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# Cluster-Based Cooperative Caching With Mobility Prediction in Vehicular Named Data Networking

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**ABSTRACT** Vehicular named data networking (VNDN) is a networking architecture candidate to support various kinds of content-oriented applications in high dynamic topology environment. Its inherent in-network caching facilitates content delivery and the communication efficiency in the vehicular network. However, during the process of data delivery, fast and varying vehicle mobility changes the position of relay routers, which makes data packet delivered through the reverse path difficult. Furthermore, frequent link disruption and packet retransmission lead to the increase of network load and the decrease of user QoE. To address these problems, we propose a cluster-based cooperative caching approach with mobility prediction (COMP) in VNDN. The main idea of COMP is to establish communication among vehicles with similar mobility pattern to mitigate the impact of vehicle mobility, as the link between nodes with a similar pattern is relatively stable and reliable. Specifically, we design a clustering algorithm to group vehicles with similar mobility pattern via mobility prediction and present a cooperative caching to construct intra-cluster and inter-cluster communication over the vehicle clusters. To increase the cache resource utilization and the diversity of the cached data, we classify the cached data into the most popular data and the less popular data based on request frequency, and furthermore, the corresponding cache placement and transmission schemes are proposed. The evaluation results show that most of the vehicles (>95%) can acquire feasible and efficient data delivery via COMP, and COMP significantly improves network performance and user QoE.

**INDEX TERMS** Vehicular ad hoc network, vehicular named data networking, cooperative caching, cluster, mobility prediction.

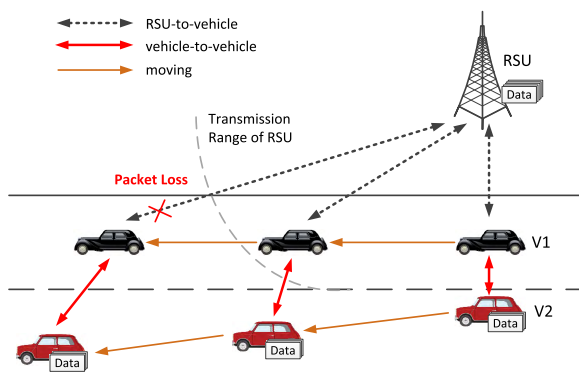
## I. INTRODUCTION

In recent years, vehicular ad hoc networks have attracted significant attention of researchers from industries and academics. As the trend of global vehicle industry is shaping from traditional vehicles to autonomous vehicles rapidly, technologies that ensure stable and reliable communication in VANETs become critically important. Various wireless technologies such as WAVE/IEEE 802.11p, LTE, Mobile IP, etc. have been developed to support data delivery in vehicular networks [1]. However, all these wireless technologies may bring about several problems in vehicular networks due to their reliance on IP addresses which are closely linked with

the node locations. On one hand, high dynamic topology due to the fast and varying vehicle mobility, intermittent connection, and temporal and spatial network density leads to frequent route recalculations and session reestablishments [2], thus increasing unnecessary network load and transmission latency. On the other hand, the dependence on IP address requires additional infrastructures to support IP allocations. Frequent handovers between moving vehicles and infrastructures increase the computing complexity and the costs in allocation and management of IP addresses.

Named data networking (NDN) [3], a networking paradigm that mainly focuses on data rather than their carriers, is developed to address the above issues arisen in IP. NDN decouples the data identification from node location, where all packets are identified by a name instead

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**FIGURE 1. Unstable RSU-to-vehicle communication, and relatively stable communication between  $V_1$  and  $V_2$  with similar mobility pattern.**

of an IP address, since address allocation and management is no longer demanded in communication. This location-independent implementation not only provides a good support for mobility, but its characteristics of multipath data acquisition and in-network caching also improve the communication efficiency in NDN. Therefore, vehicular named data networking (VNDN) has become a potential candidate for VANETs with feasibility and scalability [4], [5].

Although VNDN improves the communication efficiency, the vehicle mobility constantly affects the data delivery. For example, as shown in Fig.1, when vehicle  $V_1$  moves out of coverage area of the road side unit (RSU), it will result in link interruption and packet loss. However, the link between  $V_1$  and  $V_2$  is still stable, and hence  $V_1$  successfully receives packets from  $V_2$ . The main reason for the difference is that, unlike the static RSU,  $V_1$  and  $V_2$  have similar mobility patterns (i.e., similar velocities, locations, and moving directions); the relative movements between those two vehicles are more slight so that their link is hardly affected by movements and can maintain for a relatively long time. Consequently, the impact from vehicle mobility on data delivery can be mitigated by establishing communication between vehicles with similar mobility pattern.

Since vehicles and their neighbor vehicles probably travel with similar speed and direction, the links between neighboring vehicles are more likely to be stable and reliable. Allowing vehicles to fetch popular data (i.e., data with high request frequency, like traffic information) from one-hop neighbors may alleviate the mobility issues. However, the in-network caching in VNDN is in a non-cooperative caching manner. Vehicles can only make caching decisions according to local demands rather than global demands, which makes it more difficult for requesting vehicles to fetch desired data from the surrounding vehicles and therefore increases the impact of vehicle mobility on data delivery [6]. Unlike non-cooperative caching, cooperative caching is flexible in managing cache, and it enables RSU to make caching decision for vehicles and cooperatively cache globally popular data with vehicles. Its characteristics like high cache hit ratio and low access delay also help to increase the feasibility and efficiency of acquiring data from one-hop neighbors.

Therefore, we propose a cooperative caching strategy, namely cluster-based COoperative caching with Mobility Prediction (COMP) in VNDN. Considering there is a strong demand to provide users with popular data, such as traffic information, but the high dynamic characteristic of vehicles makes data delivery in sparse network infrastructures difficult. COMP aims to establish stable communication among vehicles to protect the communication quality from vehicle mobility. The contributions of this paper are listed as follows:

- We propose COMP strategy that establishes communication among vehicles with similar mobility pattern to mitigate the mobility issues for VNDN. We design a clustering algorithm to establish and maintain vehicle clusters via mobility prediction.
- We present a cooperative caching strategy to construct intra-cluster and inter-cluster communication over vehicle clusters, which helps to organize communication among vehicles with similar mobility pattern.
- COMP provides relatively stable and reliable data delivery for most of vehicles, and performs better than other commonly used caching strategies.

The rest of this paper is organized as follows: Section II reviews the previous work related to our research and Section III is an overview of COMP. The clustering algorithm and cooperative caching strategy are presented respectively in Section IV and Section V. Evaluation results are shown in Section VI to demonstrate the feasibility of COMP, and conclusions are given in Section VII.

## II. RELATED WORK

### A. NDN AND ITS CACHING MODEL

NDN uses a pull-based communication model, where the requesting node (named *Consumer*) sends an *Interest* packet, and the node with the requested data (named *Producer*) sends the *Data* packet. The Interest packet carries a name, and the Data packet carries the same name. Each NDN node has three essential data structures: (1) PIT records Interest packet that has not been satisfied; (2) FIB guides the name-based forwarding of Interest packet; (3) CS caches the replicas of Data packets.

Upon receiving an Interest, a node records the Interest in PIT and searches its CS for a hit according to name. If there is a hit, the matched Data is replied to the Consumer. Otherwise, the unsatisfied Interest is forwarded to the next node by looking up matched entries in FIB. The Producer replies Data packet toward the Consumer through the reverse path created by Interest, and when there exists several relay nodes on the path, these nodes will cache the Data replicas in the CS. Such in-network caching increases the chances for nodes to become potential producers, but may bring about some issues like frequent cache updates and over-caching.

To improve cache efficiency and network performance, lots of caching strategies have been studied and proposed. The existing caching strategies in NDN can be classified into non-cooperative caching and cooperative caching depending

on whether the caching decisions are made independently at each individual node [6], [7].

In non-cooperative caching approaches, nodes exchange no caching information with others. AlwaysCache, the default caching strategy in NDN, allows relay nodes to cache all the coming Data, even though they are not the consumer. Another commonly used caching strategy in NDN, ProbCache [8], evaluates the caching capability of a path and then caches Data packets with a certain probability constant.

Cooperative caching approaches enable nodes to exchange caching information with each other and aim to reduce access delay and cache redundancy. References [9]–[12] are examples of these approaches. In [9], a cooperative in-network caching scheme is proposed in which each content is divided into multiple chunks and cooperatively cached at several nodes. In WAVE [10], an upstream node decides which chunks can be cached at the next downstream node, and the node closed to the end users tends to cache popular contents. In [11], a cache-aware ICN design is developed with lightweight cooperation between nodes. In [12], an intra-AS cache cooperation scheme is presented to control cache redundancy within the AS, and nodes can cooperatively satisfy requirements of neighbors.

However, the core problem in non-cooperative caching is that the contents cached by nodes outside of request path are unknown by nodes inside the path, which leads to cache redundancy and inefficiency. Unlike non-cooperative caching, cooperative caching enables nodes to share cache information with each other and to make caching decisions for others; and moreover, the flexibility of managing cache can significantly improve the cache efficiency.

## B. CACHING IN VNDN

VNDN consists of VANET and NDN [4], and it inherits the in-network caching characteristics from NDN, which can reduce the load of original data producers and access delay. However, as the default caching strategy, AlwaysCache, may increase the caching redundancy, some caching strategies need to be designed for VNDN.

Several non-cooperative caching strategies for VNDN have been proposed [13]–[15]. In LF [13], popular contents are cached at selected vehicles on the request path. In [14], a distributed probabilistic caching strategy is proposed for vehicles to make caching decisions by considering demands and preference of users. In [15], vehicles cache contents based on the request of application types.

