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# Image Noise Level Estimation for Rice Noise Based on Extended ELM Neural Network Training Algorithm

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**ABSTRACT** The estimation of image noise level is a critical task for image denoising or super-resolution reconstruction. Mathematical methods like patch-based or model-based methods, suffer from the sensitivity of the selection of homogeneous regions or the selection of a proper statistic model, leading to inaccurate estimation, especially in signal-dependent noise cases, such as Rice noise. Ordinary, fully connected networks often suffer from the over-fitting problem, restricting their usage for realistic images. This article proposes a deep-learning-based algorithm by building a deep neural network, and train it by using the evolutionary genetic algorithm and extreme learning machine (ELM) algorithm extended into Hinton's dropout framework. By combining the evolutionary genetic algorithm and the proposed extended ELM algorithm, comparative results are obtained, showing higher accuracy and better stability than several state-of-the-art algorithms.

**INDEX TERMS** Noise level estimation, deep learning, convolution neural network, extreme learning machine, dropout.

## I. INTRODUCTION

Image noise level estimation (NLE) is an important technique in computer image processing because of its huge importance in object detection [1], [2], denoising [3], [4], and super-resolution [5] and it has been studied for several decades [6], [7]. Normal single image denoising methods typically need pre-known arguments of the noise distribution model [8], [9], which has a huge impact on the performance of the denoising algorithm, so NLE becomes a key point. Typical NLE approaches proposed in recent years can be roughly divided into filter-based, patch-based and model-based.

As the earliest group of NLE approaches, filter-based techniques apply filters in transform domain or spatial domain, and then the noise level of a single image is obtained by exploiting the difference between filtered image and the original image.

For transform domain, Khmag Asem's work pointed out that undecimated wavelet-based image reconstruction for denoising methods may bring pseudo-Gibbs phenomena and it solved this issue by the cycle spinning technique [10].

Pyatykh *et al.* [11] presented principal component analysis (PCA) method for noise level estimation, in which the noise level was calculated by variance from covariance matrix of image block with least eigen value. In 2013, Liu and Lin [12] proposed a singular value decomposition (SVD) based algorithm, which reduced the signal impact on NLE problems by taking tail singular values of an image into account. C. Varon proposed a model selection based on distance distributions by using the incomplete Cholesky factorization to solve large-scale kernel principal components analysis problem (kPCA) [13].

For spatial domain, the method proposed in [14] used  $3 \times 3$  mean and median filters to obtain the image signal and subtracted it from the noisy image to estimate the noise variance from the local variances of the obtained map. The method mentioned in Rank's work [15] first suppressed the effects of the original image signal by filtering the noisy image using difference operators, then all local variances were obtained from the suppressed image and their histogram was computed, the noise variance was finally estimated by statistically evaluating the histogram of local variances.

In a similar procedure, the method proposed by Corner and his team [16] applied Laplacian and gradient masks to the noisy image to find image edges, then it subtracted the edge map from the filtered image and calculated local variances from the obtained image, as the final step, it took the maximum noise variance as the estimated one.

In patch-based methods, an image was divided into small blocks or patches and the noise variance are then evaluated from homogeneous blocks which contain the lowest variances. Hence, noise estimation performance depends on the input image and the noise level. In other words, these methods may overestimate low noise levels or underestimate the higher ones. To tackle this problem, recent patch-based methods apply principal component analysis (PCA) to the low-rank patches of images and image textures. Methods described in [17]–[22] follow this general idea: by selecting the patches which are considered to be homogeneous patches, signal influence on noise in these patches can be regarded as negligible, then noise distribution parameters can be estimated. For the case of additive white Gaussian noise (AWGN), the selection of homogeneous patches significantly affects the accuracy of noise variance estimation. Tai and Yang [21] tackled this issue by using Sobel filter to find structure edges separating homogeneous patches. However, this edge detection method doesn't work very well with fine-structures and actually sensitive to noise model and level. The method of [19] adaptively combines a filter-based approach with a patch-based scheme to update estimated noise variance

Model-based methods studied the statistical characteristics of data in the pixel domain or the transform domain of an image and described the image by an appropriate statistical model. In [23] and [24], the image data was first transformed into a low-dimensional representation by using subspace learning algorithms, the noise could then be effectively approximated and reduced in the projection space. Work described in [25] was based on the assumption that wavelet coefficients in the diagonal sub-band are dominated by noise, but the estimation results achieved by this approach were usually higher than ground-truths because coefficients in diagonal sub-band were dominated not only by noise but also by image details.

Although most work about noise level estimation target on Gaussian model, which is signal-independent, there is still an important case, Rice noise, which is a more realistic signal-dependent noise model in medical images, being studied for a few years. A work proposed by Lauwers Lieve trying to tackle estimation problem of Rice distribution using Bayesian approach [17]. In the same year, Maitra Ranjan and his team proposed a method to estimate the noise under the mixed model of Rice distributions with common noise parameter [20]. In 2013, Liu *et al.* [22] tried to solve NLE problem of SAR images, the model used in this paper was Rayleigh distribution, which is a specialized case of Rice noise. Peng and Zhao [26] extended their work into more complex Cauchy-Rayleigh mixture model using the

expectation-maximization(EM) algorithm. In the next year, Zanetti *et al.* [27] used a restricted version of EM to fit into NLE problem under the Rayleigh-Rice mixture noise model. With the model getting more and more complex, the mathematical analysis also gets more complex and it's much harder to deal with NLE problems. So another methodology comes into play, the machine learning methods, which learn from big samples of pre-given images and noise levels to estimate the noise level of unknown images.

With recent development in machine learning technology, lots of almost-not-solvable problems are now studied. As for NLE problems, many denoising techniques were performed by the artificial neural network, which could produce high-quality results with fast real-time operation [28]–[30]. In [28], the evolutionary algorithm was introduced into NLE problems with accurate and stable results. Work described in [31] introduced a multi-layer perceptron based noise level estimation method using SVD as its network's input, demonstrating the ability of neural networks to estimate noise. But this method could only be applied to Gaussian noise and it only used singular values as the network's input, which led to unacceptable information loss and poor performance for images with fine features. To tackle these issues, techniques described in [32]–[34] used convolution network and showed promising results, while they still suffered from the over-fitting problem. The dropout layer introduced into network structure was a simple but efficient tool used to reduce over-fitting but coming with drawbacks: 2-3 times longer training time [35], [36]. L. Wan proposed a generalized framework called "DropoutConnect" for large fully-connected neural network [37] Another algorithm used to avoid over-fitting is the ELM algorithm, which had shown its effectiveness and time-saving [38]–[40].

