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# Multi-Sensor Obstacle Detection System Via Model-Based State-Feedback Control in Smart Cane Design for the Visually Challenged

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**ABSTRACT** Smart canes are usually developed to alert visually challenged users of any obstacles beyond the canes' physical lengths. The accuracy of the sensors and their actuators' positions are equally crucial to estimate the locations of the obstacles with respect to the users so as to ensure only correct signals are sent through the associated audio or tactile feedbacks. For implementations with low-cost sensors, however, the users are very likely to get false alerts due to the effects from noise and their erratic readings, and the performance degradation will be more noticeable when the positional fluctuations of the actuators get amplified. In this paper, a multi-sensor obstacle detection system for a smart cane is proposed via a model-based state-feedback control strategy to regulate the detection angle of the sensors and minimize the false alerts to the user. In this approach, the overall system is first restructured into a suitable state-space model, and a linear quadratic regulator (LQR)-based controller is then synthesized to further optimize the actuator's control actions while ensuring its position tracking. We also integrate dynamic feedback compensators into the design to increase the accuracy of the user alerts. The performance of the resulting feedback system was evaluated via a series of real-time experiments, and we showed that the proposed method provides significant improvements over conventional methods in terms of error reductions.

**INDEX TERMS** Multi-sensor, visually challenged, model-based control, state-feedback, obstacle detections.

#### **I. INTRODUCTION**

White canes are universally recognized as symbols of blind people, and they have been used since the 1920s as mobility aids to guide users while walking or navigating particularly in unfamiliar places [1]. Other than their basic function which is to give the users tactile information about the environment such as obstacles on the ground, holes and uneven surfaces, most of them are designed in such a way that they are light and retractable or foldable, which can increase the travel convenience of the users. Nevertheless, these traditional travel aids only have short sensing ranges which limit the obstacle detections below the knee levels and require the canes to physically bump into the objects to alert the users. Due to these limitations, electronic travel aids (ETAs) were introduced in the 1970s [1]–[3], not just to extend the sensing range, but also to promote a safer and more confident independent walking experience.

Sensor technology is one of the most important factors that can enhance the performance of the ETAs. Smart cane device is a type of ETA which is typically designed to fit on top of the white cane for obstacle detections above-knee levels. This device is intended to help the visually challenged to engage in a safe and efficient independent travel by increasing the user access to certain categories of environmental information. The most common technologies that are used for distance measurements from obstacles include infrared (IR) sensors which transmit IR lights towards the objects, sonar sensors which use high-frequency sound waves in place of IR lights, and laser rangefinders which produce laser waves for the same purpose. The IR sensor technology works by measuring the signal strength to estimate the distance. The advantages of IR sensors over other sensor types are faster response, narrow range and high resolution which makes them more suited for small distance measurement [4], [5]. The accuracy of this type of sensor however is affected by the reflectivity and color of the objects due to its dependence on signal strength. As it is also very sensitive to the sunlight, its reading can vary if the luminance in the environment changes [6]. This drawback

however is not an issue to the sonar and laser range finders which share a similar working principle commonly known as Time-of-Flight (ToF). This principle leads to a simple mathematical calculation for distance measurement from the sensor to the object, that is, by generating a beam of energy waves directed towards an object, the time it takes for the beam to make its journey back towards its source after being reflected can be used to estimate the distance traveled. As laser beam offers a distinct advantage of being able to travel many times faster than that provided by sonar sensors, it is often used to detect both static and moving objects [7]. In [8], a fusion of a laser sensor and a camera for an electronic virtual white cane implementation was proposed where the distance calculation was based on the laser's position and the image captured. Nevertheless, for simple design goals, some preferred to avoid using this kind of technology as the laser light is known to be harmful to humans, and extra precautions are required when using them [9]. The sonar technology, on the other hand, despite its low resolution and slower response as compared to IR and laser, is still being preferred by many developers and has become among the most common sensing technique for mobility aids. This is mainly due to its low-cost and broad beam-width which allows for a wide detection range [1], [10].

As the sensor technology is rapidly evolving in parallel with the emerging trends in Internet-of-Things (IoT) and embedded systems, many refinements of the early ETAs with new innovative technologies have been developed in modern assistive devices [11]. Integration of multiples sensors on a single platform to overcome the limitations of individual sensors has become increasingly popular in recent years [4], [12]–[17]. Combinations of multiple ultrasonic and other sensors have been reported in a series of papers [13], [15], [17], [18] to accommodate a wider range of obstacles and sensing area. There is also a growing number of recent studies on microcontroller-based assistive devices which allow faster user alerts via various types of actuators and wireless feedbacks. Vibrators, for instance, are extensively used to provide haptic or vibrotactile feedbacks with different intensities [14], [19], [20]. Another interesting approach by [21] and [22] where steering actions of a mini wheeled mobile robot attached to a white cane was introduced to provide a vibrotactile feedback with a sense of direction. Apart from that, audio voice/texts or acoustic feedbacks have also been considered by many researchers which can alert the users wirelessly through smartphones and/or headsets [16], [18], [20], [21], [23]–[26].

