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Design of Compact Bandpass Filters Using Novel Dual-Mode Dielectric Patch Resonator

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ABSTRACT This paper introduces a new dual-mode dielectric patch resonator (DPR), which consists of a thin square dielectric patch with high permittivity and a low-permittivity substrate with bottom ground plane. A pair of TM_{11} degenerate modes can act as the dominate mode by fusing the dielectric patch and the substrate with the ground plane, whose resonant frequency is derived by using the combination of mixed magnetic wall method and mirror theory. It can be found that the constructed dual-mode DPR owns both merits of the planar transmission line and the dielectric resonator at the same time, i.e., low-profile configuration and high unloaded quality factor (Q_u). Based on the proposed dual-mode DPR, a single-ended bandpass filter (BPF) and a differential BPF is designed respectively. Good agreement can be observed between their respective simulated and measured results. Both of them showcase several advantages such as low passband loss, low profile, and compact size.

INDEX TERMS Dielectric patch resonator (DPR), bandpass filter (BPF), dual-mode, low profile, unloaded quality factor Q_u .

I. INTRODUCTION

The dielectric resonators (DRs) with high permittivity have been widely applied in modern wireless communication systems, such as cellular base station and satellite payloads, owing to their high unloaded- Q factor, high power capacity, and superior temperature stability, etc. [1]–[5]. In the past several decades, various high-performance microwave components and circuits based on the DRs, such as multiplexers [6], [7], oscillators [8], [9], antennas [10]–[14] and filters [15]–[25], have been developed. In the designs of DR filters, the most commonly employed DR structures are classified into two types: (1) Individual DR loaded in a metallic cavity, in which the support is necessary for the DR [19]–[23]. (2) DR with small height mounted on the planar substrate with low permittivity [24], [25]. In the former case, good performance of the filters in either single-ended or differential topology can be obtained easily, such as low insertion loss and sharp skirt selectivity because the high unloaded- Q factor (Q_u) of the DR is maintained. To reduce the filters' volume, various dual-/multi-mode DRs are extensively explored for replacing two or more cascaded

single-mode DRs [17]–[20]. However, these filters still exhibit high profile and difficulty in integration with other planar circuits.

In the latter case, the DRs are put on the substrate using the low permittivity and low loss materials, which can effectively alleviate the problematic issues mentioned above. In the most designs [24], [25], the dominate TE mode is utilized and the substrate has rare effect on the DR because the E-field is confined in the DR. As a result, the substrate only acts as a support for the DR. Recently, the dielectric patch resonator (DPR) is developed to design low-profile antennas with enhanced bandwidth [26]–[28]. The single TM mode of the DPR is employed, but the rigorous analysis for the mode characteristics is not mentioned.

In this paper, a dual-mode DPR is constructed for the first time and theoretically investigated for designing compact bandpass filters (BPFs). A thin square dielectric patch is put on the low-permittivity substrate with bottom ground plane, and a pair of TM_{11} degenerate modes can replace the traditional TE_{11} mode to be the dominate mode by fusing

the dielectric patch and the substrate. And then the designed dual-mode DPR processes both merits of the planar transmission line and the DR simultaneously, i.e. low-profile configuration and high Q_u . Finally, the proposed dual-mode DPR is successfully applied to design single-ended and differential BPFs, and their simulated and measured results with good agreement are presented.

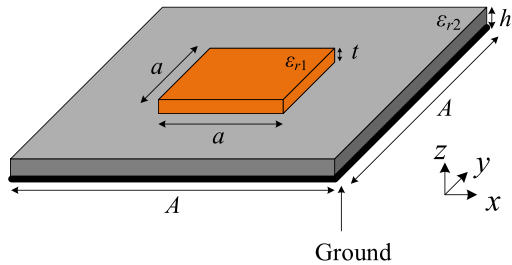


FIGURE 1. Schematic layout of the proposed square DPR.

