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# Multi-Scale Feature Based Land Cover Change Detection in Mountainous Terrain Using Multi-Temporal and Multi-Sensor Remote Sensing Images

# FEI SONG<sup>(1,2,3)</sup>, ZHUOQIAN YANG<sup>(2)4</sup>, XUEYAN GAO<sup>1,2,3</sup>, TINGTING DAN<sup>1,2,3</sup>, YANG YANG<sup>(1,2,3)</sup>, WANJING ZHAO<sup>1,2,3</sup>, AND RUI YU<sup>1,2,3</sup>

<sup>1</sup>School of Information Science and Technology, Yunnan Normal University, Kunming 650500, China

<sup>2</sup>Engineering Research Center of GIS Technology in Western China, Ministry of Education of the People's Republic of China, Kunming 650500, China <sup>3</sup>Laboratory of Pattern Recognition and Artificial Intelligence, School of Information Science, Yunnan Normal University, Kunming 650500, China

<sup>4</sup>College of Software, Beihang University, Beijing 100083, China

Corresponding author: Yang Yang (yyang\_ynu@163.com)

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**ABSTRACT** Land use and land cover (LULC) change is frequent in mountainous terrain of southern China. Although remote sensing technology has become an important tool for gathering and monitoring LULC dynamics, image pairs can occur scale changes, noises, geometrical distortions, and illuminated variations if these are acquired from different types of sensors (e.g., satellites). Meanwhile, how to design an efficient land cover change detection algorithm that ensures a high detection rate remains a critical and challenging step. To address these problems, we propose a robust multi-temporal change detection framework for land cover change in mountainous terrain which contains the following contributions. i) To transform multi-temporal remote sensing image pairs acquired by different type of sensors into the same coordinate system by image registration, a multi-scale feature description is generated using layers formed via a pretrained VGG network. ii) A gradually increasing selection of inliers is defined for improving the robustness of feature points registration, and  $L_2$ -minimizing estimate ( $L_2E$ )-based energy optimization is formulated to calculate a reasonable position in a reproducing kernel Hilbert space. iii) Fuzzy C-Means classifier is adopted to generate a similarity matrix between image pair of geometric correction, and a robust and contractive change map is built through feature similarity analysis. Extensive experiments on multi-temporal image pairs taken by different type of satellites (e.g., Chinese GF and Landsat) or small unmanned aerial vehicles are conducted. Experimental results show that our method provides better performances in most cases after comparing with the five state-of-the-art image registration methods and the four state-of-the-art change detection methods.

**INDEX TERMS** LULC change, multi-scale feature description, inliers, L<sub>2</sub>E, fuzzy C-Means classifier.

#### I. INTRODUCTION

Under the special natural conditions (e.g., overcast and foggy) and fragile ecological environment in mountainous terrain of southern China, land use and land cover (LULC) change have occurred frequently. In addition, land covered composition and its change serve as a crucial role as agricultural production, food security and sustainable development in mountainous terrain. Therefore, accurate and up-to-date information on land cover and its dynamic change are increasingly necessary at different spatial and temporal scales.

In recent years, many change detection methods [1]–[10], [13]–[15], [20], [26] have been developed to derive land cover change information from remote sensing image, such as, principal component analysis and k-means (PCA\_Kmeans) clustering based change detection method [14], spectral variance and slow feature analysis (SSFA) based change detection method algorithm [15], local estimation and global search based deep network (LEGS) [4], and semi-supervised fuzzy C-means (Semi\_FCM) clustering based change detection method [5]. However, most of these methods only focus

on the remote sensing image acquired by satellite sensors (e.g., Landsat, MODIS and SPOT-VGT), and the relatively low spatial resolution limited the identification of them due to the small size and scattered distribution of land cover in mountainous terrain. Compared with the above-mentioned methods, Wei et al. [9] and Milas et al. [11] capture more land distribution details than satellite remote sensing images by small unmanned aerial vehicle (UAV) with a small digital camera. There has to exist visual difference in camera viewpoints, although they were captured from a same location and were matched using GPS data. Deep networks are robust (i.e., invariant) to differences in viewpoints and illumination condition, and nevertheless are sensitive to highly-abstract, semantic differences of images. Specifically, recently popular convolutional neural networks (CNNs) are particularly well suited this task, Li and Yu [12] supposed a high-quality visual saliency model can be learned from multi-scale features extracted using CNNs.

Moreover, some factors cause that these image pairs acquired cannot apply directly to identify regions of change since scale changes, noises, geometrical distortions, and discontinuous rotated images with illuminated variations may also be produced in such multi-temporal images. These factors are as follows: (i) when satellite revolves around its orbit, image acquired can have geometrical distortions due to the modeling inaccuracy of the sensor geometry, and the jitter of the instruments platform during image acquisition. (ii) when collecting multi-temporal images for the same location, the imaging perspective of small UAVs is often easily affected by wind speed/direction, complex terrain, aircraft posture (pitch, roll, yaw), flying height and other human factors. In order to effectively improve the matching degree between the image and the actual terrain, the preprocessing of these image pairs is an essential step, i.e., image registration method can align these image pairs of the same scene taken from different viewpoints, from different times or with different sensors. However, most of the current registration methods are only suitable for a type of sensor, and are not sensitive enough to multi-temporal image pairs. Therefore, our goal focus on multi-temporal remote sensing image pairs acquired by different type of sensors, and transforms them into the same coordinate system.

Numerous algorithms [21], [24], [25], [27]-[31] for different registration scenarios have been presented in the last few decades. The coherent point drift (CPD) algorithm for both rigid and non-rigid point set registration [21] treated one point set as centroids of a Gaussian mixture model, and then fitted it to the other. It applied a fast Gaussian transform [22] and low-rank matrix [23] approximation techniques to reduce a large computational burden. Recently, in order to estimate correspondence relationship between two images, GLMDTPS [24] proposed a global and local mixture distance. PRGLS [25] used the point registration as the estimation of a mixture of densities to preserve both global and local structures during matching. More recently, Zhang et al. [28], [29] introduced an effective method that maintains a high matching ratio on inliers while taking advantage of outliers for varying the warping grids.