As talked above, mathematical analysis based method including patch and model-based NLE algorithms severely rely on the similarity between image patches or accurate statistical model of image noise. These conditions often can't be achieved in real life because real-life images are not always simple textures and image noise produced by real-life cameras don't exactly follow the assumed distribution. Machine learning based methods don't rely on strict mathematical analysis but on the training data, if the training data doesn't have too much diversity or of small-scale, it will suffer the over-fitting problem. In order to take advantage of not relying on specific noise model but reduce over-fitting, we build a deep neural network with both convolution and dropout layers(dropout layer resides between the output node and a fully-connected layer), which extract and process features from noisy images to get more accurate and stable results. The method we used for network training is also composed of two parts, the first part is evolutionary genetic algorithm, which is used to train convolution kernels of the convolution layer, the second part is the Extended-ELM algorithm, which is based on the basic ELM algorithm but can apply to the proposed network with dropout layer.

The remain part of this paper is organized as follows: Section II describes the noise model; The design of network's structure is introduced in Section III; The proposed training algorithm is presented in Section IV; Comparative experiments are done and results compared with other state-of-art methods are shown in Section V; We discuss the data we collect in Section VI; conclusions are given in Section VII.

### II. NOISE MODEL

For every pixel  $x$  in an  $M \times N$  image, luminance of this pixel  $z(x)$  can be considered as a random variable. Especially in magnetic resonance images, pixel data follow the Rice distribution,

$$z(x) \sim Rice(v, \sigma) \tag{1}$$

where  $x \in [0, M - 1] \times [0, N - 1] \subset Z^2$ . The probability density function(PDF) of Rice distribution can be written as

$$p(z) = \frac{z}{\sigma^2} e^{-\frac{z^2+v^2}{2\sigma^2}} I_0\left(\frac{zv}{\sigma^2}\right) \tag{2}$$

where  $v$  and  $\sigma$  are parameters of PDF and  $I_0 = \sum_{m=0}^{\infty} \frac{(x/2)^{2m}}{m! \Gamma(m+1)}$ .

Noticing that pixels don't follow the same distribution because the distribution argument varies with pixel location, which is, in fact, a function of the noiseless value of the given pixel.

The mean  $\mu$  and variance  $s$  of this random variable can be written as

$$\mu = \sigma \sqrt{\frac{\pi}{2}} L\left(-\frac{v^2}{2\sigma^2}\right) \tag{3}$$

$$s^2 = 2\sigma^2 + v^2 - \frac{\pi\sigma^2}{2} L^2\left(-\frac{v^2}{2\sigma^2}\right) \tag{4}$$

where  $L(x) = e^{\frac{x}{2}} [(1-x)I_0(-\frac{x}{2}) - xI_1(-\frac{x}{2})]$ .

As formula (3) and (4) show, the variance is dependent on noiseless data, which makes traditional PCA or SVD based methods hard to use. In this paper, we try to build a deep neural network to get the parameter  $\sigma$  from one single given image.

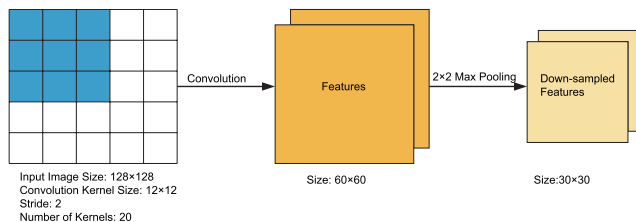


FIGURE 1. Convolution neural network structure.

### III. NEURAL NETWORK STRUCTURE DESIGN

The proposed network is composed of a convolution network followed by a fully-connected network with dropout layer. The convolution part is plotted in Fig 1, the fully-connected parted is plotted in Fig 2.

As shown in Fig 1, the input image is convoluted to extract features, the number and size of features we get depend on

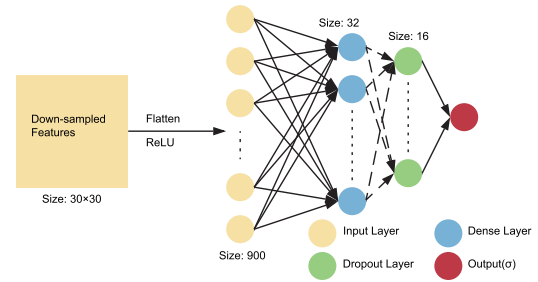


FIGURE 2. Fully-connected network with dropout.

the size of input images, number of kernels used, size of kernels and the stride, here we use 20 kernels of size  $12 \times 12$ , with stride equals to 2. Here we only use one convolution layer because more layers lead to more computation time but don't give much observable improvement. The pooled features are then flattened and then applied by ReLU as activation function:

$$ReLU(x) = \begin{cases} 0, & x < 0 \\ x, & x \geq 0 \end{cases} \tag{5}$$

Here we don't use the more common sigmoid function to avoid vanishing gradient problem during the training progress. The result is fed into the fully-connected network, as shown in Fig 2. Depending on the image size of the dataset used for training, the number of input neurons can vary. The input layer is fully connected to the dense layer shown in Fig 2 as blue color, this layer can be set to use any number of neurons, here we set it to 32 for  $128 \times 128$  images. The dense layer is followed by a dropout layer, in which each neuron only has a probability (less than 1) to connect to a neuron of the former dense layer. For  $128 \times 128$  images, we use 16 neurons for this layer. The detailed analysis of this dropout layer will be discussed in section IV.

### IV. TRAINING ALGORITHMS

#### A. EVOLUTIONARY GENETIC ALGORITHM

Consider a convolution neural network with  $H \times L$  input size with  $N$  number of  $k \times k$  kernels, then for each input image  $X_j \in R^{H \times L}$  the output of the convolution (and pooling) layer can be written as:

$$Y_j = N(X_j, K) = Flatten(X_j * K_1, X_j * K_2, \dots, X_j * K_N) \tag{6}$$

where  $K_i \in R^{k \times k} (i = 1, 2, \dots, N)$  are the kernels used for convolution. *Flatten* is a function that fatten two-dimension images into one-dimension vectors row by row.