Cooperative caching approaches in VNDN have also been studied for several years [16]–[25]. In [16], a set of proxies are deployed to explore user requirements and prefetch contents by leveraging pull-based model in NDN. In [17], a layered cooperative cache management enables vehicles to choose one-hop neighbors as caching nodes according to the layer level caching potential. In CCMP [18], the probability of a vehicle reaching different hot regions is predicted based on its past trajectories, and the vehicle with longer sojourn time is designed to cooperatively cache popular con-

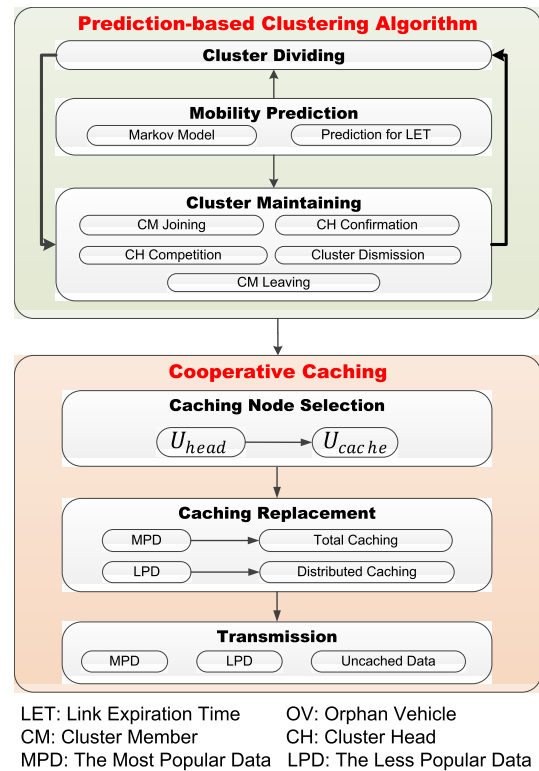


FIGURE 2. System model.

tents with RSUs. In [19], an interval metric is introduced for selective caching with consideration of data types and network density. In UG-Cache [20], caching vehicles are selected based on request frequency and cache distance. In [21], a set of caching nodes are selected based on their mobility similarity and privacy to reduce cache redundancy. In [22]–[25], by predicting the next RSU that vehicles may pass through, desired data of vehicles is pre-cached in the next RSU to enhance seamless mobility support.

Although there are some work taking advantages of cooperative caching to improve cache efficiency in vehicular network, those work rarely consider the effects of vehicle mobility on communication quality; the unsolved mobility issues limit the expected performance of these caching strategies. To address the gap, our previous work [26] designs a cooperative caching strategy to mitigate the impact of vehicle movements on communication quality. In the present work, we further improve the stability and reliability of vehicle-to-vehicle communication via mobility prediction, and further enhance the cache efficiency by introducing distributed caching.

## III. COMP OVERVIEW

In COMP, we mainly consider two types of nodes: RSUs and vehicles; each node maintains three essential data structures: PIT, FIB, and CS. COMP includes two major parts: prediction-based clustering algorithm and cooperative caching, as illustrated in Fig.2. The former groups vehicles with similar mobility pattern via mobility prediction, and the latter establishes intra-cluster and inter-cluster

communication over vehicle clusters by caching data at selected cluster heads. Each vehicle is in one of three states: cluster head (CH), cluster member (CM), and orphan vehicle (OV). Every cluster has a vehicle as the cluster head, others are cluster members; vehicles that do not join any cluster are named as orphan vehicles. Vehicles periodically broadcast beacon packets to one-hop neighbors, and the beacon packets carry mobile information (i.e., current location, velocity, and moving direction) and state information (i.e., CH, CM, or OV) of the sender.

The workflow overview of COMP is illustrated as follows. According to the clustering algorithm, vehicles can predict the future mobility patterns via Markov model and prediction model for link expiration time (LET). Vehicle clusters are established depending on the prediction results. To maintain the vehicle clusters, COMP considers several scenarios, which may affect the stability and coverage of clusters, such as CM joining, CH confirmation, CH competition, cluster dismissal, and CM leaving. According to cooperative caching, RSUs select some vehicles in the set of cluster heads  $U_{head}$  as caching nodes  $U_{cache}$  to avoid the interruption of caching process caused by vehicle mobility. Based on request frequency, cached data is categorized into two types: the most popular data (MPD) and the less popular data (LPD). COMP allows each vehicle in  $U_{cache}$  to cache total MPD, and different vehicles to cache different partitions of LPD. When a CM generates requirement, it first looks up its CS for a hit. If so, its CS will return the matched Data packets; otherwise, it will send Interest to the local CH. Based on the types of requested data (i.e., MPD, LPD, uncached data), the Interest and matched Data will be forwarded via corresponding transmission schemes.

The frequently used notations are listed in Table 1.

## IV. PREDICTION-BASED CLUSTERING ALGORITHM

### A. CLUSTER DIVIDING

When the network is first established, all vehicles are in an orphan state and then are divided into different clusters via selection for cluster heads. The selection considers various factors including vehicle mobility, average distance between vehicle and its neighbors, and the number of neighbors. The reasons for taking these factors into consideration are as follows: (1) vehicle mobility may lead to link disruption and packet loss, thus affecting the communication quality of vehicular network; (2) due to the feature of random loss in a wireless fading channel, the smaller communication distance represents a higher success rate of data delivery; (3) establishing clusters with extensive coverage can avoid an excess of clusters, as well as the costs of calculation and maintenance. Therefore, a weight with refresh cycle  $\Delta T$  is used as the suitability of becoming cluster head for each vehicle. The vehicle with the highest weight is regarded as the best to be the cluster head among surrounding vehicles.

An example is given to illustrate the calculation process of weight. Assuming vehicle  $V_i$  and its neighbor

TABLE 1. Key notations.

Notation	Description
$N_i$	Set of neighbor vehicles of vehicle $i$
$ N_i $	The number of vehicles in $N_i$
$R$	Transmission range of vehicle
$W_i$	Current weight of vehicle $i$
$W_i^{(t)}$	Weight of vehicle $i$ in the $t$ th period
$\bar{D}_i$	Average distance between $i$ and neighbors
$P(N_i)$	Probability of neighbor maintaining its status
$L_{ij}$	LTE between vehicle $i$ and $j$
$\bar{L}_i$	Average LET between vehicle $i$ and neighbors
$\Delta T$	Period of broadcasting beacon packet
$s_a$	Location $a$
$S$	Location sequence
$v_i$	Speed of vehicle $i$
$\theta_i$	Moving direction of vehicle $i$
$(x_i, y_i)$	Location coordinate of vehicle $i$
$U_{head}$	Set of cluster heads
$U_{cache}$	Set of caching nodes
$S_{co}$	Total size of cached contents
$\rho$	Caching Proportion of LPD

vehicles  $V_j(j = 1, \dots, |N_i|)$  can communicate with each other, and each of them periodically broadcasts beacons to other vehicles where the beacons carry the identification, and the mobile and state information of the sender. After receiving and collecting the related information, vehicle  $i$  can independently calculate its weight as:

$$W_i^{(t)} = w_1 * |N_i| + w_2 * \frac{R}{\bar{D}_i} + w_3 * P(N_i) + w_4 * \bar{L}_i \quad (1)$$

where  $w_1, w_2, w_3$  and  $w_4$  are weighted factors, which are set according to the specific application environment and

$$w_1 + w_2 + w_3 + w_4 = 1. \quad (2)$$

Among those factors considered by weight calculation,  $|N_i|$  and  $\bar{D}_i$  can be obtained according to the received identification and location information;  $P(N_i)$  and  $\bar{L}_i$  are related to vehicle mobility, which is computed via mobility prediction (see Section IV-B) After calculating the weight  $W_i$ , vehicle  $V_i$  records the weight value and adds that value into the next beacon.

In cluster dividing, the vehicle with the highest weight among surrounding vehicles is chosen as the cluster head. The process of cluster dividing is illustrated as Algorithm 1. Assuming the network is first established, all vehicles are in the orphan states, and the cluster dividing is performed. After receiving the first beacon of neighbors  $V_j(j = 1, \dots, |N_i|)$ , vehicle  $V_i$  calculates the first initial weight  $W_i^0$  by Eq (1) and adds the weight into the second beacon. Upon receiving the weight  $W_j^{(0)}(j = 1, \dots, |N_i|)$  from neighbors,  $V_i$  compares them with its own weight. If its weight  $W_i^{(0)}$  is the highest,  $V_i$  becomes a cluster head, and the state information is updated

**Algorithm 1** Cluster Dividing

**Input:**  $W_i^{(0)}, W_j^{(0)} (j = 1, \dots, |N_i|)$   
**Output:**  $S_i$

```

1:  $S_i \leftarrow OV$   $\triangleright V_i$  is in the orphan state
2: while  $V_i$  exchanges beacon with  $V_j$  do
3:    $V_i \leftarrow beacon\_j$   $\triangleright V_i$  receives beacon
4:    $V_i \leftarrow W_i^{(0)}$   $\triangleright$  Apply Eq (1)
5:    $beacon\_i \leftarrow W_i^{(0)}$   $\triangleright$  Add  $W_i^{(0)}$  into beacon
6:   while  $V_i \leftarrow beacon\_j$  do
7:     if  $W_i^{(0)} > W_j^{(0)}$  then
8:        $S_i \leftarrow CH$   $\triangleright V_i$  becomes CH
9:        $beacon\_i \leftarrow CH$   $\triangleright$  Add state into beacon
10:      while  $V_j \leftarrow beacon\_i$  do
11:         $S_j \leftarrow CM$   $\triangleright V_j$  becomes the CM of  $V_i$ 
12:      end while
13:    else
14:      if  $V_i \leftarrow beacon\_j$  and  $beacon\_j == CH$  then
15:         $S_i \leftarrow CM$   $\triangleright V_i$  becomes the CM of  $V_j$ 
16:      else
17:         $S_i \leftarrow CH$   $\triangleright V_i$  becomes the CH
18:      end if
19:    end if
20:  end while
21: end while
22: return  $S_i$ 

```

from OV to CH in the next beacon. Then, according to the received state information from  $V_i$ , neighbor vehicles will join the cluster and become cluster members of  $V_i$ . Otherwise,  $V_i$  will wait for instruction from the vehicle which has become a cluster head for a period of  $\beta \Delta T (\beta > 1)$ . If it still receives no response from any cluster head when the time expires, it will become a cluster head.

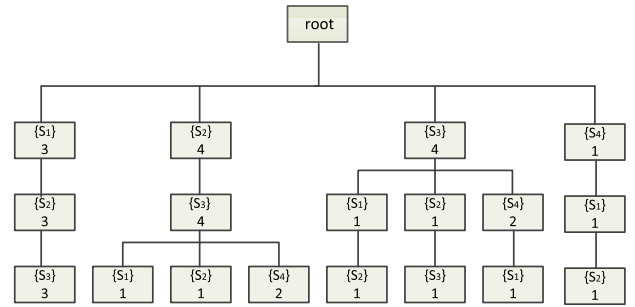
**B. MOBILITY PREDICTION**

In the process of weight calculation, node mobility is an important factor to be considered. Due to the high dynamic of node mobility, clusters constructed based on the current mobility patterns may be unstable. To guarantee the stability of clusters, the vehicle mobility is evaluated by two factors: probability of neighbors maintaining states in the next period, and link expiration time (LET) between the vehicle and neighbors. These two factors are respectively predicted by Markov model and prediction model for LET, which are illustrated in following subsections.