In this paper, we present a robust set of change detection framework for monitoring land cover change in mountainous terrain with multi-temporal remote sensing images. In the preprocessing stage of change detection, a multi-scale feature based image registration method is proposed to align image pairs acquired by different type of sensors. Compared with the current methods, the major contributions of our work include: (i) multi-scale feature descriptor (MFD) constructed by CNN-based feature descriptor (CFD) and shape context (SC). CFD is generated by layers formed a pretrained VGG network; (ii) to estimate correspondence and transformations, a gradually increasing selection of inliers is realized instead of using a stationary distinction of inliers and outliers. At the early stage of registration, the rough transformation is quickly determined by the most reliable feature points. After which the registration details are optimized by increasing the number of feature points. Then,  $L_2$ -minimizing estimate  $(L_2 E)$  based energy optimization is formulated to calculate a reasonable position in a reproducing kernel Hilbert space; (iii) fuzzy C-Means classifier is adopted to generate a similarity matrix between transformed image pairs.

The rest of the paper is organized as follows. Section II introduces a novel deep learning based framework, which is infused with the CNN feature and the deep neural network (DNN), to detect land cover change in mountainous terrain. Section III demonstrates our experiments; and Section IV draws conclusions.

#### **II. METHODOLOGY**

In this section, we first give the details of three contributions

- multi-scale feature description;
- dynamic inlier selection;
- fuzzy C-means classifier based pre-classification.

Second, we give the details of the proposed land cover change detection framework. Figure 1 shows the framework of the proposed method. Finally, our algorithm and parameter settings are discussed in the latter part of this section.

Let us consider a image pair  $I_{t_1}$  and  $I_{t_2}$ , acquired over the same geographical area at two different time  $t_1$  and  $t_2$ . The feature point sets **A** and **B** first are extracted from  $I_{t_1}$  and  $I_{t_2}$ respectively. Next the transformed image  $I_t$  is obtained by our registration algorithm. Note that  $I'_{t_1}$  and  $I'_{t_2}$  is obtained by equal split of  $I_t$  and  $I_{t_2}$  according to a certain ratio. Finally, we input  $I'_{t_1}$  and  $I'_{t_2}$  into the model as change detection, and a change detection map  $S_{map}$  will be generated.

- Throughout the paper we use the following notations:  $\mathbf{A}_{N \times D} = \{a_1, ..., a_N\}^T$ ,  $\mathbf{B}_{M \times D} = \{b_1, ..., b_M\}^T$  feature point sets are extracted from a image pair  $I_{t_1}$  and  $I_{t_2}$ , respectively. D denote the dimension of feature point sets, and D = 2.
- $\tau$  the transformation function.
- **B**\* transformed locations of source point set **B**.
- $I_t$  the transformed image.
- $I'_{t_1}, I'_{t_2}$  obtained by equal split of  $I_t$  and  $I_{t_2}$ .
- S<sub>map</sub> a change detection map.



FIGURE 1. Flowchart of the proposed land cover change detection framework, consisting of three main phases: (1) a multi-scale feature description, (2) an effective registration processing, and (3) an effective change detection processing. Note that correct feature point matches are denoted by yellow lines, incorrect ones are denoted by red lines.

#### A. MULTI-SCALE FEATURE DESCRIPTOR

The mountainous terrain is the major geomorphic structure in the south of China, and have special natural conditions (e.g., overcast and foggy), a fragile ecological environment. Therefore, it is sometimes hard to perform precise image registration since images acquired by different type of sensors can aggravate the non-rigid geometric distortions of images. We will attempt the features extracted by the convolutional neural networks (CNNs) to improve the feature expression.

#### 1) CNN-BASED FEATURE DESCRIPTOR (CFD).

CFD is constructed by one of the state-of-the-art CNN which used the VGG-16 architecture and pre-trained on ImageNet

dataset for image classification [32]. VGG-16 has sixteen layers (as shown Figure 2) including 5 blocks of convolution computation, each with 2-3 convolution layers and a max-pooling layer at the end of each block, from which we select one of its pool3, pool4 and pool5\_1 layers. We lay a 28 × 28 grid over the input image dividing our patches, each corresponding to a 256 – *d* vector in the pool3 output, a descriptor is generated in every 8 × 8 square. The center of each patch is regarded as a feature point. The 256 – *d* vector is defined as the pool3 feature descriptor. The pool3 layer output directly forms our pool3 feature map  $f_1$ , which is of size 28 × 28 × 256. The pool4 layer output, which is of size  $14 \times 14 \times 512$ , is handled slightly differently. In every  $16 \times 16$ area we obtain a pool4 descriptor, and pool4 feature map  $f_2$ 



FIGURE 2. Architecture of modified VGG-16 network. *h* and *w* denote the height and width of the input image, respectively. Since we only use convolution layers to extract features, the input image will not adjust the size to keep the feature of the original image as long as *h* and *w* are multiples of 32.

is written as:

$$f_2 = \mathbf{O}_{\mathbf{p4}} \bigotimes \mathbf{I}_{\mathbf{2} \times \mathbf{2} \times \mathbf{1}} \tag{1}$$

where  $\bigotimes$  denotes Kronecker product.  $I_{2\times 2\times 1}$  presents a tensor of subscripted shape and filled with 1s. Note that  $f_2$  is shared by 4 feature points.

The pool 5\_1 layer output is of size  $7 \times 7 \times 512$ , and pool5\_1 feature map  $f_3$  takes the form:

$$f_3 = \mathbf{O}_{\mathbf{p5}\_1} \bigotimes \mathbf{I}_{\mathbf{4} \times \mathbf{4} \times \mathbf{1}}$$
(2)

Similarly, every pool5\_1 descriptor is shared by 16 feature points. The distribution of feature descriptors is shown is Figure 3. After producing  $f_1$ ,  $f_2$  and  $f_3$ , the feature maps are



**FIGURE 3.** Distribution of feature descriptor. It is shown in a  $32 \times 32$  squared region. Green dots represent pool3 descriptors, generated in a  $8 \times 8$  squared region. Blue dots represent pool4 descriptors, each shared by 4 feature points. The cyan dot represent a pool5\_1 descriptor, shared by 16 feature points.

normalized to unit variance using

$$f_k = \frac{f_k}{\sigma(f_k)}, \quad k = 1, 2, 3$$
 (3)

where  $\sigma(.)$  calculates the standard deviation of elements in a matrix. Therefore, the pool3, pool4 and pool5\_1 descriptors of point sets **A** and **B** are represented by  $F_1(a)$ ,  $F_2(a)$  and  $F_3(a)$ ,  $F_1(b)$ ,  $F_2(b)$  and  $F_3(b)$  respectively.

