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# Visible Light Communication Channel Modeling for Underwater Environments With Blocking and Shadowing

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**ABSTRACT** In this paper, we present a comprehensive channel modeling and characterization study for underwater visible light communications. Our study is based on the advanced ray tracing, which allows for an accurate description of the interaction of rays emitted from the lighting source within an underwater environment. Contrary to existing works, which are mainly limited to simplified underwater scenarios, i.e., empty sea, we take into account the presence of human and man-made objects to investigate the effects of shadowing and blockage. The reflection characteristics of the sea surface and sea bottom as well as the water characteristics, i.e., extinction coefficient and scattering phase function of particles, are precisely considered. As case studies, we consider various underwater scenarios with different transmitter/receiver specifications (i.e., viewing angle, aperture size) and different depths from the sea surface. For each environment, we obtain channel impulse responses and present a characterization study where channel parameters, such as channel DC gain, path loss, and delay spread, are obtained.

**INDEX TERMS** Underwater visible light communications, channel modeling, ray tracing.

## I. INTRODUCTION

There has been an increasing demand for high-speed real-time underwater wireless links to accommodate a wide range of applications such as environmental monitoring and pollution control, underwater exploration, scientific data collection, maritime archaeology, offshore oil field exploration, port security and tactical surveillance among others. Although fiber optic links are currently used in some underwater applications to establish real-time communication, their high installation cost, operational difficulties and lack of flexibility for redeployment become restrictive for most cases. Wireless communication is a promising alternative and an ideal transmission solution for underwater applications.

Underwater wireless transmission can be achieved through radio, acoustic, or optical waves. Radio frequency waves suffer from significant attenuation in water, which seriously limits the transmission range to very short distances with practical antenna sizes. On the other hand, acoustic waves can support transmission ranges on the order of kilometers and become the typical choice in the commercially available underwater modems. Acoustic systems however fall short

with their low data rates (kilobits per second) for emerging bandwidth-hungry underwater applications such as image and real-time video transmission.

As diverse and data-heavy underwater applications emerge, there has been an increasing attention on optical transmission [1], [2] as a powerful alternative and/or complementary to acoustic counterparts. Since water is relatively transparent to light in the blue and green bands of the optical spectrum, visible light sources can be particularly used as wireless transmitters. Underwater visible light communication (UVLC) has therefore emerged as a cost-effective, energy-efficient and high-data-rate technology.

Underwater light propagation is fully modelled by the radiative transfer equation (RTE) [3, Ch. 9] which basically describes the energy conservation of a light wave traversing a scattering medium. RTE involves integro-differential equation of time and space which does not yield a general analytical solution [4]. Thus, some approximate analytical solutions have been proposed [5]–[7] which build upon various simplifying assumptions and the predicted irradiances are typically accurate to a few tens of percent at best, and

can be off by an order of magnitude. Alternatively, numerical methods can be used to solve the RTE. One of these methods is the invariant imbedding solution [8] which is restricted to one spatial dimension and to simple boundary conditions. Another method is the discrete ordinates solution that can only be applied to homogeneous water bodies [9].

As a more flexible tool, Monte Carlo simulations are widely used in the literature for channel modelling [10]–[19]. These studies employ statistical methods and determine the channel characteristics by generating numerous photons and then simulating the interactions of each photon with the medium. Gabriel *et al.* [10] utilized Monte Carlo approach and quantified time dispersion for link ranges up to 100 m in pure sea, clear ocean, coastal and harbor water. Tang *et al.* [11] quantified the path loss of non-line-of-sight (NLOS) underwater links taking into account the effects of random sea surface in clear ocean and coastal water, respectively. Guerra *et al.* [12], [13] modeled the seawater surface as a random variable and quantified the received intensity for various ranges. Liu *et al.* [14] quantified the path loss assuming various transmitter divergence angles and receiver field of views in clear ocean, coastal and harbor water. Dong *et al.* [15] considered a multiple-input multiple-output (MIMO) scenario and computed received intensity for coastal and harbor water. They also obtained a closed form expression for channel impulse response (CIR) using weighted double Gamma function. Akhoundi *et al.* [16] considered a cellular underwater wireless network and obtained channel coefficients of both uplink and downlink for link ranges up to 50 m in clear ocean, coastal and harbor water. Jamali *et al.* [17], [18] considered a relay-assisted underwater system and obtained the CIRs in clear ocean and coastal water for both collimated and diffused laser beams. Wang *et al.* [19] proposed a path loss model as a weighted linear function of two exponentials that can be interpreted as a modified version of the Beer-Lambert law. They applied least mean square (LMS) fitting algorithm to the simulated data to obtain the weighting coefficients.

While earlier works in [10]–[19] demonstrate the feasibility of UVLC and quantify the path loss for different water types, they are limited to simplified underwater scenarios, i.e., empty sea. In this paper, we carry out a channel modeling and characterization study taking into account the presence of human and man-made objects to investigate the effects of shadowing and blockage. For this, we use advanced ray tracing which allows an accurate description of the interaction of rays emitted from the lighting source within an underwater environment. The three dimensional simulation environment is created in Zemax<sup>®</sup> and enables us to specify the geometry of the underwater environment, the objects within, as well as the specifications of the sources, i.e., lasers or light emitting diodes (LEDs), and receivers, i.e., photodiodes. The reflection characteristics of the sea surface and sea bottom as well as the water characteristics, i.e., extinction coefficient and scattering phase function of particles, are further considered. For a given number of rays, the non-sequential ray tracing tool

calculates the detected power and path lengths from source to detector for each ray. These are then imported to Matlab<sup>®</sup> and processed to yield the CIR.