Despite the technological revolution, the assistive devices have not been successfully adopted and used by a large number of people with visual impairments, and many still prefer to use the white canes [27], [28]. Several research findings have shown issues related to the limited use of these smart devices, which include high prices, safety, orientations, speed, mobility, portability and optimizations of techniques [28], [29]. Combinations of many different sensors, for instance, although faster feedback and wider detection range can be achieved, the size and power consumption of the device will also increase which directly affect the price, portability and mobility of the device. For some cases, a lot of variations in the user alerts may be confusing and less intuitive, and users usually prefer to receive simplified signals without having to process a lot of raw data from the feedbacks. These issues have sparked a growing interest among researchers to study on the design guidelines and improvements that can be introduced to increase the usability and marketibility of the devices [10], [11], [28].

Inspired by a number of recent smart cane configurations [6], [14], [15], a simple design with integration of ultrasonic and IR sensors for obstacles detection, and vibrotactile and audio techniques for the feedbacks has been implemented for the prototype smart cane in this work. The sensors are positioned in such a way that the sensing range of the ultrasonic sensors includes the left and right front of the user, and from the ground level to the head level, while the IR sensor is used for uneven ground surface detection such as holes and descending stairs. Since the device involves low-cost sensors, the signals sent to the user are prone to noise and erratic readings which may lead to false alarms, and the performance will become worse when the sensors' positions oscillate with the user's hand movements. As suggested in [28], the horizontal orientation of the cane can be fixed by including a mark or indicator on the handlebar to ensure the sensors are always facing forward. While this can be controlled by the user, the sensors' vertical detection angle is bound to fluctuate since the user usually has to tilt the cane back and forth while walking. Motivated by these issues, the focus of this work is on improvement of the obstacle detection system by means of model-based state feedback technique. In this approach, the overall system is first restructured into a suitable state-space model which also includes an accelerometer to sense the tilt angle. A motorized actuator is used to control the vertical detection angle of the ultrasonic sensors, and a linear quadratic regulator (LQR)-based controller is synthesized to further optimize the actuator's control actions while ensuring its position tracking. We also integrate dynamic feedback compensators into the design which additionally act as noise filters to increase the accuracy of the user alerts. The performance of the resulting feedback system was evaluated via a series of real-time experiments, and we showed that the proposed method provides significant improvements over the conventional methods in terms of error reductions.

#### **II. METHODOLOGY AND MAIN RESULTS**

The overall view of the smart cane system configuration is depicted as in Figure [1](#page-2-0) where five sensors (one accelerometer,  $S_a$ , three ultrasonic sensors  $S_h$ ,  $S_{mr}$ ,  $S_{ml}$  and one infrared sensor,  $S_g$ ) serve as the interface for input signals to an ATmega328p microcontroller, a servo motor to control the sensors' positions, a vibration motor for the vibrotactile alert, and a bluetooth module for wireless audio feedback. The focus of this work is on improving the performance of the user alerts which rely on the accuracy of the sensors' positions



<span id="page-2-0"></span>**FIGURE 1.** Overview of the smart cane system architecture. The scope of this work is highlighted by the solid blue and red arrows which represent the signals to/from actuators/sensors and control algorithms respectively.

and readings. These are highlighted by the blue arrows signals as in the figure, and the red arrows which also indicate the associated control algorithms.

The sensors  $S_a$ ,  $S_h$ ,  $S_{mr}$ ,  $S_{ml}$  are attached to a smart cane (SC) board, and are positioned in such a way that any obstacles from the head level to the ground level in front of the user can be detected. The sketch of the detection range is illustrated in Figure [2](#page-2-1) together with  $S_g$  for the drop-off detection at the ground level. Appropriate signals can then be transmitted to the user via vibrotactile and wireless audio feedbacks to an Android device and headset/speaker via bluetooth for obstacle detection alerts.



<span id="page-2-1"></span>**FIGURE 2.** Sketch of obstacle and drop-off detection range with three-leveled sensors (S $_{\bm{a}},$  S $_{\bm{g}},$  S $_{\bm{m} \bm{l}}$  , S $_{\bm{m} \bm{r}}$  and S $_{\bm{h}}$ ).

In what follows, we present an equivalent open-loop model of the SC system in state space domain which is restructured to pave the way for model-based state-feedback control design. The notations used throughout this paper are listed in Table [1.](#page-2-2)

#### <span id="page-2-6"></span>A. OPEN-LOOP SYSTEM MODELLING

With reference to Figure [3,](#page-2-3) define  $\mathbf{x}_1 = [x_{11} \ x_{12}]^T$  where  $x_{11}$  and  $x_{12}$  represent the servo's angle and accelerometer's

#### **TABLE 1.** Notations used in this work.

<span id="page-2-2"></span>



<span id="page-2-3"></span>**FIGURE 3.** System modelling for the signals around the SC board.

