

Received October 15, 2017, accepted December 1, 2017, date of current version June 5, 2018. *Digital Object Identifier 10.1109/ACCESS.2018.2832088*

Multiple Access for Visible Light Communications: Research Challenges and Future Trends

SARAH S. BAWAZIR¹, (Stu[den](https://orcid.org/0000-0001-8389-0966)t Member, IEEE), PASCHALIS C. S[OFO](https://orcid.org/0000-0003-4649-9399)TASIOS^{®1,2}, (Senior Member, IEEE), SAMI MUHAIDAT^{®1,3}, [\(Se](https://orcid.org/0000-0001-6469-9154)nior Member, IEEE), YOU[S](https://orcid.org/0000-0001-8810-0345)OF AL-HAMMADI^{©1}, (Member, IEEE), AND GEORGE K. KARAGIANNIDIS^{©4}, (Fellow, IEEE)

¹ Department of Electrical and Computer Engineering, Khalifa University, Abu Dhabi 127788, United Arab Emirates
² Department of Electronics and Communications Engineering, Tampere University of Technology, 33101 Tamper

³Centre for Communication Systems Research, Department of Electronic Engineering, University of Surrey, Guildford GU2 7XH, U.K.

⁴Department of Electrical and Computer Engineering, Aristotle University of Thessaloniki, 54124 Thessaloniki, Greece

Corresponding author: George K. Karagiannidis (geokarag@auth.gr)

This work was supported by the ICT Fund Grant.

ABSTRACT The ever-increasing demand of mobile Internet and multimedia services poses unique and significant challenges for current and future generation wireless networks. These challenges are mainly related to the support of massive ubiquitous connectivity, low latency, and highly efficient utilization of spectrum resources. Therefore, it is of a paramount importance to address them in the design and deployment of future wireless networks. To this end, this paper provides a comprehensive overview of multiple access schemes in the context of visible light communications (VLC). Specifically, we initially provide a thorough overview of frequency-domain multiple access techniques for single- and multi-carrier systems, which is then followed by an in-depth discussion on the technical considerations of optical code-division multiple access techniques and their adoption in indoor VLC applications. Furthermore, we address space-division multiple access and, finally, we revisit and analyze a new promising technology, namely, non-orthogonal multiple access (NOMA). It is shown that NOMA exhibits significant benefits in VLC systems that can outperform conventional multiple access schemes, rendering it a particularly effective solution. Furthermore, it is demonstrated that it can coexist with the above optical multiple access schemes, which can maximize the performance and efficiency of future VLC systems. However, it is also shown that the potential of NOMA in VLC systems requires efficient addressing of a series of related challenges and constraints, such as fast and effective successive interference cancellation techniques, compensation and mitigation of LED non-linearity, and imperfect and/or outdated channel state information.

INDEX TERMS Non-orthogonal multiple access, optical wireless communications, visible light communications, optical multiple access.

I. INTRODUCTION

Visible light communication (VLC) is an evolving communication technology that has been proposed as a promising candidate for high speed communications, particularly in indoor environments. In VLC, the lighting infrastructure can be used to provide both illumination and connectivity. This is due to the significant advancement in light emitting diodes (LEDs) technology [1], which enabled the variation of the intensity of light at very high frequencies, rendering data transmission over light possible without being perceived by the human eye. This process is known as intensity modulation (IM); then, at the receiving end and in a process called direct detection (DD), a photodetector or an image sensor is used to detect the changes of the light intensity [1].

A. FEATURES AND LIMITATIONS OF VLC

VLC is an attractive alternative to conventional radio communications for indoor environments [2], particularly in demanding scenarios with increased quality of service requirements. This promising technology has been shown to exhibit unique characteristics and potential as apart from providing high speed connectivity and unlimited bandwidth, it has the following distinct advantages:

- VLC spans 389 terahertz of unregulated spectrum, i.e. from 400 THz (red) up to 789 THz (violet), which lies in the visible light range of the electromagnetic spectrum.
- Wavelengths corresponding to visible light frequencies have been shown to be safe to the human body.
- IM/DD-based VLC systems consist of relatively inexpensive optoelectronic devices at both transmitter and receiver sites, namely, LEDs and photodiodes.
- Visible light cannot penetrate through walls and objects which enables the following: i) The use of LEDs as small cells that can provide high quality services without intercell interference; ii) Inherently secure wireless communication, i.e. no eavesdropping.

Nevertheless, despite the above remarkable advantages, one of the major challenges in VLC is the limited modulation bandwidth of the light sources [2]. This is technically a hardware constraint that constitutes the main challenge in the development of high data rate VLC links. However, this issue can be effectively resolved with the aid of multiple access techniques, high order modulation schemes and frequency reuse.