1) PREDICTION BASED ON MARKOV MODEL

Owing to the accurate prediction capability and low calculation costs, COMP adopts second-order Markov model to predict the next location of vehicles according to the current and last locations, and then the probability for two vehicles to keep a connection in the next period can be computed [27].

To facilitate the process of describing moving traces of vehicles, we partition the digital map into multiple cells



**FIGURE 3.** An example of Markov parsing tree trained by sequence 5.

$s_1, s_2, \dots, s_n$  with the length of  $r$  [28]. According to the location of vehicles, the cells that vehicles locate in can be obtained. In order to decrease the computing complexity and memory storage, COMP assumes the moving trace of a vehicle is a sequence  $S = \{s^{(1)}, s^{(2)}, \dots, s^{(n)}\}$ , where  $s^{(t)}$  refers to the location in the  $t$ th period.

The Markov prediction model has a training stage to construct a parsing tree by processing a single or multiple known location sequences where the tree starts with a root node and the training location sequence is processed one location at a time. Once a location  $s_a$  and its previous  $k$  locations  $L_k$  appear in the training sequence at the first time, a new path  $L_k s_a$  is added into the parsing tree and the number of times that the path appears in the training sequence is recorded as  $N(L_k s_a)$ . The  $k$  value depends on the order of adopted Markov model.

Fig.3 is an example for this. A training sequence  $S = \{s_1, s_2, s_3, s_1, s_2, s_3, s_4, s_1, s_2, s_3, s_2, s_3, s_4\}$  is processed to generate a parsing tree for second-order Markov prediction model. The first location  $s_1$  and its subsequent two (i.e.,  $k = 2$ ) locations  $s_2, s_3$  form the first path of the tree, and then the second path consisting of locations  $s_2, s_3, s_1$  is added into the tree. Other paths are also shown in Fig.3 as well.

After establishing the Markov parsing tree, the probability of  $s_a (s_a \in S)$  is the next location that can be computed by a given location sequence  $L$ . Based on the received beacons from neighbors, vehicles collect and record the current and last locations of these neighbors, and then predict their next locations via the parsing tree. The prediction uses escape mechanism [29] and is presented as

$$P(s_a|L) = \begin{cases} P_k(s_a|L) & s_a \in U_{L_k} \\ P_k(s_\xi|L) \cdot P(s_a|L'_k) & s_\xi \in U_{L_k}, s_a \in U_{L'_k} \end{cases} \quad (3)$$

where

$L_k$  denotes the last  $k$  locations of a given sequence;

$L'_k$  denotes the first  $i (i = k - 1)$  locations of  $L_k$ ;

$U_{L_k}$  denotes the set of locations appearing after  $L_k$ , which is obtained from the training sequences.

When location  $s_a$  appears after a known  $k$ -size sequence  $L_k$ , i.e., path  $L_k s_a$  has been added into the parsing tree in the training stage, the probability  $P(s_a|L)$  equals  $P_k(s_a|L)$  as

$$P_k(s_a|L) = \frac{N(L_k s_a)}{\sum_{s_b \in U_{L_k}} N(L_k s_b) + |U_{L_k}|} \quad (4)$$

When the path  $L_k s_a$  has not been previously added into the parsing tree, the probability for  $s_a$  to become the future location can be computed by using subsequence  $L'_k$ :

$$P_k(s_\xi|L) = \frac{|U_{L_k}|}{\sum_{s_b \in U_{L_k}} N(L_k s_b) + |U_{L_k}|} \quad (5)$$

and

$$P(s_a|L'_k) = \frac{N(L'_k s_a)}{\sum_{s_b \in U_{L'_k}} N(L'_k s_b) + |U_{L'_k}|} \quad (6)$$

For example, according to the parsing tree in Fig.3 and a given location sequence where the last two locations are  $s_3 s_2$ , the probability of  $s_4$  is the next location that can be predicted by Eq (3). Because there is no path  $s_3 s_2 s_4$  in the parsing tree, the probability  $P(s_4|s_3 s_2)$  equals  $P(s_\xi|s_3 s_2) \cdot P(s_4|s_3)$ , where

$$\begin{aligned} P(s_4|s_3 s_2) &= P(s_\xi|s_3 s_2) \cdot P(s_4|s_3) \\ &= \frac{|U_{s_3 s_2}|}{\sum_{s_b \in U_{s_3 s_2}} N(s_3 s_2 s_b) + |U_{s_3 s_2}|} \\ &\quad \cdot \frac{N(s_3 s_4)}{\sum_{s_b \in U_{s_3}} N(s_3 s_b) + |U_{s_3}|} = \frac{2}{11}. \end{aligned}$$

The prediction result in this example shows the probability  $P(s_4|s_3 s_2)$  equals  $\frac{2}{11}$ .

Assuming a parsing tree involving  $n$  locations has been established in the training stage, and then vehicle  $V_i$  records location of neighbors  $V_j(j = 1, \dots, |N_i|)$  based on the received beacon. According to Eq (3), vehicle  $V_i$  calculates the probability of the next location for each neighbor vehicle as well as itself. The prediction results are presented as a vector  $P_i = [\pi_i, \pi_1, \dots, \pi_j, \dots, \pi_{|N_i|}]$ , where  $\pi_i$  and  $\pi_j$  denote the prediction results of  $V_i$  and  $V_j(1 \leq j \leq |N_i|)$ , respectively. The elements of  $P_i$  also consist of vectors, for example,  $\pi_i = [\pi_{i1}, \pi_{i2}, \dots, \pi_{in}]$ ,  $\pi_{ik}(k = 1, \dots, n)$  represents the probability that the next location of  $V_i$  is  $s_k$ . The probability for  $V_i$  to keep connecting with neighbor  $V_j$  can be calculated by  $V_i$  as

$$P(ij) = \sum_{k,l} \pi_{ik} \pi_{jl} \quad (7)$$

where the distance between  $s_k$  and  $s_j$  should be smaller than the transmission range  $R$ . To consider other neighbors, the probability that all neighbors of  $V_j$  maintain the states in the next period can be computed as

$$P(N_i) = P(i1)P(i2) \cdots P(in) \quad (8)$$

## 2) PREDICTION MODEL FOR LINK EXPIRATION TIME

Link expiration time (LET) is defined as the length of time that two vehicles can keep connecting. Therefore, the prediction model for LET can be used to evaluate the stability of link between two vehicles.

Based on the mobile information carried by periodically exchanged beacons, a vehicle can independently predict the

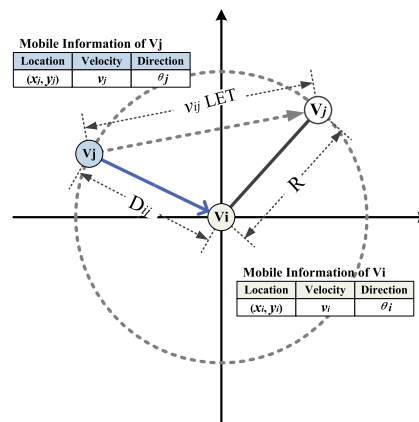


FIGURE 4. An example of predicting link expiration time.

LET between its neighbor and itself through trigonometry. Fig.4 shows an example of predicting LET, a vehicle  $V_i$  intends to predict the LET of link between neighbor  $V_j$  and itself. Upon receiving beacons broadcasted by neighbor  $V_j$ ,  $V_i$  extracts and records the mobile information of  $V_j$  including location  $(x_j, y_j)$ , velocity  $v_j$ , and moving direction  $\theta_j(0 < \theta_j < 180^\circ)$ . The transmission range  $R$  can be computed by

$$R^2 = (v_j L_{ij})^2 + D_{ij}^2 - 2v_j D_{ij} L_{ij} \cos \Delta \theta \quad (9)$$

where

$$\begin{aligned} v_{ij} &= ((v_j \cos \theta_j - v_i \cos \theta_i)^2 + (v_j \sin \theta_j - v_i \sin \theta_i)^2)^{\frac{1}{2}} \\ D_{ij} &= ((x_j - x_i)^2 + (y_j - y_i)^2)^{\frac{1}{2}} \\ \Delta \theta &= \theta_j - \theta_i \end{aligned}$$

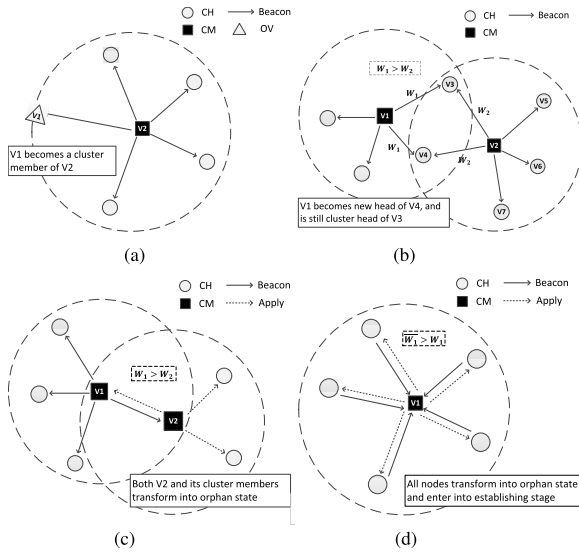
Finally, the LET between vehicle  $V_i$  and  $V_j$  can be calculated by

$$L_{ij} = \frac{D_{ij} \cos \Delta \theta + (R^2 - D_{ij}^2 \sin^2 \Delta \theta)^{\frac{1}{2}}}{v_{ij}} \quad (10)$$

Both the Markov prediction model and the prediction model for LET enable vehicles to predict the mobility pattern independently, and the prediction results can be used to evaluate the suitability of a vehicle to become the cluster head. Therefore, the results are regarded as important factors to be considered in the establishment and maintenance of vehicle clusters.

## C. CLUSTER MAINTAINING

The maintenance of vehicle clusters is necessary because vehicle movements can affect the stability and coverage of the clusters. Assuming all vehicles desire to join the clusters, as the links between vehicles with similar mobility pattern are relatively stable, i.e., the links based on the clusters can provide good support for stable data delivery. Hence the stability and coverage of the clusters is closely related to link quality. To maintain the clusters, we propose corresponding solutions to address scenarios including CM joining, CH confirmation,



**FIGURE 5. Scenarios considered in the maintenance of clusters. (a) CM joining. (b) CH confirmation. (c) CH competition. (d) Cluster dismissal.**

CH competition, cluster dismissal, and CM leaving. The details are listed as follows.

