

Received April 12, 2018, accepted May 13, 2018, date of publication May 17, 2018, date of current version June 26, 2018. *Digital Object Identifier 10.1109/ACCESS.2018.2837665*

# Secure Comparison Under Ideal/Real Simulation Paradigm

# CHUAN ZHA[O](https://orcid.org/0000-0001-9106-6010) $\mathbf{^{[0]}}$ , $^2$ , shengnan zhao $^3$ , bo zhang $^{1,2}$ , zhongtian jia $^{1,2}$ , ZHENXIANG CHEN<sup>1,2</sup>, AND MAURO CONTI<sup>4</sup>, (Senior Member, IEEE)

<sup>1</sup> Shandong Provincial Key Laboratory of Network Based Intelligent Computing, University of Jinan, Jinan 250022, China <sup>2</sup>School of Information Science and Engineering, University of Jinan, Jinan 250022, China <sup>3</sup>School of Computer Science and Technology, Shandong University, Jinan 250101, China <sup>4</sup>Department of Mathematics, University of Padova, 35122 Padua, Italy

Corresponding author: Zhenxiang Chen (czx@ujn.edu.cn)

This work was supported in part by the National Natural Science Foundation of China under Grants 61702218 and 61672262, in part by the Natural Science Foundation of Shandong Province under Grants ZR2014JL042, ZR2014FL011,and ZR2015FL023, in part by the Shandong Provincial Key Research and Development Program under Grant 2016GGX101001, in part by the CERNET Next Generation Internet Technology Innovation Project under Grant NGII20160404, in part by the Doctoral Program of the University of Jinan under Grant 160100224, in part by the Science and Technology Program of the University of Jinan under Grant XKY1709, and in part by the International Joint Training Program for Young and Middle-aged Scholar of Shandong Province.

**ABSTRACT** Secure comparison problem, also known as Yao's Millionaires' problem, was introduced by Andrew Yao in 1982. It is a fundamental problem in secure multi-party computation. In this problem, two millionaires are interested in determining the richer one between them without revealing their actual wealth. Yao's millionaires' problem is a classic and fundamental problem in cryptography. The design of secure and efficient solutions to this problem provides effective building blocks for secure multi-party computation. However, only a few of the solutions in the literature have succeeded in resisting attacks of malicious adversaries, and none of these solutions has been proven secure in malicious model under ideal/real simulation paradigm. In this paper, we propose two secure solutions to Yao's millionaires' problem in the malicious model. One solution has full simulation security, and the other solution achieves onesided simulation security. Both protocols are only based on symmetric cryptography. Experimental results indicate that our protocols can securely solve Yao's millionaires' problem with high efficiency and scalability. Furthermore, our solutions show better performance than the state-of-the-art solutions in terms of complexity and security. Specifically, our solutions only require  $O(|U|)$  symmetric operations at most to achieve simulation-based security against malicious adversaries, where *U* denotes the universal set and |*U*| denotes the size of *U*.

**INDEX TERMS** Ideal/real simulation paradigm, malicious model, secure comparison, secure multi-party computation, simulation-based security, Yao's millionaires' problem.

#### **I. INTRODUCTION**

Joint computation among various organizations or individuals through the Internet is becoming increasingly frequent given the development of big data and distributed computing technologies. Data owners can obtain valuable information by conducting cooperative computation with others. However, a computation may not only be performed among mutually trusted parties but also among competitors. In the latter case, participants are likely to behave dishonestly and attempt to obtain useful information about the private data of the other participants. Therefore, several security properties, such as privacy of individual inputs, correctness of computation output, and independence of inputs, should be guaranteed during the joint computation among different participants. This type of computation is called secure multi-party computation. It is aimed at constructing secure protocols for multiple participants to compute an objective function over their inputs jointly, while ensuring output correctness and maintaining input privacy against dishonest behaviors.

Yao first proposed secure multi-party computation in FOCS 1982 [1]. An interesting problem is presented in his seminal work. Two millionaires, namely, Alice and Bob, aim to determine the richer one between them while keeping their wealth a secret from each other. This problem is

known as Yao's millionaires' problem. Alice and Bob aim to compute the inequality  $x \leq y$  without disclosing anything other than the result, where *x* and *y* are the private inputs of Alice and Bob, respectively.

Secure multi-party computation [2] is a rapidly developing research area. It has significant influence in both theory and practice of cryptography. As a special case of secure multi-party computation, Yao's millionaires' problem discusses a basic operation in computation and thus has extensive applications in various fields of information security. On the one hand, in many cases, people must at times compare private numbers which are confidential and should not be revealed. Solutions to this problem are widely used in data privacy [3]–[7] and cloud security [8]–[10]. Specific applications include secure bidding and auction [11], privacy-preserving cooperative statistical analysis [12], secure outsourcing computation and cloud storage [13]–[17], and privacy-preserving machine learning [18]–[20]. On the other hand, Yao's millionaires' problem provides building blocks for many theoretical problems in secure multi-party computation, such as private information retrieval [21], [22], private set intersection [23]–[25], oblivious transfer and its variant [26]–[30], and oblivious RAM [31]–[33]. Yao's millionaires' problem, as a building block, has significantly influenced the security and efficiency of the protocols that invoke this problem. The study of secure and efficient solutions to Yao's millionaires' problem is crucial.

#### A. RELATED WORK

Yao's millionaires' problem has attracted considerable attention from cryptographic research community since its proposal. Many solutions to Yao's millionaires' problem have been introduced. The first solution, which was presented by Yao [1] himself, was exponential in time and space. Researchers have focused on decreasing the computation and communication costs of protocol execution to improve its efficiency. Specifically, Cachin [34] used a partially trusted third party to reduce complexities in computation and communication. Fischlin [35] constructed a protocol in semi-honest model using Goldwasser-Micali cryptosystem. Ioannidis and Grama [36] proposed an efficient protocol with suboptimal time and communication complexities. Blake and Kolesnikov [37] presented a protocol using additive homomorphism of the Paillier cryptosystem, whereas Lin and Tzeng [38] suggested a protocol using multiplicative homomorphism of the ElGamal cryptosystem. Blake and Kolesnikov [39] proposed and applied efficient solutions to practical settings, such as secure auctions, using a new primitive conditional encrypted mapping. Recently, Hezaveh and Adams [40] investigated the socialist millionaires' problem and proposed a secure protocol against active adversaries based on Goldwasser-Micali cryptosystem. Liu *et al.* extended Yao's millionaires' problem and aimed to determine  $x \leq y, x > y, x = y$  in one execution. They presented a secure solution to the extended problem using a vectorization method and Paillier encryption scheme [41].

However, all the aforementioned solutions require asymmetric cryptographic operations, and thus remaining inefficient and impractical. Li *et al.* presented a solution to Yao's millionaires' problem based on symmetric cryptography. The key point of their solution is invoking a new efficient protocol for set-inclusion problem [42] . Futhermore, Li *et al.* [43] presented two secure protocols for extended millionaires' problem based on only symmetric cryptographic operations.

In addition to improving execution efficiency, several works have focused on achieving fairness in the millionaires' problem [34], [39], [44], [45]. Several researchers have investigated the multi-party version of the millionaires' problem [41], [46], [47], and certain works have considered computationally unbounded participants [48], [49] to achieve information-theoretical security.

#### B. MOTIVATION AND CONTRIBUTIONS

Yao's millionaires' problem is an important problem in cryptography and secure multi-party computation. Efficiency and security of solutions to this problem significantly influence the outer protocols that invoke it. However, to the best of our knowledge, none of the solutions to Yao's millionaires' problem in the literature is verified to be secure in malicious model with simulation-based security.

Malicious model assumes stronger attacks from the adversary and reflects the reality better than semi-honest model. Participants may arbitrarily deviate from protocol specification according to the instruction of the adversary when these participants are corrupted by a malicious adversary, thereby complicating the case. In most cases, protocols that are proven secure against semi-honest adversaries are not secure in malicious model. Providing security in the presence of malicious adversaries is preferred because privacy, correctness, and other security properties are ensured, even when participants are corrupted by an active adversary with arbitrary attack policy. However, it is costly to compile a protocol secure in semi-honest model to one that is secure against malicious adversaries. Most existing works preserve security against malicious adversaries at the expense of heavy computation or communication costs.

Simulation-based security model is the simplest but the most rigorous among the security models for malicious adversaries. This model measures security by comparing the effect of executing objective protocol with the effect of an ideal world, where a trusted third party helps the participants to complete the objective computation task. Theoretically, simulation-based security in malicious model provides the strongest security level in reality. However, protocols that achieve this level of security are typically difficult to construct and inefficient. To the best of our knowledge, none of the state-of-the-art solutions to Yao's millionaires' problem has achieved simulation-based security. Although several generic protocols have been designed for performing any computation task securely [50]–[57], the investigation of

specialized solutions to Yao's millionaires' problem is necessary to achieve high efficiency.

