabled.

To determine the search range precisely, we set the SR to 1, 2 and 4 after Merge/SKIP prediction and the SKIP mode is the current best mode depending on the statistical results in Fig. 14. To verify the assumption, the probabilities that the SR is smaller than or equal to SR in the current depth when the SKIP flag is set after Merge/SKIP prediction are listed in TABLE 11.

TABLE 11. The probability that the search range is smaller than or equal to SR with the enabled skip flag in several training sequences.

Training sequence	Probability (%)		
	SR=1	SR=2	SR=4
Class A	92.60	98.14	98.28
Class B	90.37	96.58	96.61
Class C	93.41	98.24	98.47
Class D	91.97	98.57	98.59
Class E	96.89	98.89	98.90

In TABLE 11, the probability of SR 2 is more than 96 percent. According to Fig. 14, since the probability of SR 4 shows a minor advantage in BDR with significant time saving compared to that of SR 2, we can speed up the ME for the rest of the prediction modes accordingly to downsize the SR to 2 when the best prediction mode is SKIP mode for unidirectional motion compensation with an estimable RD performance. The SR 4 of bidirectional motion compensation is maintained. Therefore, we apply the probabilities observed in TABLE 11 and conclude that for the case like this, the prediction mode of the current CU is SKIP.

F. OVERALL ALGORITHM

Before introducing the overall algorithm as shown in Fig. 15, several variables are defined as follows.

$Depth_{CU}$: The CU depth of the current CU

$Depth_{pre}$: The predicted CU depth of the current CU by (3)

$Depth_{LBound}$: The executed smallest depth of the current CU

$Depth_{UBound}$: The executed largest depth of the current CU

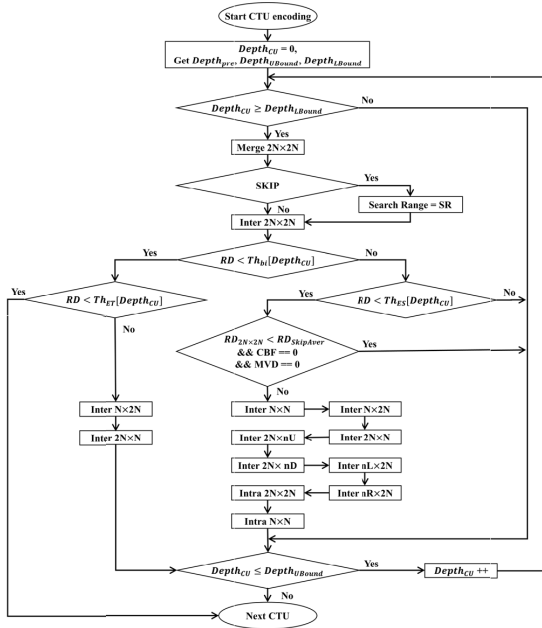


FIGURE 15. Flowchart of the proposed algorithm.

$Th_{ET}[Depth_{CU}]$: Threshold of early termination for $Depth_{CU}$

$Th_{ES}[Depth_{CU}]$: Threshold of early split for $Depth_{CU}$

$Th_{bi}[Depth_{CU}]$: Binarization threshold for $Depth_{CU}$

RD : The RD cost of the current best mode

$RD_{2N \times 2N}$: The RD cost of $2N \times 2N$ mode

$RD_{SkipAver}$: The average RD cost of the last 5 SKIP modes in previous CUs

The detailed procedures of the overall algorithm are described step by step as follows.

Step 1: Set $Depth_{CU} = 0$, get $Depth_{pre}$ as the predicted CU depth of the current CU, and $Depth_{LBound}$, $Depth_{UBound}$ as the extended CU depth boundary.

Step 2: If $Depth_{CU} \geq Depth_{LBound}$, go to Step 3. Otherwise, jump to Step 13.

Step 3: Perform Merge/SKIP prediction mode.

Step 4: If the best prediction mode is SKIP mode, jump to Step 5. Otherwise, go to Step 6.

Step 5: Set SR to search range.

Step 6: Perform Inter $2N \times 2N$ prediction mode.

Step 7: If $RD < Th_{bi}[Depth_{CU}]$, go to Step 8, Otherwise, jump to Step 10.

Step 8: If $RD < Th_{ET}[Depth_{CU}]$, jump Step 15, Otherwise, go to Step 9.

Step 9: Check Inter $N \times 2N$, Inter $2N \times N$ and jump to Step 13.

Step 10: If $RD < Th_{ES}[Depth_{CU}]$, go to Step 11. Otherwise, jump to Step 13.