**Scenario 1(CM Joining):** Upon cluster dividing, some vehicles may still keep an orphan state and wait for joining clusters. These orphan vehicles can join clusters when they move into the coverage area of clusters. As shown in Fig.5(a), an orphan vehicle  $V_1$  moves into the coverage area of a cluster. After receiving beacon from cluster head  $V_2$ ,  $V_1$  transforms its state from OV to CM.

**Scenario 2(CH Confirmation):** Due to the varying mobility of vehicles, a cluster member occasionally moves into the coverage area of other clusters, and then receives beacons from both the local cluster head and other heads. It is reasonable to enable the cluster member to select a better head, which can offer more stable and reliable data delivery. Based on the weight carried in beacons, a new head is selected by comparing the weight of multiple heads; the cluster member chooses the cluster head with the highest weight as its new head. As shown in Fig.5(b), vehicle  $V_3$  and  $V_4$  are cluster members of cluster heads  $V_1$  and  $V_2$ , respectively. They move in the coverage of both clusters and receive the beacons from both heads. By comparing the weight of  $V_1$  and  $V_2$ ,  $V_3$  and  $V_4$  find that  $W_1$  is larger than  $W_2$ , which indicates that  $V_1$  is more suitable than  $V_2$  to be the cluster head and therefore they choose  $V_1$  as their new head.

**Scenario 3(CH Competition):** As vehicles are moving around, some cluster heads may enter into the coverage area of each other. The solution is to enable one of them to be the head continually, while other heads recover to the orphan state. When the distance between two cluster heads is smaller than the half of transmission range ( $R/2$ ), this solution is started. As shown in Fig.5(c), cluster head  $V_1$  enters the transmission range of  $V_2$ , and the distance between them is smaller than  $R/2$ . Upon receiving the beacons from each other, vehicle  $V_2$  finds that its weight  $W_2$  is smaller than

that of  $V_1$ , which indicates  $V_1$  is more suitable than  $V_2$  to be a head. Then  $V_2$  and its cluster members are turned into the orphan state after  $V_2$  broadcasts apply packets to the members.

**Scenario 4(Cluster Dismission):** Because of the ever-changing location and speed, a cluster head may not always be an appropriate head. For example, either acceleration or deceleration may make a cluster head unstable to keep the CH state. A less qualified vehicle in the CH state would reduce the stability and reliability of clusters and therefore a cluster head should be self-detective and able to adaptively transform the state. The comparison of current and previous weights can reflect the change in the qualification of cluster head, and hence cluster heads are designed to record their weight in past  $n$  times and get an average value as

$$\bar{W}_i = (W_i^{(1)} + W_i^{(2)} + \dots + W_i^{(n)})/n. \quad (11)$$

If the current weight  $W_i^{(n)}$  is smaller than  $\bar{W}_i$ , it implies that  $V_i$  becomes less eligible to be the cluster head, and the cluster needs to be dismissed and reconstructed. An example is shown in Fig.5(d), cluster head  $V_1$  finds that its current weight  $W_1$  is smaller than  $\bar{W}_1$ , which means that it is no longer suitable to manage the cluster. After vehicle  $V_1$  sends an apply packet to its cluster members, all vehicles within the cluster will recover from the orphan state and then enter into the phase of cluster dividing. A new cluster head and a new cluster will be generated soon.

**Scenario 5(CM Leaving):** When a cluster member leaves the located cluster, i.e., moves out of the coverage area of the cluster head, it can not receive any beacon from the head. Upon finding the time interval from receiving the last beacon is longer than  $\gamma \Delta T$  ( $\gamma > 1$ ), the cluster member will recover to the orphan state and then join a new cluster according to the Scenario 1.

Besides the above five scenarios that should be considered for the maintenance of cluster and corresponding solutions are given to extend the cluster lifetime, there are several extreme cases that also should be taken into account:

- If all vehicles are in the orphan state, they will enter into cluster dividing stage (Section II-A), and then new vehicle clusters will be established;
- If all vehicles are in the CH state, i.e., there is no cluster member in any cluster, these vehicles will discard the CH state, and then enter into the cluster dividing stage;

Through the prediction-based clustering algorithm, vehicle clusters can be established with high stability and coverage, and the link between CH and CM should be more stable than that between any two nodes with uncertain mobility pattern. This cluster-based communication is able to be established via cooperative caching, which can improve the communication quality of vehicular network.

## V. COOPERATIVE CACHING STRATEGY

After establishing vehicle clusters, COMP uses cooperative caching strategy to construct cluster-based communication by

**Algorithm 2** Caching Node Selection

---

**Input:**  $U_{head}$   
**Output:**  $U_{cache}$

```

1: while RSU  $i \leftarrow beacon_{U_{head}}$  do
2:    $U_{cache} \leftarrow \phi, (x, y) \leftarrow (0, 0)$ 
3:    $V, \theta, LET \leftarrow 0$   $\triangleright$  Parameter initialization
4:   for all  $j$  in  $U_{head}$  do
5:      $V \leftarrow v_j, \theta \leftarrow \theta_j$   $\triangleright$  Record mobile information
6:      $(x, y) \leftarrow (x_j, y_j)$ 
7:      $LET \leftarrow L_{ij}$   $\triangleright$  Apply Eq (10)
8:     if  $LET \geq \eta T_{co}$  then
9:        $U_{cache} \leftarrow j$   $\triangleright j$  is selected as caching node
10:    end if
11:  end for
12: end while
13: return  $U_{cache}$ 

```

---

enabling RSUs to cache popular data to cluster heads, and thus these heads can offer stable and reliable data services for requesting vehicles.

**A. CACHING NODE SELECTION**

When the vehicle clusters are formed, the cluster heads broadcast beacons to the neighbors including their nearest RSUs, and then wait to receive data from the RSUs. To prevent vehicle mobility from the cooperative caching, RSUs are designed to select appropriate cluster heads as caching nodes according to their mobility patterns.

Assuming RSUs can cooperatively cache  $S_{co}$  size data with each caching node and the transmission rate of RSU is  $R_{rsu}$ , thus the transmission delay in cooperative caching is expressed as

$$T_{co} = \frac{S_{co}}{R_{rsu}}. \quad (12)$$

Considering the possible situations in data delivery, such as link interruption and packet loss,  $\eta T_{co} (\eta > 1)$  is presented as the benchmark of caching node selection, where the LET of links between caching nodes and RSUs should be longer than  $\eta T_{co}$ .

The procedure of caching node selection is described as Algorithm 2. Upon receiving beacons from a set of cluster heads  $U_{head}$ , it empties the set of caching nodes  $U_{cache}$  for selecting and adding new caching nodes. For a cluster head  $j$  in  $U_{head}$ , RSU  $i$  records its mobile information including the current velocity, moving direction, and location, and then computes the LET  $L_{ij}$  of the link between  $j$  and itself by applying Eq (10). Through the comparison between  $L_{ij}$  and  $\eta T_{co}$ , RSU  $i$  assesses the suitability of being a caching node for  $j$ . If  $L_{ij}$  is longer than  $\eta T_{co}$ , RSU  $i$  adds vehicle  $j$  into  $U_{cache}$  and then prepares to deliver cached data to  $j$ ; otherwise, vehicle  $j$  will take no action until it encounters an appropriate RSU.

**Algorithm 3** Cache Placement

---

**Input:**  $U_{cache}$   
**Output:**  $CS_j$

```

1:  $U_{MPD}, U_{LPD} \leftarrow \phi$   $\triangleright$  Set of MPD and LPD
2: while RSU  $i$  selects  $U_{cache}$  as caching nodes do
3:    $k \leftarrow |U_{cache}|, n \leftarrow 0$ 
4:    $U_{MPD} \leftarrow (1 - \rho)S_{co}$  contents
5:    $U_{LPD} \leftarrow \rho S_{co}$  contents
6:   for all  $j$  in  $U_{cache}$  do
7:      $CS_j \leftarrow U_{MPD}$   $\triangleright$  Cache the whole MPD at  $j$ 
8:     for all  $C_r$  in  $U_{LPD}$  do
9:       for all  $Chunk_t$  in  $C_r$  do
10:        if  $t \bmod n == 0$  then
11:           $CS_j \leftarrow Chunk_t$   $\triangleright$  Cache  $Chunk_t$  at  $j$ 
12:        end if
13:      end for
14:    end for
15:     $n \leftarrow n + 1$ 
16:  end for
17: end while
18: return  $CS_j$ 

```

---

**B. CACHE PLACEMENT**

RSUs cooperatively cache data with caching nodes according to cache placement scheme, but caching the same data at every caching node may cause cache redundancy and the waste of cache resource, which limits the diversity of cached data and the performance of COMP.

To address the above issues and fully utilize the finite cache space, the cache placement classifies the cached data into two types based on the request frequency: the most popular data (MPD) and the less popular data (LPD). In each node,  $(1 - \rho)S_{co}$  and  $\rho S_{co}$  size space in CS are used to cache MPD and LPD, respectively. COMP enables MPD to be totally cached at each caching node, and enables LPD to be distributedly cached among caching nodes.

Algorithm 3 describes the procedure of cache placement, where  $CS_j$  represents the CS of node  $j$  ( $j \in U_{cache}$ ). All nodes in  $U_{cache}$  are numbered from 0 to  $k - 1$  ( $k = |U_{cache}|$ ). MPD is totally cached at caching nodes; each caching node receives the same MPD from RSU  $i$ . As for LPD, assuming  $Chunk_t$  denotes the chunk  $t$  of content  $C_r$ , RSU  $i$  makes caching decision for a caching node numbered  $n$  ( $0 \leq n < k$ ) according to the modulus operation between  $t$  and  $n$ .