The remainder of the paper is organized as follows. In Section II, we describe the methodology adopted for channel modelling. In Section III, we confirm the accuracy of our approach with comparison to the existing results reported for empty sea. In Section IV, we present CIRs for more realistic underwater environments including divers, underwater vehicles etc and investigate the associated effects of shadowing and blockage. We finally conclude in Section V.

## II. METHODOLOGY FOR CHANNEL MODELING

A summary of major steps followed in the adopted channel modeling methodology is provided in Fig. 1. In the first step, we create a three dimensional simulation environment in Zemax<sup>®</sup> where the geometry of the underwater environment and the objects therein are defined. The CAD objects can be imported in the simulation platform to model the human beings and any other man-made/natural objects, e.g., underwater vehicles, divers, rocks, etc. Wavelength-dependent reflectance of surface coating for each object in the environment is specified. We further take into account the effects of sea surface and bottom. We assume mud for the sea bottom and consider purely diffuse reflections.

To characterize the reflection and refraction of transmitted rays from the sea surface, we use Fresnel equations respectively given by [20]

$$R_s = \left| \frac{n_1 \cos \theta_i - n_2 \cos \theta_t}{n_1 \cos \theta_i + n_2 \cos \theta_t} \right|^2 \quad (1)$$

$$R_p = \left| \frac{n_1 \cos \theta_t - n_2 \cos \theta_i}{n_1 \cos \theta_i + n_2 \cos \theta_t} \right|^2 \quad (2)$$

where  $R_s$  and  $R_p$  are the reflectances for s- and p-polarized light,  $n_1$  and  $n_2$  are the refractive indices of incident and refracting medium, and  $\theta_i$  and  $\theta_t$  are the incident and refracting angles.

In the second step, we integrate the laser or LED-based light source in the simulation platform. Different types of light sources can be used as UVLC transmitters. A collimated laser beam has very low divergence on the order of milliradians. On the other hand, LED is a diffuse source and transmits its energy over a large spherical section. A semi-collimated source would either be a laser that has been purposefully diffused or a LED that is focused. The emission pattern and relative spectral power distribution are defined as inputs to the simulation platform for the selected light source. It is also possible to draw the related information for commercially available LEDs from Radiant Source Model (RSM) database [21]. As a receiving element, we use a rectangular aperture with specified dimensions and field of view (FOV).

In the third step, we define the underwater environment characteristics. The inherent optical properties of water, i.e., absorption, scattering and extinction coefficients are defined based on the Haltrin model [22] and depth profiles

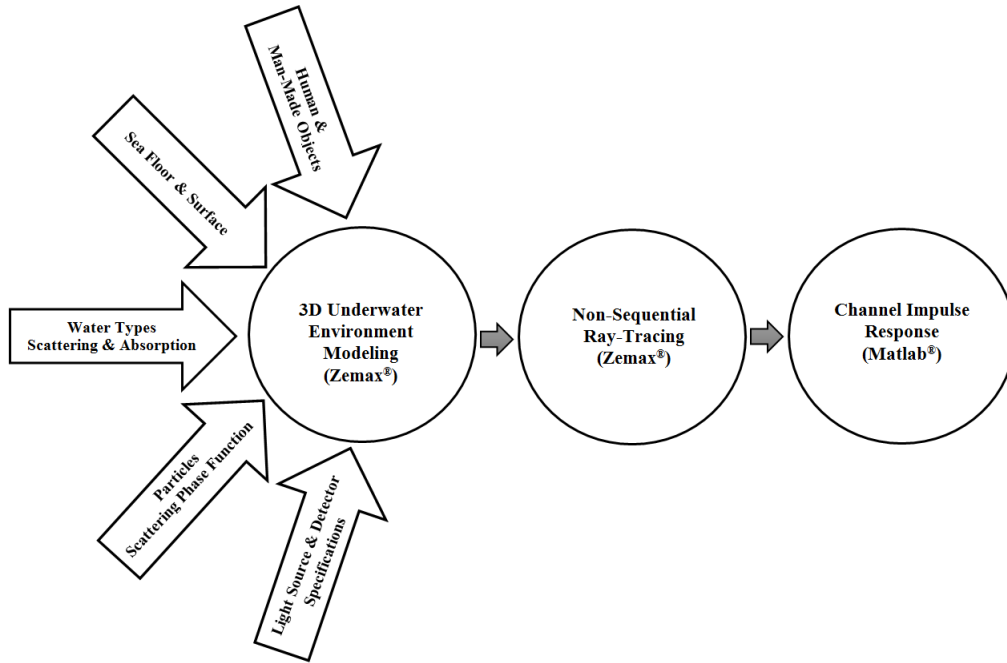


FIGURE 1. Steps in UVLC channel modeling.