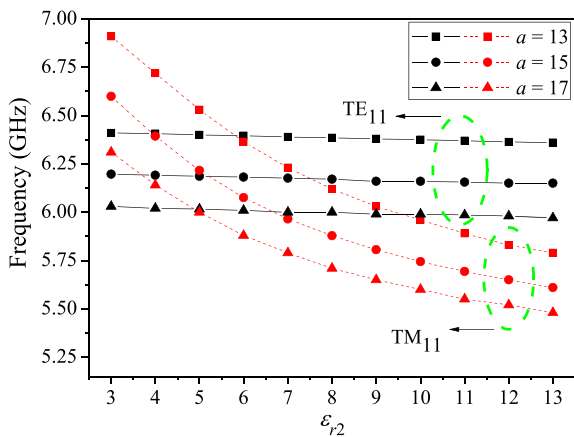


FIGURE 2. The resonant frequencies of TE₁₁ and TM₁₁ modes against ϵ_{r2} under different a , while $\epsilon_{r1} = 90$, $t = 1.0\text{mm}$ and $h = 0.76\text{mm}$ are fixed.

II. THEORETICAL ANALYSIS OF THE PROPOSED DPR

Fig. 1 shows the configuration of the proposed DPR, which consists of a thin square dielectric patch with a high dielectric constant ϵ_{r1} (loss tangent $\tan\delta_1$) and a volume of $a \times a \times t$, and a substrate with a low dielectric constant ϵ_{r2} (loss tangent $\tan\delta_2$) and a volume of $A \times A \times h$. There is a metallic ground plane on the bottom layer of the substrate. For the DR with the rectangular/square shape, it is well known that there are multiple resonant modes, such as TE₁₁, TM₁₁ and other high-order modes. Generally, the TE₁₁ mode of the DR is the dominate one when the ratio of t/a is small, as described by many previous works [24], [25]. Meanwhile, since the E-field of the TE₁₁ mode is mainly concentrated in the DR body, the substrate with the bottom ground plane underneath the DR has rare influence on it, as shown in Fig. 2.

However, the TE₁₁ mode is used as a single-mode operation, which goes against the miniaturization of the circuit. On the other hand, the TM₁₁ mode usually acts as the lowest spurious mode of the DR, and its E-field mainly distributes along z direction while part of field distributes outside of the DR. Thus, the TM₁₁ mode will be affected by the substrate with the bottom ground plane significantly. As ϵ_{r2} of the substrate increases, the resonant frequency of TM₁₁ mode drops dramatically while that of TE₁₁ mode keeps unchanged almost. Therefore, it is predictable that the TM₁₁ mode can function as the dominate mode by choosing the proper parameters of the proposed DPR. The detailed analysis for the DPR with TM₁₁ dominate mode is given below.

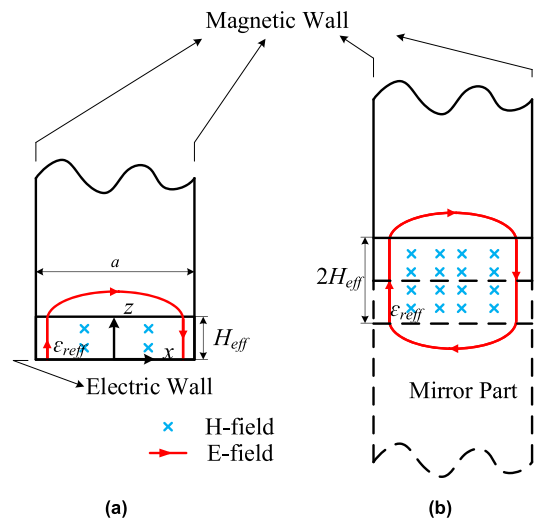


FIGURE 3. The analysis models for TM₁₁ mode, (a) established DR model of the proposed DPR, (b) equivalent DR model mirrored from the model in Fig. 3(a).

Fig. 3(a) shows the analysis model of the proposed square DPR, where a new DR with an effective dielectric constant ϵ_{reff} and a thickness of H_{eff} is established for the investigation. When $\epsilon_{r1} \gg \epsilon_{r2}$ and $t > h$ (small value), it is assumed that

$$\epsilon_{reff} = (t \cdot \epsilon_{r1} + h \cdot \epsilon_{r2}) / (t + h) \tag{1}$$

$$H_{eff} = t + h \tag{2}$$

Accordingly, the ϵ_{reff} of the new DR is still high enough so that the four side planes of the DR along z direction can also be treated as magnetic wall for analysis according to the traditional mixed magnetic wall method. The bottom ground plane of the new DR can be considered as a electrical wall. According to the E-field distribution of the TM₁₁ mode of the DR, the model in Fig. 3(a) can be symmetrically mirrored with respect to the electrical wall (ground plane), resulting in an equivalent DR in Fig. 3(b). As a result, the complicated DPR in Fig.1 is converted to a traditional DR for solving the