Fig.6 shows an example of cache placement, content  $C_1$  is MPD, and content  $C_2$  and  $C_3$  are LPD with 9 chunks. Assuming RSU selects cluster heads  $CH_1$  and  $CH_2$  as caching nodes after receiving beacons, MPD  $C_1$  is totally cached at both cluster heads. Content  $C_2$  and  $C_3$  are cached by taking the number of chunk modulo 2 (2 caching vehicles), for example,  $4 \bmod 2$  is 0, therefore Chunk 4 is stored at the vehicle  $CH_1$ . By that analogy, caching vehicle  $CH_1$  and  $CH_2$  cache chunks (0, 2, 4, 6, 8) and (1, 3, 5, 7), respectively. It is noteworthy that extra popular content  $C_3$  is able to be cached



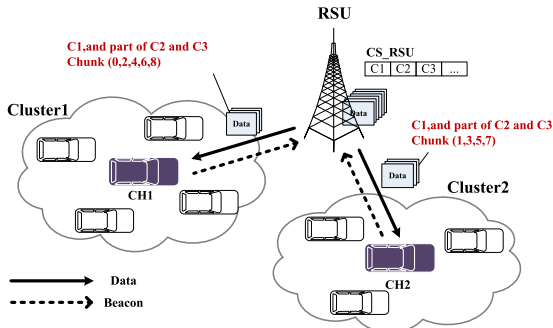


FIGURE 6. An example of cooperative caching.

TABLE 2. Neighbor head table.

Notation	Description
ID	vehicle identification
content	content name
number	number of cached chunks

at caching nodes, which indicates that distributed caching can improve the diversity of cached data.

Meanwhile, RSUs record the caching information of each caching node into Neighbor Head Table (see Table 2), where the table contains the vehicle ID, the name of cached contents, and the number of cached chunks. Each caching node holds the table accepted from the RSUs, and hence the nodes can fetch desired data according to the table.

In the designed cache placement, the MPD is totally cached at all nodes, and the LPD are distributedly cached at different nodes. It encourages caching nodes to cache more popular contents, thus increasing the chances of requests satisfied through the communication over vehicle clusters.

### C. TRANSMISSION

When new data requests are generated, the requested data should belong to one of these three types: MPD, LPD, and uncached data. COMP designs three transmission schemes based on these data types to enable requesting vehicles to fetch data from intra-cluster and inter-cluster links, as these links are relatively stable and reliable.

*Scenario 1(Request MPD):* As MPD is cached at all caching nodes, the cluster member can directly fetch MPD from local cluster head. As shown in Fig.7(a),  $V_2$  and  $V_3$  are cluster heads of vehicle  $V_1$  and  $V_4$ , respectively. MPD  $C_1$  is cached at both  $V_2$  and  $V_3$  according to the proposed cache placement scheme. When  $V_1$  and  $V_4$  request for MPD  $C_1$ , they sent Interest packets toward their local heads  $V_2$  and  $V_3$ , respectively. After receiving the Interests,  $V_2$  and  $V_3$  find that their CSs have cached the  $C_1$ , and then send the packet to the requesting vehicles.

*Scenario 2(Request LPD):* Fig.7(b) shows an example. Cluster members  $V_1$  and  $V_4$  request for LPD  $C_2$  and  $C_3$ , respectively. The partitions of  $C_2$  and  $C_3$  are cached at

caching nodes  $V_2$  and  $V_3$  according to cache placement scheme, chunk (0, 2, 4, 6, 8) and the chunk (1, 3, 5, 7) of  $C_2$  and  $C_3$  have been cached at cluster head  $V_2$  and  $V_3$ , respectively. When  $V_1$  requests for  $C_2$ ,  $V_1$  firstly sends the Interest packet to the local head  $V_2$ . After receiving the Interest,  $V_2$  delivers the chunk (0, 2, 4, 6, 8) of  $C_2$  to  $V_1$ , and then forwards the Interest toward neighbor head  $V_3$  based on the caching information recorded in Neighbor Head Table. Then  $V_3$  delivers the rest chunk (1, 3, 5, 7) of  $C_2$  to  $V_1$  along the request path and finally  $V_1$  can receive total chunks of  $C_2$ . Member  $V_4$  can obtain the  $C_3$  from  $V_2$  and  $V_3$  in the same way.

*Scenario 3(Request Uncached Data):* When a cluster member requests for data that has not been cached in advance at caching nodes, its local head will return a NACK packet after receiving Interest packets from the requesting member. An example is shown in Fig.7(c), where both cluster members  $V_1$  and  $V_4$  request for uncached data  $C_4$ . Upon receiving the Interest and finding that there is no matched Data in CSs, their local cluster heads  $V_2$  and  $V_3$  send Nack packets to  $V_1$  and  $V_4$ , respectively. In the end,  $V_1$  and  $V_4$  request for and fetch  $C_4$  from the nearest RSU.

As for the requests generated from cluster head, the cluster head looks up the local CS for a hit first and then obtains data according to the corresponding scenarios described above. As for the requests generated from orphan vehicle, the orphan vehicle directly requests and fetches from the nearest RSU.

Based on the transmission schemes, vehicles can acquire desired data through inter-cluster and intra-cluster first, which promotes vehicles to access to stable and reliable data service via COMP.

## VI. EVALUATION AND DISCUSSION

We design an experiment to evaluate the feasibility and efficiency of the proposed COMP strategy. Experimental results are showed and discussed in this section.

### A. EXPERIMENTAL SETUP

Both SUMO [30] and ndnSIM [31] are employed to build our experimental platform, where they are respectively used to simulate an urban vehicular scenario and implement the NDN communication model.

We use SUMO to simulate a Manhattan model and generate location sequence of vehicles. The Manhattan model consists of three horizontal and three vertical bidirectional streets with an area of  $2 \times 2 \text{ km}^2$ . Twelve RSUs are uniformly deployed along the streets with a 500 m interval, and varying network sizes ranging from 100 to 700 vehicles in a street are simulated. Part of location sequences are used to train the Markov model, and the rest sequences are used to simulate the vehicular network via ndnSIM. We mainly focus on the evaluation of cooperative caching, and thus we consider the cache space of vehicles is only used for cooperative caching and the RSUs have cached all simulated contents. The rest simulation parameters are summarized in Table 3.

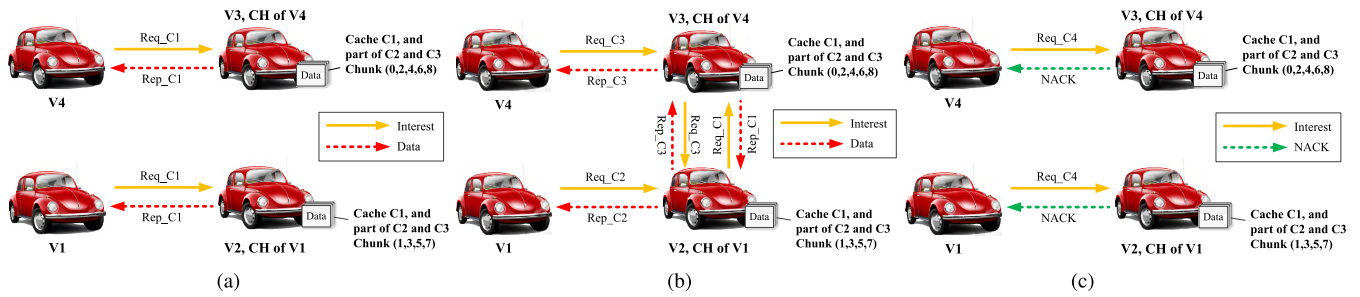


FIGURE 7. Transmission schemes for different request scenarios. (a) Request MPD. (b) Request LPD. (c) Request uncached data.

TABLE 3. Simulation parameters.

Parameter	Value
Total Caching Size	40%
Network Size	100 vehicles
No. of Contents	1000
Content Size	1 M
Simulation Time	120 s
Maximum Vehicle Speed	7 m/s
RSU Transmission Range	500 m
Vehicle Transmission Range ( $R$ )	100 m
Length of cluster ( $l$ )	50 m
Period of broadcasting beacon ( $\Delta T$ )	1 s
Parameter ( $\beta, \eta, \gamma$ )	1.2
LPD caching ratio ( $\rho$ )	0.0-0.7

At the beginning we analyze the cost of the proposed COMP strategy and later evaluate the performance of prediction-based clustering algorithm by observing the stability and coverage of vehicle clusters. The performance of cooperative caching is assessed by comparing COMP, AlwaysCache, and ProbCache, according to several metrics linked with network performance (i.e., average access delay, server request ratio, cache hit ratio and average hit distance). Both AlwaysCache and ProbCache are the most commonly used caching strategies in VNDN, which have been illustrated in Section II. To evaluate the feasibility and efficiency of distributedly caching LPD, we also compare COMP with  $\rho = 0$  (vehicle only caches MPD) and  $\rho = 0.5$  (half the cache space is used to cache MPD, and the rest is used to cache LPD). Finally we study the effects of caching portion of LPD by varying  $\rho$  from 0.1 to 0.7 to learn which strategy performs the best.

The metrics related to the network performance are illustrated as follows:

- **Average Access Delay**, the average time interval between requesting vehicle sending Interest and retrieving Data.
- **Server Request Ratio**, the ratio of number of requests satisfied from RSUs to the total number of requests.

- **Cache Hit Ratio**, the ratio of number of Interests satisfied from the cache to the total number of Interests arrived at cache.
- **Average Hit Distance**, the average number of hops that the received Data traveling from the data provider.

### B. COST ANALYSIS

In COMP, the computation complexity of clustering algorithm and cooperative caching is  $O(MN^2)$  and  $O(CL)$ , where  $M, N, C$  denote the number of vehicles being predicted, locations predicted in Markov model, and cluster heads, respectively, and  $L$  denotes the size of LPD. Particularly, the analysis results show that the number of predicted locations  $N$  and the size of LPD  $L$  are closely linked with the cost and efficiency of COMP.

The number of predicted locations  $N$  relies on the length of location  $r$ , which not only affects the cost of COMP but also the prediction accuracy. A smaller  $r$  can lead to a more complex model and possibly produce over-fitting problems. A larger  $r$  corresponds to a simpler model but may represent the possible future location inaccurately. Through testing for different  $r$  ranging from 5 m to 25 m, we find that the setting of  $r = 10$  m can get a representative Markov model while reducing the computation complexity of the algorithm.

The size of LPD  $L$  depends on the cache size and LPD caching ratio  $\rho$ . The larger cache size and  $\rho$  are corresponding to a larger LPD size, which means more content chunks need to be processed in cache placement and then higher computation complexity will be produced. The smaller cache size and  $\rho$  will lead to a smaller LPD size, which is corresponding to lower computation complexity but lower diversity of cached data. The lower diversity of cached data may affect the performance of COMP. The effect of cache size and  $\rho$  will be further discussed in later subsections.