of chlorophyll concentration [23]–[25]. In the Haltrin model, the absorption coefficient is expressed as a sum of absorption spectra multiplied by their respective concentrations as

$$a(\lambda) = a_w(\lambda) + a_f^0 \exp(-k_f \lambda) C_f + a_h^0 \exp(-k_h \lambda) C_h + a_c^0(\lambda, z) (C_c / C_c^0)^{0.602} \quad (3)$$

where  $\lambda$  is wavelength and  $a_w$  is pure water absorption coefficient ( $m^{-1}$ ). In the second term of (3),  $a_f^0$  is fulvic acid specific absorption coefficient ( $a_f^0 = 35.959 \text{ m}^2/\text{mg}$ ),  $k_f$  is the fulvic acid exponential coefficient ( $k_f = 0.0189 \text{ nm}^{-1}$ ) and  $C_f$  is the concentration of fulvic acid in  $\text{mg}/\text{m}^3$ . In the third term of (3),  $a_h^0$  is the humic acid specific absorption coefficient ( $a_h^0 = 18.828 \text{ m}^2/\text{mg}$ ),  $k_h$  is the humic acid exponential coefficient ( $k_h = 0.0110 \text{ nm}^{-1}$ ) and  $C_h$  is the concentration of humic acid in  $\text{mg}/\text{m}^3$ . In the fourth and last term of (3),  $C_c$  is the concentration of chlorophyll-*a* in  $\text{mg}/\text{m}^3$  (see [25] for calculation of  $C_c$  based on depth profiles),  $C_c^0 = 1 \text{ mg}/\text{m}^3$  is the reference concentration and  $a_c^0$  is the specific chlorophyll absorption coefficient ( $\text{m}^2/\text{mg}$ ) calculated as  $a_c^0(\lambda) = A(\lambda) C_c^{-B(\lambda)}$  where coefficients  $A$  and  $B$  are empirical constants, see [26]. It should be further noted that  $C_f$  and  $C_h$  are given in terms of concentration of chlorophyll-*a* as  $C_f = 1.74098 C_c \exp(0.12327(C_c / C_c^0))$  and  $C_h = 0.19334 C_c \exp(0.12343(C_c / C_c^0))$  [27].

In the Haltrin model, the scattering coefficient as a function of wavelength and chlorophyll concentration is given by [22]

$$b(\lambda) = b_w(\lambda) + b_s^0(\lambda) C_s + b_l^0(\lambda) C_l \quad (4)$$

where  $b_w$  is the pure water scattering coefficient ( $\text{m}^{-1}$ ),  $b_s^0$  is the scattering coefficient for small particulate

matter ( $\text{m}^2/\text{g}$ ),  $b_l^0$  is the scattering coefficient for large particulate matter ( $\text{m}^2/\text{g}$ ),  $C_s$  is the concentration of small particles ( $\text{g}/\text{m}^3$ ) and  $C_l$  is the concentration of large particles ( $\text{g}/\text{m}^3$ ). The latter two are given in terms of concentration of chlorophyll-*a* as  $C_s = 0.01739 C_c \exp(0.11631(C_c / C_c^0))$  and  $C_l = 0.76284 C_c \exp(0.03092(C_c / C_c^0))$ . The spectral dependencies for the scattering coefficients of small and large particulate matter are given by

$$b_w(\lambda) = 0.005826(400/\lambda)^{4.322} \quad (5)$$

$$b_s^0(\lambda) = 1.1513(400/\lambda)^{1.7} \quad (6)$$

$$b_l^0(\lambda) = 0.3411005826(400/\lambda)^{0.3} \quad (7)$$

The overall attenuation can be then described by the extinction coefficient which can be expressed as the sum of absorption and scattering coefficients, i.e.,  $c(\lambda) = a(\lambda) + b(\lambda)$ .

To model scattering phase function, we use one-term Henyey-Greenstein (OTHG) [28] formula with three parameters, namely mean free path, photon weight updating and average cosine of scattering angle in all scattering directions. The mean free path parameter defines the average geometric distance traveled by photons before being scattered [29] and it can be calculated as the inverse of extinction coefficient ( $1/c(\lambda)$ ). The interaction between the photon and medium may cause the photon losing weight due to the absorption and scattering. The photon therefore needs to update its weight. The photon weight updating is defined as the ratio of scattering coefficient to extinction coefficient, i.e.,  $(b(\lambda)/c(\lambda))$ .

Once the simulation platform is constructed based on the three steps summarized above, non-sequential ray tracing tool

is run to calculate the detected power and path lengths from source to detector for a given number of rays. These are then imported to Matlab<sup>®</sup> and processed to yield the CIR given by

$$h(t) = \sum_{i=1}^{N_r} P_i \delta(t - \tau_i) \quad (8)$$

where  $P_i$  is the power of the  $i^{\text{th}}$  ray,  $\tau_i$  is the propagation time of the  $i^{\text{th}}$  ray,  $\delta(t)$  is the Dirac delta function and  $N_r$  is the number of rays received at the detector. Once we obtain CIRs, we can calculate relevant channel parameters such as channel DC gain, path loss, and root mean square (RMS) delay spread. Channel DC gain is given by [30]

$$H_0 = \int_0^{\infty} h(t) dt \quad (9)$$

The path loss can be then expressed as [31]

$$PL = 10 \log_{10} \left( \int_0^{\infty} h(t) dt \right) \quad (10)$$

RMS delay spread is commonly used to quantify the time-dispersive properties of multipath channels and is defined as the square root of the second central moment of the CIR, i.e.,

$$\tau_{RMS} = \sqrt{\int_0^{\infty} (t - \tau_0)^2 h(t) dt / \int_0^{\infty} h(t) dt} \quad (11)$$

where  $\tau_0$  is the mean excess delay spread defined as

$$\tau_0 = \int_0^{\infty} t h(t) dt / \int_0^{\infty} h(t) dt \quad (12)$$

### III. COMPARISON WITH EXISTING RESULTS FOR EMPTY SEA

In this section, to confirm the accuracy of our approach, we obtain the CIR as well as associated path loss for an empty underwater environment and compare them with the existing analytical expressions in the literature.

