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# On Secure NOMA Systems With Transmit Antenna Selection Schemes

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**ABSTRACT** This paper investigates the secrecy performance of a two-user downlink non-orthogonal multiple access systems. Both single-input and single-output and multiple-input and single-output systems with different transmit antenna selection (TAS) strategies are considered. Depending on whether the base station has the global channel state information of both the main and wiretap channels, the exact closed-form expressions for the secrecy outage probability (SOP) with suboptimal antenna selection and optimal antenna selection schemes are obtained and compared with the traditional space-time transmission scheme. To obtain further insights, the asymptotic analysis of the SOP in high average channel power gains regime is presented and it is found that the secrecy diversity order for all the TAS schemes with fixed power allocation is zero. Furthermore, an effective power allocation scheme is proposed to obtain the non-zero diversity order with all the TAS schemes. Monte Carlo simulations are performed to verify the proposed analytical results.

**INDEX TERMS** Non-orthogonal multiple access, physical layer security, transmit antenna selection, secrecy outage probability.

## I. INTRODUCTION

### A. BACKGROUND AND RELATED WORKS

Recently, non-orthogonal multiple access (NOMA) has been accepted as a potential technology for the fifth generation (5G) mobile networks in face of the explosive growth of the mobile traffic demands [1]–[4]. As opposed to the conventional orthogonal multiple access (OMA) technologies (e.g. time/frequency/code division multiple access), NOMA can substantially improve the spectral efficiency by accommodating multiple users simultaneously via power domain multiplexing. For example, in a downlink NOMA system that consists of a near user (that has high channel gain), a far user (that has low channel gain), and a base station. The base station transmits signals to both users simultaneously using superposition coding [5] and more transmission power

is allocated to the far user. Under NOMA scheme, the near user decodes the signal to the far user first and then decodes its signal after subtracting the decoded signal to the far user by adopting successive interference cancellation (SIC). The signal to the far user can be decoded without significant interference from the signal to the near user, which is weak. The outage probability and ergodic capacity of NOMA system were studied in [6] when the users are randomly distributed in the vicinity of the base station, and confirmed that the performance of NOMA was significantly superior to the traditional OMA when the power allocation scheme was used. NOMA can also make the radio resources allocation more flexible, as well as can improve the user's fairness by employing appropriate resource allocation schemes [7]–[11]. As a promising candidate for 5G wireless networks, the integration

of NOMA and conventional OMA paradigms also plays an indispensable role in avoiding strong co-channel interference caused by serving multiple users at the same time, frequency, and spreading code [12], [13].

Recently, multiple-antenna technology has been utilized in NOMA systems to improve the system performance [14]–[18]. It was demonstrated that the sum rate of a multiple-input multiple-output (MIMO) NOMA system is strictly larger than that of a MIMO OMA system in [15]. The outage performance of NOMA system with a multiple-antenna energy harvesting relay was analyzed in [16]. Although the performance can potentially scale up with the number of antennas, the improvement comes at the price of expensive RF chains at the terminal. To avoid the high hardware costs while preserving the diversity and throughput benefits from multiple antennas, transmit antenna selection (TAS) technique has been recognized as an effective solution [16]–[18]. Due to the efficiency and flexibility, NOMA can also be combined with many other wireless technologies to enhance the system performance, such as cooperative communication [19], [20], full duplex [21], cognitive radio (CR) [22], millimeter wave [23], and visible light communication [24], etc.

Physical layer security has taken one of the hottest spots in both information security and wireless communications as it can realize the secrecy communication by utilizing the randomness and time-varying nature of the wireless channels without any encryption algorithm [25]. Zhang *et al.* studied the security performance of single-input-single-output (SISO) NOMA system, and confirmed that the secrecy sum rate performance of NOMA outperforms the one of the conventional OMA [26]. Qin *et al.* studied the physical layer security of NOMA systems in large-scale networks wherein both NOMA users and eavesdroppers are spatially deployed at randomly location [27], and new exact and asymptotic expressions for the secrecy outage probability (SOP) were derived. Furthermore, the secrecy performance of multiple-antenna NOMA with artificial noise was investigated and the exact and asymptotic expressions for SOP were derived in [28]. Depending on whether the base station has the global channel state information (CSI) of both the main and wiretap channels, Zhu *et al.* proposed optimal antenna selection (OAS) and suboptimal antenna selection (SAS) schemes to enhance the secrecy performance of a MIMO system in [29], which was compared with the traditional space-time transmission (STT) scheme. The closed-form expressions for the exact and asymptotic SOP of an underlay MIMO system were derived in [30]. The obtained results showed that both SAS and OAS schemes can significantly enhance the secrecy performance. Now we are wondering about the secrecy performance of these TAS schemes in NOMA system.

## B. MOTIVATION AND CONTRIBUTIONS

Based on the open literature and to the best of the authors' knowledge, it is still an open issue to study the secrecy

performance of multiple-input single-output (MISO) NOMA systems with TAS schemes. The main contributions of our work are listed as follows:

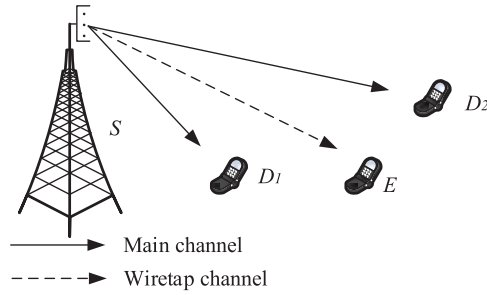
- 1) We investigate the secrecy outage performance of MISO NOMA system consisting of one base station with multiple antennas, two legitimate receivers, and an eavesdropper. We investigate the secrecy outage performance of OAS and SAS schemes for MISO NOMA system and compare them with the STT scheme. The closed-form expressions for the exact and asymptotic SOP are derived. Moreover, the accuracy of the analytical results are validated via Monte-Carlo simulations. The results show that the SOP for the far user with fixed power allocation scheme deteriorates as the transmit power surpasses some threshold and then reaches a floor as the interference from the near user increases while increasing the transmit power.
- 2) To obtain further insights, the asymptotic analysis of SOP is conducted and the secrecy diversity order for different TAS schemes is derived when the average signal-to-noise ratio (SNR) of the main channel tends to infinity. The results show that the secrecy diversity order for all the TAS schemes with fixed power allocation are zero.
- 3) Moreover, an effective power allocation scheme is proposed to obtain non-zero diversity order under all the TAS schemes. Simulations and numerical results are given to validate the accuracy of all the analytical results.

The rest of this paper is organized as follows. In Section II, the two-user NOMA system model is described. The performance analysis of SOP in a SISO NOMA system is carried out in Section III. In Sections IV and V the exact and asymptotic SOP in TAS-based MISO NOMA system are investigated. An effective power allocation method is proposed in Section VI and the secrecy diversity order for all the cases with proposed power allocation scheme is derived. Section VII presents and discusses the numerical results. Finally, we conclude the paper in Section VIII.

## II. SYSTEM MODEL

In this work, we consider a downlink NOMA system that includes a base station ( $S$ ), two legitimate users  $D_1$  (the near user) and  $D_2$  (the far user), and an eavesdropper ( $E$ ), as shown in Fig. 1.<sup>1</sup> Both the legitimate and illegitimate receivers are equipped with a single antenna. It is assumed that the fading coefficients between each antenna at  $S$  and destinations

<sup>1</sup> Although only two users were considered in this work, the results can be easily extended to the downlink NOMA system with  $N$  ( $N > 2$ ) users. For example, the hybrid multiple access scheme proposed in [13] and [14], where NOMA scheme is implemented among the users within each group, while the conventional OMA scheme is utilized for inter-group multiple access. In these scenarios, in order to decrease the complexity of message detection, a user pairing technique can be used to ensure that only two users share a specific orthogonal resource slot [28].



**FIGURE 1.** System model consisting of a transmitting source (S) equipped with multiple antennas, two legitimate receivers ( $D_1$  and  $D_2$ ), and an illegitimate eavesdropper (E).

(including  $D_1$ ,  $D_2$ , and  $E$ ) experience independent Rayleigh fading.

Under NOMA scheme, the signal received at  $D_m$  can be expressed as

$$y_{D_m} = h_{D_m} \left( \sqrt{a_1 P_S} x_1 + \sqrt{a_2 P_S} x_2 \right) + n_m, \quad (1)$$

where  $m = \{1, 2\}$ ,  $h_{D_m}$  denotes the channel coefficient between  $S$  and  $D_m$ ,  $a_m$  represents the power allocation coefficients,  $a_1 + a_2 = 1$ ,  $P_S$  denotes the transmit power at  $S$ , and  $x_m$  represents the messages to  $D_m$ .  $n_m$  is complex additive white Gaussian noise (AWGN) with zero mean and variance  $\sigma_m^2$ . For simplicity, we assume  $\sigma_1^2 = \sigma_2^2 = \sigma^2$ .

In this work, it is assumed that users are not ordered by their channel conditions.<sup>2</sup> Under NOMA scheme [6],  $D_1$  can detect  $x_1$  by using SIC and  $x_1$  will be regarded as interference when  $D_2$  decodes its received signal. Therefore, the received instantaneous signal-to-interference-noise ratio (SINR) of the  $m$ -th user can be given as [6]

$$\gamma_{D_m} = \begin{cases} a_1 \rho |h_{D_1}|^2, & m = 1 \\ \frac{|h_{D_2}|^2 a_2}{|h_{D_2}|^2 a_1 + \frac{1}{\rho}}, & m = 2, \end{cases} \quad (2)$$

where  $\rho = P_S / \sigma^2$  signifies the transmit SNR where  $P_S$  is the transmit power at the  $S$ .

In [27] and [28], the authors assumed that the eavesdropper has enough powerful capabilities to detect multiuser data and extracts the signal to  $D_m$  when it eavesdrops  $D_m$ . It is a pessimistic assumption since the multi-user decoding ability of  $E$  is overestimated.

In this work, we assume  $E$  can eliminate the signal to  $D_2$  by SIC when it eavesdrops  $D_1$  and treats the message to  $D_1$  as noise when it eavesdrops  $D_2$ .<sup>3</sup>

<sup>2</sup>In these scenarios, users are categorized by their quality of service requirements. Similar assumptions can be found in [32], and [33], in which user 1 was assumed to be served opportunistically and user 2 was assumed to be served for small packet transmission.

