

Received November 20, 2018, accepted December 11, 2018, date of publication January 2, 2019, date of current version January 23, 2019. Digital Object Identifier 10.1109/ACCESS.2018.2890402

Exploring the Reasons Behind the Good Performance of Opposition-Based Learning

QINGZHENG XU^(D)^{1,2}, NA WANG¹, FENG ZOU³, AND JUNGANG YANG¹ ¹College of Information and Communication, National University of Defense Technology, Xi'an 710106, China

¹College of Information and Communication, National University of Defense Technology, Xi'an 710106, Chir
 ²School of Computer Science and Engineering, Nanyang Technological University, Singapore 639798
 ³School of Physics and Electronic Information, Huaibei Normal University, Huaibei 235000, China

Corresponding author: Qingzheng Xu (xuqingzheng@hotmail.com)

This work was supported in part by the National Natural Science Foundation of China under Grant 61572224, in part by the China Scholarship Council under Grant 201703170064, in part by the Scientific Research Foundation of the National University of Defense Technology under Grant ZK18-03-43, and in part by the Anhui Provincial Natural Science Foundation under Grant 1708085MF140.

ABSTRACT In the face of these diverse forms of opposition existed widely in real-world contexts, as a novel concept in computational intelligence, opposition-based learning (OBL) was originally introduced to accelerate the population-based algorithm. In addition, its superiority has been proved mathematically and experimentally. Two key elements (function prototype and algorithm design) that influence the algorithm performance, however, has not yet fully discussed in the past decade. In this paper, two OBL strategies are reexamined in respect of function prototype and algorithm design. In the first part of this paper, considering the position relationship between the optimal solution and the center point, some well-known benchmark functions are divided into three categories. Then, quasi-opposition-based differential evolution (QODE) is investigated by two approaches: solving several benchmark functions of various function types and solving the same functions with a different optimal solution. The numerical experiments reveal that "smart" matching between the benchmark functions and the QOBL is an important factor to the good performance of QODE. In the second part of this paper, a novel individual-based embedding method is proposed to coincide with the classical definition of opposition-based optimization. Then, two oppositionbased differential evolution algorithms are compared to discuss the differences between the two embedding methods. The experimental results confirm that the convergence differences stem from the embedding method chosen in the OBL scheme rather than the utilization rate of opposite points. Furthermore, the impacts caused by various function types and jumping rate are also discussed.

INDEX TERMS Opposition-based learning, differential evolution, function type, embedding method, opposite point, convergence speed.

I. INTRODUCTION

Inspired from the opposition concept in real-world contexts, such as opposite particle in physics, antonym in language, and subject/object in philosophy, the basic concept of opposition-based learning (OBL) was originally introduced and simply defined by Tizhoosh [1]. The key idea of this optimization strategy is, considering an estimate and its corresponding opposite estimate simultaneously can be beneficial to find a better candidate solution for an optimizer. As a novel research field, it has already attracted a recognizable interest in machine learning and artificial intelligence since 2005 [2], [3].

In the past decade, it was extended rapidly and several new and potent oppositional strategies were proposed to improve solution quality, such as quasi-opposition-based learning (QOBL) [4], quasi-reflection opposition-based learning (QROBL) [5], center-based sampling [6], generalized opposition-based learning (GOBL) [7], oppositionbased learning using the current optimum (COOBL) [8], partial opposition-based learning (POBL) [9], rotated-based learning (RBL) [10], opposite-center learning (OCL) [11] and comprehensive opposition (CO) [12]. Meanwhile, these OBL strategies were further successfully applied in swarm and evolutionary computing algorithms to solve various science and engineering problems, such as power system, pattern recognition and image processing, identification, bioinformatics and medicine, etc [3].

A lot of research works have mathematically and experimentally proved that utilizing the opposition concept in the framework of swarm and evolutionary computing algorithms can enhance (maintain at least) their performance. For a long time, some consensus have been built in this field. First of all, it is the opposition-based strategy that can improve the performance of swarm and evolutionary computing algorithms [4]–[13]. More important, there is continuing improvement in algorithm performance, with more opposite points survived to the child population during the whole evolution process [8], [13], [14]. The key goal of this paper is to better understand the true reasons behind the good performance and disambiguate some misunderstanding of OBL. Thus OBL and QOBL strategies are reexamined in respects of function prototype and algorithm design in this paper.

The contributions of this paper to this field can be listed as follows. First, a function classification principle is introduced and applied to some well-know benchmark functions. Second, the real reason for the good performance of QOBL is revealed. We think that it is the "smart" matching between it and benchmark functions, rather than the strategy itself. Third, an individual-based embedding method is proposed as a base to develop opposition-based swarm and evolutionary computing algorithms. Finally, a more plausible explanation of the convergence differences between two opposition-based differential evolution (ODE) is given to reclaim the previous knowledge. We believe it is the embedding method chosen in OBL scheme, rather than the utilization rate of opposite points.

The remainder of this paper is organized as follows. The concept of opposition-based learning and opposition-based differential evolution are reviewed in Section II. Three benchmark function categories are introduced and the influences of function type and optimal solution on algorithm convergence are discussed in Section III. Section IV contains a new embedding method of OBL scheme and further experimental results of two ODE algorithms over 58 optimization problems. Finally, Section V concludes this paper.

II. PRELIMINARIES

A. CONCEPT OF OPPOSITION-BASED LEARNING

The definitions of OBL and QOBL studied in this paper are given as follows.

Let $P = (p_1, p_2, ..., p_D)$ be an arbitrary point in *D*-dimensional space, where $p_1, p_2, ..., p_D \in \mathbf{R}$ and $p_i \in [a_i, b_i], \forall i \in \{1, 2, ..., D\}$. The opposite point $\check{P} = (\check{p}_1, \check{p}_2, ..., \check{p}_D)$ [13] and quasi-opposite point $\check{P}_q = (\check{p}_{q1}, \check{p}_{q2}, ..., \check{p}_{qD})$ [4] of *P* can be completely defined by its coordinates, respectively

$$\breve{p}_i = a_i + b_i - p_i \tag{1}$$

$$\breve{p}_{qi} = \operatorname{rand}(c_i, \breve{p}_i) \tag{2}$$

where c_i (calculated as $\frac{a_i+b_i}{2}$) is the center of the search interval $[a_i, b_i]$, and rand (c_i, \check{p}_i) is a random number uniformly distributed between c_i and \check{p}_i .

As an example, now we can define opposition-based optimization as follows. Similar to it, quasi-opposition-based Step 1 Opposition-based Population Initialization Set generation number G = 0, and randomly initialize a population $P_0 = \{X_{1,0}, X_{2,0}, \dots, N_{n-1}\}$ $X_{Np,0}$ FOR i = 1 to N_p $OP_{i,0} = a + b - X_{i,0}$ END FOR Select N_p fittest individuals from current population (P_0) and opposition-based population (OP_0) Step 2 WHILE stopping criterion is not satisfied DO Step 2.1 Mutation /* Generate a mutated vector $V_{i,G}$ for each target vector $X_{i,G}$ */ FOR i = 1 to N_p Select three parents X_{i1,G}, X_{i2,G}, and X_{i3,G} randomly from current population where $i \neq i_1 \neq i_2 \neq i_3$ $V_{i,G} = X_{i1,G} + F \times (X_{i2,G} - X_{i3,G})$ END FOR Step 2.2 Crossover /* Generate a trial vector $U_{i,G}$ for each target vector $X_{i,G}$ */ FOR i = 1 to N_r $j_{rand} = rand(0,1) \times D$ FOR j = 1 to D IF $(rand_i(0,1) < C_R)$ or $(j = j_{rand})$ $U_{i,j,G} = V_{i,j,G}$ ELSE $U_{i,j,G} = X_{i,j,G}$ END IF END FOR END FOR Step 2.3 Selection FOR i = 1 to N_n Evaluation the trial vector U_{iG} IF $f(U_{i,G}) < f(X_{i,G})$ $X_{i,G+1} = U_{i,G}$ ELSE $X_{i,G+1} = X_{i,G}$ END IF END FOR Step 2.4 Opposition-based Generation Jumping IF rand(0, 1) $\leq J_r$ FOR i = 1 to N_{i} $OP_{i,G} = MIN_G + MAX_G - X_{i,G}$ END FOR Select N_p fittest individuals from current population (P_G) and opposition-based population (OP_G) END IF G = G + 1END WHILE

FIGURE 1. Pseudo code for opposition-based differential evolution (ODE).

optimization can also be defined by using the concept of QOBL given above.

Opposition-Based Optimization: Let $P = (p_1, p_2, ..., p_D)$ be a point in an *D*-dimensional space and $\check{P} = (\check{p}_1, \check{p}_2, ..., \check{p}_D)$ be an opposite point of point *P*. Assume $f(\cdot)$ is a fitness function which is used to measure the candidate's fitness. Now, if $f(\check{p}) < f(p)$, then point *P* can be replaced with \check{P} ; otherwise we continue with *P*. Hence, the optimization process continues with the fitter one.