#### A. PATH LOSS EXPRESSIONS

The path loss is a function of both attenuation loss and geometrical loss. For a collimated source such as a laser diode, geometrical loss is negligible; therefore, the path loss only depends on the attenuation loss. On the other hand, the effect of geometrical loss should be taken into account for the diffused and semi-collimated sources, i.e., LEDs and diffused laser diodes.

Attenuation losses can be calculated through well-known Beer-Lambert law [32] or its modified version in [19]. According to Beer-Lambert law, the attenuation loss is given by [32]

$$PL_{BL} = 10 \log_{10} \left( e^{-c(\lambda)d} \right) \quad (13)$$

where  $d$  is the link range between transmitter and receiver and  $c(\lambda)$  is extinction coefficient already defined in Section II. Beer-Lambert law builds upon two implicit assumptions.

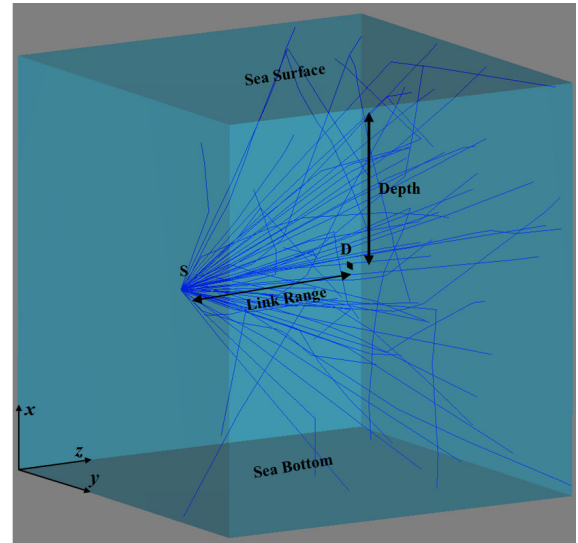


FIGURE 2. Link geometry in empty water environment.

TABLE 1. Simulation parameters.

|   |   |
|---|---|
| Transmitter specifications  | Power: 1 Watt<br>LED brand: Super Blue Cree <sup>®</sup> XR-E [33]<br>Viewing angle: 60° [33] |
| Receiver specifications   | Aperture diameter: 5 cm [10]<br>Field of view: 180° [10]                                      |
| Link range (m)  | 20  |
| Depth (m)   | 45  |
| Water type  | Coastal-S <sub>8</sub> group<br>( $C_c$ : 0.8 ~ 2.2 mg/m <sup>3</sup> ) [25]                  |
| Absorption, scattering and extinction coefficients (m <sup>-1</sup> ) | 0.0508, 0.2116, 0.2624  |
| Scattering phase function   | OTHG  |
| Mean cosine of scattering angles ( $g$ )                              | 0.9470  |

First, the transmitter and receiver are perfectly aligned. Second, all the scattered photons are lost even though in reality some of the scattered photons can still arrive at the receiver after multiple scattering events. To address the latter issue, a weighted function of two exponentials is proposed [19] as

$$PL_{MBL} = 10 \log_{10} \left( u_1 e^{-v_1 d} + u_2 e^{-v_2 d} \right) \quad (14)$$

where the weighting parameters  $u_1$ ,  $u_2$ ,  $v_1$  and  $v_2$  are calculated by the LMS fitting algorithm to the simulation data obtained from Monte Carlo method.

Geometrical loss occurs due to the spreading of the transmitted beam between the transmitter and the receiver. Considering line-of-sight (LOS) configuration and diffused/semi-collimated sources, geometrical loss can be given as

$$PL_{GL} = 10 \log_{10} \left( \frac{A_R(m+1)}{2\pi d^2} \cos(\phi)^m \right) \quad (15)$$

where  $A_R$  denotes the photodetector area,  $\phi$  is the angle of irradiance and  $m = -1 / \log_2(\cos(\Phi_{1/2}))$  is the order of Lambertian emission where  $\Phi_{1/2}$  denotes the semi-angle of the light source. Based on (13-15), the overall path loss can be then determined as the summation of attenuation loss and geometrical loss.

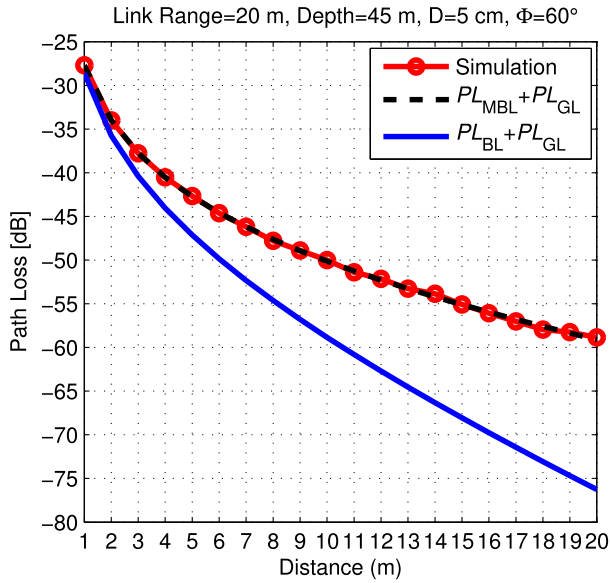


FIGURE 3. Path loss versus distance.