<sup>3</sup>For the wiretap scenarios with multiple users, it is assumed that the  $m - 1$  users' messages have already been decoded before the eavesdropper tries to decode the  $m$ th user's message. Similar assumptions can be found in [26]. Obviously, this assumption overestimates the eavesdropper's capability and is pessimistic, then our results will be a lower bound of practical cases.

Thus, we have

$$\gamma_{E_m} = \begin{cases} a_1 \rho |h_E|^2, & m = 1 \\ \frac{a_2 |h_E|^2}{a_1 |h_E|^2 + \frac{1}{\rho}}, & m = 2, \end{cases} \quad (3)$$

where  $h_E$  is the channel coefficient and  $\gamma_{E_m}$  is the SINR when it eavesdrops the transmitted signal to receiver  $D_m$  ( $m = 1, 2$ ), respectively. The cumulative distribution function (CDF) of  $\gamma_{k_m}$  ( $k \in \{D, E\}$ ,  $m = 1, 2$ ) can be written as

$$F_{\gamma_{k_1}}(\gamma) = 1 - e^{-\frac{\gamma}{\lambda_{k_1} a_1 \rho}}, \quad (4)$$

$$F_{\gamma_{k_2}}(\gamma) = \begin{cases} 1 - \varphi(\lambda_{k_2}, \gamma), & \gamma < \frac{a_2}{a_1} \\ 1, & \gamma \geq \frac{a_2}{a_1}, \end{cases} \quad (5)$$

respectively, where  $\varphi(\xi, x) = e^{-\frac{x}{\xi \rho (a_2 - a_1 x)}}$ ,  $\lambda_{k_m}$  represents the average channel power gain. In this work, it is assumed that  $\lambda_{E_1} = \lambda_{E_2} = \lambda_E$  for simplification.

The probability density function (PDF) of  $\gamma_{k_m}$  ( $k \in \{D, E\}$ ,  $m = 1, 2$ ) can be obtained as

$$f_{\gamma_{k_1}}(\gamma) = \frac{1}{\lambda_{k_1} a_1 \rho} e^{-\frac{\gamma}{\lambda_{k_1} a_1 \rho}}, \quad (6)$$

$$f_{\gamma_{k_2}}(\gamma) = \begin{cases} \frac{a_2}{\lambda_{k_2} \rho (a_2 - a_1 \gamma)^2} \varphi(\lambda_{k_2}, \gamma), & \gamma < \frac{a_2}{a_1} \\ 0, & \gamma \geq \frac{a_2}{a_1}. \end{cases} \quad (7)$$

### III. SECRECY OUTAGE PROBABILITY ANALYSIS OF A SISO NOMA SYSTEM

In this section, we consider a two-user NOMA system with a single-antenna base station, as motivated by the following reasons: 1) preparing for the performance analysis of MISO NOMA systems; 2) setting up a benchmark for comparing the performance of MISO NOMA systems to testify the enhancement benefited from using the multiple antennas at  $S$ .

The instantaneous secrecy capacity of  $D_m$  ( $m = 1, 2$ ) can be expressed as [25]

$$C_{s,m} = [\log_2(1 + \gamma_{D_m}) - \log_2(1 + \gamma_{E_m})]^+, \quad (8)$$

where  $[x]^+ = \max\{x, 0\}$ .

SOP is defined as the probability that the secrecy capacity is less than a preset target rate. Thus, the SOP at  $D_m$  ( $m = 1, 2$ ) can be expressed as

$$P_{out,D_m} = \Pr\{C_{s,m} \leq R_{s,m}\} = \int_0^\infty F_{\gamma_{D_m}}(\Theta_m \gamma + \Theta_m - 1) f_{\gamma_{E_m}}(\gamma) d\gamma, \quad (9)$$

where  $R_{s,m}$  denotes the target rate at  $D_m$  and  $\Theta_m = 2^{R_{s,m}} \geq 1$ .

Substituting (4) and (6) into (9), and using [34, eq. (3.381.4)], the SOP at  $D_1$  can be expressed as

$$P_{out,D_1} = 1 - \frac{\lambda_{D_1}}{\Theta_1 \lambda_E + \lambda_{D_1}} e^{-\frac{\Theta_1 - 1}{a_1 \rho \lambda_{D_1}}}. \quad (10)$$

Observing (10), one can deduce that the SOP at  $D_1$  will be improved when the transmit SNR ( $\rho$ ) increases. Furthermore, there exists a floor at  $\frac{\Theta_1 \lambda_E}{\Theta_1 \lambda_E + \lambda_{D_1}}$  for  $P_{out,D_1}$  as  $\rho \rightarrow \infty$  since the average secrecy capacity will reach a ceiling, which is testified in [35].

*Lemma 1:* the SOP at  $D_2$  is expressed as

$$P_{out,D_2} = 1 - \frac{a_2 \varpi \pi}{2N \lambda_E \rho} \sum_{n=1}^N \frac{\sqrt{1 - \tau_n^2}}{(a_2 - a_1 \vartheta_n)^2} e^{-B_n}, \quad (11)$$

where  $B_n = \frac{\Theta_2(1+\vartheta_n)-1}{\lambda_{D_2} \rho (a_2 - a_1 (\Theta_2(1+\vartheta_n)-1))} + \frac{\vartheta_n}{\lambda_E \rho (a_2 - a_1 \vartheta_n)}$ ,  $N$  is the number of terms,  $\tau_n = \cos\left(\frac{2n-1}{2N} \pi\right)$  and  $\vartheta_n = \frac{\varpi(\tau_n+1)}{2}$ .

*Proof:* Substituting (5) and (7) into (9), we obtain

$$\begin{aligned} P_{out,D_2} &= \int_0^{\varpi} F_{\gamma_{D_2}}(\Theta_2 \gamma + \Theta_2 - 1) f_{\gamma_{E_2}}(\gamma) d\gamma + \int_{\frac{a_2}{a_1}}^{\varpi} f_{\gamma_{E_2}}(\gamma) d\gamma \\ &= 1 - \underbrace{\int_0^{\varpi} \varphi(\lambda_{D_2}, \Theta_2 \gamma + \Theta_2 - 1) f_{\gamma_{E_2}}(\gamma) d\gamma}_{\triangleq \chi}, \quad (12) \end{aligned}$$

where  $\varpi = \frac{1}{a_1 \Theta_2} - 1 \leq \frac{a_2}{a_1}$ . To the best of the authors' knowledge, it is very difficult to obtain the closed-form expression of  $\chi$ . Using Gaussian-Chebyshev quadrature [36, eq. (25.4.38)],  $\chi$  is approximated as

$$\begin{aligned} \chi &= \frac{a_2}{\lambda_E \rho} \int_0^{\varpi} \frac{1}{(a_2 - a_1 \gamma)^2} \\ &\quad \times e^{-\left(\frac{\Theta_2 \gamma + \Theta_2 - 1}{\lambda_{D_2} \rho (a_2 - a_1 (\Theta_2 - 1 - a_1 \Theta_2 \gamma))} + \frac{\gamma}{\lambda_E \rho (a_2 - a_1 \gamma)}\right)} d\gamma \\ &= \frac{a_2 \varpi \pi}{2N \lambda_E \rho} \sum_{n=1}^N \frac{\sqrt{1 - \tau_n^2}}{(a_2 - a_1 \vartheta_n)^2} e^{-B_n}. \quad (13) \end{aligned}$$

*Remark 1:* We rewrite  $P_{out,D_2}$  as

$$P_{out,D_2} = 1 - \sum_{n=1}^N \frac{A_n}{\rho} e^{-\frac{B_n}{\rho}}, \quad (14)$$

where  $A_n = \frac{a_2 \varpi \pi}{2N \lambda_E} \frac{\sqrt{1 - \tau_n^2}}{(a_2 - a_1 \vartheta_n)^2} > 0$ ,  $B_n > 0$ .

We obtain

$$\frac{d(P_{out,D_2})}{d\rho} = \sum_{n=1}^N \frac{A_n}{\rho^2} e^{-\frac{B_n}{\rho}} \left(1 - \frac{B_n}{\rho}\right). \quad (15)$$

One can find that  $\frac{d(P_{out,D_2})}{d\rho}$  is negative within a certain range ( $\rho$  is lower), else  $\frac{d(P_{out,D_2})}{d\rho}$  is positive. That is to say that  $P_{out,D_2}$  will decrease within a certain range as  $\rho$  increases, else it will increase in the other ranges. There is an optimal  $\rho$  that can obtain the best SOP. This is the main difference between  $P_{out,D_1}$  and  $P_{out,D_2}$ . That means increasing the transmit power may degrade the SOP of two-user NOMA system.

It is assumed that  $E$  is interested only in a specific user's message, but the transmitter does not know which user  $E$

wants to wiretap. Hence the SOP for the two-user NOMA system can be expressed as<sup>4</sup>

$$\begin{aligned} P_{out} &= \Pr\{D_1 \text{ secrecy outage or } D_2 \text{ secrecy outage}\} \\ &= \Pr\{D_1 \text{ secrecy outage, } E \text{ eavesdrops } D_1\} \\ &\quad + \Pr\{D_2 \text{ secrecy outage, } E \text{ eavesdrops } D_2\} \\ &= \Pr\{D_1 \text{ secrecy outage | when } E \text{ eavesdrops } D_1\} \\ &\quad \times \Pr\{E \text{ eavesdrops } D_1\} \\ &\quad + \Pr\{D_2 \text{ secrecy outage | when } E \text{ eavesdrops } D_2\} \\ &\quad \times \Pr\{E \text{ eavesdrops } D_2\} \\ &= P_{out,D_1} \times \Pr\{E \text{ eavesdrops } D_1\} \\ &\quad + P_{out,D_2} \times \Pr\{E \text{ eavesdrops } D_2\}. \quad (16) \end{aligned}$$

$\Pr\{E \text{ eavesdrop } D_1\}$  and  $\Pr\{E \text{ eavesdrop } D_2\}$  can be analyzed based on practical scenarios. To simplify the analysis, it is assumed that  $\Pr\{E \text{ eavesdrop } D_1\} = \Pr\{E \text{ eavesdrop } D_2\} = \frac{1}{2}$ . Then the SOP for the two-user NOMA system is expressed as

$$P_{out} = \frac{1}{2} (P_{out,D_1} + P_{out,D_2}). \quad (17)$$

#### IV. SECRECY OUTAGE PROBABILITY ANALYSIS OF A MISO NOMA SYSTEMS

In this section, the secrecy outage performance of a MISO NOMA system with different TAS schemes utilized at  $S$  is analyzed. Firstly, we analyze the secrecy outage performance with OAS and SAS schemes, depending on whether the global CSI is available at the base station. To better demonstrate the enhancement of TAS schemes, we consider the STT scheme as a benchmark, wherein all the antennas are utilized to send the message with equal power.