Step 11: If $RD_{2N \times 2N} < RD_{SkipAver} \ \&\& \ CBF == 0 \ \&\& \ MVD == 0$, jump to Step 13. Otherwise go to Step 12.

Step 12: Predict Inter $N \times N$, Inter $N \times 2N$, Inter $2N \times N$, Inter $2N \times nU$, Inter $2N \times nD$, Inter $nL \times 2N$,



(a)



(b)

FIGURE 16. Subjective comparison of the 9th frame of Johnny (1280 × 720) sequence for random access configuration (QP 37). (a) HM 12.0, Y-PSNR 37.3458 dB. (b) Proposed, Y-PSNR 37.3224 dB, TS = 72.37%.

Inter $nR \times 2N$, Intra $2N \times 2N$, and Intra $N \times N$ and go to Step 13.

Step 13: If $Depth_{CU} \leq Depth_{UBound}$, go to Step 14. Otherwise, jump to Step 15.

Step 14: Add 1 to $Depth_{CU}$, and then jump to Step 2.

Step 15: Move to next CTU.

TABLE 12. configurations of simulation environment.

Configurations	Random Access	Low delay
IntraPeriod	32	-1
GOPSize	8	4
FastSearch	TZSearch	TZSearch
SearchRange	64	64
InternalBitDepth	8	8

IV. SIMULATION RESULTS

TABLE 12 shows the simulation environment [43]. A total of 18 testing sequences from TABLE 1 have been tested with quantization parameters of 22, 27, 37 and 37. The parameters of $\mu_s[Depth_{CU}]$, $\mu_{ns}[Depth_{CU}]$, $\sigma_s[Depth_{CU}]$ and $\sigma_{ns}[Depth_{CU}]$ for $Th_{ET}[Depth_{CU}]$ and $Th_{ES}[Depth_{CU}]$ are updated in each GOP period. The first inter-prediction frame is not applied to fast coding algorithm to get the parameters. The thresholds for $Th_{bi}[Depth_{CU}]$ are pre-trained.

We compare the proposed algorithm with methods in [33]–[38]. TABLE 13 and TABLE 14 show the coding performance comparisons for the random access and low delay configuration, respectively. For the random access configuration in TABLE 13, the proposed algorithm has the same

TABLE 13. Coding performance comparisons of the proposed algorithm and [33]–[38] for random access configuration.

Class	Sequence	[33] HM-12.0		[34] HM-12.0		[35] HM-16.5		[36] HM-12.0		[37] HM-10.0		[38] HM-13.0		Proposed, HM-12.0	
		BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)
Class A 2560x1600	S01 Traffic	1.1	60.5	0.8	61.6	2.0	60.3	0.6	31.4	0.2	43.6	/	/	1.2	55.1
	S02 PeopleOnStreet	0.2	42.5	0.9	26.9	2.8	47.2	1.2	32.5	0.7	42.3	/	/	1.7	51.9
	Average	0.7	51.5	0.9	44.3	2.4	53.8	0.9	32.0	0.5	43.0	/	/	1.5	53.5
Class B 1920x1080	S03 Kimono	1.0	47.3	1.3	58.2	2.4	57.1	/	/	0.3	46.3	0.8	52.0	1.2	52.2
	S04 ParkScene	0.9	45.2	1.2	52.6	2.3	58.3	0.6	30.6	/	/	0.5	49.6	1.3	51.7
	S05 Cactus	1.0	42.1	2.8	56.8	3.0	56.7	0.6	31.6	0.5	42.4	1.1	48.6	1.2	50.6
	S06 BasketballDrive	1.0	41.8	2.0	50.9	3.8	57.0	0.8	31.1	0.4	43.1	1.1	51.4	1.8	54.1
	S07 BQTerrace	1.1	49.7	1.6	54.5	1.5	59.8	0.6	28.0	0.5	47.9	0.4	44.8	1.0	51.8
	Average	1.0	45.2	1.8	54.6	2.6	57.8	0.6	30.3	0.4	44.9	0.8	49.3	1.3	52.1
	S08 BasketballDrill	2.1	39.8	1.9	45.2	3.1	57.6	0.7	30.6	0.5	36.0	0.7	48.6	1.0	38.2
Class C 832x480	S09 BQMall	1.6	40.0	2.2	48.6	3.8	55.8	1.1	29.5	0.5	40.3	1.6	45.9	1.4	48.3
	S10 PartyScene	1.1	45.8	0.8	37.7	1.9	54.0	1.0	25.6	0.3	38.0	0.9	46.3	0.9	43.1
	S11 RaceHorses	1.8	38.5	2.2	33.9	3.0	51.4	1.8	30.4	/	/	2.2	46.6	1.2	37.4
	Average	1.7	41.0	1.8	41.4	3.0	54.7	1.1	29.0	0.4	38.1	1.4	46.8	1.1	41.8
Class D 416x240	S12 BasketballPass	1.8	28.9	1.5	33.6	3.3	55.8	1.0	30.0	0.4	32.5	2.1	49.3	0.8	39.2
	S13 BQSquare	0.4	34.4	0.6	45.4	1.3	58.3	0.9	24.4	0.5	56.3	1.6	44.5	0.6	43.0
	S14 BlowingBubbles	1.3	33.7	0.7	38.2	2.5	52.4	1.0	27.1	0.3	40.3	1.4	42.0	0.9	43.4
	S15 RaceHorses	1.8	24.4	1.1	26.6	3.3	48.3	/	/	0.7	29.0	2.0	42.2	1.7	48.2
	Average	1.3	30.4	1.0	36.0	2.6	53.7	1.0	27.2	0.5	39.5	1.8	44.5	1.0	43.5
Class E 1280x720	FourPeople	1.4	66.3	1.7	74.1	/	/	/	/	/	/	/	/	1.0	62.3
	Johnny	0.9	67.8	1.3	75.7	/	/	/	/	/	/	/	/	1.0	69.2
	KristenAndSara	1.3	62.5	1.2	73.1	/	/	/	/	/	/	/	/	1.1	62.3
	Average	1.2	65.5	1.4	74.3	/	/	/	/	/	/	/	/	1.0	64.6
Total Average		1.2	45.1	1.4	49.6	2.7	55.3	0.9	29.4	0.4	41.4	1.3	47.1	1.2	50.1