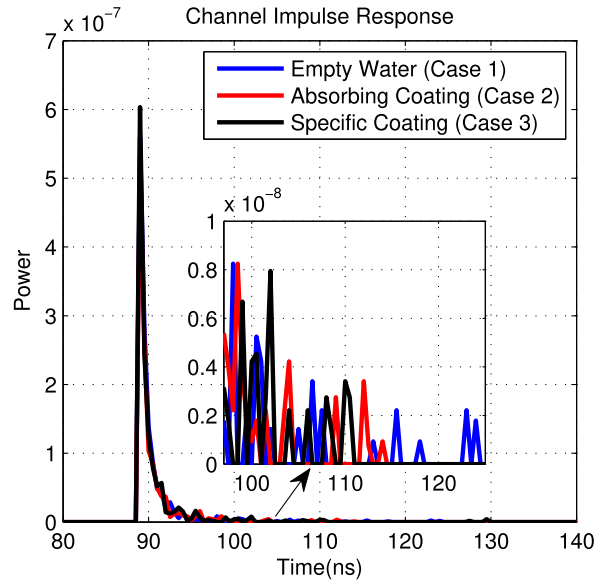


FIGURE 5. Effect of human models on CIR.

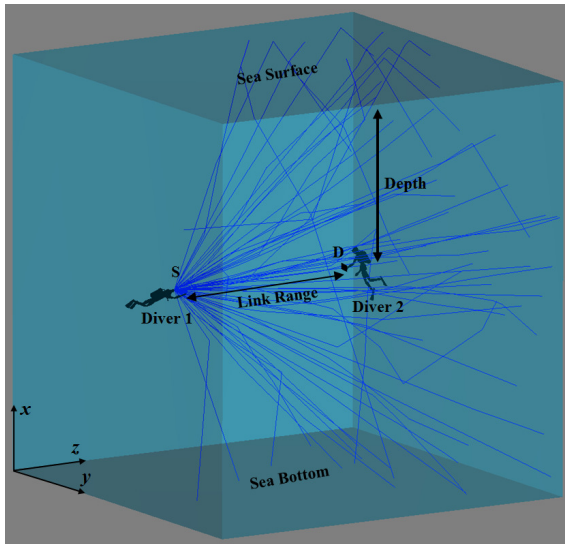


FIGURE 4. Link geometry for two divers who communicate with each other through UVLC link.

**B. COMPARISON WITH EXISTING RESULTS**

We consider the scenario illustrated in Fig. 2 where the transmitter-receiver pair is placed at a depth of  $h = 45$  m with  $d = 20$  m distance apart in empty coastal water. The transmitter is selected as a Cree XR-E blue LED with non-ideal Lambertian distribution, a viewing angle of  $\Phi = 60^\circ$  and the full width at half maximum spectral of 450-480 nm [33]. The FOV and aperture diameter of the detector are  $FOV = 180^\circ$  and  $D_R = 5$  cm, respectively. All simulation parameters are summarized in Table 1. CIRs between transmitter and receiver are calculated with 1 meter distance apart.

Path loss is calculated based on (10) and presented in Fig. 3. As benchmarks, we include the path loss expressions calculated through (13-15). The coefficients  $u_1, u_2, v_1$  and  $v_2$  in (14) are respectively obtained as 1.183, -0.190, 0.072, and

TABLE 2. Channel parameters for the cases under consideration.

|         | $\tau_{RMS}$ (ns) | $H_0$                 | $PL$ (dB) |
|---------|-------------------|-----------------------|-----------|
| Case 1  | 5.27              | $1.30 \times 10^{-6}$ | 58.85     |
| Case 2  | 3.22              | $1.22 \times 10^{-6}$ | 59.11     |
| Case 3  | 3.89              | $1.31 \times 10^{-6}$ | 58.79     |
| Case 4  | 6.28              | $1.35 \times 10^{-6}$ | 58.68     |
| Case 5  | 6.77              | $5.91 \times 10^{-7}$ | 62.27     |
| Case 6  | 8.22              | $2.73 \times 10^{-7}$ | 65.63     |
| Case 7  | 5.71              | $4.90 \times 10^{-7}$ | 63.09     |
| Case 8  | 3.44              | $7.64 \times 10^{-7}$ | 61.16     |
| Case 9  | 2.26              | $8.08 \times 10^{-7}$ | 60.92     |
| Case 10 | 2.17              | $7.81 \times 10^{-7}$ | 61.07     |
| Case 11 | 2.14              | $4.84 \times 10^{-7}$ | 63.14     |
| Case 12 | 7.88              | $1.34 \times 10^{-6}$ | 58.72     |
| Case 13 | 7.08              | $5.00 \times 10^{-6}$ | 53.00     |
| Case 14 | 5.42              | $4.06 \times 10^{-7}$ | 63.90     |
| Case 15 | 11.25             | $1.73 \times 10^{-7}$ | 67.60     |

0.164 for the scenario under consideration. It is observed from Fig. 3 that the path loss calculated through our approach is lower than that obtained through Beer-Lambert law. This is expected since Beer-Lambert law is known to overestimate the path loss. On the other hand, our results provide an excellent match with the weighted exponential function of [19].