##### A. THE OPTIMAL ANTENNA SELECTION SCHEME

In those scenarios where the users play dual roles as legitimate receivers for some signals and eavesdroppers for others, the eavesdropper is active (e.g., in a time-division multiple-access (TDMA) environment) such that the source node can estimate the eavesdropper's channel during the eavesdropper's transmissions [37], [38]. When the CSI of both the wiretap and main links are known at the base station, the antenna that maximizes the secrecy capacity is optimal [29], [30]. In this subsection we will analyze the SOP of MISO NOMA systems while considering  $D_1$  or  $D_2$ , respectively.

Firstly, we consider the case that the secrecy issue of  $D_1$  is more important than the one of  $D_2$ , the transmit antenna is selected based on the secrecy capacity performance of  $D_1$ . The instantaneous secrecy capacity for such scenarios can be expressed as [30]

$$C_{s,1}^{\text{OAS}} = \max_{i \in N_S} \left\{ C_{s,1}^{\text{OAS}} \right\}, \quad (18)$$

<sup>4</sup>In [27] and [28], a different definition of the SOP for the selected user pair was given as  $P_{out} = 1 - (1 - P_{out,D_1})(1 - P_{out,D_2})$ . The results with this definition can be easily obtained with the results of our work and the same conclusion can be observed. Here we assume that  $E$  can not eavesdrop both the users simultaneously.



where  $C_{s,1}^{iOAS} = \left[ \log_2 \left( 1 + \gamma_{D_1}^{iOAS} \right) - \log_2 \left( 1 + \gamma_{E_1}^{iOAS} \right) \right]^+$  is the instantaneous secrecy capacity of  $D_1$  when  $S$  is equipped with a single antenna.

The SOP at  $D_1$  in the MISO NOMA system can be expressed as

$$P_{out,D_1}^{OAS} = \Pr \left\{ \max_{i \in N_S} \left\{ C_{s,1}^{iOAS} \right\} \leq R_{s,1} \right\} = \prod_{i=1}^{N_S} \Pr \left\{ C_{s,1}^{iOAS} \leq R_{s,1} \right\} = \left( P_{out,D_1}^{iOAS} \right)^{N_S}, \quad (19)$$

where  $P_{out,D_1}^{iOAS} = \Pr \left\{ C_{s,1}^{iOAS} \leq R_{s,1} \right\}$  is the SOP at  $D_1$  when  $S$  is equipped with a single antenna. Obviously, we have  $P_{out,D_1}^{iOAS} = P_{out,D_1}$ .

It is noted that selecting the optimal transmit antenna for  $D_1$  corresponds to selecting a random transmit antenna for  $D_2$ , which means the SOP at  $D_2$  in this scenario can also be given by (12).

When the transmitting antenna is selected based on  $D_1$ , the overall SOP can be expressed as

$$P_{out}^{OAS,1} = \frac{1}{2} \left( \left( P_{out,D_1} \right)^{N_S} + P_{out,D_2} \right). \quad (20)$$

Similarly, we obtain the SOP with OAS scheme based on  $D_2$  as

$$P_{out}^{OAS,2} = \frac{1}{2} \left( P_{out,D_1} + \left( P_{out,D_2} \right)^{N_S} \right). \quad (21)$$

### B. THE SUBOPTIMAL ANTENNA SELECTION SCHEME

When the CSI of the wiretap link is not available at the base station, the best transmit antenna is selected to maximize the capacity of the main channel [29], [30].<sup>5</sup> We analyze the secrecy outage performance for MISO NOMA systems while considering  $D_1$  or  $D_2$ , respectively.

#### 1) SAS SCHEME CONSIDERING $D_1$

When  $D_1$  is more important than  $D_2$  for some practical reasons, the transmit antenna is selected based on the capacity of  $S - D_1$  link, which means  $\gamma_{D_1}^{SAS,1} = \max_{1 \leq i \leq N_S} \{ \gamma_{S_i D_1} \}$ , where  $\gamma_{S_i D_1}$  means the received instantaneous SINR at the near user from the  $i$ -th antenna at  $S$ . The CDF and PDF of  $\gamma_{S_i D_1}$  are the same as (4) and (6). Then the CDF of  $\gamma_{D_1}^{SAS,1}$  can be obtained as

$$F_{\gamma_{D_1}^{SAS,1}}(\gamma) = \left( F_{\gamma_{D_1}}(\gamma) \right)^{N_S} = \sum_{i=0}^{N_S} \frac{(-1)^i N_S!}{i! (N_S - i)!} e^{-\frac{i\gamma}{\lambda_{D_1} a_1 \rho}}. \quad (22)$$

Note that selecting the optimal transmit antenna for  $D_1$  corresponds to selecting a random transmit antenna for  $D_2$  and  $E$ , which means the CDF and PDF of  $\gamma_{D_2}^{SAS,1}$  and  $\gamma_{E_m}^{SAS,1}$  are same

<sup>5</sup>Note that selecting the strongest transmit antenna for the destination node corresponds to selecting a random transmit antenna for  $E$ . Thus the secrecy capacity with this scheme may not be the maximum and then this scheme is called suboptimal antenna selection scheme.

as (5) and (7), respectively. Thus the SOP at  $D_2$  in this case is given by (12).

Substituting (7) and (22) into (9) and making use of [34, eq. (3.351.3)], we obtain

$$P_{out,D_2}^{SAS} = \int_0^\infty F_{\gamma_{D_1}^{SAS,1}}(\Theta_1(1+\gamma) - 1) f_{\gamma_{E_2}}(\gamma) d\gamma = \sum_{i=0}^{N_S} \frac{(-1)^i N_S! \lambda_{D_1}}{i! (N_S - i)! (i\Theta_1 \lambda_E + \lambda_{D_1})} e^{-\frac{i(\Theta_1 - 1)}{a_1 \rho \lambda_{D_1}}}. \quad (23)$$

The SOP that maximizes the capacity of  $S - D_1$  link is obtained by substituting (12) and (23) into (17) as

$$P_{out}^{SAS,1} = \frac{1}{2} \left( P_{out,D_1}^{SAS} + P_{out,D_2} \right). \quad (24)$$

#### 2) SAS SCHEME CONSIDERING $D_2$

Similarly, when transmit antenna is selected based on the capacity of  $S - D_2$  link, the CDF and PDF of  $\gamma_{D_1}^{SAS,2}$  and  $\gamma_{E_m}^{SAS,2}$  are same as (5) and (7), respectively. The SOP at  $D_1$  in this case is given by (10).

The CDF of  $\gamma_{D_2}^{SAS,2}$  is obtained as

$$F_{\gamma_{D_2}^{SAS,2}}(\gamma) = \begin{cases} \sum_{i=0}^{N_S} \frac{(-1)^i N_S!}{i! (N_S - i)!} e^{-\frac{i\gamma}{\lambda_{D_2} \rho (a_2 - a_1 \gamma)}}, & \gamma < \frac{a_2}{a_1} \\ 1, & \gamma \geq \frac{a_2}{a_1}. \end{cases} \quad (25)$$

*Lemma 2:* The SOP at  $D_2$  in the case with SAS scheme considering  $D_2$  is expressed as

$$P_{out,D_2}^{SAS} = \frac{\varpi \pi a_2 N_S!}{2 \rho N \lambda_E} \sum_{i=0}^{N_S} \sum_{n=1}^N \frac{(-1)^i \sqrt{1 - \tau_n^2} e^{-\Phi}}{i! (N_S - i)! (a_2 - a_1 \vartheta_n)^2} + e^{-\frac{\varpi}{\lambda_E \rho (a_2 - a_1 \varpi)}}, \quad (26)$$

where  $\Phi = \frac{i(\Theta_2(1+\vartheta_n)-1)}{\lambda_{D_2} \rho (a_2 - a_1 (\Theta_2(1+\vartheta_n)-1))} + \frac{\vartheta_n}{\lambda_E \rho (a_2 - a_1 \vartheta_n)}$ .

*Proof:* Substituting (7) and (25) into (9), we obtain

$$P_{out,D_2}^{SAS} = \int_0^\varpi F_{\gamma_{D_2}^{SAS,2}}(\Theta_2(1+\gamma) - 1) f_{\gamma_{E_2}}(\gamma) d\gamma = \underbrace{\int_0^\varpi F_{\gamma_{D_2}^{SAS,2}}(\Theta_2(1+\gamma) - 1) f_{\gamma_{E_2}}(\gamma) d\gamma}_{I_1^{SAS,2}} + \underbrace{\int_{\frac{a_2}{a_1}}^\varpi f_{\gamma_{E_2}}(\gamma) d\gamma}_{I_2^{SAS,2}}. \quad (27)$$

Using (7), (25) and Gaussian-Chebyshev quadrature method, we can achieve

$$I_1^{SAS,2} = \sum_{i=0}^{N_S} \frac{(-1)^i a_2 N_S!}{i! (N_S - i)! \lambda_E \rho} \int_0^\varpi \frac{1}{(a_2 - a_1 \gamma)^2} \times e^{-\frac{i(\Theta_2(1+\gamma)-1)}{\lambda_{D_2} \rho (a_2 - a_1 (\Theta_2(1+\gamma)-1))} - \frac{\gamma}{\lambda_E \rho (a_2 - a_1 \gamma)}} d\gamma = \frac{\varpi \pi a_2 N_S!}{2 \rho N \lambda_E} \sum_{i=0}^{N_S} \sum_{n=1}^N \frac{(-1)^i \sqrt{1 - \tau_n^2} e^{-\Phi}}{i! (N_S - i)! (a_2 - a_1 \vartheta_n)^2}. \quad (28)$$