B. CONVENTIONAL AND EMERGING MULTIPLE ACCESS SCHEMES

Radio communication-based multiple access (MA) techniques have demonstrated a distinct potential in efficiently sharing the available network resources among a large number of users. This has been typically realized by means of frequency or time division that constituted frequency division multiple access (FDMA) and time division multiple access (TDMA) schemes, which were employed in the first generation (1G) and second generation (2G) cellular technology.

On the contrary, 3G cellular technology was based on code division multiple access (CDMA), which allowed the assignment of frequency bands and time intervals to different users with a distinct code that provided immunity from the resulting interference. In the context of power domain, multiple users can share time and frequency resources simultaneously by means of power domain multiplexing. A typical example is the recently introduced concept of non-orthogonal multiple access (NOMA), which is a power domain multiplexing technique where multiple users transmit and receive over the same frequency band at the same time interval using varying power levels. It has been shown that this technique is particularly promising in emerging radio-frequency and optical wireless communications as it has the potential to provide considerably increased system throughput and support effectively ubiquitous connectivity.

It is noted that the majority of conventional MA schemes proposed for RF systems cannot be applied directly to VLC systems without essential modifications. For example, IM and DD systems require real and non-negative information signals [2]; as a result, intermediate processing should be considered at the transmitter in order to transform complex symbols into real and positive valued symbols. A summary of these processes is presented in the following section. Based on the above, the aim of this paper is to provide a comprehensive review of multiple access techniques that are capable of facilitating increased data rates and bandwidth efficiency in the context of VLC systems. This review also includes a thorough presentation of the current technical challenges

and constraints relating to these techniques, which provides essential insights that are expected to be useful in the design and deployment of such systems. In this context, it is shown that the recently introduced NOMA concept can be particularly effective in the context of VLC systems due to its distinct characteristics that it has over conventional multiple access schemes. Furthermore, it can effectively coexist with conventional multiple access techniques in a complementary manner that provides optimum trade offs of system performance and reduced complexity.

The distinct potentials of optical NOMA are presented in the context of VLC providing useful insights on the associated characteristics and challenges that need to be adequately addressed prior to deployment of NOMA based VLC systems. In this context, we also discuss the need for effective solutions for numerous limiting factors that are expected to be critical in the efficient and robust operation of NOMA based VLC systems, such as imperfect successive interference cancellation (SIC), LED non-linearity and outdated channel state information (CSI).

II. OPTICAL FREQUENCY DOMAIN MULTIPLE ACCESS TECHNIQUES

Orthogonal frequency division multiplexing (OFDM) was introduced as a key enabler for high speed communications. In the context of VLC systems, as data rate increases the limited bandwidth of the involved LEDs leads to inter-symbol interference (ISI), which can be minimized with the aid of effective equalization-enabled techniques. Also, OFDM is an efficient technique in terms of ISI as it is based on the use of a large number of low rate orthogonal subcarriers to achieve increased throughputs. It is recalled that OFDM-based receivers require a simple one tap equalizer [3] which reduces the complexity of the system. Fig. 1 illustrates the transceiver structure of a typical OFDM system. However, there are certain limitations in OFDM realization in the context of VLC systems that cannot be considered negligible. Specifically, conventional OFDM generates complex and bipolar waveforms which are not compatible with the IM/DD schemes employed in VLC. This issue can be effectively resolved by exploiting the Hermitian symmetry on the subcarriers in the frequency domain to produce a real OFDM signal, which is suitable for VLC systems. Yet, the resulting signal is still bipolar; as a result, several new schemes that provide unipolar and real time-domain OFDM signals were proposed for ensuring compatibility with VLC systems. In more details, the following techniques have been reported for resolving the aforementioned issue of the involved bi-polarity:

- DC-biased Optical OFDM.
- Asymmetrically Clipped Optical OFDM.
- Asymmetrically Clipped DC-biased Optical OFDM.
- Polar OFDM.

As already mentioned, MA schemes constituted a core part of all generations of telecommunication systems as FDMA, TDMA and CDMA were adopted in 1G, 2G and 3G,

FIGURE 1. Optical OFDM transmitter and receiver.

respectively. Likewise, orthogonal frequency division multiple access (OFDMA) is an MA scheme that is based on OFDM and was adopted in 4G systems. Based on its distinct advantages, OFDMA has been also considered as an effective technology in optical communication systems. The corresponding OFDM-based MA schemes used in the context of VLC are as follows:

- Optical Orthogonal frequency-division multiple access (OFDMA).
- Optical OFDM-interleave division multiple access (OFDM-IDMA).

It is noted here that OFDMA is considered, naturally, an extension of OFDM where each user is allocated a group of subcarriers in each time slot, depending on the corresponding traffic requirements and channel conditions. Furthermore, it is noted that OFDM-interleave division multiple access (OFDM-IDMA) is a hybrid scheme between OFDM and IDMA technologies.