CFD is used to measure the feature distance of between two feature point sets **A** and **B**. CFD is a weighted sum of three distance values, and is written as:

$$F_{cfd} = \sqrt{2F_1(a,b) + F_2(a,b) + F_3(a,b)}$$
(4)

where each component distance value  $F_k(a, b)$  is the Euclidean distance between the respective feature descriptors

$$F_k(a, b) = Euclidean - disance(F_k(a), F_k(b))$$
(5)

The distance computed with pool3 descriptors  $F_1(a, b)$  is compensated with a weight  $\sqrt{2}$  because  $F_1$  is 256-d whereas  $F_2$  and  $F_3$  are 512-d.  $C_{cfd}$  is a cost matrix of CFD, and the matrix form is as follows

$$C_{cfd}^{\theta}(m,n) = \begin{cases} \frac{F(b_m, a_n)}{F_{\theta}^{max}} & c_1, \\ 1 & \text{otherwise} \end{cases}$$
(6)

where  $c_1$  denotes a valid match of  $b_m$  and  $a_n$  under threshold  $\theta$ ,  $F^{max}$  is the maximum distance of all matched feature point pairs under threshold  $\theta$ .

#### 2) SHAPE CONTEXT (SC).

The SC [33]–[35] could play such a role in shape matching. Consider a center point  $a_n$  on the first shape and a center point  $b_m$  on the second shape. Firstly, the SC constructs a polar coordinate system (see Figure 4). Then, we compute a histogram  $h_n^a$  or  $h_m^b$  of the relative coordinates of the remaining n - 1 or m - 1 points for  $a_n$  or  $b_m$  on



**FIGURE 4.** Shape context (SC) computation and matching. Left of (a) and (b): diagrams of log-polar histogram bins centered at  $a_n$  and  $b_m$  used in computing the shape contexts. We use 5 bins for log(r) and 12 bins for  $\theta$ . Right of (a) and (b): each shape context e.g.,  $h_m^b$  or  $h_n^a$  is a log-polar histogram of the coordinates of the rest of the point set measured using the centered point as the origin.

the shape respectively.  $C_{sc}(m, n)$  denotes the cost of matching these two point sets, and is measured using Chi-square distribution as:

$$C_{sc}(m,n) = \frac{1}{2} \sum_{x=1}^{X} \frac{[h_m^b(x) - h_n^a(x)]^2}{h_m^b(x) + h_n^a(x)}$$
(7)

where  $h_m^b(x)$  and  $h_n^a(x)$  are two  $1 \times X$  sets, and denote the number of points within each bin surrounding  $b_m$  and  $a_n$ , respectively.

#### 3) MIXTURE FEATURE DESCRIPTOR (MFD).

We first compute a integrated cost matrix  $C_{mfd}$  using a element-wise Hadamard product (denoted by  $\bigcirc$ ), and is written as:

$$C_{mfd} = C_{cfd}^{\theta} \bigodot C_{sc} \tag{8}$$

where  $C_{cfd}^{\theta}$  and  $C_{sc}^{\theta}$  are value in [0, 1]. Then, we apply Jonker-Volgenant algorithm [37] to solve the linear assignment on cost matrix  $C_{mfd}$ . Assigned point pairs are regarded as putatively corresponding.

#### **B. DYNAMIC INLIER SELECTION**

Our feature points are acquired at the center of square shaped image patches. Due to reasons of large rotation angles and deformation, corresponding feature points may have their image patches overlapping partly or completely. Thus, to improve the effect of the registration, feature points with large overlapping ratios should have a better degree of alignment, where as partly overlapping patches should have a small distance between their centers. Therefore, the degree of alignment is determined using our dynamic inlier selection.

In point set registration, there are several ways to estimate the parameters of the mixture model, such as the EM algorithm, gradient descent and variational inference. Our point set registration mainly contains the following two steps: (i) correspondence estimation, the corresponding target point set  $\mathbf{A}_{\psi}$  is estimated between **B** and **A**; (ii) transformation Updating, the transformation function  $\tau$  is established to update the position of  $\tau(\mathbf{B})$  constantly, until  $\tau(\mathbf{B})$  and  $\mathbf{A}_{\psi}$  can overlap as much as possible. Note that  $\tau(\mathbf{B})$  (initial  $\tau(\mathbf{B}) = \mathbf{B}$ ) indicates the transformed set **B** in each iteration. Therefore, the inliers of selection are assigned in every k iteration to iteratively address **B**. Note that these inliers guide for bundle adjustment of point locations whereas outliers are moved coherently. At the feature prematching stage, a low threshold  $\theta_0$  is applied to filter out irrelevant points and select coarsely a large number of feature points. Then, a large starting threshold  $\tilde{\theta}$  is adopted to select confident inliers satisfy. In the rest of registration process, threshold  $\theta$  is subtracted by a step-length  $\iota$  in every k iterations, allowing a few more feature points with high similarity to affect the estimating correspondence and transformation. Such technology enables feature points with high similarity to complete the overall transformation while other feature points optimize registration accuracy.

#### C. PRE-CLASSIFICATION

The pre-classification step chooses the pixels that are best suited to train the deep neural network. The Fuzzy C-Means (FCM) is a popular image segmentation technique that segments an image by discovering cluster centers. Suppose  $a'_{ij}$  and  $b'_{ij}$  denote gray levels of the image pixels at the corresponding positions (i, j) in  $I'_{t_1}$  and  $I'_{t_2}$ , respectively. We use FCM classifier to provide jointly classify for the two input images, and a similarity matrix  $s'_{ij}$  is established.

$$s'_{ij} = \frac{|a'_{ij} - b'_{ij}|}{a'_{ij} + b'_{ij}}$$
(9)

where  $0 \le s'_{ij} \le 1$ . Then, a global threshold value of similarity *T* will be applied to  $s'_{ij}$  by the iterative threshold method. Iterate over all  $a'_{ij}$  and  $b'_{ij}$ , if  $s'_{ij} > T$ , then jointly label  $a'_{ij}$  and  $b'_{ij}$  by FCM based on the principle of minimum variance  $\delta^2_{ij}$ . Otherwise label  $a_{ij}$  and  $b_{ij}$  separately.  $\delta^2_{ij}$  is written as:

$$\delta_{ij}^2 = a'_{ij} \frac{a'_{ij}b'_{ij}}{a'_{ij} + b'_{ij}} [s'_{ij}]^2 \tag{10}$$

The gray-level of each pixel in the same position of the corresponding two original images are compared to label the pixels. The label of a pixel and its surrounding neighborhood can be used to determine if a pixel is either part of an edge or noise. The results are then passed to the neural network for training.

#### **D. MAIN PROCESS**

#### 1) IMAGE REGISTRATION

To effectively eliminate the geometric error and improve the matching degree between the image and the actual terrain, image registration is an essential step in the preprocessing of remote sensing image including two processes: feature point set registration and image transformation. Firstly, we carry out feature point set registration.