Using (7), we can easily obtain

$$I_2^{\text{SAS},2} = e^{-\frac{\varpi}{\lambda_E \rho (a_2 - a_1 \varpi)}}. \quad (29)$$

The SOP in this case is obtained by substituting (10) and (26) into (17) as

$$P_{out}^{\text{SAS},2} = \frac{1}{2} (P_{out,D_1} + P_{out,D_2}^{\text{SAS}}). \quad (30)$$

### C. THE SPACE-TIME TRANSMISSION SCHEME

To demonstrate the enhancement of TAS scheme, in this subsection we analyze the SOP with the traditional STT scheme wherein all the antennas are utilized to transmit signals with power  $\frac{P_S}{N_S}$  [29], [30]. It is assumed that the selection combination (SC) scheme is utilized at both legitimate and illegitimate receivers, which means  $\gamma_{k_m}^{\text{STT}} = \max_{1 \leq i \leq N_S} \{\gamma_{S_i k_m}\}$ . With some simple algebraic manipulations, the CDF and PDF of  $\gamma_{k_m}$  ( $k \in \{D, E\}$ ,  $m = 1, 2$ ) can be expressed as

$$F_{\gamma_{k_1}}^{\text{STT}}(\gamma) = \sum_{i=0}^{N_S} \frac{(-1)^i N_S!}{i! (N_S - i)!} e^{-\frac{i N_S \gamma}{\lambda_{k_1} a_1 \rho}}, \quad (31)$$

$$F_{\gamma_{k_2}}^{\text{STT}}(\gamma) = \begin{cases} \sum_{i=0}^{N_S} \frac{(-1)^i N_S!}{i! (N_S - i)!} e^{-\frac{i N_S \gamma}{\lambda_{k_2} \rho (a_2 - a_1 \gamma)}}, & \gamma < \frac{a_2}{a_1} \\ 1, & \gamma \geq \frac{a_2}{a_1}, \end{cases} \quad (32)$$

$$f_{\gamma_{k_1}}^{\text{STT}}(\gamma) = \frac{N_S^2}{\lambda_{k_1} a_1 \rho} \sum_{i=0}^{N_S-1} \frac{(-1)^i (N_S - 1)!}{i! (N_S - 1 - i)!} e^{-\frac{(i+1) N_S \gamma}{\lambda_{k_1} a_1 \rho}}, \quad (33)$$

$$f_{\gamma_{k_2}}^{\text{STT}}(\gamma) = \begin{cases} \frac{a_2 N_S^2}{\lambda_{k_2} \rho (a_2 - a_1 \gamma)^2} \sum_{i=0}^{N_S-1} \frac{(-1)^i}{i!} \\ \times \frac{(N_S - 1)!}{(N_S - 1 - i)!} e^{-\frac{(i+1) N_S \gamma}{\lambda_{k_2} \rho (a_2 - a_1 \gamma)}}, & \gamma < \frac{a_2}{a_1} \\ 0, & \gamma \geq \frac{a_2}{a_1}, \end{cases} \quad (34)$$

respectively.

Substituting (31) and (33) into (9) and making use of [34, eq. (3.351.3)], we obtain

$$\begin{aligned} P_{out,D_1}^{\text{STT}} &= \int_0^\infty F_{\gamma_{D_1}}^{\text{STT}}(\Theta_1 \gamma + \Theta_1 - 1) f_{\gamma_{E_1}}^{\text{STT}}(\gamma) d\gamma \\ &= \frac{N_S^2}{\lambda_E a_1 \rho} \sum_{i=0}^{N_S} \sum_{j=0}^{N_S-1} \frac{(-1)^{i+j} N_S!}{i! (N_S - i)! j! (N_S - 1 - j)!} \\ &\quad \times e^{-\frac{i N_S (\Theta_1 - 1)}{\lambda_{D_1} a_1 \rho}} \int_0^\infty e^{-\frac{(i \Theta_1 \lambda_E + (j+1) \lambda_{D_1}) N_S \gamma}{\lambda_{D_1} \lambda_E a_1 \rho}} d\gamma \\ &= \sum_{i=0}^{N_S} \sum_{j=0}^{N_S-1} \frac{(-1)^{i+j} N_S!}{i! (N_S - i)! j! (N_S - 1 - j)!} \\ &\quad \times \frac{N_S \lambda_{D_1}}{i \Theta_1 \lambda_E + \lambda_{D_1} (j+1)} e^{-\frac{i N_S (\Theta_1 - 1)}{\lambda_{D_1} a_1 \rho}}. \end{aligned} \quad (35)$$

*Lemma 3:* The SOP at  $D_2$  in the case with STT scheme is expressed as

$$\begin{aligned} P_{out,D_2}^{\text{STT}} &= \frac{a_2 \varpi \pi N_S^2}{2 \rho N \lambda_E} \sum_{i=0}^{N_S} \sum_{j=0}^{N_S-1} \sum_{n=1}^N \frac{\psi \sqrt{1 - \tau_n^2} e^{-\Psi}}{(a_2 - a_1 \vartheta_n)^2} \\ &\quad + 1 - \sum_{i=0}^{N_S} \frac{(-1)^i N_S!}{i! (N_S - i)!} e^{-\frac{i \varpi N_S}{\lambda_E \rho (a_2 - a_1 \varpi)}}, \end{aligned} \quad (36)$$

where

$$\psi = \frac{(-1)^{j+i} N_S!}{i! (N_S - i)! j! (N_S - 1 - j)!} \frac{(N_S - 1)!}{(N_S - 1)!}$$

and

$$\Psi = \frac{i N_S (\Theta_2 \vartheta_n + \Theta_2 - 1)}{\lambda_{D_2} \rho (a_2 - a_1 (\Theta_2 \vartheta_n + \Theta_2 - 1))} + \frac{(j+1) N_S \vartheta_n}{\lambda_E \rho (a_2 - a_1 \vartheta_n)}.$$

*Proof:* Substituting (32) and (34) into (9), we obtain

$$\begin{aligned} P_{out,D_2}^{\text{STT}} &= \int_0^\varpi \underbrace{F_{\gamma_{D_2}}^{\text{STT}}(\Theta_2 \gamma + \Theta_2 - 1) f_{\gamma_{E_2}}^{\text{STT}}(\gamma) d\gamma}_{I_1^{\text{STT}}} \\ &\quad + \int_{\frac{a_2}{a_1}}^\varpi \underbrace{f_{\gamma_{E_2}}^{\text{STT}}(\gamma) d\gamma}_{I_2^{\text{STT}}}. \end{aligned} \quad (37)$$

Utilizing (32), (34), and Gaussian-Chebyshev quadrature method, we obtain

$$\begin{aligned} I_1^{\text{STT}} &= \frac{a_2 N_S^2}{\rho \lambda_E} \sum_{i=0}^{N_S} \sum_{j=0}^{N_S-1} \psi \int_0^\varpi \frac{1}{(a_2 - a_1 \gamma)^2} \\ &\quad \times e^{-\frac{i N_S (\Theta_2 \gamma + \Theta_2 - 1)}{\lambda_{D_2} \rho (a_2 - a_1 (\Theta_2 \gamma + \Theta_2 - 1))} - \frac{(j+1) N_S \gamma}{\lambda_E \rho (a_2 - a_1 \gamma)}} d\gamma \\ &= \frac{a_2 \varpi \pi N_S^2}{2 \rho N \lambda_E} \sum_{i=0}^{N_S} \sum_{j=0}^{N_S-1} \sum_{n=1}^N \frac{\psi \sqrt{1 - \tau_n^2} e^{-\Psi}}{(a_2 - a_1 \vartheta_n)^2}. \end{aligned} \quad (38)$$

Using (32), we obtain

$$I_2^{\text{STT}} = 1 - \sum_{i=0}^{N_S} \frac{(-1)^i N_S!}{i! (N_S - i)!} e^{-\frac{i \varpi N_S}{\lambda_E \rho (a_2 - a_1 \varpi)}}. \quad (39)$$

Finally, substituting (35) and (36) into (17), the SOP of MISO NOMA system with STT scheme is obtained as

$$P_{out}^{\text{STT}} = \frac{1}{2} (P_{out,D_1}^{\text{STT}} + P_{out,D_2}^{\text{STT}}). \quad (40)$$

### V. ASYMPTOTIC SECURITY OUTAGE PROBABILITY ANALYSIS

In order to get more insights, we analyze the secrecy outage performance in the high SINR regime, which is mathematically described as  $\lambda_{D_2} \rightarrow \infty$  and  $\lambda_{D_1} = \beta \lambda_{D_2}$  ( $\beta > 1$ ). The secrecy diversity order is expressed as [30]

$$G_{d,m} = - \lim_{\lambda_{D_2} \rightarrow \infty} \frac{\ln P_{out,D_m}^\infty}{\ln \lambda_{D_2}}, \quad (41)$$

where  $P_{out,D_m}^\infty$  denotes the asymptotic SOP.

**A. SISO NOMA SYSTEMS**

Using (4), (5), and  $e^x = \sum_{m=0}^k \frac{x^m}{m!} + \mathcal{O}(x^k)$ , we obtain  $F_{\gamma_{D_1}}^\infty(\gamma)$  and  $F_{\gamma_{D_2}}^\infty(\gamma)$  as

$$F_{\gamma_{D_1}}^\infty(\gamma) = \frac{\gamma}{\beta\lambda_{D_2}a_1\rho}, \tag{42}$$

$$F_{\gamma_{D_2}}^\infty(\gamma) = \begin{cases} \frac{\gamma}{\lambda_{D_2}\rho(a_2 - a_1\gamma)} & \gamma < \frac{a_2}{a_1} \\ 1, & \gamma \geq \frac{a_2}{a_1} \end{cases} \tag{43}$$

respectively.

