

Received February 13, 2018, accepted March 19, 2018, date of publication April 4, 2018, date of current version June 19, 2018. Digital Object Identifier 10.1109/ACCESS.2018.2819989

Dynamic Output Feedback Guaranteed-Cost Synchronization for Multiagent Networks With Given Cost Budgets

JIANXIANG XI¹⁰, CHENG WANG¹, HAO LIU², AND ZHONG WANG¹

¹High-Tech Institute of Xi'an, Xi'an 710025, China
²School of Astronautics, Beihang University, Beijing 100191, China

Corresponding author: Hao Liu (liuhao13@buaa.edu.cn)

This work was supported in part by the National Natural Science Foundation of China under Grant 61374054, Grant 61503012, Grant 61703411, Grant 61503009, Grant 61333011, and Grant 61421063, in part by the Innovation Foundation of High-Tech Institute of Xi'an under Grant 2015ZZDJJ03, in part by the Youth Foundation of High-Tech Institute of Xi'an under Grant 2016QNJJ004, and in part by Innovation Zone Project under Grant 17-163-11-ZT-004-017-01.

ABSTRACT The current paper addresses the distributed guaranteed-cost synchronization problems for general high-order linear multiagent networks. Existing works on the guaranteed-cost synchronization usually require all state information of neighboring agents and cannot give the cost budget previously. For both leaderless and leader-following interaction topologies, the current paper first proposes a dynamic output feedback synchronization protocol with guaranteed-cost constraints, which can realize the tradeoff design between the energy consumption and the synchronization regulation performance with the given cost budget. Then, according to different structure features of interaction topologies, leaderless and leader-following guaranteed-cost synchronization analysis and design criteria are presented, respectively, and an algorithm is proposed to deal with the impacts of nonlinear terms by using both synchronization analysis and design criteria. Especially, an explicit expression of the synchronization function is shown for leaderless cases, which is independent of protocol states and the given cost budget. Finally, numerical examples are presented to demonstrate theoretical results.

INDEX TERMS Multiagent network, guaranteed-cost synchronization, dynamic output feedback, cost budget.

I. INTRODUCTION

In recent years, synchronization of multiagent networks with distributed control protocols has obtained great attention by researchers from different fields, formation and containment control, sensor networks, multiple agent supporting systems, distributed computation, multiple robot systems and network congestion alleviation, et al. [1]-[15]. According to different structures, multiagent networks are usually categorized into two types: leader-following ones and leaderless ones, which are associated with leader-following synchronization and leaderless synchronization, respectively. Moreover, the motions of multiagent networks contain two parts: the whole motion and the relative motions among agents. For leader-following multiagent networks, the whole motion is the motion of the leader. However, for leaderless multiagent networks, the whole motion is associated with the interaction topology and initial states of all agents and is often described by the synchronization function. In [16], some novel conclusions for robust synchronization were given. Sakthivel *et al.* [17] proposed an inspirational method to deal with stochastic faulty actuator-based reliable synchronization problems. The literatures [18]–[22] also proposed some new results on synchronization. It should be pointed out that the performance optimization was not considered in [16]–[22].

However, in practical multiagent networks, the control energy is usually limited, so it is required to simultaneously consider the following two factors: the synchronization regulation performance and the energy consumption, which can be modeled as certain optimal or suboptimal problems with different cost functions to realize the tradeoff design between them. By optimizing the cost function of each agent, some synchronization control strategies were shown to achieve global goals in [23] and [24]. By constructing the global performance index based on the linear quadratic cost function, Cao and Ren [25] presented an optimal synchronization criteria for first-order linear multiagent networks under the condition that the interaction topology is a complete graph. For first-order nonlinear multiagent networks, optimal synchronization criteria were proposed by convex and coercive properties of the cost function in [26] and [27]. For secondorder linear multiagent networks, synchronization regulation performance problems were discussed by hybrid impulsive control approaches in [28] and [29], where the energy consumption was not considered. Cheng *et al.* [30] dealt with leader-following guaranteed-cost synchronization of secondorder multiagent networks, which can realize the suboptimal synchronization tracking, and investigated the applications of theoretical results to interconnected pendulums. In [23]–[30], the dynamics of each agent has a specific structure, which can simplify the synchronization analysis and design problems.

Due to the complex structure of general high-order multiagent networks, optimal synchronization is usually difficult to be achieved and guaranteed-cost synchronization is more challenging than first-order and second-order multiagent networks. Zhao et al. [31] discussed guaranteed-cost synchronization for general high-order linear multiagent networks with the linear quadratic cost function based on state errors among neighboring agents and control inputs of all agents. Zhou et al. [32] proposed an event-triggered guaranteed-cost control method to decrease the energy consumption. In [33], sampled-data information was used to design guaranteedcost synchronization prototols and an input delay approch was applied to give guaranteed-cost synchronization criteria. In [31]-[33], the linear matrix inequality (LMI) synchronization design criteria contain the Laplacian matrix and the dimensions of variables are associated with the number of agents, which cannot ensure the scalability of multiagent networks since the computational complexity greatly increases as the number of agents increases. To overcome this flaw, the state decomposition approach was shown to deal with guaranteed-cost synchronization in [34]-[36], where LMI synchronization design criteria are only dependent on the nonzero eigenvalues of the Laplacian matrix and the dimensions of all the variables are identical with the one of each agent. Moreover, Xie and Yang [37] proposed sufficient conditions for guaranteed-cost fault-tolarant synchronization by introducing a coupling weight larger than the reciprocal of the minimum nonzero eigenvalue of the Laplacian matrix, where the dimension of the variable of the algebraic Riccati equality is independent of the number of agents.

Although some significant research results on guaranteedcost synchronization were presented, there still exist many very challenging and open problems. The current paper mainly focuses on the following two aspects: (i) The cost budget is given previously. For practical multiagent networks, each agent usually has the limited energy, so the cost budget cannot be infinite and should be a finite value given previously. In [31]–[37], different upper bounds of the guaranteed cost were determined, but they cannot be given previously; (ii) The outputs instead of the states of neighboring agents are used to construct the synchronization protocol. In practical applications, each agent often can only observe its neighbors and obtain output information which may be partial states or linear combinations of states. It is well-known that output feedback synchronization control is more complex and challengeable than state feedback synchronization control. In [31]–[37], all state information of neighboring agents is required to realize the guaranteed-cost synchronization control.

For leaderless and leader-following general high-order linear multiagent networks with the given cost budgets, the current paper proposes a dynamic output feedback synchronization protocol with a specific structure to deal with guaranteed-cost synchronization analysis and design problems. For leaderless cases, the relationship between the given cost budget and the LMI variable is constructed by initial states of all agents and the Laplacian matrix of a complete graph, guaranteed-cost synchronization analysis and design criteria are proposed, respectively, and the synchronization function is determined. For leader-following cases, the relationship between the given cost budget and the LMI variable is determined via initial states of all agents and the Laplacian matrix of a star graph, and sufficient conditions for guaranteed-cost synchronization criteria are presented by LMI tools. Moreover, based on the cone complementarity approach, an algorithm is proposed to check guaranteedcost synchronization design criteria which contain nonlinear matrix inequality constraints.

Compared with closely related works on guaranteed-cost synchronization, the current paper has two critical innovations. The first one is that the cost budget is given previously in the current paper. The literatures [31]–[37] only determined different upper bounds of the guaranteed cost, but cannot previously give the cost budget. The second one is that the current paper proposes dynamic output feedback synchronization protocols with the linear quadratic optimization index. The literatures [31]–[37] required all state information of neighboring agents to construct guaranteed-cost synchronization protocols.

The remainder of the current paper is organized as follows. In Section II, some preliminaries on graph theory and the problem description are presented, respectively. Section III gives guaranteed-cost synchronization criteria for leaderless multiagent networks with dynamic output feedback synchronization protocols and the given cost budget, and determines an explicit expression of the synchronization function. Section IV presents leader-following guaranteed-cost synchronization criteria. Section V shows numerical examples to illustrate theoretical results. Some concluding remarks are given in Section VI.

Notations: \mathbf{R}^n is the *n*-dimensional real column vector space and $\mathbf{R}^{n \times n}$ is the set of $n \times n$ dimensional real matrices. I_n represents the *n*-dimensional identity matrix. **1** denotes a column vector with all components 1. 0 and **0** stand for the zero number and the zero column vector with a compatible dimension, respectively. The notation * in a symmetric matrix denotes the symmetric term. The symbol \otimes represents the Kronecker product. $P^T = P < 0$ and $P^T = P > 0$ mean

that the symmetric matrix P is negative definite and positive definite, respectively. The notation diag $\{d_1, d_2, \dots, d_N\}$ represents a diagonal matrix with the diagonal elements d_1, d_2, \dots, d_N . The notation tr (P) denotes the trace of the matrix P.