B. OPPOSITION-BASED DIFFERENTIAL EVOLUTION

From statistical results in [2], differential evolution (DE) was widely used as a basic meta-heuristic algorithm to reveal good performance of OBL strategies. Simple design and easy



FIGURE 2. Categories of benchmark functions.

implementation, two main preferences of DE than other soft computing algorithms, are a basic reason for widespread application of DE in the last decade.

In [13], OBL was embedded in the classical DE, DE/rand/1/bin, and then opposition-based differential evolution was developed to accelerate its convergence speed. The corresponding pseudo code is given in Fig. 1. Note that two steps (population initialization and generation jumping) are enhanced using OBL scheme. What is important is that alternative oppositional DE can also be developed easily by embedding other opposition-based strategies in two steps. The details are not yet covered here.

III. EXPERIMENTAL ANALYSIS OF QUASI-OPPOSITION-BASED LEARNING IN RESPECT OF FUNCTION PROTOTYPE

A. MOTIVATION

The properties of benchmark functions, such as function notation, dimension of function spaces, domain and range, concave/convex, turning points and extremal points, as we all know, have great influence on algorithm performance. If the tested algorithm is an excellent match for the function prototype, its performance may be overestimated. It is unfair to other algorithms, which do not fit these function characters and may fit others well, and ultimately leads to questionable results.

A benchmark function set (or its part) in the pioneer work [13] was widely employed to measure performance in most of opposition-based research works [4], [5], [8], [11]. The utilized test suite includes 58 well-known unimodal as well as highly multimodal optimization problems. The dimensionality varying from 2 to 30 covers a wide range of problem complexity. The detailed definition of these benchmark functions are presented in Appendix A of paper [13], together with their global optimums, that can be used directly readers.

After careful observation of 58 optimization problems, we found that some optimal solutions are just located at the center of the function domain, and some close to the center and some keep away from the central zone. In our view, the position relationship between optimal solution and center point of function spaces is a very important, but yet often overlooked property of benchmark functions. In this paper, according to the position of the optimal solution in function domain, these benchmark functions can be divided into three categories as illustrated in Fig. 2: locating at the center (Type I), closing to the center (Type II) and keeping away from the central zone (Type III).

If the optimal solution of multidimensional function closes to the center only for some dimension, the function is

TABLE 1. Name and type of optimization problems.

| Function | Name | Dimension | Туре |
|------------|---|-----------|------------|
| F1 | Sphere model | 30 | Ι |
| F2 | Axis parallel hyperellipsoid | 30 | I |
| F 3 F 4 | Schwefel's problem 1.2 Rosenbrock's valley | 20 | |
| F5 | Rastrigin's function | 10 | I |
| F6 | Griewangk's function | 30 | Ι |
| F7 | Sum of different power | 30 | I |
| F8 | Ackley's path function | 30 | Ι |
| F9 | Beale function | 2 | II (part) |
| F10 | Colville function | 4 | II (whole) |
| F11 | Easom function | 2 | II (whole) |
| F12 | Hartmann function 1 | 3 | II (part) |
| F13 | Hartmann function 2 | 6 | II (part) |
| F14 | Six Hump Camel back function | 2 | II (whole) |
| F15 | Levy function | 30 | II (whole) |
| F16 | Matyas function | 2 | Ι |
| F17 | Perm function | 4 | III |
| F18 | Michalewicz function | 10 | II (part) |
| F19 | Zakharov function | 30 | III |
| F20 | Branins's function | 2 | III |
| F21 | Schwefel's problem 2.22 | 30 | Ι |
| F22 | Schwefel's problem 2.21 | 30 | Ι |
| F23 | Step function | 30 | Ι |
| F24 | Quartic function | 30 | Ι |
| F25 | Kowalik's function | 4 | II (whole) |
| F26 | Shekel's Family (5) | 4 | II (part) |
| F27 | Shekel's Family (7) | 4 | II (part) |
| F28 | Shekel's Family (10) | 4 | II (part) |
| F29 | Tripod function | 2 | II (part) |
| F30 | De Jong's function 4 (no noise) | 30 | Ι |
| F31 | Alpine function | 30 | Ι |
| F32 | Schaffer's function 6 | 2 | Ι |
| F33 | Pathological function | 3 | Ι |
| F34 | function (Masters) | 5 | Ι |
| F35 | Aluffi-Pentini's Problem | 2 | II (whole) |
| F36 | Becker and Lago Problem | 2 | III |
| F37 | Bohachevsky 1 Problem | 2 | Ι |
| F38 | Bohachevsky 2 Problem | 2 | Ι |
| F39 | Camel Back-3 Three Hump Problem | 2 | Ι |
| F40 | Dekkers and Aarts Problem | 2 | II (part) |
| F41 | Exponential Problem | 10 | Ι |
| F42 | Goldstein and Price Problem | 2 | II (part) |
| F43 | Gulf Research Problem | 3 | II (part) |
| F44 | Helical Valley Problem | 3 | II (whole) |
| F45 | Hosaki Problem | 2 | III |

| F46 | Levy and Montalvo 1 Problem | 3 | II (whole) |
|-----|--|----|------------|
| F47 | McCormick Problem | 2 | III |
| F48 | Miele and Cantrell Problem | 4 | II (part) |
| F49 | Multi-Gaussian Problem | 2 | II (whole) |
| F50 | Neumaier 2 Problem | 4 | II (part) |
| F51 | Odd Square Problem | 10 | II (part) |
| F52 | Paviani's Problem | 10 | III |
| F53 | Periodic Problem | 2 | Ι |
| F54 | Powell's Quadratic Problem | 4 | Ι |
| F55 | Price's Transistor Modeling Problem | 9 | II (part) |
| F56 | Salomon Problem | 10 | Ι |
| F57 | Schaffer 2 Problem | 2 | Ι |
| F58 | Wood's Function | 4 | II (whole) |

considered as Type II (part); otherwise it belongs to Type II (whole). Thus these optimization problems are concluded in Table 1.

Rahnamayan *et al.* [4] proved mathematically that, for a black-box optimization problem, quasi-opposite point has a higher chance than opposite point to be closer to the unknown optimal solution. Furthermore, experimental results clearly illustrate that quasi-opposition-based differential evolution (QODE) outperforms ODE and DE.

By definition of (2), quasi-opposite point is a uniform random point generated between center point and opposite point. As we can imagine, quasi-opposite point must be closer to the optimal solution which is the center exactly (or near the center) of function domain. Even it is possible for quasiopposition-based algorithm to find the optimal solution of optimization problem directly (or almost directly).

Coincidentally, for most of benchmark functions tested, their optimal solutions are the center exactly (Type I), or near the center (Type II) as shown in Table 1. QODE algorithm can make full use of this function prototype incidentally or accidentally. As a result, this is nothing to be surprised at the good performance of QODE to solve these optimization problems. We have reason to suspect that it is "smart" matching between benchmark functions and QOBL embedded in DE, that always leads to the anticipant result.

The main purpose of this section is to check our conjecture above. Obviously, sharply changing the function property may achieve "stupid" matching, resulting in poor algorithm performance. We have two approaches to verify what we suspect specifically. Firstly, when solving different types of benchmark functions, QODE may show the biased performance. Secondly, when solving the same function with different optimal solutions, it may degrade greatly with diverging from the domain center to the domain vertices.

B. EXPERIMENTAL SETUP

In the next two subsections, QODE will be reexamined on several benchmark function sets and the only difference between these function sets is the position of their optimal solutions. All basic optimization problems are borrowed from [13].

By translating the function definition with respect to each dimension, the position of optimal solution will vary accordingly. For $f_1(x) = \sum_{1}^{n} x_i^2$, the new function is defined as $f_1^*(x) = \sum_{1}^{n} (x_i - T_r (MAX_i - MIN_i))^2$, in which T_r is a translation factor, and MAX_i and MIN_i are minimum and maximum values for *i*th dimension of $f_1(x)$, respectively. At this time, the position of optimal solution is translated from $[0, 0, \dots, 0]$ to $[T_r(MAX_1 - MIN_1), T_r(MAX_2 - MIN_2), \dots, T_r(MAX_n - MIN_n)]$. As you can see from Fig. 2 and Table 1, for most of optimization problems, optimal solution falls in between 30% to 70% of function domain. In order to avoid crossing the boundary, translation factor α is set as a constant value less than 30% in this study.

As listed below, parameter settings are also borrowed from [4] and [13] and the values stay the same for all conducted experiments. The termination criterion is to find a value smaller than the value-to-reach (VTR) before reaching the maximum number of function evaluations (MAX_{NFE}).