Substituting (6) and (42) into (9) and making use of (3.381.4) of [34], we obtain the asymptotic SOP at  $D_1$  as

$$P_{out,D_1}^\infty = \int_0^\infty F_{\gamma_{D_1}}^\infty(\Theta_1(1+\gamma) - 1)f_{\gamma_{E_1}}(\gamma) d\gamma = \frac{\Theta_1 - 1 + \Theta_1\lambda_E a_1\rho}{\beta\lambda_{D_2}a_1\rho}. \tag{44}$$

Similarly, making use of Gaussian-Chebyshev quadrature method, the asymptotic SOP at  $D_2$  is obtained as

$$P_{out,D_2}^\infty = \int_0^\varpi F_{\gamma_{D_2}}^\infty(\Theta_2\gamma + \Theta_2 - 1)f_{\gamma_{E_2}}(\gamma) d\gamma + \int_\varpi^{\frac{a_2}{a_1}} f_{\gamma_{E_2}}(\gamma) d\gamma = e^{-\frac{\varpi}{\lambda_E\rho(a_2 - a_1\varpi)}} + \frac{a_2}{\lambda_{D_2}\lambda_E\rho^2} \times \int_0^\varpi \frac{(\Theta_2\gamma + \Theta_2 - 1)e^{-\frac{\gamma}{\lambda_E\rho(a_2 - a_1\gamma)}} d\gamma}{(a_2 - a_1(\Theta_2(1+\gamma) - 1))(a_2 - a_1\gamma)^2} = e^{-\frac{\varpi}{\lambda_E\rho(a_2 - a_1\varpi)}} + \frac{a_2\varpi\pi}{2N\lambda_{D_2}\lambda_E\rho^2} \times \sum_{n=1}^N \frac{(\Theta_2(1+\vartheta_n) - 1)\sqrt{1 - \tau_n^2}e^{-\frac{\vartheta_n}{\lambda_E\rho(a_2 - a_1\vartheta_n)}}}{(a_2 - a_1(\Theta_2(1+\vartheta_n) - 1))(a_2 - a_1\vartheta_n)^2}. \tag{45}$$

*Remark 2:* Based on (44) and (45), one can easily obtain the secrecy diversity order of the near and far users as 1 and 0, respectively. This is because  $P_{out,D_2}^\infty$  will become a constant when  $\lambda_{D_2} \rightarrow \infty$ . Furthermore, this means that increasing the transmit power does not have any impact on the secrecy performance of  $D_2$ .

Finally, the overall SOP is obtained by substituting (44) and (45) into (17). The secrecy diversity order of SISO NOMA system is easily obtained as  $G_d^{\text{SISO}} = 0$  since the secrecy diversity order of two-user NOMA is determined by the one that has small diversity order [28].

**B. MISO NOMA SYSTEMS WITH SAS SCHEME**

When  $\lambda_{D_2} \rightarrow \infty$  and  $\lambda_{D_1} = \beta\lambda_{D_2}$ , we have

$$F_{\gamma_{D_1}}^{\infty,\text{SAS}}(\gamma) = \frac{\gamma^{N_S}}{(\beta\lambda_{D_2}a_1\rho)^{N_S}}, \tag{46}$$

$$F_{\gamma_{D_2}}^{\infty,\text{SAS}}(\gamma) = \begin{cases} \frac{\gamma^{N_S}}{(\lambda_{D_2}\rho(a_2 - a_1\gamma))^{N_S}} & \gamma < \frac{a_2}{a_1} \\ 1, & \gamma \geq \frac{a_2}{a_1} \end{cases} \tag{47}$$

By substituting (6) and (46) into (9) and using of [34, eq. (3.351.3)], we obtain

$$P_{out,D_1}^{\infty,\text{SAS}} = \int_0^\infty F_{\gamma_{D_1}}^{\infty,\text{SAS}}(\Theta_1(1+\gamma) - 1)f_{\gamma_{E_1}}(\gamma) d\gamma = \frac{N_S!}{\lambda_E(\beta\lambda_{D_2})^{N_S}(a_1\rho)^{N_S+1}} \times \sum_{k=0}^{N_S} \frac{\Theta_1^k(\Theta_1 - 1)^{N_S-k}}{k!(N_S - k)!} \int_0^\infty \gamma^k e^{-\frac{\gamma}{\lambda_E a_1\rho}} d\gamma = \frac{N_S!}{\beta^{N_S}\lambda_{D_2}^{N_S}} \sum_{k=0}^{N_S} \frac{\Theta_1^k(\Theta_1 - 1)^{N_S-k}\lambda_E^k}{(N_S - k)!(a_1\rho)^{N_S-k}}. \tag{48}$$

Substituting (7) and (47) into (27) and utilizing Gaussian-Chebyshev quadrature method, we obtain

$$P_{out,D_2}^{\infty,\text{SAS}} = \int_0^\infty F_{\gamma_{D_2}}^{\infty,\text{SAS}}(\Theta_2(1+\gamma) - 1)f_{\gamma_{E_2}}(\gamma) d\gamma = I_2^{\text{SAS},2} + \int_0^\varpi \frac{a_2 e^{-\frac{\gamma}{\lambda_E\rho(a_2 - a_1\gamma)}}}{\lambda_E\rho(a_2 - a_1\gamma)^2} (\Theta_2(1+\gamma) - 1)^{N_S} d\gamma \times \frac{1}{(\lambda_{D_2}\rho(a_2 - a_1(\Theta_2(1+\gamma) - 1)))^{N_S}} = I_2^{\text{SAS},2} + \Xi_1 \sum_{n=1}^N e^{-\frac{\vartheta_n}{\lambda_E\rho(a_2 - a_1\vartheta_n)}} \times \frac{\sqrt{1 - \tau_n^2}(\Theta_2(1+\vartheta_n) - 1)^{N_S}}{(1 - a_1\Theta_2(1+\vartheta_n))^{N_S}(a_2 - a_1\vartheta_n)^2}, \tag{49}$$

where  $\Xi_1 = \frac{\varpi\pi a_2}{2N\lambda_E\rho^{N_S+1}\lambda_{D_2}^{N_S}}$ .

Substituting (48) and (49) into (24) and (30), respectively, the asymptotic SOP for MISO NOMA system with SAS scheme is obtained. The secrecy diversity order for SAS scheme while considering  $D_1$  or  $D_2$  is  $N_S$  and 0, respectively. One can easily obtain the diversity order of two-user MISO NOMA system for SAS scheme considering  $D_1$  and  $D_2$  as

$$G_d^{\text{SAS},1} = G_d^{\text{SAS},2} = 0. \tag{50}$$

**C. MISO NOMA SYSTEMS WITH OAS SCHEME**

Substituting (44) and (45) into (20) and (21), respectively, the asymptotic SOP for MISO NOMA system with OAS schemes while considering a single user is obtained, respectively. The secrecy diversity order of two-user MISO NOMA system for these two cases is obtained as  $G_d^{\text{OAS},1} = G_d^{\text{OAS},2} = 0$ .

**D. MISO NOMA SYSTEMS WITH STT SCHEME**

When  $\lambda_{D_2} \rightarrow \infty$  and  $\lambda_{D_1} = \beta\lambda_{D_2}$ , we have

$$F_{\gamma_{D_1}}^{\infty,STT}(\gamma) = \frac{N_S^{N_S} \gamma^{N_S}}{(\beta\lambda_{D_2} a_1 \rho)^{N_S}}, \quad (51)$$

$$F_{\gamma_{D_2}}^{\infty,STT}(\gamma) = \begin{cases} \frac{N_S^{N_S} \gamma^{N_S}}{(\lambda_{D_2} \rho (a_2 - a_1 \gamma))^{N_S}}, & \gamma < \frac{a_2}{a_1} \\ 1, & \gamma \geq \frac{a_2}{a_1}. \end{cases} \quad (52)$$

Utilizing (33), (51), and [34, eq. (3.351.3)], we obtain

$$\begin{aligned} P_{out,D_1}^{\infty,STT} &= \int_0^{\infty} F_{\gamma_{D_1}}^{\infty,STT}(\Theta_1(1+\gamma) - 1) f_{\gamma_{E_1}}^{STT}(\gamma) d\gamma \\ &= \frac{N_S^{N_S+2}}{\lambda_E a_1 \rho (\beta\lambda_{D_2} a_1 \rho)^{N_S}} \sum_{i=0}^{N_S-1} \sum_{k=0}^{N_S} \int_0^{\infty} \gamma^k e^{-\frac{(i+1)N_S \gamma}{\lambda_E a_1 \rho}} d\gamma \\ &\quad \times \frac{(-1)^i (N_S - 1)! N_S! (\Theta_1 - 1)^{N_S-k} \Theta_1^k}{i! (N_S - 1 - i)! k! (N_S - k)!} \\ &= \frac{(N_S - 1)! N_S!}{\beta^{N_S} \lambda_{D_2}^{N_S}} \sum_{i=0}^{N_S-1} \sum_{k=0}^{N_S} \frac{(-1)^i N_S^{N_S-k+1}}{i! (N_S - 1 - i)!} \\ &\quad \times \frac{(\Theta_1 - 1)^{N_S-k} (\Theta_1 \lambda_E)^k \Gamma(k+1)}{k! (N_S - k)! (i+1)^{k+1} (a_1 \rho)^{N_S-k}}. \end{aligned} \quad (53)$$

Using (34), (52), and Gaussian-Chebyshev quadrature method, we obtain

$$\begin{aligned} P_{out,D_2}^{\infty,STT} &= \int_0^{\infty} F_{\gamma_{D_2}}^{\infty,STT}(\Theta_2(1+\gamma) - 1) f_{\gamma_{E_2}}^{STT}(\gamma) d\gamma \\ &= I_2^{STT} + \frac{a_2 N_S^{N_S+2}}{\lambda_E \rho^{N_S+1} \lambda_{D_2}^{N_S}} \sum_{j=0}^{N_S-1} \frac{(-1)^j (N_S - 1)!}{j! (N_S - 1 - j)!} \\ &\quad \times \int_0^{\infty} \frac{(\Theta_2(1+\gamma) - 1)^{N_S} e^{-\frac{N_S(j+1)\gamma}{\lambda_E \rho (a_2 - a_1 \gamma)}} d\gamma}{(\lambda_{D_2} \rho (a_2 - a_1 (\Theta_2(1+\gamma) - 1)))^{N_S} (a_2 - a_1 \gamma)^2} \\ &= I_2^{STT} + \Xi_2 \sum_{j=0}^{N_S-1} \sum_{n=1}^N \frac{(-1)^j}{j! (N_S - 1 - j)!} \\ &\quad \times \frac{\sqrt{1 - \tau_n^2} (\Theta_2 \vartheta_n + \Theta_2 - 1)^{N_S} e^{-\frac{N_S(j+1)\vartheta_n}{\lambda_E \rho (a_2 - a_1 \vartheta_n)}}}{(a_2 - a_1 (\Theta_2 \vartheta_n + \Theta_2 - 1))^{N_S} (a_2 - a_1 \vartheta_n)^2}, \end{aligned} \quad (54)$$

where  $\Xi_2 = \frac{a_2 \pi N_S^{N_S+2} (N_S - 1)!}{2N \lambda_E \rho^{N_S+1} \lambda_{D_2}^{N_S}}$ .