TM₁₁ mode, which can be expressed as

$$\begin{cases} E_x = \frac{1}{k^2 + \gamma_z^2} \frac{\partial^2 E_z}{\partial x \partial z}, E_y = \frac{1}{k^2 + \gamma_z^2} \frac{\partial^2 E_z}{\partial y \partial z} \\ H_x = \frac{j\omega\epsilon}{k^2 + \gamma_z^2} \frac{\partial E_z}{\partial y}, H_y = -\frac{j\omega\epsilon}{-k^2 + \gamma_z^2} \frac{\partial E_z}{\partial x} \end{cases}$$

$$E_z = \begin{cases} A_1 \cos k_x x \cdot \cos k_y y \cdot \cos(k_z z + \varphi) & -H_{eff} \leq z \leq H_{eff} \\ A_2 \cos k_x x \cdot \cos k_y y \cdot e^{-\alpha_z(z-H_{eff})} & z \geq H_{eff} \\ A_3 \cos k_x x \cdot \cos k_y y \cdot e^{-\alpha_z(z+H_{eff})} & z \leq -H_{eff} \end{cases}$$

$$\begin{aligned} k_x^2 + k_y^2 + k_z^2 &= \epsilon_{reff} k_0^2 - H_{eff} \leq z \leq H_{eff} \\ k_x^2 + k_y^2 - \alpha_z^2 &= k_0^2 z \geq -H_{eff} \& z \leq H_{eff} \\ k_x &= \pi/a, k_y = \pi/a, k_z = (s + \delta) \pi / 2H_{eff} \end{aligned} \quad (3)$$

where ϵ is the permittivity in vacuum, k is the wave number at operation frequency and γ_z is the propagation constant along z direction. And the resonant frequency f_0 of the TM₁₁ mode can be calculated from

$$k_x = k_y = \pi/a \quad (4)$$

$$k_z H_{eff} = \tan^{-1} \left(\frac{\epsilon_{reff} \alpha_z}{k_z} \right) \quad (5)$$

$$k_x^2 + k_y^2 - \alpha_z^2 = k_0^2 \quad (6)$$

$$k_z^2 + \alpha_z^2 = (\epsilon_{reff} - 1) k_0^2 \quad (7)$$

$$f_0 = \frac{c}{2\pi \sqrt{\epsilon_{reff}}} \sqrt{k_x^2 + k_y^2 + k_z^2} \quad (8)$$

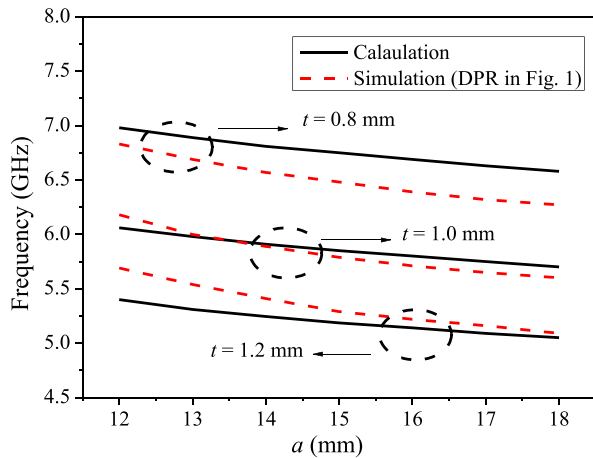


FIGURE 4. The calculated and simulated TM₁₁ mode resonant frequencies under different a and t , while $\epsilon_{r1} = 90$, $\epsilon_{r2} = 9$ and $h = 0.76\text{mm}$ are fixed.

