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# Image Denoising Based on HOSVD With Iterative-Based Adaptive Hard Threshold Coefficient Shrinkage

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**ABSTRACT** Natural images often have self-similarity, which can be used to remove noise. Therefore, many current denoising methods denoise by processing similar image block matrix. Aiming at the problem that these methods will destroy the two-dimensional structure of image blocks when they are expanded into one-dimensional column vectors, a new image denoising method based on high-order singular value decomposition is proposed. Several similar image blocks are stacked into three-dimensional arrays and treated as a third-order tensor; then, higher-order singular value decomposition can be performed. For the core tensor obtained by decomposition, an iterative algorithm with adaptive hard threshold coefficient shrinkage is proposed. The experimental results show that the proposed method outperforms the state-ofthe-art methods in peak-signal-to-noise ratio, structural similarity, and visual effects.

**INDEX TERMS** Image denoising, tensor, high order singular value decomposition, adaptive hard thresholding, threshold coefficient shrinkage.

#### **I. INTRODUCTION**

Image denoising is a fundamental problem in image processing. In the process of acquisition and transmission, the image is often affected by the factors of the image device itself and the external environmental conditions, which inevitably generates noise points. The denoising of the image not only provides an image with good visual quality, but also provides a good basis for later image analysis and understanding.

For a noise image  $Y = X + D$ , where *X* is the original image and *D* is Gaussian noise,  $D \sim N(0, \sigma^2)$ . The task is to obtain a denoised image *X*ˆ by processing and calculating the noisy image *Y* , and make the error between denoised image  $\hat{X}$  and original image  $X$  is the smallest.

Classic image denoising methods can be divided into spatial domain and frequency domain methods. Traditional spatial domain linear filtering includes mean filtering, median filtering, Wiener [1] filtering, etc. Frequency domain

denoising methods include wavelet denoising [2] and partial differential equation denoising [3] and sparse transformation [4] denoising method, etc. Among them, more and more attention has been paid to the transform domain denoising method, and a lot of meaningful discussions and practices have been carried out. It assumes that the real image signal can be approximated by a linear combination of a set of bases, that is to say, the real image signal can be represented in the transform domain sparsely. Due to the advantages of sparse coding in image information representation, it is widely used in the field of image denoising. Reference [5] is a method of wavelet threshold shrinkage, it can achieve denosing based on the assumption that the expression coefficients obtained by wavelet basis transform satisfy the sparsity. In order to achieve translation invariance, Pennec and Mallat [6] proposed to replace the compact expression with redundant expression. However, the above method of sparse

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and redundant representation of transform domain adopts data-independent basis, and does not consider the characteristics of the image itself in image denoising application. Yang *et al.* [7] proposed a sparse and redundant expression K-SVD based on dictionary training. The method obtained an overcomplete dictionary by learning, and considers that each image block could be approximated by a linear combination of dictionary atoms, and the coefficient vector had sparsity, that is, most elements in the vector were zero. In recent years, the weighted nuclear norm minimization (WNNM) [8] and the bidirectional low rank representation denoising method based on adaptive cluster dictionary [9] decompose the image into singular values in the transform domain, and perform singular value threshold shrinkage to achieve image denoising. These two methods are still essentially denoising methods based on sparse transforms.