Substituting (53) and (54) into (40), the asymptotic SOP for MISO NOMA system with STT scheme is obtained. One can obtain the secrecy diversity order of the near and far users in this case as  $N_S$  and 0, respectively. That is to say, the diversity order of two-user MISO NOMA system with STT scheme is 0.

*Remark 3:* Observing the obtained analytical results above, one can deduce that the diversity order of all the TAS schemes is zero. This is because the diversity order of the far user is zero with the fixed power allocation scheme, which determines the diversity order of two-user NOMA system.

**VI. A POWER ALLOCATION METHOD TO ACHIEVE NON-ZERO DIVERSITY ORDER**

In this subsection, a new variable power allocation method is proposed to achieve non-zero diversity order.

Let  $\frac{a_2}{a_1} = \alpha \lambda_{D_2}^\varphi$  ( $0 < \varphi < 1$ ) ( $\alpha > 0$ ). Considering  $a_2 + a_1 = 1$ , we can obtain

$$\begin{cases} a_1 = \frac{1}{1 + \alpha \lambda_{D_2}^\varphi} \\ a_2 = \frac{\alpha \lambda_{D_2}^\varphi}{1 + \alpha \lambda_{D_2}^\varphi}. \end{cases} \quad (55)$$

**A. SISO NOMA SYSTEMS**

Utilizing (5), (55), and  $e^x = \sum_{m=0}^k \frac{x^m}{m!} + \mathcal{O}(x^k)$ , we obtain

$$F_{\gamma_{D_2}}^{\infty}(\gamma) \text{ as } F_{\gamma_{D_2}}^{\infty}(\gamma) = \frac{\gamma}{a_2 \rho \lambda_{D_2}}. \quad (56)$$

When  $\lambda_{D_2} \rightarrow \infty$ , the instantaneous SINR of the eavesdropper eavesdropping the transmitted signal at the receiver  $D_2$  can be given as

$$\gamma_{E_2} = \frac{|h_E|^2 a_2}{|h_E|^2 a_1 + \frac{1}{\rho}} \approx a_2 \rho |h_E|^2. \quad (57)$$

Based on (57), the PDF of the eavesdropper applicable to the new power allocation method can be written as

$$f_{\gamma_{E_2}}^{\text{non}}(\gamma) = \frac{1}{a_2 \rho \lambda_E} e^{-\frac{\gamma}{a_2 \rho \lambda_E}}. \quad (58)$$

Substituting (55) into (44), we obtain the asymptotic SOP at  $D_1$  as

$$P_{out,D_1}^{\infty} = \frac{(1 + \alpha \lambda_{D_2}^\varphi) (\Theta_1 - 1) + \rho \lambda_E \Theta_1}{\beta \lambda_{D_2} \rho}. \quad (59)$$

Substituting (56) and (58) into (9) and making use of [34, eq. (3.381.4)], we obtain

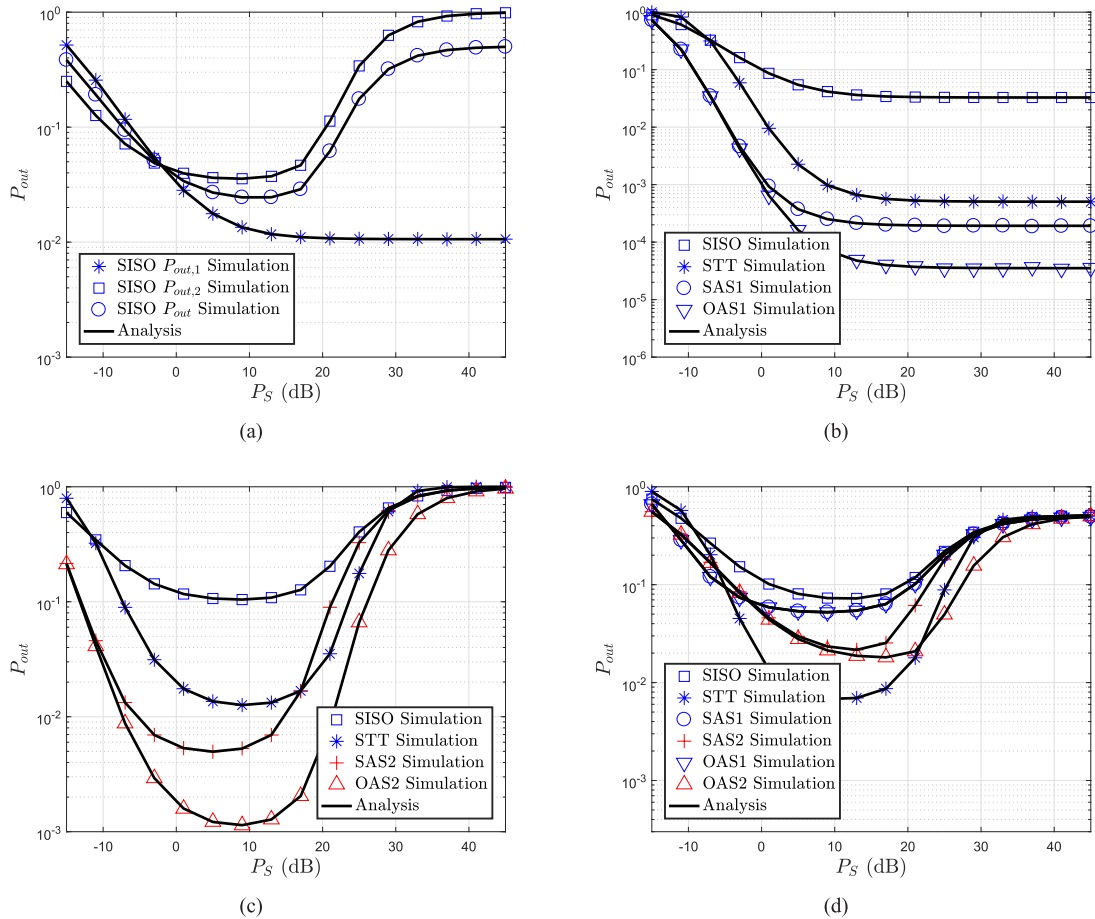
$$P_{out,D_2}^{\infty} = \frac{\Theta_2 a_2 \rho \lambda_E + \Theta_2 - 1}{a_2 \rho \lambda_{D_2}}. \quad (60)$$

From (59) and (60), one can easily obtain the diversity order for two users with the proposed power allocation scheme is  $1 - \varphi$  and 1, respectively. This means the diversity order of the far user increases by decreasing the diversity order of the near user. Thus, the secrecy diversity order of two-user NOMA is improved by

$$G_d^{\text{SISO}} = 1 - \varphi. \quad (61)$$

*Remark 4:* The power allocation scheme proposed in this paper is able to recover the diversity order by balancing the diversity gain of the near user and the diversity gain of the far user based on the channel gains.





**FIGURE 2.** SOP for various  $P_S$  with  $\lambda_{D_1} = 15$  dB,  $\lambda_{D_2} = 10$  dB,  $\lambda_E = -5$  dB,  $\alpha_1 = 0.1$ , and  $\alpha_2 = 0.9$ . (a) SOP of a SISO NOMA system. (b) SOP for  $D_1$ . (c) SOP for  $D_2$ . (d) SOP for the two-user NOMA system.

**B. MISO NOMA SYSTEMS WITH SAS SCHEME**

Utilizing (55) into (25), and  $e^x = \sum_{m=0}^k \frac{x^m}{m!} + O(x^k)$ , we obtain

$$F_{\gamma_{D_2}}^{\infty, SAS}(\gamma) = \frac{\gamma^{N_S}}{(\alpha_2 \rho \lambda_{D_2})^{N_S}}. \tag{62}$$

Substituting (55) into (48), we obtain the asymptotic SOP at  $D_1$  as

$$P_{out, D_1}^{\infty, SAS} = \sum_{k=0}^{N_S} \frac{N_S! \Theta_1^k (\Theta_1 - 1)^{N_S - k} \lambda_E^k (1 + \alpha \lambda_{D_2} \varphi)^{N_S - k}}{(N_S - k)! \beta^{N_S} \lambda_{D_2}^{N_S} (\rho)^{N_S - k}}. \tag{63}$$

Substituting (62) and (58) into (9) and making use of [34, eq. (3.381.4)], we obtain

$$P_{out, D_2}^{\infty, SAS} = \frac{N_S!}{(\lambda_{D_2} \rho \alpha_2)^{N_S} \lambda_E \rho \alpha_2} \times \sum_{k=0}^{N_S} \frac{(\Theta_2 - 1)^{N_S - k} \Theta_2^k}{k! (N_S - k)!} \int_0^\infty \gamma^k e^{-\frac{\gamma}{\lambda_E \rho \alpha_2}} d\gamma = \frac{N_S!}{\lambda_{D_2}^{N_S}} \sum_{k=0}^{N_S} \frac{(\Theta_2 - 1)^{N_S - k} \Theta_2^k \lambda_E^k}{(N_S - k)! (\rho \alpha_2)^{N_S - k}}. \tag{64}$$

Based on (63), we easily obtain the diversity order for  $D_1$  with SAS scheme considering  $D_1$  as  $(1 - \varphi) N_S$ . Similarly, the diversity order for  $D_2$  with SAS scheme considering  $D_2$  is obtained as  $N_S$  from (64). Note that the SOP for  $D_2$  with SAS scheme considering  $D_1$  is the same as  $D_2$  in SISO scenario, and the SOP for  $D_1$  with SAS scheme considering  $D_2$  is the same as  $D_1$  in SISO scenario. Thus, the diversity order of the two-user MISO NOMA system with SAS scheme under the proposed power allocation scheme is