- Population size, $N_p = 100$
- Differential amplification factor, F = 0.5
- Crossover probability, $C_r = 0.9$
- Jumping rate, $J_r = 0.05$
- Mutation strategy: DE/rand/1/bin (classic version of DE)
- Maximum NFE, $MAX_{NFE} = 10^6$
- Value to reach, $VTR = 10^{-8}$

In this study, convergence speed is measured by counting the number of function evaluations (NFE) until the algorithm reaches the predetermined VTR, which is the most commonly used metric in literatures. A smaller NFE means a higher convergence speed.

Success rate (SR) used to evaluate the algorithm reliability is the ratio of runs where the algorithm successfully reaches the VTR. Low success rate means that more runs are needed for algorithm to get a significant result.

Utilization rate of opposite points (UR) is another comparison site in this study. It is the ratio of opposite points inherited to the next generation through fitness selection. Large UR means that opposite points have more effects on survival of candidate solutions from parent population to child population.

It is noted that, in order to minimize the effect of stochastic nature on each measured metric, the reported result for each function and algorithm is the average over 100 trials.

C. EXPERIMENT SERIES 1: SOLVING BENCHMARK FUNCTIONS WITH DIFFERENT TYPES

Experimental results (NFE and SR) of applying DE, ODE, and QODE to solve 58 optimization problems are given

in Table 2. To make the table concise, the self-explanatory SR (one or zero) is omitted here. The best NFE for each case are highlighted in boldface.

According to statistical data listed in the last row of Table 2, QODE, ODE and DE get 138, 14 and 31 best NFEs for all cases, respectively. It can be concluded that, as stated

TABLE 2. Experimental comparison of DE, ODE, and QODE.

| Function Type | | $T_r = 0$ | | | | $T_r = 10\%$ | | | $T_r = 20\%$ | | | $T_r = 30\%$ | | |
|---------------|-----------|---------------------------|----------------------------|-------------------------|----------------------------|----------------------------|--------|-----------------------------------|----------------------------|----------------------------|----------------------------|---------------------------|------------------------|--|
| Function | Type | DE | ODE | QODE | DE | ODE | QODE | DE | ODE | QODE | DE | ODE | QODE | |
| F1 | Ι | 73502 | 66648 | 37001 | 73596 | 66971 | 37210 | 74029 | 67753 | 39232 | 75808 | 69765 | 40974 | |
| F2 F3 | I | 81417 | 73248 | 41421 | 81587 | 74014 | 41932 | 81945 | 74953 | 43949 | 83525 | 76866 | 45585 | |
| 15 | Ţ | 326824 | 120489 | 142545 | 301624 | 123947 | 150211 | 303983 | 121062 | 160957 | 288999 | 116198 | 192050 | |
| FS | 1 | (0.94) | (0.93) | (0.95) | (0.83) | (0.93) | 152311 | (0.9) | (0.89) | (0.96) | (0.98) | (0.87) | (0.95) | |
| F6 | Ι | 77280 | 69962 | 39441 | 77344 | 69923 | 39339 | 77990 | 71032 | 41314 | 79811 | 73165 | 43418 | |
| F7 | Ι | 19159 | 14277 | 6383 | 19200 | 15021 | 6500 | 20317 | 15959 | 7502 | 22139 | 17766 | 10444 (0.98) | |
| F8 | Ι | 143902 | 131290 | 74135 | 144457 | 130942 | 74832 | 144514 | 132834 | (0.98) | 146913 | 135235 | 79516 | |
| F16 | Ι | 2686 | 2717 | 2480 | 2690 | 2772 | 2544 | 2729 | 2761 | 2598 | 2767 | 2823 | 2692 | |
| F21 | Ι | 155837 | 156449 | 86377 | 156048 | 158655 | 90218 | 157643 | 160247 | 97490 | 163933 | 167923 | 106684 | |
| F22 | Ι | - | 173843 (0.79) | - | - | 173233 (0.85) | - | - | 175446 (0.82) | - | - | 176817 (0.79) | - | |
| F23 | Ι | 19712 | 18128 | 8581 | 19485 | 18383 | 10198 | 20221 | 19027 | 10859 | 21682 | 21174 | 14064 | |
| F24 | Ι | - | - | - | - | - | - | - | - | - | - | - | - | |
| F30 | Ι | 41952 | 32694 | 17138 | 42022 | 32668 | 18161 | 42861 | 33717 | 21691 | 44539 | 35830 | 26039 | |
| F31 | Ι | 345115 | 326449 | 246268 | 349664 | 342311 | 241549 | 345431 | 345770 | 246175 | 345968 | 348663 | 273361 | |
| F32 | I | 4952 | 4788 | 4186 | 4894 | 4800 | 4291 | 4979 | 4819 | 4470 | 4944 | 4899 | 4619 | |
| F33 | Ι | 25696 | 23761 | 26063 | 25655 | 24121 | 25947 | 25565 | 23530 | 25833 | 25423 | 23605 | 25531 | |
| F34 | Ι | 31255 | 27523 | 24719 | 30673 | 28816 | 24645 | 29863 | 30204 | (0.98) | 30725 | 30620 | 29815 | |
| F37 | Ι | 4129 | 4185 | 3811 | 4126 | 4212 | 3854 | 4181 | 4198 | 3894 | 4106 | 4219 | 4011 | |
| F38 | Ι | 4088 | 4172 | 3831 | 4078 | 4163 | 3857 | 4115 | 4156 | 3970 | 4126 | 4161 | 4011 | |
| F39 | Ι | 2909 | 2935 | 2558 | 2848 | 2901 | 2683 | 2889 | 2960 | 2748 | 2899 | 2944 | 2860 | |
| F41 | Ι | 16042 | 15832 | 10976 | 16068 | 15791 | 10911 | 16144 | 15759 | 11594 | 16270 | 16055 | 11990 | |
| F53 | Ι | 6707 | 6004 | 4999 | 6516 | 6487 | 6410 | 7066 | 7068 | 6994 | 7935 | 7556 | 7754 | |
| F54 | Ι | 7407 | 7362 | 6652 | 7368 | 7448 | 6699 | 7407 | 7517 | 6940 | 7326 | 7482 | 7112 | |
| F56 | Ι | - | - | - | - | - | - | - | - | - | - | - | - | |
| F57 | Ι | 15472 | 15579 | 14387 | 14471 | 14444 | 13460 | 14233 | 14300 | 13439 | 14282 | 14097 | 13532 | |
| F10 | II(whole) | 13731 | 14203 | 13852 | 13813 | 14217 | 14514 | 13421 | 13895 | 14487 | 13649 | 13832 | 13968 | |
| F11 | II(whole) | 5348 | 5356 | 4779 | 5299 | 5407 | 5079 | 5407 | 5567 | 5439 | 5595 | 5687 | 5683 | |
| F14 | II(whole) | 4866 | 4973 | 4841 | 4705 | 4790 | 4898 | 4885 | 4864 | 4825 | 4824 | 4993 | 4940 | |
| F15 | II(whole) | 81126 (0.94) | 74185 | 41506 (0.82) | 81219 (0.95) | 74875 | 42413 | 82694 | 76222 | 44820 | 85065 | 79849 | 49751 (0.86) | |
| F25 | II(whole) | 9753 | 9997 | 9660 | (0.98) | 10909 (0.98) | 10199 | 13267 (0.09) | (0.23) | (0.05) | - | - | - | |
| F35 | II(whole) | 3032 | 3082 | 2827 | 2989 | 3070 | 2798 | 3048 | 3086 | 3000 | 3053 | 3066 | 3019 | |
| F44 | II(whole) | 5501 | 5539 | 4912 | 5529 | 5554 | 5068 | 5527 | 5514 | 5223 | 5583 | 5660 | 5312 | |
| F46 | II(whole) | 4781 | 4905 | 4209 | 4770 | 4847 | 4263 | 4836 | 4847 | 4250 | 4825 | 4894 | 4194 | |
| F49 | II(whole) | 4430 | 4633 | 4245 | 4391 | 4570 | 4199 | 4345 | 4396 | 4312 | 4119 | 4218 | 4094 | |
| F58 | II(whole) | 14092 | 13789 | (0.98) 13744 | (0.93) 13737 | 14221 | 14322 | (0.93) 14091 | 14140 | 14308 | (0.80) 13570 | 13986 | 13992 | |
| F9 | II(part) | 3209 | 3398 | 3188 | 3194 | 3370 | 3208 | 3229 | 3323 | 3237 | 3194 | 3277 | 3286 | |
| F12 | II(part) | 4113 | 4178 | 3979 | 4124 | 4168 | 3964 | 4091 | 4158 | 4004 | 4115 | 4166 | 4045 | |
| F13 | II(part) | 11237 | 11436 | 9168 | 10809 | 11004 | 9334 | 10836 | 11029 | 9319 | 10774 | 11436 | 9337 | |
| F18 | II(part) | (0.93) 184888 (0.5) | (0.89) 187213 (0.54) | 181206 (0.49) | (0.93) 190934 (0.32) | (0.93) 186409 (0.44) | 181138 | (0.94) 179317 (0.52) | (0.90) 180616 (0.57) | (0.98) 183344 (0.52) | (0.93) 161198 (0.48) | (0.97) 163226 (0.5) | 157158 (0.4) | |