In this paper, we focus on exploring novel methods for securing Yao's millionaires' problem efficiently against malicious adversaries with simulation-based security. The main contributions of our work are summarized as follows:

- We propose two novel solutions to Yao's millionaires' problem. Both solutions are constructed in malicious model with strong security, that is, simulation-based security. In particular, one solution achieves full simulation security, whereas the other solution attains one-sided simulation security.
- We present a formal proof of security for both solutions under ideal/real simulation paradigm, which provides the simplest but most effective and rigorous method for evaluating the security of cryptographic protocols.
- Our solutions are more efficient than previous works in terms of computation and round complexities. Specifically, our protocols are constructed only through symmetric cryptographic operations and only one round of interaction between the participants in the online phase.
- We conduct experiments on our protocols. The experimental results indicate that both protocols are efficient. In particular, the second protocol is proven sufficiently efficient and scalable to be used in practice.

# C. OUTLINE OF THE PAPER

The rest of this paper is organized as follows. We first review the preliminaries in Section [II,](#page-2-0) including related building blocks and security definitions. Then we present a detailed description of the proposed solutions, provide rigorous security proofs in malicious model under ideal/real simulation paradigm, and analyze the efficiency in computation and round complexities in Section [III.](#page-4-0) Next, experimental results on efficiency and scalability are described in Section [IV,](#page-10-0) and comparison results with related work are presented in Section [V.](#page-10-1) Lastly, we conclude this paper and indicate future work in Section [VI.](#page-10-2)

## <span id="page-2-0"></span>**II. PRELIMINARIES**

In this section, we review several fundamental techniques and basic tools required in this paper, including negligible function, pseudorandom permutation, message authentication code, standard smart card, and security definition.

## A. NEGLIGIBLE FUNCTION

A negligible function is one that is asymptotically smaller than any inverse polynomial function. Thus, we present the following definition:

*Definition 1 (Negligible Function): A function f from the natural numbers to the non-negative real numbers is negligible if for every positive polynomial p, there is an N such that for all integers*  $n > N$ *, it holds that*  $f(n) < \frac{1}{p(n)}$ *.* 

In this paper, we denote a negligible function by negl.

## B. PSEUDORANDOM PERMUTATION

A pseudorandom permutation is a bijective function that cannot be distinguished from a truly random permutation by any polynomial-time observer with practical effort.

We first introduce a keyed function [58] before describing the formal definition of pseudorandom permutation. A keyed function  $F : \{0, 1\}^n \times \{0, 1\}^n \to \{0, 1\}^n$  is a two-input function, where  $n$  is the security parameter. The first input is called the *key*, which is denoted as *k*. In typical usage, a key *k* is selected and fixed. Subsequently, *F* can be transformed into a single-input function  $F_k$ :  $\{0, 1\}^n \rightarrow \{0, 1\}^n$  defined by  $F_k(x) = F(k, x)$ .

The formal definition of pseudorandom permutation based on the definition of keyed function is presented as follows:

*Definition 2 (Pseudorandom Permutation): Let PRP be a*  $k$ eyed function  $P_k : \{0, 1\}^n \to \{0, 1\}^n$ , where  $k \in \{0, 1\}^n$ *is the key and n is the security parameter. We say PRP is a pseudorandom permutation if*

- *For any key*  $k \in \{0, 1\}^n$ ,  $P_k$  *is a bijection from*  $\{0, 1\}^n$  *to*  ${0, 1}^n$ .
- *For any key*  $k \in \{0, 1\}^n$  *and any input*  $x \in \{0, 1\}^n$ *, there is a polynomial-time algorithm to evaluate*  $P_k(x)$ *.*
- *For any probabilistic polynomial-time distinguisher D, there is a negligible function negl such that:*

$$
|\text{Pr}[D^{P_k(\cdot)}(1^n) = 1] - \text{Pr}[D^{f_n(\cdot)}(1^n) = 1]| \leq \text{negl}(n),
$$

*where*  $k \leftarrow \{0, 1\}^n$  *is chosen uniformly at random, and f<sup>n</sup> is chosen uniformly at random from the set of permutations on n-bit string.*

Any polynomial-time observer without knowledge of the key cannot distinguish the objective pseudorandom permutation from a truly random permutation. However, an individual who knows the key can efficiently compute the corresponding pseudorandom permutation and its inverse operation. Secure instantiations of pseudorandom permutations include modern block ciphers, such as 3DES and AES.

## C. MESSAGE AUTHENTICATION CODE (MAC)

A message authentication code is a brief piece of information used to authenticate a message. Specifically, this code helps in confirming that the message comes from the stated sender and has been unchanged [59]. The MAC value protects data integrity and authenticity of a message by allowing verifiers, who also possess the secret key, to detect any changes to the message content. Formally, we provide the following definition:

*Definition 3 (Message Authentication Code): A message authentication code is a triple of efficient algorithms (Gen, Mac, Vrfy), where Gen denotes key-generation algorithm, Mac denotes tag-generation algorithm and Vrfy denotes verification algorithm. Specifically,*

- *Gen takes as input the security parameter* 1 *<sup>n</sup> and outputs a secret key k*  $\leftarrow_R$  *Gen*(1<sup>*n*</sup>).
- *Mac takes as input a key k and a message m, and outputs a tag t* ←  $Mac_k(m)$ .

• *Vrfy takes as input a key k, a message m and a tag t, and*  $\mathit{outputs}\ a\ bit\ b := \mathsf{Vrfy}_k(m,t),\ with\ b=1\ meaning\ valid$ *and*  $b = 0$  *meaning invalid.* 

*Correctness requirement: For every n, every k output by Gen*(1*<sup>n</sup>* ) *and every m in the message space, the following equality should be satisfied:*

$$
\Pr[\mathsf{Vrfy}_k(m,\mathsf{Mac}_k(m)) = 1] = 1.
$$

The following application scenario is considered. First, the sender of a message *m*, which is denoted as SENDER, runs the key-generation algorithm Gen, obtains a key *k*, and shares the key with the receiver, which is denoted as RECEIVER. Second, SENDER runs the tag-generation algorithm Mac to produce a MAC tag *t*. Subsequently, SENDER transmits *t* and the message *m*, which may be tampered with during the transmission, to RECEIVER. We denote the message and tag that RECEIVER obtains as  $m'$  and  $t'$ , respectively. RECEIVER runs the verification algorithm Vrfy using key  $k$ , message  $m'$ and tag  $t'$ , and outputs a bit *b* after receiving  $m'$  and  $t'$ , thereby indicating whether the message was tampered with or not during transmission.

# D. STANDARD SMART CARD

A smart card is a kind of pocket-sized card with an embedded integrated circuit. Smart card is a powerful tool that supports numerous functionalities, such as authentication, encryption, data storage, and data processing. In this paper, we consider standard smart cards rather than the special purpose ones due to reliability issues. If a special purpose smart card is used for a secure protocol, then we must believe that the vendor did not construct the functionality incorrectly or leave any backdoors on the card. By contrast, standard smart cards have been tested for many years. Thus, the possibility of malicious implementation and unintentional errors is minimal. Hazay and Lindell introduced standard smart cards in secure set intersection and oblivious database search to construct truly practical secure protocols in malicious model [60].

The standard smart cards used in this work must provide the following functionalities:

- *Symmetric cryptographic operations*. An important functionality used in this paper is symmetric cryptography, including pseudorandom permutation and message authentication code. The keys of these cryptographic schemes are generated outside of the smart cards. The keys can no longer be exported once imported unless deleted.
- *Usage counter*. A usage counter which indicates how many times this key can be used before it is deleted, will be defined once a key is imported.
- *Access control*. A challenge/response test is required for users to perform cryptographic operations and other functions supported by the smart cards to protect smart cards from unauthorized accesses.
- *Data storage*. Data storage is supported by standard smart cards. Nearly all data stored in a smart card,

regardless whether private or public, can be read out of the smart card, except for the keys.

# E. SECURITY DEFINITION

In this paper, we aim to achieve the strongest security level, that is, simulation-based security against malicious adversaries. We describe adversarial model and ideal/real simulation paradigm to formalize this security level.

# 1) ADVERSARIAL MODEL

Yao's millionaires' problem is a specific problem in secure two-party computation, a two-party case of secure multiparty computation. Secure two-party computation enables two mutually distrusted participants to complete a cooperative computation task securely on their private inputs, even if one of the participants is corrupted by an adversary. The power of the adversary is defined in adversarial model. This model includes details on whether the adversary is deterministic or randomized, uniform or non-uniform, static or adaptive, and how it interacts with the security game. In this work, we consider a randomized, non-uniform, static adversary with malicious behaviors, which is known as malicious adversarial model. Compared with corrupted parties in semihonest model, participants in malicious model may arbitrarily deviate from the protocol specification according to the adversary's instructions, thereby complicating the case. In most scenarios, providing security in malicious model is preferred because it ensures that no adversarial attack can succeed. However, protocols that achieve this level of security are typically difficult to construct and less efficient.

# 2) IDEAL/REAL SIMULATION PARADIGM

Protocols for secure two-party computation should preserve many security properties, such as correctness, privacy, and independence of inputs. However, the list of these required properties is not a formal definition of security. Ideal/real simulation paradigm, which is an effective method for defining security in secure two-party computation, is proposed to formalize security definition for secure two-party computation [59]. Ideal/real simulation paradigm is a standard and rigorous method for evaluating the security level of the objective protocols. This method involves an ''ideal world'' and a ''real world''. In the ideal world, a trusted third party assists two parties in accomplishing the joint computation task. Each participant is only required to transfer his/her own private input via a secure channel to the trusted third party, who is absolutely trustworthy and honest. The trusted third party computes the objective computation task honestly and sends back respective results to each participant upon receiving the inputs (See Fig. [1\)](#page-4-1). From this perspective, the ideal world is considered a model that can achieve the highest level of security. In the real world, a protocol that computes the objective functionality is executed between two parties without any assistance from others (See Fig. [2\)](#page-4-2).