Suppose we have  $M$  images as training input, then  $j = 1, 2, \dots, M$

The goal of the training algorithm is to minimize this function by the parameter  $K$ .

$$K = \min_K E(X, Y) = \min_K \sum_{j=1}^M (N(X_j, K) - Y_j)^2 \tag{7}$$

For the training of the convolution part of our network, we use the evolutionary genetic algorithm. Genetic algorithms simulate the process of biological evolution of chromosomes. Chromosomes here means possible values of the network parameter. As we are using this algorithm for convolution network, chromosome values represent the convolution kernel values used for the convolution operation on images. Firstly, randomly initialize  $C$  number of possible  $W$  (flattened all the kernel values as the chromosome) and set  $P_s, r$  as selection and mutation rate, which will be used in the algorithm, we train the kernels of the convolution layer by the following steps:

*Step 1:* Set  $iter$  to 0.

*Step 2:* For every possible  $W$ , calculate a fitness  $f_g$  for it using:

$$f_g = - \sum_{j=1}^M (N(\vec{X}_j, W) - \vec{y}_j)^2 \quad (8)$$

where  $\lambda$  is a positive argument for tweaking our algorithm.

*Step 3:* The selection process. Choose  $(1 - P_s) \times N_c$  chromosomes with the biggest fitness value, these chromosomes will be used as next generation without any change. For every two of the rest chromosomes  $\vec{z}$  and  $\vec{z}'$ , we do a crossover using:

$$\tilde{z}_i = a_i z_i + (1 - a_i) z'_i \quad (9)$$

$$\tilde{z}'_i = a_i z'_i + (1 - a_i) z_i \quad (10)$$

where  $a_i$  are random numbers in  $[0,1]$  (crossover rates are random).

*Step 4:* The mutation process. For each chromosome, elements of it will has a small probability to slightly change its value, that is  $\tilde{z}_i = z_i + 0.05 z_i (2r - 1)$ , where  $r$  is a random number in  $[0,1]$  and generated once for every element of each chromosome.

*Step 5:* increase  $iter$  by 1.

*Step 6:* If  $iter$  get to the maximum iteration count, then the chromosome with the greatest fitness value within current generation is used as the final argument of the fully-connected network, else we repeat from step2.

### B. ASSUMPTION OF ELM ALGORITHM

After the genetic approach mentioned above, ELM algorithms are used for training of the fully-connected part, dropout technology is used to overcome the slow-computation and trapped in local minima problem [13].

Let us denote by  $\{x_i, c_i\}_{i=1, \dots, N}$  a set of  $N$  vectors  $x_i \in \mathbb{R}^D$  and the corresponding class labels  $c_i \in \{1, \dots, C\}$  that can be used to train a single layer feed-forward neural network(SLFN) network consisting of  $D$  input (equal to the dimensionality of  $x_i$ ),  $L$  hidden and  $C$  output (equal to the number of classes involved in the classification problem) neurons. The number  $L$  of hidden layer neurons is much larger than  $C$ , i.e.,  $L \gg C$ . In ELM-based approaches, the network input weights  $W_{in} \in \mathbb{R}^{D \times L}$  and the hidden layer bias values  $b \in \mathbb{R}^L$  are randomly chosen, while the network

output weights  $W_{out} \in \mathbb{R}^{L \times C}$  are analytically calculated, as subsequently described.

Let us denote by  $v_j, w_k, w_{kj}$  the  $j$ -th column of  $W_{in}$ , the  $k$ -th row of  $W_{out}$  and the  $j$ -th element of  $w_k$ , respectively. Given an activation function  $f$  for the network hidden layer and using a linear activation function for the network output layer, the response  $o_i = [o_{i1}, \dots, o_{iC}]^T$  of the network corresponding to  $x_i$  is calculated by:

$$o_{ik} = \sum_{j=1}^L w_{kj} f(v_j, b_j, x_i) \quad (11)$$

By storing the network hidden layer outputs  $\phi_i \in \mathbb{R}^L$  corresponding to all the training vectors  $x_i, i = 1, \dots, N$  in a matrix  $\Phi = [\phi_1, \dots, \phi_N]$ , the network response for all the training data  $O \in \mathbb{R}^{C \times N}$  can be expressed in a matrix form as:

$$O = W_{out}^T \Phi \quad (12)$$

where

$$\Phi = \begin{bmatrix} f(v_1, b_1, x_1) & \cdots & f(v_1, b_1, x_N) \\ \vdots & \ddots & \vdots \\ f(v_L, b_L, x_1) & \cdots & f(v_L, b_L, x_N) \end{bmatrix}$$

### C. REGULARIZED EXTREME LEARNING MACHINE

A regularized version of the ELM algorithm(RELM) that allows small training errors and tries to minimize the norm of the network output weights  $W_{out}$  has been proposed in [41].

In RELM, the training problem is modeled as a constrained minimization problem of  $W_{out}$ :

$$W_{out} = \min_{W_{out}} \mathfrak{J}_{RELM} \quad (13)$$

where  $\mathfrak{J}_{RELM} = 0.5 \|W_{out}\|_F^2 + 0.5c \sum_{i=1}^N \|\xi_i\|_2^2$ .

Constrained by

$$W_{out}^T \phi_i = t_i - \xi_i, \quad i = 1, \dots, N, \quad (14)$$

where  $\xi_i \in \mathbb{R}^C$  is the error vector corresponding to  $x_i$  and  $c$  is a parameter denoting the importance of the training error in the optimization problem, satisfying  $c > 0$ .

By substituting (14) into (13), we can get this formula:

$$W_{out} = (\Phi \Phi^T + \frac{1}{c} I)^{-1} \Phi T^T \quad (15)$$

where  $I \in \mathbb{R}^{L \times L}$  is the identity matrix and  $T = [t_1, \dots, t_N]$  is the target matrix composed of target training vectors.

### D. DROPOUT-ELM ALGORITHM

Now we try to introduce Hinton's Dropout-ELM algorithm [35].