A. OFDMA VERSUS OFDM-IDMA

In what follows, we provide a comparison between OFDMA and OFDM-IDMA schemes. To this end, it is recalled that both multi-carrier schemes are asymmetrically clipped at zero, after the OFDM modulation, in order to be rendered compatible with IM/DD. It is also recalled that users in IDMA schemes are separated by distinct random chip-level interleaving. Based on this, an iterative chip-by-chip multiuser detection is employed at the receiver site in order to recover the data of each user. In this context, it was shown in [3] that OFDM-IDMA outperforms OFDMA when the signal-tonoise ratio (SNR) is above the 10 dB threshold for a system with modulation size of $M = 16$. It is also shown that optical OFDM-IDMA exhibits the advantage of being more power efficient, compared to optical OFDMA, while its decoding complexity is linear with respect to the number of users and independent from the number of paths [3]. On the contrary, optical OFDMA exhibits the advantage of a lower decoding complexity and a slightly lower peak-to-average power ratio (PAPR) compared to optical OFDM-IDMA schemes.

B. SINGLE-CARRIER FDMA

Single-carrier frequency division multiple access (SC-FDMA) is a multiple access scheme that is based on the concept of frequency division and its main advantage is the relatively low PAPR. Its distinct usefulness is also evident by the fact that its adoption in the context of VLC can assist in effectively overcoming the incurred LED nonlinearities. To this end, Kashef *et al.* [4] investigate the performance of SC-FDMA for a multiuser data transmission in a VLC setting consisting of a VLC access point and multiple VLC receiving devices. Based on this, they optimize the sub-carrier power allocation and the total transmission power, in order to maximize the minimum achievable data rate under the transmission power constraints imposed by room lighting requirements. The access point considers SC-FDMA to overcome the clipping effect that results from the limited dynamic range of the LED. Furthermore, each receiving terminal is equipped with minimum mean square error (MMSE) based linear frequency domain equalizer (FDE). It is also recalled here that high PAPR and the limited dynamic range of the components of the system constitute the main challenges affecting OFDM-based schemes in VLC systems.

III. OPTICAL CODE DOMAIN MULTIPLE ACCESS TECHNIQUES

It is recalled that code division multiple access (CDMA) is a technique which assigns a dedicated code to each user in order to enable simultaneous transmission or reception over the same frequency band. At the receiving end, the user correlates the received signals with their designated code and proceeds in the decision accordingly. Optical CDMA (O-CDMA) operates in a similar manner as RF- based CDMA with the difference that O-CDMA uses optical codes proposed by [5] and are termed as optical orthogonal codes (OOCs). It is emphasized in [5] that the performance of optical CDMA is bounded by the choice of the high rate signature sequences. Also, in order to guarantee optimal recovery at the receiver, the codes must satisfy the following two conditions:

- Each code can be clearly distinguished from a shifted version of itself.
- Each code should be clearly distinguished from a possibly shifted version of every other sequence in the set.

As stated in [6], these codes are harder to generate for a large number of users. Motivated by this, Guerra-Medina *et al.* [6] proposes random optical codes (ROCs) in order to spread the bandwidth of each signal. However, although ROCs are relatively easier to generate

compared to OOCs, they are practically suboptimal as their simplicity comes at the expense of performance degradation.

The analysis in [7] considers color-shift-keying alongside CDMA to provide a high capacity multiple access system. Importantly, it is proved that the proposed system exhibits a 3-dB transmission gain for each user compared to on-off keying (OOK). In the same context, the contribution in [8] discusses a multi-carrier (MC) CDMA-based indoor optical wireless scheme that can be technically considered a hybrid between OFDM and CDMA techniques. In MC-CDMA, the data symbols in the frequency domain of each user are spread over OFDM subcarriers and the aggregate sum of the frequency domain symbols from the different users is subsequently represented in the time domain through OFDM modulation. In addition, it was shown in [8] that sub-carrier selection can be utilized to reduce the average-transmit optical power in MC- CDMA along with sub-carrier selection criteria for both pre-equalization and post-equalization. However, there is also a number of limitations in O-CDMA schemes. For example, in order to achieve optimal performance, longer OOC signature sequences are generated at the expense of reducing the achievable data rates [1]. Based on this, a spectrally efficient scheme was reported in [1], and the references therein, where M-ary information is transmitted by utilizing different cyclic shifts of the assigned sequence. This concept is known as code cycle modulation (CCM). Nevertheless, despite the usefulness of this approach, its main challenge is its inability to support dimming, which results to the undesired requirement of designing complex receivers [1].