angle with respect to  $x_s$ -axis respectively. The distances of  $S_{ml}$ ,  $S_{mr}$  and  $S_h$  from obstacles as illustrated in Figure [4](#page-3-0) are written as  $\mathbf{x_2} \in \mathbb{R}^3$  with  $\mathbf{x_2} = [x_{21} \ x_{22} \ x_{23}]^T$ , and the distance of  $S_g$  from the drop-off location as  $x_3 \in \mathbb{R}$ . The state-vector can then be represented by  $\mathbf{x}_0 = [\mathbf{x}_1 \ \mathbf{x}_2 \ x_3]^T$ . The angles  $\theta_h$ ,  $\theta_m$  and  $\theta_g$  are fixed and can be adjusted according to the user's height or convenience. As for the outputs, let *y*1, *y*2, *y*<sup>3</sup> and *y<sup>d</sup>* represent the servo's angle w.r.t the x-axis, the input to serial communication via bluetooth for voice alert, the input to the vibration motor (tactile feedback), and the smart cane's angle with respect to  $x_s$ -axis respectively. The inputs to the system will be *u*<sub>1</sub> (input to the servo),  $\mathbf{u}_{02} = [u_{21} \ u_{22} \ u_{23}]^T$  (input signals to  $S_{ml}$ ,  $S_{mr}$  and  $S_h$  respectively),  $u_{03}$  (input signal to  $S_g$ ) and  $u_d$  (user's movement).

The open-loop system can then be written as

<span id="page-2-4"></span>
$$
\dot{\mathbf{x}}_o = A\mathbf{x}_0 + B\mathbf{u}_0 \tag{1}
$$

$$
\mathbf{y_0} = C\mathbf{x_0} + D\mathbf{u_0} \tag{2}
$$

where

<span id="page-2-5"></span>
$$
A = \begin{bmatrix} A_1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad B = \begin{bmatrix} B_1 & 0 & 0 & B_d \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix},
$$



<span id="page-3-0"></span>**FIGURE 4.** Close-up on the side view (top) and top view (bottom) of the smart cane system with the sketch of its detection range.

$$
C = \begin{bmatrix} C_1 & 0 & 0 \\ 0 & C_2 & 0 \\ 0 & 0 & C_3 \\ C_d & 0 & 0 \end{bmatrix}, \quad D = 0_{4 \times 4}
$$
  

$$
\mathbf{y_0} = [y_1 \quad y_2 \quad y_3 \quad y_d]^T, \quad \mathbf{u_0} = [u_1 \quad u_{02} \quad u_{03} \quad u_d]^T;
$$
 (3)

with  $A_1 \in \mathbb{R}^{2 \times 2}$ ,  $B_1 \in \mathbb{R}^{2 \times 1}$ ,  $C_1$ ,  $C_d \in \mathbb{R}^{1 \times 2}$ ,  $B_d$ ,  $C_2 \in \mathbb{R}^{1 \times 3}$ and  $C_3 \in \mathbb{R}$ . The matrices  $A_1, B_1, C_1, C_2$  and  $C_3$  depend on the model of the main actuator and the sensors, while  $B_d$  and  $C_d$  rely on the user's hand movement. It is also straightforward that  $C_d = [0 \gamma]$ ,  $\gamma \in \mathbb{R}$ , as the orientation of  $S_a$  is parallel with the SC-board's.

Although servo motors generally provide a perfect steady-state tracking particularly for step responses, with the ultrasonic sensors and other load attached, their dynamic will be slightly affected. Moreover, as the nature of the inputs is always uncertain and highly depends on the user's movement, it is therefore useful to take into account the actuator's dynamic in order to ensure the tracking behaviour stays within the desired specifications. From the system's architecture, we can write the main actuator as a



<span id="page-3-1"></span>**FIGURE 5.** Open-loop response of the system  $G_a$  without any state-feedback for three different scenario; Movement 1 (top), Movement 2 (middle) and Movement 3 (bottom). The orange line represents the duty cycle of  $\pmb{u_1}$ , and the light blue line represents  $\pmb{y_1}.$ 

subsystem *Ga*, i.e.

$$
G_a \sim (A_1, B_1, C_1, 0);
$$
  
\n
$$
A_1 = \begin{bmatrix} A_{11} & 0 \\ 0 & 0 \end{bmatrix}, \quad B_1 = \begin{bmatrix} B_{11} \\ 0 \end{bmatrix}, \quad C_1 = \begin{bmatrix} 1 & -1 \end{bmatrix} \quad (4)
$$

Without any state feedback, the first state cannot be controlled at all. Assuming  $x_{11} = \alpha$  where  $\alpha \in \mathbb{R}$  is a constant, the uncontrolled output  $y_1$  then reduces to  $y_1 = \alpha - x_{12}$ . If  $\alpha = 0$  for instance, the output will be the inverse of the accelerometer's angle from the *xs*-axis. In order to estimate the state-space model of the main actuator, three different control input profiles which represent the responses from the servo motor based on three different user movements were fed into the system, and the outputs were then compared with the inputs as shown in Figure [5.](#page-3-1) Via the open-loop model, the user can also be alerted of the obstacle's position and drop-off through  $\mathbf{x}_2$  and  $x_3$ . For instance, setting  $C_3 = 1$ , a warning to the user for the drop-off can be delivered via *y*<sup>3</sup> which then activates the vibrator. As for the obstacle positions, the alerts via *y*<sup>2</sup> will be sent to the user via wireless serial transmit, hence discretized signals for the audio feedbacks are more suitable. This can be acheived by assigning

$$
x_{2i} = \begin{cases} 1 & \text{when } u_{2i} \neq 0 \\ 0 & \text{when } u_{2i} = 0 \end{cases}
$$
 (5)

for  $i = 1, 2, 3$  and  $C_2 = [1 \ 2 \ 4]$ . The relationship between  $\mathbf{x}_2$ and *y*<sup>2</sup> along with the user alert is summarized in Table [2.](#page-4-0)