II. PRELIMINARIES AND PROBLEM DESCRIPTION

A. PRELIMINARIES ON GRAPH THEORY

The current paper models the interaction topology of a multiagent network with N identical agents by a graph G = (V(G), E(G)), which is composed by a nonempty vertex set $V(G) = \{v_1, v_2, \dots, v_N\}$ and the edge set E(G) = $\{e_{ij} = (v_i, v_j)\}$. The vertex v_i represents agent *i*, the edge e_{ij} denotes the interaction channel from agent i to agent j, and the edge weight w_{ii} of e_{ii} stands for the interaction strength from agent *i* to agent *j*. The index of the set of all neighbors of vertex v_i is denoted by $N_i = \{i : (v_i, v_j) \in E(G)\}$. A path between vertex v_{i_1} and vertex v_{i_l} is a sequence of edges $(v_{i_1}, v_{i_2}), (v_{i_2}, v_{i_3}), \dots, (v_{i_{l-1}}, v_{i_l})$. An undirected graph is said to be connected if there at least exists an undirected path between any two vertices. A directed graph has a spanning tree if there exists a root node which has a directed path to any other nodes. Define the Laplacian matrix of the graph G as $L = [l_{ji}] \in \mathbf{R}^{N \times N}$ with $l_{jj} = \sum_{i \in N_j} w_{ji}$ and $l_{ji} = -w_{ji} \ (j \neq i)$. If the undirected graph is connected, then zero is a simple eigenvalue of L, and all the other N - 1 eigenvalues are positive. If the directed graph has a spanning tree, then zero is a simple eigenvalue of L, and all the other N - 1 eigenvalues have positive real parts. More basic concepts and conclusions on graph theory can be found in [38].

B. PROBLEM DESCRIPTION

For multiagent networks consisting of N identical high-order linear agents, the dynamics of the *j*th agent is described by

$$\begin{cases} \dot{x}_j(t) = Ax_j(t) + Bu_j(t), \\ y_j(t) = Cx_j(t), \end{cases}$$
(1)

where $j = 1, 2, \dots, N, A \in \mathbb{R}^{n \times n}, B \in \mathbb{R}^{n \times m}, C \in \mathbb{R}^{d \times n}$ and $x_j(t), y_j(t)$ and $u_j(t)$ are the state, the output and the control input, respectively. For $Q^T = Q > 0$ and $R^T = R > 0$, a dynamic output feedback synchronization protocol with a linear quadratic optimization index is proposed as follows:

$$\begin{cases} \dot{\phi}_{j}(t) = (A + BK_{u}) \phi_{j}(t) \\ - K_{\phi} C \sum_{i \in N_{j}} w_{ji} \left(\phi_{i}(t) - \phi_{j}(t) \right) \\ + K_{\phi} \sum_{i \in N_{j}} w_{ji} \left(y_{i}(t) - y_{j}(t) \right), \\ u_{j}(t) = K_{u} \phi_{j}(t), \\ J_{s} = \int_{0}^{\infty} \left(J_{u}(t) + J_{x\phi}(t) \right) dt, \end{cases}$$

$$(2)$$

where $j = 1, 2, \dots, N$, $\phi_j(t)$ with $\phi_j(0) = \mathbf{0}$ is the protocol state, K_u and K_{ϕ} are gain matrices with compatible dimensions to be determined, N_j represents the neighbor set of agent

j and

$$J_{u}(t) = \sum_{j=1}^{N} u_{j}^{T}(t) R u_{j}(t),$$

$$J_{x\phi}(t) = \sum_{j=1}^{N} \sum_{i \in N_{j}} \left(w_{ji} \left(x_{i}(t) - x_{j}(t) - \phi_{i}(t) + \phi_{j}(t) \right)^{T} \times Q \left(x_{i}(t) - x_{j}(t) - \phi_{i}(t) + \phi_{j}(t) \right) \right).$$

Furthermore, $J_u(t)$ and $J_{x\phi}(t)$ are called the energy consumption term and the synchronization regulation term, respectively, and the tradeoff design between the energy consumption and the synchronization regulation performance can be realized by choosing proper R and Q. It should be pointed out that there also exists the linear quadratic index to realize guaranteed-cost control for isolated systems as shown in [40], but its structure is different with the one in (2). For isolated systems, the linear quadratic index is constructed by state information, which is convergent. For multiagent networks, it is required that state errors among agents are convergent, but states of each agent may be divergent. Hence, the linear quadratic index for multiagent networks should be constructed by state errors as shown in (2), and cannot use state information. Furthermore, guaranteed-cost control can be clarified into two types. The first one is to calculate the upper bound of the linear quadratic index for given gain matrices as shown in [31]–[37]. The second one is to determine gain matrices of synchronization protocols for the given upper bound of the linear quadratic index; that is, the given cost budget. Moreover, it can be shown that $-K_{\phi}C \sum_{i \in N_i} w_{ji} (\phi_i(t) - \phi_j(t)) +$ $K_{\phi} \sum_{i \in N_i} w_{ji} \left(y_i(t) - y_j(t) \right) = K_{\phi} C \sum_{i \in N_i} w_{ji} \left(x_i(t) - y_j(t) \right)$ $x_j(t) - \phi_i(t) + \phi_j(t)$, which means that the term $\sum_{i \in N_i} w_{ji} (x_i(t) - x_j(t) - \phi_i(t) + \phi_j(t))$ directly impacts on the derivative of the protocol state and indirectly impacts on the derivative of the state of each agent. Hence, we choose $J_{x\phi}(t)$ as the index function of the synchronization regulation performance.

Let $J_s^* > 0$ be a given cost budget, then the definition of guaranteed-cost synchronization of multiagent networks with the given cost budget is proposed as follows.

Definition 1: For any given $J_s^* > 0$, multiagent network (1) is said to be guaranteed-cost synchronizable by protocol (2) if there exist K_u and K_{ϕ} such that $\lim_{t\to\infty} (x_j(t) - c(t)) = \mathbf{0}$ $(j = 1, 2, \dots, N)$ and $J_s \leq J_s^*$ for any bounded disagreement initial states $x_j(0)(j = 1, 2, \dots, N)$, where c(t) is said to be the synchronization function.

The main objects of the current paper are to design K_u and K_{ϕ} such that multiagent network (1) with leaderless and leader-following structures achieves guaranteed-cost synchronization under the condition that the cost budget is given, and to determine the impacts of the state of the synchronization protocol and the given cost budget on the synchronization function for leaderless cases.

Remark 1: Compared with guaranteed-cost synchronization protocols in [31]-[37], protocol (2) has two critical features. The first one is that outputs instead of states of neighboring agents are applied to construct synchronization protocols. For dynamic output feedback synchronization protocols, the key challenge is that the upper bound of the optimization index is difficult to be determined since both the energy consumption term and the synchronization regulation term are dependent on protocol states. The second one is that the cost budget is given previously. In this case, the key challenge is to determine the relationship between the upper bound of the optimization index and the given cost budget and to design gain matrices of synchronization protocols such that the upper bound is less than the given cost budget. Moreover, compared with the traditional dynamic output feedback controller for isolated systems as shown in classic literatures [39] and [40], the key difference is that output errors between one agent and its neighbors are used to construct synchronization protocols for multiagent networks as shown in (2). It should be pointed out that the state of each agent may be not convergent, but it is required that state errors among all agents are convergent under protocol (2). However, it is needed that the states of an isolated system are convergent by designing the dynamic output feedback controller.

III. GUARANTEED-COST SYNCHRONIZATION FOR LEADERLESS MULTIAGENT NETWORKS

For high-order linear multiagent networks with leaderless connected topologies, this section gives sufficient conditions for guaranteed-cost synchronization design and analysis with the given cost budget, respectively, where the guaranteed-cost synchronization design criterion contains a nonlinear constraint, so an algorithm is proposed to determine gain matrices on the basis of the cone complementarity approach. Moreover, an explicit expression of the synchronization function is shown, which is independent of the protocol state and the given cost budget.

Let $x(t) = \begin{bmatrix} x_1^T(t), x_2^T(t), \cdots, x_N^T(t) \end{bmatrix}^T$ and $\phi(t) = [\phi_1^T(t), \phi_2^T(t), \cdots, \phi_N^T(t)]^T$, then the dynamics of multiagent network (1) with protocol (2) can be written as

$$\begin{cases} \dot{x}(t) = (I_N \otimes A) x(t) + (I_N \otimes BK_u) \phi(t), \\ \dot{\phi}(t) = (I_N \otimes (A + BK_u) + (L \otimes K_\phi C)) \phi(t) \\ - (L \otimes K_\phi C) x(t). \end{cases}$$
(3)

Because the interaction topology is undirected, the Laplacian matrix *L* is symmetric and positive semi-definite. Due to $L\mathbf{1} = \mathbf{0}$, there exists an orthonormal matrix $U = [\mathbf{1}/\sqrt{N}, \hat{U}]$ such that $U^T L U = \text{diag} \{0, \Delta\}$, where $\Delta = \text{diag} \{\lambda_2, \lambda_3, \dots, \lambda_N\}$ with $0 < \lambda_2 \le \lambda_3 \le \dots \le \lambda_N$. Let

$$\hat{x}(t) = \left(U^T \otimes I_n\right) x(t) = \left[\hat{x}_1^T(t), \hat{x}_2^T(t), \cdots, \hat{x}_N^T(t)\right]^T, \quad (4)$$
$$\hat{\phi}(t) = \left(U^T \otimes I_n\right) \phi(t) = \left[\hat{\phi}_1^T(t), \hat{\phi}_2^T(t), \cdots, \hat{\phi}_N^T(t)\right]^T, \quad (5)$$

then multiagent network (3) can be transformed into

$$\hat{\dot{x}}_{1}(t) = A\hat{x}_{1}(t) + BK_{u}\hat{\phi}_{1}(t),$$

$$\hat{\phi}_{1}(t) = (A + BK_{u})\hat{\phi}_{1}(t),$$

$$\hat{\dot{x}}_{n}(t) = A\hat{x}_{n}(t) + BK_{n}\hat{\phi}_{n}(t),$$
(6)

$$\dot{\hat{\phi}}_{j}(t) = A \chi_{j}(t) + B K_{u} \phi_{j}(t),$$

$$\dot{\hat{\phi}}_{j}(t) = \left(A + B K_{u} + \lambda_{j} K_{\phi} C\right) \hat{\phi}_{j}(t)$$
(7)
$$-\lambda_{j} K_{\phi} C \hat{x}_{j}(t),$$

where $j = 2, 3, \dots, N$.