• Correspondence Estimation. Gaussian mixture model (GMM) has been proven the popular model in computer vision and pattern recognition. Thus, the set **B** are used as GMM centroids, and the set **A** as the data points

$$p(a_n|b_m) = -\frac{1}{2\pi\sigma^2} \exp \frac{1}{2\sigma^2} ||a_n - b_m||^2 \quad (11)$$

Then, the outlier and noise distribution is supposed an additional uniform distribution  $p(a|M + 1) = \frac{1}{N}$ , which is added to the mixture model. Thus, the mixture model takes the form

$$p(a_n) = (1 - \varepsilon) \sum_{n=1}^{N} \log \sum_{m=1}^{M} p(m) p(a_n | b_m) + \varepsilon \frac{1}{N}$$
(12)

where  $p(m) = \frac{1}{M}$  denotes the mixed weight that are nonnegativity and sum-to-one. We use equal isotropic covariances  $\sigma^2$  and equal membership probabilities p(m)for all GMM components (m = 1, ..., M).  $\varepsilon$  denotes the weight of the uniform distribution, with  $0 \le \varepsilon \le 1$ . We compute the revised parameter as:

$$\varepsilon = 1 - \frac{\sum_{n=1}^{N} \sum_{m=1}^{M} p(m|a_n)}{N}$$
 (13)

Subsequently, inlier selection calculate a  $m \times n$  prior probability matrix  $p_{mn}$  which is then taken by our Gaussian mixture model (GMM) based transformation solver.

$$p_{mn} = \begin{cases} 1 & \text{if } b_m \text{ and } a_n \text{ are corresponding,} \\ \frac{1-\upsilon}{N} & \text{otherwise} \end{cases}$$
(14)

where  $v \in (0, 1)$  should be designated according to our confidence of the inlier selection to be accurate. Prior probability matrix requires normalization:

$$p_{mn} := \frac{p_{mn}}{\sum_{k=1}^{N} p_{mk}} \tag{15}$$

By the equation (15), the  $M \times N$  posterior probability matrix is obtained, which is used as the fuzzy correspondence matrix **P** between  $I_s$  and  $I_r$ . Then, the corresponding target point set is obtained by

$$\mathbf{A}_{\psi} = \mathbf{P}\mathbf{A} \tag{16}$$

Though the target coordinate  $A_{\psi}$  is estimated by GMM, the method will inescapable produce mismatching.

• Transformation Updating. Firstly, a positive definite kernel (e.g., Gaussian kernel) is chosen; and a reproducing kernel hilbert space (RKHS) [38], [39]  $\mathcal{H}$  is defined. Then, we employ the Gaussian Radial Basis Function (GRBF), which is in the form  $\mathcal{G}(b_i, b_j) = \exp(-\frac{|b_i-b_j|^2}{\beta^2})$ , where  $\beta$  is a constant to control the spatial smoothness and  $\mathcal{G}$  is of size  $m \times m$ . According to the representation theorem, a displacement function  $\nu(\mathbf{B})$  takes the form

$$\tau(\mathbf{B}) = \sum_{m=1}^{M} \mathcal{G}(b, b_m) \psi$$
(17)



FIGURE 5. Left: Vectorization of neighborhood features to be fed into the network. Right: The structure of an RBM, consisting of two layers, one visible (v) and one hidden (h), with no connections within a layer. Hidden nodes are indicated by blue filled circles and the visible nodes indicated by unfilled circles.

where  $\psi = (\psi_1, \psi_2, ..., \psi_m)^T$  is a  $D \times 1$  coefficient matrix. Therefore, the minimization over energy equation in  $\mathcal{H}$  boils down to finding a finite coefficients matrix  $\psi$ . transformation function  $\nu(\mathbf{B})$  is equivalent to the initial position plus a displacement function  $\tau(\mathbf{B})$ , i.e.,  $\nu(\mathbf{B}) = \mathbf{B} + \tau(\mathbf{B})$ .

Though the reliable target coordinate  $\mathbf{A}_{\psi}$  is estimated by GMM, the method will inescapable produce mismatching. Therefore, our next concern draws on formulating a function, by which a reasonable position  $\tau(b_m)$  of  $b_m$  is determined. This position in turn improves the accuracy of the correspondence estimation as subsequent iterations interlock. Since the error of  $L_2$ -minimizing estimator is less than the error of maximum likelihood estimation (MLE),  $L_2$  Euclidean an distance is widely used in multiple applications, and many registration can be well formulated by minimizing the  $L_2$  Euclidean distance between two point sets. Therefore, we employ the  $L_2E$  [39] based energy function to estimate the transformation function  $\tau$ , which is written as

$$E(\psi, \sigma^2) = \frac{1}{2^D (\pi \sigma)^{\frac{D}{2}}} - \bar{p} + \lambda \parallel \tau \parallel^2_{\mathbf{G}}$$
(18)

where  $\bar{p} = \frac{2}{m} \sum_{m=1}^{M} \frac{1}{(2\pi\sigma^2)^{\frac{D}{2}}} \exp\left(-\frac{\|\mathbf{A}_{\psi} - \mathbf{U}_{m,\cdot}\psi\|^2}{2\sigma^2}\right),$  $\mathbf{U}_{ij} = \mathcal{G}(b_i, b_j), \mathbf{U}_{m,\cdot}$  denotes the  $m^{th}$  row of matrix  $\mathbf{U}$ ,

 $\mathbf{U}_{ij} = \mathcal{G}(b_i, b_j), \mathbf{U}_{m, \cdot}$  denotes the  $m^{th}$  row of matrix  $\mathbf{U}$ ,  $\psi_i$  denotes the  $i^{th}$  row of the coefficient matrix  $\psi_{h \times D}$ . Next, we can directly take the partial derivatives of equation (18) with respect to coefficients matrix  $\psi$ , By setting them to zero, and solve the resulting linear system of equations. As follows:

$$\frac{\partial E}{\partial \psi} = \mathbf{U}^T \left( \frac{2\Phi \odot (\mathbf{H} \otimes \mathbf{I})}{n\sigma^2 (2\pi\sigma^2)^{\frac{D}{2}}} \right) + 2\lambda \mathcal{G}\psi \qquad (19)$$

where  $\Phi = \mathbf{U}\psi - \mathbf{A}_{\psi}$ ,  $\mathbf{H} = \exp\{diag(\Phi\Phi^T)/2\sigma^2\}$  is a  $M \times 1$  vector,  $diag(\cdot)$  denotes the diagonal of a matrix, **1** is a  $1 \times D$  row vector of all ones. Symbols  $\odot$  and  $\otimes$  denote the Hadamard product and Kronecker product, respectively.