Fig. 4 shows the calculated results of the model in Fig. 3(b) and the simulated results of the DPR in Fig. 1 under different a and t . It can be found that the simulated and the calculated results show good agreement, and the error between them is less than 5%, which effectively validates that the above assumption and mirror are reasonable (it should be noted that the parameters h and t have the same trend of the effect on

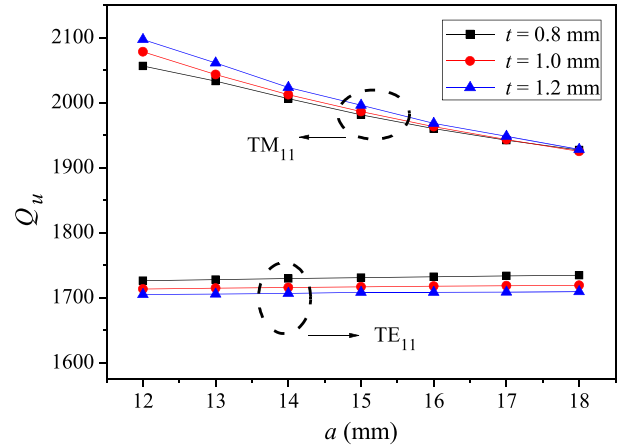


FIGURE 5. The extracted Q_u s of TM₁₁ mode and TE₁₁ mode of the proposed DPR under different a and t , while $\epsilon_{r1} = 90$, $\epsilon_{r2} = 9$, $\tan\delta_1 = 6e-4$, $\tan\delta_2 = 1.5e-4$ and $h = 0.76\text{mm}$ are fixed.

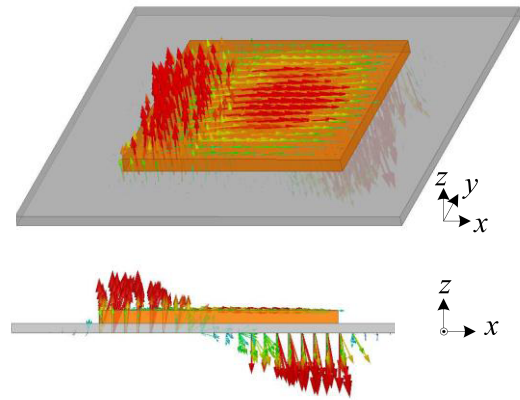


FIGURE 6. The E-field distribution of the TM₁₁ mode.

f_0 according to (1) and (2), and the parameter A has no effect on it.). Fig. 5 shows the simulated Q_u s of the TM₁₁ mode and TE₁₁ mode of the proposed DPR under different a and t . The Q_u of the TM₁₁ mode keeps almost the same as t varies, enabling the easy construction of the low-profile DPR. It can also be found that the Q_u of about 2000 at 5.8GHz for the TM₁₁ mode is about 5 ~ 10 times that of the microstrip counterparts. Thus, the constructed DPR owns both merits of the planar transmission line and the DR at the same time, i.e. low-profile configuration and high Q_u . Fig. 6 shows the simulated E-field of the TM₁₁ mode, which is similar with that of the microstrip half-wavelength patch resonator. Thus, the design method of the traditional microstrip filter can be applied to guide the proposed designs. Meanwhile, more design freedom of the proposed DPR can be obtained, e.g. the thickness and dielectric constant of the dielectric patch.

III. DESIGN OF SINGLE-ENDED FILTER

A. FILTER DESIGN

Fig. 7 shows the layout of the single-ended BPF constructed by the proposed dual-mode DPR in a metallic cavity and a pair of feeding probes, where $\epsilon_{r1} = 90$ ($\tan\delta_1 = 6e-4$),

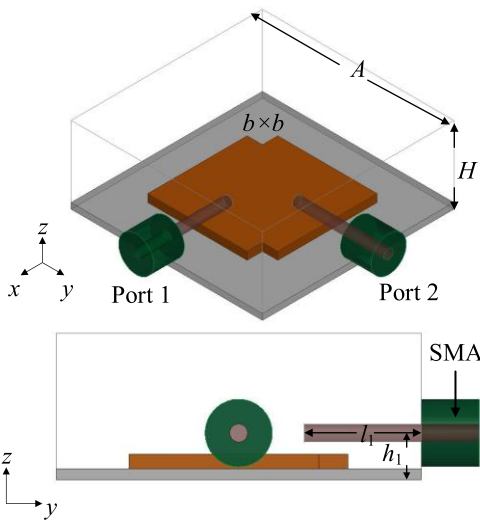


FIGURE 7. The layout of the single-ended BPF using the proposed dual-mode DPR.