A protocol is considered secure under ideal/real simulation paradigm if the real world where the objective protocol is



<span id="page-4-2"></span><span id="page-4-1"></span>

executed emulates the effect of the ideal world. Formally speaking, the objective protocol is considered secure under ideal/real simulation paradigm, if for every adversary in the real world, there exists an adversary in the ideal world that can simulate all the actions of the real adversary. This scenario ensures that the joint output distribution of the honest party and the adversary in a real protocol execution is indistinguishable with that in an ideal execution.

## 3) FORMAL SECURITY DEFINITION

We consider the security definition of secure two-party computation presented in [61] to formalize security definition for Yao's millionaires' problem. Specifically, denote IDEAL<sub>f</sub>,  $S(z)$ ,  $i(x, y, n)$  as the output pair of the honest party and an ideal adversary  $S$  in the ideal world, and denote  $REAL_{\pi,\mathcal{A}(z),i}(x, y, n)$  as the output pair of the honest party and a malicious adversary  $A$  in the real world, where  $f$  is the objective functionality,  $\pi$  is a two-party protocol for computing *f* , *z* is an auxiliary input to the adversary,  $i \in \{Alice, Bob\}$ is the index of the corrupted party,  $x$  is the input of Alice to  $f$ , *y* is the input of Bob to *f* and *n* is the security parameter. The formal security definition under ideal/real simulation paradigm with full simulation in malicious model is presented as follows:

<span id="page-4-3"></span>*Definition 4 (Full Simulation Security in Malicious Model*): Let  $f : \{0, 1\}^* \times \{0, 1\}^* \rightarrow \{0, 1\}^* \times \{0, 1\}^*$  be a *polynomial-time functionality and* π *is a two-party protocol* *for computing f . Protocol* π *is said to securely compute f in malicious model with full simulation if for every non-uniform probabilistic polynomial-time adversary* A *in the real world, there exists a non-uniform polynomial-time adversary* S *in the ideal world, such that for every i*  $\in$  {*Alice,Bob*}*,* 

 ${\rm \{IDEAL}_{f,\mathcal{S}(z), i}(x, y, n)\}_{x, y, z, n} \stackrel{c}{=} {\rm \{REAL}_{\pi,\mathcal{A}(z), i}(x, y, n)\}_{x, y, z, n}$ 

 $where \stackrel{c}{\equiv} denotes \textit{components}$  *computational indistinguishability, x* , y, *z*  $\in$  ${0, 1}^*$  *and*  $n \in \mathbb{N}$ .

Full simulation security in malicious model provides a strong security level. It contains all the aforementioned security properties, including privacy, correctness, and independence of inputs. However, several cases exhibit that full simulation security is difficult or costly to be achieved. In these cases, a relaxed level of security, namely, one-sided simulation security, is helpful in constructing highly efficient protocols against malicious adversaries. In this security definition, only one participant, Bob, for example, has the output. Ideal/real simulation is achievable when Bob is corrupted and only privacy is ensured when Alice is corrupted. The privacy property ensures that Alice learns nothing about the private input *y* of Bob. We formalize this property by comparing the protocol view of the adversary that corrupts Alice. Specifically, we say Bob's input is private if the adversary that corrupts Alice cannot distinguish the case that Bob used input *y* with the case that Bob used another input *y*'. The formal definition is described as follows:

<span id="page-4-4"></span>*Definition 5 (One-Sided Simulation in Malicious Model): Let*  $f : \{0, 1\}^* \times \{0, 1\}^* \rightarrow \{0, 1\}^*$  *be a polynomial-time functionality where only Bob receives output, and* π *is a twoparty protocol for computing f . Protocol* π *is said to securely compute f in malicious model with one-sided simulation if the following holds:*

*1. For every non-uniform probabilistic polynomial-time adversary* A *corrupting Bob in the real world, there exists a non-uniform polynomial-time adversary* S *in the ideal world, such that*

$$
\{ \text{IDEAL}_{f, S(z), \text{Bob}}(x, y, n) \}_{x, y, z, n}
$$
  

$$
\stackrel{c}{\equiv} \{ \text{REAL}_{\pi, \mathcal{A}(z), \text{Bob}}(x, y, n) \}_{x, y, z, n},
$$

 $where \stackrel{c}{\equiv} denotes \textit{components}$  *computational indistinguishability, x* , y, *z*  $\in$  ${0, 1}^*$  *and*  $n \in \mathbb{N}$ .

*2. For every non-uniform probabilistic polynomial-time adversary* A *corrupting Alice, it satisfies that*

$$
\{VIEW_{\pi,\mathcal{A}(z),\text{Alice}}^{\mathcal{A}}(x, y, n)\}_{x,y,z,n}
$$
  

$$
\stackrel{c}{\equiv} \{VIEW_{\pi,\mathcal{A}(z),\text{Alice}}^{\mathcal{A}}(x, y', n)\}_{x,y',z,n},
$$

where  $\mathrm{VIEW}_{\pi,\mathcal{A}(z),\mathcal{A}$ lice $^{(x,\,y,\,n)}$  denotes the view of the adver*sary after a real execution of*  $\pi$ ,  $x$ ,  $y$ ,  $y'$ ,  $z \in \{0, 1\}^*$  *and n* ∈ *N*.

## <span id="page-4-0"></span>**III. PROPOSED SOLUTIONS**

Now we introduce our solutions to Yao's millionaires' problem in detail. Without loss of generality, a universal set

 $U = \{0, 1, 2, \dots, 2^l - 1\}$  exists, where *l* is a parameter that indicates the size of *U*. Two millionaires, Alice and Bob, want to jointly compute the functionality  $f(x, y) = x \leq y$ ?, where  $x \in U$  is the private wealth value of Alice and  $y \in U$  is the private wealth value of Bob.

In this section, we propose two efficient solutions to the aforementioned problem. Both solutions can achieve simulation-based security against malicious adversaries. The first solution, called Full Simulatable Protocol, denoted as  $P_{Full}$ , achieves full simulation security in malicious model under Definition [4.](#page-4-3) The second solution, called One-sided Simulatable Protocol, denoted asP*One*−*sided* , is secure against malicious adversaries with rigorous security proof under Definition [5.](#page-4-4)

#### A. FULLY SIMULATABLE PROTOCOL  $\mathcal{P}_{Full}$

We first present the protocol  $\mathcal{P}_{Full}$ , which achieves full simulation security.

*Protocol 1:*  $P_{Full}$ *: Protocol for Computing*  $f(x, y) =$  $x \leq y$ ? *with Full Simulation Security* 

- **Inputs:** Alice inputs a private input  $x \in U$  and Bob inputs *a private input*  $y \in U$ .
- **Output:** Alice outputs nothing; Bob outputs 1 if  $x < y$ *and 0 otherwise.*

#### **Initialization:**

Step 1.*Alice chooses two keys k*,  $k_{MAC} \leftarrow \{0, 1\}^n$  in ran*dom, where k is the key of a pseudorandom permutation PRP and kMAC is the key of a message authentication code MAC. Both PRP and MAC are embedded in a standard smart card SCAlice. After obtaining this smart card, Alice imports k*, *kMAC into it and sets the parameter Count as 1, which indicates that the total number of queries supported by this smart card is 1.*

Step 2.*Alice sends SCAlice to Bob via offline channel.*

#### **Online Interaction:**

- Step 1.*Upon receiving the smart card SCAlice, Bob acts as follows:*
	- a) *Inputs his private value y to SCAlice, and obtains a set*  $\{PRP_k(0), PRP_k(1), \cdots, PRP_k(y)\}$  where the *elements in it are randomly permuted, denoted*  $as \psi(S)$ ;
	- b) *Issues a Complete command to SCAlice and receives back a confirmation message Done and its MAC tag, denoted as* (*Done, MackMAC* (*Done*))*, where Mac is the tag generation algorithm;*
	- c) *Sends the MACed confirmation to Alice.*
- Step 2.*Upon receiving the confirmation, Alice verifies its validity with the verification algorithm. If valid, Alice computes*  $PRP_k(x)$  *with key k and sends it to Bob.*
- Step 3.*Bob determines whether x* ≤ *y as follow. If*  $PRP_k(x) \in \psi(S)$ , then  $x \leq y$ , Bob outputs 1; *otherwise, x* > *y, Bob outputs 0.*

Protocol for Computing  $f(x,y) = x \le y$ ? with Full Simulation Security



<span id="page-5-0"></span>**FIGURE 3. Diagram of**  $P_{Full}$ **.** 

Please refer to Fig. [3](#page-5-0) for a clear diagram of the proposed protocol.

#### 1) SECURITY ANALYSIS

We now analyze the security of Protocol P*Full*. Formally, we have the following theorem.