After updating the coordinates of the source point set by  $\mathbf{B} = \mathbf{B} + \mathbf{U}\psi$ , we anneal the covariances of the GMM by  $\sigma^2 = \rho\sigma^2$ , then return to correspondence estimation and continue the feature point sets registration process until the maximum iteration number is reached. Note the transformed source point set  $\mathbf{B}^*$  is obtained in the final iteration. Next, we employ the backward approach [40] to establish a thin-plate spline (TPS) [41] transformation model, then the transformed image  $I_t$  can be calculated using the model.  $I'_{t_1}$  and  $I'_{t_2}$  is obtained by equal split of  $I_t$  and  $I_{t_2}$  according to a certain ratio. (see Figure 1)

## 2) ESTABLISHING AND TRAINING THE DEEP NEURAL NETWORKS FOR CHANGE DETECTION

Although the difference image method is well researched, change detection is a comprehensive procedure that requires careful consideration of many factors such as the nature of change detection problems, image preprocessing, selection of suitable variables and algorithms. DNN has brought in profound and revolutionary changes to the realm of artificial intelligence, and achieved great improvements in many domains such as computer vision, speech recognition and natural language processing, etc. Therefore, we employ DNN to train the pre-classification results and create a change detection map from pre-processed image pair directly without generating difference images. After pre-classification, the neighborhood features of each pixel and its corresponding pixel in another image are converted into a vector as inputs to a neural network.

The Restricted Boltzmann Machine (RBM). RBM is a stochastic neural network, which consists of two layers of binary units: a visible layer  $\mathbf{v}$  with n visible units and hidden layer  $\mathbf{h}$  with m hidden units. An example of this structure is in Figure 5 with the hidden nodes indicated by blue circles and the visible nodes indicated by white circles. A common use for RBMs is to create features for use in classification. The energy function of the RBM model for visible and hidden units can be represented by the following:

$$E(\mathbf{v}, \mathbf{h}) = -\eta^T v - \varsigma^T h - h^T \mathbf{W} v$$
(20)

where  $\eta$  and  $\varsigma$  are biases of the visible units and hidden units, respectively. The matrix **W** denotes weights of the connection between visible and hidden layer units, where each matrix element is the conditional probability of the next layer neuron conditioned on the previous layer neuron. The joint probability distribution of visible units v and hidden units h of the RBM is interpreted by

$$P(\mathbf{v}, \mathbf{h}) = \frac{1}{Z} e^{E(v, h)}$$
(21)

where  $Z = \sum_{v'} \sum_{h'} e^{E(v',h')}$  is the partition function of the system. The conditional distributions are:

$$P(h_j = 1 | \mathbf{v}) = \sigma(\varsigma_j + \mathbf{v}^T \mathbf{W}_{(:,j)})$$
(22)

$$P(v_i = 1 | \mathbf{h}) = \sigma(\eta_i + \mathbf{W}_{(i,:)} \mathbf{h})$$
(23)

Previous studies [42], [43] that the updating rules for **W**,  $\eta$  and  $\varsigma$  during the training process with a learning rate  $\gamma$  are the following:

$$\Delta \mathbf{W}_{ij} = \gamma (\langle v_i h_j \rangle_d - \langle v_i h_j \rangle_m) \tag{24}$$

$$\Delta \eta_i = \gamma(\langle v_i \rangle_d - \langle v_i \rangle_m) \tag{25}$$

$$\Delta \varsigma_j = \gamma (\langle h_j \rangle_d - \langle h_j \rangle_m) \tag{26}$$

where  $\langle \cdot \rangle_d$  and  $\langle \cdot \rangle_m$  are the expectations under the distribution specified by the training input data and the theoretical RBM model. Although computing  $\langle v_i h_j \rangle_d$  is straightforward,  $\langle v_i h_j \rangle_m$  is intractable due to the large number of possible joint (v, h) configurations. Contrastive Divergence (CD) algorithm [42] is a learning procedure being used to approximate  $\langle v_i h_j \rangle_m$ . For every input, it starts a Markov Chain by assigning an input vector to the states of the visible units and attempts a small number of full Gibbs sampling steps. Resulting reconstructed visible units are applied to approximate the expectation of the model distribution.

A deep neural network (DNN) [44] pre-trained via stacking restricted Boltzmann machines (RBMs) demonstrates high performance. Therefore, we utilize DNN to train networks using the features of images for detecting land cover change. The process mainly contains the following three steps: (1) neighborhood features of each pixel at the same location on the image pair are fitted to the DNN; (2) RBMs are then unrolled to create a deep neural network for training. Note that CD training algorithm is used to pre-train each RBM in the stack of RBMs via training data; (3) DNN is fine-tuned by the backpropagation of error derivatives.

After the training, the deep neural network is established. Next,  $I'_{t_1}$  and  $I'_{t_2}$  can be inputted to the network and a robust and contractive change map  $S_{map}$  will be built.

### E. OUR ALGORITHM AND PARAMETER SETTINGS

Our method is summarized in Algorithm 1. There are five groups of parameters in our method: (1) in the feature prematching stage, treshold  $\theta_0$  matically determined by selecting the most reliable 128 pairs of feature points . Similarly,  $\tilde{\theta}$  is determined by selecting the most reliable 64 pairs of feature points; (2) in the inlier selection stage, the step-length  $\iota$  is Algorithm 1 Land Cover Change Detection Using Multi-Temporal and Multi-Sensor Remote Sensing Images in Mountainous Terrain

Input: The source point set A and the target point set B	5
<b>Output</b> : The transformed image $I_t$	

- **1 Initialize**  $\theta_0$ ,  $\tilde{\theta}$ ,  $\iota$ , k,  $\beta$ ,  $\omega$ ,  $\delta^2$ , W and  $\lambda$ ;
- 2 Image Registration.
- 3 while not reach the maximum iteration number do

4 Correspondence Estimation:

```
5 Compute C_{cfd}^{\theta}, C_{sc} and C_{mfd} by equation (6), (7)
and (8), respectively;
6 Compute the posterior probability matrix P by
```

```
Compute the posterior probability matrix P by equation (15);
```

```
Compute the corresponding target point set \mathbf{A}_{\psi} by equation (16);
```

#### 8 Transformation Updating:

9 Construct the kernel matrix  $\mathcal{G}$  and U;

- Compute  $\psi$  by using equation (18);
- 11 Update  $\sigma^2 = \rho \sigma^2$ ;

12 Update the sensed image's feature point set by  

$$\mathbf{B} = \mathbf{B} + \mathbf{U}\psi$$
;

#### 13 end

7

10

- 14 The transformed source point set B\* is obtained in the final iteration;
- 15 The transformed image  $I_t$  can be calculated using a thin-plate spline (TPS) [41] transformation model that is established by the backward approach [40].