In Dropout-ELM, the training problem can be considered as a minimization problem, which is the  $W_{out}$  to satisfy the following minimize model:

$$\mathfrak{J}_1 = \{0.5tr(W_{out}^T S W_{out}) + 0.5c \sum_{i=1}^N \|\xi_i\|_2^2\} \quad (16)$$

where  $S \in \mathbb{R}^{L \times L}$  is a matrix describing the relationship of the training data that are subject to minimization. Here this matrix  $S$  describes the within-class scatter of the training data representation in the ELM space.

$$W_{out} = \min_{W_{out}} \mathfrak{J}_1 \quad (17)$$

constrained by:

$$W_{out}^T \phi_i = t_i - \xi_i, \quad i = 1, \dots, N, \quad (18)$$

$$W_{out}^T \phi_i = W_{out}^T \phi_{i,t}, \quad i = 1, \dots, N, \quad t = 1, \dots, N_T \quad (19)$$

where  $\phi_{i,t}$  expresses the hidden layer output for the training vector  $x_i$ , after applying Dropout for the training epoch  $t$ .

Let's set  $\tilde{\phi}_{i,t} = \phi_i - \phi_{i,t}$ , this constrain can be written as  $W_{out}^T (\phi_i - \phi_{i,t}) = 0$ , so we can directly calculate  $\tilde{\phi}_{i,t}$  by

$$\tilde{\phi}_{i,t} = \tilde{m}_{i,t} \circ \phi_i \quad (20)$$

where  $\tilde{m}_{i,t} \in \mathbb{R}^L$  is a binary mask vector with each element being equal to 1 with probability  $(1 - p)$  and equal to 0 with probability  $p$ .

By substituting (18) into  $\mathfrak{J}_1$  with respect to (19), we get

$$\begin{aligned} \mathfrak{J}_{1,D} = & 0.5tr(W_{out}^T S W_{out}) + 0.5c \|W_{out}^T \Phi - T\|_F^2 \\ & + \frac{\lambda}{2N_T} \sum_{t=1}^{N_T} \|W_{out}^T \tilde{\Phi}\|_F^2 \end{aligned} \quad (21)$$

where  $\tilde{\Phi}_t = [\tilde{\phi}_{1,t}, \dots, \tilde{\phi}_{N,t}]$  and  $\lambda$  is a parameter denoting the importance of the Dropout regularizer in the optimization problem, satisfying  $\lambda > 0$ . By deter the min point of  $\mathfrak{J}_{1,D}$  with respect to  $W_{out}$ , the network output weights are written as:

$$W_{out} = (\Phi \Phi^T + \frac{1}{c} S + \frac{\lambda}{c} R_1)^{-1} \Phi T^T \quad (22)$$

where  $R_1 = \frac{1}{N_T} \sum_{t=1}^{N_T} \tilde{\Phi}_t \tilde{\Phi}_t^T$ .

Consider the extreme case that training process has enough (e.g.  $N_T > 100$ ) steps, we consider  $N_T$  as  $N_T \rightarrow \infty$ , then  $R_1$  will converge to its expectation:

$$R_1 = E[\frac{1}{N_T} \sum_{t=1}^{N_T} \tilde{\Phi}_t \tilde{\Phi}_t^T] \quad (23)$$

Let  $p = [(1 - p), \dots, (1 - p)]^T \in \mathbb{R}^L$  denoting a vector having elements expressing the probability that the  $j$ -th element of  $\phi_i$  will not survive, then  $R_1$  can be written as:

$$R_1 = (\Phi \Phi^T) \circ P \quad (24)$$

where  $P = [(pp^T) \circ (11^T - I)] + [(p1^T) \circ I]$  and  $\mathbf{1} \in \mathbb{R}^L$  is the vector of 1. Noting that since  $R_1 \in \mathbb{R}^{L \times L}$ , overall computational complexity of  $O(L^3)$ . Finally, by combining (24) and (22)  $W_{out}$  can be given by following formula:

$$W_{out} = \left( \left[ \Phi \Phi^T \right] \circ \left[ I + \frac{\lambda}{c} P \right] + \frac{1}{c} S \right)^{-1} \Phi T^T \quad (25)$$

### E. PROPOSED EXTENDED-ELM ALGORITHM

The proposed training algorithm is called Extended-ELM, which is a more complex optimization problem. Compared to dropout-ELM, the expression  $\mathfrak{J}_2$  and  $W_{out}$  argument to be minimized here actually stay the same, but constriction is changed in Extended-ELM. Let's re-write them:

$$\mathfrak{J}_2 = \{0.5tr(W_{out}^T S W_{out}) + 0.5c \sum_{i=1}^N \|\xi_i\|_2^2\} \quad (26)$$

Our goal is to get the  $W_{out}$

$$W_{out} = \min_{W_{out}} \mathfrak{J}_2 \quad (27)$$

Our  $W_{out}$  is constrained by (28) and (29), notice that (29) is different from (19).

$$W_{out}^T \phi_i = t_i - \xi_i, \quad i = 1, \dots, N, \quad (28)$$

$$W_{out}^T \phi_i = (M_{i,t} \circ W_{out})^T \phi_i, \quad i = 1, \dots, N, \quad t = 1, \dots, N_T \quad (29)$$

Like we did in last section, we substitute (28) in  $\mathfrak{J}_2$  and taking the equivalent dual problem with respect to (29), we obtain:

$$\begin{aligned} \mathfrak{J}_{2,D} = & 0.5tr(W_{out}^T S W_{out}) + 0.5c \|W_{out} - T\|_F^2 \\ & + \frac{\lambda}{2N_T} \sum_{t=1}^{N_T} \sum_{i=1}^N N \|(M_{i,t} \circ W_{out})^T \phi_i\|_2^2 \end{aligned} \quad (30)$$

When we have enough training steps ( $N_T \rightarrow \infty$ ), we have

$$\frac{1}{N_T} \sum_{t=1}^{N_T} (M_{i,t} \circ W_{out})(M_{i,t} \circ W_{out})^T = (W_{out} W_{out}) \circ P \quad (31)$$

By (31), we have

$$\begin{aligned} \mathfrak{J}_{2,D} = & 0.5tr(W_{out}^T S W_{out}) + 0.5c \|W_{out} - T\|_F^2 \\ & + \frac{\lambda}{2} \sum_{t=1}^{N_T} tr(D_{\phi_i} P D_{\phi_i} W_{out} W_{out}^T) \end{aligned} \quad (32)$$

where  $D_{\phi_i} = \text{diag}(\phi_i)$ .