The *N*-dimensional column vector with the *j*th element 1 and 0 elsewhere is denoted by e_j ($j = 2, 3, \dots, N$). Define

$$x_{\rm e}(t) \stackrel{\Delta}{=} \sum_{j=2}^{N} U e_j \otimes \hat{x}_j(t), \tag{8}$$

$$x_{\rm s}(t) \stackrel{\Delta}{=} \frac{1}{\sqrt{N}} \mathbf{1} \otimes \hat{x}_{\rm I}(t), \tag{9}$$

then one can show by (8) that

$$x_{e}(t) = (U \otimes I_{n}) \left[\mathbf{0}^{T}, \hat{x}_{2}^{T}(t), \hat{x}_{3}^{T}(t), \cdots, \hat{x}_{N}^{T}(t) \right]^{T}.$$
 (10)

By $Ue_1 = \mathbf{1} / \sqrt{N}$ and $e_1 \otimes \hat{x}_1(t) = [\hat{x}_1^T(t), \mathbf{0}^T]^T$, it can be derived from (9) that

$$x_{\rm s}(t) = (U \otimes I_n) \left[\hat{x}_1^T(t), \mathbf{0}^T \right]^T.$$
(11)

Since *U* is nonsingular, $x_e(t)$ and $x_s(t)$ are linearly independent by (10) and (11). From (4), one can obtain that $x(t) = x_e(t) + x_s(t)$. By the structure of $x_s(t)$ given in (9), multiagent network (3) achieves leaderless synchronization if and only if $\lim_{t\to\infty} \hat{x}_j(t) = \mathbf{0}$ $(j = 2, 3, \dots, N)$ and $\hat{x}_1(t) / \sqrt{N}$ is a valid candidate of the synchronization function. Thus, $x_e(t)$ and $x_s(t)$ can be regarded as the error state among agents and the synchronization state of multiagent network (3), which stands for the disagreement part and the agreement part, respectively. Furthermore, one can find by (7) that $\lim_{t\to\infty} [\hat{\phi}_j^T(t), \hat{x}_j^T(t)]^T = \mathbf{0}$ $(j = 2, 3, \dots, N)$ can guarantee that multiagent network (1) with protocol (2) achieves leaderless synchronization.

Based on the above analysis, the following theorem presents an approach to determine gain matrices K_u and K_ϕ such that multiagent network (1) with protocol (2) achieves leaderless guaranteed-cost synchronization with a given cost budget.

Theorem 1: For any given $J_s^* > 0$, multiagent network (1) is leaderless guaranteed-cost synchronizable by protocol (2) if there exist $P_x^T = P_x > 0$, $\hat{P}_x^T = \hat{P}_x > 0$, $\hat{P}_{\phi}^T = \hat{P}_{\phi} > 0$, and \hat{K}_u such that

$$\hat{\Xi}_{1} = x^{T}(0) \left(\left(I_{N} - N^{-1} \mathbf{1} \mathbf{1}^{T} \right) \otimes I_{n} \right) x(0) P_{x} - J_{s}^{*} I_{n} \leq 0,$$

$$\hat{\Xi}_{j} = \begin{bmatrix} \Xi_{11} & -\lambda_{j} \hat{P}_{x} C^{T} C & \hat{K}_{u}^{T} R \\ * & \Xi_{22}^{j} & \mathbf{0} \\ * & \mathbf{0} & -R \end{bmatrix} < 0 \quad (j = 2, N),$$

$$P_{x} \hat{P}_{x} = I_{n},$$

(17)

where $\Xi_{11} = A\hat{P}_{\phi} + \hat{P}_{\phi}A^T + B\hat{K}_u + \hat{K}_u^T B^T$ and $\Xi_{22}^j = P_x A + A^T P_x - 2\lambda_j C^T C + 2\lambda_j Q$. In this case, $K_u = \hat{K}_u \hat{P}_{\phi}^{-1}$ and $K_{\phi} = -\hat{P}_x C^T$.

Proof: First of all, we give sufficient conditions by LMI techniques such that $\lim_{t\to\infty} \left[\hat{\phi}_j^T(t), \hat{x}_j^T(t)\right]^T = \mathbf{0}$ $(j = 2, 3, \dots, N)$. One can derive that

$$\begin{bmatrix} \hat{\phi}_j(t) \\ \hat{\phi}_j(t) - \hat{x}_j(t) \end{bmatrix} = \begin{bmatrix} I_n & \mathbf{0} \\ I_n & -I_n \end{bmatrix} \begin{bmatrix} \hat{\phi}_j(t) \\ \hat{x}_j(t) \end{bmatrix}, \quad (12)$$

so subsystems (7) can be converted into

$$\begin{bmatrix} \hat{\phi}_{j}(t) \\ \dot{\phi}_{j}(t) - \dot{\hat{x}}_{j}(t) \end{bmatrix} = \begin{bmatrix} A + BK_{u} & \lambda_{j}K_{\phi}C \\ \mathbf{0} & A + \lambda_{j}K_{\phi}C \end{bmatrix} \times \begin{bmatrix} \hat{\phi}_{j}(t) \\ \hat{\phi}_{j}(t) - \hat{x}_{j}(t) \end{bmatrix}.$$
(13)

Let P_{ϕ} and P_x be symmetric and positive definite matrices, then we construct a Lyapunov function candidate as follows

$$V_j(t) = V_{\phi j}(t) + V_{xj}(t),$$
 (14)

where $j = 2, 3, \cdots, N$ and

$$\begin{aligned} V_{\phi j}(t) &= \hat{\phi}_j^T(t) P_{\phi} \hat{\phi}_j(t), \\ V_{xj}(t) &= \left(\hat{\phi}_j(t) - \hat{x}_j(t) \right)^T P_x \left(\hat{\phi}_j(t) - \hat{x}_j(t) \right). \end{aligned}$$

From (13) to (14), one can show that

$$\begin{split} \dot{V}_{\phi j} &= \hat{\phi}_{j}^{T}(t) \left(P_{\phi} \left(A + BK_{u} \right) + \left(A + BK_{u} \right)^{T} P_{\phi} \right) \hat{\phi}_{j}(t) \\ &+ 2\lambda_{j} \hat{\phi}_{j}^{T}(t) P_{\phi} K_{\phi} C \left(\hat{\phi}_{j}(t) - \hat{x}_{j}(t) \right), \\ \dot{V}_{xj} &= \left(\hat{\phi}_{j}(t) - \hat{x}_{j}(t) \right)^{T} \left(P_{x} \left(A + \lambda_{j} K_{\phi} C \right) \\ &+ \left(A + \lambda_{j} K_{\phi} C \right)^{T} P_{x} \right) \left(\hat{\phi}_{j}(t) - \hat{x}_{j}(t) \right). \end{split}$$

Thus, it can be derived that $\lim_{t\to\infty} \hat{\phi}_j(t) = \mathbf{0}$ and $\lim_{t\to\infty} \left(\hat{\phi}_j(t) - \hat{x}_j(t) \right) = \mathbf{0}$ if

$$\Theta_{j} = \begin{bmatrix} P_{\phi} (A + BK_{u}) + (A + BK_{u})^{T} P_{\phi} \\ * \\ \lambda_{j} P_{\phi} K_{\phi} C \\ P_{x} (A + \lambda_{j} K_{\phi} C) + (A + \lambda_{j} K_{\phi} C)^{T} P_{x} \end{bmatrix} < 0, \quad (15)$$

where $j = 2, 3, \dots, N$, which means that multiagent network (1) with protocol (2) achieves leaderless synchronization due to $\lim_{t\to\infty} \left[\hat{\phi}_j^T(t), \hat{x}_j^T(t) \right]^T = \mathbf{0} \ (j = 2, 3, \dots, N).$ In the following, the guaranteed-cost performance is dis-

In the following, the guaranteed-cost performance is discussed. Due to $\phi_j(0) = \mathbf{0}$ $(j = 1, 2, \dots, N)$, one can show that $\hat{\phi}_1(0) = \mathbf{0}$. By (6), one has $\hat{\phi}_1(t) \equiv \mathbf{0}$. Thus, it can be obtained by (4) and (5) that

$$J_{u}(t) = \phi^{T}(t) \left(I_{N} \otimes K_{u}^{T} R K_{u} \right) \phi(t)$$

= $\sum_{j=2}^{N} \hat{\phi}_{j}^{T}(t) K_{u}^{T} R K_{u} \hat{\phi}_{j}(t),$ (16)

$$J_{x\phi}(t) = (\phi(t) - x(t))^T (2L \otimes Q) (\phi(t) - x(t))$$

= $\sum_{j=2}^N 2\lambda_j (\hat{\phi}_j(t) - \hat{x}_j(t))^T Q (\hat{\phi}_j(t) - \hat{x}_j(t)).$