### 16 Change Detection.

- 17 **Pre-Classification:**
- 18 Compute a similarity matrix  $s'_{ij}$  by equation (9).
- 19 Set a global threshold value of similarity T.

## 20 Pre-Establishing and Training the Deep Neural Networks:

- 21 The neighborhood features of each pixel and its corresponding pixel in another image are converted into a vector as inputs to DNN.
- 22 training.
- 23 Test:
- 24  $I'_{t_1}$  and  $I'_{t_2}$  can be inputted to the network.
- A change detection map  $S_{map}$  will be obtained.

found by  $\delta = (\tilde{\theta} - \theta_0)/10$ , the covariance parameters  $\delta^2$ ; (3) outlier balancing weight is initialized as 0.5; (4) in the Gaussian radial basis function (GRBF),  $\beta$  is used to control the spatial smoothness. Since we normalize the spatial coordinates of the sensed image feature points to [-1.5, 1.5],  $\beta$  set to 2; (5) in equation (15) and energy equation (18),  $\delta^2$  are initialized to 1 and 0.05 respectively.

#### **III. EXPERIMENTS AND RESULTS**

#### A. STUDY AREA AND DATA SOURCE

The study was mainly carried out in the ten key land conservation regions of Sichuan, Guizhou and Hunan China (see Figure 6). The regions locate in the warm temperate zone



FIGURE 6. Location of study area in mountainous terrain of southern China. Red dots represent ten key land conservation regions regions of Sichuan, Guizhou and Hunan China. Note that Sichuan Province, China (Longitude range: 97°21′E to 108°33′E; Latitude range: 26°03′N to 34°19′N). Guizhou Province, China (Longitude range: 103°36′E to 109°35′E; Latitude range: 24°37′N to 29°13′N). Hunan Province, China (Longitude range: 111°53′E to 114°15′E; Latitude range: 27°51′N to 28°41′N).

Attributes	Dataset		
	(I)	(II)	
Sensors	Landsat7 and Landsat8	GF1 and GF2	
Туре	Same satellite	Different satellites	
Number of image pairs	2000	2000	
Images size (mm)	$200\times450\sim1200\times800$	$200\times450\sim1200\times800$	
Number of feature points	$100 \sim 400$	$100 \sim 400$	

TABLE 1. The experimental dataset (I) and (II).

and have four distinct seasons because of the continental monsoon. These areas have a variety of land cover types including cropland, building-up, forest, etc. Among these land cover types, the most dominant one is cropland, which can be easily affected by pseudo changes of phenological differences. In addition, we also obtained some satellite remote sensing data from other foreign mountainous terrain to verify the applicability of the method.

We evaluate the performance of the proposal framework on an available data set. The data set contains a total of 6000 image pairs. To facilitate a fair comparison with other methods, we divided this dataset into three pars, 3000 for training, 1000 for validation and the remaining 2000 image pairs for testing. In order to achieve better training effect, date set is formed by two categories of remote sensing image pairs:

(1) 4000 image pairs are acquired by different type of multi-sensor and multi-temporal satellites including Chinese GF, Landsat. The details of dataset (I) and (II) are summarized in Table 1. In this dataset, a same satellite generally follow the same orbital paths with the same viewing angles and passed over a certain spot on earth at the same local time due to orbital mechanics. Therefore, image pairs acquired by

the same satellite cannot contains lager viewpoint change. However, image pairs acquired by different sensors suffer serious scale change.

#### TABLE 2. The experimental dataset (III).

Attributes	Values
Sensors	DJI Phantom 4 Pro
Number of image pairs	2000
Images size (mm)	$200\times450\sim1200\times800$
Depression angle (°)	$30 \sim 60$
Horizontal rotation angle (°)	$-90 \sim 90$
Number of feature points	$70\sim 250$

(2) 2000 image pairs are acquired by a small-sized UAV, the DJI Phantom 4 Pro (DJI, Shenzhen, China) with a CMOS camera, basically maintained the same flight altitude (around  $50 \sim 150 \, m$ ) for collecting these images of the same location at different times. The details of dataset (III) are shown in Table 2. In this dataset, it was not easy to navigate the aircraft along the planned lines since operation of small UAV is always limited by air traffic constraints and monitoring object, e.g., mountainous landforms are the rugged terrain area, and in most seasons these areas often are overcast and foggy. Thus, image pairs of the same scene have to be captured from different viewpoints through multiple flight routes so that a full coverage of the object surface can be obtained. In addition, small UAVs cannot avoid the influence of flight attitude (pitch, roll, yaw) in flight practices due to its flight high, speed, airflow and other factors, which will cause the acquired images to be squeezed, twisted, stretched and offset relative to the target position of ground. Therefore, these image pairs often contain large rotation angles.

#### **B. EXPERIMENTAL DESIGN**

To qualitatively evaluate the proposal framework results, two kinds of experiments are conducted: image registration and change detection. The former uses some state-of-the-art methods, such as SIFT [45], SURF [46], CPD [21], GLMDTPS [24] and ZGL\_CATE [28]. The latter adopts PCA\_Kmeans [14], SSFA [15], LEGS [4] and Semi\_FCM [5]. In addition, we adopt two standards and widely used evaluation metrics, precision-recall curve (PRC) and root of mean square error (RMSE). These experiments are performed on a PC with 2.5GHz Intel Core CPU, 8GB memory.

#### 1) IMAGE REGISTRATION ACCURACY TEST

The root of mean square error (RMSE) is used to quantify the image registration accuracy. We manually construct at least 15 pairs of corresponding points in each image pair as landmarks. Note that all the landmarks are well-distributed and selected the interest areas in which the surface features are distinct, easily distinguished places or where the colour contrast is large with nearby surface features. The related formulations are as follows:

$$RMSE = \sqrt{\frac{1}{M} \sum_{i=1}^{M} (b_i^t - a_i^t)}$$
 (27)

The *RMSE* can well reflect the spatial deviation of corresponding landmarks in the sensed image and the reference image, respectively. Where M is the total number of the selected landmarks, and  $b_i^t$  is the landmark that corresponds to  $a_i^t$ ;

#### 2) CHANGE DETECTION PRECISION TEST

A ground truth is compared to the change detection map to measure the accuracy of the performance of change detection. In precision-recall curve, the precision metric measures the fraction of detections that are true positives and the Recall metric measures the fraction of positives that are correctly identified. Precision and Recall can be defined as:

$$Precision = \frac{TP}{TP + FP}$$
(28)

$$Recall = \frac{IP}{TP + FN}$$
(29)

where *TP* denotes true positives in which changed pixels are detected correctly, *FP* denotes the false positives in which are detected as changed when compared with the ground truth, and *FN* denotes false negatives in which changed pixels are detected as unchanged when compared with the ground truth, respectively.