**IV. EFFECTS OF BLOCKING AND SHADOWING**

In this section, we present CIRs for more realistic underwater environments including divers, underwater vehicles etc and investigate the associated effects of shadowing and blocking. We assume that there are two divers who communicate with each other through UVLC link (see Fig. 4). The transmitter and receiver are placed in their hands. Unless otherwise stated, we use the simulation parameters provided in Table 1. In the following, we consider several cases to investigate the effect of human modeling, LOS blockage, transmitter/receiver specifications and water depth.

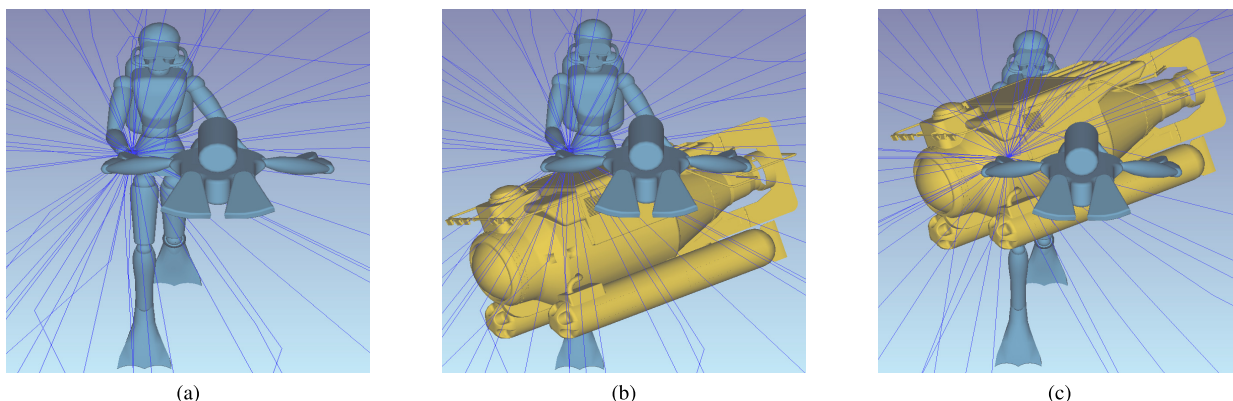


FIGURE 6. (a) Without LOS blockage, (b) partial LOS blockage and (c) complete LOS blockage.

**A. PRESENCE OF HUMANS AND EFFECT OF HUMAN MODELING**

To demonstrate the effect of human models, we consider a scenario with no blockage. Three cases are investigated as following. As the benchmark, empty water is considered (Case 1) where transmitter and receiver are placed as two floating nodes without the presence of humans in the environment. In Case 2, two divers are considered and modeled as absorbing objects. In Case 3, the coating materials of two divers are explicitly defined. Specifically, the coating materials of diver suit, diver glasses, and oxygen capsule are respectively modeled as black gloss paint, plexiglas and galvanized steel metal. The CIRs obtained for these three cases are illustrated in Fig. 5 while Table 2 lists all the relevant channel parameters. It is observed from Table 2 that the path losses in Cases 2 and 3 are respectively 59.11 dB and 58.79 dB which are more or less the same. Therefore, the simplifying assumption of absorbing material for human models and clothes can be made without losing accuracy. Since the transmitter and receiver are placed in divers hands without any blockage between them, the path loss remains nearly the same as in empty water. On the other hand, the presence of human has some effect on RMS delay spread. In case of empty water, i.e., Case 1, the RMS delay spread is 5.27 ns while this decreases to 3.22 ns and 3.89 ns for Cases 2 and 3, respectively. This is a result of the fact that the rays cannot pass through human bodies and terminated earlier than those rays in empty water.

**B. EFFECT OF LOS BLOCKAGE**

The objects present in the underwater environment are likely to result in LOS blockage. In this part, to demonstrate this effect, we consider a scenario where two divers communicate with each other while there is an autonomous underwater vehicle (AUV) between them as illustrated in Fig. 6. As a benchmark, a LOS link with no obstructions is considered (Case 4). AUV is assumed to have a galvanized steel metal exterior and, based on its location, it either provides partial LOS blockage (Case 5) or complete LOS blockage (Case 6).

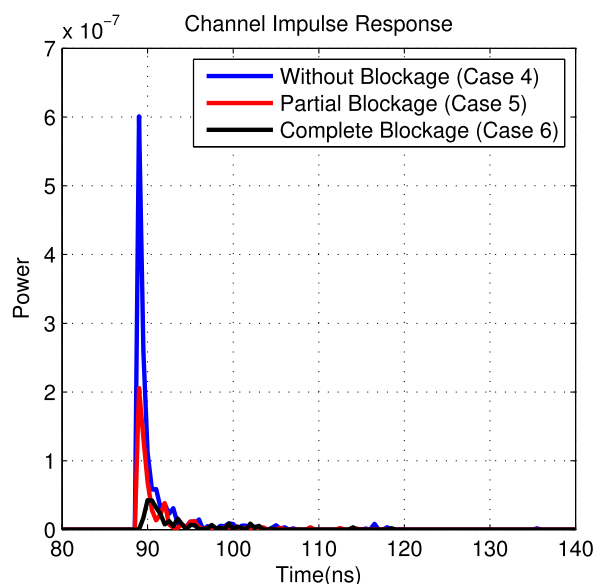


FIGURE 7. Effect of LOS blockage on CIR.