IV. CARRIER SENSE MULTIPLE ACCESS TECHNIQUES IN IEEE 802.15.7

A number of multiple access techniques are currently supported by the IEEE 802.15.7 Standard for Short-Range Wireless Optical Communication using visible light communications, which include: 1) non-beacon disabled mode with/without CSMA/CA, (2) beacon-enabled mode with/without CSMA/CA [9]. In the former, the beacondisabled CSMA/CA uses unslotted random channel access, whereas, in the latter, the time is divided into beacon intervals. Within the beacon intervals there are contention access periods (CAPs) and contention free periods (CFPs) [9]. In this set up, if a node has data to transmit, then it will locate the start of the next back-off slot and wait for a random number of back-off slot before it performs a clear channel assessment (CCA). If the channel is idle, the node begins to transmit its own signal; on the contrary, if the channel is busy, then the node waits for a random number of back-off slots before reattempting the CCA [1]. It is noted that, in a certain beacon interval and during CFPs nodes running delay or bandwidth constrained, applications will be allocated guaranteed time slots (GTS). Unfortunately, CSMA/CA as defined by the IEEE standard for VLC incurs delay and energy inefficiency in the system. The work in [10] presents a medium access scheme to improve IEEE 802.15.7 CSMA/CA-based

FIGURE 2. Space division multiple access.

networks. Another challenge for CSMA/CA is the hidden node problem, in which a transmitting node is not able to see other nodes in its field of view, causing collision and performance degradation. The work in [11] investigates the performance degradation in IEEE 802.15.7, taking into account the effect of hidden nodes in the performance of a VLC network.

V. OPTICAL SPATIAL DIVISION MULTIPLE ACCESS TECHNIQUES

In a space division multiple access (SDMA) system, spatial diversity is employed to provide shared frequency-time resources among a group of users. In a conventional RF-SDMA system, an antenna array is used to simultaneously generate a number of narrow beams corresponding to the locations of active users, which enables multiple users to be served at the same time slot [12]. However, it is recalled that SDMA-based transmission in RF requires multiple chains and complex beam-steering algorithms [15] that are not necessary in VLC systems. The underlying reason is that LEDs have limited field-of-views (FOVs) inherently, which enables them to generate directional light beams as illustrated in Fig. 2.

The analysis in [12] utilizes an angle diversity transmitter to enable parallel transmissions. It was shown that when the number of transmitting elements increases, the improvement of the throughput increases significantly. In addition, it was demonstrated that an optical SDMA system can improve the system throughput over ten times more than an optical TDMA system [12]. In the same context, Yin *et al.* [13] proposed a low-complexity suboptimal algorithm for a coordinated multi-point (CoMP) VLC system with SDMA grouping. It was shown that this algorithm, i.e. random pairing (RP), improves the system performance and fairness-throughput trade-off. The comparison was conducted against two distinct baselines, namely, a system employing coordination with no SDMA grouping and a system employing FDMA with no coordination. Fig. 3a demonstrates that the fairness throughput, which is measured by Jains index of fairness (JIF), of the

FIGURE 3. Performance and fairness trade-off in CoMP with and without SDMA grouping [17] (a) Fairness: JIF vs. user loading; (b) Performance: ASE vs user loading.

proposed RP algorithm is higher than the one corresponding to the CoMP system without SDMA grouping, for different user loadings, i.e. number of user equipment (UEs) in an attocell. On the contrary, the fairness-throughput of FDMA with no frequency reuse is higher than that of the other two systems. Fig. 3b illustrates the performance of the three systems in terms of the area spectral efficiency (ASE). It is observed that the performance of CoMP without SDMA grouping is high for low user loadings; yet, as the number of user loadings increase, the system exhibits a significant reduction of the ASE. On the contrary, a system employing the RP algorithm is more robust to the increase of the number of UEs and maintains higher performance. Finally, it is evident that the FDMA VLC system has low efficiency compared to other systems due to the lack of frequency reuse [13].

VI. OPTICAL NON-ORTHOGONAL MULTIPLE ACCESS

The previous sections provided a comprehensive review on the characteristics of conventional multiple access schemes

and on their use in VLC systems. In this section, we focus on non-orthogonal multiple access (NOMA), which has been recently proposed as a particularly promising solution that is capable of increasing system throughput and accommodate ubiquitous connectivity in VLC systems. The distinct characteristic of NOMA is that it enables users to access communication channels simultaneously by allowing each of them to access the entire bandwidth simultaneously. This can be realized through the principle of power-domain multiplexing (PDM), in which different users are allocated different power levels, depending on the corresponding channel conditions [14].