For $T \ge 0$, we can derive from (15) to (17) that

$$\begin{split} J_{sT} &\triangleq \int_{0}^{T} \left(J_{u}(t) + J_{x\phi}(t) \right) \mathrm{d}t \\ &= \int_{0}^{T} \left(J_{u}(t) + J_{x\phi}(t) \right) \mathrm{d}t \\ &+ \sum_{j=2}^{N} \left(\int_{0}^{T} \dot{V}_{j}(t) \mathrm{d}t - V_{j}(T) + V_{j}(0) \right) \\ &= \sum_{j=2}^{N} \int_{0}^{T} \left(\hat{\phi}_{j}^{T}(t) P_{\phi} \left((A + BK_{u}) P_{\phi}^{-1} \right. \\ &+ P_{\phi}^{-1} (A + BK_{u})^{T} + P_{\phi}^{-1} K_{u}^{T} RK_{u} P_{\phi}^{-1} \right) P_{\phi} \hat{\phi}_{j}(t) \\ &+ 2\lambda_{j} \hat{\phi}_{j}^{T}(t) P_{\phi} K_{\phi} C \left(\hat{\phi}_{j}(t) - \hat{x}_{j}(t) \right) + \left(\hat{\phi}_{j}(t) - \hat{x}_{j}(t) \right)^{T} \\ &\times \left(P_{x} \left(A + \lambda_{j} K_{\phi} C \right) + \left(A + \lambda_{j} K_{\phi} C \right)^{T} P_{x} + 2\lambda_{j} Q \right) \\ &\times \left(\hat{\phi}_{j}(t) - \hat{x}_{j}(t) \right) \right) \mathrm{d}t - \sum_{j=2}^{N} \left(V_{j}(T) - V_{j}(0) \right). \end{split}$$

Let $\hat{K}_u = K_u \hat{P}_{\phi}$ with $\hat{P}_{\phi} = P_{\phi}^{-1}$ and $K_{\phi} = -\hat{P}_x C^T$ with $\hat{P}_x = P_x^{-1}$. By Schur Complement Lemma in [41], if $\hat{\Xi}_j < 0$ $(j = 2, 3, \dots, N)$, then as T tends to infinity, one has

$$J_{\rm s} \le \sum_{j=2}^N V_j(0).$$

Due to $\phi_j(0) = \mathbf{0}$ $(j = 1, 2, \dots, N)$, one has $\hat{\phi}_j(0) = \mathbf{0}$ $(j = 1, 2, \dots, N)$ by (5), which means that $V_{\phi j}(0) = 0$ and $V_{xj}(0) = \hat{x}_i^T(0)P_x\hat{x}_j(0)$. Thus, one can find that

$$J_{s} \leq \sum_{j=2}^{N} \hat{x}_{j}^{T}(0) P_{x} \hat{x}_{j}(0)$$

$$= x^{T}(0) (U \otimes I_{n}) \begin{bmatrix} \mathbf{0}^{T} \\ I_{(N-1)n} \end{bmatrix} (I_{N-1} \otimes P_{x})$$

$$\times \begin{bmatrix} \mathbf{0}, I_{(N-1)n} \end{bmatrix} (U^{T} \otimes I_{n}) x(0).$$
(18)

Since $UU^T = I_N$, it can be shown that

$$\hat{U}\hat{U}^{T} = I_{N} - N^{-1}\mathbf{1}\mathbf{1}^{T}.$$
(19)

Due to

$$\begin{bmatrix} \mathbf{0}, I_{(N-1)n} \end{bmatrix} \left(U^T \otimes I_n \right) = \hat{U}^T \otimes I_n$$

one can derive by (18) and (19) that

$$J_{s} \leq x^{T}(0) \left(\left(I_{N} - N^{-1} \mathbf{1} \mathbf{1}^{T} \right) \otimes P_{x} \right) x(0).$$
 (20)

28927

Because $x_j(0)$ $(j = 1, 2, \dots, N)$ are disagreement, there exists some $\hat{x}_j(0) \neq 0$ $(j \in \{2, 3, \dots, N\})$. Thus, one can derive that

$$x^{T}(0)\left(\left(I_{N}-N^{-1}\mathbf{1}\mathbf{1}^{T}\right)\otimes I_{n}\right)x(0)=\sum_{j=2}^{N}\hat{x}_{j}^{T}(0)\hat{x}_{j}(0)>0.$$

Hence, one can set that

$$\gamma = \frac{J_s^*}{x^T(0)\left(\left(I_N - N^{-1}\mathbf{1}\mathbf{1}^T\right) \otimes I_n\right)x(0)};$$

x 4

that is,

$$J_{\rm s}^* = x^T(0) \left(\left(I_N - N^{-1} \mathbf{1} \mathbf{1}^T \right) \otimes \gamma I_n \right) x(0).$$
 (21)

Since $I_N - N^{-1}\mathbf{11}^T$ has a simple zero eigenvalue and N - 1 nonzero eigenvalues, $P_x \leq \gamma I_n$ can guarantee that $J_s \leq J_s^*$ by (20) and (21). Based on the above analysis, by the convex property of LMIs, the conclusion of Theorem 1 can be obtained.

Remark 2: The specific structures of coefficient matrices of protocol (2) make subsystems (7) satisfy some separation principle; that is, their dynamics can transformed into the ones in (13). In this case, K_u and K_ϕ can be independently designed such that $A + BK_u$ and $A + \lambda_j K_\phi C$ ($j = 2, 3, \dots, N$) are Hurwitz, which can guarantee that multiagent network (1) with protocol (2) but without the optimization index J_s achieves leaderless synchronization. However, when the guaranteed-cost performance is considered, the impacts of the term $\lambda_j K_\phi C$ in (13) cannot be neglected since $\hat{\phi}_j(t) - \hat{x}_j(t)$ can directly influence the derivative of $\hat{\phi}_j(t)$ via the term $\lambda_j K_\phi C$. In this case, by left- and right-multiplying Θ_j ($j = 2, 3, \dots, N$) with diag $\{P_{\phi}^{-1}, I_n\}$, K_u can be determined but K_ϕ cannot. Here, by introducing a specific structure $K_\phi = -\hat{P}_x C^T$, the gain matrices K_u and K_ϕ can be determined simultaneously.

Remark 3: In the associated works about guaranteed-cost control, the value of the Lyapunov function candidate at time zero is used to determine the guaranteed cost. Since $\hat{\phi}_i(t)$ and $\hat{x}_i(t)$ in (7) couple with each other, it seems difficult to construct a Lyapunov function candidate such that the expression of the upper bound of J_s does not contain initial states of synchronization protocols. Based on the separation principle, a Lyapunov function candidate is proposed in (14), which makes an upper bound of J_s only dependent on initial states of all agents under the assumption that initial states of protocol (2) are zero. In this case, the relationship between the upper bound of J_s and J_s^* can be determined by the property of $I_N - N^{-1}\mathbf{1}\mathbf{1}^T$, which actually is the Laplacian matrix of a complete graph with edge weights equal to N^{-1} . It should be pointed out that it will become very difficult to determine the relationship between J_s and J_s^* if initial states of protocol (2) are nonzero, and the assumption that initial states of protocol (2) are zero is reasonable for practical multiagent networks.

In the proof of Theorem 1, the changing variable method is used to determine gain matrices K_u and K_{ϕ} , which makes

28928

the guaranteed-cost synchronization design criterion contain the nonlinear constraint $P_x \hat{P}_x = I_n$. However, if K_u and K_{ϕ} are given previously, then this nonlinear constraint can be eliminated. The following corollary gives a leaderless guaranteed-cost synchronization analysis criterion.

Corollary 1: For any given $J_s^* > 0$, K_u and K_{ϕ} , multiagent network (1) with protocol (2) achieves leaderless guaranteed-cost synchronization if there exist $P_x^T = P_x > 0$ and $P_{\phi}^T = P_{\phi} > 0$ such that

$$\hat{\Theta}_{1} = x^{T}(0) \left(\left(I_{N} - N^{-1} \mathbf{1} \mathbf{1}^{T} \right) \otimes I_{n} \right) x(0) P_{x} - J_{s}^{*} I_{n} \leq 0,$$

$$\hat{\Theta}_{j} = \begin{bmatrix} \Theta_{11} & \lambda_{j} P_{\phi} K_{\phi} C & K_{u}^{T} R \\ * & \Theta_{22}^{j} & \mathbf{0} \\ * & \mathbf{0} & -R \end{bmatrix} < 0 \quad (j = 2, N),$$

where $\Theta_{11} = P_{\phi} (A + BK_u) + (A + BK_u)^T P_{\phi}$ and $\Theta_{22}^j = P_x (A + \lambda_j K_{\phi} C) + (A + \lambda_j K_{\phi} C)^T P_x + 2\lambda_j Q.$

In Theorem 1, the leaderless guaranteed-cost synchronization criterion contains a nonlinear constraint, which cannot be directly checked by LMI tools. Based on Corollary 1, the cone complementarity approach proposed by Ghaoui *et al.* in [42] can deal with this nonlinear constraint by minimizing the trace of $P_x \hat{P}_x$. The feasibility problem of matrix inequalities in Theorem 1 can be transformed into the following minimization one:

min tr
$$(P_x \hat{P}_x)$$

sbuject to $\hat{\Xi}_1 < 0$, $\hat{\Xi}_j < 0 \ (j = 2, N)$,
 $\hat{\Xi}_3 = \begin{bmatrix} P_x & I \\ * & \hat{P}_x \end{bmatrix} \ge 0.$

The following algorithm is presented to solve the above minimization problem.