**TABLE 2.** User alert of the obstacle's position via open-loop control.

<span id="page-4-0"></span>

$x_{21}$	$x_{22}$	$x_{23}$	$y_2$	User alert (obstacle's position)
			0	none
				center (high)
			3	center
				center (hang)
		0	2	right
			6	right (high)
				left
				left (high)

Although the abovesaid methods can be easily implemented, the users are very likely to get false alerts due to fluctuations of detection areas, along with noise and erratic readings from the sensors. In the next subsection, we introduce a model-based state-feedback control design to enhance the performance of the smart cane system by minimizing the false alerts to the users.



<span id="page-4-1"></span>**FIGURE 6.** Proposed model with state-feedback control strategy.

#### B. MODEL-BASED STATE FEEDBACK CONTROL DESIGN

In this work, it is desired that the angle of the main actuator stays at an optimal point (i.e. slightly below the *x*-axis) to ensure accurate obstacle position detection, and the outputs of the microcontroller which are fed to the vibration motor and bluetooth module are able to alert the user on any obstacles and drop-off ahead. To this end, a state-feedback approach is introduced as shown in Figure [6.](#page-4-1) It is worth noting that, from the open-loop model in  $(1)-(3)$  $(1)-(3)$  $(1)-(3)$ , only  $y_1$ ,  $y_2$  and  $y_3$ can be regulated by the microcontroller, and the exogenous signal  $u_x = [\mathbf{u}_{02} \ u_{03} \ u_d]^T$  depends on the user and inputs to the sensors. In this state-feedback design, another new output, *y*4, is augmented to send a signal when the obstacle is within a predefined distance (the detail is in requirement (A2) below) from the user. As this entails a new control scheme to detect the obstacle's position, a new control input vector  $\tilde{u}$  is introduced. The new output and control input vectors can then be formed as  $\tilde{y} = [y_1 \ y_2 \ y_3 \ y_4]^T$  and  $\tilde{u} = [u_1 \, u_2 \, u_3 \, u_4]^T$  respectively, which results in the following state space matrices:

$$
\tilde{A} = \begin{bmatrix} A_1 & 0_{2 \times 2} \\ 0_{2 \times 2} & 0_{2 \times 2} \end{bmatrix}, \quad \tilde{B} = \begin{bmatrix} B_1 & 0_{2 \times 3} \\ 0_{2 \times 1} & 0_{2 \times 3} \end{bmatrix},
$$

$$
\tilde{C} = \begin{bmatrix} C_1 & 0_{1 \times 2} \\ 0_{3 \times 2} & 0_{3 \times 2} \end{bmatrix}, \quad \tilde{D} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & D_2 & 0 & 0 \\ 0 & 0 & D_3 & 0 \\ 0 & 0 & 0 & D_4 \end{bmatrix}
$$
 (6)

with  $A_1 \in \mathbb{R}^{2 \times 2}$ ,  $B_1 \in \mathbb{R}^{2 \times 1}$ ,  $C_1 \in \mathbb{R}^{1 \times 2}$ ,  $D_2, D_3 \in \mathbb{R}$  and  $D_4 \in \mathbb{R}^3$ . The main compensators are represented by

$$
K_r \in \mathbb{R}^{3 \times 3}; \quad F = \begin{bmatrix} F_1 & 0 & 0 \\ 0 & F_2 & 0 \\ 0 & 0 & F_3 \end{bmatrix} \quad \text{and}
$$

$$
H = \begin{bmatrix} H_1 & 0 & 0 \\ 0 & \Phi_2 & 0 \\ 0 & 0 & \Phi_3 \\ 0 & \Phi_4 & 0 \end{bmatrix}.
$$
 (7)

with  $F_1, H_1 \in \mathbb{R}, F_2, F_3 \in \mathbb{RH}_{\infty}^{3 \times 3}$ , and  $\Phi_2, \Phi_3, \Phi_4$ :  $\mathbb{R}^3 \to \mathbb{R}$ . Apart from that, the limitation of the actuator,  $L = diag(\phi_L, 1, 1, 1)$ , is also included to accurately model the system. This gives  $\tilde{u} = [\phi_L(u_{b1}) u_{b2} u_{b3} u_{b4}]^T$  where  $\phi_L$ :  $\mathbb{R} \to \mathbb{R}$  represents the constraint of the main actuator, i.e.

$$
u_1 = \phi_L(u_{b1}) = \begin{cases} 0 & \text{for } u_{b1} < 0\\ u_{b1} & \text{for } 0 \le u_{b1} \le 180\\ 180 & \text{for } u_{b1} > 180. \end{cases}
$$
 (8)