<span id="page-5-1"></span>*Theorem 1: If PRP is a pseudorandom permutation and MAC is message authentication code, then* P*Full securely computes function*  $f(x, y) = x \leq y$ ? *under Definition* [4.](#page-4-3)

*Proof:* Let *f* be the objective function and  $\pi$  be the twoparty protocol presented above. Then  $\pi$  securely computes  $f$ in the presence of malicious adversaries under ideal/real simulation paradigm with full simulation security if the following satisfies:

For every non-uniform probabilistic polynomial-time adversary  $A$  in the real world, there exists a non-uniform polynomial-time adversary  $S$  in the ideal world, such that for each  $i \in \{Alice, Bob\}$ ,

$$
\{\mathsf{IDEAL}_{f,\mathcal{S}(z),i}(x,y,n)\} \stackrel{c}{\equiv} \{\mathsf{REAL}_{\pi,\mathcal{A}(z),i}(x,y,n)\},\
$$

where  $x, y \in U, z \in \{0, 1\}^*$  and  $n \in \mathbb{N}$ .

We prove the above equation separately for the case that Alice is corrupted (i.e.,  $i =$  **Alice**) and the case that Bob is corrupted (i.e.,  $i = Bob$ ). In each case, we construct an ideal, non-uniform polynomial-time adversary  $S$ , also known as the simulator, to simulate the output distribution of the real execution. The simulator can internally invoke and interacts with the real adversary  $A$ , thereby reading all the contents on  $\mathcal{A}$ 's output tape and writing on  $\mathcal{A}$ 's input tape. The simulator should satisfy two basic requirements:

- The simulator  $S$  should ensure that the real adversary  $A$ cannot distinguish whether it is interacting with an honest party or with the simulator to validate the invocation of A.
- The simulator  $S$  should extract and send the real input of A to the trusted third party in the ideal world to ensure that the output distribution in the ideal world is the same as that in the real world.

The constructed simulator can be considered valid given that the aforementioned requirements are satisfied, and the indistinguishability of the two output distributions remains to be proven. Now we formally prove Theorem [1](#page-5-1) separately for two cases.

## *a: ALICE IS CORRUPTED*

Suppose that the adversary attacking Protocol P*Full* corrupts and controls Alice. Denote the adversary as  $A_{\text{Alice}}$ . We construct a simulator  $S_{\text{Alice}}$  in the ideal world. The simulator internally invokes  $A_{Alice}$  and interacts with it as Bob and SC<sub>Alice</sub>. Besides, S<sub>Alice</sub> externally interacts with the trust third party computing  $f$  as the corrupted Alice. The simulator we construct is described as follows:

- $S_{\text{Alice}}$  invokes  $A_{\text{Alice}}$  with its initial input, interacts with  $A_{\text{Alice}}$  as Bob and SC<sub>Alice</sub>. If any cheating of  $A_{\text{Alice}}$  is detected,  $S_{Alice}$  sends  $\perp$  to the trusted third party as the simulation of Bob aborting the protocol, and outputs whatever  $A_{\text{Alice}}$  outputs. Otherwise,  $S_{\text{Alice}}$  continues.
- $S_{\text{Alice}}$  plays as  $SC_{\text{Alice}}$ . It obtains the keys *k* and  $k_{\text{MAC}}$ from AAlice's output tape. Both keys are supposed to be imported into  $SC_{Alice}$  by  $A_{Alice}$ .
- $S_{Alice}$  plays as Bob. It computes a confirmation message (Done,  $MAC_{k_{MAC}}$ (Done)) with the key  $k_{MAC}$  and sends it to  $A_{\text{Alice}}$ .
- $S_{Alice}$  plays as Bob. It receives from  $A_{Alice}$  a value  $PRP_k(x)$ , denoted as *e*. S<sub>Alice</sub> computes  $PRP_k^{-1}(e)$  with *k*, and obtains the input value  $x$  of  $A_{\text{Alice}}$ .
- $S_{Alice}$  plays as corrupted Alice. It sends the extracted input  $x$  of  $A_{Alice}$  to the trusted third party externally, and outputs whatever  $A_{\text{Alice}}$  outputs and halts.

For a legible description of the constructed simulator  $S_{Alice}$ , please refer to the diagram shown in Fig. [4](#page-6-0) (a).

First, we prove that the simulator  $S_{\text{Alice}}$  constructed above is valid:

- As  $S_{Alice}$  can read  $A_{Alice}$ 's output tape, it can easily obtain  $k_{\text{MAC}}$  and then computes the MACed message (Done,  $MAC_{k_{MAC}}$ (Done)) with the key  $k_{MAC}$ . Consequently, the real adversary  $A_{Alice}$  cannot distinguish whether it is interacting with honest Bob or with the simulator, because messages sent by Bob and constructed by  $S_{\text{Alice}}$  are identical;
- As  $S_{Alice}$  can read  $A_{Alice}$ 's output tape, it can also obtain *k* and the encrypted value  $PRP_k(x)$ , accordingly computes  $PRP_k^{-1}(PRP_k(x))$  with *k*, and extracts the input value *x* of  $A_{\text{Alice}}$ .

Secondly, we prove that the joint output distribution of honest Bob and the adversary  $A_{Alice}$  is indistinguishable



 $(a)$ 



<span id="page-6-0"></span>**FIGURE 4.** Diagram of simulator  $S_{\text{Alice}}$  and  $S_{\text{Bob}}$ , and their simulations.

with the joint output distribution of honest Bob and the simulator  $S_{\text{Alice}}$ :

- As the view of  $A_{\text{Alice}}$  in the real protocol is indistinguishable with that in the simulation with  $S_{\text{Alice}}$ , the output distribution of the adversary  $A_{\text{Alice}}$  is indistinguishable with that of the simulator  $S_{\text{Alice}}$ .
- In the real protocol execution, suppose  $A_{\text{Alice}}$  uses *x* as its real input, and Bob uses *y* as his input. If  $PRP_k(x) \in$  $\psi$ (S), it means  $x \in \{0, 1, \dots, y\}$ , i.e.,  $x \leq y, f(x, y)$  is 1; otherwise,  $x > y$ ,  $f(x, y)$  is 0. Therefore, the protocol result obtained by Bob is exactly  $f(x, y)$ . In the ideal world, as  $S_{Alice}$  can successfully extract  $A_{Alice}$ 's input, and honest Bob uses the same input as in the real world, the output of Bob is just  $f(x, y)$ . Therefore, the output distribution of honest Bob in the ideal world is indistinguishable with that in the real world.

Protocol P*Full* is secure in the case that Alice is corrupted.

## *b: BOB IS CORRUPTED*

Suppose that the adversary attacking Protocol P*Full* corrupts and controls Bob. Denote the adversary as  $A_{\text{Bob}}$ . We construct a simulator  $S_{\text{Bob}}$  in the ideal world. The simulator internally invokes  $A_{\text{Bob}}$  and interacts with it as Alice and  $SC<sub>Alice</sub>$ . Besides,  $S<sub>Bob</sub>$  externally interacts with the trust third

party computing *f* as the corrupted Bob. The simulator we construct is described as follows:

- $S_{\text{Bob}}$  invokes  $A_{\text{Bob}}$  with its initial input, interacts with  $A_{\text{Bob}}$  as Alice and SC<sub>Alice</sub>. If any cheating of  $A_{\text{Bob}}$  is detected,  $S_{\text{Bob}}$  sends  $\perp$  to the trusted third party as the simulation of Alice aborting the protocol, and outputs whatever  $A_{\text{Bob}}$  outputs. Otherwise,  $S_{\text{Bob}}$  continues.
- $S_{\text{Bob}}$  plays as  $SC_{\text{Alice}}$ . It obtains  $A_{\text{Bob}}$ 's input *y* from  $A_{\text{Bob}}$ 's output tape. This value is supposed to be sent to  $SC_{Alice}$  by  $A_{Bob}$ .
- $S_{\text{Bob}}$  plays as  $SC_{\text{Alice}}$ . It randomly chooses a pseudorandom permutation key  $k \leftarrow \{0, 1\}^n$ , computes a set  $S = {PRP<sub>k</sub>(0), PRP<sub>k</sub>(1), \cdots, PRP<sub>k</sub>(y)}$  with the key *k*, and sends  $A_{\text{Bob}}$  a random permutation version of S, denoted as  $\psi(S)$ .
- $S<sub>Bob</sub>$  plays as corrupted Bob. It sends the extracted input  $y$  of  $A_{\text{Bob}}$  to the trusted third party externally, and receives back the computation result 1 or 0, indicating whether  $x \leq y$  or not, where x is the input of honest Alice in the real world.
- $S_{\text{Bob}}$  plays as  $SC_{\text{Alice}}$ . After receiving a Complete command from  $A_{\text{Bob}}$ ,  $S_{\text{Bob}}$  randomly chooses a MAC key  $k_{\text{MAC}} \leftarrow \{0, 1\}^n$  and sends back a confirmation message MACed with  $k_{\text{MAC}}$ .
- $S<sub>Bob</sub>$  plays as Alice. After receiving the MACed confirmation from  $A_{\text{Bob}}$ ,  $S_{\text{Bob}}$  sends back to  $A_{\text{Bob}}$  a value x', which is computed as follows:
	- **–** If the result obtained from the trusted third party is 1,  $S_{\text{Bob}}$  sets *x'* as  $\text{PRP}_k(a)$ , where *a* is a randomly chosen value from the set  $\{0, 1, 2, \cdots, y\};$
	- **–** Otherwise,  $S_{\text{Bob}}$  sets *x'* as  $\text{PRP}_k(a)$ , satisfying  $a \leftarrow_R \{0, 1\}^n$  and  $a \notin \{0, 1, 2, \dots, y\}.$
- $S_{\text{Bob}}$  plays as Alice. It sends  $x'$  to  $A_{\text{Bob}}$ , outputs whatever  $A_{\text{Bob}}$  outputs and halts.