Algorithm 1 Gain Matrix Design Algorithm

Step 1: Set k = 0. Check the feasibility of $\hat{\Xi}_1 < 0$, $\hat{\Xi}_j < 0$ (j = 2, N), and $\hat{\Xi}_3 \ge 0$, and give $P_{x,0} = P_x$ and $\hat{P}_{x,0} = \hat{P}_x$. **Step 2:** Minimize the trace of $P_x \hat{P}_{x,k} + P_{x,k} \hat{P}_x$ subject to $\hat{\Xi}_1 < 0$, $\hat{\Xi}_j < 0$ (j = 2, N), and $\hat{\Xi}_3 \ge 0$. Let $P_{x,k+1} = P_x$ and $\hat{P}_{x,k+1} = \hat{P}_x$.

Step 3: Let $K_u = \hat{K}_u \hat{P}_{\phi}^{-1}$ and $K_{\phi} = -\hat{P}_x C^T$. If $\hat{\Theta}_1 < 0$ and $\hat{\Theta}_j < 0$ (j = 2, N) in Corollary 1 are feasible and $\left| \operatorname{tr}(P_x \hat{P}_x) - 4n \right| < \delta$ for some sufficiently small scalar $\delta > 0$, then stop and give K_u and K_{ϕ} .

Step 4: If *k* is larger than the maximum allowed iteration number, then stop.

Step 5: Set k = k + 1 and go to Step 2.

By the above analysis, $\hat{x}_1(t) / \sqrt{N}$ is a valid candidate of the synchronization function. Due to $\phi_j(0) =$ $\mathbf{0} (j = 1, 2, \dots, N)$, one can obtain that $\hat{\phi}_1(t) \equiv \mathbf{0}$ and $\hat{x}_1(t) = A\hat{x}_1(t)$ by (6), which means that protocol states do not influence the synchronization function when initial protocol states are equal to zero. Moreover, it can be shown that $\hat{x}_1(0) = \left(e_1^T U^T \otimes I_n\right) x(0) = \sum_{j=1}^N x_j(0) / \sqrt{N}$, so the following corollary can be obtained, which gives an explicit expression of the synchronization function.

Corollary 2: If multiagent network (1) with protocol (2) achieves leaderless guaranteed-cost synchronization, then the synchronization function satisfies that

$$\lim_{t\to\infty}\left(c(t)-\frac{1}{N}\mathrm{e}^{At}\sum_{j=1}^N x_j(0)\right)=\mathbf{0}.$$

Remark 4: Xiao and Wang in [43] first introduced the concept of the synchronization function to describe the whole feature of a multiagent network, where the synchronization protocol was constructed by state information of neighboring agents. For dynamic output feedback synchronization protocols, by Corollary 2, protocol states do not impact the synchronization function. Actually, if initial protocol states are not zero, then protocol states influence the explicit expression of the synchronization function in an input control way, which was shown in [44]. Furthermore, the synchronization function is closely related to the autonomous dynamics of each agent and the average of initial states of all agents, and is identical for multiagent network (1) with different undirected interaction topologies; that is, connected undirected interaction topologies with different structures do not impact the whole feature of multiagent networks. However, it should be also pointed out that this conclusion is no longer valid if the interaction topology is directed.

IV. EXTENSIONS TO LEADER-FOLLOWING MULTIAGENT NETWORKS

For high-order linear multiagent networks with leaderfollowing structures and given cost budgets, this section gives guaranteed-cost synchronization design and analysis criteria, respectively, which are similar to leaderless cases, but the relationship between the cost budget and the LMI variable is different with leaderless cases.

For the leaderless multiagent networks, without loss of generality, we set that agent 1 is the leader and the other N - 1 agents are followers. The whole interaction topology has a spanning tree with the root node representing the leader, where the leader does not receive any information from followers, only some followers can receive the outputs of the leader, and the local interaction topology among followers is undirected and can be unconnected. If multiagent network (1) with a leader-following interaction topology achieves guaranteed-cost synchronization, then the synchronization function is the state of the leader; that is, $\lim_{t\to\infty} (x_j(t) - x_1(t)) = \mathbf{0}$ ($j = 2, 3, \dots, N$).

Since the leader does not receive any information and $\phi_1(0)=\mathbf{0}$, one can obtain that $\phi_1(t)=\mathbf{0}$. Hence, one has $u_1(t) \equiv \mathbf{0}$. Let $\tilde{x}_j(t) = x_j(t) - x_1(t) (j = 2, 3, \dots, N)$, $\tilde{x}(t) = [\tilde{x}_2^T(t), \tilde{x}_3^T(t), \dots, \tilde{x}_N^T(t)]^T$, and $\tilde{\phi}(t) = [\phi_2^T(t), \phi_3^T(t), \dots, \phi_N^T(t)]^T$, then the dynamics of multiagent

VOLUME 6, 2018

network (1) with protocol (2) can be written as

$$\begin{cases} \dot{\tilde{x}}(t) = (I_{N-1} \otimes A) \, \tilde{x}(t) + (I_{N-1} \otimes BK_u) \, \tilde{\phi}(t), \\ \dot{\tilde{\phi}}(t) = (I_{N-1} \otimes (A + BK_u) + (L_{ff} + \Lambda_{fl}) \\ \otimes K_{\phi}C) \, \tilde{\phi}(t) - ((L_{ff} + \Lambda_{fl}) \otimes K_{\phi}C) \, \tilde{x}(t), \end{cases}$$
(22)

where L_{ff} is the Laplacian matrix of the interaction topology among followers and $\Lambda_{fl} = \text{diag} \{w_{21}, w_{31}, \dots, w_{N1}\}$ denotes the interaction from the leader to followers. Let $l_{fl} = [w_{21}, w_{31}, \dots, w_{N1}]^T$, then the Laplacian matrix of the whole interaction topology is

$$L = \begin{bmatrix} 0 & \mathbf{0} \\ -l_{fl} & L_{ff} + \Lambda_{fl} \end{bmatrix}$$

Since the whole interaction topology has a spanning tree and the local interaction topology among followers is undirected, there exists an orthonormal matrix \tilde{U} such that $\tilde{U}^T (L_{ff} + \Lambda_{fl}) \tilde{U} = \text{diag} \{\lambda_2, \lambda_3, \dots, \lambda_N\}$ with $0 < \lambda_2 \leq \lambda_3 \leq \dots \leq \lambda_N$ being nonzero eigenvalues of *L*. Let

$$\begin{pmatrix} \tilde{U}^T \otimes I_n \end{pmatrix} \tilde{x}(t) = \begin{bmatrix} \tilde{x}_2^T(t), \tilde{x}_3^T(t), \cdots, \tilde{x}_N^T(t) \end{bmatrix}^T, \\ \begin{pmatrix} \tilde{U}^T \otimes I_n \end{pmatrix} \tilde{\phi}(t) = \begin{bmatrix} \hat{\phi}_2^T(t), \hat{\phi}_3^T(t), \cdots, \hat{\phi}_N^T(t) \end{bmatrix}^T,$$

then one can obtain by (22) that

$$\begin{aligned}
\dot{\hat{x}}_{j}(t) &= A\hat{x}_{j}(t) + BK_{u}\hat{\phi}_{j}(t), \\
\dot{\hat{\phi}}_{j}(t) &= \left(A + BK_{u} + \lambda_{j}K_{\phi}C\right)\hat{\phi}_{j}(t) - \lambda_{j}K_{\phi}C\hat{x}_{j}(t),
\end{aligned}$$
(23)

where $j = 2, 3, \dots, N$. One can find that if $\lim_{t\to\infty} \tilde{x}(t) = \mathbf{0}$, then multiagent network (1) with protocol (2) achieves leader-following synchronization, which is equivalent to $\lim_{t\to\infty} \hat{x}_j(t) = \mathbf{0}$ $(j = 2, 3, \dots, N)$ since $\tilde{U} \otimes I_n$ is nonsingular. For the symmetric and positive P_x , it can be shown that

$$\sum_{j=2}^{N} \widehat{x}_{j}^{T}(0) P_{x} \widehat{x}_{j}(0)$$

= $x^{T}(0) \left(\begin{bmatrix} N-1 & -\mathbf{1}_{N-1}^{T} \\ -\mathbf{1}_{N-1} & I_{N-1} \end{bmatrix} \otimes P_{x} \right) x(0).$ (24)

Based on the above facts, by the similar analysis to Theorem 1 and Corollary 1, sufficient conditions for leaderfollowing guaranteed-cost synchronization design and analysis with the given cost budget are given as follows.

Theorem 2: For any given $J_s^* > 0$, multiagent network (1) is leader-following guaranteed-cost synchronizable by protocol (2) if there exist $P_x^T = P_x > 0$, $\hat{P}_x^T = \hat{P}_x > 0$, $\hat{P}_x^T = \hat{P}_x > 0$, $\hat{P}_{\phi}^T = \hat{P}_{\phi} > 0$, and \hat{K}_u such that

$$x^{T}(0) \left(\begin{bmatrix} N-1 & -\mathbf{1}_{N-1}^{T} \\ -\mathbf{1}_{N-1} & I_{N-1} \end{bmatrix} \otimes I_{n} \right) x(0) P_{x} - J_{s}^{*} I_{n} \leq 0,$$

$$\begin{bmatrix} \Xi_{11} - \lambda_{j} \hat{P}_{x} C^{T} C & \hat{K}_{u}^{T} R \\ * & \Xi_{22}^{j} & \mathbf{0} \\ * & \mathbf{0} & -R \end{bmatrix} < 0 \quad (j=2, N),$$

$$P_{x} \hat{P}_{x} = I_{n},$$

28929

where $\Xi_{11} = A\hat{P}_{\phi} + \hat{P}_{\phi}A^T + B\hat{K}_u + \hat{K}_u^T B^T$ and $\Xi_{22}^j = P_x A + A^T P_x - 2\lambda_j C^T C + 2\lambda_j Q$. In this case, $K_u = \hat{K}_u \hat{P}_{\phi}^{-1}$ and $K_{\phi} = -\hat{P}_x C^T$.