1) DESIGN SPECIFICATIONS AND CONTROLLER SYNTHESIS Let  $\tilde{r} = [r_1 \mathbf{r}_2 \ r_3]^T$ ,  $K_r = diag(K_1, K_2, K_3)$  and define the output of controller *F* as  $\tilde{\beta} = [\beta_1 \ \beta_2 \ \beta_3]^T$ . It is desired that  $y_1$  tracks the reference at  $r_1$  when the SC-board's orientation fluctuates between  $0^{\circ}$  and  $-90^{\circ}$  from the *x*-axis. In order to achieve this, the following method is proposed:

*Result 1:* Consider the proposed closed-loop model as depicted in Figure [6,](#page-4-1) let  $H_1 = 1$ ,  $R_1(s) = \mathcal{L}{r_1(t)} = v/s$ where  $v \in \mathbb{R}^-$  is the angle in degree, and  $G_1 \sim (A_{11}, B_{11}, A_{11})$  $C_{11}$ , 0) with  $C_{11} = 1$ . Define the quadratic cost function as

<span id="page-4-5"></span><span id="page-4-2"></span>
$$
J = \int_0^\infty (x_{11}^T Q x_{11} + u_{b1}^T R u_{b1}) dt
$$
 (9)

where  $Q, R \in \mathbb{R}^+$ . The angle of the main actuator,  $y_1$  will track its reference at  $r_1$  with controllers  $F_1$  and  $K_1$  which can be designed with

<span id="page-4-4"></span>
$$
F_1 = R^{-1} B_{11}^T P \quad \text{and } K_1 = G_{c1}(0)^{-1} \tag{10}
$$

where  $P \in \mathbb{R}^+$  satisfies

<span id="page-4-3"></span>
$$
A_{11}^T P + P A_{11} - P B_{11} R^{-1} B_{11}^T P + Q = 0 \tag{11}
$$

and

$$
G_{c1}(s) = C_{11}(sI - (A_{11} - B_{11}F_1))^{-1}B_{11}
$$
 (12)

*Proof:* The transfer function  $G_1(s)$  corresponds to the subsystem of the feedback loop for the state  $x_{11}$ . With  $K_1 = 0$ and  $H_1 = 1$ , the system reduces to a standard state-feedback control framework where the control law  $F_1$  can be designed by selecting appropriate values of *Q* and *R* and minimizing the cost function [\(9\)](#page-4-2). The latter can also be simplified by

solving [\(11\)](#page-4-3) [30]. The perfect reference tracking can then be achieved by including  $K_1 \in \mathbb{R}^+$  where

$$
K_1^{-1} = \lim_{s \to 0} sG_{c1}(s)R(s), \tag{13}
$$

which is also equivalent to the second equation in [\(10\)](#page-4-4).  $\Box$ Other than ensuring the reference tracking for the main actuator to minimize its positional fluctuations, it is also desired that

- (A1) the user is notified of the obstacles' positions via  $y_2$  and any drop-offs via *y*3;
- (A2) the user is alerted when the obstacle is within 50cm to 80cm via *y*<sup>4</sup> to provide a comfortable stopping distance at a normal walking speed;
- (A3) the effects of  $\mathbf{x}_2$  on  $y_2$  from any fast moving object that is not approaching the user must be suppressed;
- (A4) the number of false alerts is smaller than that from the open-loop approach.

In this regard, we propose the following control algorithm.

*Result 2:* Let  $D_2 = D_3 = D_4 = 1, F_2 \sim (A_{f2}, B_{f2}, A_{f1})$  $C_{f2}, D_{f2}$ ) and  $F_3 \sim (A_{f3}, B_{f3}, C_{f3}, D_{f3})$  be designed such that eig  $(A_{fi}) \in \mathbb{R}^{-}$ ,  $|A_{fi}| \ge 0.5$ ,  $F_i(0) = 1$  for  $i = 1, 2$ ,  $\beta_3 = F_3x_3$  and  $\beta_2 = F_2x_2$  with  $\beta_2 = [\beta_{21} \ \beta_{22} \ \beta_{23}]^T$ . Also, write  $\mathbf{r}_2 = [0 \ 0 \ 0]^T$  and  $r_3 = 0$  so that

$$
u_{b2} = -\Phi_2(\beta_2)
$$
,  $u_{b3} = -\Phi_3(\beta_3)$  and  $u_{b4} = -\Phi_4(\beta_2)$  (14)