The associated CIRs are provided in Fig. 7. It is observed that the CIR is significantly affected by blockage. In case of complete LOS blockage, the channel DC gain decreases to 20% of the no blockage case (see Table 2). It should be however noted that the receiver still receives some signal due to scattering of light from particles. In terms of path loss, it is observed from Table 2 that the partial LOS blockage introduces an additional loss of 3.59 dB while this climbs to 6.95 dB for complete LOS blockage. Furthermore, as a result of scattered rays from obstructions, additional multipath components are introduced resulting in the increase of RMS delay spread.

**C. EFFECT OF TRANSMITTER VIEWING ANGLE**

In this part, we investigate the effect of transmitter viewing angles. Complete LOS blockage is assumed. In Fig. 8.a, we illustrate the CIRs assuming  $\Phi = 40^\circ, 20^\circ,$  and  $10^\circ$  (Case 7, Case 8 and Case 9). In comparison to  $\Phi = 60^\circ$  (Case 6 in Fig. 7), it is observed that decrease in LED

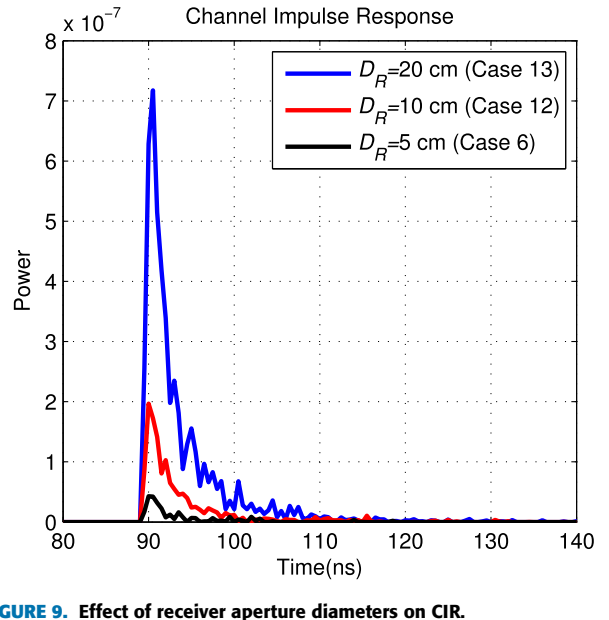
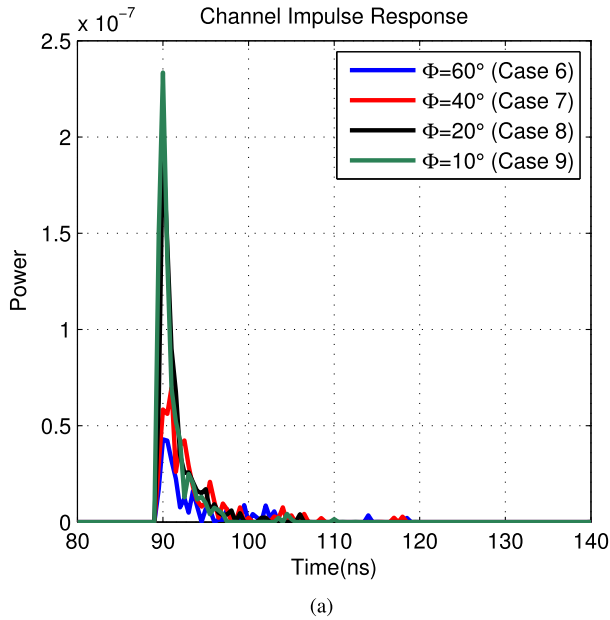


FIGURE 9. Effect of receiver aperture diameters on CIR.

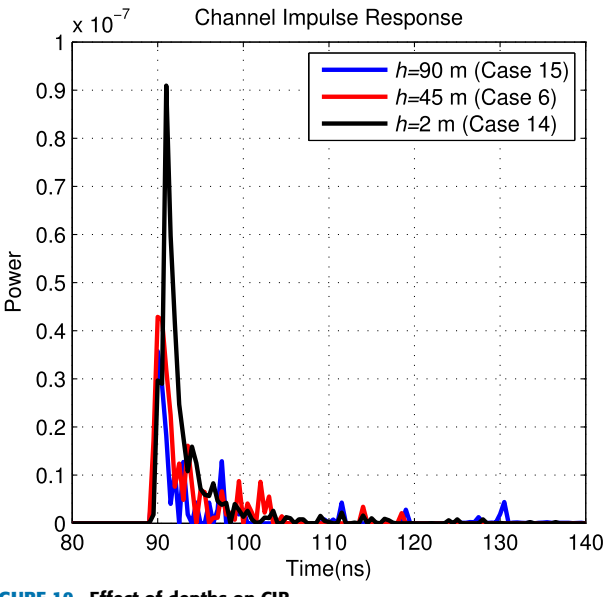
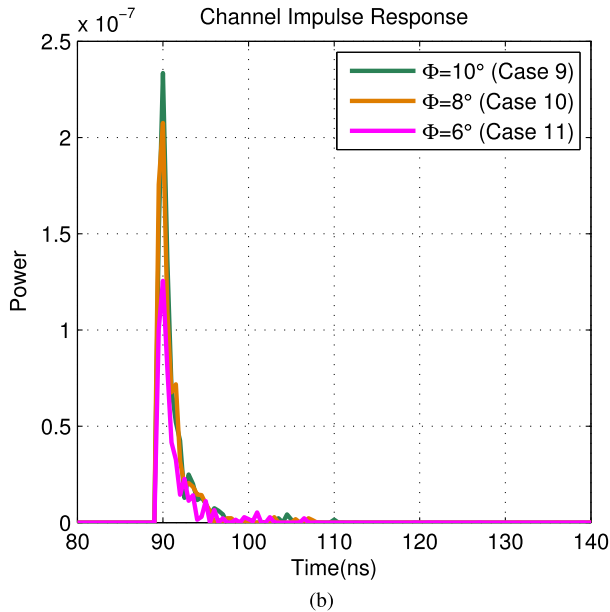