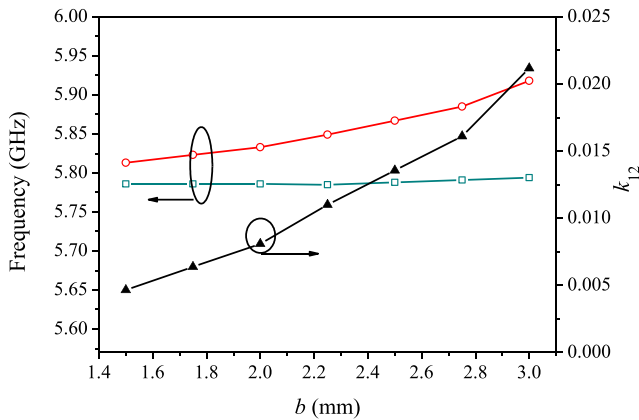


FIGURE 8. The frequency splitting of the two TM_{11} modes and k_{12} against the cut size b .

$\epsilon_{r2} = 9$ ($\tan\delta_2 = 1.5e-4$), and $h = 0.76\text{mm}$ are fixed. Like the dual-mode microstrip patch filter, the proposed DPR possesses two orthogonal TM_{11} modes in x and y directions, which are degenerate. Accordingly, a pair of cuts with the size $b \times b$ on the opposite corners are used for splitting the two degenerate TM_{11} modes, as shown in Fig. 8. As b increases, the frequency difference between the two modes is enlarged, meaning the coupling level between them is enhanced. Accordingly, the single-ended dual-mode BPF with a center frequency of $f_0 = 5.8$ GHz and a 0.1-dB ripple fractional bandwidth (FBW) of 0.8% is designed. According to the specifications, the lumped-element values of a low-pass prototype filter can be calculated as $g_1 = 0.89$, $g_2 = 0.64$ and $g_3 = 1.40$. Then, the required coupling coefficient k_{12} and the external quality factor Q_e can be obtained [29].

$$k_{12} = \frac{FBW}{\sqrt{g_1 g_2}} = 0.0107 \quad (9)$$

$$Q_e = \frac{g_0 g_1}{FBW} = 110.6 \quad (10)$$

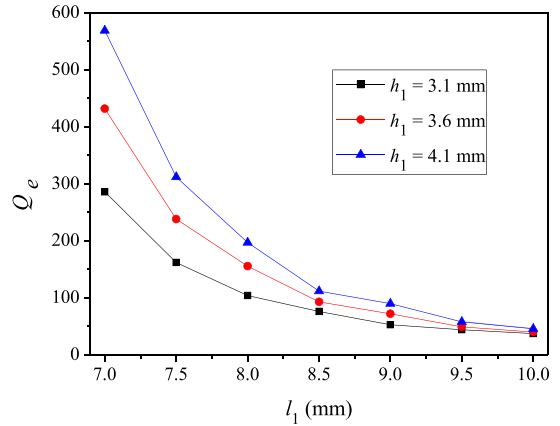


FIGURE 9. The relationship between Q_e and l_1 under different h_1 .

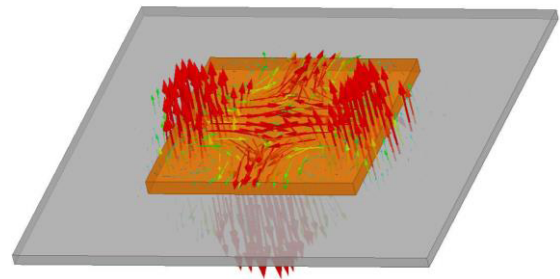


FIGURE 10. The E-field distribution of the TM_{22} mode.

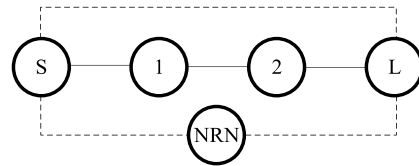


FIGURE 11. The coupling route of the proposed single-ended BPF.