It is recalled that NOMA was proposed for future radio access [14] in order to achieve high capacity gains and system throughput. Since its inception, this concept was intended for RF systems; however, it can also be applicable and arguably more valuable in VLC systems for the following reasons:

- It is flexible and efficient in multiplexing a small number of users, which could be a limitation when it comes to RF system requirements. However, this does not constitute an issue in indoor VLC systems because a single light fixture can only serve users located in its close proximity, which practically limits the number of users requiring service.
- NOMA receivers rely on channel state information (CSI), which can be estimated relatively accurately in a VLC system due to the fundamentally low mobility of indoor wireless device.

Therefore, it is evident that NOMA has the potential to outperform the aforementioned common multiple access schemes, providing useful solutions to the challenges of the next generation of wireless communications and beyond. However, successful operation of NOMA in VLC requires solutions to numerous associated challenges and constraints, which are discussed below.

A. OPERATION OF NOMA

The basic principle underlying NOMA is the superposition of signals in the power domain. To this end, more power is allocated to the farthest users in order to compensate for the corresponding degradations due to the distant location from the transmitter [14]. To this effect, NOMA-based receivers perform successive interference cancellation (SIC), which practically exhibits a relatively low decoding complexity [14]. This process is essential in enabling each user to extract its own information signals without interference from signals intended for other users. Yet, effective SIC requires accurate knowledge of CSI in order to determine the allocated power for each user, and the decoding order [2], [16]. Such information is readily accessible in indoor VLC due to the practically deterministic nature of the wireless channel. In fact, this is the core advantage of NOMA in the context of VLC over RF-based NOMA, which is additionally evident by the fact that SIC performs better with a small number of users [15], [16].

FIGURE 4. Boundary of rate pairs comparison between NOMA and OFDMA assuming perfect interference cancellation [15].

B. NOMA VERSUS OFDMA

Given the distinct advantages of NOMA and OFDMA, it is considered essential to provide a comparison between these two technologies leading to useful insights on their applicability in the context of VLC systems. Based on this, NOMA was thoroughly analyzed in [15] and compared against OFDMA in the context of a VLC downlink scenario with two users. DC- biased Optical OFDM (DCO-OFDM) was adopted for both NOMA and OFDMA to convert the bipolar signal into a unipolar signal. It was demonstrated that when perfect interference cancellation is assumed, NOMA achieves higher data rates for both users except for the case that the rates are equal to the single user capacity, as illustrated in Fig. 4. On the contrary, NOMAs performance begins to deteriorate when interference cancellation becomes imperfect. For example, for a cancellation error of 1%, NOMA still outperforms its OFDMA counterpart; nevertheless, when the involved error is greater than 2%, NOMAs performance degrades rapidly. In the same context, the authors in [2] developed a framework for a downlink NOMA scheme in an indoor multi-LED VLC system.

This work utilizes a novel channel-dependent gain ratio power allocation (GRPA) strategy in order to maximize the corresponding users sum rate. Unlike the static power allocation approach, GRPA considers the channel conditions for each user in order to allocate power fairly and efficiently [2]. Based on this, the amount of power allocated to each user depends not only on the channel gain of that user but also on its decoding order as well as the gain of the first sorted user [2]. To this effect, it is shown that GRPA compensates for the channel difference among users, leading to a higher capacity for the same channel conditions as compared with the static power allocation. For example, at a BER of 10^{-3} , using the static power allocation results in accommodating four users, whereas using GRPA results in accommodating six users.

The performance of NOMA can be enhanced further in VLC by tuning the receivers field of view (FOV) and the

FIGURE 5. Ergodic sum rate for different number of users [17].

transmission angles [2], [17]. It is shown in [2] that for a small number of users, i.e. less than five users, tuning the transmission angles and FOVs results in increasing the sum data rate. On the contrary, as the number of users increases, the power allocated to each user decreases. Therefore, it is evident that tuning the transmission angles puts the users at the edge of the cell at a disadvantage, i.e. the cell edge users in this case can only receive from one transmitter. As a consequence, transmission angles tuning in this case degrades the overall system throughput. Finally, when comparing TDMA with NOMA, it was shown in [17] that the latter is capable of increasing the system capacity by 125%, if LEDs with 30 degrees semi-angle $(=1/2)$ are used. This is illustrated in Fig. 5, while it is noted that the larger the LEDs semi-angle, the higher the corresponding coverage probability.

C. MIMO NOMA IN VLC

As mentioned earlier, tuning the FOVs and transmission angles in VLC systems allows for two additional degrees of freedom that ultimately improve the overall system performance. Therefore, applying MIMO to NOMA brings additional degrees of freedom to further enhance the system performance [18]. This was analyzed extensively in radio communications, e.g. [18] and the references therein. There, it was shown that combining MIMO and NOMA can lead to high spectral efficiency and throughput. Based on this, different scenarios were analyzed in the RF literature. Specifically, the following scenarios were investigated in [18] in the context of RF-based MIMO NOMA systems:

- A base station with multiple antennas and users with a single antenna.
- A multiple-antenna base station and two multipleantenna users.
- A clustering based scenario where users are grouped into small-size groups with NOMA implemented in each group and MIMO detection used to cancel inter-group interference, i.e. inter-cluster interference.