Corollary 3: For any given $J_s^* > 0$, K_u and K_{ϕ} , multiagent network (1) with protocol (2) achieves leader-following guaranteed-cost synchronization if there exist $P_x^T = P_x > 0$, $P_{\phi}^T = P_{\phi} > 0$ such that

$$x^{T}(0) \left(\begin{bmatrix} N-1 & -\mathbf{1}_{N-1}^{T} \\ -\mathbf{1}_{N-1} & I_{N-1} \end{bmatrix} \otimes I_{n} \right) x(0) P_{x} - J_{s}^{*} I_{n} \leq 0,$$
$$\begin{bmatrix} \Theta_{11} \ \lambda_{j} P_{\phi} K_{\phi} C \ K_{u}^{T} R \\ * \ \Theta_{22}^{j} & \mathbf{0} \\ * \ \mathbf{0} & -R \end{bmatrix} < 0 \quad (j = 2, N),$$

where $\Theta_{11} = P_{\phi} (A + BK_u) + (A + BK_u)^T P_{\phi}$ and $\Theta_{22}^j = P_x (A + \lambda_j K_{\phi} C) + (A + \lambda_j K_{\phi} C)^T P_x + 2\lambda_j Q.$

By the cone complementarity approach, the feasible problem of the matrix inequalities in Theorem 2 can also be converted into a minimization one, which can be checked by a similar algorithm to Algorithm 1. Here, the detail description is omitted due to the length limitation.

Furthermore, the variable changing method and the cone complementarity approach are applied to determine gain matrices of synchronization protocols. The variable changing method does not introduce any conservatism since it is an equivalent transformation. However, the cone complementarity approach may bring in some conservatism to deal with the impacts of nonlinearity. In [42], the conservatism of the cone complementarity approach was discussed detailedly and it was shown that less conservatism may be introduced by numerical simulations.

Moreover, there are three key difficulties in obtaining Theorems 1 and 2. The first one is to construct the relationship between the linear quadratic optimization index and the Laplacian matrix of the interaction topology, as shown in (16) and (17). The second one is to construct the relationship between the given cost budget and the variable of LMI criteria, as given in (19) and (20). The third one is to transform the leader-following synchronization problem into the leaderless one with the different structure matrix, as shown in (23) and (24).

Remark 5: For guaranteed-cost synchronization criteria of leaderless and leader-following multiagent networks, the key distinction is that the relationship matrices between the given cost budget and the LMI variable are different. For leaderless cases, the relationship matrix $I_N - N^{-1}\mathbf{11}^T$ is the Laplacian matrix of a complete graph with edge weights N^{-1} . For leader-following cases, the relationship matrix $\begin{bmatrix} N-1 & -\mathbf{1}_{N-1}^T \\ -\mathbf{1}_{N-1} & I_{N-1} \end{bmatrix}$ is the Laplacian matrix of a star graph with edge weights 1 and the central node is the leader. The two relationships intrinsically reflect the structure characteristics of multiagent networks; that is, the average of the initial states of all agents determines the whole motion for leaderless structures, but the whole motion only depends on the leader for leader-following structures.

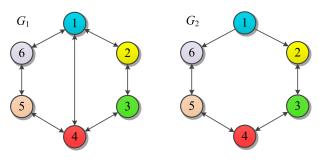


FIGURE 1. The interaction topology G.

Remark 6: The LMI criteria for guaranteed-cost synchronization are dependent on the Laplacian matrices of interaction topologies in [31]–[33]. In this case, the dimensions of the variables are identical with the number of agents, so it is time-cost to check those criteria when multiagent networks consist of a large number of agents. However, the LMI criteria in Theorems 1 and 2 are only dependent on the minimum and maximum nonzero eigenvalues of the Laplacian matrix, so the computational complexity is lower. Meanwhile, it should be pointed out that the cone complementarity approach is used to deal with the impacts of nonlinear terms in Theorems 1 and 2. Because this method is an iteration algorithm, the computational complexity may increase and the associated algorithm may be not robust. Ghaoui et al. in [42] showed that this method is robust and has lower computational complexity by many numerical simulations.

V. ILLUSTRATIVE EXAMPLES

In this section, two numerical examples are presented to illustrate the effectiveness of main results on leaderless and leader-following multiagent networks, respectively.

A 3-dimensional multiagent network is considered, where it is composed of six agents labeled from 1 to 6. The dynamics of each agent is described as (1) with

$$A = \begin{bmatrix} 0.2 & 3.5 & 0 \\ -1.5 & 0.8 & -1.3 \\ 1 & 0 & -2.6 \end{bmatrix},$$
$$B = \begin{bmatrix} 2 & 0 \\ -1.5 & 4 \\ 0 & -0.4 \end{bmatrix},$$
$$C = \begin{bmatrix} 2 & 0 & 2 \\ -1.5 & 3 & 0 \end{bmatrix}.$$

The initial states are

$$x_1(0) = [-13, 20, -3]^T, \quad x_2(0) = [-16, -8, 15]^T$$

$$x_3(0) = [26, 10, -12]^T, \quad x_4(0) = [-3, -8, 19]^T,$$

$$x_5(0) = [12, 22, -6]^T, \quad x_6(0) = [8, -13, 16]^T.$$

The interaction topologies for the Leaderless case and the Leader-following case are respectively given as G_1 and G_2 in Fig. 1.

Example 1 (Leaderless Case): The interaction topology G_1 is given as Fig.1, where the weights of edges of the interaction

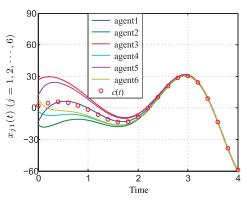


FIGURE 2. State trajectories of $x_{j1}(t)$ ($j = 1, 2, \dots, 6$).

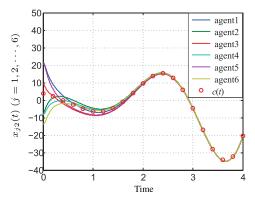


FIGURE 3. State trajectories of $x_{j2}(t)$ ($j = 1, 2, \dots, 6$).

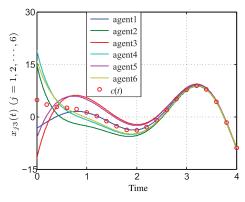


FIGURE 4. State trajectories of $x_{j3}(t)$ ($j = 1, 2, \dots, 6$).

topology are 1. In the linear quadratic optimization index, the matrices Q and R are given as

$$Q = \begin{bmatrix} 0.3 & 0.06 & 0\\ 0.06 & 0.3 & 0.06\\ 0 & 0.06 & 0.3 \end{bmatrix},$$
$$R = \begin{bmatrix} 0.8 & 0.08\\ 0.08 & 0.8 \end{bmatrix}.$$

The given cost budget is $J_s^* = 6000$, which is an upper bound of the linear quadratic index in (2) and includes the energy consumption and the synchronization regulation per-

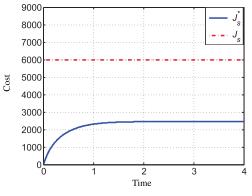


FIGURE 5. Trajectories of cost.

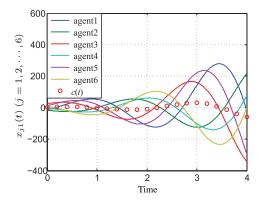


FIGURE 6. State trajectories of $x_{j1}(t)$ ($j = 1, 2, \dots, 6$) without control inputs.

formance. Thus, according to Algorithm 1, one has

$$K_{u} = \begin{bmatrix} 5.1141 & 8.0251 & -0.5324 \\ -44.4484 & -63.8269 & 3.1964 \end{bmatrix},$$

$$K_{\phi} = \begin{bmatrix} -2.1446 & 1.1269 \\ -0.3219 & -1.6096 \\ -1.1376 & -0.0013 \end{bmatrix}.$$

It should be pointed out that K_u and K_ϕ cannot be determined by Algorithm 1 if the limited cost budget cannot provide the enough energy. In this case, $\hat{\Xi}_1 \leq 0$ in Theorem 1 is not feasible.

The state trajectories of the multiagent network are shown in Figs. 2 to 4, where the trajectories marked by circles denote the curves of the synchronization function c(t)obtained by Corollary 2, which satisfies $\lim_{t\to\infty} (c(t) - e^{At}[2.3333, 3.8333, 4.8333]^T) = 0$. Fig. 5 shows the trajectories of the linear quadratic optimization index. It is clear that this multiagent network achieves leaderless guaranteedcost synchronization with the given cost budget. When there do not exist control inputs, Figs. 6 to 8 show the responses of states. One can see that this multiagent network cannot achieve leaderless guaranteed-cost synchronization if control inputs are missing.

Example 2 (Leader-Following Case): In this case, agent 1 is the leader and the other 5 agents are followers. The interaction topology G_2 is given as Fig. 1, where the weights

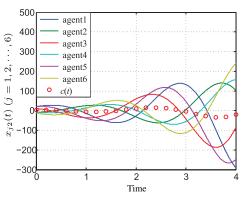


FIGURE 7. State trajectories of $x_{j2}(t)$ ($j = 1, 2, \dots, 6$) without control inputs.