For a legible description of the constructed simulator  $S_{\text{Bob}}$ , please refer to the diagram shown in Fig. [4](#page-6-0) (b).

First, we prove that the simulator  $S_{\text{Bob}}$  constructed above is valid:

- The view of  $A_{\text{Bob}}$  in the real protocol consists of a set  $\psi(S)$ , a MACed message (Done, Mac<sub>*k<sub>MAC</sub>* (Done))</sub> and a pseudorandom permutation  $PRP_k(x)$ . In the simulation of the simulator  $S_{\text{Bob}}$ , both  $\psi(\mathsf{S})$  and (Done,  $\text{Mac}_{k_{\text{MAC}}}$ (Done)) are computed with random keys, which is the same as in the real protocol execution.  $PRP_k(x)$  is pseudo-random, and is computed according to the output result in the ideal world, which is indistinguishable with that in the real protocol and results in the identical output distribution. Consequently, the real adversary  $A_{\text{Bob}}$  cannot distinguish whether it is interacting with honest Alice/ $SC_{Alice}$  or with the simulator  $\mathcal{S}_{\text{Bob}}$ ;
- As  $S_{\text{Bob}}$  can read  $A_{\text{Bob}}$ 's output tape, it can directly obtain  $A_{\text{Bob}}$ 's real input *y*, which is supposed to be sent to  $SC_{Alice}$  by  $A_{Bob}$ .

Secondly, we prove that the joint output distribution of honest Alice and the adversary  $A_{\text{Bob}}$  is indistinguishable

with the joint output distribution of honest Alice and the simulator  $S_{\text{Bob}}$ :

- As the view of  $A_{\text{Bob}}$  in the real protocol is indistinguishable with that in the simulation with  $S_{\text{Bob}}$ , the output distribution of the adversary  $A_{\text{Bob}}$  is indistinguishable with that of the simulator  $S_{\text{Bob}}$ .
- As Alice has no output in the objective functionality, there is no need to analyze the output distribution of honest Alice.

Protocol P*Full* is secure in the case that Bob is corrupted. This concludes our proof.

 $\Box$ 

# 2) EFFICIENCY ANALYSIS

We analyze the complexity of Protocol P*Full*. We first analyze the computation complexity. The proposed protocol contains only symmetric cryptographic operations, including pseudorandom permutation (denoted as PRP), message authentication code (denoted as MAC), and string matching (denoted as SM). The concrete complexity of Alice, Bob, and SC<sub>Alice</sub> are summarized in Table [1.](#page-7-0)

#### **TABLE 1. Efficiency analysis of protocol**  $P_{Full}$ **.**

<span id="page-7-0"></span>

In terms of round efficiency, Protocol P*Full* has a constant number of rounds. As the interaction between Bob and SCAlice is carried out locally, we only consider the interaction between Alice and Bob, which requires only one round to be specific.

### B. ONE-SIDED SIMULATABLE PROTOCOL P<sub>One−Sided</sub>

Protocol P*Full* requires the smart card to perform heavy computation tasks, that is,  $y + 1$  pseudorandom permutations, although this protocol achieves full simulation security in malicious model. Smart cards are generally computationbounded devices, thereby reducing the efficiency of protocol execution. We consider a relaxed level of security, that is, one-sided simulation security, to design an efficient protocol. In this security model, simulation is only required when Bob is corrupted. We only guarantee the privacy of Bob's input when Alice is corrupted.

*Protocol 2:*  $\mathcal{P}_{One-sided}$  *: Protocol for Computing*  $f(x, y) =$  $x \leq y$ ? *with One-Sided Simulation Security* 

- **Inputs:** Alice inputs a private set  $x \in U$  and Bob inputs *a private value*  $y \in U$ .
- **Output:** Alice outputs nothing; Bob outputs 1 if  $x \leq y$  and *0 otherwise.*

# **Initialization:**

Step 1.*Alice chooses two keys k*,  $k_{MAC} \leftarrow \{0, 1\}^n$  in ran*dom, where k is the key of a pseudorandom permutation PRP and kMAC is the key of a message*

Protocol for Computing  $f(x,y) = x \le y$ ? with One-Sided Simulation Security

*authentication code MAC. Both PRP and MAC are embedded in the smart card SCAlice. After obtaining this smart card, Alice imports k*, *kMAC into it and sets the parameter Count as 1, which indicates that the total number of queries supported by this smart card is 1.*

Step 2.*Alice sends SCAlice to Bob via offline channel.*

#### **Online Interaction:**

- Step 1.*Upon receiving the smart card SCAlice, Bob acts as follows:*
	- a) *Sends his input y to*  $SC_{Alice}$  *and obtains*  $PRP_k(y)$ ;
	- b) *Issues a Complete command to SCAlice and receives back a confirmation message Done and its MAC tag, denoted as (Done, Mac*<sub>*k<sub>MAC</sub>*(*Done*)),</sub> *where Mac is the tag generation algorithm;*
	- c) *Sends the MACed confirmation to Alice.*
- Step 2.*Upon receiving the confirmation, Alice acts as follows:*
	- a) *Verifies the validity of the MACed confirmation with the verification algorithm. If valid, computes the set*  $X_{\text{PRP}}$  =  $\{PRP_k(x)\}_{x \in X}$  *with k, where*  $X$  =  $\{0, 1, \cdots, x - 1\};$
	- b) *Chooses a set*  $R = \{r_i | r_i \leftarrow \{0, 1\}^n, r_i \notin U,$  $i = 1, 2, \dots, |\bar{X}|$  *at random, where*  $\bar{X}$  *is the complementary set of X, i.e.,*  $\overline{X} = U - X$ *, and computes the set*  $R_{\text{PRP}} = \{PRP_k(x)\}_{x \in R}$  *<i>with k;*
	- $\mathsf{c}$ ) *Computes*  $\mathsf{S} = X_{\mathsf{PRP}} \cup R_{\mathsf{PRP}}$ *, and generates a random permutation of*  $S$ *, denoted as*  $\psi(S)$ *;*

d) *Sends*  $\psi$  (**S**) *to Bob.* 

Step 3.*Bob determines whether*  $x \leq y$  *as follow. If*  $PRP_k(y) \in \psi(S)$ *, then*  $x > y$ *, Bob outputs 0; otherwise,*  $x \leq y$ *, Bob outputs 1.* 

Please refer to Fig. [5](#page-8-0) for a clear diagram of the proposed protocol.

## 1) SECURITY ANALYSIS

We now analyze the security of Protocol P*One*−*sided* . Formally, we have the following theorem.

<span id="page-8-1"></span>*Theorem 2: If PRP is a pseudorandom permutation and MAC is message authentication code, then Protocol*  $P_{One-sided}$  *securely computes function*  $f(x, y) = x \leq y$ ? *under Definition [5.](#page-4-4)*

*Proof:* Let f be the objective function and  $\pi$  be the twoparty protocol presented above. Then  $\pi$  securely computes *f* in the presence of malicious adversaries under ideal/real simulation paradigm with one-sided simulation security if the following satisfies:

1. For every non-uniform probabilistic polynomial-time adversary A corrupting Bob in the real world, there exists a non-uniform polynomial-time adversary  $\mathcal S$  in the ideal world, such that

$$
\{\mathbf{IDEAL}_{f,S(z),\mathbf{Bob}}(x,y,n)\}\stackrel{c}{\equiv}\{\mathbf{REAL}_{\pi,\mathcal{A}(z),\mathbf{Bob}}(x,y,n)\},\
$$

where 
$$
x, y \in U, z \in \{0, 1\}^*
$$
 and  $n \in \mathbb{N}$ .



<span id="page-8-0"></span>**FIGURE 5. Diagram of Pone–sided .** 

2. For every non-uniform probabilistic polynomial-time adversary A corrupting Alice, it satisfies that

$$
\{{\rm VIEW}_{\pi,\mathcal{A}(z),{\sf Alice}}^{\mathcal{A}}(x,y,n)\}\mathop{=}\limits^{\mathcal{L}}\{ {\rm VIEW}_{\pi,\mathcal{A}(z),{\sf Alice}}^{\mathcal{A}}(x,y',n)\},
$$

where  $VIEW_{\pi,\mathcal{A}(z),\text{Alice}}^{\mathcal{A}}(x, y, n)$  denotes the view of the adversary after a real execution of  $\pi$ ,  $x$ ,  $y$ ,  $y' \in U$ ,  $z \in \{0, 1\}^*$  and  $n \in \mathbb{N}$ .

We formally prove Theorem [2](#page-8-1) separately for the case Bob is corrupted and the case Alice is corrupted.