MIMO NOMA can be combined with conventional orthogonal multiple access (OMA) techniques by dividing the system into multiple groups and using NOMA within each group. The contribution of [18] presents a general (uplink/downlink) framework for MIMO-NOMA in RF-based system with higher diversity. Likewise, the authors in [19] investigate optimal power allocation for a layered transmission of MIMO-NOMA and show that the main advantage of a layered transmission lies in the linearly growing complexity of detection/decoding at the users end with the growing number of transmit antennas (or layers).

To the best of our knowledge, no implementations in the context of VLC systems have been reported in the open technical literature. Therefore, considering the above scenarios in VLC is important in obtaining higher data rates, increased capacity and higher spectral efficiency. As a result, it is essential to investigate the corresponding theoretical and technical challenges, and constraints prior to design and deployment of future optical wireless communication systems.

D. OPEN PROBLEMS AND POTENTIAL SOLUTIONS

It is evident that the required SIC process in NOMA based systems imposes intensive computational requirements as each receiver has to perform SIC in order to decode the signal that is intended for it. Thus, the corresponding receiver complexity can be a drawback to NOMA [15], while its performance is susceptible to small SIC errors. Thus, in depth investigations should be carried out on how to address the efficiency and sensitivity of SIC receivers. Furthermore, more practical investigations on improving multiuser power allocation algorithms are necessary along with extensive tests on the corresponding performance in the case of large numbers of users. Also, the relationship between FOV and both the decoding order and the distance of the user from the transmitter, should be characterized and quantified. Also, realistic mobility scenarios in VLC systems should be analyzed in detail to ensure accurate and reliable results that will be useful in the successful deployment and operation of VLC systems.

In the same context, it was recently shown that the performance of NOMA based VLC systems depends on the quality of channel estimates as imperfect or outdated channel state information (CSI) can lead to increased error rate performance [16]. In addition, the associated issue of LED nonlinearities, needs to be thoroughly addressed in the context of NOMA, particularly in the presence of imperfect SIC and CSI. To this effect, novel compensation and mitigation techniques must be thought and proposed that will provide increased LED linearity and immunity to slight SIC and CSI imperfections, that are incurred in practical communications. Given also that optical NOMA can coexist efficiently with conventional multiple access schemes, it is important to quantify the benefits of any new methods in the context of realistic indoor VLC scenarios. This is expected to provide important solutions that will maximize the potential of NOMA in VLC systems.

In this paper, the major multiple access techniques for visible light communication systems were reviewed, namely, O-FDMA, O-OFDM-IDMA, SC-FDMA, O-CDMA, SDMA and NOMA, alongside discussions and suggestions on the extension of an uprising RF scheme, MIMO-NOMA, in the context of VLC systems. The main advantages and disadvantages of optimal multiple access techniques have been revisited along with the selection criteria for each considered scenario. In general, the main challenges or limitations that are reported in this paper are related to transmitter, receiver and decoder complexity, spectral efficiency and power allocation, compensation and mitigation of LED non-linearity and effective SIC, which are considered particularly useful in the effective design and deployment of efficient and robust VLC systems. It was also highlighted that optical NOMA can be an effective solution for VLC systems as it can also coexist with conventional multiple access schemes in a complementary manner.