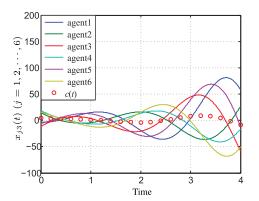


FIGURE 8. State trajectories of $x_{j3}(t)$ ($j = 1, 2, \dots, 6$) without control inputs.

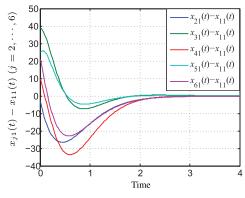


FIGURE 9. State trajectories of $x_{i1}(t) - x_{11}(t)$ (*j* = 2, ..., 6).

of edges are 1. In this case, it is set that

$$Q = \begin{bmatrix} 0.25 & 0.05 & 0.05 \\ 0.05 & 0.25 & 0 \\ 0.05 & 0 & 0.25 \end{bmatrix}$$
$$R = \begin{bmatrix} 0.75 & 0.15 \\ 0.15 & 0.75 \end{bmatrix}.$$

The given cost budget is $J_s^* = 10000$. Thus, according to Theorem 2, one has

$$K_u = \begin{bmatrix} 1.5586 & 2.8988 & -0.2717 \\ -8.8966 & -12.9081 & 0.6719 \end{bmatrix},$$

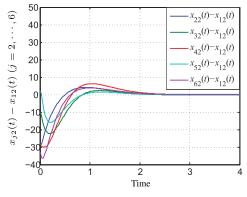


FIGURE 10. State trajectories of $x_{j2}(t) - x_{12}(t)$ (*j* = 2, ..., 6).

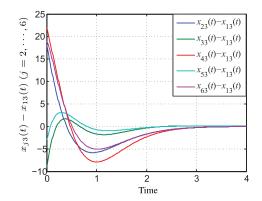


FIGURE 11. State trajectories of $x_{j3}(t) - x_{13}(t)$ (*j* = 2, ..., 6).

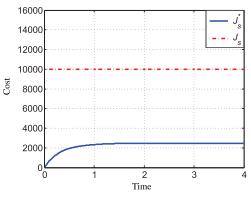


FIGURE 12. Trajectories of cost.

$$K_{\phi} = \begin{bmatrix} -2.5652 & 1.6794 \\ -0.3525 & -1.9704 \\ -1.0104 & -0.2843 \end{bmatrix}$$

The trajectories of state errors $x_j(t) - x_1(t)$ $(j = 2, 3, \dots, N)$ of this multiagent network are shown in Figs. 9 to 11, and the trajectories of the linear quadratic optimization index are given in Fig. 12. Thus, it can be found that this multiagent network achieves leader-following guaranteed-cost synchronization with the given cost budget. If control inputs are missing, then the trajectories of $x_j(t)-x_1(t)$ $(j = 2, 3, \dots, N)$ are shown in Figs. 13 to 15. One can see that this multiagent network cannot achieve leader-following guaranteed-cost synchronization in this case.

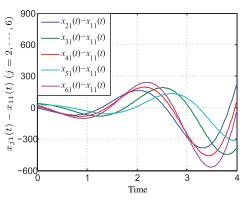


FIGURE 13. State trajectories of $x_{j1}(t) - x_{11}(t)$ ($j = 2, \dots, 6$) without control inputs.

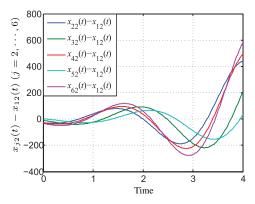


FIGURE 14. State trajectories of $x_{j2}(t) - x_{12}(t)$ ($j = 2, \dots, 6$) without control inputs.

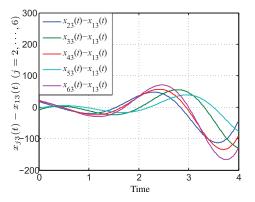


FIGURE 15. State trajectories of $x_{j3}(t) - x_{13}(t)$ ($j = 2, \dots, 6$) without control inputs.

Moreover, according to simulation examples, we find that the computational complexity mainly lies on the structure of the interaction topology. Since LMI criteria in Theorems 1 and 2 are only associated with the minimum and maximum nonzero eigenvalues of the interaction topology, the computational complexity to check them does not increase as the number of agents increases. However, the computational complexity to determine the minimum and maximum nonzero eigenvalues may increase. The literatures [45] and [46] proposed some interesting approaches to estimate the minimum and maximum nonzero eigenvalues and to decrease the computational complexity according to the topology structure. Furthermore, the connected degree of the interaction topology determines the number of neighbors of each agent and its computational complexity.

VI. CONCLUSION

Both leaderless and leader-following guaranteed-cost synchronization analysis and design problems for multiagent networks with the given cost budget were investigated by using output information of neighboring agents. The guaranteedcost synchronization analysis and design criteria independent of the number of agents were proposed by constructing dynamic output feedback synchronization protocols and the relationships between the given cost budget and the LMI variable, where synchronization protocols satisfy a specific separation principle and those relationships depend on the structures of interaction topologies. Especially, the specific separation principle can simplify the synchronization design, but nonlinear terms are still introduced due to guaranteed-cost constraints. Moreover, an algorithm was presented to deal with nonlinear constraints and to determine gain matrices of synchronization protocols.

Furthermore, the future research topic can focus on two aspects. The first one is to deal with the impacts of time-varying delays and directed interaction topologies on guaranteed-cost synchronization of multiagent networks with dynamic output feedback synchronization protocols. The second one is to investigate the practical applications of multiagent networks combining the main results in the current paper with structure features of practical multiagent networks, such as multiple agent supporting systems, network congestion control systems and single-link manipulator systems with a flexible joint, *et al.*

ACKNOWLEDGEMENT

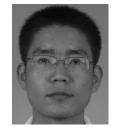
The authors would like to thank Jie Gao for providing numerical analysis and simulation.

REFERENCES

- X. Liu and Z. Ji, "Controllability of multiagent systems based on path and cycle graphs," *Int. J. Robust Nonlinear Control*, vol. 28, no. 1, pp. 296–309, Jun. 2017.
- [2] Z. Ji and H. Yu, "A new perspective to graphical characterization of multiagent controllability," *IEEE Trans. Cybern.*, vol. 47, no. 6, pp. 1471–1483, Jun. 2017.
- [3] N. Cai, C. Diao, and M. J. Khan, "A novel clustering method based on quasi-consensus motions of dynamical multiagent systems," *Complexity*, vol. 2017, 2017, Art. no. 4978613.
- [4] R. Wang, X. Dong, Q. Li, and Z. Ren, "Distributed adaptive formation control for linear swarm systems with time-varying formation and switching topologies," *IEEE Access*, vol. 4, pp. 8995–9004, Dec. 2016.
- [5] N. Cai, M. He, Q. Wu, and M. J. Khan, "On almost controllability of dynamical complex networks with noises," *J. Syst. Sci. Complex.*, to be published, doi: 10.1007/s11424-017-6273-7.
- [6] W. Yu, G. Chen, Z. Wang, and W. Yang, "Distributed consensus filtering in sensor networks," *IEEE Trans. Syst., Man, Cybern. B, Cybern.*, vol. 39, no. 6, pp. 1568–1577, Dec. 2009.
- [7] N. Ilić, M. S. Stanković, and S. S. Stanković, "Adaptive consensus-based distributed target tracking in sensor networks with limited sensing range," *IEEE Trans. Control Syst. Technol.*, vol. 22, no. 2, pp. 778–785, Mar. 2014.