| F26 | II(part) | 9456 | 9720 | 7823 | 9457 | 9692 | 7292 | 9049 | 9101 | 7376 | 8889 | 9048 | 8328 |
|-----------|----------|----------------|--------|------------------|----------------|--------|--------|--------|--------|--------|--------|--------|--------|
| F27 | II(part) | 8932 | 9069 | 7653 | 8759 | 8871 | 7133 | 8524 | 8618 | 7364 | 8307 | 8492 | 7825 |
| F28 | II(part) | 9026 | 9013 | 7702 | 8853 | 9039 | 7149 | 8686 | 8790 | 7384 | 8424 | 8429 | 7774 |
| F29 | II(part) | 8315 (0.98) | 8608 | 7892 | 8380 (0.97) | 8670 | 8179 | 8311 | 8431 | 8107 | 7746 | 7907 | 7839 |
| F40 | II(part) | 7190 | 7437 | 7263 | 7234 | 7424 | 7378 | 6985 | 7395 | 7514 | 7070 | 7350 | 7524 |
| F42 | II(part) | 3567 | 3630 | 3450 | 3529 | 3612 | 3471 | 3523 | 3614 | 3441 | 3518 | 3570 | 3437 |
| F43 | II(part) | 5517 | 5716 | 5646 | 5463 | 5640 | 5587 | 5340 | 5588 | 5512 | 5227 | 5524 | 5406 |
| F48 | II(part) | 2496 | 2525 | 2519 | 2437 | 2543 | 2555 | 2490 | 2573 | 2534 | 2545 | 2522 | 2503 |
| F50 | II(part) | 240239 | 236650 | 252883 | 235366 | 248633 | 238174 | 242689 | 234347 | 234236 | 206445 | 227053 | 231797 |
| F51 | II(part) | - | - | - | - | - | - | - | - | - | - | - | - |
| F55 | II(part) | - | - | - | - | - | - | - | - | - | - | - | - |
| F4 | III | 356748 | 357083 | 947650 (0.06) | | | | | | | | | |
| F17 | III | - | - | - | | | | | | | | | |
| F19 | III | 362274 | 358601 | 229581 | | | | | | | | | |
| F20 | III | 6250 | 6844 | 6013 | | | | | None | | | | |
| F36 | III | 7489 | 7736 | 8200 | | | | | None | | | | |
| F45 | III | 2558 | 2659 | 2494 | | | | | | | | | |
| F47 | III | 2706 | 2672 | 2596 | | | | | | | | | |
| F52 | III | 21962 | 21929 | 17860 | | | | | | | | | |
| Type I | | 0 | 3 | 20 | 0 | 3 | 20 | 0 | 3 | 20 | 0 | 4 | 19 |
| Type II(w | /hole) | 1 | 0 | 9 | 3 | 0 | 7 | 4 | 0 | 6 | 4 | 0 | 5 |
| Type II(p | art) | 3 | 1 | 9 | 5 | 0 | 8 | 5 | 0 | 8 | 6 | 0 | 7 |
| Type III | | (2) | (0) | (5) | | | | | (None) | | | | |
| Total | | 4 | 4 | 38 | 8 | 3 | 35 | 9 | 3 | 34 | 10 | 4 | 31 |

in [4], QODE performs better than ODE and DE on most benchmark functions in convergence speed. Furthermore, for most problems, except F22, these algorithms can obtain the global optimal solution in limited function evaluations by the similar success rate. As a result, the dominant difference between them is in convergence speed.

In order to distinguish the convergence speed intuitively and quantitatively, acceleration rate (AR) is defined as follows, based on their NFEs for two algorithms:

$$AR_{1:2} = \frac{NFE_2 - NFE_1}{\min\{NFE_1, NFE_2\}}$$
(3)

where $AR_{1:2} > 0$ means algorithm 1 can solve the problem with less NFE (e.g. high convergence speed).

Please note that the definition of AR is not the same as that in [13]. In the previous paper, AR is straightly defined as the ratio between the NFEs for two algorithms. In some cases, it is possible to produce contradictory results. For instance, the results on two functions are recorded in Table 3.

From Table 3, algorithm 1 converges faster on F2, while algorithm 2 converges faster on F1 with the same rate. Ignoring other factors, two algorithms should have the same convergence speed as a whole. Unfortunately, the value of $AR_{1:2}$ defined in [13] cannot support this obvious conclusion. On the contrary, $AR_{1:2}$ proposed in this paper is equal to zero, which means two algorithms can solve problems with the same convergence speed.

TABLE 3. An example of contradictory experimental results.

| Function | Algorithm 1 | Algorithm 2 | AR _{1:2} in [13] | Proposed AR _{1:2} |
|----------|----------------|----------------|------------------------------|-------------------------------|
| F1 | 10000 | 8000 | 1.25 | -0.25 |
| F2 | 80000 | 100000 | 0.8 | 0.25 |
| Ave. | | | 1.025 | 0 |

With the help of this new definition of AR, improvement degree in convergence speed can be discussed for ODE and QODE. The boxplots of $AR_{DE:ODE}$ and $AR_{DE:QODE}$ for all kinds of functions are presented below in Fig. 3.

According to classification principle mentioned above, the deviation degree of optimal solution to the center point increases in the order of Type I, Type II (whole), Type II (part) and Type III. The average AR_{DE} OODE of four function types are equal to -0.6073, -0.1630, -0.0636 and 0.1195, respectively. That is to say, convergence speed of QODE varies from 60% (progression) to -12% (regression). From Fig. 3(b), shape and position of four boxplots have also significant difference. These results both indicate that QODE produce biased performance in function type. If the function property is matched well with QOBL (such as very close distance between optimal solution and center point for Type I), QOBL is very helpful to improve algorithm performance. On the other hand, for solving Type III functions, QODE may run counter to conventional views of good algorithm performance.



FIGURE 3. Acceleration rate between DE and ODE (QODE). (a) AR between DE and ODE. (b) AR between DE and QODE.

D. EXPERIMENT SERIES 2: SOLVING THE SAME FUNCTIONS WITH DIFFERENT OPTIMAL SOLUTIONS

Next, the influence of the translation factor on algorithm convergence is studied to check our conjecture. On the whole, the number of best NFE obtained by QODE becomes smaller (from 38 to 31) and the number by DE becomes larger (from 4 to 10) when the translation factor increases from zero to 30%. From this, QODE may be very effective, especially to the functions, which their optimal solutions near the domain center.

Acceleration rates between each translation factor and zero are calculated based on Table 2 and (3). The resulted notched boxplots for Type I, Type II (whole) and Type II (part) functions are displayed below in Figs. 4(a), 4(b) and 4(c), respectively.

It can be clearly seen, having compared Figs. 4(a), 4(b) and 4(c), that acceleration rate for Type I functions is the largest among all function types. Note that the scale of ordinate is different among three subfigures. Perhaps the most extreme



FIGURE 4. Acceleration rate between different translation factors for three function types. (a) Type I functions. (b) Type II (whole) functions. (c) Type II (part) functions.

example is, when QODE solve F23 (Type I) with 30% translation factor, $AR_{0:30\%}$ is equal to $0.6390 \left(\frac{14064-8581}{8581}\right)$. That is to say, QODE needs more 63.90% times of function evaluations, only because the optimal solution of F23 shifts from 50%

(domain center) to 80% of function domain. From this point, QODE algorithm is very sensitive to the position of optimal solution. In fact, from its definition of (2), QOBL always apts to explore an area near the domain center. If the optimal solution is luckily situated within the area, QOBL will help DE to converge quickly. However, its effect is not evident for other positions of optimal solution.

In addition, the extreme value of AR are 0.1986 and -0.16369 for Type II (whole) and Type II (part) functions, respectively. The linear fitting results for DE, ODE and QODE are also presented in Fig. 4. It is obvious that, except for DE and ODE algorithms to solve Type II (part) functions, the slope of fitting line is larger than zero. This result means three algorithms need more NFEs to solve the optimization problems with the increasing translation factor. These fully illustrate function character (e.g. the position relationship between optimal solution and center point in this paper) has distinct impact on algorithm convergence.

On more careful observation, it is found that the slope of fitting line is near zero for DE and ODE. For QODE, it is much larger than zero, which can be treated as another evidence supporting the idea that "smart" matching between benchmark functions and QOBL is an important factor to the good performance of QODE.