REFERENCES

- [1] P. H. Pathak, X. Feng, P. Hu, and P. Mohapatra, ''Visible light communication, networking, and sensing: A survey, potential and challenges,'' *IEEE Commun. Surveys Tuts.*, vol. 17, no. 4, pp. 2047–2077, 4th Quart., 2015.
- [2] H. Marshoud, V. M. Kapinas, G. K. Karagiannidis, and S. Muhaidat, ''Non-orthogonal multiple access for visible light communications,'' *IEEE Photon. Technol. Lett.*, vol. 28, no. 1, pp. 51–54, Jan. 1, 2016.
- [3] J. Dang and Z. Zhang, ''Comparison of optical OFDM-IDMA and optical OFDMA for uplink visible light communications,'' in *Proc. Int. Conf. Wireless Commun. Signal Process. (WCSP)*, Oct. 2012, pp. 1–6.
- [4] M. Kashef, M. Abdallah, and K. Qaraqe, ''Power allocation for downlink multi-user SC-FDMA visible light communication systems,'' in *Proc. 49th Annu. Conf. Inf. Sci. Syst. (CISS)*, Mar. 2015, pp. 1–5.
- [5] J. A. Salehi, "Code division multiple-access techniques in optical fiber networks. I. Fundamental principles,'' *IEEE Trans. Commun.*, vol. 37, no. 8, pp. 824–833, Aug. 1989.
- [6] M. F. Guerra-Medina, O. González, B. Rojas-Guillama, J. A. Martín-González, F. Delgado, and J. Rabadán, ''Ethernet-OCDMA system for multi-user visible light communications,'' *IET Electron. Lett.*, vol. 48, no. 4, pp. 227–228, Feb. 2012.
- [7] S. H. Chen and C. W. Chow, "Color-shift keying and code-division multiple-access transmission for RGB-LED visible light communications using mobile phone camera,'' *IEEE Photon. J.*, vol. 6, no. 6, pp. 1–6, Dec. 2014.
- [8] M. Z. Farooqui and P. Saengudomlert, ''Transmit power reduction through subcarrier selection for MC-CDMA-based indoor optical wireless communications with IM/DD,'' *EURASIP J. Wireless Commun. Netw.*, vol. 138, no. 1, pp. 1–14, 2013.
- [9] S. Arnon, J. R. Barry, G. K. Karagiannidis, R. Schober, and M. Uysal, Eds., *Advanced Optical Wireless Communication Systems*. Cambridge, U.K.: Cambridge Univ. Press, Jul. 2012.
- [10] H. Liu, L. Zhang, and M. Jiang, ''Energy efficient medium access scheme for visible light communication system based on IEEE 802.15.7 with unsaturated traffic,'' *IET Commun.*, vol. 10, no. 18, pp. 2534–2542, Dec. 2016.
- [11] C. Ley-Bosch, I. Alonso-González, D. Sánchez-Rodríguez, and M. A. Quintana-Suárez, ''Analysis of the effects of the hidden node problem in IEEE 802.15.7 uplink performance," in *Proc. Int. Conf. Comput., Inf. Telecommun. Syst. (CITS)*, Jul. 2015, pp. 1–5.
- [12] Z. Chen and H. Haas, "Space division multiple access in visible light communications,'' in *Proc. IEEE Int. Conf. Commun. (ICC)*, Jun. 2015, pp. 5115–5119.
- [13] L. Yin, X. Wu, and H. Haas, "SDMA grouping in coordinated multi-point VLC systems,'' in *Proc. Summer Topicals Meeting Ser. (SUM)*, Jul. 2015, pp. 169–170.
- [14] Y. Saito, Y. Kishiyama, A. Benjebbour, T. Nakamura, A. Li, and K. Higuchi, ''Non-orthogonal multiple access (NOMA) for cellular future radio access,'' in *Proc. IEEE 77th Veh. Technol. Conf. (VTC Spring)*, Jun. 2013, pp. 1–5.
- [15] R. C. Kizilirmak, C. R. Rowell, and M. Uysal, "Non-orthogonal multiple access (NOMA) for indoor visible light communications,'' in *Proc. 4th Int. Workshop Opt. Wireless Commun. (IWOW)*, Sep. 2015, pp. 98–101.
- [16] H. Marshoud, P. C. Sofotasios, S. Muhaidat, G. K. Karagiannidis, and B. S. Sharif, ''On the performance of visible light communication systems with non-orthogonal multiple access,'' *IEEE Trans. Wireless Commun.*, vol. 16, no. 10, pp. 6350–6364, Oct. 2017.
- [17] L. Yin, X. Wu, and H. Haas, "On the performance of non-orthogonal multiple access in visible light communication,'' in *Proc. IEEE 26th Annu. Int. Symp. Pers., Indoor, Mobile Radio Commun. (PIMRC)*, Aug. 2015, pp. 1354–1359.
- [18] Z. Ding, R. Schober, and H. V. Poor, ''A general MIMO framework for NOMA downlink and uplink transmission based on signal alignment,'' *IEEE Trans. Wireless Commun.*, vol. 15, no. 6, pp. 4438–4454, Jun. 2016.
- [19] J. Choi, "On the power allocation for MIMO-NOMA systems with layered transmissions,'' *IEEE Trans. Wireless Commun.*, vol. 15, no. 5, pp. 3226–3237, May 2016.

SARAH S. BAWAZIR received the B.Sc. and M.Sc. degrees from the Department of Electrical and Computer Engineering, Khalifa University, Abu Dhabi, United Arab Emirates, in 2014 and 2016, respectively. She is currently a Research Assistant with Khalifa University. Her research interests include emerging wireless communication systems, with emphasis on nonorthogonal multiple access technology and visible light communication systems.