The Q_e is mainly determined by the parameters of the feeding probe, i.e. l_1 and h_1 , which can be tuned for meeting the requirement of the desired Q_e , as shown in Fig. 9. Once they are determined according to the desired Q_e , the cross coupling from source to load will be determined accordingly which should generate a pair of transmission zeros on the both sides of the passband. Unfortunately, the TM_{22} mode of the proposed DPR can also be well excited by the feeding probes according to its E-field distribution shown in Fig. 10. It functions as a non-resonating node (NRN, i.e. resonating mode far away from the TM_{11} mode in spectrum, and it can be indicated as $M_{NRN} = (f_0^2 - f_{NRN}^2)/(FBW \cdot f_0 \cdot f_{NRN})$ in the coupling matrix, where f_{NRN} is the resonant frequency of the NRN) and then produces another cross coupling path for the filter. As a result, there is no transmission zero in the upper stopband. Fig. 11 shows the coupling route of the proposed BPF, where the solid line represents the main coupling while the dash line represents the cross coupling.

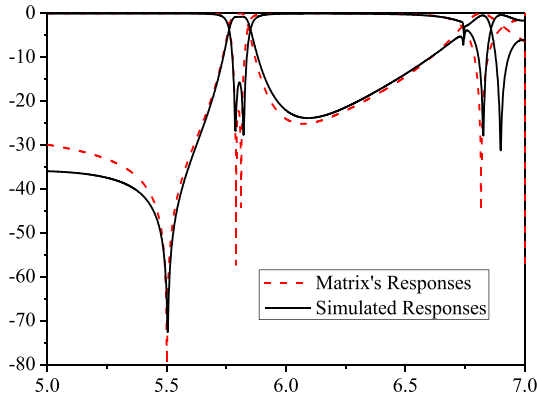


FIGURE 12. The frequency responses resulting from the coupling Matrix and HFSS simulation.

The coupling matrix M is adopted and shown below [30]:

$$M = \begin{bmatrix} S & 1 & NRN & 2 & L \\ S & 0 & 0.81 & -0.96 & 0 & -0.02 \\ 1 & 0.81 & 0 & 0 & 0.707 & 0 \\ NRN & -0.96 & 0 & -39.9 & 0 & -0.96 \\ 2 & 0 & 0.707 & 0 & 0 & 0.81 \\ L & -0.02 & 0 & -0.96 & 0.81 & 0 \end{bmatrix} \quad (11)$$

Fig. 12 shows the frequency responses resulting from the matrix M in (11) and simulation of Ansoft HFSS, showing good accordance.

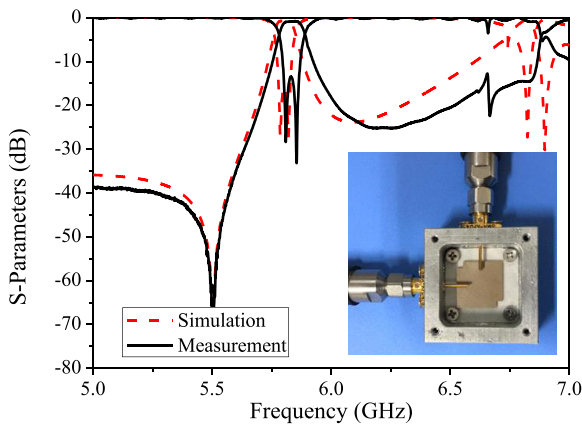


FIGURE 13. The simulated measured frequency responses of the proposed single-ended BPF (The inset is the photograph of fabricated BPF).

B. RESULT

According to the above discussion, the dimensions of the proposed single-ended BPF in Fig. 7 can be determined as: $A = 25$ mm, $H = 10$ mm, $a = 15$ mm, $b = 2.5$ mm, $t = 1$ mm, $h = 0.76$ mm, $h_1 = 3.6$ mm and $l_1 = 8.5$ mm. The experiment is carried out by using Agilent N5230A PNA-L network analyzer. Fig. 13 shows the simulated and measured results of the designed single-ended BPF (the inset photograph is the fabricated BPF), exhibiting good agreement. In experiment, the center frequency of the passband is about

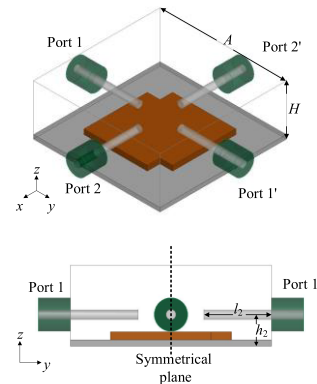


FIGURE 14. The schematic layout of the proposed differential BPF using the proposed DPR.