The length of boxplot from lower tail to upper tail shows the spread of the data distribution. Comparatively speaking, in most instances, three algorithms can be ranked as follows, in descend order of data dispersion: QODE, ODE and DE. It indicates that DE can solve all kinds of optimization problems in a stable way. Thus we conclude that, the given function property has a very limited influence on DE and a great influence on QODE.

E. ADDITIONAL REMARKS

Two experiment evidences suggest that the good performance of QODE is closely interrelated to the position relationship between optimal solution and center point. Of course, we do not deny the value of QOBL to speed up the convergence.

On the other hand, in engineering application, it is reasonable for most cases to assume that the optimal solution of optimization problem is located near the domain center. If not, we can translate the relative position of optimal solution by changing the definition domain of optimization problem. In fact, during the evolution process, the range of candidate solution population is compressing and moving to global optimum, in which QOBL plays a bigger role. This process can be considered as another realization of changing the definition domain.

IV. EXPERIMENTAL ANALYSIS OF OPPOSITION-BASED LEARNING IN RESPECT OF ALGORITHM DESIGN

A. NEW DESIGN OF EMBEDDING METHOD

As shown in Fig. 1, ODE is developed easily by embedding OBL scheme in two steps of the classical DE. An interesting fact about algorithm structure is that OBL scheme is utilized

on the whole population, not on the special individuals. More specifically, all opposite solutions generated by OBL definition constitutes an opposition-based population (OP_G) firstly. Then the same population size of fittest individuals are selected from the joint population $(P_G \text{ and } OP_G)$ and remained to the child generation. It is named population-based embedding method in this paper. When using this method, it is possible to pitch on (or give up) both the candidate solution and its corresponding opposite solution simultaneously.

Furthermore this population-based embedding method of OBL is completely different to opposition-based optimization defined in Section II.A. From the definition, its original intention is to compare the solution and its corresponding opposite solution and then select the fitter one. By this means, only one of two points can in any case be survived to the child generation and another will be discarded.

To follow along this idea, a new approach named individual-based embedding method is proposed and the corresponding opposition-based DE algorithm is developed in this paper. This algorithm is called as ODE(Ind) to distinguish it with previous ODE(Pop) in [13]. The pseudo code of ODE(Ind) is presented in Fig. 5. In ODE(Ind), two key procedures (opposition-based population initialization and opposition-based generation jumping) convey the idea of opposition-based optimization entirely by new individualbased embedding method.

In Section IV.B, two opposition-based DE algorithms are compared firstly. Then we propose a more plausible explanation of the performance differences between them, which can reclaim the previous misunderstanding of OBL. Furthermore, the effects of function type and jumping rate are discussed in Sections IV.C and IV.D, respectively.

B. HOLISTIC COMPARISON OF TWO EMBEDDING METHODS

In this subsection, two embedding methods are compared firstly in terms of convergence speed and algorithm robustness. Experimental results (NFE and SR) of DE, ODE(Pop) and ODE(Ind) solving 58 optimization problems are given in Table 4. To make the table concise, the self-explanatory SR (one or zero) is also omitted here. In addition, acceleration rates between two opposition-based algorithms using different embedding methods are calculated. Then the average acceleration rates on each function type are recorded at the end of the corresponding function type in Table 4, and total average on four function types are also shown in the last row of the table.

Comparatively speaking, DE algorithm has relatively high convergence speed on 28 problems, and ODE(Pop) has on other 25 problems. Based on the total average $AR_{DE:ODE(Pop)}$, the convergence speed of ODE(Pop) increases 5.26% than DE algorithm. Unfortunately, the improvement degree of performance is significantly lower than expected. It was reported in [13] that ODE is on average 44% faster than DE. The reason behind this phenomenon is that the performance of

Step 1 Opposition-based Population Initialization Set generation number G = 0, and randomly initialize a population $P_0 = \{X_{1,0}, X_{2,0}, \dots, N_{n-1}\}$ $X_{Np,0}$ FOR i = 1 to N_p $OP_{i,0} = a + b - X_{i,0}$ Evaluation the opposite solution $OP_{i,0}$ $\text{IF } f(OP_{i,0}) < f(X_{i,0})$ $X_{i,0} = OP_{i,0}$ END IF END FOR Step 2 WHILE stopping criterion is not satisfied DO Step 2.1 Mutation /* Generate a mutated vector $V_{i,G}$ for each target vector $X_{i,G}$ */ FOR i = 1 to N_p Select three parents $X_{i1,G}$, $X_{i2,G}$, and $X_{i3,G}$ randomly from current population where $i \neq i_1 \neq i_2 \neq i_3$ $V_{i,G} = X_{i1,G} + F \times (X_{i2,G} - X_{i3,G})$ END FOR Step 2.2 Crossover /* Generate a trial vector Ui,G for each target vector Xi,G */ FOR i = 1 to N_n $j_{rand} = rand(0,1) \times D$ FOR i = 1 to D IF $(rand_i(0,1) < C_R)$ or $(j = j_{rand})$ $U_{i,j,G} = V_{i,j,G}$ ELSE $U_{i,j,G} = X_{i,j,G}$ END IF END FOR END FOR Step 2.3 Selection FOR i = 1 to $N_{\rm e}$ Evaluation the trial vector U_{LG} IF $f(U_{i,G}) < f(X_{i,G})$ $X_{i,G+1} = U_{i,G}$ ELSE $X_{i,G+1} = X_{i,G}$ END IF END FOR Step 2.4 Opposition-based Generation Jumping IF rand $(0, 1) < J_r$ FOR i = 1 to N_p $OP_{i,G} = MIN_G + MAX_G - X_{i,G}$ Evaluation the opposite solution $OP_{i,G}$ $\text{IF} f(OP_{i,G}) \leq f(X_{i,G})$ $X_{i,G} = OP_{i,G}$ END IF END FOR END IF G = G + 1END WHILE

FIGURE 5. Pseudo code for opposition-based differential evolution (Individual) (ODE(Ind)).

ODE(Pop) vary for different jumping rates. It is set as 0.05 in this paper as mentioned in Section II.B, while it is equal to 0.3 in [13]. In Section IV.C, the effect of jumping rate will be more fully discussed.

Obviously, the success rates are almost the same for ODE(Pop) and ODE(Ind) from Table 4. However, ODE(Ind) has the slowest convergence speed of all algorithms assessed here. In general, it needs more 4.63% NFEs than

DE algorithm. For 46 problems among all tested problems, NFE required by ODE(Ind) is larger than that by ODE(Pop).

TABLE 4. Experimental comparison of DE, ODE(Pop) and ODE(Ind).