TABLE 14. Coding performance Comparisons of the proposed algorithm and Three individual methods for random access configuration.

Class	Sequence	[33] HM-12.0		[34] HM-12.0		[35] HM-16.5		[36] HM-12.0		[37] HM-10.0		[38] HM-13.0		Proposed, HM-12.0	
		BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)	BD BR (%)	TS (%)
Class A 2560x1600	S01 Traffic	1.0	54.7	2.0	41.7	/	/	/	/	/	/	/	/	1.1	52.5
	S02 PeopleOnStreet	0.4	32.5	1.5	28.0	/	/	/	/	/	/	/	/	1.1	52.0
	Average	0.7	43.6	1.8	34.9	/	/	/	/	/	/	/	/	1.1	52.3
Class B 1920x1080	S03 Kimono	0.8	38.0	0.8	47.9	2.6	60.5	/	/	0.3	46.5	0.9	54.2	0.8	50.0
	S04 ParkScene	0.8	40.5	1.1	48.3	2.7	56.5	1.0	36.7	/	/	0.6	51.3	1.3	49.5
	S05 Cactus	0.5	43.5	2.2	48.0	3.2	59.3	0.7	36.9	0.4	42.8	1.2	48.5	1.1	50.4
	S06 BasketballDrive	0.8	42.3	1.2	42.6	3.5	59.4	1.0	39.4	0.4	40.2	0.5	54.0	1.4	52.4
	S07 BQTerrace	1.3	42.7	0.3	47.5	2.2	58.7	1.1	33.8	0.3	46.8	0.5	45.1	1.3	51.4
	Average	0.8	41.4	1.1	46.9	2.8	58.9	1.0	36.7	0.4	44.1	0.7	50.6	1.2	50.7
	S08 BasketballDrill	1.9	44.0	2.0	37.0	3.3	58.9	0.9	37.9	0.4	30.5	1.0	49.8	1.0	37.5
Class C 832x480	S09 BQMall	2.0	43.5	1.2	40.9	3.7	55.7	1.2	37.7	0.6	35.0	2.0	45.9	1.1	47.9
	S10 PartyScene	1.0	40.0	0.4	33.0	2.2	52.5	1.4	34.3	0.6	36.4	1.5	45.5	1.0	41.2
	S11 RaceHorses	1.0	34.1	1.1	26.1	2.5	51.1	1.5	40.9	/	/	2.2	52.0	1.1	40.9
	Average	1.5	40.4	1.2	34.3	2.9	54.6	1.2	37.7	0.5	33.9	1.7	48.3	1.1	41.9
Class D 416x240	S12 BasketballPass	1.5	35.4	1.2	27.7	2.9	55.9	1.1	39.2	0.6	27.6	2.3	53.6	0.9	42.6
	S13 BQSquare	0.4	36.0	0.2	38.8	1.7	53.3	2.3	31.8	0.7	35.7	2.1	45.5	1.0	40.3
	S14 BlowingBubbles	2.2	39.8	0.3	31.5	2.5	51.4	1.7	36.2	0.4	31.8	2.1	49.7	0.9	38.7
	S15 RaceHorses	0.7	30.8	0.7	21.2	2.7	47.9	/	/	0.6	24.9	2.5	48.3	1.3	48.3
	Average	1.2	35.5	0.6	29.8	2.5	52.1	1.7	35.7	0.6	30.0	2.3	49.3	1.0	42.5
Class E 1280x720	FourPeople	1.1	64.0	1.6	65.6	2.8	70.3	0.5	37.6	0.6	50.6	/	/	0.9	58.6
	Johnny	1.3	66.9	-0.3	73.9	3.9	69.8	1.2	37.6	0.7	53.0	/	/	0.9	64.3
	KristenAndSara	1.4	60.9	0.5	69.6	3.0	69.6	0.9	38.3	0.4	51.8	/	/	0.6	57.6
	Average	1.3	64.9	0.6	69.7	3.2	69.9	0.9	37.8	0.6	51.8	/	/	0.8	60.2
Total Average		1.1	43.9	1.0	42.7	2.8	58.2	1.2	37.0	0.5	39.5	1.5	49.5	1.0	48.7