#### *a: BOB IS CORRUPTED*

Suppose that the adversary attacking Protocol P*One*−*sided* corrupts and controls Bob. Denote the adversary as  $A_{\text{Bob}}$ . We construct a simulator  $S_{\text{Bob}}$  in the ideal world. The simulator internally invokes  $A_{\text{Bob}}$  and interacts with it as Alice and  $SC_{Alice}$ . Besides,  $S_{Bob}$  externally interacts with the trust third party computing  $f$  as the corrupted Bob. The simulator we construct is described as follows:

- $S_{\text{Bob}}$  invokes  $A_{\text{Bob}}$  with its initial input, interacts with  $A_{\text{Bob}}$  as Alice and SC<sub>Alice</sub>. If any cheating of  $A_{\text{Bob}}$  is detected,  $S_{\text{Bob}}$  sends  $\perp$  to the trusted third party as the simulation of Alice aborting the protocol, and outputs whatever  $A_{\text{Bob}}$  outputs. Otherwise,  $S_{\text{Bob}}$  continues.
- $S_{\text{Bob}}$  plays as  $SC_{\text{Alice}}$ . It obtains  $A_{\text{Bob}}$ 's input *y* from  $A_{\text{Bob}}$ 's output tape. This value is supposed to be sent to  $SC_{Alice}$  by  $A_{Bob}$ .
- $S_{\text{Bob}}$  plays as  $SC_{\text{Alice}}$ . It randomly chooses a pseudorandom permutation key  $k \leftarrow \{0, 1\}^n$  and sends  $A_{\text{Bob}}$  a value  $PRP_k(y)$  computed with the key *k*.
- $S<sub>Bob</sub>$  plays as corrupted Bob. It sends the extracted input  $y$  of  $A_{\text{Bob}}$  to the trusted third party externally, and

receives back the computation result 1 or 0, indicating whether  $x \leq y$  or not, where *x* is the input of honest Alice in the real world.

- $S_{\text{Bob}}$  plays as  $SC_{\text{Alice}}$ . After receiving a Complete command from  $A_{\text{Bob}}$ ,  $S_{\text{Bob}}$  randomly chooses a MAC key  $k_{\text{MAC}} \leftarrow \{0, 1\}^n$  and sends back a confirmation message MACed with  $k_{\text{MAC}}$ .
- $S<sub>Bob</sub>$  plays as Alice. After receiving the MACed confirmation from  $A_{\text{Bob}}$ ,  $S_{\text{Bob}}$  sends back to  $A_{\text{Bob}}$  a set  $\psi(\mathsf{S})$ , which is constructed as follows:
	- **–** If the result obtained from the trusted third party is 1,  $S_{\text{Bob}}$  takes PRP<sub>k</sub>(y) as one element of  $\psi(\mathsf{S})$ , and sets the other  $|U| - 1$  elements as randomly chosen values from the domain of  $PRP_k$ , where  $|U|$ denotes the size of *U*;
	- Otherwise,  $S_{\text{Bob}}$  sets all elements in  $\psi(\mathsf{S})$  as randomly chosen values from the domain of PRP*<sup>k</sup>* under the condition that  $PRP_k(y)$  is not chosen.
- $S_{\text{Bob}}$  plays as Alice. It sends  $\psi(S)$  to  $A_{\text{Bob}}$ , outputs whatever  $A_{\text{Bob}}$  outputs and halts.

For a legible description of the constructed simulator  $S_{\text{Bob}}$ , please refer to the diagram shown in Fig. [6.](#page-9-0)



<span id="page-9-0"></span>**FIGURE 6.** Diagram of simulator  $S_{\text{Bob}}$  and its simulation.

First, we prove that the simulator  $S_{\text{Bob}}$  constructed above is valid:

- The view of  $A_{\text{Bob}}$  in the real protocol consists of a pseudorandom permutation  $PRP_k(y)$ , a MACed message (Done, Mac<sub>*k*MAC</sub> (Done)) and a set  $\psi$  (S). In the simulation of the simulator  $S_{\text{Bob}}$ , both  $\text{PRP}_k(y)$  and (Done,  $Mac<sub>kMAC</sub>$ (Done)) are computed with random keys, which is the same as in the real protocol execution.  $\psi(\mathsf{S})$  is computed according to the output result in the ideal world, which is indistinguishable with that in the real protocol and results in the identical output distribution. Consequently, the real adversary  $A_{\text{Bob}}$ cannot distinguish whether it is interacting with honest Alice/SC<sub>Alice</sub> or with the simulator  $S_{\text{Bob}}$ ;
- As  $S_{\text{Bob}}$  can read  $A_{\text{Bob}}$ 's output tape, it can directly obtain  $A_{\text{Bob}}$ 's real input *y*, which is supposed to be sent to  $SC_{Alice}$  by  $A_{Bob}$ .

## TABLE 2. Efficiency analysis of protocol  $\mathcal{P}_{One-sided}$

<span id="page-9-1"></span>

<b>Computation Complexity</b>				Round Complexity
Paricipants	PRP			
Alice				
Bob				

<span id="page-9-2"></span>**TABLE 3.** Experimental result of protocol  $\mathcal{P}_{Full}$ .

Size of $y$	Run time of SC <sub>Alice</sub>
10	$\overline{93}19 \,\mu s$
50	45195 µs
100	92931 µs
500	449873 µs
1000	916556 µs

<span id="page-9-3"></span>TABLE 4. Experimental result of protocol  $\mathcal{P}_{One-sided}$ 



Secondly, we prove that the joint output distribution of honest Alice and the adversary  $A_{\text{Bob}}$  is indistinguishable with the joint output distribution of honest Alice and the simulator  $S_{\text{Bob}}$ :

- As the view of  $A_{\text{Bob}}$  in the real protocol is indistinguishable with that in the simulation with  $S_{\text{Bob}}$ , the output distribution of the adversary  $A_{\text{Bob}}$  is indistinguishable with that of the simulator  $S_{\text{Bob}}$ .
- As Alice has no output in the objective functionality, there is no need to analyze the output distribution of honest Alice.

Protocol P*One*−*sided* is secure in the case that Bob is corrupted.

## *b: ALICE IS CORRUPTED*

The protocol transcript received by Alice in Protocol P*One*−*sided* contains only a MACed confirmation message sent by Bob. This message consists of a confirmation message Done and its MAC tag Mac<sub>*k*MAC</sub> (Done), which are both independent with Bob's input. Specifically, the view of Alice can be written as follows:

$$
VIEW^{A}_{\pi, \mathcal{A}(z), \text{Alice}}(x, y, n) = \{x, r, (\text{Done}, \text{Mac}_{k_{\text{MAC}}}(\text{Done}))\},
$$

where  $x$  is Alice's input,  $r$  is the randomness Alice used in protocol execution and (Done, Mac<sub>*k*MAC</sub> (Done)) is the message received from Bob. Therefore, the following equality holds no matter what Bob's input is:

$$
\{\mathsf{VIEW}^{\mathcal{A}}_{\pi, \mathcal{A}(z), \mathsf{Alice}}(x, y, n)\} \stackrel{c}{\equiv} \{\mathsf{VIEW}^{\mathcal{A}}_{\pi, \mathcal{A}(z), \mathsf{Alice}}(x, y', n)\},
$$

where *x*, *y*,  $y' \in U$ ,  $z \in \{0, 1\}^*$  and  $n \in \mathbb{N}$ .

Protocol P*One*−*sided* is secure in the case that Alice is corrupted.

This concludes our proof.

 $\Box$ 

#### <span id="page-10-3"></span>**TABLE 5.** Comparison with related work.



Note:  $|U|$  denotes the size of the universal set, s denotes the dimension of the encoding vector, N denotes the modulus of the public-key encryption scheme, y denotes the input value of Bob, IND-CCA2 denotes indistinguishability under adaptive chosen ciphertext attack and NM-CCA2 denotes non-malleability under adaptive chosen ciphertext attack.

#### 2) EFFICIENCY ANALYSIS

We analyze the complexity of Protocol P*One*−*sided* . We first analyze the computation complexity. The proposed protocol contains only symmetric cryptographic operations, including pseudorandom permutation (denoted as PRP), message authentication code (denoted as MAC), and string matching (denoted as SM). The concrete complexity of Alice, Bob, and SCAlice are summarized in Table [2.](#page-9-1)

In terms of round efficiency, Protocol P*One*−*sided* also requires only one round in the online phase.

#### <span id="page-10-0"></span>**IV. EXPERIMENTAL RESULTS**

The aforementioned efficiency analysis for each protocol indicates the concrete efficiency of our protocols in theory. However, a smart card is a resource-bounded device. Its efficiency significantly influences the proposed protocols. Thus, experiments on the performance of smart cards in practice should be conducted. We ran SC<sub>Alice</sub> on a standard smart card produced by FEITIAN Technologies Co., Ltd. and tested the performance of our protocols. In the experiments, AES 128 is taken as an instantiation of pseudorandom permutations. Tables [3](#page-9-2) and [4](#page-9-3) summarize the experimental results of Protocols P*Full* and P*One*−*sided* , respectively.

The experiments show that both protocols exhibit favorable execution performance in terms of the run time of smart cards. Protocol P*One*−*sided* performs better than Protocol P*Full*, and the run time of smart cards in Protocol P*One*−*sided* is independent of the size of the universal set. From this point, Protocol P*One*−*sided* is sufficiently scalable and efficient to be used in practice.

The introduction of smart cards enhances the security of the protocols but leads to inefficiency simultaneously. The reduction of the computation task run on smart cards with a sacrifice of security level is a practical choice to improve efficiency. In most circumstances, a trade-off between security and efficiency should be made.