### C. PERFORMANCE ANALYSIS OF CLUSTERING ALGORITHM

In the experiment, vehicle clusters are established and maintained according to the proposed clustering algorithm. This approach aims to group vehicles with similar mobility pattern and alleviate the impact from vehicle movements on the stability and coverage of vehicle clusters. To validate the

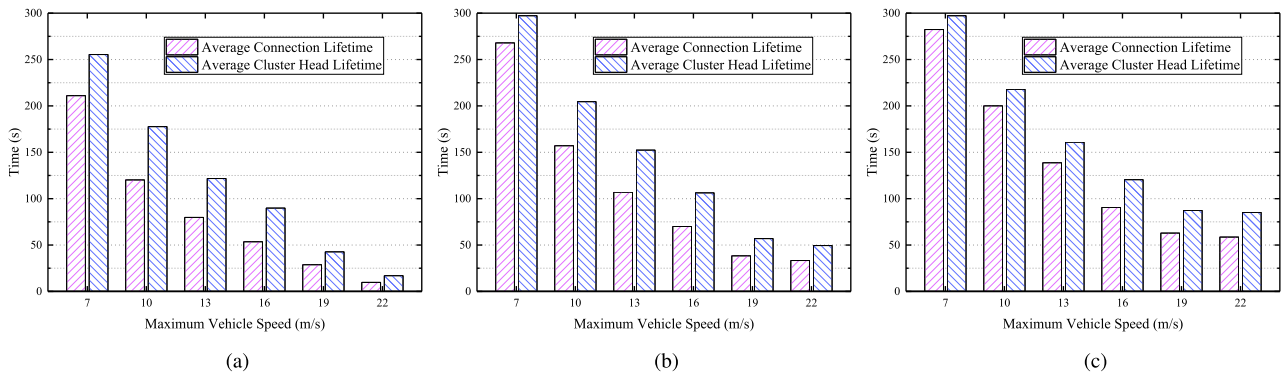


FIGURE 8. Comparison results of average connection lifetime and average cluster head lifetime at different vehicle speeds. (a)  $R = 100$  m. (b)  $R = 200$  m. (c)  $R = 300$  m.

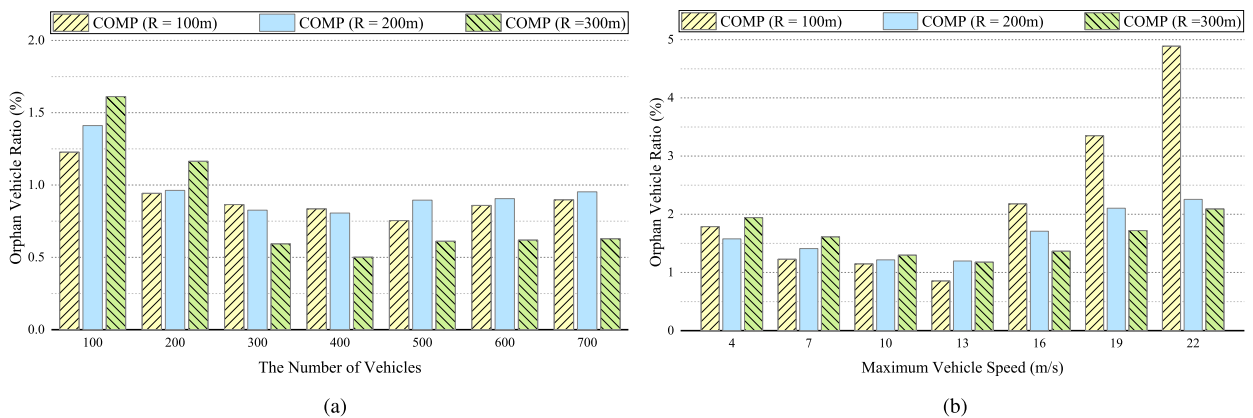


FIGURE 9. Orphan vehicle ratio with different network sizes and maximum vehicle speeds. (a) Orphan vehicle VS. The number of vehicles. (b) Orphan vehicle VS. Maximum vehicle speeds.

performance of clustering algorithm, we assess the stability and coverage of vehicle clusters constructed via COMP.

The stability of vehicle clusters can be accessed by comparing average connection lifetime and average cluster head lifetime, where the former is the average connection duration of any neighboring vehicles and the latter is defined as the time interval between cluster head entering and discarding CH state (i.e., cluster lifetime). Fig.8 shows the comparison at different vehicle speeds and different transmission ranges.

With the maximum vehicle speed grows from 7 m/s to 22 m/s, both the average connection lifetime and the average cluster head lifetime decrease because high speed enhances the dynamic of network topology as well as the uncertainty and unpredictability of vehicle mobility pattern. It is evident that the average cluster head lifetime is always longer than the average connection lifetime (up to 73.5%), which indicates that the intra-cluster communication constructed by COMP can provide stable and reliable data service for VNDN. One reason is that, the prediction-based clustering algorithm can accurately predict the future mobility pattern of vehicles, thereby selecting the most suitable vehicles as cluster heads and establishing stable vehicle clusters. Another reason is that, the cluster maintenance in clustering algorithm mitigates

the impact of vehicle mobility on the stability of vehicle clusters so that the lifetime of clusters can be extended.

The coverage of vehicle clusters can be evaluated by the orphan vehicle ratio and average cluster spreading degree, where the former is the percentage of orphan vehicles in all moving vehicles and the latter is the average number of cluster members in a cluster. Fig.9 and Fig.10 respectively present the orphan vehicle ratio and average spreading degree at different number of vehicles and maximum vehicle speeds. In Fig.9(a), with the number of vehicles raise from 100 to 300, orphan vehicle ratio at  $R = 100$  m, 200 m, 300 m decreases from 1.23% to 0.86%, 1.41% to 0.83%, 1.61% to 0.59%, respectively. In Fig.10(a), average cluster spreading degree with different transmission range increases from 4.4 to 7.85, 6.83 to 15.62, 12.24 to 23.40, respectively. When the number of vehicles exceeds 300, both orphan vehicle ratio and average cluster spreading degree generally level off. On one hand, the difficulty of establishing vehicle clusters is increasing in the sparse environment, as the distance between vehicles is always longer than the length of cluster  $l$ . On the other hand, with the growing number of vehicles, the number of cluster heads also increases and hence the cluster spreading degree can not grow endlessly.

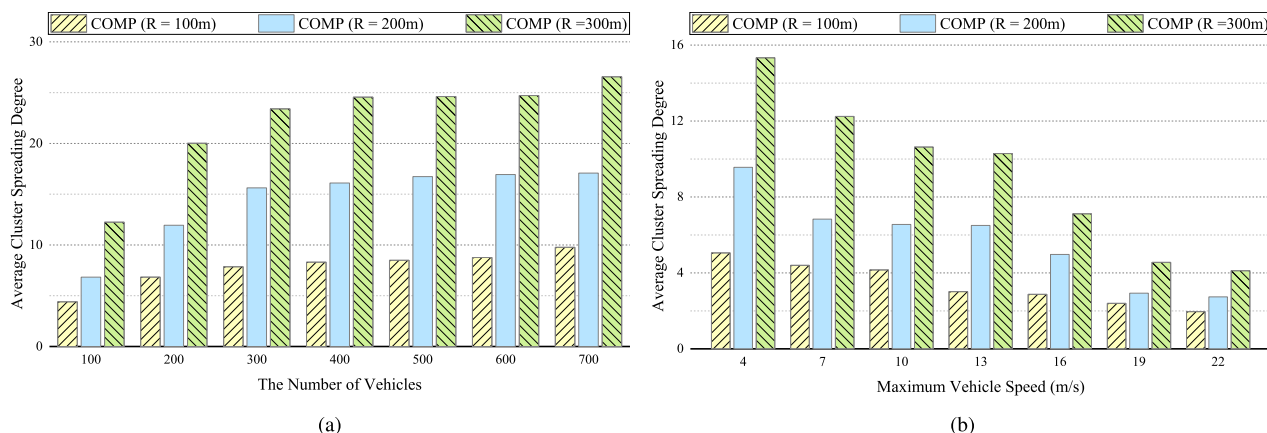


FIGURE 10. Spreading degree with different network sizes and maximum vehicle speeds. (a) Average cluster spreading degree VS. The number of vehicles. (b) Average cluster spreading degree VS. maximum vehicle speeds.

Fig.9(b) depicts that when the maximum vehicle speeds go up from 4 m/s to 13 m/s, the orphan vehicle ratio declines and soon begins rising up to no more than 4.89%. Fig. 10(b) shows that, as the speed increases, the average cluster spreading degree decreases all the time. It can be illustrated that the higher vehicle speed causes the drop in average spreading degree while facilitating orphan vehicles to join clusters; however, overspeed (>13 m/s) makes that joining difficult and increases the number of orphan vehicles.

From Fig.9 and Fig.10, it can be seen that the vehicle clusters established via COMP can cover most of vehicles (>95%) and keep a certain cluster spreading degree (2 – 26) in different cases. It is proven that the prediction-based clustering algorithm is feasible and efficient to establish and maintain stable vehicle clusters, hence this approach provides a foundation to build stable and reliable intra-cluster and inter-cluster communication via cooperative caching.

#### D. EFFECT OF TOTAL CACHE SIZE

To study the effect of total cache size on system performance, the metrics related to network performance are measured at total cache sizes ranging from 10% to 70%, where the total cache size is the ratio of the size at total cache space to total simulated contents. COMP with different LPD caching ratio ( $\rho = 0, 0.5$ ) are compared with two most widely used caching strategies, AlwaysCache, and ProbCache.

Fig.11(a), 11(b) and 11(d) reveal that as the cache gets larger, the average access delay, server request ratio and average hit distance will all decline. This is because the larger cache size causes vehicles to cache more data, and then consumers can obtain desired data from surrounding nodes more easily. It is noteworthy that COMP strategy performs better than other two contrast strategies. For example, when the total cache size is 25%, the average access delay of AlwaysCache and ProbCache are 0.17 s and 0.27 s, respectively, the delay of COMP ( $\rho = 0.5$ ) is 0.12s, achieving decrease of 29.58% and 56.22%. In addition, COMP ( $\rho = 0.5$ ) has lower server request ratio than both contrast proposals with

26.71-66.85% and 54.60-69.66%, respectively, and always keeps a lower level of average hit distance (<2.1). The main reason is that, COMP enables vehicles to acquire data through stable intra-cluster and inter-cluster communication instead of long-distance RSUs, thus improving the network performance. Notably, when the total cache size is larger than 55%, the average hit distance of AlwaysCache becomes shorter than COMP ( $\rho = 0.5$ ). Because larger cache size means that more data can be cached among vehicles, requesters have more chances to fetch data from surrounding vehicles. However, the design of distributed caching of COMP ( $\rho = 0.5$ ) allow requesters to obtain LPD from several caching nodes, which causes COMP ( $\rho = 0.5$ ) to have a larger hit distance than other contrast strategies.