In 2005, Buades *et al.* [10] proposed the concept of nonlocal similarity of images, and proposed NLM (Non-Local Means) image denoising method, which opened up a new era of image denoising. A partial block has many similar blocks in the entire image. The non-local self-similar prior (NSS) takes advantage of this feature to denoise the image which is the most successful prior to image restoration. Zoran and Weiss proposed an Expected Patch Log Likelihood (EPL) image restoration framework based on image block priors [11], and the prior of image block was modeled by using the Guassian Mixture Model (GMM). This method not only preserved the texture details of the image, but also solved the problem of artificial traces. PGPD [12] put forward a well-defined NSS model learning from natural images, and applies the priori model for high performance denoising, which achieved good denoising effect. However, when used the denoised image block reconstructing the entire image, the image blocks overlap each other in order to overcome the block effect at the edge of the image block. Therefore, one pixel point in the image corresponds to multiple denoising estimates. Inspired by non-local average self-similarity and sparse coding, Mairal *et al.* [13] proposed a Learned Simultaneous Sparse Coding (LSSC) method which was considered that the coding of each image block was not independent of each other and the coding similarity of the image block should be enhanced. Dong *et al.* [4] integrated NSS and local sparse coding into NCSR framework, which had powerful image restoration capability. Dabov *et al.* [14] combined the non-local similarity of image and transform domain denoising method, and proposed BM3D (Block Matching 3-D) image denoising algorithm, which is one of the most classical denoising algorithms. Rajwade *et al.* [15] performed high-order Singular Value Decomposition (HOSVD) on the 3D similar block array in BM3D algorithm, and obtained the dynamic adaptive basis of 3D transform, and excellent denoising effect has also been achieved. The basis of this article is the two algorithms.

The rest of the paper is organized as follows: Part 2 briefly introduces the related work of 3D similar block transform denoising; combined with the properties of high-order

singular value decomposition of tensor, Part 3 proposes the new HOSVD denoising algorithm based on iterative-based adaptive hard threshold coefficient shrinkage; the experimental results in Part 4 show the effectiveness of our proposed algorithm; the final part summarizes the full text and proposes the next step.

## **II. RELATED WORK**

At present, the majority of image denoising algorithms utilize the non-local similarity of image blocks. However, when processing image blocks, most of the algorithms expand them into column vectors, which, although it is convenient for calculation and processing, it destroys the 2-dimensional structure information of the image blocks. The two image denoising methods described below still treat the image block as a 2-dimensional matrix structure.

## A. BM3D

The BM3D image denoising algorithm is considered to be one of the most classic image denoising algorithms, which combines the non-local similarity of pixel blocks in the image and the denoising of transform domain. The algorithm mainly consists of two stages: basic estimation and final estimation.

## 1) BASIC ESTIMATION STAGE

For any reference image block  $P \in R^{b \times b}$  on the noise image *Y* , where *b* is the side length of the reference image block. When perform similar block matching on the noise image *Y* , denote  $S = i : d(P, P_i) \leq T$ ,  $d(P, P_i)$  represents the distance between two image blocks, and *T* is the threshold of the similarity measure. Then, all of the image blocks  ${P_i}_{i \in S}$ which satisfy the conditions stacked together to form a 3 dimensional array *Z*. Perform a 3-dimensional transformation *T*3*<sup>D</sup>* on the 3-dimensional array *Z*, and then we have a hard threshold shrinkage of the coefficients in the transformation domain, and then carry out 3-dimensional inverse transformation  $T_{3D}^{-1}$  to obtain a basic estimation  $\hat{Z}$  of *Z*. As follows:

$$
\hat{Z} = T_{3D}^{-1}(H(T_{3D}(Z)))\tag{1}
$$

The 3-dimensional transform *T*3*<sup>D</sup>* is composed of 2D-DCT or 2D-Bior1.5 and transform 1D-Haar. *H* is a hard threshold function. Finally, each image block in  $\hat{Z}$  is replaced back to the original position in the image, and a weighted average of each overlapping pixel point results in the basic estimated image *Ybasic*.

#### 2) THE FINAL ESTIMATION STAGE

In the final estimation stage, we can take the basic estimated image *Ybasic* which is obtained in the first stage as a reference. First of all, similar to the first stage, for each reference block *Pbasic* on the image *Ybasic*, similar block matching is performed to obtain a 3-dimensional array *Zbasic*. On the noise image, pixel blocks of the same position are selected to form a 3-dimensional  $Z_{noise}$ . For the coefficient  $c_{basic} \in T_{3D}(Z_{basic})$ of the 3-dimensional transform, select  $c_{noise} \in T_{3D}(Z_{noise})$ 

of the corresponding position, and Eq.(2) can be obtained by Wiener filtering:

$$
\hat{c}_{noise} = \frac{c_{basic}^2}{c_{basic}^2 + \sigma^2} c_{noise}
$$
 (2)

The Wiener filter coefficient  $\hat{c}_{noise}$  is subjected to 3D inverse transformation to obtain a 3-dimensional array *Zfinal*, and then it will be weighted aggregated to the original position on the image, so we can get the final estimate of image denoising *Yfinal*.