If  $\Phi_2$ ,  $\Phi_3$  and  $\Phi_4$  satisfy the following constraints

$$
-\Phi_2(\beta_2) = \begin{cases}\n0 & \text{if } \beta_{2i} \le \epsilon \quad \forall i = 1, 2, 3 \\
7 & \text{if } -\beta_{2i} \le -\epsilon \quad \forall i = 1, 2, 3 \\
6 & \text{if } (\beta_{21}, -\beta_{22}, -\beta_{23}) \le (\epsilon, -\epsilon, -\epsilon) \\
5 & \text{if } (-\beta_{21}, \beta_{22}, -\beta_{23}) \le (-\epsilon, \epsilon, -\epsilon) \\
4 & \text{if } (\beta_{21}, \beta_{22}, -\beta_{23}) \le (\epsilon, \epsilon, -\epsilon) \\
3 & \text{if } (-\beta_{21}, -\beta_{22}, \beta_{23}) \le (-\epsilon, -\epsilon, \epsilon) \\
2 & \text{if } (\beta_{21}, -\beta_{22}, \beta_{23}) \le (-\epsilon, -\epsilon, \epsilon) \\
1 & \text{if } (-\beta_{21}, \beta_{22}, \beta_{23}) \le (-\epsilon_2, \epsilon_1, \epsilon_1) \\
- \Phi_3(\beta_3) = \begin{cases}\n1 & \text{if } \beta_3 > 0 \\
0 & \text{otherwise.} \\
\end{cases} & (16)
$$
\n
$$
\Phi_1(\beta_3) = \begin{cases}\n1 & \text{if } \beta_3 > 0 \\
1 & \text{if } 50 - \epsilon_1 < \beta_{2i} < 80 - \epsilon_u \text{ for any } i\n\end{cases}\n\end{cases}
$$

$$
-\Phi_4(\beta_2) = \begin{cases} 1 & \text{if } 30 - \epsilon_l < \beta_{2i} < 80 - \epsilon_u \text{ for any } l \\ 0 & \text{otherwise.} \end{cases} \tag{17}
$$

where  $\epsilon \in [25, 40]$  and  $\epsilon_l, \epsilon_u \in [10, 30]$ , the outputs  $y_2, y_3$ and *y*<sup>4</sup> can be controlled to meet the design requirements as described in (A1)-(A4).

*Proof:* From the parameters of  $\tilde{D}$  and algorithms for  $u_{b2}$ ,  $u_{b3}$  and  $u_{b4}$ , the requirements (A1) and (A2) can be clearly met. In order to satisfy  $(A3)$  and  $(A4)$ ,  $F_2$  and  $F_3$  are designed such that the output maintains its stability (via eig  $(A_{\hat{f}}) \in \mathbb{R}^-$  and  $F_i(0) = 1$ ), and the false alerts due to the noise/erratic readings from the sensors can be minimized by delaying the output response with  $|A_{\hat{h}}| \geq 0.5$ . Also, due to the dynamic properties of  $F_2$  and  $F_3$ , the threshold values of  $\epsilon$ ,  $\epsilon_l$ and  $\epsilon_u$  are included which can be selected after the system calibrations.  $\Box$ 

<span id="page-5-0"></span>

#### **III. NUMERICAL AND EXPERIMENTAL RESULTS**

#### A. SYSTEM MODELLING FOR THE MAIN ACTUATOR

Three types of movements as explained in Section [II-A](#page-2-6) have been considered in this work. The corresponding responses as shown in Figure [5](#page-3-1) were compared via MATLAB System Identification Toolbox to estimate the parameters *A*<sup>11</sup> and *B*11. For each movement, estimated values of *A*<sup>11</sup> and *B*<sup>11</sup> were generated, and the accuracy of the response for each  $(A_{11}, B_{11})$  pair was compared for each case. The results were summarized in Table [3.](#page-5-0) From the table, the estimated model with the highest accuracy on average is given by  $(A_{11}, B_{11}) =$ (−78.67, 77.17). The responses of *y*<sup>1</sup> in open-loop via this estimated model (simulation) and experiment for the three types of movements are shown in Figure [7.](#page-5-1)

<span id="page-5-2"></span>

<span id="page-5-1"></span>FIGURE 7. Comparison of the output  $y_1$  in open-loop via simulation and experiment.

### B. CONTROL SYNTHESIS AND PERFORMANCE **EVALUATIONS**

Applying Result [1](#page-4-5) with  $Q = 1.1$  and  $R = 0.2$  to the best estimated model from Table [3,](#page-5-0) we obtained an optimized



<span id="page-6-0"></span>**FIGURE 8.** Output  $y_1$  from the experiment with corresponding duty cycles for Movement 1. Both methods show a large overshoot due to unstable movement of the user at the beginning (at  $t \leq 3$ s). Slightly larger overshoots are seen from the response of  $FK_n$  at  $t \approx 7.5$ s,  $t \approx 15.5$ s and  $t \approx 18$ s.



<span id="page-6-1"></span>FIGURE 9. Output  $y_1$  from the experiment with corresponding duty cycles for Movement 2. Larger overshoots are seen from the response of  $FK_n$ due to the duty cycles which go beyond the limits (at  $t \approx 2$ s,  $t \in (8, 11)$ s and  $t \in (19, 21)$ s).

compensator with  $F_1 = 1.5378$  and  $K_1 = 2.5572$ . In order to test the position tracking performance of the main actuator, a suitable angle of  $v = -10°$  was selected. The responses of *y*<sup>1</sup> with respect to Movements 1,2 and 3 together with the corresponding duty cycles (which map  $(0, 1)$  to  $(0^{\circ}, 180^{\circ})$ ) are illustrated in Figures [8,](#page-6-0) [9](#page-6-1) and [10](#page-6-2) respectively. The yellow line (i.e. *ref*) represents  $r_1 = v$  whereas  $FK_{opt}$  and  $FK_n$ denote the *y*<sup>1</sup> responses via the optimized and non-optimized (i.e.  $K_1 = 1$ , and  $F_1 = 1$ ) compensators respectively. From the figures, it is observed that both methods are generally able regulate the output at  $r_1$ . However, relatively larger positional fluctuations can be seen from the response via *FK<sup>n</sup>* for each movement. This is mainly due to the non-optimized duty cycles (or control signals) which go beyond the  $\phi_L$ limits for a certain period of time during the movements. The responses via *FKopt* on the other hand show a significant improvement as the compensator's parameters have been optimized to ensure the duty cycles stay within the constraints for all movements. The corresponding integral of absoulte