FIGURE 10. Effect of depths on CIR.

FIGURE 8. Effect of transmitter viewing angles on CIR.

viewing angles provide some gains. Specifically, path loss reductions of 2.54 dB, 4.47 dB and 4.71 dB are obtained for  $\Phi = 40^\circ$ ,  $20^\circ$ , and  $10^\circ$ , respectively. It is due to the fact that the focused beam has less attenuation through the water medium. It is also observed that RMS delay spread significantly decreases with decreased viewing angles. Specifically, delay spreads of 5.71 ns, 3.44 ns and 2.26 ns are observed for  $\Phi = 40^\circ$ ,  $20^\circ$ , and  $10^\circ$ , respectively.

As transmitter viewing angle gets smaller, the improvement in path loss cannot be sustained since the scattered rays are more likely to be blocked by obstruction. To demonstrate this, we illustrate CIRs in Fig. 8.b assuming  $\Phi = 8^\circ$  and  $6^\circ$  (Case 10 and Case 11). With respect to Case 9 (i.e.,  $\Phi = 10^\circ$ ), it is observed that  $\Phi = 8^\circ$  results in a loss of 0.15 dB while a loss of 2.22 dB is observed for  $\Phi = 6^\circ$ . Therefore,

$\Phi = 10^\circ$  can be considered as the most appropriate value for the scenario under consideration.

**D. EFFECT OF RECEIVER APERTURE SIZE**

In this part, we investigate the effect of receiver aperture size. Complete LOS blockage is assumed. In Fig. 9, we illustrate the CIRs for  $D_R = 10$  cm and  $D_R = 20$  cm (Case 12 and Case 13). In comparison to 5 cm (which was earlier considered as Case 6 in Fig. 7), it is observed that increased aperture diameters provide significant gains. Specifically 6.91 dB and 12.63 dB reductions in path loss are obtained for  $D_R = 10$  cm and  $D_R = 20$  cm, respectively. It is also observed that RMS delay spread decreases with increased aperture diameters, specifically 0.34 ns and 1.14 ns for  $D_R = 10$  cm and  $D_R = 20$  cm,

respectively, since the rays are likely to reach earlier to a larger receiver due to less number of scattering.

### E. EFFECT OF DEPTH

So far, we assumed a depth of 45 m which can be considered as mid-depth. In Fig. 10, we investigate the effect of depth. Based on the Haltrin model [22] and depth profiles of chlorophyll concentration [25], the absorption, scattering and extinction coefficients at depths of 2 m (Case 14) and 90 m (Case 15) are obtained as (0.1005, 0.3505, 0.4510) and (0.0292, 0.0556, 0.0848), respectively. It is observed that the path loss at sea surface is 63.90 dB indicating a reduction of 1.73 dB over that experienced at mid-depth. This is a result of the fact that the detector receives more specular reflected rays from the sea surface. On the other hand, the path loss at sea bottom is 67.60 dB indicating an additional loss of 1.97 dB over that experienced at mid-depth. This is a result of the fact that the rays are attenuated by diffusely reflecting from sea bottom.

### V. CONCLUSIONS

In this paper, we have carried out a detailed underwater optical channel modeling and characterization study taking into account the presence of human and man-made objects such as AUV. The objects present in the underwater environment are likely to result in LOS blockage. Our results have demonstrated that, even in complete LOS blockage, transmission can take place due to scattering despite the increase in path loss. Such losses can be recovered by using smaller transmitter viewing angles or larger aperture sizes. However, there is also some trade-off between performance improvement and viewing angle. As the angle gets smaller, the improvement in path loss cannot be sustained since the scattered rays are also likely to be blocked by obstruction. Our results have also demonstrated that the path loss decreases at sea surface compared to that experienced at mid-depth. This is a result of the fact that the detector receives more specular reflected rays from the sea surface. On the other hand, the path loss at sea bottom increases compared to that experienced at mid-depth since the rays are attenuated by diffusely reflecting from sea bottom.

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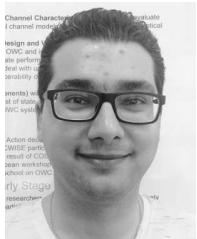
The statements made herein are solely the responsibility of the authors.

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