#### B. HIGH ORDER SINGULAR VALUE DECOMPOSITION

In recent years, theories about tensor decomposition is optimizing day by day, and their applications are also more and more widely in practice [16], [17]. In [17], the singular value decomposition (SVD) of matrix is extended to tensors, and a high-order SVD method for tensors is proposed. The highorder SVD method has been applied in many fields, such as handwritten numeral recognition [18] and texture analysis [19], and achieved many good results.

Rajwade *et al.* [15] used HOSVD to process the 3-dimensional array in order to achieve image denoising. That is to say, the fixed base of 3D transform in BM3D algorithm is expanded to a dynamic adaptive base and a good denoising effect is achieved.

# **III. AN ITERATIVE ADAPTIVE HARD THRESHOLD COEFFICIENT SHRINKAGE ALGORITHM**

Although the HOSVD image denoising method extends the fixed base in the BM3D method to a dynamic adaptive base, the BM3D algorithm flow is still used, that is to say, the first estimated image obtained by the hard-threshold shrinkage is used as the reference image of the second-stage Wiener filter, and the advantages of HOSVD decomposition and dynamic basis are not fully utilized. The image denoising effect is also slightly inferior to BM3D.

Combining with the properties of high-order singular value decomposition and referring to the processing framework of other image denoising methods [20], [21], an iterative adaptive hard-threshold algorithm is proposed in this paper.

# A. SIMILAR BLOCK MATCHING AND HIGH-ORDER SINGULAR VALUE DECOMPOSITION

For each reference block  $P^{ref} \in R^{b \times b}$  in the noise image *Y*, search for *K* similar blocks (including the reference block itself)  $P_i$ ,  $i = 1, 2, ..., K$  which are most similar in image *Y* . Among them, the similarity measure uses a simple and convenient *L*<sup>2</sup> distance, which are defined as below:

$$
d(P^{ref}, P_i) = ||P^{ref} - P_i||_2^2
$$
 (3)

In order to improve the numerical stability of decomposition, the blocks are normalized to each similar block before decomposition [22], [23]:

$$
Q_i = P_i - \sum_{i=1}^{K} P_i/K = P_i - \bar{P}, \quad i = 1, 2, ..., K \quad (4)
$$

To stack all  $Q_i$  into 3-dimensional array  $Z_Q$ , calculate its high order singular value decomposition,

$$
(S_Q, U_1, U_2, U_3) = HOSVD(Z_Q),
$$
 (5)

where  $S_Q$  is the core tensor obtained by decomposition, the size is the same as  $Z_O$  which is  $b \times b \times K$ .  $U_1, U_2$  and  $U_3$  are orthogonal matrices, and the core tensor  $S_Q$  can be regarded as the coefficient of the 3-dimensional array *Z<sup>Q</sup>* in the decomposition domain of the high order singular value.

# B. ADAPTIVE HARD THRESHOLD COEFFICIENTS **SHRINKAGE**

How to shrink the coefficient of the core tensor  $S_Q$  is the key of denoising algorithm. Based on the assumption that the wavelet coefficients obey the Laplace distribution, [23] and [24] propose a classical threshold function:

$$
\tau = \frac{2\sqrt{2}\sigma_w^2}{\sigma_x},\tag{6}
$$

where  $\sigma_{\omega}$  is the estimate of the noise level of the image at the *k*<sup>th</sup> iteration of  $Y^{(k)}$ .

$$
\sigma_w = \gamma \sqrt{\sigma^2 - \|Y - Y^{(k)}\|_2^2},\tag{7}
$$

where  $\gamma$  is a preset parameter used to estimate the noise level, and  $\sigma_x$  is the standard deviation of the coefficients of the real image signal *X* in higher order singular values. Here, notice the properties of the high order singular value decomposition [16], [17]. The core tensor  $S_Q$  is a third-order tensor of  $b \times b \times K$  size. If slicing in the direction of the vertical third dimension (similar to other dimensions), *K* different matrix  $S_Q^i$  of size  $b \times b$  can be obtained.