By determining the saddle point of  $\mathfrak{J}_{2,D}$  with respect to  $W_{out}$ , the network output weights are given by:

$$W_{out} = (\Phi \Phi^T + \frac{1}{c} S + \frac{\lambda}{c} R_2)^{-1} \Phi T^T \quad (33)$$

where  $R_2 = \sum_{i=1}^N tr(D_{\phi_i} P D_{\phi_i} W_{out})$ .

After we get the network output weights  $W_{out}$ , the response of a given input vector  $x_l \in \mathbb{R}^D$  is given by:

$$o_l = W_{out} \phi_l \quad (34)$$

where  $\phi_l$  is the hidden layer for  $x_l$ .



V. EXPERIMENT AND RESULT ANALYSIS

A. NETWORK TRAINING PROCESS

The proposed neural network is built and initialized randomly in MATLAB R2017b, learning process is conducted by parallel computing system using 4 cores of Intel Core i7-6700 and 8GB memory on Windows 7(No GPU is involved). Learning base rate is constantly set to 0.1 to reduce computational cost.

Due to the large scale of training dataset, images are not sent to the network as a whole big matrix but sent batch by batch. A batch is fed into the network to get rough parameters of network, after this, we use the roughly trained network to train the next batch to improve the arguments of the network, so that we can reduce the computation cost of the training process.

In order to enforce the relation between batches, we take 4 ~ 5% images from the previous batch from the previous batch into the current batch. The training process is composed of the genetic evolution algorithm for the convolution part and then the proposed Extended-ELM algorithm [35] for optimizing the arguments of our network.

B. DATASETS USED FOR TRAINING

The proposed method was trained using USPTex(surface textures, 128 × 128 pixel size) [42], STL-10(real-life object pictures, 96 × 96 pixel size) [43] and AVIRIS(huge-size aerial pictures, all images reshaped to 512 × 512 pixel size) [44] datasets and tested on them. Each of the datasets is composed of a larger training subset and a smaller testing subset. Training data are generated by adding Rice noise with random noise level( $\sigma = 5 \sim 30$ , continuously random) to every image of the training subset to form repeat-ability.

For USPTex dataset, it contains 191 textures, each ships with 12 varieties with different luminance and slightly different position offset (2292 images in total). We set 500 images aside as testing dataset for validation and do our training on the else.

For AVIRIS dataset, it contains many texture details and is very useful to test our NLE algorithm on application scenarios like pattern recognition or super-resolution reconstruction because of its relatively big size. It contains 10000 images, and we use 7500 of them for training and the rest for testing.

For STL-10 dataset, it's taken from real-life pictures and unlike commonly used CIFAR-10, large enough in size to show a more realistic and objective result of NLE methods. It comes with 8000 images in the training set and 5000 images in the testing set.

Some sample images from training datasets and their noisy version with different noise level are shown in Fig 3.

C. TRAINING CURVES AND VALIDATION TEST

Diagram of the training process of the training accuracy and loss function with iteration number is plotted in Fig 4 with orange dotted line representing accuracy and blue solid line representing the loss function.



FIGURE 3. Fully-connected network with dropout.

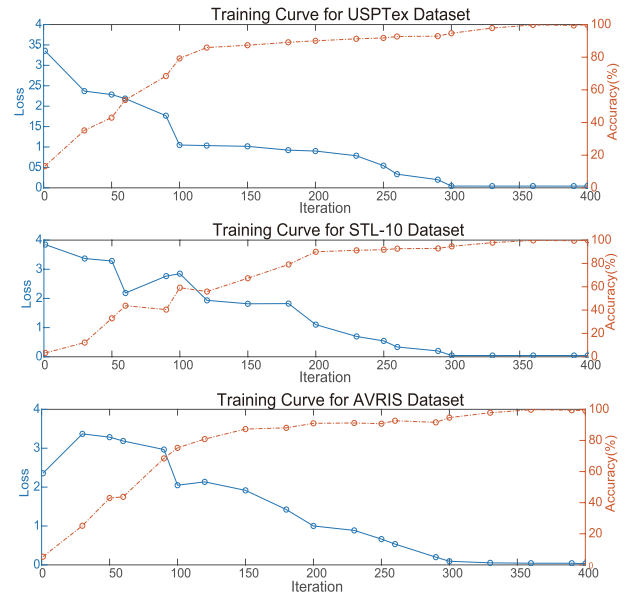


FIGURE 4. Diagram of network training process.



FIGURE 5. Example of feature extraction.

This cable car image is fed into the trained network as an example to show extracted features by different layers of our proposed neural network, as shown in Fig 5 below.

We did some comparative experiments with four patch-or model-based methods, which are LiuWei's image singular value based analysis method SVD [12], C.Varon's kernel principal component analysis method kPCA [13], Shin's adaptive Gaussian filtering based method AGF [19], Tai's adaptive edge detection approach AED [21], Aditya's LR algorithm [45] and Mostafa's DCT-DWT [46] method.

To verify the performance of those comparative methods and our proposed method, we use the performance metrics defined as following. Since we are solving a NLE problem, we add Rice noise with  $\sigma = 5 \sim 30$  to each image in the original dataset, each image in the dataset will be repeatedly used for  $r$  times, so we will have  $r$  different levels of noise for

the same image, which is meant to provide more reliability of our method. After doing this, we get  $W$  different images with different noise levels out of a dataset, then we can define the mean and standard deviation of the noise level errors as the following:

$$\mu_{err} = \frac{1}{W \times H} \sum_{w=1}^W \sum_{h=1}^H err(w, h), \quad (35)$$

$$\sigma_{err} = \sqrt{\frac{1}{W \times H} \sum_{w=1}^W \sum_{h=1}^H (err(w, h) - \mu_{err})^2}, \quad (36)$$

where  $err(w, h) = \sigma - \sigma_{est}$

The  $\mu_{err}$  and  $\sigma_{err}$  can be used to evaluate the accuracy and stability of a certain noise level estimation method. We also calculate  $\Psi_{err} = \sqrt{\mu_{err}^2 + \sigma_{err}^2}$  as the overall measurement of an NLE algorithm.