average BDBR performance by 1.2% with better average time saving by 50.1% compared to 45.1% in [33]. Moreover, the proposed algorithm provides less BDBR degradation and better time saving (1.2% and 50.1%) at the same time

compared to that of (1.4% and 49.6%) in [34] and (1.3% and 47.1%) in [38]. The BDBR increases in [36] and [37], 0.9% and 0.4%, are just slightly lower than our approach; however, we make a significant progress on time saving by

TABLE 15. Coding performance comparisons of the proposed algorithm and [33]–[38] for low delay configuration.

HM 12.0		CU Depth Estimation			Early Termination and Early Split			Search Range Adjustment		
Class	Sequence	BD BR (%)	BD PSNR (dB)	TS (%)	BD BR (%)	BD PSNR (dB)	TS (%)	BD BR (%)	BD PSNR (dB)	TS (%)
A	S01	0.09	-0.005	17.58	1.16	-0.039	43.43	0.00	0.00	5.01
	S02	0.10	-0.005	17.60	1.64	-0.073	38.29	0.05	-0.002	4.68
	Average	0.10	-0.005	17.59	1.40	-0.056	40.86	0.03	-0.001	4.85
B	S03	0.11	-0.004	16.40	1.16	-0.035	39.34	0.06	-0.002	5.80
	S04	0.11	-0.005	16.95	1.24	-0.039	41.41	0.02	-0.001	5.59
	S05	0.12	-0.004	17.53	1.08	-0.013	39.29	0.06	-0.002	3.95
	S06	0.06	-0.002	17.96	1.75	-0.038	42.61	0.07	-0.002	5.96
	S07	0.13	-0.008	18.16	0.91	-0.014	37.57	0.10	-0.002	4.89
	Average	0.11	-0.005	17.40	1.23	-0.028	40.04	0.06	-0.002	5.24
	S08	0.12	-0.006	31.16	0.96	-0.040	30.79	0.05	-0.002	4.01
C	S09	0.15	-0.009	16.90	1.41	-0.054	39.64	0.03	-0.001	6.05
	S10	0.27	-0.021	17.10	0.79	-0.034	32.58	0.03	-0.001	2.82
	S11	0.08	-0.005	17.02	1.03	-0.038	26.07	0.07	-0.003	5.80
	Average	0.16	-0.010	20.55	1.05	-0.042	32.27	0.05	-0.002	4.67
D	S12	0.09	-0.005	14.22	0.81	-0.039	31.68	0.09	-0.004	3.95
	S13	0.30	-0.026	14.91	0.53	-0.020	32.58	0.05	-0.002	4.24
	S14	0.16	-0.010	14.65	0.86	-0.036	32.42	0.03	-0.001	2.51
	S15	0.20	-0.013	14.32	1.55	-0.072	33.58	0.10	-0.005	4.37
	Average	0.19	-0.014	14.53	0.94	-0.042	32.57	0.07	-0.003	3.77
E	FourPeople	0.11	-0.006	22.48	0.99	-0.037	45.79	0.01	0.00	1.10
	Johnny	0.14	-0.006	21.16	0.92	-0.022	48.50	0.01	0.00	1.64
	KristenAndSara	0.18	-0.009	21.84	1.17	-0.037	41.60	0.06	-0.002	1.70
	Average	0.14	-0.007	21.83	1.03	-0.032	45.30	0.03	-0.001	1.48
Total Average		0.14	-0.008	18.22	1.11	-0.038	37.62	0.05	-0.002	4.12

50.1% compared to the limited results of 29.4% in [36] and 41.4 in [37]. In addition, the average time saving in [35] is upgraded at the cost of dramatically BDBR degradation by 2.7%, which is not solid enough to guarantee video quality when accelerating the coding process.