$$
||S_Q^i||_F = \sigma_i^{(3)} \quad i = 1, 2, ..., K,
$$
 (8)

where  $\sigma_i^{(3)}$  $i_i^{(5)}$  is the *i*th singular value of the third dimension matrix *SQ*(3) of the core tensor *SQ*. Equation (8) can also be expressed as:

$$
\sum_{\lambda \in S_Q^i} \lambda^2 = (\sigma_i^{(3)})^2 \quad i = 1, 2, ..., K
$$
 (9)

From the formula (8), we can see that the coefficient in the core tensor *S<sup>Q</sup>* is actually to re-decompose the corresponding singular value so that the noise energy originally concentrated on the singular value is mainly transferred to the smaller coefficient in the core tensor  $S_Q$  while larger coefficients are less affected by noise. Therefore, this paper adopts the method of hard threshold shrinkage:

$$
\hat{S}_Q = hard(S_Q, \tau) \tag{10}
$$

In Eq. (6),  $\sigma_x$  is the standard deviation of the coefficients of the real image signal *X* in higher order singular values

$$
\sigma_x = \sqrt{max(\sigma_{S_Q}^2 - \sigma^2, 0)},\tag{11}
$$

where  $\sigma_{S_Q}$  is the standard deviation of the core tensor coefficient  $S_Q$ . Since the core tensor  $S_Q$  coefficient approximates

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#### **TABLE 1.** Comparison I of different denoising algorithms.

the Laplace distribution (see Fig. 1), the average value of the core tensor  $S_Q$  coefficients is close to 0 and the variance is

$$
\sigma_{SQ}^2 = \frac{\sum_{i=1}^m \lambda_i^2}{m} = \bar{\lambda^2},
$$
\n(12)

where  $m(m = b \times b \times K)$  is the number of all the coefficients in the core tensor  $S_Q$  and  $\lambda_i \in S_Q$  is the coefficient of the core tensor  $S_Q$ . Combining the above two equations (9) and (10), we get

$$
\sigma_x = \sqrt{max(\bar{\lambda}^2 - \sigma^2, 0)}
$$
 (13)

In order to obtain different hard-threshold shrinkage thresholds for each coefficient  $\lambda_i$ , here we propose an adaptive threshold setting method. In the above equation, replace  $\lambda_i^2$  with  $\lambda^2$ .

$$
\sigma_x^i = \sqrt{max(\lambda_i^2 - \sigma^2, 0)}
$$
 (14)

This results in different shrink thresholds for each of the coefficients so that  $\lambda_i$ , which is more heavily affected by noise and smaller, is more shrunk to zero.

In conclusion, the shrinking formula of adaptive hard threshold coefficient proposed in this paper is as follows:

$$
\hat{S}_Q = \text{hard}(S_Q, \tau_i) \tag{15}
$$

$$
\tau_i = \frac{2\sqrt{2}\sigma_w^2}{\sigma_x^i},\tag{16}
$$

where  $\sigma_w$  and  $\sigma_x^i$  are determined by formula (6) and (14) respectively.

# C. WEIGHTED AGGREGATION AND ITERATIVE **REGULARIZATION**

After obtaining the contracted core tensor coefficient  $\tilde{S}_Q$ , calculate

$$
\hat{Z}_Q = \hat{S}_Q \times_1 U_1 \times_2 U_2 \times_3 U_3 \tag{17}
$$

Get the estimated  $\hat{Q}_i$  and  $\hat{P}_i = \hat{Q}_i + \bar{P}, i = 1, 2, ..., K$ ,  $\times_i$  is the tensor and matrix multiplication. Put all the  $\hat{P}_i$  back to the original position of the image. Because different image similar blocks may overlap each other, so when we gather a set of 3-dimensional array to define a weight

$$
w = \begin{cases} 1/m, & r = 0\\ r/m, & r > 0 \end{cases}
$$
(18)

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#### **TABLE 2.** Comparison II of different denoising algorithms.