| Eurotian | Trme | DE | ODE(F | op) | ODE(Ind) | | = AR |
|----------|-----------|------------------------|-------------------------|-------|------------------|-------|-----------|
| Function | Type | DE | NFE(SR) | UR | NFE(SR) | UR | AKPop:Ind |
| F1 | I | 73502 | 66648 72248 | 34.95 | 75690 | 17.09 | 0.1357 |
| F2 F3 | I | 153992 | 155951 | 8.01 | 83833 162006 | 3.19 | 0.1448 |
| F5 | Ι | 326824 (0.94) | 120489 (0.93) | 13.99 | 357338 (0.97) | 2.63 | 1.9657 |
| F6 | Ι | 77280 | 69962 | 35.03 | 79897 | 17.37 | 0.1420 |
| F7 | Ι | 19159 | 14277 | 46.40 | 19183 | 33.80 | 0.3436 |
| F8 | Ι | 143902 | 131290 | 32.86 | 149104 | 16.28 | 0.1357 |
| F16 | Ι | 2686 | 2717 | 45.27 | 2875 | 12.30 | 0.0582 |
| F21 | Ι | 155837 | 156449 | 15.46 | 164222 | 4.22 | 0.0497 |
| F22 | Ι | - | 173843 (0.79) | 45.58 | 307593 | 38.21 | 0.7694 |
| F23 | Ι | 19712 | 18128 | 29.81 | 20709 | 11.60 | 0.1424 |
| F24 | Ι | - | - | - | - | - | - |
| F30 | Ι | 41952 | 32694 | 45.61 | 41920 | 32.54 | 0.2822 |
| F31 | Ι | 345115 | 326449 | 11.68 | 488588 | 3.43 | 0.4967 |
| F32 | Ι | 4952 | 4788 | 42.69 | 5101 | 15.74 | 0.0654 |
| F33 | Ι | 25696 | 23761 | 23.59 | 25512 | 11.37 | 0.0737 |
| F34 | Ι | 31255 | 27523 | 24.09 | 31302 | 11.96 | 0.1373 |
| F37 | Ι | 4129 | 4185 | 45.48 | 4316 | 18.19 | 0.0313 |
| F38 | Ι | 4088 | 4172 | 44.46 | 4306 | 18.20 | 0.0321 |
| F39 | Ι | 2909 | 2935 | 46.02 | 3074 | 15.00 | 0.0474 |
| F41 | Ι | 16042 | 15832 | 42.78 | 16438 | 25.67 | 0.0383 |
| F53 | Ι | 6707 | 6004 | 43.94 | 6931 | 19.13 | 0.1544 |
| F54 | Ι | 7407 | 7362 | 38.84 | 7625 | 18.03 | 0.0357 |
| F56 | I | _ | _ | _ | _ | - | |
| F57 | I | 15472 | 15579 | 37.63 | 16061 | 23.80 | 0.0309 |
| А | ve. | | | | | | 0.2327 |
| F10 | II(whole) | 13731 | 14203 | 21.18 | 14437 | 15.39 | 0.0165 |
| F11 | II(whole) | 5348 | 5356 | 20.09 | 5532 | 13.12 | 0.0329 |
| F14 | II(whole) | 4866 | 4973 | 42.06 | 4933 | 17.19 | -0.0081 |
| F15 | II(whole) | 81126 (0.94) | 74185 | 32.70 | 84331 | 16.53 | 0.1368 |
| F25 | II(whole) | 9753 | 9997 | 22.32 | 10156 | 17.34 | 0.0159 |
| F35 | II(whole) | 3032 | 3082 | 45.24 | 3082 | 41.70 | 0 |
| F44 | II(whole) | 5501 | 5539 | 45.16 | 5625 | 39.08 | 0.0155 |
| F46 | II(whole) | 4781 | 4905 | 43.49 | 5021 | 36.39 | 0.0236 |
| F49 | II(whole) | 4430 (0.91) | 4633 (0.95) | 31.23 | 4671 (0.93) | 29.72 | 0.0082 |
| F58 | II(whole) | 14092 | 13789 | 22.63 | 14481 | 16.12 | 0.0502 |
| А | ve. | | | | | | 0.0291 |
| F9 | II(part) | 3209 | 3398 | 37.10 | 3317 | 34.68 | -0.0244 |
| F12 | II(part) | 4113 | 4178 | 41.95 | 4217 | 37.18 | 0.0093 |
| F13 | II(part) | 11237 (0.95) | 11436 (0.89) | 34.67 | 11298 (0.95) | 28.47 | -0.0122 |
| F18 | II(part) | 184888 (0.5) | 187213 (0.54) | 4.13 | 192396 (0.5) | 2.81 | 0.0277 |
| F26 | II(part) | 9456 | 9720 | 37.10 | 9994 | 30.76 | 0.0282 |
| F27 | II(part) | 8932 | 9069 | 37.73 | 9235 | 30.02 | 0.0183 |
| F28 | II(part) | 9026 | 9013 | 38.64 | 9268 | 31.79 | 0.0283 |

| F29 | II(part) | 8315 (0.98) | 8608 | 31.66 | 8691 (0.98) | 26.31 | 0.0096 | |
|------------|----------|----------------|--------|-------|----------------|-------|---------|--|
| F40 | II(part) | 7190 | 7437 | 40.92 | 7472 | 19.52 | 0.0047 | |
| F42 | II(part) | 3567 | 3630 | 41.85 | 3659 | 37.34 | 0.0080 | |
| F43 | II(part) | 5517 | 5716 | 25.49 | 5629 | 23.23 | -0.0155 | |
| F48 | II(part) | 2496 | 2525 | 39.69 | 2574 | 36.91 | 0.0194 | |
| F50 | II(part) | 240239 | 236650 | 5.29 | 245414 | 4.85 | 0.0370 | |
| F51 | II(part) | - | - | - | - | - | - | |
| F55 | II(part) | - | - | - | - | - | - | |
| А | ve. | | | | | | 0.0107 | |
| F4 | III | 356748 | 357083 | 31.86 | 434762 | 23.20 | 0.2175 | |
| F17 | III | - | - | - | - | - | - | |
| F19 | III | 362274 | 358601 | 4.07 | 376365 | 2.28 | 0.0495 | |
| F20 | III | 6250 | 6844 | 27.90 | 6742 | 22.48 | -0.0151 | |
| F36 | III | 7489 | 7736 | 38.81 | 8299 | 17.51 | 0.0728 | |
| F45 | III | 2558 | 2659 | 44.42 | 2586 | 40.81 | -0.0282 | |
| F47 | III | 2706 | 2672 | 44.73 | 2698 | 43.06 | 0.0097 | |
| F52 | III | 21962 | 21929 | 32.38 | 22521 | 23.94 | 0.0270 | |
| А | ve. | | | | | | 0.0476 | |
| Type I | | 7 | 16 | | 0 | | | |
| Type II (| whole) | 8 | 2 | 0 | | | | |
| Type II (J | part) | 9 | 4 | 0 | | | | |
| Type III | | 4 | 3 | | 0 | | | |
| Total | | 28 | 25 | | 0 | | 0.0800 | |

The average $AR_{Pop:Ind}$ is larger than zero for all function types and the total average $AR_{Pop:Ind}$ is equal to 8.00%. These results confirm that ODE(Pop) has a higher convergence speed comparable with ODE(Ind), owing to the proposed embedding method.

In these experiments, almost all parameters are the same, except the embedding method chosen in OBL scheme. In order to explain the performance difference between two opposition-based algorithms, we first try to look for sound reason from the contribution of opposite points.

The goal of embedding opposition-based learning is to achieve a better approximation of true solution and to accelerate convergence of population-based algorithm. We may take it for granted that algorithm performance will be better and better the more opposite points survived to the child population. It is clear from Table 4 that, except for very few cases, most cases confirm this hypothesis. For example, when solving function F1, NFE required by ODE(Pop) decreases by 12%, which means good convergence, and at the same time, the average UR increases by 105% compared with ODE(Ind).

However this hypothesis cannot explain why the performance of ODE(Ind) deteriorates sharply despite of embedding some opposite points. For example, ODE(Ind) needs more 2188 function evaluations than the classical DE, while 17.09% opposite points are utilized on average. We believe that the true reason for good outcomes of opposition-based algorithm is just the embedding method, rather than the utilization rate of opposite points. In order to show their differences, average UR of two opposition-based algorithms are presented for four typical functions in Fig. 6. Note that the number of times that opposition-based learning is embedded in 100 experiments becomes less and less. Then the average AR may fluctuate violently in the last part of the curve.

Here, we will discuss the simplest case first, namely opposition-based population initialization. For a good candidate solution $X_{k,0}$ (k = 1, 2, ..., N) in initial population P_0 , it is very possible that the corresponding opposite point $OX_{k,0}$ also has a similar fitness value and good performance in opposition-based population OP_0 . For population-based embedding method, the pairing solutions with good and similar quality, $X_{k,0}$ and $OX_{k,0}$, are both remained to the child generation. At this time, other solution with bad performance (and the corresponding opposite point) will be discarded inevitably. The uniform distribution is usually assumed, without prior knowledge of optimization problem. Thus a natural inference is that half of initial opposition population can remained to child generation, and the value of UR is near 50% as shown in Fig. 6. As a result, this population-based embedding method is biased to high quality solutions and strongly reduces population diversity.

While for new individual-based embedding method, the pairing solutions, $X_{k,0}$ and $OX_{k,0}$, are compared directly. Yet, no matter how good the other is, only one of them can be survived to the child generation. At this time, some solutions may be replaced with better opposite points, and population diversity has not be affected markedly by oppositionbased learning. In addition, if the benchmark function is symmetrical about the center of the interval of its definition, the function fitness of the paring solutions are the same, and the opposite point cannot be superior than the original solution, which leads to UR = 0% as shown in Fig. 6(a). Contrary to the symmetrical case, their function fitness are different with each other and there is half chance to remain in child generation as illustrated in Figs 6(b), 6(c) and 6(d).

Next opposition-based generation jumping will be studied. The fitness of solutions, together with the evolution of population, decrease during the course of minimization. Compared with solution after mutation, crossover and selection operations, the performance of their opposite point often become worse remarkably. For two embedding methods of OBL, in opposition-based generation jumping, the chance to be chosen of opposite points is much less than 50% as shown in Fig. 6.

On conclusion, opposition-based learning can be considered as a mutation operation to some extent. The noticeable difference between OBL and conventional mutation operation is that the distance and direction of mutation vector. A solution can hop to opposite half-plane from the definition of OBL, while it may move astatically to a slightly different point for mutation operation. In this way, we can explain why ODE(Pop) has high convergence speed as follows. For population-based embedding method, as mentioned above, it may reduce population diversity like mutation operation. On the other hand, it can also maintain more solutions with



FIGURE 6. Average UR of two opposition-based algorithms for four typical functions. (a) Type I function. (b) Type II (whole) function. (c) Type II (part) function. (d) Type III function.

good performance, which leads to a high convergence speed of ODE(Pop).