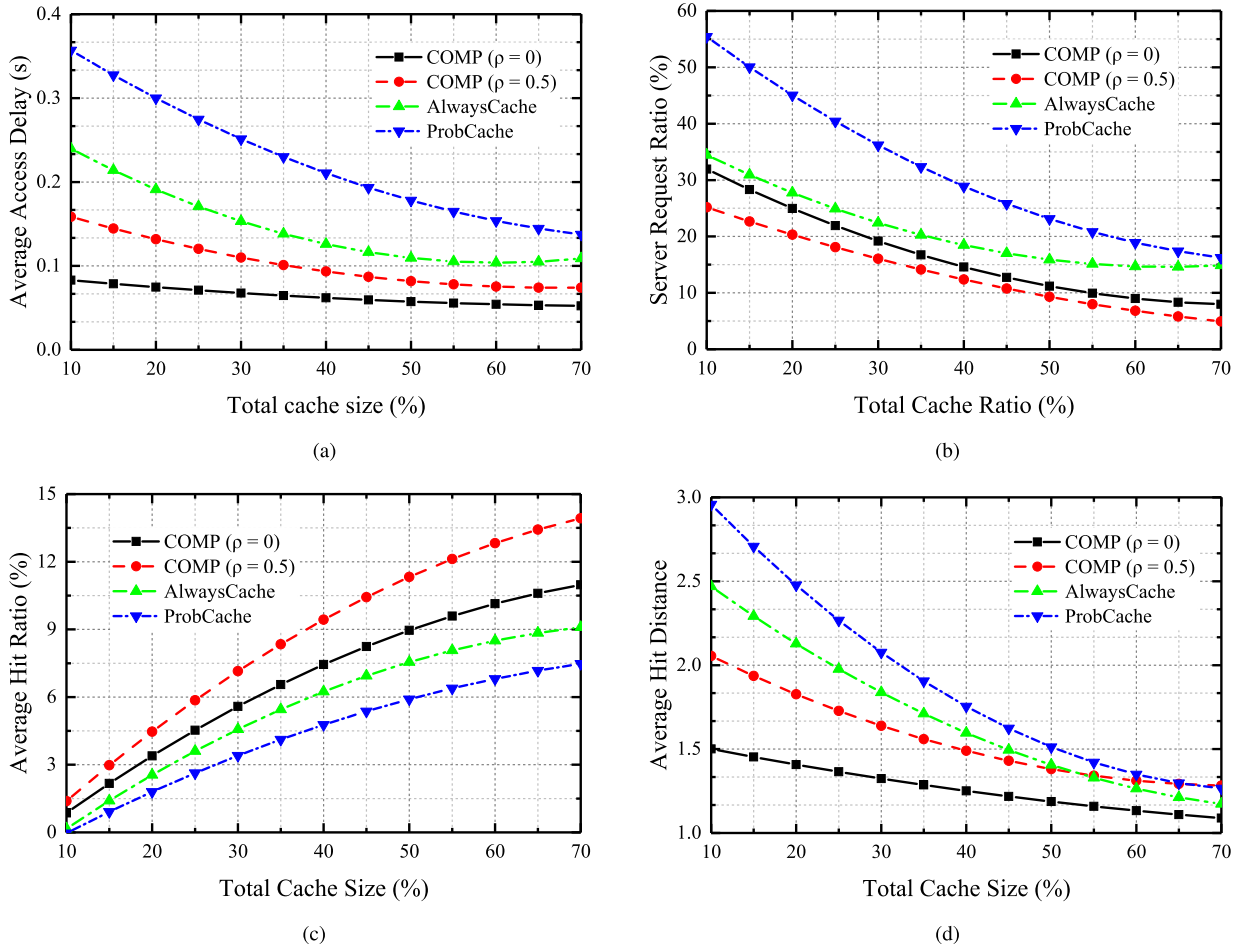
Fig.11(c) shows that the average hit ratio improves with increasing cache size for all schemes. We observe that COMP ( $\rho = 0.5$ ) produces at least 47.98% and 86.41% higher hit ratio than AlwaysCache and ProbCache, respectively. It can be inferred that the larger cache size allows more popular contents to be cached in vehicles and later creates more chances for requesters to obtain data from neighbor nodes, thereby effectively raising the cache hit ratio.

It is noteworthy that although the server load and hit ratio of COMP ( $\rho = 0.5$ ) perform better, its delay and hit distance are higher than  $\rho = 0$ . The main reason is that, requesting vehicles need to fetch LPD from multiple nodes as COMP ( $\rho = 0.5$ ) distributedly caches LPD at multiple nodes. We still reckon that COMP ( $\rho = 0.5$ ) overall performs better, as this approach enhances the diversity of cached data and significantly improves the cache hit ratio and server load for vehicular network.

#### E. EFFECT OF NETWORK SIZE

To study the effect of network size on system performance, the network performance is evaluated by increasing the number of vehicles from 100 to 700.

Fig.12(a) and 12(b) indicate that average access delay and server request ratio of four schemes decline with the



**FIGURE 11.** The effect of total cache size on system performance. (a) Average access delay. (b) Server request ratio. (c) Average cache hit ratio. (d) Average hit distance.

growth of number of vehicles, but the average hit ratio and hit distance are on the contrary. The larger network size represents more contents are cached among vehicles, and hence requesting vehicles have more chances to fetch data from surrounding vehicles.

It is evident that COMP performs the best. For instance, when the number of vehicles is smaller than 550, COMP ( $\rho = 0.5$ ) results in at least 25.26% and 46.11% lower access delay, 41.23% and 62.72% fewer server request, 9.5% and 12.59% higher hit ratio, and 13.68% and 14.79% lower hit distance than AlwaysCache and ProbCache, respectively. The high dynamic of vehicle movements deteriorate the performance of AlwaysCache and ProbCache, as these proposals rarely consider the impact of vehicle movements. Unlike the contrast proposals, COMP establishes stable cluster-based communication through cooperative caching, which efficiently alleviate the mobility issues in VNDN. When the number of vehicles is larger than 550, the delay of AlwaysCache is smaller than that of COMP ( $\rho = 0.5$ ), and almost equals that of COMP ( $\rho = 0$ ). The increase of network size means more vehicles to become producers, hence more data demands can be met by one-hop neighboring vehicles in AlwaysCache.

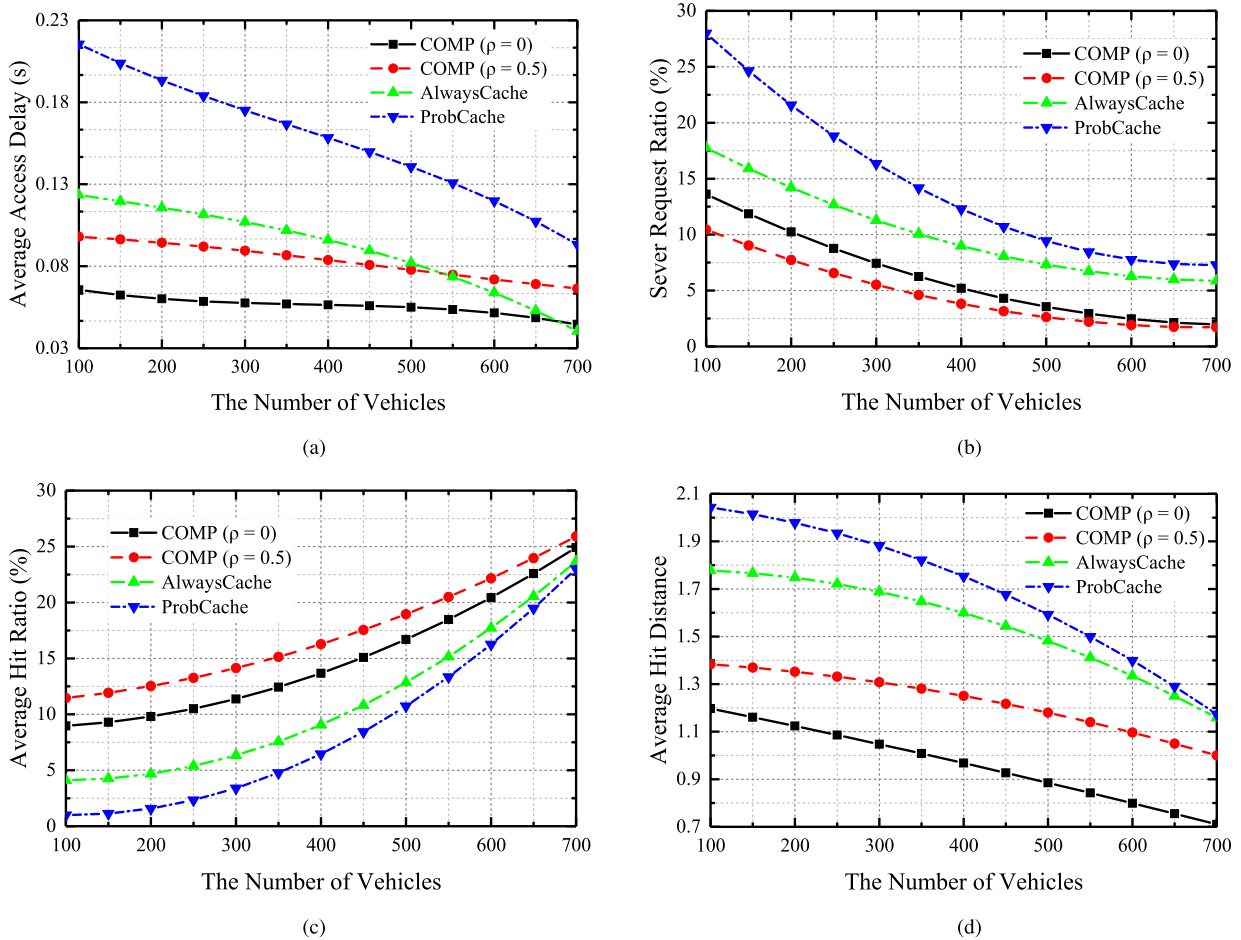
Because vehicles need to request and fetch LPD from multiple caching nodes in COMP ( $\rho = 0.5$ ), its delay is longer than that of AlwaysCache.