- [8] J. Xi, N. Cai, and Y. Zhong, "Consensus analysis and design for highorder linear swarm systems with time-varying delays," *Phys. A*, vol. 390, nos. 23–24, pp. 4114–4123, 2011.
- [9] X. Wu, K. Zhang, and M. Cheng, "Computational method for optimal machine scheduling problem with maintenance and production," *Int. J. Prod. Res.*, vol. 55, no. 6, pp. 1791–1814, Jun. 2017.
- [10] X. Wu, K. Zhang, and M. Cheng, "Computational method for optimal control of switched systems with input and state constraints," *Nonlinear Anal.*, *Hybrid Syst.*, vol. 26, pp. 1–18, Nov. 2017.
- [11] H. Du, G. Wen, Y. Cheng, Y. He, and R. Jia, "Distributed finite-time cooperative control of multiple high-order nonholonomic mobile robots," *IEEE Trans. Neural Netw. Learn. Syst.*, vol. 28, no. 12, pp. 2998–3006, Dec. 2017.
- [12] B. Xu and F. Sun, "Composite intelligent learning control of strict-feedback systems with disturbance," *IEEE Trans. Cybern.*, vol. 48, no. 2, pp. 730–741, Feb. 2018.
- [13] B. Xu, D. Wang, Y. Zhang, and Z. Shi, "DOB-based neural control of flexible hypersonic flight vehicle considering wind effects," *IEEE Trans. Ind. Electron.*, vol. 64, no. 11, pp. 8676–8685, Nov. 2017.
- [14] H. Du and S. Li, "Attitude synchronization for flexible spacecraft with communication delays," *IEEE Trans. Autom. Control*, vol. 61, no. 11, pp. 3625–3630, Nov. 2016.
- [15] X. Yang, S. Xu, and Z. Li, "Consensus congestion control in multirouter networks based on multiagent system," *Complexity*, vol. 2017, 2017, Art. no. 3574712.
- [16] B. Kaviarasan, R. Sakthivel, and S. Abbas, "Robust consensus of nonlinear multi-agent systems via reliable control with probabilistic time delay," *Complexity*, vol. 21, no. S2, pp. 138–150, Nov./Dec. 2016.
- [17] R. Sakthivel, B. Kaviarasan, C. K. Ahn, and H. R. Karimi, "Observer and stochastic faulty actuator-based reliable consensus protocol for multiagent system," *IEEE Trans. Syst., Man, Cybern., Syst.*, to be published, doi: 10.1109/TSMC.2017.2758902.
- [18] G. Wen, W. Yu, G. Hu, J. Cao, and X. Yu, "Pinning synchronization of directed networks with switching topologies: A multiple Lyapunov functions approach," *IEEE Trans. Neural Netw. Learn. Syst.*, vol. 26, no. 12, pp. 3239–3250, Dec. 2015.
- [19] Z. Li, M. Chen, and Z. Ding, "Distributed adaptive controllers for cooperative output regulation of heterogeneous agents over directed graphs," *Automatica*, vol. 68, no. 6, pp. 179–183, Jun. 2016.
- [20] Z.-G. Wu, Y. Xu, R. Lu, Y. Wu, and T. Huang, "Event-triggered control for consensus of multiagent systems with fixed/switching topologies," *IEEE Trans. Syst., Man, Cybern., Syst.*, to be published, doi: 10.1109/TSMC.2017.2744671.
- [21] Z.-G. Wu, Y. Xu, Y.-J. Pan, H. Su, and Y. Tang, "Event-triggered control for consensus problem in multi-agent systems with quantized relative state measurements and external disturbance," *IEEE Trans. Circuits Syst. I, Reg. Papers*, to be published, doi: 10.1109/TCSI.2017.2777504.
- [22] Z.-W. Liu, X. Yu, Z.-H. Guan, B. Hu, and C. Li, "Pulse-modulated intermittent control in consensus of multiagent systems," *IEEE Trans. Syst.*, *Man, Cybern., Syst.*, vol. 47, no. 5, pp. 783–793, May 2017.
- [23] E. Semsar-Kazerooni and K. Khorasani, "An optimal cooperation in a team of agents subject to partial information," *Int. J. Control*, vol. 82, no. 3, pp. 571–583, Mar. 2009.
- [24] K. G. Vanvoudakis, F. L. Lewis, and G. R. Hudas, "Multi-agent differential graphical games: Online adaptive learning solution for synchronization with optimality," *Automatica*, vol. 48, no. 8, pp. 1598–1611, 2012.
- [25] Y. Cao and W. Ren, "Optimal linear-consensus algorithms: An LQR perspective," *IEEE Trans. Syst., Man, Cybern. B, Cybern.*, vol. 40, no. 3, pp. 819–830, Mar. 2010.
- [26] Z. Qiu, S. Liu, and L. Xie, "Distributed constrained optimal consensus of multi-agent systems," *Automatica*, vol. 68, pp. 209–215, Jun. 2016.
- [27] Y. Xie and Z. Lin, "Global optimal consensus for multi-agent systems with bounded controls," *Syst. Control Lett.*, vol. 102, pp. 104–111, Apr. 2017.
- [28] Z.-H. Guan, B. Hu, M. Chi, D.-X. He, and X.-M. Cheng, "Guaranteed performance consensus in second-order multi-agent systems with hybrid impulsive control," *Automatica*, vol. 50, no. 7, pp. 2415–2418, 2014.
- [29] B. Hu, Z.-H. Guan, X.-W. Jiang, M. Chi, and L. Yu, "On consensus performance of nonlinear multi-agent systems with hybrid control," *J. Franklin Inst.*, vol. 353, no. 13, pp. 3133–3150, Sep. 2016.
- [30] Y. Cheng, V. Ugrinovskii, and G. Wen, "Guaranteed cost tracking for uncertain coupled multi-agent systems using consensus over a directed graph," in *Proc. Austral. Control Conf.*, Nov. 2013, pp. 375–378.

- [31] Y. Zhao, G. Guo, and L. Ding, "Guaranteed cost control of mobile sensor networks with Markov switching topologies," *ISA Trans.*, vol. 58, no. 9, pp. 206–213, Sep. 2015.
- [32] X. Zhou, P. Shi, C.-C. Lim, C. Yang, and W. Gui, "Event based guaranteed cost consensus for distributed multi-agent systems," *J. Franklin Inst.*, vol. 352, no. 9, pp. 3546–3563, Mar. 2015.
- [33] Y. Zhao and W. Zhang, "Guaranteed cost consensus protocol design for linear multi-agent systems with sampled-data information: An input delay approach," *ISA Trans.*, vol. 67, pp. 87–97, Mar. 2017.
- [34] J. Xi, M. He, H. Liu, and J. Zheng, "Admissible output consensualization control for singular multi-agent systems with time delays," *J. Franklin Inst.*, vol. 353, no. 16, pp. 4074–4090, Nov. 2016.
- [35] Z. Wang, M. He, T. Zheng, Z. Fan, and G. Liu, "Guaranteed cost consensus for high-dimensional multi-agent systems with time-varying delays," *IEEE/CAA J. Autom. Sinica*, vol. 5, no. 1, pp. 181–189, Jan. 2017, doi: 10.119/JAS.2017.7510430.
- [36] J. Xi, Z. Fan, H. Liu, and T. Zheng, "Guaranteed-cost consensus for multiagent networks with Lipschitz nonlinear dynamics and switching topologies," *Int. J. Robust Nonlinear Control*, vol. 28, no. 7, pp. 2841–2852, 2018. [Online]. Available: https://doi.org/10.1002/rnc.4051
- [37] C. H. Xie and G. H. Yang, "Cooperative guaranteed cost fault-tolerant control for multi-agent systems with time-varying actuator faults," *Neurocomputing*, vol. 214, pp. 382–390, Nov. 2016.
- [38] C. Godsil and G. Royal, Algebraic Graph Theory. New York, NY, USA: Springer-Verlag, 2001.
- [39] M. V. Thuan, V. N. Phat, and H. Trinh, "Observer-based controller design of time-delay systems with an interval time-varying delay," *Int. J. Appl. Math. Comput. Sci.*, vol. 22, no. 4, pp. 921–927, Jan. 2012.
- [40] M. V. Thuan, V. N. Phat, and H. M. Trinh, "Dynamic output feedback guaranteed cost control for linear systems with interval time-varying delays in states and outputs," *Appl. Math. Comput.*, vol. 218, no. 21, pp. 10697–10707, Jul. 2012.
- [41] S. Boyd, L. E. Ghaoui, E. Feron, and V. Balakrishnan, *Linear Matrix Inequalities in System and Control Theory*. Philadelphia, PA, USA: SIAM, 1994.
- [42] L. El Ghaoui, F. Oustry, and M. AitRami, "A cone complementarity linearization algorithm for static output-feedback and related problems," *IEEE Trans. Autom. Control*, vol. 42, no. 8, pp. 1171–1176, Aug. 1997.
- [43] F. Xiao and L. Wang, "Consensus problems for high-dimensional multiagent systems," *IET Control Theory Appl.*, vol. 1, no. 3, pp. 830–837, May 2007.
- [44] J. Xi, Z. Shi, and Y. Zhong, "Output consensus analysis and design for high-order linear swarm systems: Partial stability method," *Automatica*, vol. 48, no. 9, pp. 2335–2343, Sep. 2012.
- [45] A. Berman and X.-D. Zhang, "Lower bounds for the eigenvalues of Laplacian matrices," *Linear Algebra Appl.*, vol. 316, nos. 1–3, pp. 13–20, Sep. 2000.
- [46] Y. Kim and M. Mesbahi, "On maximizing the second smallest eigenvalue of a state-dependent graph Laplacian," *IEEE Trans. Autom. Control*, vol. 51, no. 1, pp. 116–120, Jan. 2006.



JIANXIANG XI received the B.S. and M.S. degrees from the High-Tech Institute of Xi'an, Xi'an, China, in 2004 and 2007, respectively, and the Ph.D. degree in control science and engineering from the High-Tech Institute of Xi'an by a coalition form with Tsinghua University in 2012. He is currently an Associate Professor in control science and engineering with the High-Tech Institute of Xi'an. His research interests include complex systems control, switched systems, and multi-agent systems.

IEEE Access



CHENG WANG received the B.S. degree from the HeFei University of Technology, HeFei, China, in 2016. He is currently pursuing the master's degree in control science and engineering from the High-Tech Institute of Xi'an. His research interest covers autonomous control and multi-agent systems.



ZHONG WANG received the bachelor's and Ph.D. degrees from the High-Tech Institute of Xi'an, Xi'an, China, in 2008 and 2015, respectively. He is currently a Lecturer with the High-Tech Institute of Xi'an. His research interests include optimal control, time delay systems, switching systems, and multi-agent systems.

...



HAO LIU received the B.E. degree in control science and engineering from the Northwestern Polytechnical University, Xi'an, China, in 2008, and the Ph.D. degree in automatic control from the Tsinghua University, Beijing, China, in 2013. In 2012, he was a Visiting Student with the Research School of Engineering, Australian National University. Since 2013, he has been with the School of Astronautics, Beihang University, Beijing, China, where he is currently a Lecturer.

His research interests include robust control, optimal control, and nonlinear control.