In fact, the case is just the opposite for new individualbased embedding method. The candidate sulutions are updated one by one with the corresponding opposite solutions with good quality. Thus ODE(Ind) has high population diversity during the whole iteration process. In fairness to DE, extra fitness evaluation required for the opposite points both in population initialization and generation jumping phases are counted in all experiments. As a result, more NFEs are needed to solve optimization problems, although some opposite points are generated and evolved for ODE(Ind).

To sum up, the performance differences between two opposition-based algorithms cannot be explained by the utilization rate of opposite points. A possible explanation is, in our opinions, the embedding method of OBL scheme.

C. EFFECT FOR VARIOUS FUNCTION TYPES

In order to investigate the effect for different function types, the boxplots of $AR_{ODE(Pop):ODE(Ind)}$ for four types are displayed below in Fig. 7.

From Fig. 7, it can be seen that the embedding method chosen in OBL scheme has more affection for Type I functions than other types. For example, ODE(Pop) can solve function F5 (Type I) in 120489 function evaluations, while ODE(Ind) needs 357338 function evaluations on average. There is a distinct difference between them as shown in Fig. 7.

The optimal solution is located at the domain center for Type I functions. Therefore, it can be reasonably assumed that their fitness distribution is symmetrical about the center. By the definition of population-based embedding method, relatively more opposite points with good quality can be included in child population to speed up convergence. As a



FIGURE 7. AR_{ODE(Pop):ODE(Ind)} for four function types.

result, seen from the last five rows in Table 5, ODE(Pop) has the highest convergence speed on Type I functions among all types. Hence the difference between ODE(Pop) and ODE(Ind) on Type I functions looks big compared to other function types.

D. PROPER SETTING OF JUMPRING RATE

The jumping rate is an important control parameter which, if optimally set, can achieve even better results. The value of jumping rate for our current study is borrowed from [4] and is set to 0.05 without any effort to find an optimal value. In this subsection, we will discuss the detailed effect on ODE(Ind) and try to find an optimal jumping rate from a discrete set of jumping rate values.

Now, we repeat the conducted experiments for $J_r \in [0.05, 0.3]$ with step size of 0.05. The results (NFE) for different jumping rates are presented in Table 5. The total average AR between two ODE(Ind) algorithms with different jumping rates are summarized in the last row of the table to ease the comparison.

It is clearly that, except for very few cases, NFE value becomes larger and larger with the increasing jumping rate. The total average AR increased from 0 to 0.150, and the increasing trend is approximately linear with the value of J_r . For those few cases, they can simply be considered as random error in 100 independent experiments.

By contrast, for ODE(Pop) in [13], the general recommendation of jumping rate are 0.3 or 0.6, and the average optimal value is equal to 0.37 for the same benchmark function set. As mentioned above, opposition-based learning in ODE(Ind) can be considered as a mutation operation. Thus the optimal jumping rate (e.g. mutation probability) must be very small as shown by the experimental results in this paper.

E. ADDITIONAL REMARKS

To follow along the definition of opposition-based optimization, a new individual-based embedding method is proposed in this section. The bad convergence performance of ODE(Ind) is precisely because of new embedding method. However its performance can be further improved by proper setting of control parameters, such as jumping rate.

 TABLE 5. Experimental comparison of ode(ind) for different jumping rates.

| Function | Type | Jumping rate <i>J_r</i> | | | | | | |
|----------|-----------|-----------------------------------|----------------|----------------|--------|----------------|----------------|--|
| runction | i ype | 0.05 | 0.1 | 0.15 | 0.2 | 0.25 | 0.3 | |
| F1 F2 | I T | 75690 | 78401 86740 | 80957 80523 | 83817 | 86557 95663 | 88934 98175 | |
| F3 | I | 162006 | 168325 | 177433 | 182946 | 191707 | 198378 | |
| F5 | Ι | 357338 | 377367 | 372220 | 414736 | 450952 | 466129 | |
| F6 | Ι | 79897 | 82519 | 85278 | 87903 | 91071 | 93634 | |
| F7 | Ι | 19183 | 19482 | 19982 | 20331 | 20328 | 20548 | |
| F8 | Ι | 149104 | 154654 | 160532 | 165716 | 171243 | 177059 | |
| F16 | Ι | 2875 | 2932 | 2975 | 3097 | 3032 | 3147 | |
| F21 | Ι | 164222 | 171457 | 179786 | 188570 | 196401 | 206032 | |
| F22 | Ι | 307593 | 331143 | 355709 | 374976 | 394423 | 415722 | |
| F23 | Ι | 20709 | 21788 | 22383 | 23437 | 24392 | 25590 | |
| F24 | Ι | - | - | - | - | - | - | |
| F30 | Ι | 41920 | 42778 | 44612 | 45513 | 46738 | 47316 | |
| F31 | Ι | 488588 | 569063 | 607784 | 620755 | 666918 | 696833 | |
| F32 | I | 5101 | 5315 | 5378 | 5482 | 5593 | 5648 | |
| F33 | Ι | 25512 | 25769 | 25985 | 25395 | 25732 | 26265 | |
| F34 | Ι | 31302 | 32812 | 35846 | 35820 | 37475 | 38602 | |
| F37 | Ι | 4316 | 4420 | 4526 | 4508 | 4617 | 4723 | |
| F38 | Ι | 4306 | 4416 | 4477 | 4609 | 4668 | 4732 | |
| F39 | Ι | 3074 | 3100 | 3169 | 3241 | 3234 | 3352 | |
| F41 | Ι | 16438 | 16819 | 16989 | 17381 | 17646 | 17890 | |
| F53 | Ι | 6931 | 7024 | 7007 | 7202 | 7196 | 7501 | |
| F54 | Ι | 7625 | 7804 | 7862 | 8038 | 8164 | 8273 | |
| F56 | I | _ | - | _ | _ | _ | - | |
| F57 | Ι | 16061 | 16454 | 16736 | 17107 | 17476 | 17931 | |
| F10 | II(whole) | 14437 | 14947 | 15682 | 15833 | 16548 | 16689 | |
| F11 | II(whole) | 5532 | 5625 | 5822 | 5878 | 6054 | 6169 | |
| F14 | II(whole) | 4933 | 5303 | 5372 | 5400 | 5422 | 5569 | |
| F15 | II(whole) | 84331 | 87880 | 91010 | 94389 | 97853 | 101158 | |
| F25 | II(whole) | 10156 | 10462 | 10665 | 10966 | 11422 | 11836 | |
| F35 | II(whole) | 3082 | 3176 | 3233 | 3310 | 3339 | 3375 | |
| F44 | II(whole) | 5625 | 5754 | 5748 | 5950 | 5981 | 6073 | |
| F46 | II(whole) | 5021 | 5120 | 5265 | 5237 | 5447 | 5475 | |
| F49 | II(whole) | 4671 | 4876 | 4998 | 5157 | 5350 | 5461 | |
| F58 | II(whole) | 14481 | 14755 | 15196 | 15732 | 16351 | 16550 | |
| F9 | II(part) | 3317 | 3456 | 3559 | 3639 | 3799 | 3808 | |
| F12 | II(part) | 4217 | 4305 | 4356 | 4474 | 4500 | 4639 | |
| F13 | II(part) | 11298 | 11814 | 12217 | 12527 | 12910 | 12983 | |
| F18 | II(part) | 192396 | 197972 | 202006 | 220343 | 221559 | 238618 | |
| F26 | II(part) | 9994 | 10186 | 10465 | 10502 | 10837 | 10941 | |
| F27 | II(part) | 9235 | 9502 | 9751 | 9870 | 10100 | 10386 | |
| F28 | II(part) | 9268 | 9261 | 9561 | 9886 | 10130 | 10288 | |
| F20 | II(part) | 9200 8601 | 8016 | 0204 | 0616 | 0761 | 00/7 | |
| F29 | II(part) | 7472 | 7821 | 9290 8150 | 8220 | 8345 | 22+1 8112 | |
| 1.40 | n(part) | /++/∠ | /021 | 0150 | 0220 | 0545 | 0443 | |

| Ε | Ε | E | A | С | С | e | S. | 5 |
|---|---|---|---|---|---|---|----|---|
| | | | | | | | | |

| F43 | II(part) | 5629 | 5872 | 6070 | 6333 | 6506 | 6649 |
|----------|----------|--------|--------|--------|--------|--------|--------|
| F48 | II(part) | 2574 | 2612 | 2619 | 2816 | 2841 | 2818 |
| F50 | II(part) | 245414 | 249559 | 262431 | 269526 | 266857 | 295846 |
| F51 | II(part) | - | - | - | - | - | - |
| F55 | II(part) | - | - | - | - | - | - |
| F4 | III | 434762 | 629643 | 903630 | - | - | - |
| F17 | III | - | - | - | - | - | - |
| F19 | III | 376365 | 391213 | 409518 | 425941 | 444864 | 462891 |
| F20 | III | 6742 | 6952 | 7477 | 7906 | 8345 | 8470 |
| F36 | III | 8299 | 8260 | 8322 | 8131 | 8103 | 7936 |
| F45 | III | 2586 | 2638 | 2783 | 2787 | 2865 | 2855 |
| F47 | III | 2698 | 2755 | 2796 | 2905 | 2889 | 2962 |
| F52 | III | 22521 | 23069 | 23657 | 24165 | 24898 | 25278 |
| Total av | ve. AR | 0 | 0.0329 | 0.0636 | 0.0928 | 0.122 | 0.150 |

In addition, the classical population-based embedding method is also a good choice. In a very ordinary, but ingenious way, it speed up the convergence at the expense of population diversity. To an extent, its way of action is similar to that of mutation operation.