5.83 GHz and the 3-dB FBW is around 1.8%. In the passband, the measured minimum insertion loss is 0.82 dB and the return loss is better than 13 dB.

IV. DESIGN OF DIFFERENTIAL FILTER

A. FILTER DESIGN

Fig. 14 shows the configuration of the differential BPF, which consists of the proposed DPR loaded in a square metallic cavity and two pairs of differential ports, i.e. Port 1 and Port 1', and Port 2 and Port 2'. According to the E-field distribution, the TM_{11} mode can be differential excited for forming the differential passband. Meanwhile, the E-field distribution of TM_{22} mode (acting as the lowest spurious mode in the single-ended filter in Fig. 7) is even symmetric with respect to the symmetrical plane in Fig. 14. Thus, the TM_{22} mode acts as common-mode (CM) response, implying that the differential-mode (DM) upper stopband can be improved. When the proposed BPF is fed by one differential port pair, its perpendicularly symmetrical plane (along the other differential port pair) can be treated as a virtual ground. For example, the symmetrical plane in Fig. 14 is a virtual ground when the DM signal is fed through Port 1 and Port 1'. As a result, the isolation between the two differential port pairs is very high, as shown in Fig. 15. Therefore, the S-L coupling existing in the single-ended BPF in Fig. 7 (which can also be seen from Fig. 15) would disappear in the differential design. Accordingly, the coupling route of the proposed differential filter is very simple and shown in Fig. 16.

For demonstration, a differential dual-mode BPF with a center frequency of $f_0 = 5.8$ GHz and a 0.05-dB ripple FBW of 0.5% is designed. According to the specifications, the lumped-element values of a low-pass prototype filter can be obtained as $g_1 = 0.45$, $g_2 = 0.41$ and $g_3 = 1.10$. Then, the required coupling coefficient k_{12d} and the external quality factor Q_{ed} for the DM BPF can be obtained.

$$k_{12d} = \frac{FBW}{\sqrt{g_1 g_2}} = 0.0115 \quad (12)$$

$$Q_{ed} = \frac{g_0 g_1}{FBW} = 91.4 \quad (13)$$

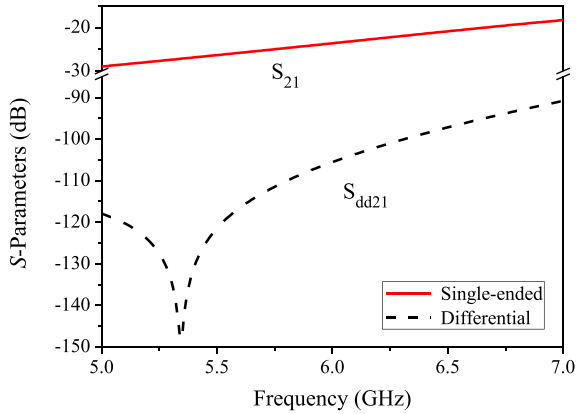


FIGURE 15. The simulated S-L coupling strengths of the BPFs in single-ended or differential types, as shown in Fig. 7 and Fig. 14, respectively.

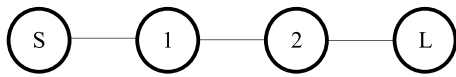


FIGURE 16. The coupling route of the proposed differential BPF.

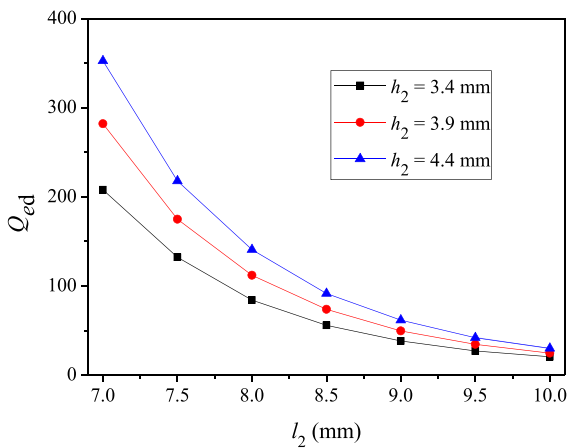


FIGURE 17. The relationship between Q_{ed} and l_2 under different h_2 .