<span id="page-6-2"></span>**FIGURE 10.** Output  $y_1$  from the experiment with corresponding duty cycles for Movement 3. Slightly larger overshoots are seen from the response of  $FK_n$  at  $t \in (0, 1)$ s,  $t \in (7, 8)$ s and  $t \in (13, 14)$ s.

errors (IAEs), i.e.

<span id="page-6-4"></span>
$$
IAE = \int_0^\infty |e(t)|dt, \quad e(t) = r_1(t) - y_1(t) \tag{18}
$$

are summarized in Table [4,](#page-6-3) and it can also be concluded that the method proposed in Result [1](#page-4-5) provides a significant reduction in terms of the position errors.

<span id="page-6-3"></span>**TABLE 4. Performance evaluation for the response of**  $y_1$  **via optimized** and non-optimized compensators.

	IAE (rad)					
<b>Compensator</b>	Movement 1	<b>Movement 2</b>	Movement 3			
$FK_n$	5.53	5.67	2.79			
$FK_{opt}$	4.01	2.55	112			

With regard to the obstacle avoidance, the controllers  $F_2$  $F_2$  and  $F_3$  have been designed via Result 2 with

$$
A_{f3} = -1.5, \quad B_{f3} = 1.5, \quad C_{f3} = 1; \quad D_{f3} = 0; \quad (19)
$$
  

$$
\begin{bmatrix} -0.5 & 0 & 0 \end{bmatrix}
$$

$$
A_{f2} = \begin{vmatrix} 0 & -0.5 & 0 \\ 0 & 0 & -0.55 \end{vmatrix}
$$
 (20)

$$
B_{f2} = \begin{bmatrix} 0.5 \\ 0.5 \\ 0.55 \end{bmatrix}, \quad C_{f2} = \begin{bmatrix} 1 & 1 & 1 \end{bmatrix} \quad D_{f2} = 0_{3 \times 3} \quad (21)
$$

For the implementation on the microcontroller,  $F_2$  and  $F_3$ were discretized via bilinear trasformation method with a sampling time of 0.2s. The signal  $x_3$  was configured to output "1" when there is a drop-off, and "0" otherwise. The thresholds of  $\epsilon = 30$ ,  $\epsilon_l = \epsilon_u = 20$  have also been selected after the system calibrations.

In order to evaluate the performance via  $y_2$ ,  $y_3$  and  $y_4$ , six different experiments were structured as depicted in Figure [11](#page-7-0) where Experiments 1,2,3,4,5 and 6 were represented by subfigures (a), (b), (c), (d), (e) and (f) respectively. The smart cane user is indicated by the blue circle whereas the static obstacle on the ground is denoted by the grey rectangle.



<span id="page-7-0"></span>**FIGURE 11.** Experiments for the obstacle avoidance performance evaluations; the user is represented by the blue circle and the static obstacle on the ground is represented by the grey rectangle. Expected drop-off areas are indicated by the small dark-red circles. The dashed red lines show the user's predefined walking path and direction (with speed approximately at 20cm/s). The specified distances/length are  $a = 50$ ,  $b_1 = 120$ ,  $b_2 = 150$ ,  $b_3 = 130$  and  $c = 45$ . From left to right: (a) Obstacle on the left; (b) Obstacle on the right; (c) Obstacle at the center; (d) A hanging obstacle at the center; (e) A non-moving human as a high obstacle at the center; (f) A human walking from left to right at around 60cm/s as a moving obstacle.

The dashed red lines show the user's predefined walking path and direction (with speed approximately at 20*cm*/*s*). Figure [12](#page-7-1) illustrates the side views for the experiments with obstacle on the ground and drop-off. The smart cane was attached to a 1.16m walking stick for a user with a height of 155cm. The angles  $\theta_h$ ,  $\theta_m$  and  $\theta_g$  (as depicted in Figure [4\)](#page-3-0) were respectively fixed to 50 $\degree$ , 40 $\degree$  and 45 $\degree$ . For all the experiments, the user walked from the same starting point, and the obstacles were initially  $b_j$  ( $j = 1, 2, 3$ ) cm away from the user. Experiments 1, 2 and 3 were designed to analyse the obstacle detection performance when a static obstacle with a height of 20cm on the ground was placed on the left, right and at the center with respect to the user, whereas for a hanging obstacle (approximately at the user's head-level), static and moving humans, the performance were evaluated via Experiments 4,5 and 6 respectively. To test the controller's performance for  $S_g$ , the drop-off areas are included in Experiments 4 and 6 as indicated by the small dark-red circles.



<span id="page-7-1"></span>**FIGURE 12.** Side views for experiments with obstacle on the ground (top) and drop-off (bottom).