#### C. RESULTS AND DISCUSSION

1) RESULTS AND DISCUSSION OF IMAGE REGISTRATION ACCURACY TEST

In this experiment, Table 3 shows quantitative comparisons on image registration measured using the mean RMSE, where it from left to right has a decreasing tendency. In addition, Figures 7, 8 and 9 show results of image registration on six representative image pairs. The results show that our method reached the best performance in most cases, especially when the appearance difference in the image pair is challenging. Therefore, our method can be used widely since an efficient change rule should have robust image registration. Moreover, ZGL\_CATE can yield a better performance. However its drawback originates from the extracted feature points that are not sensitive enough to multi-temporal images. CPD performs unsatisfying in some cases. In contrast, GLMDTPS can achieve better performance since it employs mixture feature descriptor. However, GLMDTPS emphasizes one-toone correspondence relationship which is vulnerable under the presence of outliers.

#### 2) RESULTS AND DISCUSSION OF CHANGE DETECTION PRECISION TEST

In this experiment, the effectiveness of the proposed framework is evaluate by comparing the different change detection methods for PCA\_Kmeans, SSFA, LEGS, Semi\_FCM.

Dataset	RMSE (%)					
	SIFT	SURF	CPD	GLMDTPS	ZGL_CATE	Ours
(I)	12.1102	11.7867	7.8326	5.0431	2.9253	1.0431
(II)	13.4207	12.0134	9.0390	7.5341	5.8648	1.2681
(III)	15.4336	13.5132	10.2496	9.8300	6.9648	1.9234

TABLE 3. Experimental results on image registration. Quantitative comparisons on image registration measured using the mean RMSE are carried out.



**FIGURE 7.** Registration examples on two typical image pairs from dataset (I). (i) LakeOroumeih; (ii) Bastrop. Left: Image pair  $I_{t_1}$  and  $I_{t_2}$  acquired over the same geographical area at two different time  $t_1$  and  $t_2$  by Landsat 8. Right: The first column until the end show the registration results of SIFT, SURF, CPD, GLMDTPS, ZGL\_CATE and Ours. For each method, the first row shows 5 × 5 checkboard and the second row shows the transformed image  $I_t$ .

TABLE 4. Experimental results on change detection. Quantitative comparisons on change detection measured using the PRC are carried out.

Dataset	(Precision (%), Recall (%))					
	PCA_Kmeans	SSFA	LEGS	Semi_FCM	Ours	
(I)	(78.3, 77.4)	(92.4, 91.8)	(93.3, 93.1)	(94.3, 93.8)	(98.3, 97.5)	
(II)	(73.2, 72.9)	(90.6, 90.9)	(91.7, 91.2)	(92.7, 91.9)	(97.9, 96.3)	
(III)	(76.8, 77.9)	(91.5, 91.4)	(91.9, 92.6)	(92.2, 92.4)	(98.4, 96.8)	

The comparison results are depicted Figures 10, 11 and 12 and Table 4. As shown in Table 4, the average precision of our method on dataset (I), (II) and (III) have reached to (98.3%, 97.5%), (97.9%, 96.3%), (98.4%, 96.8%). However, the average precision of PCA\_Kmeans only reach (78.3%, 77.4%), (73.2%, 72.9%), (76.8%, 77.9%). This is

mainly because our method adopts DNNs to directly create a change detection map from pre-processed image pair by bypassing the steps of filtering or generating a difference image (DI). In contrast, PCA\_Kmeans performs unsatisfying in some cases since it often have noisy result of not considering the spatial relationship among image pixels.



**FIGURE 8.** Registration examples on two typical image pairs from dataset (II). (iii) Guizou; (iv) Hunan. Left: Image pair  $I_{t_1}$  and  $I_{t_2}$  acquired over the same geographical area at two different time  $t_1$  and  $t_2$  by Chinese GF1 and Chinese GF2 respectively respectively. Right: The first column until the end show the registration results of SIFT, SURF, CPD, GLMDTPS, ZGL\_CATE and Ours. For each method, the first row shows 5 × 5 checkboard and the second row shows the transformed image  $I_t$ .



**FIGURE 9.** Registration examples on two typical image pairs from dataset (III). (v) Sichuan; (vi) GuiZhou. Left: Image pair  $I_{t_1}$  and  $I_{t_2}$  acquired over the same geographical area at two different time  $t_1$  and  $t_2$  by small UAV. Right: The first column until the end show the registration results of SIFT, SURF, CPD, GLMDTPS, ZGL\_CATE and Ours. For each method, the first row shows 5 × 5 checkboard and the second row shows the transformed image  $I_t$ .

Moreover, SSFA and Semi\_FCM can achieve better performance. Since SSFA employs the slow feature analysis (SFA) algorithm to extract the most temporally invariant component from the multi-temporal images and transform the data into a new feature space, DI can be better generated. The compared methods in terms of PCA\_Kmeans and SSFA, Semi\_FCM



**FIGURE 10.** Change detection examples on two typical image pairs from dataset (I). (i) LakeOroumeih; (ii) Bastrop. (i) Yanan, Sichuan Province; (ii) Ansun, Guizhou Province. Left:  $l'_{t_1}$  and  $l'_{t_2}$  is the division of  $l_t$  and  $l_{t_2}$  according to a certain ratio. Right: The first column until the end show the change detection results of PCK\_Kmeans, SSFA, Ground Turth and Ours. (i) PCK\_Kmeans (TP:23; FP:8; FN:7; Precision:76.7%; Recall: 75.2%), SSFA (TP:25; FP:5; FN:5; Precision:83.3%); Recall: 83.3%), LEGS (TP:25; FP:3; FN:5; Precision:89.2%; Recall:83.3%), Semi\_FCM (TP:24; FP:5; FN:6; Precision:82.7%; Recall: 80.0%), Ours (TP:28; FP:0; FN:2; Precision:93.3%; Recall: 100%). (ii) PCK\_Kmeans (TP:22; FP:7; FN:8; Precision:73.3%; Recall: 85.7%), SSFA (TP:27; FP:4; FN:3; Precision: 90.0%; Recall: 87.1%), LEGS (TP:26; FP:3; FN:4; Precision:89.6%; Recall:86.7%), Semi\_FCM (TP:28; FP:4; FN:2; Precision:87.5%); Recall: 93.3%), Ours (TP:29; FP:2; FN:1; Precision:96.7%; Recall: 93.4%).