**FIGURE 1.** The distribution of the core tensor coefficient  $\lambda_i$  of image Peppers.

where *r* is the number of zero elements in the core tensor of  $\hat{S}_O$ , the more zero elements in  $\hat{S}_O$ , the greater the weight of the aggregation.

In this way, for the noise image  $Y^{(k)}$ , we get the estimation  $X^{(k)}$  of an iteration. Inspired by [20], [23], and [25], we conduct iterative regularization processing, and update the  $Y^{(k+1)}$  at the beginning of the next iteration through the following expression

$$
Y^{(k+1)} = (1 - \delta)X^{(k)} + \delta Y, \tag{19}
$$

where  $\delta$  is a small positive number that controls the proportion of added noise at each iteration. In summary, the complete algorithm can be described in Algorithm 1.

## **IV. EXPERIMENTAL RESULTS**

In order to verify the correctness of the proposed algorithm, we use 10 standard images of  $256 \times 256$  size for testing firstly. The experiment parameters are set as follows: the number of iterations *J* = 4, the noise estimation parameter  $\gamma = 0.35$ and the iterative regularization parameter  $\delta = 0.10$ . When the noise level  $\sigma \le 10$ , the image side length  $b = 6, K = 25$ , when the noise level is  $10 < \sigma \leq 30$ , the image side length  $b = 7$ ,  $K = 30$ . To speed up the algorithm, we take a reference block per 3 pixels, and the search window has a radius of 25 when the similar block matches.

Firstly, we compare our algorithm with the two image denoising algorithms BM3D [14] and HOSVD [15], the basises of the proposed algorithm, which are also based on



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**FIGURE 2.** Noisy image comparison of image Hill. (a) Initial image, (b) Noise image(σ = 10), (c) BM3D(PSNR=33.03dB, SSIM=0.8972), (d) HOSVD(PSNR=32.98dB, SSIM=0.8971), (e) EPLL(PSNR=33.50758dB, SSIM=0.8861), (f) NCSR(PSNR=33.69dB, SSIM=0.8861), (g) PGPD(PSNR=31.871dB, SSIM=0.8392), (h) Ours(PSNR=33.16dB, SSIM=0.9004).



**FIGURE 3.** Noisy image comparison of image Lake. (a) Initial image, (b) Noise image(σ = 20), (c) BM3D(PSNR=28.64dB, SSIM=0.8691), (d) HOSVD(PSNR=28.59dB, SSIM=0.8615), (e) EPLL(PSNR=29.87111dB, SSIM=0.8255), (f) NCSR(PSNR=29.88dB, SSIM=0.8202), (g) PGPD(PSNR=29.9109dB, SSIM=0.8209), (h) Ours(PSNR=28.83dB, SSIM=0.8715).

3D image block transform. At the same time, in order to verify the algorithms effectiveness, three other related classical algorithms are used for comparison, including EPLL [11],

NCSR [4] and PGPD [12]. Peak signal-to-noise ratio (PSNR) and structural similarity (SSIM) are two common image quality metrics. Since the PSNR does not take into account

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**FIGURE 4.** Noisy image comparison of image Monarch. (a) Initial image, (b) Noise image( $\sigma = 10$ ), (c)BM3D(PSNR=28.38dB, SSIM=0.8873), (d) HOSVD(PSNR=28.37dB, SSIM=0.8692), (e) EPLL(PSNR=28.5dB, SSIM=0.895), (f) NCSR(PSNR=28.46dB, SSIM=0.9088), (g) PGPD(PSNR=28.49dB, SSIM=0.8209), (h) Ours(PSNR=28.80dB, SSIM=0.8856).