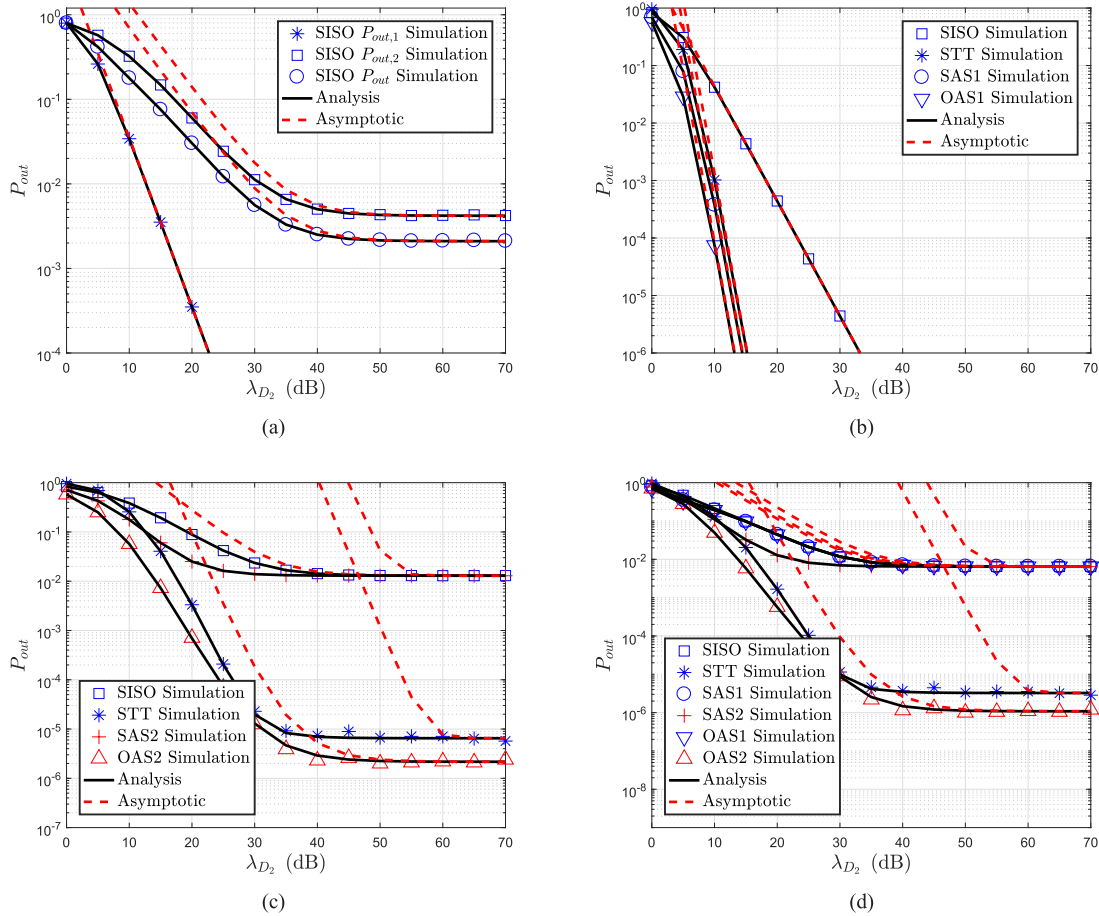
$$G_d^{SAS, 1} = \min \{ (1 - \varphi) N_S, 1 \}, \tag{65}$$

$$G_d^{SAS, 2} = 1 - \varphi, \tag{66}$$

respectively.

**C. MISO NOMA SYSTEMS WITH OAS SCHEME**

Based on (19), we can easily obtain the diversity order for the two users with OAS scheme scenario as  $(1 - \varphi) N_S$  and  $N_S$ , respectively. Note that the SOP for  $D_2$  with OAS scheme considering  $D_1$  is the same as  $D_2$  in SISO scenario, and the SOP for  $D_1$  with OAS scheme considering  $D_2$  is the same as  $D_1$  in SISO scenario. Finally, we obtain the diversity order for the two-user MISO NOMA system with OAS scheme



**FIGURE 3.** SOP for various  $\lambda_{D_2}$  with  $\lambda_E = 5$  dB,  $N_S = 3$ ,  $\alpha_1 = 0.1$ ,  $\alpha_2 = 0.9$ ,  $\beta = 1.2$ , and  $P_S = 10$  dB. (a) SOP of a SISO NOMA system. (b) SOP for  $D_1$ . (c) SOP for  $D_2$ . (d) SOP for the two-user NOMA system.

under the proposed power allocation scheme as

$$G_d^{OAS,1} = \min \{(1 - \varphi) N_S, 1\}, \quad (67)$$

$$G_d^{OAS,2} = 1 - \varphi, \quad (68)$$

*Remark 5:* It should be noted that the SAS/OAS considering the near user is more beneficial in terms of diversity gain, which means  $G_d^1 > G_d^2$ .

#### D. MISO NOMA SYSTEMS WITH STT SCHEME

By substituting (55) into (32), and  $e^x = \sum_{m=0}^k \frac{x^m}{m!} + \mathcal{O}(x^k)$ , we obtain

$$F_{\gamma_{D_2}}^{\infty,STT}(\gamma) = \frac{N_S^{N_S} \gamma^{N_S}}{(\lambda_{D_2} \rho a_2)^{N_S}}. \quad (69)$$

Similarly, the  $f_{\gamma_{k_2}}^{STT,non}(\gamma)$  can be rewritten as

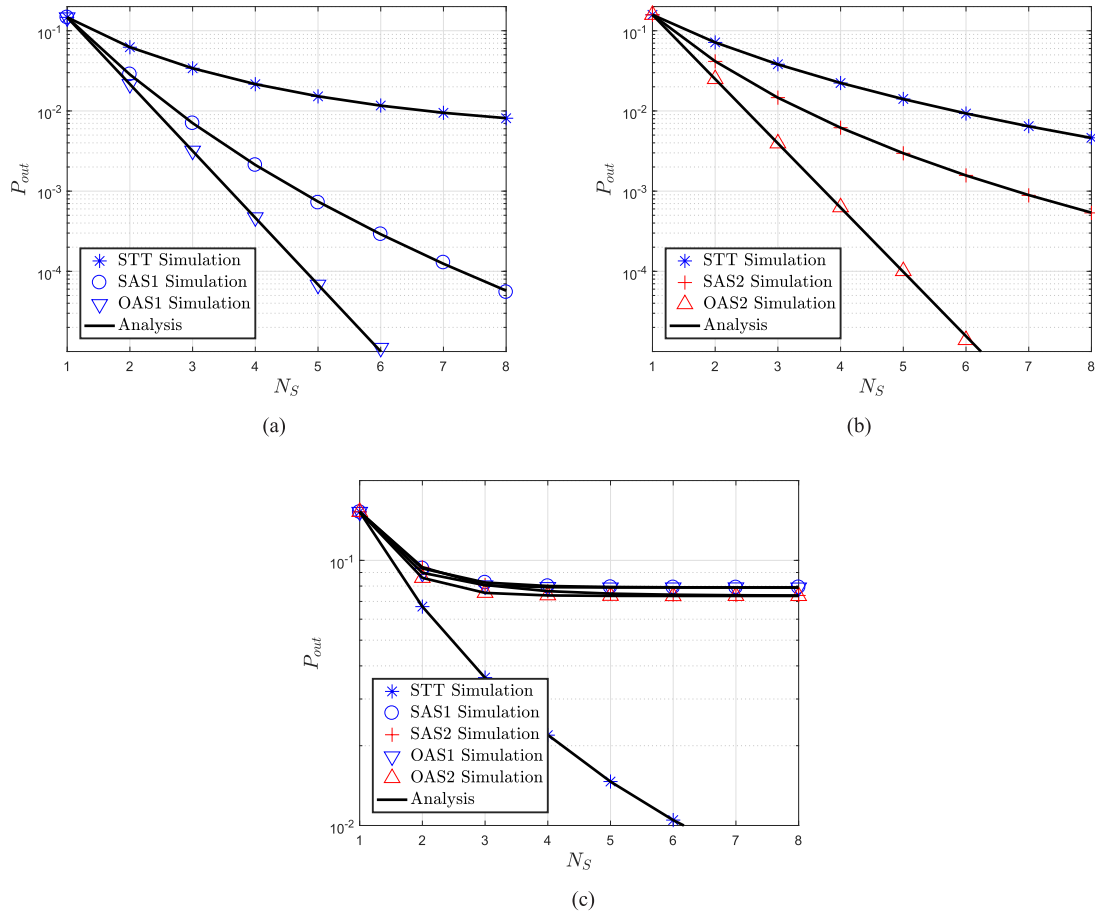
$$f_{\gamma_{k_2}}^{STT,non}(\gamma) = \frac{N_S^2}{\lambda_E \rho a_2} \sum_{i=0}^{N_S-1} \frac{(-1)^i (N_S - 1)!}{i! (N_S - 1 - i)!} e^{-\frac{(i+1)N_S \gamma}{\lambda_E \rho a_2}}. \quad (70)$$

Substituting (55) into (53), we obtain

$$P_{out,D_1}^{\infty,STT} = \sum_{i=0}^{N_S-1} \sum_{k=0}^{N_S} \frac{(-1)^i (N_S - 1)! N_S! N_S^{N_S-k+1}}{i! (N_S - 1 - i)! (N_S - k)!} \times \frac{(\Theta_1 - 1)^{N_S-k} (\Theta_1 \lambda_E)^k (1 + \alpha \lambda_{D_2} \varphi)^{N_S-k}}{(i + 1)^{k+1} \beta^{N_S} \lambda_{D_2}^{N_S} \rho^{N_S-k}}. \quad (71)$$

On substituting (69) and (70) into (9) and making use of [34, eq. (3.381.4)], we obtain

$$P_{out,D_2}^{\infty,STT} = \frac{N_S^{N_S+2}}{(\lambda_{D_2} \rho a_2)^{N_S} \lambda_E \rho a_2} \sum_{k=0}^{N_S} \sum_{i=0}^{N_S-1} \frac{N_S! (\Theta_2 - 1)^{N_S-k}}{k! (N_S - k)!} \times \frac{(-1)^i (N_S - 1)! \Theta_2^k}{i! (N_S - 1 - i)!} \int_0^\infty \gamma^k e^{-\frac{(i+1)N_S \gamma}{\lambda_E \rho a_2}} d\gamma = \frac{N_S! (N_S - 1)!}{(\lambda_{D_2} \rho a_2)^{N_S}} \sum_{k=0}^{N_S} \sum_{i=0}^{N_S-1} \frac{(-1)^i (\lambda_E \rho a_2 \Theta_2)^k}{(N_S - k)! i!} \times \frac{N_S^{N_S-k+1} (\Theta_2 - 1)^{N_S-k}}{(N_S - 1 - i)! (i + 1)^{k+1}}. \quad (72)$$



**FIGURE 4.** SOP for various  $N_S$  with  $\lambda_{D_1} = 10$  dB,  $\lambda_{D_2} = 8$  dB,  $\lambda_E = 0$  dB,  $\alpha_1 = 0.1$ ,  $\alpha_2 = 0.9$ , and  $P_S = 5$  dB. (a) SOP for  $D_1$ . (b) SOP for  $D_2$ . (c) SOP for the two-user NOMA system.