To see the performance of NLE algorithms more meticulously and accurately, we also compare peak signal-to-noise ratio (PSNR) error function based metrics between NLE algorithms, which are defined as:

$$\mu_{psnr} = \frac{1}{W \times H} \sum_{w=1}^W \sum_{h=1}^H psnr(w, h) \quad (37)$$

$$\sigma_{psnr} = \sqrt{\frac{1}{W \times H} \sum_{w=1}^W \sum_{h=1}^H (psnr(w, h) - \mu_{psnr})^2} \quad (38)$$

$$\Psi_{psnr} = \sqrt{\mu_{psnr}^2 + \sigma_{psnr}^2} \quad (39)$$

where  $psnr(w, h) = 10 \times \left( lg \frac{255^2}{\sigma^2} - lg \frac{255^2}{\sigma_{est}^2} \right)$ .

TABLE 1. Test result on USPTex dataset.

True noise level	Method	$\mu_{err}$	$\sigma_{err}$	$\Psi_{err}$	$\mu_{psnr}$	$\sigma_{psnr}$	$\Psi_{psnr}$
5	SVD [12]	0.389	0.564	0.685	0.669	0.851	1.082
	kPCA [13]	0.401	0.956	1.037	0.791	0.459	0.915
	AGF [19]	0.675	1.115	1.303	0.811	0.965	1.261
	AED [21]	0.953	0.496	1.074	1.311	1.290	1.839
	LR [45]	0.389	0.479	0.617	0.549	0.494	0.739
	DCT-DWT [46]	0.392	0.487	0.625	0.543	0.474	0.721
Proposed	<b>0.385</b>	<b>0.477</b>	<b>0.613</b>	<b>0.534</b>	<b>0.451</b>	<b>0.699</b>	
10	SVD [12]	0.322	0.521	0.612	0.510	0.774	0.927
	kPCA [13]	0.365	0.877	0.950	0.652	0.593	0.881
	AGF [19]	0.665	1.009	1.208	0.962	1.001	1.388
	AED [21]	1.012	0.503	1.130	0.896	1.035	1.369
	LR [45]	0.327	0.521	0.615	0.522	0.546	0.755
	DCT-DWT [46]	0.335	0.518	0.617	0.532	0.548	0.764
Proposed	<b>0.318</b>	<b>0.502</b>	<b>0.594</b>	0.521	<b>0.502</b>	<b>0.723</b>	
15	SVD [12]	0.295	0.522	0.600	0.312	0.638	0.710
	kPCA [13]	0.302	0.711	0.772	0.551	0.502	0.745
	AGF [19]	0.562	0.853	1.021	0.729	0.862	1.129
	AED [21]	0.853	0.512	0.995	0.775	0.891	1.181
	LR [45]	0.266	0.467	0.537	0.241	0.501	0.556
	DCT-DWT [46]	0.278	0.500	0.572	0.249	0.498	0.557
Proposed	<b>0.260</b>	<b>0.459</b>	<b>0.528</b>	<b>0.240</b>	<b>0.473</b>	<b>0.530</b>	
20	SVD [12]	0.121	0.432	0.449	0.298	0.594	0.665
	kPCA [13]	0.253	0.641	0.689	0.502	0.517	0.721
	AGF [19]	0.501	0.546	0.741	0.608	0.776	0.986
	AED [21]	0.631	0.455	0.778	0.276	0.469	0.544
	LR [45]	0.122	0.334	0.356	0.285	0.332	0.430
	DCT-DWT [46]	0.123	0.341	0.362	0.287	<b>0.312</b>	0.424
Proposed	<b>0.119</b>	<b>0.331</b>	<b>0.352</b>	<b>0.271</b>	0.318	<b>0.418</b>	
25	SVD [12]	0.375	0.395	0.545	0.113	0.445	0.459
	kPCA [13]	-0.205	0.524	0.563	-0.121	0.264	0.290
	AGF [19]	0.499	0.426	0.656	0.233	0.509	0.560
	AED [21]	-0.521	0.402	0.658	-0.113	0.268	0.291
	LR [45]	0.235	0.231	0.330	0.111	0.197	0.226
	DCT-DWT [46]	0.222	0.237	0.325	0.107	0.190	0.218
Proposed	<b>0.211</b>	<b>0.229</b>	<b>0.311</b>	<b>0.097</b>	<b>0.189</b>	<b>0.212</b>	
30	SVD [12]	-0.102	0.256	0.276	-0.149	0.202	0.251
	kPCA [13]	-0.111	0.442	0.456	-0.127	0.301	0.327
	AGF [19]	0.311	0.326	0.451	0.186	0.362	0.407
	AED [21]	0.679	0.369	0.773	0.179	0.145	0.230
	LR [45]	0.064	0.229	0.238	0.110	0.132	0.172
	DCT-DWT [46]	0.055	0.231	0.237	0.099	0.129	0.163
Proposed	<b>-0.054</b>	<b>0.217</b>	<b>0.224</b>	<b>-0.096</b>	<b>0.123</b>	<b>0.156</b>	

We record these performance metrics in Table 1-3. Bold font emphasis the best result among the tested methods.

TABLE 2. Test result on AVIRIS dataset.