# **Algorithm 1** Iterative Algorithm Based on HOSVD

Input: Noisy image *Y* ;

Output: Denoising image  $X^{(J)}$ ;

Initialization:  $Y^{(l)} = Y$ ;

Iteration *J*,  $k = 1, 2, ..., J$ ;

Step(1): similar block matching: in image  $Y^{(k)}$ , find the most similar *k* image block for each reference block *P ref* ;

Step(2): block standardization: use (4) to standardize each set of similar blocks;

Step(3): HOSVD decomposition:

 $(S_Q, U1, U2, U3) = HOSVD(Z_Q);$ 

Step(4): adaptive hard threshold shrinkage: the

coefficient shrinkage of  $S_Q$  is performed by equation (15);

Step(5): inverse transform to image space, using equation (18) weighted aggregate to get  $X^{(k)}$ ; Step(6): if *k* is less than *J*, use formula (19) to update  $Y^{(k+1)}$ , and continue to iterate, if *k* is equal to *J*, output  $X^{(J)}$ , the algorithm ends.

the visual characteristics of the human eye, it often occurs that the evaluation result is inconsistent with the subjective feeling of the person. SSIM measures image similarity from the aspects of brightness, contrast and structure, so it is better than PSNR in image denoising and image similarity evaluation. Tables 1 and 2 show the test results of the above six algorithms at 3 different noise levels 10, 20, 30. In each cell of the table, the above values are PSNR values, and the values below are SSIM values. Table 1 and Table 2 show that the new algorithm achieves better PSNR value than the basic two algorithms BM3D and HOSVD algorithms, and is slightly lower than EPLL, NCSR and PGPD in different noise levels. But SSIM is almost higher than all comparison methods.

For a comparison of the visual quality of images after denoising, the reader can see Fig. 2-Fig. 4. It can be seen from the Lake image in Fig. 3 that the forest obtained by the new method is more clear. From the Monarch image in Fig. 4, the new method is better for the texture details of the butterfly wings. Combine PSNR, SSIM value and subjective comparison and we can see that the proposed method can better preserve the details of the image, especially when the noise level is small or the image has more texture details, the denoising effect of the new method is more obvious.

For the above experimental examples, it can be seen that the proposed iterative algorithm has certain advantages for images with a resolution of 256\*256, and the running time cost is relatively low. In order to verify the practicability of the algorithm, we have carried out denoising operations on the high-resolution images (with a resolution of 512\*512) of

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 $(b)$ 

 $(c)$ 

 $(d)$ 





the above 10 images. Fig. 5 and Table 3 show the denoising results (Due to layout reason, only image Hill is selected for display). Among them, it can be seen from the visual effect of Fig. 5 that the proposed algorithm can achieve denoising of high-resolution images, and also obtains better results, retaining as much details as possible, such as windows, fences, and lines on the road and so on. Table 3 gives the PSNR and SSIM values for the denoising results of the Hill image at different noise levels. From Table 3, it can be seen that its PSNR and SSIM values are maintained at a high level. The effectiveness and practicability of the algorithm are illustrated. However, for the denoising of high-resolution images, the algorithm also has the same problems as other algorithms, namely,

# **TABLE 3.** PSNR and SSIM of denoised image Hill with different σ.



the time cost is greatly improved with the increase of resolution. In the next study, we will try to solve the problem of time cost.

# **V. CONCLUSION**

In this paper, we propose an iterative algorithm for adaptive hard threshold shrinkage of kernel tensor coefficients.

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It is based on the image denoising algorithms BM3D and HOSVD, and is combined with the properties of tensor high order singular value decomposition. The experimental results show that the denoising effect of this algorithm is comparable and outperforms BM3D and HOSVD algorithms to some extent. Under the two measurement standards of PSNR and SSIM, the new algorithm obtains higher measurement values. Compared with other classical algorithms, the proposed algorithm also has obvious advantages in most cases. In terms of visual comparison, it can also maintain better details than other methods after denosing.

If the 3-dimensional array formed by accumulation of similar blocks is regarded as a third order tensor, we can try other forms of tensor decomposition in the next step, such as CP decomposition [26], T-SVD decomposition [27] and so on, exploring appropriate coefficient shrinkage method, so as to achieve better denoising effect.

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