**FIGURE 11.** Change detection examples on two typical image pairs from dataset (II). (iii) Guizou; (iv) Hunan. Left:  $I'_{t_1}$  and  $I'_{t_2}$  is the division of  $I_t$  and  $I_{t_2}$  according to a certain ratio. Right: The first column until the end show the change detection results of PCK\_Kmeans, SSFA, Ground Turth and Ours. (iii) PCK\_Kmeans (TP:21; FP:5; FN:9; Precision:70.0%; Recall: 80.8%), SSFA (TP:24; FP:2; FN:6; Precision:80.0%; Recall: 92.3%), LEGS (TP:24; FP:2; FN:6; Precision:80.0%; Recall: 92.3%), LEGS (TP:24; FP:2; FN:6; Precision:80.0%; Recall: 92.3%), Semi\_FCM (TP:26; FP:4; FN:4; Precision:86.7%; Recall: 86.7%), Ours (TP:29; FP:1; FN:1; Precision:96.7%; Recall: 96.7%), (iv) PCK\_Kmeans (TP:23; FP:3; FN:7; Precision:76.7%; Recall: 88.4%), SSFA (TP:25; FP:6; FN:5; Precision: 83.3%; Recall: 80.6%), LEGS (TP:26; FP:3; FN:4; Precision:89.6%; Recall: 86.7%), Semi\_FCM (TP:26; FP:4; FN:4; Precision:86.7%; Recall: 86.7%), Ours (TP:29; FP:2; FN:1; Precision:96.7%; Recall: 93.3%).



**FIGURE 12.** Change detection examples on two typical image pairs from dataset (III). (v) Sichuan; (vi)GuiZhou. Left:  $I'_{t_1}$  and  $I'_{t_2}$  is the division of  $I_t$  and  $I_{t_2}$  according to a certain ratio. Right: The first column until the end show the change detection results of PCK\_Kmeans, SSFA, Ground Turth and Ours. (v) PCK\_Kmeans (TP:19; FP:5; FN:11; Precision:63.3%; Recall: 79.1%), SSFA (TP:23; FP:2; FN:7; Precision:76.7%; Recall: 92.0%), LEGS (TP:27; FP:6; FN:3; Precision:81.8%; Recall: 90.0%), Semi\_FCM (TP:27; FP:6; FN:3; Precision:81.8%; Recall: 90.0%), Ours (TP:29; FP:1; FN:1; Precision:96.7%; Recall: 96.7%), (vi) PCK\_Kmeans (TP:20; FP:3; FN:10; Precision:66.7%; Recall: 86.9%), SSFA (TP:24; FP:5; FN:6; Precision: 80.0%; Recall: 77.4%), LEGS (TP:27; FP:6; FN:3; Precision:81.8%; Recall: 90.0%), Semi\_FCM (TP:28; FP:6; FN:2; Precision:82.3%; Recall: 93.3%), Ours (TP:28; FP:2; FN:2; Precision:93.3%; Recall: 93.3%).

use semi-supervised fuzzy C-means filter the pseudolabels from the difference image. Since LEGS can effectively capture local contrast, texture and shape information for saliency detection, the complex relationship between different global saliency cues by local estimation and global search. Therefore, LEGS also achieve better performance.

#### **IV. CONCLUSION**

In this paper, a robust set of change detection framework for land cover change in mountainous terrain is proposed, which can detect multi-temporal remote sensing image pairs acquired by different type of sensors. The superiority of our framework can be summarized through three main contributions as follows: 1) a multi-scale feature description is generated using layers formed via a pretrained VGG network; 2) a gradually increasing selection of inliers is realized to estimate correspondence and transformations; 3) fuzzy C-means classifier is adopted to generate a similarity matrix between image pair of geometric correction, deep neural networks (DNNs) are applied to directly create a change detection map from pre-processed image pair by bypassing the steps of filtering or generating a difference image (DI). The proposed framework can provide a stable change rule for monitoring land cover change from multi-temporal data. For the purpose of experimental evaluation, dataset was mainly collected in the ten key land conservation regions of Sichuan, Guizhou and Hunan, China. Compared with five state-ofthe-art registration methods and four state-of-the-art change detection methods, our method shows better performances in most cases.

Future studies will be conducted in two directions: (i) thematic applications of land cover changes, such as cultivated land changes; (ii) different sourcing images, such as image pair of combination of UAV image and satellite remote sensing image. Indeed, combining different sourcing images will identify more regions of change in many other typical regions with various land cover types.

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**XUEYAN GAO** received the B.S. degree from Henan Normal University, China, in 2016. She is currently pursuing the M.S. degree with the School of Information Science and Technology, Yunnan Normal University. Her current research interests include image registration, point set registration, pattern recognition, and change detection.



**TINGTING DAN** received the B.S. degree from China West Normal University, China, in 2016. She is currently pursuing the M.S. degree with the School of Information Science and Technology, Yunnan Normal University. Her current research interests include image registration, point set registration, pattern recognition, and change detection.



**YANG YANG** received the master's degree from Waseda University, Japan, in 2007, and the Ph.D. degree from the National University of Singapore, Singapore, in 2013. He is currently an Associate Professor with the School of Information Science and Technology, Yunnan Normal University. His research interest covers image registration, remote sensing image processing, medical image processing, geography information system, and human masticatory system.



**FEI SONG** received the B.S. degree from Sichuan Normal University, China, in 2013. He is currently pursuing the M.S. degree with the School of Information Science and Technology, Yunnan Normal University. His current research interests include image registration, point set registration, and change detection.



**WANJING ZHAO** received the B.S. degree from China Yunnan Normal University, China, in 2018. She is currently pursuing the M.S. degree with the School of Information Science and Technology, Yunnan Normal University. Her current research interests include image registration, point set registration, and pattern recognition.



**ZHUOQIAN YANG** is currently pursuing the B.S. degree with the College of Software, Beihang University. His research interest includes computer vision and image registration.



**RUI YU** received the B.S. degree from Harbin Huade University, China, in 2017. She is currently pursuing the M.S. degree with the School of Information Science and Technology, Yunnan Normal University. Her current research interests include image registration, point set registration, and pattern recognition.