#### <span id="page-10-1"></span>**V. COMPARISON WITH RELATED WORK**

In this section, we compare our protocols with state-of-the-art solutions to millionaires' problem in terms of computation complexity, round complexity and security level. The comparison results are listed in Table [5.](#page-10-3)

This table displays that our protocols exceed other methods in terms of efficiency and security. Specifically, our solutions achieve simulation-based security against malicious adversaries and require symmetric cryptography only. Our methods obtain a high security level with low computation costs because symmetric cryptographic operation is more efficient than asymmetric cryptography.

#### <span id="page-10-2"></span>**VI. CONCLUSIONS AND FUTURE WORK**

In this work, we reviewed a classical problem in secure two-party computation, namely, Yao's millionaires' problem. We used a standard smart card as our building block to solve this problem in malicious model with strong security and high efficiency. Specifically, we proposed two novel, efficient solutions with simulation-based security. The proposed protocols were built upon only symmetric cryptography, which was more efficient than asymmetric cryptography. The experimental results indicated that our solutions securely solved Yao's millionaires' problem with high efficiency and scalability. Comparison with related work showed that our protocols are better than other state-of-the-art methods in terms of efficiency and security.

In the future, we plan to extend our study on general problems in secure two-party computation, such as efficient constructions of generic protocols based on garbled circuit or homomorphic encryption.

#### **REFERENCES**

- [1] A. C. Yao, ''Protocols for secure computations,'' in *Proc. 23th Annu. Symp. Found. Comput. Sci.*, Nov. 1982, pp. 160–164.
- [2] O. Goldreich, S. Micali, and A. Wigderson, ''How to play any mental game,'' in *Proc. 19th Annu. ACM Symp. Theory Comput.*, 1987, pp. 218–229.
- [3] R. J. Bayardo and R. Agrawal, "Data privacy through optimal k-anonymization,'' in *Proc. 21st Int. Conf. Data Eng. (ICDE)*, Apr. 2005, pp. 217–228.
- [4] Z. Huang, S. Liu, X. Mao, K. Chen, and J. Li, ''Insight of the protection for data security under selective opening attacks,'' *Inf. Sci.*, vol. 412, pp. 223–241, Oct. 2017.
- [5] J. Xu, L. Wei, Y. Zhang, A. Wang, F. Zhou, and C.-Z. Gao, ''Dynamic fully homomorphic encryption-based merkle tree for lightweight streaming authenticated data structures,'' *J. Netw. Comput. Appl.*, vol. 107, pp. 113–124, Apr. 2018.
- [6] X. Zhang, Y.-A. Tan, C. Liang, Y. Li, and J. Li, ''A covert channel over volte via adjusting silence periods,'' *IEEE Access*, vol. 6, pp. 9292–9302, 2018.
- [7] Q. Lin, H. Yan, Z. Huang, W. Chen, J. Shen, and Y. Tang, ''An ID-based linearly homomorphic signature scheme and its application in blockchain,'' *IEEE Access*, vol. 6, pp. 20632–20640, 2018.
- [8] B. R. Kandukuri and A. Rakshit, ''Cloud security issues,'' in *Proc. IEEE Int. Conf. Services Comput. (SCC)*, Sep. 2009, pp. 517–520.
- Z. Cai, H. Yan, P. Li, Z.-A. Huang, and C. Gao, "Towards secure and flexible EHR sharing in mobile health cloud under static assumptions,'' *Cluster Comput.*, vol. 20, no. 3, pp. 2415–2422, 2017.
- [10] J. Shen, Z. Gui, S. Ji, J. Shen, H. Tan, and Y. Tang, "Cloudaided lightweight certificateless authentication protocol with anonymity for wireless body area networks,'' *J. Netw. Comput. Appl.*, vol. 106, pp. 117–123, Mar. 2018.
- [11] M. K. Franklin and M. K. Reiter, "The design and implementation of a secure auction service,'' *IEEE Trans. Softw. Eng.*, vol. 22, no. 5, pp. 302–312, May 1996.
- [12] W. Du and M. J. Atallah, "Privacy-preserving cooperative statistical analysis,'' in *Proc. 17th Annu. Comput. Secur. Appl. Conf. (ACSAC)*, Dec. 2001, pp. 102–110.
- [13] C. Wang, S. S. M. Chow, Q. Wang, K. Ren, and W. Lou, ''Privacypreserving public auditing for secure cloud storage,'' *IEEE Trans. Comput.*, vol. 62, no. 2, pp. 362–375, Feb. 2013.
- [14] X. Chen, J. Li, J. Weng, J. Ma, and W. Lou, "Verifiable computation over large database with incremental updates,'' *IEEE Trans. Comput.*, vol. 65, no. 10, pp. 3184–3195, Oct. 2016.
- [15] B. Li, Y. Huang, Z. Liu, J. Li, Z. Tian, and S.-M. Yiu, "Hybridoram: Practical oblivious cloud storage with constant bandwidth,'' *Inf. Sci.*, to be published.
- [16] J. Li, Y. Zhang, X. Chen, and Y. Xiang, "Secure attribute-based data sharing for resource-limited users in cloud computing,'' *Comput. Secur.*, vol. 72, pp. 1–12, Jan. 2018.
- [17] P. Li, J. Li, Z. Huang, C.-Z. Gao, W.-B. Chen, and K. Chen, "Privacypreserving outsourced classification in cloud computing,'' in *Cluster Computing*. New York, NY, USA: Springer, 2017, pp. 1–10.
- [18] Y. Lindell and B. Pinkas, ''Privacy preserving data mining,'' in *Proc. Annu. Int. Cryptol. Conf.*, Springer, 2000, pp. 36–54.
- [19] C.-Z. Gao, Q. Cheng, P. He, W. Susilo, and J. Li, ''Privacy-preserving Naive Bayes classifiers secure against the substitution-then-comparison attack,'' *Inf. Sci.*, vol. 444, pp. 72–88, May 2018.
- [20] T. Li, J. Li, Z. Liu, P. Li, and C. Jia, ''Differentially private Naive Bayes learning over multiple data sources,'' *Inf. Sci.*, vol. 444, pp. 89–104, May 2018.
- [21] B. Chor, O. Goldreich, E. Kushilevitz, and M. Sudan, ''Private information retrieval,'' in *Proc. 36th Annu. Symp. Found. Comput. Sci.*, Oct. 1995, pp. 41–50.
- [22] S. Yekhanin, ''Private information retrieval,'' *Commun. ACM*, vol. 53, no. 4, pp. 68–73, Apr. 2010.
- [23] M. J. Freedman, K. Nissim, and B. Pinkas, "Efficient private matching and set intersection,'' in *Proc. Int. Conf. Theory Appl. Cryptograph. Techn.*, Springer, 2004, pp. 1–19.
- [24] E. De Cristofaro and G. Tsudik, ''Practical private set intersection protocols with linear complexity,'' in *Proc. Int. Conf. Financial Cryptogr. Data Secur.*, Springer, 2010, pp. 143–159.
- [25] P. Rindal and M. Rosulek, ''Improved private set intersection against malicious adversaries,'' in *Proc. Annu. Int. Conf. Theory Appl. Cryptograph. Techn.*, Springer, 2017, pp. 235–259.
- [26] M. Naor and B. Pinkas, ''Efficient oblivious transfer protocols,'' in *Proc. 12th Annu. ACM-SIAM Symp. Discrete Algorithms*, SIAM, 2001, pp. 448–457.
- [27] C. Peikert, V. Vaikuntanathan, and B. Waters, ''A framework for efficient and composable oblivious transfer,'' in *Advances in Cryptology— CRYPTO*. Berlin, Germany: Springer, 2008, pp. 554–571.
- [28] C. Zhao, H. Jiang, Q. Xu, X. Wei, and H. Wang, ''Several oblivious transfer variants in cut-and-choose scenario,'' *Int. J. Inf. Secur. Privacy*, vol. 9, no. 2, pp. 1–12, 2015.
- [29] C. Zhao, H. Jiang, X. Wei, Q. Xu, and M. Zhao, ''Cut-andchoose bilateral oblivious transfer and its application,'' in *Proc. IEEE Trustcom/BigDataSE/ISPA*, vol. 1, Aug. 2015, pp. 384–391.
- [30] X. Wei, H. Jiang, C. Zhao, M. Zhao, and Q. Xu, ''Fast cut-and-choose bilateral oblivious transfer for malicious adversaries,'' in *Proc. IEEE Trustcom/BigDataSE/ISPA*, Aug. 2016, pp. 418–425.
- [31] E. Stefanov et al., "Path ORAM: An extremely simple oblivious RAM protocol,'' in *Proc. ACM SIGSAC Conf. Comput. Commun. Secur.*, 2013, pp. 299–310.
- [32] S. Lu and R. Ostrovsky, ''Distributed oblivious RAM for secure two-party computation,'' in *Theory of Cryptography*. Berlin, Germany: Springer, 2013, pp. 377–396.
- [33] Z. Liu, Y. Huang, J. Li, X. Cheng, and C. Shen, "DivORAM: Towards a practical oblivious RAM with variable block size,'' *Inf. Sci.*, vol. 447, pp. 1–11, Jun. 2018.
- [34] C. Cachin, "Efficient private bidding and auctions with an oblivious third party,'' in *Proc. 6th ACM Conf. Comput. Commun. Secur.*, 1999, pp. 120–127.
- [35]  $\overrightarrow{M}$ . Fischlin, "A cost-effective pay-per-multiplication comparison method for millionaires,'' in *Topics in Cryptology—CT-RSA*. Berlin, Germany: Springer, 2001, pp. 457–471.
- [36] I. Ioannidis and A. Grama, ''An efficient protocol for Yao's millionaires' problem,'' in *Proc. 36th Annu. Hawaii Int. Conf. Syst. Sci.*, Jan. 2003, p. 6.
- [37] I. F. Blake and V. Kolesnikov, ''Strong conditional oblivious transfer and computing on intervals,'' in *Advances in Cryptology—ASIACRYPT*, vol. 3329. Berlin, Germany: Springer, 2004, pp. 515–529.
- [38] H.-Y. Lin and W.-G. Tzeng, "An efficient solution to the millionaires' problem based on homomorphic encryption,'' in *Applied Cryptography and Network Security*, vol. 5. Berlin, Germany: Springer, 2005, pp. 456– 466.
- [39] I. F. Blake and V. Kolesnikov, "Conditional encrypted mapping and comparing encrypted numbers,'' in *Financial Cryptography and Data Security*, vol. 4107. Berlin, Germany: Springer, 2006, pp. 206–220.
- [40] M. Hezaveh and C. Adams, "An efficient solution to the socialist millionaires' problem,'' in *Proc. IEEE 30th Can. Conf. Elect. Comput. Eng. (CCECE)*, Apr. 2017, pp. 1–4.
- [41] X. Liu, S. Li, X. Chen, G. Xu, X. Zhang, and Y. Zhou, "Efficient solutions to two-party and multiparty millionaires' problem,'' *Secur. Commun. Netw.*, vol. 2017, May 2017, Art. no. 5207386.
- [42] S. Li, D. Wang, Y. Dai, and P. Luo, ''Symmetric cryptographic solution to Yao's millionaires' problem and an evaluation of secure multiparty computations,'' *Inf. Sci.*, vol. 178, no. 1, pp. 244–255, 2008.
- [43] S. Li, D. Wang, and Y. Dai, ''Symmetric cryptographic protocols for extended millionaires' problem,'' *Sci. China F, Inf. Sci.*, vol. 52, no. 6, pp. 974–982, 2009.
- [44] R. Li, C. Wu, and Y. Zhang, "A fair and efficient protocol for the millionaires' problem'' *Chin. J. Electron*, vol. 18, no. 2, pp. 249–254, 2009.
- [45] A. Maitra, G. Paul, and A. K. Pal. (2015). ''Millionaires' problem with rational players: A unified approach in classical and quantum paradigms,'' [Online]. Available: https://arxiv.org/abs/1504.01974v3
- [46] I. Damgård, M. Fitzi, E. Kiltz, J. B. Nielsen, and T. Toft, ''Unconditionally secure constant-rounds multi-party computation for equality, comparison, bits and exponentiation,'' in *Proc. TCC*, vol. 3876, Springer, Mar. 2006, pp. 285–304.
- [47] T. Nishide and K. Ohta, ''Multiparty computation for interval, equality, and comparison without bit-decomposition protocol,'' in *Public Key Cryptography*, vol. 4450. Berlin, Germany: Springer, 2007, pp. 343–360.
- [48] D. Grigoriev and V. Shpilrain, "Yao's millionaires' problem and decoybased public key encryption by classical physics,'' *Int. J. Found. Comput. Sci.*, vol. 25, no. 4, pp. 409–417, 2014.
- [49] D. Grigoriev, L. B. Kish, and V. Shpilrain, "Yao's millionaires' problem and public-key encryption without computational assumptions,'' *Int. J. Found. Comput. Sci.*, vol. 28, no. 4, pp. 379–389, 2017.
- [50] Y. Lindell and B. Pinkas, ''An efficient protocol for secure two-party computation in the presence of malicious adversaries,'' in *Advances in Cryptology—EUROCRYPT*. Berlin, Germany: Springer, 2007, pp. 52–78.
- [51] Y. Lindell and B. Pinkas, "Secure two-party computation via cut-andchoose oblivious transfer,'' in *Theory of Cryptography*. London, U.K.: Springer-Verlag, 2011, pp. 329–346.
- [52] Y. Huang, D. Evans, J. Katz, and L. Malka, ''Faster secure two-party computation using garbled circuits,'' in *Proc. USENIX Secur. Symp.*, 2011, vol. 201, no. 1, pp. 331–335.
- [53] Y. Huang, J. Katz, and D. Evans, ''Efficient secure two-party computation using symmetric cut-and-choose,'' in *Advances in Cryptology—CRYPTO*. Berlin, Germany: Springer, 2013, pp. 18–35.
- [54] Y. Lindell, "Fast cut-and-choose based protocols for malicious and covert adversaries,'' in *Advances in Cryptology—CRYPTO*. Berlin, Germany: Springer, 2013, pp. 1–17.
- [55] C. Zhao, H. Jiang, Q. Xu, Y. Wang, X. Wei, and S. Cui, ''Fast two-output secure computation with optimal error probability,'' *Chin. J. Electron.*, vol. 26, no. 5, pp. 933–941, 2017.
- [56] J. Katz, S. Ranellucci, and X. Wang, ''Authenticated garbling and communication-efficient, constant-round, secure two-party computation,'' *IACR Cryptol. ePrint Arch.*, vol. 2017, p. 30, 2017.
- [57] X. Wang, A. J. Malozemoff, and J. Katz, ''Faster secure two-party computation in the single-execution setting,'' in *Proc. Annu. Int. Conf. Theory Appl. Cryptograph. Techn.*, Springer, 2017, pp. 399–424.
- [58] J. Katz and Y. Lindell, *Introduction to Modern Cryptography*. Boca Raton, FL, USA: CRC Press, 2014.
- [59] O. Goldreich, *Foundations of Cryptography: Basic Applications*, vol. 2. Cambridge, U.K.: Cambridge Univ. Press, 2004.
- [60] C. Hazay and Y. Lindell, "Constructions of truly practical secure protocols using standardsmartcards,'' in *Proc. 15th ACM Conf. Comput. Commun. Secur.*, 2008, pp. 491–500.
- [61] C. Hazay and Y. Lindell, *Efficient Secure Two-Party Protocols: Information Security and Cryptography*. Heidelberg, Germany: Springer, 2010.