It should be noted that the performances of COMP ( $\rho = 0.5$ ) and COMP ( $\rho = 0$ ) are similar except average hit ratio. The main reason about the difference is that the diversity of cached data in COMP ( $\rho = 0.5$ ) is higher than that in COMP ( $\rho = 0$ ), thus increasing the success ratio of searching hits in the CS of neighbor vehicles.

**F. EFFECT OF VEHICLE SPEED**

To study the effect of vehicle speed on system performance, the network performance is assessed by varying maximum vehicle speeds from 4 m/s to 22 m/s.

In Fig. 13, with the vehicle speed grows, the average access delay, server request ratio and average hit distance of all strategies increase, and the average hit ratio decreases. For example, Fig. 13(a) shows that the delay of AlwaysCache and ProbCache rise from 0.10 s and 0.20 s to 0.61 s and 0.60 s, respectively, as the higher vehicle speed represents the more severe impact of vehicle mobility. Frequent link disruption and packet loss and retransmission due to high dynamic of



**FIGURE 12.** The effect of network size on system performance. (a) Average access delay. (b) Server request ratio. (c) Average cache hit ratio. (d) Average hit distance.

network topology lead to the degradation of network performance.

It is observed that, COMP performs the best and is less affected by vehicle mobility than other strategies in most cases. For example, as shown as Fig.13(b), in the cases of different speeds, COMP ( $\rho = 0.5$ ) has lower server request ratio than AlwaysCache and ProbCache with 17.46-33.97% and 31.38-48.15%. The main reason is that, via cooperative caching, the intra-cluster and inter-cluster communication provide good support for stable data retrieval, which significantly mitigates the impact of vehicle mobility on network performance. But when the maximum vehicle speed is lower than 7 m/s, AlwaysCache performs better than COMP ( $\rho = 0.5$ ) in terms of average hit distance. This is because that AlwaysCache is little affected by mobility issues in the cases of small vehicle speed, and COMP ( $\rho = 0.5$ ) needs vehicles to get LPD through multi-hop links. In addition, COMP ( $\rho = 0.5$ ) has lower server request ratio and higher average hit ratio but higher access delay and hit distance than  $\rho = 0$ . Compared with COMP ( $\rho = 0$ ), COMP ( $\rho = 0.5$ ) is more affected as it needs requesters to fetch LPD from multiple nodes; however, this approach increases the diversity

of cached contents and hence facilitates to reduce server load and increase hit ratio.

### G. EFFECT OF DISTRIBUTEDLY CACHING LPD

To validate the feasibility and efficiency of distributedly caching LPD, its effect is also studied with different LPD caching ratio  $\rho$ , ranging from 0.1 to 0.7.

To compare with the strategy, which totally caches MPD at all caching nodes (i.e., COMP ( $\rho = 0$ )), in a more convenient way, we normalize the values relative to the baseline of COMP ( $\rho = 0$ ); e.g., for COMP ( $\rho = 0.5$ ) the normalized average access delay will be  $NormDelay_{\rho=0.5} = \frac{Delay_{\rho=0.5}}{Delay_{\rho=0}}$ . Note that for average access delay, server request ratio and average hit distance, higher values of the normalized metric indicate worse performance and for average hit ratio, lower values indicate worse performance.

Fig.14 shows the comparison results of normalized values. When  $\rho$  is increasing from 0.1 to 0.7, both average hit ratio and server load of COMP are decreasing. Because higher  $\rho$  implies that more data can be cached among vehicles via COMP, it can help more requests to be satisfied by caching vehicles. Additionally, COMP ( $\rho = 0.1 - 0.7$ ) has higher

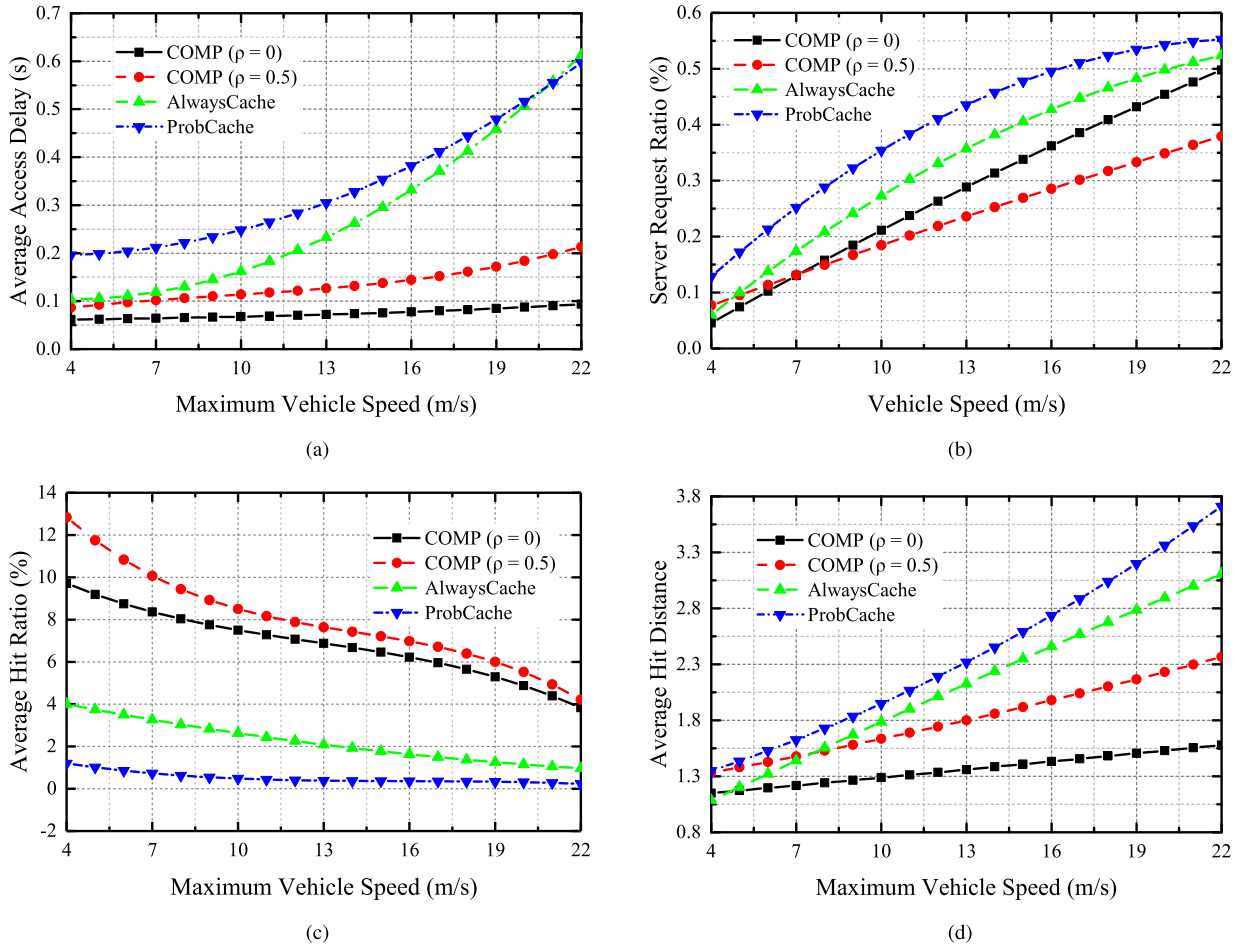


FIGURE 13. The effect of vehicle speed on system performance. (a) Average access delay. (b) Server requesting ratio. (c) Average cache hit ratio. (d) Average hit distance.

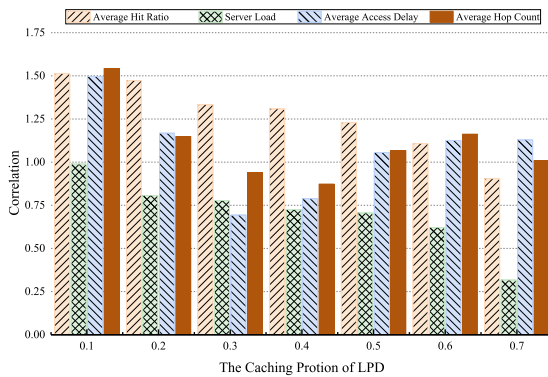


FIGURE 14. Performance comparison among COMP with different  $\rho$ .

average hit ratio and lower server request ratio than COMP ( $\rho = 0$ ). For example, when the LPD caching ratio  $\rho = 0.4$ , the normalized values  $NormHit_{\rho=0.4}$  and  $NormSevr_{\rho=0.4}$  are 1.31 and 0.73, respectively. This is because, compared with COMP ( $\rho = 0$ ), distributed caching can increase the diversity of cached data, which improves the average hit ratio and the server load up to 33% and 78%, respectively.

With the  $\rho$  increasing from 0.1 to 0.3, average access delay and average hop count are decreasing, and then both metrics

are increasing when the  $\rho$  increases from 0.4 to 0.7. The main reason is that, higher  $\rho$  increases the chances of requesters to obtain data from neighboring caching vehicles, and then mitigates the impact of vehicle mobility. It is obvious that when  $\rho$  ranges from 0.3 to 0.4, both average access delay and average hop count perform better than  $\rho = 0$ . In other cases, distributedly caching LPD is not so effective. This is because when a requester demand cannot be met by local and neighbor cluster heads and receives a Nack response, the requester requests and fetches data from long-distance RSU. A smaller  $\rho$  corresponds to lower diversity of cached data and causes more requests to be satisfied by RSU-to-vehicle communication. A higher  $\rho$  represents higher data diversity, so that more requests need to be satisfied by multiple nodes.

To sum up, the performance of COMP is closely linked with the LPD caching ratio  $\rho$ , and distributed caching performs better than centralized caching when  $\rho$  value is set to be 0.2-0.6. Notably, COMP can obtain a best performance with  $\rho = 0.3$

### VII. CONCLUSION

In this paper, we propose a cooperative caching strategy to mitigate the impact of vehicle mobility on data delivery

by constructing stable communication via prediction-based clustering algorithm and cooperative caching. To evaluate the feasibility and efficiency of proposed COMP approach, we construct a realistic urban vehicular network through the SUMO and ndnSIM emulator. The evaluation results show that, the proposed COMP strategy can provide stable and reliable data delivery for most of vehicles (>95%), and COMP can improve system performance up to 86.4% by comparing with commonly used caching strategies. The data type based design of COMP is able to increase the cache efficiency and network performance, where the COMP with 0.3 caching ratio of less popular data can obtain the best performance.

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