Similarly, the diversity order for the two users with STT scheme is easily obtained as  $(1 - \varphi) N_S$  and  $N_S$ , respectively. Finally we obtain the diversity order of MISO NOMA system with STT scheme as

$$G_d^{STT} = (1 - \varphi) N_S. \tag{73}$$

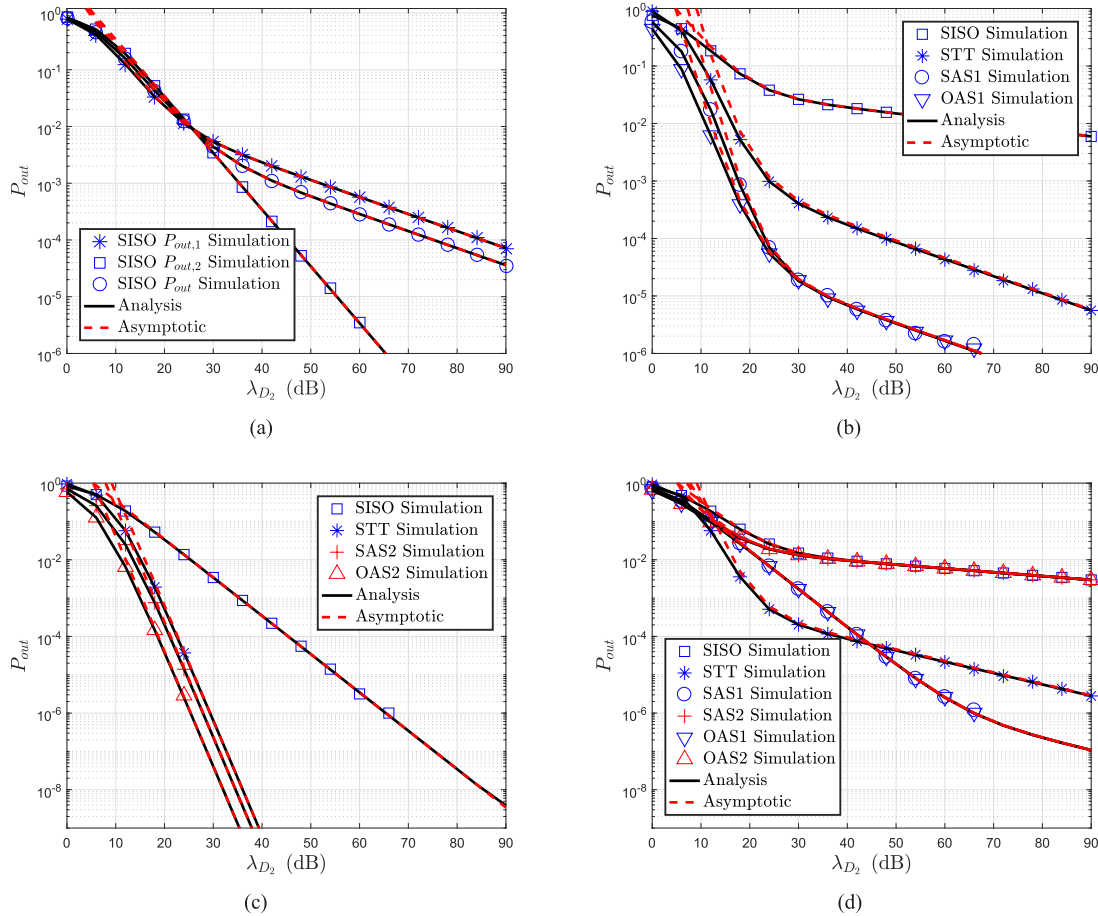
### VII. NUMERICAL RESULTS AND DISCUSSIONS

In this section, the proposed analytical models are verified via Monte-Carlo simulation. The main parameters utilized in the simulations are set as  $R_{s,1} = R_{s,2} = 0.1$  bit/s/Hz,  $\sigma^2 = 1$ . In all the figures, ‘SAS1’ and ‘SAS2’ means the SAS scheme based on  $D_1$  or  $D_2$ , respectively. Similarly ‘OAS1’ and ‘OAS2’ means the OAS scheme based on  $D_1$  or  $D_2$ , respectively.

Fig. 2 depicts the SOP versus  $P_S$ , where Figs. 2(a), 2(b), 2(c), and 2(d) plot the SOP for a SISO NOMA system, the SOP for  $D_1$ ,  $D_2$ , and the two-user NOMA system with different TAS schemes, respectively. One can observe from Fig. 2(a) that the SOP at  $D_1$  is improved until it reaches a floor. The reason is that the secrecy capacity tends to a constant when  $P_S$  becomes large [35]. An interesting result is found that the SOP at  $D_2$  degrades before it reaches a

ceiling because the interference from  $D_1$  increases while  $P_S$  increasing, which testifies the result in Remark 1. Further, the SOP at  $D_2$  is superior to that at  $D_1$  in the low transmit power region although the channel quality of  $S$ - $D_1$  is better than that of  $S$ - $D_2$ . This is because physical layer security utilizes the randomness of the wireless channel. However, the SOP at  $D_2$  gets worse in the high transmit power region until it reaches a constant due to increasing interference from  $D_1$ . The SOP of the NOMA system deteriorates due to the increase of the SOP at  $D_2$ . Figs. 2(b) and 2(c) depict the SOP for  $D_1$  and  $D_2$  with different TAS schemes versus  $P_S$ . One can observe that multiple antennas can improve the secrecy outage performance and the SOPs of SAS and OAS schemes outperform the STT scheme for both users. In Fig. 2(d), it can be observed that the SOP for NOMA system with STT outperforms those with SAS and OAS schemes. This is because in the SAS1 and OAS1 schemes only  $D_1$  is considered in selecting transmit antenna. Since one transmit antenna is selected randomly for  $D_2$ , the SOP for  $D_2$  with SAS1 and OAS1 schemes is the same as the case with a single antenna at  $S$ .

Fig. 3 depicts the SOP versus  $\lambda_{D_2}$ . One can observe that the asymptotic curves tightly approximate the exact ones as



**FIGURE 5.** SOP with the proposed power allocation scheme for various  $\lambda_{D_2}$  with  $\lambda_E = 3$  dB,  $N_S = 3$ ,  $\beta = 2$ ,  $\alpha = 1.5$ ,  $\varphi = 0.9$ , and  $P_S = 10$  dB. (a) SOP of a SISO NOMA system. (b) SOP for  $D_1$ . (c) SOP for  $D_2$ . (d) SOP for the two-user NOMA system.

$\lambda_{D_2}$  increases. The SOP of NOMA system is determined by the farther user and hence the SOP of SISO NOMA system reaches a constant when  $\lambda_{D_2}$  tends to infinity. Thus the diversity order of SISO NOMA system is zero. Moreover, similar results can be observed from Figs. 3(c) and 3(d).

Figs. 4 depicts the SOP for MISO NOMA system with different TAS schemes versus  $N_S$ . It is observed from Figs. 4(a) and 4(b) that multiple antennas can improve the secrecy outage performance of each user when OAS scheme is considered in selecting antenna. From Fig. (c), it is found that the SOP of the NOMA system with SAS scheme is not affected by the number of the antennas when  $N_S > 3$  whereas the NOMA system with STT scheme is effected. This means that increasing the antenna number hardly affects the secrecy performance of the NOMA system with TAS scheme but improves with the STT scheme.

Figs. 5 plots the SOP for SISO and MISO NOMA systems with the proposed power allocation scheme. We can observe that the non-zero diversity order is obtained for all the cases. We see in Figs. 5(a), 5(b), and 5(c) that the diversity order of NOMA system can be improved by decreasing the diversity order of the farther user. Fig. 5(d) demonstrates all the cases

with the proposed power allocation scheme. We can observe that in SAS2 and OAS2 schemes, since  $P_{out,D_2}^{\infty,SAS}$  and  $P_{out,D_2}^{\infty,OAS}$  is very small (can be found in Fig. 5(c)), then the SOP of  $D_1$  in SAS2 and OAS2 are dominant part of the SOP for the NOMA system (the SOP of  $D_1$  in SAS2 and OAS2 are same as ones in SISO in Fig. 5(b)). So there is almost no difference between the SOP of SISO, SAS2, and OAS2 schemes. Similarly, from Fig. 5(c), one can observe that  $P_{out,D_1}^{\infty,SAS}$  and  $P_{out,D_1}^{\infty,OAS}$  is small (Fig. 5(b)) and the SOP of  $D_2$  in SAS1 and OAS1 schemes are dominant part of the SOP for the NOMA system (the SOP of  $D_2$  in SAS1 and OAS1 schemes are same as the ones in SISO in Fig. 5(c)). Furthermore, we can observe that the SOP with SAS1 and OAS1 schemes outperform STT scheme scenario with the proposed power allocation method in the high SNR regime.

### VIII. CONCLUSION

In this work, the secrecy outage performance of two-user SISO and MISO NOMA systems with different TAS schemes was investigated. The exact and approximated closed-form expressions of the SOP for different TAS schemes were derived and compared with the one of traditional

STT scheme. The proposed analytical results were verified via Monte-Carlo simulations. The results demonstrate that the diversity order is zero when the fixed power allocation scheme is utilized. Subsequently, an effective power allocation strategy has been proposed and the diversity order achieved by the proposed power allocation scheme was analyzed.

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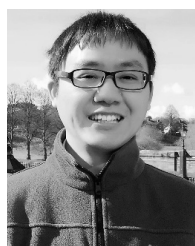


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