Last but not least important, we give an explanation why the experimental results are inconsistent with the theoretical results. Until now, various mathematical theorems have been proved to indicate the usefulness of using the OBL concept [4], [11]–[16]. To sum it all up, it is effective than using the random opposite points, which is proved by many experiments. Unfortunately this effectiveness needs extra fitness evaluation required for the opposite points. If the performance improvement obtained by OBL is insufficient compared to population evolution of DE algorithm, these extra costs inevitably degrade performance of oppositionbased algorithm.

V. CONCLUSION

The goal of this paper is exploring the possible reasons behind the good performance and disambiguate some misunderstanding of OBL. In this paper, we reexamined oppositionbased learning in respects of function prototype and algorithm design.

If benchmark functions are chosen optimally, intelligent algorithm can achieve even better results. According to the position relationship between optimal solution and center point, an important function prototype, some well-known benchmark functions are divided into three categories: Type I, Type II and Type III. With the help of acceleration rate, we firmly believe that QODE produce biased results in respect of function type and translation factor. These numerical results indicate that "smart" matching between benchmark functions and QOBL is an important factor to the good performance of QODE.

In the second part of this paper, a novel individual-based embedding method is proposed to coincide with the definition of opposition-based optimization. Then two opposition-based DE algorithms are compared to discuss two embedding methods in OBL scheme. The results confirm that the convergence difference stem from the embedding method, rather than the utilization rate of opposite points, and opposition-based learning can be considered as a mutation operation to some extent. At last, the effects for different function types and jumping rates are discussed in this paper.

There are several unexplored aspects that need future research attention. For instance, a great potential work is to analyze the extent and causes of negative transfer with utilizing OBL scheme. In the future, we will also develop adaptive or self-adaptive OBL strategies that able to control the jumping rate of opposition during optimization.

ACKNOWLEDGMENT

Qingzheng Xu would like to thank Prof. Y. S. Ong for the valuable comments on the manuscript.

REFERENCES

- [1] H. R. Tizhoosh, "Opposition-based learning: A new scheme for machine intelligence," in Proc. Int. Conf. Comput. Intell. Modelling, Control Automat., Int. Conf. Intell. Agents, Web Technol. Internet Commerce, Vienna, Austria, Nov. 2005, pp. 695–701.
- [2] Q. Xu, L. Wang, N. Wang, X. Hei, and L. Zhao, "A review of oppositionbased learning from 2005 to 2012," *Eng. Appl. Artif. Intell.*, vol. 29, pp. 1–12, Mar. 2014.
- [3] S. Mahdavi, S. Rahnamayan, and K. Deb, "Opposition based learning: A literature review," *Swarm Evol. Comput.*, vol. 39, no. 1, pp. 1–23, Apr. 2018.
- [4] S. Rahnamayan, H. R. Tizhoosh, and M. M. A. Salama, "Quasioppositional differential evolution," in *Proc. IEEE Congr. Evol. Comput.*, Singapore, Sep. 2007, pp. 2229–2236.
- [5] M. Ergezer, D. Simon, and D. Du, "Oppositional biogeography-based optimization," in *Proc. IEEE Int. Conf. Syst., Man, Cybern.*, San Antonio, TX, USA, Oct. 2009, pp. 1009–1014.
- [6] S. Rahnamayan and G. G. Wang, "Center-based sampling for populationbased algorithms," in *Proc. IEEE Congr. Evol. Comput.*, Trondheim, Norway, May 2009, pp. 933–938.
- [7] H. Wang, Z. J. Wu, Y. Liu, J. Wang, D. Z. Jiang, and L. L. Chen, "Space transformation search: A new evolutionary technique," in *Proc. ACM/SIGEVO Summit Genet. Evol. Comput.*, Shanghai, China, 2009, pp. 537–544.
- [8] Q. Xu, L. Wang, B. He, and N. Wang, "Modified opposition-based differential evolution for function optimization," *J. Comput. Inf. Syst.*, vol. 7, no. 5, pp. 1582–1591, 2011.
- [9] Z. Hu, Y. Bao, and T. Xiong, "Partial opposition-based adaptive differential evolution algorithms: Evaluation on the CEC 2014 benchmark set for realparameter optimization," in *Proc. IEEE Congr. Evol. Comput.*, Beijing, China, Jul. 2014, pp. 2259–2265.
- [10] H. Liu, Z. Wu, H. Li, H. Wang, S. Rahnamayan, and C. Deng, "Rotationbased learning: A novel extension of opposition-based learning," in *Proc. Pacific Rim Int. Conf. Artif. Intell.*, Gold Coast, QLD, Australia, 2014, pp. 511–522.
- [11] H. Xu, C. D. Erdbrink, and V. V. Krzhizhanovskaya, "How to speed up optimization? Opposite-center learning and its application to differential evolution," *Procedia Comput. Sci.*, vol. 51, no. 1, pp. 805–814, 2015.
- [12] Z. Seif and M. B. Ahmadi, "Opposition versus randomness in binary spaces," *Appl. Soft Comput.*, vol. 27, no. 1, pp. 28–37, 2015.
- [13] S. Rahnamayan, H. R. Tizhoosh, and M. M. A. Salama, "Oppositionbased differential evolution," *IEEE Trans. Evol. Comput.*, vol. 12, no. 1, pp. 64–79, Feb. 2008.
- [14] Q. Xu, H. Xu, and W. Wu, "Theoretical analysis and experimental evidence of opposite-center learning," *IEEE Access*, vol. 6, pp. 34948–34966, 2018.
- [15] M. Ergezer and D. Simon, "Mathematical and experimental analyses of oppositional algorithms," *IEEE Trans. Cybern.*, vol. 44, no. 11, pp. 2178–2189, Nov. 2014.
- [16] Z. Seif and M. B. Ahmadi, "An opposition-based algorithm for function optimization," *Eng. Appl. Artif. Intell.*, vol. 37, no. 1, pp. 293–306, 2015.



QINGZHENG XU received the B.S. degree in information engineering from the PLA University of Science and Technology, Nanjing, China, in 2002, and the Ph.D. degree in control theory and engineering from the Xi'an University of Technology, Xi'an, China, in 2011.

From 2002 to 2016, he was a Lecturer with the Xi'an Communications Institute, Xi'an. Since 2017, he has been a Lecturer with the College of Information and Communication, National Uni-

versity of Defense Technology, Xi'an. He is currently a Visiting Scholar with the School of Computer Science and Engineering, Nanyang Technological University, Singapore. His main research interests include opposition-based learning, nature-inspired computation, and combinatorial optimization.



FENG ZOU received the M.S. degree from Wuyi University, Jiangmen, China, in 2007, and the Ph.D. degree from the Xi'an University of Technology, Xi'an, China, in 2015.

From 2017 to 2018, he was a Visiting Scholar with the College of Electronics and Information Engineering, Tongji University, Shanghai, China. He is currently an Associate Professor with Huaibei Normal University, Huaibei, China. His research interests mainly include evolutionary

computing, swarm intelligence optimization, and biological information processing.



NA WANG received the B.S. degree in mathematics and applied mathematics and the M.S. degree in applied mathematics from Shaanxi Normal University, Xi'an, China, in 2004 and 2007, respectively.

From 2007 to 2016, she was a Lecturer with the Xi'an Communications Institute, Xi'an. Since 2017, she has been an Associate Professor with the College of Information and Communication, National University of Defense Technology,

Xi'an. Her main research interests include optimization theory and machine learning.



JUNGANG YANG received the B.S. degree in fiber optic communication engineering from the PLA University of Science and Technology, Nanjing, China, in 1996, and the M.S. and Ph.D. degrees in information and communication engineering from Xidian University, Xi'an, China, in 2003 and 2008, respectively.

He is currently a Professor with the College of Information and Communication, National University of Defense Technology, Xi'an. His current

research interests include fiber optic communication, information service, and intelligent computation.