True noise level	Method	$\mu_{err}$	$\sigma_{err}$	$\Psi_{err}$	$\mu_{psnr}$	$\sigma_{psnr}$	$\Psi_{psnr}$
5	SVD [12]	0.433	0.554	0.703	0.651	0.847	1.064
	kPCA [13]	0.393	0.948	1.026	0.785	0.442	0.903
	AGF [19]	0.665	1.124	1.306	0.822	0.971	1.272
	AED [21]	0.961	0.493	1.080	1.320	1.250	1.818
	LR [45]	0.402	0.587	0.711	0.658	0.594	0.886
	DCT-DWT [46]	0.382	0.500	0.629	0.745	0.553	0.928
Proposed	<b>0.279</b>	<b>0.492</b>	<b>0.621</b>	<b>0.647</b>	<b>0.459</b>	<b>0.793</b>	
10	SVD [12]	0.310	0.527	0.611	0.513	0.768	0.924
	kPCA [13]	0.359	0.881	0.951	0.649	0.604	0.887
	AGF [19]	0.661	0.995	1.195	0.969	1.003	1.395
	AED [21]	1.009	0.501	1.127	0.901	1.041	1.377
	LR [45]	0.403	0.567	0.696	0.533	0.511	0.738
	DCT-DWT [46]	0.328	0.517	0.612	0.528	0.509	0.733
Proposed	<b>0.303</b>	<b>0.505</b>	<b>0.589</b>	<b>0.519</b>	<b>0.497</b>	<b>0.719</b>	
15	SVD [12]	0.306	0.522	0.605	0.312	0.638	0.710
	kPCA [13]	0.304	0.716	0.778	0.561	0.512	0.760
	AGF [19]	0.571	0.851	1.025	0.736	0.858	1.130
	AED [21]	0.867	0.509	1.005	0.774	0.903	1.189
	LR [45]	0.299	0.477	0.563	0.266	0.473	0.543
	DCT-DWT [46]	0.284	0.500	0.575	0.261	0.489	0.554
Proposed	<b>0.276</b>	<b>0.466</b>	<b>0.542</b>	<b>0.253</b>	<b>0.471</b>	<b>0.535</b>	
20	SVD [12]	0.128	0.448	0.466	0.276	0.616	0.675
	kPCA [13]	0.249	0.682	0.726	0.486	0.508	0.703
	AGF [19]	0.513	0.555	0.756	0.612	0.781	0.992
	AED [21]	0.627	0.461	0.778	0.285	0.473	0.552
	LR [45]	0.126	0.401	0.420	0.293	0.356	0.461
	DCT-DWT [46]	0.127	0.358	0.380	0.287	0.349	0.452
Proposed	<b>0.122</b>	<b>0.346</b>	<b>0.367</b>	0.286	<b>0.337</b>	<b>0.442</b>	
25	SVD [12]	0.211	0.388	0.442	0.135	0.436	0.456
	kPCA [13]	-0.210	0.524	0.565	-0.125	0.267	0.295
	AGF [19]	-0.495	0.419	0.649	-0.240	0.503	0.557
	AED [21]	0.517	0.402	0.655	0.121	0.261	0.288
	LR [45]	0.210	0.256	0.331	0.094	0.199	0.220
	DCT-DWT [46]	0.213	0.249	0.328	0.087	0.197	0.215
Proposed	<b>0.208</b>	<b>0.247</b>	<b>0.323</b>	<b>0.084</b>	<b>0.194</b>	<b>0.211</b>	
30	SVD [12]	-0.109	0.274	0.295	-0.150	0.211	0.259
	kPCA [13]	0.114	0.455	0.469	0.131	0.302	0.329
	AGF [19]	-0.319	0.346	0.471	-0.196	0.357	0.407
	AED [21]	0.662	0.371	0.759	0.182	0.144	0.232
	LR [45]	-0.116	0.217	0.246	-0.132	0.148	0.198
	DCT-DWT [46]	0.112	0.211	0.239	0.112	0.154	0.190
Proposed	<b>-0.097</b>	<b>0.209</b>	<b>0.230</b>	<b>-0.108</b>	<b>0.143</b>	<b>0.179</b>	

TABLE 3. Test result on STL-10 dataset.

True noise level	Method	$\mu_{err}$	$\sigma_{err}$	$\Psi_{err}$	$\mu_{psnr}$	$\sigma_{psnr}$	$\Psi_{psnr}$
5	SVD [12]	0.390	0.561	0.683	0.677	0.847	1.084
	kPCA [13]	0.412	0.956	1.041	0.788	0.466	0.915
	AGF [19]	0.658	1.124	1.302	0.806	0.974	1.264
	AED [21]	0.968	0.496	1.088	1.291	1.284	1.821
	LR [45]	0.402	0.587	0.711	0.658	0.594	0.886
	DCT-DWT [46]	0.388	0.500	0.633	0.962	0.753	1.222
Proposed	<b>0.374</b>	<b>0.495</b>	<b>0.620</b>	<b>0.676</b>	<b>0.479</b>	<b>0.829</b>	
10	SVD [12]	0.376	0.519	0.641	0.514	0.786	0.939
	kPCA [13]	0.359	0.877	0.948	0.659	0.584	0.881
	AGF [19]	0.674	1.001	1.207	0.972	1.016	1.406
	AED [21]	1.009	0.489	1.121	0.886	1.048	1.372
	LR [45]	0.412	0.576	0.708	0.521	0.894	1.035
	DCT-DWT [46]	0.384	0.504	0.634	0.955	0.753	1.216
Proposed	<b>0.342</b>	<b>0.502</b>	<b>0.607</b>	<b>0.511</b>	<b>0.536</b>	<b>0.741</b>	
15	SVD [12]	0.287	0.534	0.606	0.325	0.647	0.724
	kPCA [13]	0.302	0.711	0.772	0.551	0.516	0.755
	AGF [19]	0.569	0.856	1.028	0.729	0.859	1.127
	AED [21]	0.841	0.509	0.983	0.769	0.879	1.168
	LR [45]	0.277	0.489	0.562	0.256	0.505	0.566
	DCT-DWT [46]	0.289	0.501	0.578	0.244	0.513	0.568
Proposed	<b>0.257</b>	<b>0.461</b>	<b>0.528</b>	<b>0.239</b>	<b>0.495</b>	<b>0.550</b>	
20	SVD [12]	0.135	0.432	0.453	0.284	0.583	0.648
	kPCA [13]	0.248	0.641	0.687	0.513	0.524	0.733
	AGF [19]	0.496	0.546	0.738	0.617	0.702	0.935
	AED [21]	0.762	0.455	0.888	0.296	0.451	0.539
	LR [45]	0.130	0.350	0.373	0.288	0.399	0.492
	DCT-DWT [46]	0.129	0.377	0.398	0.283	0.375	0.470
Proposed	<b>0.124</b>	<b>0.348</b>	<b>0.369</b>	<b>0.266</b>	<b>0.310</b>	<b>0.408</b>	
25	SVD [12]	0.351	0.397	0.530	0.128	0.432	0.451
	kPCA [13]	-0.211	0.536	0.576	-0.127	0.259	0.288
	AGF [19]	0.518	0.431	0.674	0.239	0.502	0.556
	AED [21]	-0.521	0.419	0.669	-0.115	0.254	0.279
	LR [45]	0.223	0.290	0.366	0.119	0.209	0.241
	DCT-DWT [46]	0.239	0.328	0.406	0.128	0.199	0.237
Proposed	<b>0.203</b>	<b>0.237</b>	<b>0.312</b>	<b>0.104</b>	<b>0.183</b>	<b>0.210</b>	
30	SVD [12]	-0.099	0.253	0.272	-0.151	0.215	0.263
	kPCA [13]	-0.118	0.432	0.448	-0.097	0.306	0.321
	AGF [19]	0.325	0.337	0.468	0.152	0.358	0.389
	AED [21]	0.681	0.371	0.776	0.167	0.141	0.219
	LR [45]	0.087	0.238	0.253	0.102	0.126	0.162
	DCT-DWT [46]	0.098	0.228	0.248	0.101	0.123	0.159
Proposed	<b>-0.056</b>	<b>0.224</b>	<b>0.231</b>	<b>-0.093</b>	<b>0.124</b>	<b>0.155</b>	