The extraction of k_{12d} is the same as that of k_{12} in the single-ended filter, as in Fig. 8. Meanwhile the extracted Q_{ed} is shown in Fig. 17.

B. RESULT AND DISCUSSION

According to the above description, the dimensions of the proposed DM BPF can be determined as: $A = 25$ mm, $H = 10$ mm, $a = 15$ mm, $b = 2.5$ mm, $t = 1$ mm, $h = 0.76$ mm, $h_2 = 3.9$ mm and $l_2 = 8.5$ mm. The simulation is conducted by using Ansoft HFSS, and the experiment is conducted by using Agilent N5230A PNA-L network analyzer, which can test four port S-parameters directly. Fig. 18 shows the simulated and measured S-parameters, showing good agreement. The measured DM passband is centered at about 5.8 GHz, and the 3-dB FBW is 1.62%. In the DM passband, the minimum insertion loss (S_{dd21}) is about 0.88 dB while the return loss

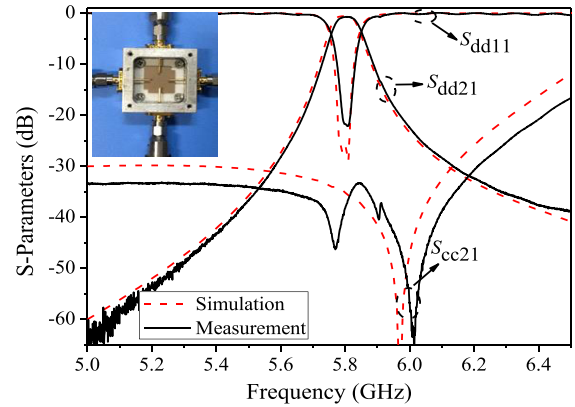


FIGURE 18. The simulated and measured frequency responses of the proposed differential BPF (The inset is the photograph of fabricated differential BPF).

(S_{dd11}) is better than 20 dB. The CM suppression (S_{cc21}) is more than 30 dB in the DM passband, which is acceptable in many applications.

TABLE 1. Units for magnetic properties.

Ref	f_0 (GHz)	3-dB FBW (%)	Insertion loss (dB)	CM Suppression (dB)	Volume (mm ³)
[19]	1.78	1.3	0.8	>30	45×45×53
[23]	1.75	1.3	0.4	>40	95×46×32
[25]	3.97	0.5	1.3	>25	62×40.8×12.3
This work	5.8	1.62	0.88	>30	25×25×10

Comparison of the proposed differential BPF using the DPR with reported second-order differential DR BPFs

Table 1 shows the comparison of the proposed differential BPF using the DPR with the previously-reported second-order differential DR BPFs. In [19] and [23], the Al₂O₃ support for the DR is required so that the filter profile is high. Since the cross-shaped dual-mode DR is applied in [19], the filter size is reduced dramatically, as compared with the DR filter in [23]. In [25], the TE₁₁ mode of the rectangular DR mounted on the substrate is used. Though the profile is low, the longitudinal size is relatively large due to the single-mode operation of the DR. Benefiting from the proposed dual-mode DPR, the resultant differential BPF in this work owns evident volume reduction and the comparable filtering performance with the filters in [19], [23], and [25].

V. CONCLUSION

In this paper, a new square DPR has been introduced and theoretically analyzed. It has both merits of the planar transmission line and the traditional DR at the same time, i.e. low-profile configuration and high Q_u . For demonstration, two kind of filter examples based on the proposed DPR has been designed and presented in detail. Their simulated

and measured results with good agreement have been given, which effectively verify the proposed idea, both single-ended and differential BPFs showcase several advantages such as low passband loss, low profile and compact size, which would make the proposed DPR technique attractive in the future practical applications with the requirement of miniaturization.

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