The proposed algorithm has stable time saving and coding performance, no matter high or low motion, or high or low resolution. For the S11 RaceHorses sequence with fast moving objects, the BDBR is still 1.2%, which is much better than 1.8% in [33], 3.0% in [35], and 2.2% in [38]. Moreover, the BDBRs are dramatically boosting and the time savings are condensed in [34] (BDBR: 2.2%, TS: 33.9%) and in [36] (BDBR: 1.8%, TS: 30.4%). For the S12 BasketballPass sequence, the time saving of the proposed algorithm is 39.2% with a 0.8% BDBR increase, which is better than the 28.9% time saving with a 1.8% BDBR increase in [33], the 33.6% time saving with a 1.5% BDBR increase in [34], and the 30.0% time saving with a 1.0% BDBR increase in [36]. For low resolution test sequences, such as Class C and D, the time saving and the BDBR of the proposed algorithm are better than those of [33]–[35]. Reference [38] improves the time-saving at the cost of poor BDBR performances. On average, the proposed algorithm maintains a BDBR increase of 1.2% with 50.1% time-saving for random access configuration.

According to TABLE 14, the proposed algorithm for low delay configuration yields 48.7% time saving with a 1.0% BDBR increase, which is better than 43.9% time-saving with a 1.1% BDBR increase of [33]. The time saving of the

proposed algorithm is 48.7% compared to 42.7% time saving of [34] under a similar BDBR. For sequences of Class A with high resolution and Class C with low resolution, the BDBR and the time saving of the proposed algorithm are better than those of [34]. For the S03 Kimono sequence, the proposed algorithm can achieve a time saving of about 50.0% compared to the 38.0% of [33] and 47.9% of [34] with similar BDBR performance. For the S08 BasketballDrill sequence with low resolution and high motion, the BDBR is about 1.0% compared to the 1.9% of [33] and the 2.0% of [34]. For the S11 RaceHorses sequence, the time saving is about 40.9%, which is much better than the 34.1% of [33] and 26.1% of [34], with similar RD performance. The average time-saving contribution of [36] and [37] (37.0% and 39.5%) are limited compared to our approach. In addition, the BDBRs of [35] and [38] explode under expectation generally. The average time savings for all classes with low delay configuration have a similar tendency with those of random access configuration. The proposed algorithm can maintain stable time saving and BDBR for various test sequences.

Fig. 16 visually depicts the subjective comparisons for random access configuration. The subjective comparison for low delay configuration is presented in Fig. 17. These figures show that the proposed algorithm can maintain the PSNR quality and increase the time saving significantly.

TABLE 15 shows the coding performance of the proposed algorithm with thee individual methods, namely CU depth estimation, early termination and early split and search range



FIGURE 17. Subjective comparison of the 3rd frame of RaceHorses (832 × 480) sequence for low delay configuration (QP 22). (a) HM 12.0, Y-PSNR 39.8937 dB. (b) Proposed, Y-PSNR 39.8856 dB, TS = 42.56%.

adjustment. From this table, we observe that early termination and early split contribute most of performance improvement. The CU depth estimation, which includes step 2 and step 13, offers an 18.22% time saving. The early termination and early split which contain steps 7, 8, 10, 11 provide a 37.62% time saving. Step 5 is used in the third approach and results in a 4.12% time saving.

V. CONCLUSIONS

To relieve the high computational demand of inter-prediction in the encoder of HEVC/H.265, a fast algorithm is proposed. The depth correlation between the current CU and the collocated CU is used to exclude irrelevant CU procedures. We consider the correlation between CU depth and PU size, and accordingly some prediction types can be curtailed. We also explore the distributions of the Rate-Distortion costs (RD-costs) between the final best CUs (Non-split CU) and the CUs to be further split (Split CU), and then we present bimodal RD-cost segmentation incorporated with automatic Otsu thresholding. By evaluating different CU sizes and QPs, we have built mathematical models using a one-sided Chebyshev's inequality method to adjust the thresholds by accurately estimating the error rate for early split and early termination mechanisms. In addition, SR reduction is used to further accelerate the coding process.

Experimental results show our algorithm achieves the best coding time saving up to 69.2%, and the averages of coding time savings are 50.1%, and 48.7%, for random access and low delay configurations, respectively. The proposed algorithm has similar coding performance to that of HM 12.0 and performs better than previous works.

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