ZHONGTIAN JIA received the Ph.D. degree in cryptography from the Beijing University of Posts and Telecommunications, China, in 2012. He is currently an Associate Professor with the School of Information Science and Engineering, University of Jinan, China. His research interests include cryptography, information security, and privacy. He is currently focusing on biometric-based identity authentication and DDoS and its' prevention.



CHUAN ZHAO received the Ph.D. degree in computer science and technology from Shandong University, China, in 2016. He is currently a Lecturer with the School of Information Science and Engineering, University of Jinan, China. His research interests include cryptography, information security, and privacy. He is currently focusing on practical secure multi-party computation, privacypreserving technologies, and mobile security.



**ZHENXIANG CHEN** received the B.S. and M.S. degrees from the University of Jinan, Jinan, China, in 2001 and 2004, respectively, and the Ph.D. degree from the School of Computer Science and Technology, Shandong University, Jinan, in 2008. He is currently a Professor with the School of Information Science and Engineering, University of Jinan. His research interests include network behavior analysis, mobile security and privacy, and hybrid computational intelligence.



SHENGNAN ZHAO received the bachelor's degree from the School of Control and Computer Engineering, North China Electric Power University, China, in 2016. He is currently pursuing the Ph.D. degree with the School of Computer Science and Technology, Shandong University, China. His research interests include secure multiparty computation and search on encrypted data.



MAURO CONTI (S'07–M'08–SM'14) received the Ph.D. from the Sapienza University of Rome, Italy, in 2009. He was a Post-Doctoral Researcher with Vrije Universiteit Amsterdam, The Netherlands. In 2011, he joined as an Assistant Professor with the University of Padua, where he became an Associate Professor in 2015. In 2017, he received the national habilitation as a Full Professor for Computer Science and Computer Engineering. He has been a Visiting Researcher with

GMU in 2008 and 2016, UCLA in 2010, UCI in 2012, 2013, 2014, and 2017, TU Darmstadt in 2013, UF in 2015, and FIU in 2015 and 2016. He is currently an Associate Professor with the University of Padua, Italy. His main research interests include the area of security and privacy. In this area, he published over 200 papers in topmost international peer-reviewed journals and conference. His research is also funded by companies, including Cisco and Intel. He has been awarded with a Marie Curie Fellowship in 2012 by the European Commission, and with a Fellowship by the German DAAD in 2013. He was the Program Chair for TRUST 2015, ICISS 2016, and WiSec 2017, and the General Chair for SecureComm 2012 and ACM SACMAT 2013. He is an Associate Editor for several journals, including the IEEE COMMUNICATIONS SURVEYS & TUTORIALS, the IEEE TRANSACTIONS ON INFORMATION FORENSICS AND SECURITY, and the IEEE TRANSACTIONS ON NETWORK AND SERVICE MANAGEMENT.

 $\sim$   $\sim$   $\sim$ 



BO ZHANG received the Ph.D. degree in computer application technology from Shandong University, China, in 2010. He is currently a Lecturer with the School of Information Science and Engineering, University of Jinan, China. His research interests include public key cryptography and information security. He is currently focusing on certificateless signcryption, privacypreserving data processing, and aggregation protocol in healthcare wireless sensor networks.