<span id="page-7-2"></span>TABLE 5. Performance evaluations from  $y_2$ ,  $y_3$  and  $y_4$  responses via open-loop (OL) and Result [2](#page-5-2) (R2.2).

		<b>Total Error (TE)</b>								
$y_i$	Method	Exp 1	Exp 2	Exp 3	Exp 4	Exp 5	Exp 6			
$y_2$	OL	6.22	12.9	28.0	48.7	109	24.5			
	R2.2	1.79	6	14	30.9	79.4	5.8			
$y_3$	OL.	N/A	N/A	N/A	4	N/A	3			
	R <sub>2.2</sub>	N/A	N/A	N/A	0.53	N/A	0.63			
У4	OL	9	10	15	N/A	15	N/A			
	R <sub>2.2</sub>	$\overline{c}$	2	2	N/A	$\mathfrak{D}$	N/A			

Let  $y_{ir}(i = 2, 3, 4)$  be the expected output signals of  $y_i$ where  $y_{2r}$  and  $y_{3r}$  are the desired user alerts for obstacle position and drop-off as in requirement (A1), and *y*4*<sup>r</sup>* is the desired alert to satisfy (A[2](#page-5-2)). Also, let  $y_{ib}$  denote  $y_i$  when Result 2 is applied, and *yix* be *y<sup>i</sup>* produced via open-loop mode. The responses for *y<sup>i</sup>* were recorded in Figures [13,](#page-8-0) [14,](#page-8-1) and [15](#page-9-0) which also showed the corresponding  $x_i$  and  $\beta_i$ . From the figures, it was observed that the proposed method is able to significantly reduce the number of false alerts as can be seen from the responses of  $y_{ib}$  and  $y_{ix}$ . This was mainly due to the compensators  $F_i$  that produced filtered output  $\beta_i$ from the raw sensor signals  $x_i$  which usually suffered from the sudden drop-to-zero issues. With regard to Experiment 6 where another person was moving fastly from left to right at  $b_3$  cm in front of the user, the proposed method was also capable to reduce the number of alerts as can be observed from the last column in Figure [14.](#page-8-1) This in turn satisfied the requirement in (A3).

The total error (TE) for quatitative performance evaluation of *y*<sup>2</sup> was calculated similar to the IAE as in [\(18\)](#page-6-4), with



<span id="page-8-0"></span>FIGURE 13. The responses of  $x_2$ ,  $\beta_2$  and  $y_2$  for Experiments 1,2 and 3 are represented by the left, middle and right subfigures respectively.



<span id="page-8-1"></span>FIGURE 14. The responses of  $x_2$ ,  $\beta_2$  and  $y_2$  for Experiments 4,5 and 6 are represented by the left, middle and right subfigures respectively.

*y*<sup>1</sup> and *r*<sup>1</sup> replaced by *y*<sup>2</sup> and *y*2*<sup>r</sup>* . For *y*<sup>3</sup> and *y*4, the TEs were evaluated slightly different than that for *y*<sup>2</sup> to accommodate the nature of  $u_{b3}$  and  $u_{b4}$  and suitability of the alerts to the user. To this end, let  $N_e$  be the the number of false readings,



<span id="page-9-0"></span>**FIGURE 15.** The responses of y<sup>3</sup> and y<sup>4</sup> for performance evaluations of requirement (A2) (via Experiments 1,2,3 and 5) and drop-off detection (via Experiments 4 and 6).



**FIGURE 16.** Prototype smart cane.

<span id="page-9-1"></span>and  $\tau_d$  be the delay between  $y_3$  and  $y_{3r}$  in seconds. The corresponding TEs read

$$
TE_{y_3} = \int_0^\infty (W_1 N_e + W_2 \tau_d) dt, \tag{22}
$$

$$
TE_{y_4} = \int_0^\infty W_1 N_e dt, \qquad (23)
$$

where  $W_1 = 1$  and  $W_2 = 0.5$  were the preferred weights. From the recorded results in Table [5,](#page-7-2) it is clearly seen that all the TEs via applications of Result [2](#page-5-2) are significantly smaller than those via the open-loop method. Thus, the design requirement in (A4) was satisfied. The prototype smart cane that was tested throughout the experiments is shown in Figure [16](#page-9-1) where the HC-SR04 ultrasonic sensors were used for *Sh*, *Smr* and *Sml*, the Sharp GP2Y0A21YK0F infrared sensor for  $S_g$  and MMA7361 accelerometer for  $S_g$ .

#### **IV. DISCUSSIONS AND CONCLUSIONS**

In this work, we have proposed a multi-sensor obstacle detection system for a smart cane via model-based

state-feedback control strategy to regulate the detection angle of the sensors and minimize the false alerts to the user. The sensors' positions were further optimized via an LQR-based controller while the sensor signals sent to the users were filtered out via dynamic feedback compensators to minimize the false alerts. The effectiveness of the approach has been verified via the designed experiments, and the numerical results have shown that the proposed method can provide significant improvements over the conventional methods in terms of error reductions.

For future work, the techniques proposed can be adopted and modified to suit other ETAs with different designs such as the wearable assitive devices for the blinds. A survey among the visually challenged on the convenience of the prototype via several experiments may also be useful to enhance the usability of the device.

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