## VI. DISCUSSION

As we can see from the results above, the following conclusions can be summarized:

In terms of noise level estimation algorithm accuracy, which is measured by the  $\mu_{err}$  and  $\mu_{psnr}$  evaluation indicators, direct difference between real noise level and estimated value are used instead of absolute difference to show the difference between under-estimation and over-estimation, thus some data in the result tables is negative, indicating the under-estimation. We can see that average estimation errors

generally decrease along with the increase of noise level. Let's take STL-10 dataset as example, our proposed method successfully decrease the  $\mu_{err}$  by 3.7% ( $\sigma = 5$ ), 9.0% ( $\sigma = 10$ ), 10.4% ( $\sigma = 15$ ), 3.8% ( $\sigma = 20$ ), 3.8% ( $\sigma = 25$ ), 35.6% ( $\sigma = 30$ ) compared to the second-best method, and it also decrease  $\mu_{psnr}$  by 0.14% ( $\sigma = 5$ ), 0.58% ( $\sigma = 10$ ) 2.1% ( $\sigma = 15$ ), 9.6% ( $\sigma = 25$ ), 4.1% ( $\sigma = 30$ ) compared to the second-best method. We also notice that in AVIRIS and USPTex datasets, there're rare cases that the proposed method is not always the best, even by those cases, the proposed method still has the second-best result. For images with relatively high noise level, LR [45] and DCT-DWT [46] provide comparable second-best results while they almost always over-estimate.

Regarding the stability of NLE methods, which is measured mainly by the  $\sigma_{err}$  and  $\sigma_{psnr}$  metrics, the proposed method shows its advantage in almost all cases. As seen from Table 1, in the USPTex dataset, the proposed method decrease  $\sigma_{err}$  by 0.41% ( $\sigma = 5$ ), 1.8% ( $\sigma = 10$ ), 1.7% ( $\sigma = 15$ ), 0.90% ( $\sigma = 20$ ), 0.86% ( $\sigma = 25$ ), 5.2% ( $\sigma = 30$ ) compared to the second-best method. The  $\sigma_{psnr}$  shown in the same table also decrease by 1.7% ( $\sigma = 5$ ), 8.1% ( $\sigma = 10$ ), 0.41% ( $\sigma = 15$ ), 1.8% ( $\sigma = 20$ ), 0.53% ( $\sigma = 25$ ), 4.6% ( $\sigma = 30$ ) compared to the second-best method. This result shows the great stability of our proposed method, which is an important demanding in auto continuous image processing, which may need to deal with images with wide range of noise levels. Rare cases mainly appear in AVIRIS and STL-10 datasets at low noise level cases, however in which our proposed method is still offering competitive data.

The test data of AVIRIS dataset, included in Table 2,  $\Psi_{err}$  and  $\Psi_{psnr}$  are showing the overall general good quality of the proposed method. Our proposed method decrease  $\Psi_{err}$  by 1.3% ( $\sigma = 5$ ), 3.6% ( $\sigma = 10$ ), 3.7% ( $\sigma = 15$ ), 3.4% ( $\sigma = 20$ ), 1.5% ( $\sigma = 25$ ), 3.8% ( $\sigma = 30$ ), also  $\Psi_{psnr}$  by 10.5% ( $\sigma = 5$ ), 1.9% ( $\sigma = 10$ ), 1.5% ( $\sigma = 15$ ), 2.2% ( $\sigma = 20$ ), 1.8% ( $\sigma = 25$ ), 5.8% ( $\sigma = 30$ ) compared to the second-best method. The  $\Psi_{err}$  and  $\Psi_{psnr}$  also show the best result of the proposed method, even in relatively smaller image datasets like USPTex or STL-10, based on the size of images in AVIRIS dataset (larger than  $512 \times 512$ ), we conclude that the overall performance of the proposed method is superior in average for images of different sizes, and prove the proposed method's ability to deal with large size images from real-life.

## VII. CONCLUSION

In this paper, we proposed a network with both convolution and fully-connected layers. The artificial neural network proposed in this paper use convolution layer to extract features from the input image, after pooling, feature images are flattened as input to a fully-connected network (with dropout layer). We use the evolutionary genetic algorithm to train the kernels of our convolution network, and then proposed the Extended-ELM method. This proposed method is based on Hinton's Dropout-ELM [35], but build a more accurate minimization model, then solve this model by taking dropout

layer into account. The proposed neural network was tested by three datasets (USPTex, AVIRIS and STL-10), the training process is plotted with the accuracy and loss function with training steps. Experimental result is compared with several recent works, showing that the proposed artificial neural network has the ability of image noise level estimation with Rice noise of wide noise level range. Compared to patch- or model-based methods, our comparative result has shown the proposed neural network structure and proposed training algorithm have higher estimation accuracy, stability with both low and high noise level, and good overall quality.

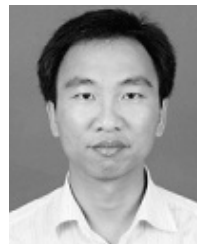
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