PASCHALIS C. SOFOTASIOS (S'07-M'12-SM'16) was born in Volos, Greece, in 1978. He received the M.Eng. degree from Newcastle University, U.K., in 2004, the M.Sc. degree from the University of Surrey, U.K., in 2006, and the Ph.D. degree from the University of Leeds, U.K., in 2011. He has held academic positions at the University of Leeds, U.K., the University of California at Los Angeles, Los Angeles, CA, USA, the Tampere University of Technology, Finland, the Aristotle University of Thessaloniki, Greece, and the Khalifa University, United Arab Emirates, where he currently serves as an Assistant Professor at the Department of Electrical and Computer Engineering. His M.Sc. degree was funded by a scholarship from UK-EPSRC and his Ph.D. degree was sponsored by UK-EPSRC and Pace plc. His research interests include digital and optical wireless communications as well as topics relating to special functions and statistics. He serves as a regular reviewer for several international journals and has been a member of the technical program committee of numerous IEEE conferences. He received the Exemplary Reviewer Award from the IEEE COMMUNICATIONS LETTERS in 2012 and the IEEE TRANSACTIONS ON COMMUNICATIONS in 2015 and 2016. He received the Best Paper Award at ICUFN 2013. He currently serves as an Editor for the IEEE COMMUNICATIONS LETTERS.

SAMI MUHAIDAT (S'01–M'08–SM'11) received the Ph.D. degree in electrical and computer engineering from the University of Waterloo, Waterloo, ON, Canada, in 2006. From 2007 to 2008, he was an NSERC Post-Doctoral Fellow with the Department of Electrical and Computer Engineering, University of Toronto, ON, Canada. From 2008 to 2012, he was an Assistant Professor with the School of Engineering Science, Simon Fraser University, Burnaby, BC, Canada. He is currently an Associate Professor with Khalifa University, Abu Dhabi, United Arab Emirates, and a Visiting Professor with the Department of Electrical and Computer Engineering, University of Western Ontario, London, ON, Canada. He is also a Visiting Reader with the Faculty of Engineering, University of Surrey, Guildford, U.K. He was a recipient of several scholarships during his undergraduate and graduate studies. He was a recipient of the 2006 NSERC Post-Doctoral Fellowship Competition. He was a Senior Editor of the IEEE COMMUNICATIONS LETTERS and an Associate Editor of the IEEE TRANSACTIONS ON COMMUNICATIONS, the IEEE COMMUNICATIONS LETTERS, and the IEEE TRANSACTIONS ON VEHICULAR TECHNOLOGY. He currently serves as an Area Editor for the IEEE TRANSACTIONS ON COMMUNICATIONS.

YOUSOF AL-HAMMADI received the B.Sc. degree in computer engineering from Khalifa University, Abu Dhabi, United Arab Emirates, in 2000, the M.Sc. degree in telecommunications engineering from The University of Melbourne, Melbourne, Australia, in 2003, and the Ph.D. degree in computer science and information technology from the University of Nottingham, Nottingham, U.K., in 2009. He is currently an Assistant Professor with the Department of Electrical and Computer Engineering, Khalifa University. His research interests include arti?cial intelligence and pattern recognition with special focus on the development of various detection models in industrial and cyber-security applications.

GEORGE K. KARAGIANNIDIS (M'96–SM'03–F'14) was born in Pithagorion, Greece. He received the University Diploma and Ph.D. degrees in electrical and computer engineering from the University of Patras, in 1987 and 1999, respectively. From 2000 to 2004, he was a Senior Researcher with the Institute for Space Applications and Remote Sensing, National Observatory of Athens, Greece. In 2004, he joined the Faculty of the Aristotle University of Thessaloniki, Greece, where he is currently a Professor with the Electrical and Computer Engineering Department and the Director of the Digital Telecommunications Systems and Networks Laboratory. He is also an Honorary Professor with South West Jiaotong University, Chengdu, China. He has authored or co-authored over 400 technical papers published in scientific journals and presented at international conferences. He is also an author of the Greek edition of the book *Telecommunications Systems* and a co-author of the book *Advanced Optical Wireless Communications Systems* (Cambridge Publications, 2012). His research interests include digital communications systems and signal processing, with emphasis on wireless communications, optical wireless communications, wireless power transfer and applications, molecular communications, communications and robotics, and wireless security. He has been involved as a general chair, a technical program chair, and a member of technical program committees of several IEEE and non-IEEE conferences. He was an Editor of the IEEE TRANSACTIONS ON COMMUNICATIONS, a Senior Editor of the IEEE COMMUNICATIONS LETTERS, an Editor of the *EURASIP Journal of Wireless Communications and Networks*, and several times a Guest Editor of the IEEE SELECTED AREAS IN COMMUNICATIONS. From 2012 to 2015, he was the Editor-in Chief of the IEEE COMMUNICATIONS LETTERS. He is a highly cited author across all areas of electrical engineering and was recognized as a Thomson Reuters Highly Cited Researcher in 2015–2017.

 \sim \sim \sim